



UNIVERSITÀ DI PARMA  
Dipartimento di Ingegneria e Architettura

# Firewalls

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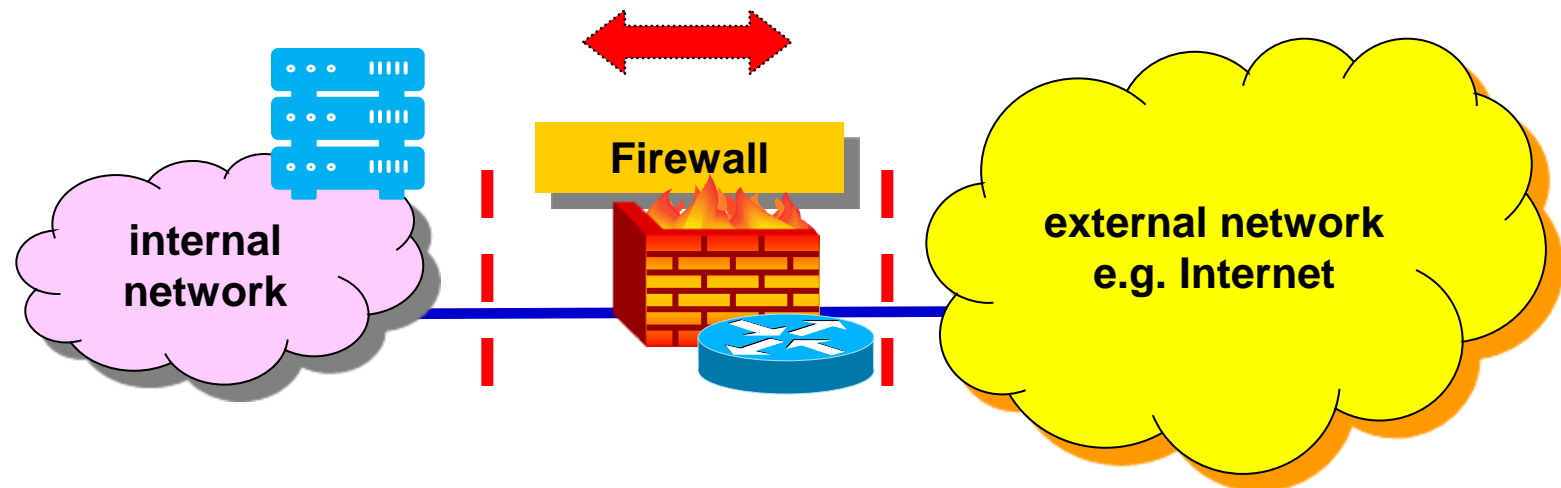
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# Firewall

- A firewall is security system that controls the incoming and outgoing network traffic
  - **by analyzing the data packets and determining whether they should be allowed through or not, based on a rule set**
  - **builds a bridge between a system (an internal network or computer) and an external network**
  - **can be implemented in HW or SW**



# Examples of firewalls

- Many routers that pass data between networks contain firewall components
  - **packet filtering (or screening) router**
- Also intermediate systems working at network or application level may acts as firewall
  - **NAT**
  - **Application Level Gateways (e.g. proxies)**
- Many personal computer OSs include software-based firewalls to protect against threats from the attached network and/or from the public Internet
  - **personal firewalls**
- Example: Linux iptables
  - may work as both personal firewall or router firewall

# Firewall Classification

- There are different types of firewalls depending on where the communication is taking place, where the communication is intercepted and the state that is being traced
  - **Network layer or packet filters**
    - Level 3-4 switches
    - Screening routers
  - **Network address translation nodes**
    - NATs and NAPT
  - **Application-layer firewalls**
    - Host-based application firewalls
    - Network-based application firewalls (proxies)
      - Single-interface bastion hosts
      - Dual-homed systems

# When a firewall does not guarantee security

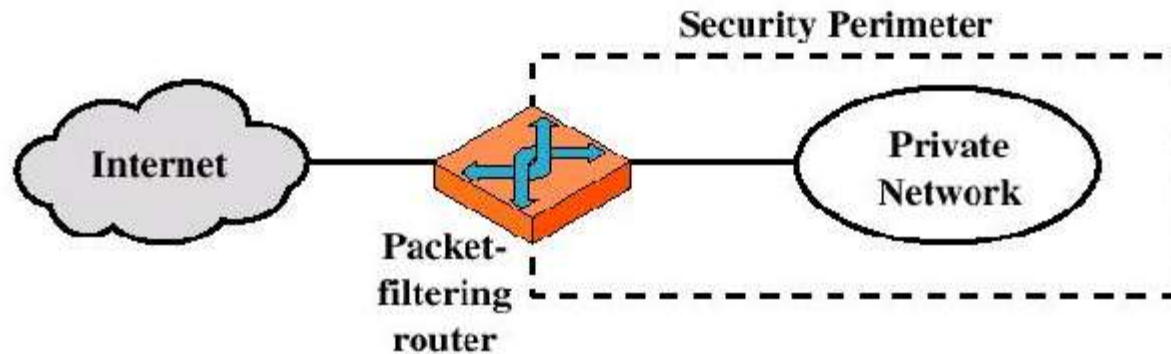
- In case of errors or firewall misconfigurations:
  - **the firewall does not correctly implement the defined security policies**
- The system where the firewall is installed is vulnerable
  - **due to bugs or misconfiguration of the OS or other applications**
- Presence of other paths between the external and internal networks, by-passing the firewall, e.g.
  - **wireless (WiFi) Access Points connected to the internal network, not protected by the firewall**
  - **internal computers/smartphones with 3/4G connections**
- Attacks that start from internal system
  - **malicious users**
  - **caused by compromised mobile computers (laptops, tablets, smartphones)**
  - **caused by software starting from removable disks / memory sticks**

