



# **Emerging Issues in Federal Financial Management**

May 9, 2016  
Ronald Reagan Building  
Washington, DC

## Morning Welcome and Presentation of Color Guard: 8-8:20 am

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**Location:** Amphitheater

**Mary Reding**, *Director, Chief Financial Officers Council*

## Morning Plenary Session: 8:20-9:20 am

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**Location:** Amphitheater

**Gene Dodaro**, *Comptroller General of the United States, Government Accountability Office*

**Dave Mader**, *Controller, Office of Management and Budget*

**David Lebryk**, *Fiscal Assistant Secretary, Department of the Treasury*

**Beth Cobert**, *Acting Director, Office of Personnel Management*

## Morning Concurrent Session A: 9:30-10:40 am

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### Federal Accountability and Information: The Past, Present, and Future

**Location:** Amphitheater

Federal financial accountability dates back to the days of our founding fathers. To fully appreciate where we are headed, it is important to know where we have been. This session will test participants' knowledge about a number of the significant milestones in our federal government's financial history and provide perspective on the opportunities and challenges that lie ahead.

**Scott Bell**, *Senior Staff Accountant, Office of the Fiscal Assistant Secretary, Department of the Treasury*

**Amy Edwards**, *Senior Advisor, Fiscal Transparency, Department of the Treasury*

**Mark Reger**, *Deputy Controller of the United States, Office of Management and Budget*

**Hal Steinberg**, *Independent Counsel (retired)*

**Moderator: David Lebryk**, *Fiscal Assistant Secretary, Department of the Treasury*

### Managing Federal Receivables: Collecting, Reporting, and Analytics

**Location:** Polaris

This session will explain how the Centralized Receivables Service (CRS) and the Financial Information Repository (FIR) can help federal agencies manage their receivables. CRS is a pilot service that focuses on managing predelinquent debt and debt in the early stages of delinquency before it is eligible for Department of the Treasury referral. The FIR is an analytical tool that integrates agency data, along with other data sources from the Bureau of the Fiscal Service, for access by authorized users. The FIR contains usable, easy-to-understand summary information about the billions of financial transactions processed by the Treasury each year through interactive reports, dashboards, and visuals. Information is available to the public through the FIR public portal and to agencies through a private portal so that they can use the data to perform their own analytics.

**Dan Keenaghan**, *Policy Analyst, Office of Federal Financial Management, Office of Management and Budget*

**Terrence Prince**, *Manager, Portfolio Management and Analysis Branch, Debt Management Services, Bureau of the Fiscal Service, Department of the Treasury*

**Michael Stewart**, *Supervisor, Outreach Implementation Staff, Centralized Receivables Service, Bureau of the Fiscal Service, Department of the Treasury*

**Moderator: Jeffrey Schramek**, *Assistant Commissioner, Debt Management Services, Bureau of the Fiscal Service, Department of the Treasury*

## A Case Study on Migrating a Cabinet-Level Agency to a Federal Shared Service Provider

**Location:** Oceanic

This case study highlights the successful partnership between the Bureau of the Fiscal Service and the Department of Housing and Urban Development, and how this resulted in the first successful migration of a cabinet-level agency to a federal shared service provider. The session will highlight keys to success, challenges, and lessons learned.

**Shawn Freeman**, *Project Manager, Fiscal Accounting Operations, Bureau of the Fiscal Service, Department of the Treasury*

**Joe Hungate**, *Deputy Chief Financial Officer, Department of Housing and Urban Development*

**Peggy Martin**, *Agency Planning and Implementation Lead, Unified Shared Services Management Office, General Services Administration*

**Moderator: Larry Koskinen**, *Assistant Chief Financial Officer, Department of Housing and Urban Development*

## An Update on Government-Wide Improper Payments

**Location:** Hemisphere

In fiscal year 2015, the reported government-wide improper payment estimate was \$137 billion, which represented a \$12 billion increase over the previous year's reported estimate. Much of this increase is attributable to an increased error rate in the Department of Health and Human Services' Medicaid program, which increased from 6.7 percent in fiscal year 2014 to 9.8 percent in fiscal year 2015. From fiscal year 2014 through fiscal year 2015, almost half of the programs reporting improper payment rates in both fiscal years experienced improper payment rate increases. In total, more than \$1 trillion of cumulative estimated improper payments have been reported since fiscal year 2003 when required reporting of estimates began. The improper payment issue is considered a material internal control weakness leaving the federal government unable to determine the full extent to which improper payments occur and reasonably assure that appropriate actions are taken to reduce them. Reducing improper payments is critical to safeguarding federal funds.

