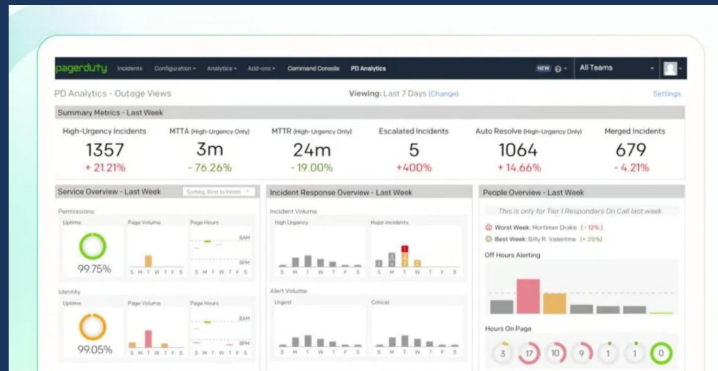


# Executive Summary

FlowInno, Inc.'s security assessment reveals a **Critical** risk posture requiring immediate executive intervention.

## Key Findings:

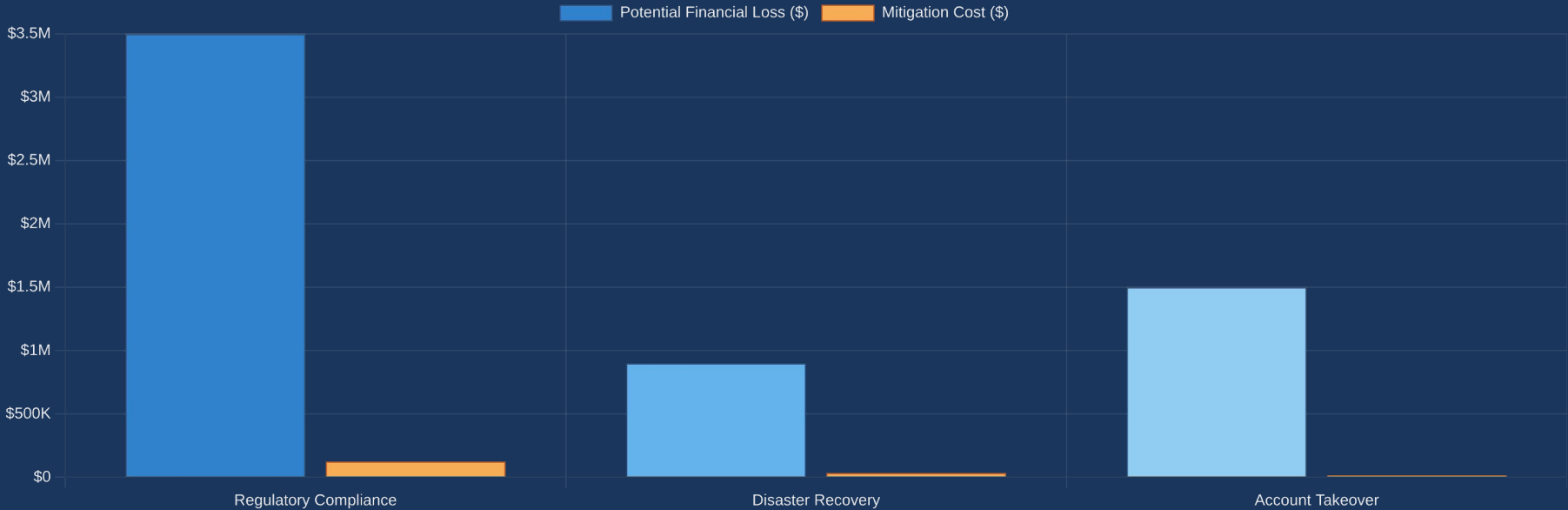
- Potential financial exposure of **\$5.9 million** from high-probability risks
  - Most significant risk: **Regulatory non-compliance** (\$3.5M potential loss)
  - Root cause is a **governance gap**, not technology failure
  - Recommended investment of **\$135K-\$225K** in foundational controls
- Exceptionally compelling ROI by preventing multi-million dollar losses





# Key Risk Findings

The top 3 risks by financial impact represent **95%** of the total potential financial loss:



Risk #10

Lack of Regulatory Compliance

Risk #3

Lack of Disaster Recovery

Risk #5

Account Takeover

# ! Critical Governance Gap

The highest-scoring risk, **Lack of Regulatory Compliance (Risk #10)**, has **no assigned Risk Owner**.