# Packet Filters

# Packet filters

- Also referred to as network layer firewalls
- They operate at a relatively low level of the protocol stack, not allowing single packets to pass through the firewall unless they match the established rule set
  - **can filter traffic based on many packet attributes like**
    - source/destination IP addresses,
    - transport protocol,
    - source/destination ports,
    - input/output interfaces,
    - many other packet header fields and attributes
  - **filter rules may be defined by a firewall administrator, or default rules may apply**

# Packet Filtering Router



- IP router with filtering capabilities
  - also referred to as **Screening Router**
- Applies a set of rules to each incoming IP packet and then forwards or discards the packet
  - filter packets going in both directions
  - it is typically set up as a list of rules based on matches to fields in the IP and/or transport headers
  - two default policies (discard or forward)

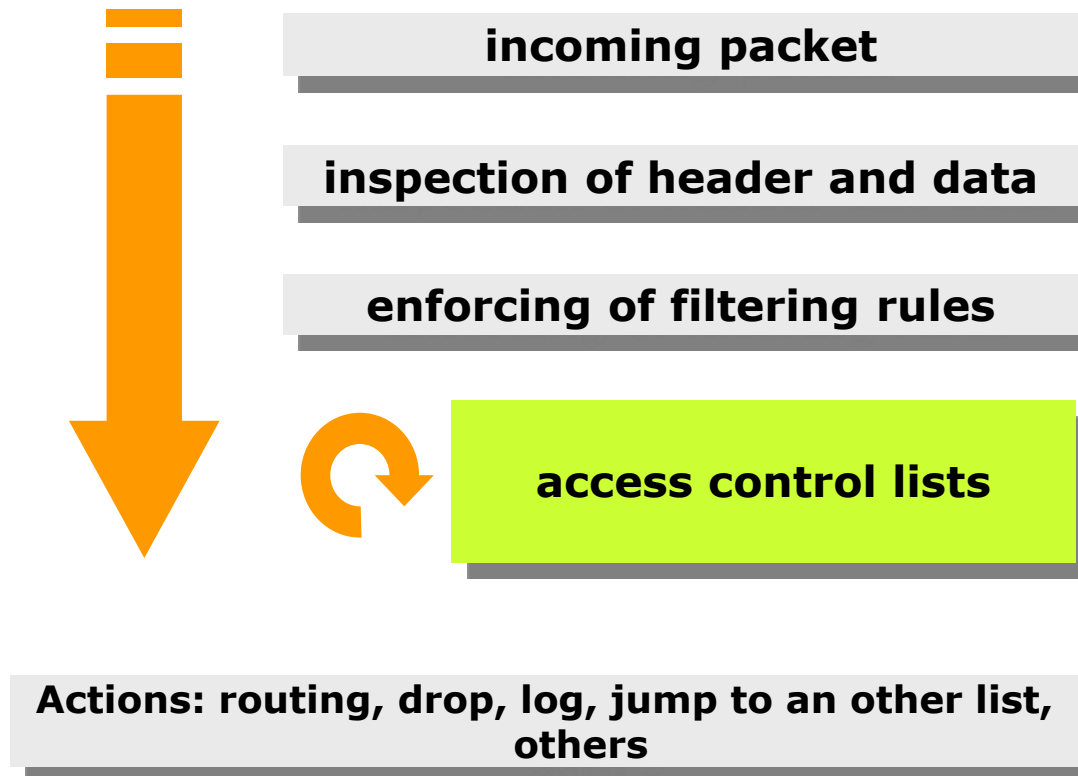


# Filtering rules: Example

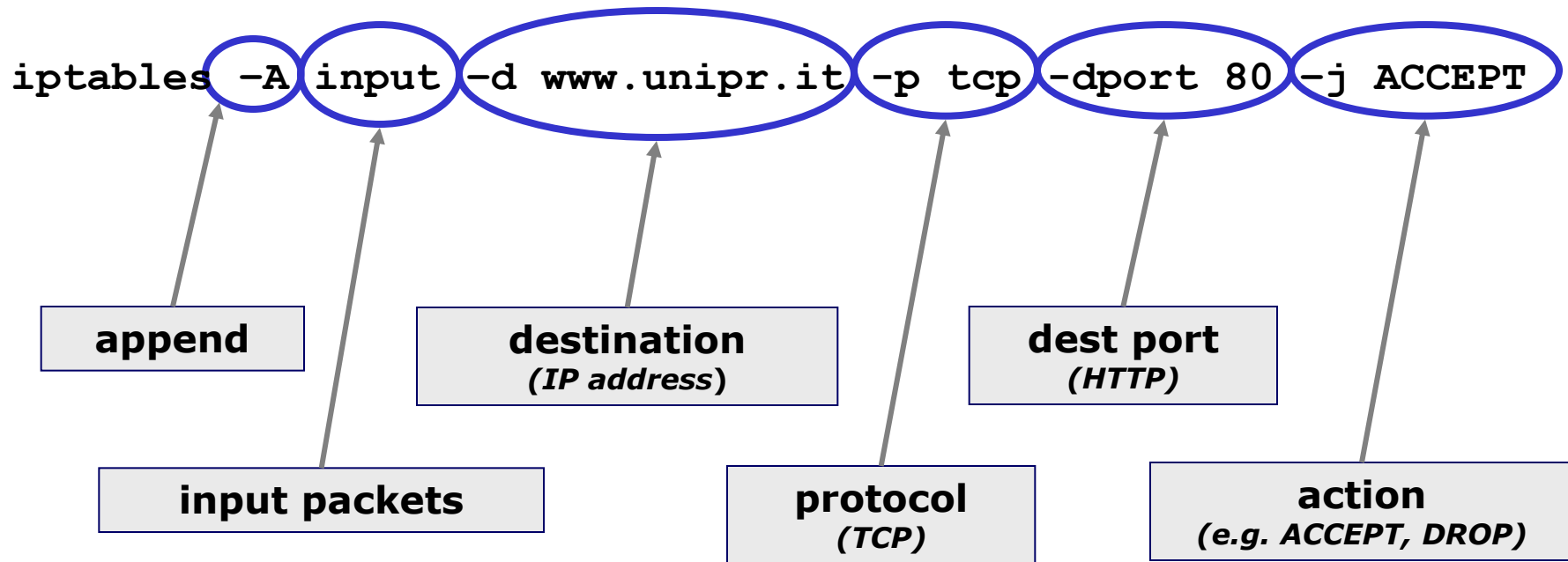
- Filtering rules are listed in proper lists or tables, sometimes referred to as *Access control lists*, or *Chains*
- Example:
  - **enabling traffic towards and from a mail server (SMTP) and web server (HTTP)**

<i>IP source</i>	<i>IP dest</i>	<i>Proto</i>	<i>Sorce port</i>	<i>Dest port</i>	<i>Action</i>
*	160.78.1.1	tcp	> 1023	25	permit
*	160.78 .1.2	tcp	> 1023	80	permit
160.78 .1.0/24	*	*	*	*	permit
*	*	*	*	*	deny

# Packet Filtering Operation



# Example of insertion of filtering rules



# Stateless vs Stateful packet filters

- Generally fall into two sub-categories: stateless and stateful
  - **Stateless packet filter**
    - decision is per-packet based
      - no state related on previously processed packet
    - require less memory, and can be fast
    - cannot make complex decisions based on what stage communications between hosts have reached
  - **Stateful packet filter**
    - maintain context about active sessions, and use that "state information" to process packets
      - any existing communication is characterized by several properties (source and dest addresses, UDP/TCP ports, connection lifetime, etc.)
      - a firewall's state table is maintained and it contains state (connection) information relate to accepted packets
      - a packet matches an existing connection based on comparison with the state table
      - if a packet does not match an existing connection, it will be evaluated according to the ruleset for new connections

# Packet Filters – Advantages/Disadvantages

- Advantages:
  - **Simplicity**
  - **High speed**
  - **Transparency to users**
  
- Disadvantages:
  - **Difficulty of setting up packet filter rules**
  - **Lack of authentication**