**Berri Davis**, *Director, Financial Management and Assurance, Government Accountability Office*

**Gloria Jarmon**, *Deputy Inspector General for Audit Services, Department of Health and Human Services*

**Dave Mader**, *Controller, Office of Management and Budget*

**Frank A. Rokosz**, *Deputy Assistant Inspector General for Audit, Headquarters Operations, Department of Housing and Urban Development*

**Moderator: Asif Khan**, *Director, Financial Management and Assurance, Government Accountability Office*

## Morning Concurrent Session B:

10:50 am-noon

### DATA Act: Implementation Efforts, Accomplishments, and What's Next

**Location:** Amphitheater

This session will highlight the 2-year anniversary of the Digital Accountability and Transparency Act of 2014 (DATA Act) and will provide a general update on implementation efforts and accomplishments and an overview of what's next.

**Aileen Burgman**, *Senior Systems Accountant, Bureau of the Fiscal Service, Department of the Treasury*

**Marcel Jemio**, *Solutions Architect, Accounting Policy and Financial Transparency, Department of the Treasury*

**Moderator: Christina Ho**, *Deputy Assistant Secretary for Accounting Policy and Financial Transparency, Department of the Treasury*

## Recruit, Train, and Retain: How to Attract and Cultivate the Next Generation of the Financial Management Workforce

**Location:** Hemisphere

This session will focus on emerging issues and recent trends in federal human capital management, including talent management, employee engagement, succession planning, and recent Office of Personnel Management guidance.

**Anita Blair**, *Deputy Assistant Secretary, Human Resources and Chief Human Capital Officer, Department of the Treasury*

**Terri France**, *Human Resources Specialist, Classification & Assessment Policy Office, Office of Personnel Management*

**Glenda Scheiner**, *Director, Human Capital and Resource Management, Office of the Under Secretary of Defense, Department of Defense*

**Leigha Sheer**, *Personnel Research Psychologist, Classification & Assessment Policy Office, Office of Personnel Management*

**Moderator: Mary Reding**, *Director, Chief Financial Officers Council*

## Do Not Pay: Using Analytics to Help Agencies Prevent Improper Payments

**Location:** Oceanic

This session will provide an overview of the Do Not Pay (DNP) Analytics and Agency Insight Report product. DNP analytics can help identify opportunities to improve processes and controls in order to prevent improper payments.

**Dan Keenaghan**, *Policy Analyst, Office of Federal Financial Management, Office of Management and Budget*

**Aaron Prose**, *Team Lead for Improper Payments and Debts, Fiscal Policy Division, Office of the Chief Financial Officer, Department of Agriculture*

**Jenny Rone**, *Executive Director, Do Not Pay Business Center, Bureau of the Fiscal Service, Department of the Treasury*

**Moderator: Jeffrey Schramek**, *Assistant Commissioner, Debt Management Services, Bureau of the Fiscal Service, Department of the Treasury*

## Federal Accounting Standards Advisory Board Update

**Location:** Polaris

The Federal Accounting Standards Advisory Board has several proposals open or about to be issued. This session will discuss the importance of these proposals and how they will contribute to improving federal financial reporting. Specific topics include tax expenditures, insurance program accounting, the reporting model, and implementation guidance for entities like the Department of Defense.

**Melissa Batchelor**, *Assistant Director, Federal Accounting Standards Advisory Board*

**Ricky A. Perry Jr.**, *Senior Auditor, Financial Management and Assurance, Government Accountability Office*

**Ross Simms**, *Assistant Director, Federal Accounting Standards Advisory Board*

**Moderator: Mark Reger**, *Deputy Controller of the United States, Office of Management and Budget*

## Lunch Break:

noon-1 pm

**Location:** Food Court

## Scantlebury Award Presentation:

1-1:20 pm

**Location:** Amphitheater

**Presenter:** Gary Engel, *Managing Director, Financial Management and Assurance, Government Accountability Office*

## Afternoon Plenary Session:

1:20-2:20 pm

**Location:** Amphitheater

**Tony Scott**, *Chief Information Officer, Office of Management and Budget*

**Marc Groman**, *Senior Advisor for Privacy, Office of Management and Budget*

## Afternoon Concurrent Session A:

2:30-3:40 pm

### Information Technology/Federal Financial Management Systems in the Federal Government

**Location:** Amphitheater

This year marks the 20th anniversary of two laws focused on strengthening information technology/financial management systems in the federal government: the Federal Financial Management Improvement Act of 1996 (FFMIA) and Information Technology Management Reform Act of 1996 (Clinger-Cohen Act). This session will discuss the past, present, and future of information technology/financial management systems in the federal government, including the influence of these laws, the Federal Managers Financial Integrity Act of 1982 (FMFIA), the Federal Information Security Management Act (FISMA), and the Digital Accountability and Transparency Act of 2014 (DATA Act).