## Impact of This Gap:

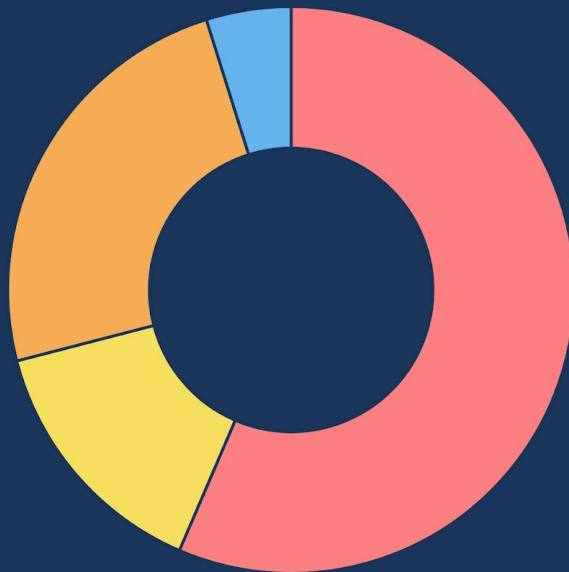
- Largest financial exposure: **\$3.5 million**  
Primary driver of the 'Critical' overall risk posture

Compliance activities lack authority for implementation  
No oversight for policy adoption and training



# Financial Impact Analysis

Three primary gaps account for **95%** of the total potential financial loss:



- Compliance Governance: \$3.5M
- Business Continuity: \$900K
- Identity & Access: \$1.5M
- Other Risks: \$300K

## Compliance Governance

Risk #10 lacks an assigned executive owner

**\$3.5M Exposure**

## Business Continuity

Risk #3 reveals absence of tested DR playbooks

**\$900K Exposure**

## Identity & Access

Risk #5 shows MFA not universally enforced

**\$1.5M Exposure**

# Prioritized Recommendations

Targeted, cost-effective controls to mitigate **\$5.9 million** in potential financial losses:

## Critical Priority

### 1 Assign Executive Owner for Regulatory Compliance

Closes the critical governance gap on Risk #10, empowering an executive to drive policy and oversight.

Investment: **\$0** (Personnel Reassignment)

Prevents: **\$3.5M** loss

### 2 Implement Tested Disaster Recovery Plan

A tested DR plan ensures structured, rapid recovery from severe outages.

Investment: **\$20K-\$50K**

Prevents: **\$900K** loss

## High Priority

### 3 Enforce Organization-Wide MFA

Implements the single most effective technical control to prevent account compromise.

Investment: **\$10K-\$25K** annually

Prevents: **\$1.5M** loss

### 4 Implement Cross-Training & Documentation

Protects institutional knowledge and ensures operational continuity.

Investment: **\$5K-\$15K**

Prevents: **\$150K-\$300K** loss

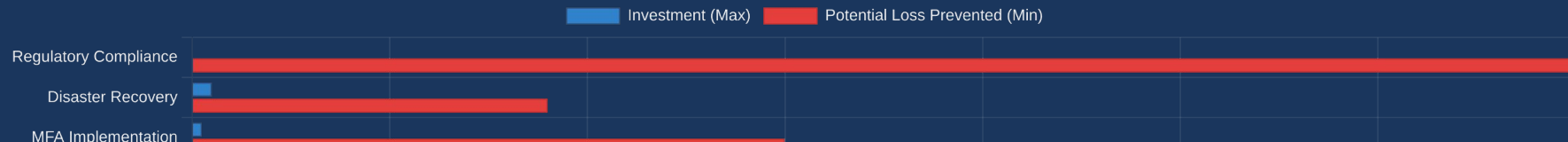
### 5 Mandate Separation of Duties for Financial Transactions

Establishes a foundational internal control to prevent fraudulent business dealings.

Investment: **\$5K-\$10K**

Prevents: **\$500K-\$1M** loss

## Return on Investment Analysis



# Next Steps

Proposed immediate actions to address critical and high-priority recommendations:



## Ownership & Accountability

- Executive team to assign compliance ownership by **end of week**
- CISO to lead MFA and DR implementation

## Expected Outcomes

- Reduction in risk posture from **Critical** to **Medium**
- Mitigation of **\$5.9M** in potential financial exposure