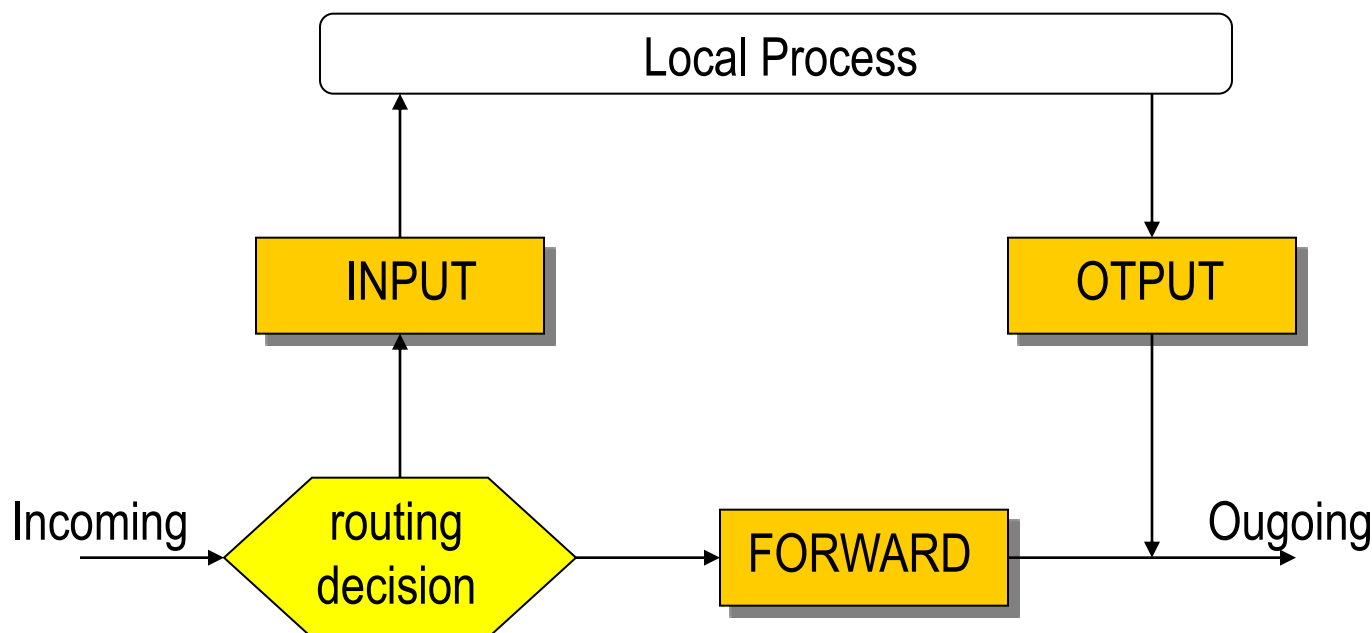
# Linux Netfilter (iptables)

# Linux packet filter

- Linux kernels have had packet filtering since the 1.1 series
- Packet filtering is implemented by netfilter
- Netfilter is a general framework inside the Linux kernel which other things can plug into
- The tool `iptables` talks to the kernel and tells it what packets to filter
  - **it inserts and deletes rules from the kernel's packet filtering table**
- Lists of filtering rules are called "chains"
  - **that are the Linux's access control lists**

# Netfilter basic chains

- The kernel starts with three built-in lists of rules (chains) in the 'filter' table
  - they are **INPUT**, **OUTPUT** and **FORWARD**
  - they can't be deleted





## Netfilter basic chains

- When a packet reaches a chain, that chain is examined to decide the fate of the packet
  - If the chain says to **DROP** the packet, it is killed there, but
  - if the chain says to **ACCEPT** the packet, it continues traversing the diagram
- A chain is a checklist of *rules*
  - each rule says 'if the packet header looks like this, then here's what to do with the packet'
  - if the rule doesn't match the packet, then the next rule in the chain is consulted
  - finally, if there are no more rules to consult, then the kernel looks at the chain policy to decide what to do
  - in a security- conscious system, this policy usually tells the kernel to **DROP** the packet

# iptables operations

- Operations to manage whole chains:
  - **Create a new chain (-N)**
  - **Delete an empty chain (-X)**
  - **Change the policy for a built-in chain (-P)**
  - **List the rules in a chain (-L)**
  - **Flush the rules out of a chain (-F)**
  - **Zero the packet and byte counters on all rules in a chain (-Z)**
  
- Operations to manipulate rules inside a chain:
  - **Append a new rule to a chain (-A)**
  - **Insert a new rule at some position in a chain (-I)**
  - **Replace a rule at some position in a chain (-R)**
  - **Delete a rule at some position in a chain, or the first that matches (-D)**

# Managing an entire chain

- Creating a New Chain

- using the ``-N'` (or ``--new-chain'`) command
- e.g. `iptables -N test`

- Deleting a Chain

- using the ``-X'` (or ``--delete-chain'`) command
- e.g. `iptables -X test`

- Flushing a Chain

- using the ``-F'` (or ``--flush'`) command
- e.g. `iptables -F FORWARD`

- Listing a Chain

- using the ``-L'` (or ``--list'`) command
  - ``-n'` (numeric) option prevents iptables from to lookup the IP addr
  - ``-v'` options shows you all the details of the rules

# Managing an entire chain (cont.)

- Setting Policy
  - the policy of the chain determines the default fate of the packet if no rule matches the packet
  - only built-in chains (INPUT, OUTPUT and FORWARD) have policies
  - The policy can be either ACCEPT or DROP, for example:
  - using the `-P` command
  - e.g. `# iptables -P FORWARD DROP`

# Managing rules

- Each rule specifies a set of conditions the packet must meet (matching condition), and what to do if it meets them ( 'target' or action)

- For example

➤ **to drop all ICMP packets coming from the IP address 127.0.0.1**

- the conditions are that the protocol must be ICMP and that the source address must be 127.0.0.1
- the target is 'DROP'

➤ **to add the rule:**

```
iptables -A INPUT -s 127.0.0.1 -p icmp -j DROP
```

The command is annotated with red dashed boxes: the first box labeled "matching condition" covers the arguments `-s 127.0.0.1 -p icmp`, and the second box labeled "target" covers the argument `-j DROP`.

➤ **to test:**

```
PING 127.0.0.1
```

➤ **to delete the rule:**

```
iptables -D INPUT 1 ,or
```

```
iptables -D INPUT -s 127.0.0.1 -p icmp -j DROP
```

# Filtering specifications

## ● Specifying an Interface

- **the `-i` (or `--in-interface`) and `-o` (or `--out-interface`) options specify the name of an interface to match**
- **node that:**
  - INPUT chain don't have an output interface
  - OUTPUT chain don't have an input interface
  - Only FORWARD chain have both an input and output interface
  - an interface name ending with a `+` (wildcard) will match all interfaces which begin with that string

## ● Specifying Source and Destination IP Addresses

- **source (`-s`, `--source` or `--src`) and destination (`-d`, `--destination` or `--dst`) IP addresses can be specified in four ways**
  - using the full name, such as `localhost` or `www.linuxhq.com`
  - specifying the IP address, such as `127.0.0.1`
  - specifying a group of IP addresses, such as `199.95.207.0/24`
  - or such as `199.95.207.0/255.255.255.0`

## Filtering specifications (cont.)

- Specifying Protocol
  - **protocol can be specified with the `-p` (or `--protocol`) flag**
  - **protocol can be a number or a name (`'tcp'`, `'udp'` or `'icmp'`)**
- Specifying Inversion
  - **many flags can have their arguments preceded by `!` (NOT) to invert (negate) the given matching condition**
    - e.g. `-s ! localhost` matches any packet not coming from localhost

# Matching extensions

- TCP, UDP and ICMP protocols automatically offer specific matching tests
  - **it is possible to specify the new match test on the command line after the `-p` option**
- E.g.
  - `--source-port (--sport)` and `--destination-port (--dport)`**
    - followed by either a single TCP/UDP port, or a range of ports
    - ranges are two port names separated by a `:`
- Other extension can be loaded explicitly
  - **using the `-m` option followed by the match test**
  - **e.g. `-m mac --mac-source 45:e4:23:6b:82:a0`**



# The state match

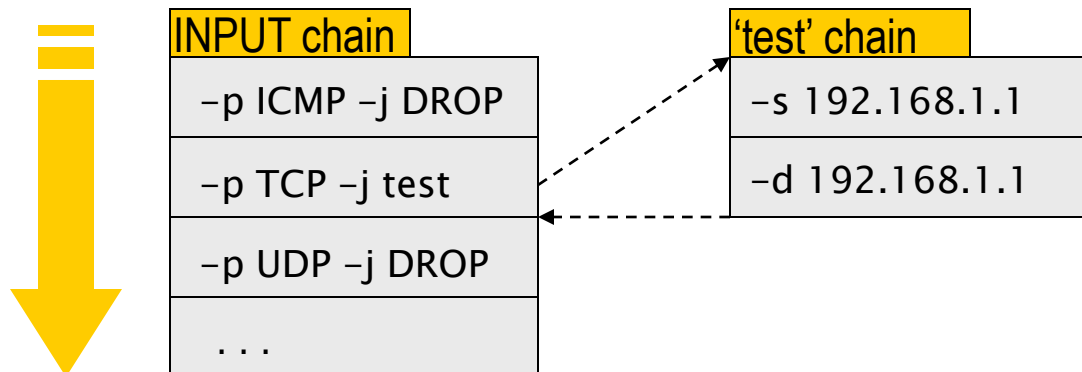
- The '-m state' extension interprets the connection-tracking analysis
  - **connection states are:**
    - NEW
      - a packet which creates a new connection
    - ESTABLISHED
      - a packet which belongs to an existing connection
    - RELATED
      - a packet which is related to, but not part of, an existing connection (e.g. an ICMP error, or an ftp data connection)
    - INVALID
      - a packet which could not be identified for some reason
- Example of '-m state' match extension:
  - `iptables -A FORWARD -i ppp0 -m state --state NEW -j DROP`

# Target specifications

- Rule's target is what to do to the packets which match the rule
- There are two very simple built-in targets: DROP and ACCEPT
- Other targets are:
  - **LOG**
    - this module provides kernel logging of matching packets
  - **REJECT**
    - has the same effect as `DROP', except that the sender is sent an ICMP `port unreachable' error message
  - **RETURN**
    - has the same effect of falling off the end of a chain
  - **QUEUE**
    - is a special target, which queues the packet for userspace processing
  - **User-defined chains**

## User-defined chains

- It is possible to create new chains, in addition to the three built-in ones (INPUT, FORWARD and OUTPUT)
- When a packet matches a rule whose target is a user-defined chain
  - **the packet begins traversing the rules in that user-defined chain**
  - **if that chain doesn't decide the fate of the packet, then traversal resumes on the next rule in the current chain**



# Application-Layer Firewalls

# Application-layer firewalls

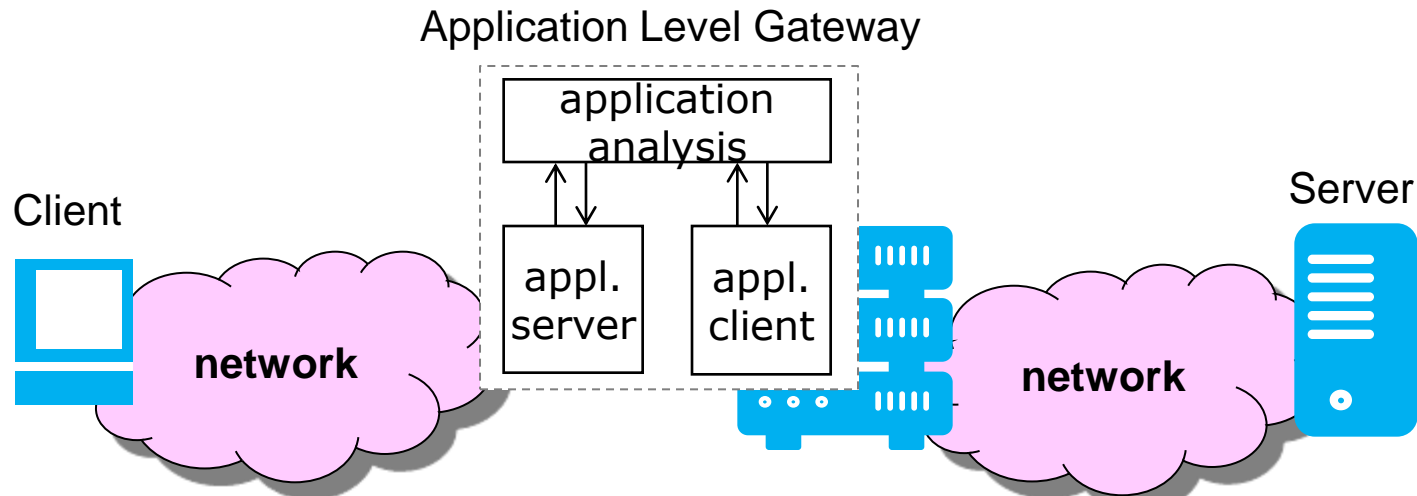
- An application firewall is a form of firewall which controls input, output, and/or access from, to, or by an application or service
  - **can control all network traffic data up to the application layer**
    - it may inspect the contents of traffic, blocking specified content
      - such as certain websites, malicious programs, or attempts to exploit known logical flaws in client software
      - can restrict or prevent the spread of computer malwares
  - **unlike a stateful packet filter firewall which is (without additional software) unable to control network traffic regarding a specific application**
- There are two primary categories of application firewalls
  - **host-based application firewalls**
  - **network-based application firewalls**

# Host-based application firewalls

- A host-based application firewall provides protection to the applications running on the same host
- It can monitor any application input, output, and/or system calls made from, to, or by the application
  - **this is done by examining information passed through system calls instead of or in addition to a network stack**
  - **it may block the input, output, or system calls (including socket calls) which do not meet the configured policy of the firewall**
  - **they are able to apply filtering rules (allow/block) on a per process basis instead of filtering connections on a per port basis**
  - **generally, prompts are used to define rules for processes that have not yet received a connection**

# Network-based application firewalls

- A network-based application layer firewall operates at the application layer of an (application) intermediate node
  - **also known as proxy-based firewall or application-level gateway**
  - **it acts as a proxy/gateway for specific applications**
    - specific to a particular kind of network traffic
    - e.g. HTTP and FTP proxy, SMTP server, SIP proxy, etc.



## Network-based application firewalls (cont.)

- May run either as a stand-alone piece of network (dedicated) hardware, or as software on a general-purpose machine
  - **often, it is a host using various forms of proxy servers to proxy traffic before passing it on to the client or server**
- May run as single-homed host or on a dual-homed host



# Network-based application firewalls (cont.)

- Advantages:

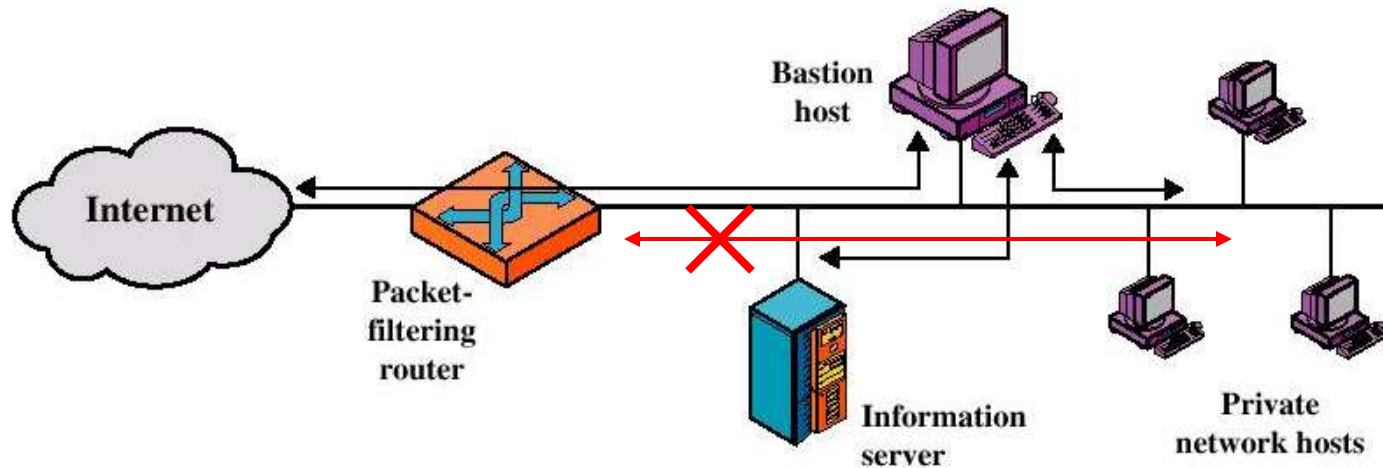
- **full control at application level**
  - content filtering
  - strong user authentication
  - higher level of security respect to a packet filter
- **easy to log and audit all incoming traffic**
- **by default, internal addresses are hidden**
- **caching**

- Disadvantages:

- **worse performances**
  - additional processing overhead on each connection
- **often requires explicit client configurations**
- **not suitable for new services/applications**
  - does support ONLY services for which a corresponding proxy is available (FTP, Telnet, HTTP, SMTP, ...)

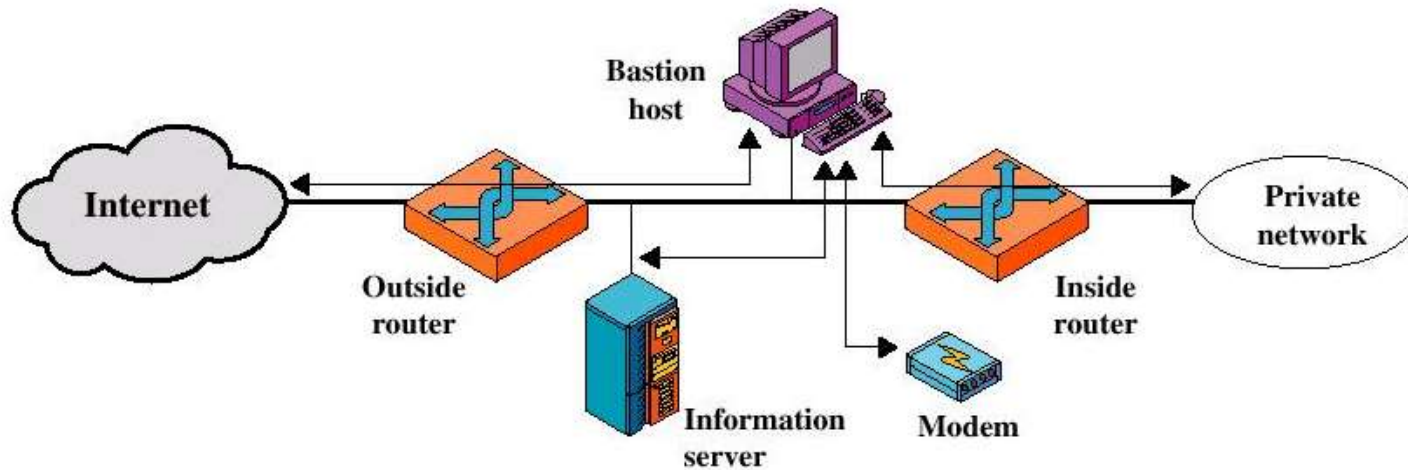
# Hybrid configurations

# Screened host firewall (single-homed bastion host)



- Screened host firewall, single-homed bastion configuration
- The firewall consists by two systems:
  - **A packet-filtering router**
  - **A bastion host**

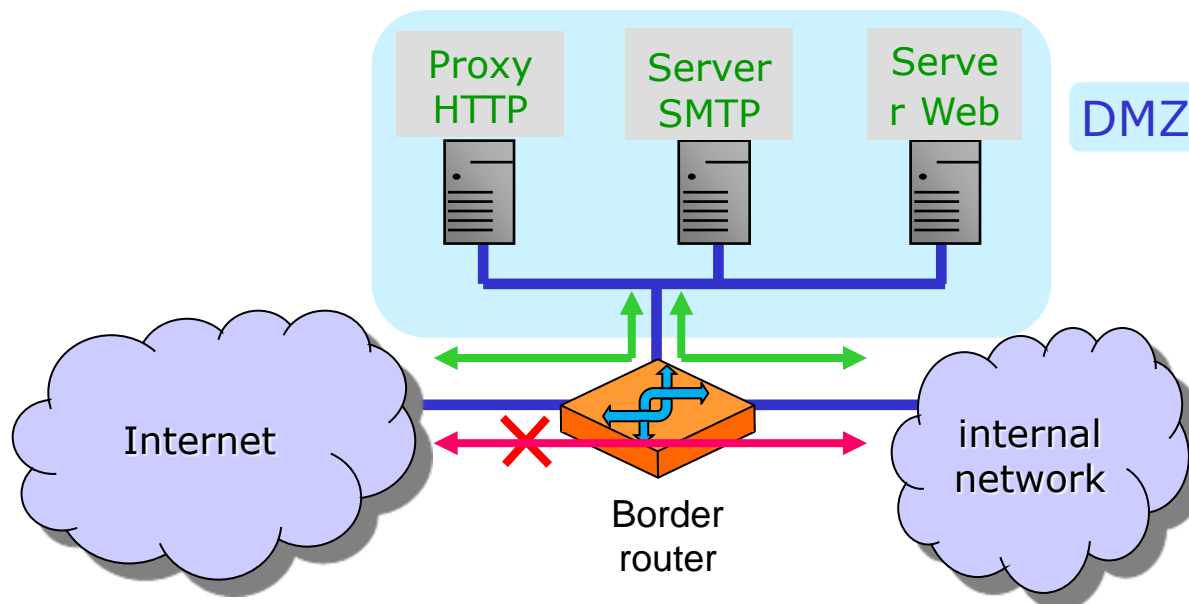
# Screened-subnet firewall



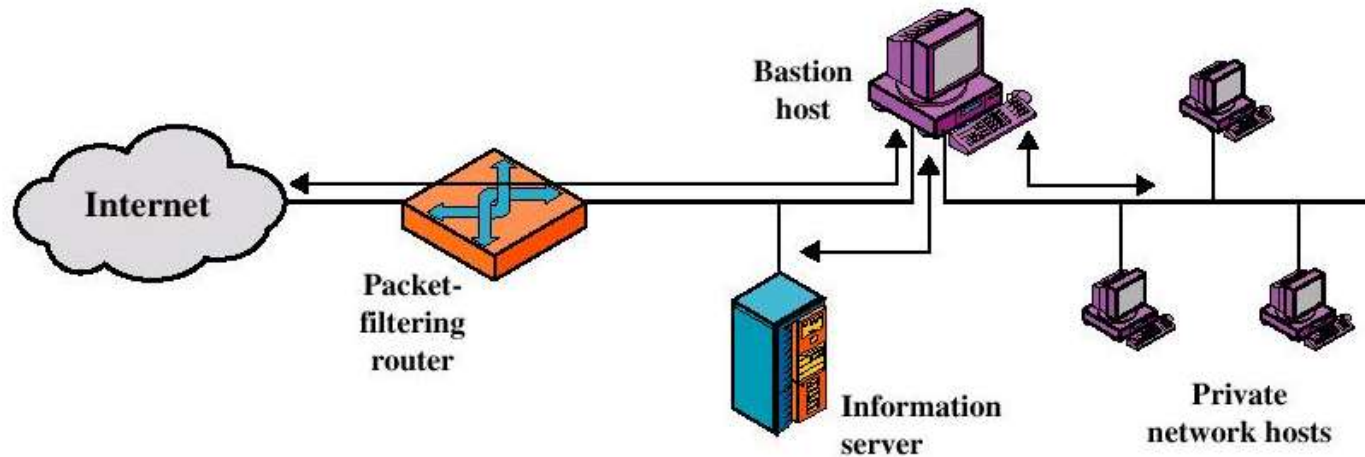
- Screened subnet firewall configuration
  - Most secure configuration
  - Two packet-filtering routers are used
  - Creation of an isolated sub-network (DMZ)

## Screened-subnet firewall (cont.)

- Merging of interior/exterior router



# Screened host firewall (dual-homed bastion host)



- Screened host firewall, dual-homed bastion configuration
  - Traffic between the Internet and other hosts on the private network has to flow through the bastion host
    - regardless the router configuration
  - If the packet-filtering router is compromised, the network is still not completely compromised