**Bob Dacey**, *Chief Accountant, Government Accountability Office*

**David Plocher**, *Senior Attorney, Office of General Counsel, Government Accountability Office*

**Mark Reger**, *Deputy Controller of the United States, Office of Management and Budget*

**Moderator: Keegan Maguigan**, *Auditor, Financial Management and Assurance, Government Accountability Office*

### Using the New Fraud Risk Management Framework

**Location:** Hemisphere

The Government Accountability Office (GAO) issued *A Framework for Managing Fraud Risks in Federal Programs* (GAO-15-593SP) in July 2015. The Fraud Risk Management Framework provides a comprehensive set of leading practices for developing or enhancing a robust anti-fraud program. This session will address why and how GAO developed the framework and provide an overview of the components and leading practices included in the framework. In addition, this session will focus on the relationship between the framework and other federal efforts, including the new *Standards for Internal Control in the Federal Government* (Green Book).

**Erin McLaughlin**, *Senior Analyst, Forensic Audits and Investigative Service, Government Accountability Office*

**Moderator: Lauren Kirkpatrick**, *Senior Analyst, Forensic Audits and Investigative Service, Government Accountability Office*

## Value Chain: Unified Shared Services Management, Financial Management Line of Business Managing Partner, and Category Management

**Location:** Oceanic

The Department of the Treasury's Office of Financial Innovation and Transformation (FIT) is designing leading practice financial management processes for adoption by shared service providers. The new Unified Shared Services Management (USSM) office provides high-quality, high-value shared services across functions, providers, and consumers. This session will provide clarity about shared services and the roles of FIT, USSM, and the category management initiative under way at the General Services Administration.

**Craig Fischer**, *Program Manager, Office of Financial Innovation and Transformation, Bureau of the Fiscal Service, Department of the Treasury*

**Stephanie Hrin**, *Strategic Sourcing Program Manager, Department of Homeland Security*

**Steve Krauss**, *Director, Federal Acquisition Service, General Services Administration*

**Moderator: Austin Price**, *Innovation Operations Manager, Unified Shared Services Management Office, General Services Administration*

## The Ongoing Government-Wide Intragovernmental Elimination Effort and G-Invoicing

**Location:** Polaris

This session will provide an overview of the current progress in addressing the intragovernmental material weakness in the *Financial Report of the U.S. Government*, and an update on the Bureau of the Fiscal Service's current initiatives to remediate the key issue of buy/sell differences. The Department of the Treasury has been working on a G-Invoicing System aimed at addressing the buy/sell portion of the intragovernmental material weakness through a platform where agency trading partners can collaboratively establish terms and conditions, negotiate orders, and perform invoicing to increase the efficiency and transparency of the buy/sell process.

**Keith Jarboe**, *Systems Accountant, Operations Support Directorate, Bureau of the Fiscal Service, Department of the Treasury*

**Moderator: Kirstie Pottmeyer**, *Branch Manager, Financial and Budget Reports Directorate, Bureau of the Fiscal Service, Department of the Treasury*

## Afternoon Concurrent Session B:

3:50-5 pm

## Continued Commitment to Auditability of the Financial Report of the U.S. Government

**Location:** Amphitheater

This session will provide an update on the progress being made on the *Financial Report of the U.S. Government* and will highlight the need for continued agency collaboration to reach the goal of auditability for fiscal year 2017.

**Jaime Saling**, *Director, Financial and Budget Reports Directorate, Bureau of the Fiscal Service, Department of the Treasury*

**Moderator: Scott Bell**, *Senior Staff Accountant, Office of the Fiscal Assistant Secretary, Department of the Treasury*

## Implementing the New Standards for Internal Control in the Federal Government

### Location: Hemisphere

This session will focus on the progress of implementing the new *Standards for Internal Control in the Federal Government* (also known as the Green Book) from both an auditor's and an agency's perspective.

**Kristen Kociolek**, *Assistant Director, Financial Management and Assurance, Government Accountability Office*

**Grant Simmons**, *Assistant Director, Financial Management and Assurance, Government Accountability Office*

**Moderator: Christie Pugnetti**, *Senior Auditor, Financial Management and Assurance, Government Accountability Office*

## What Is the DATA Act Section 5 Grants Pilot?

### Location: Oceanic

The panel will provide a high-level overview of the Digital Accountability and Transparency Act of 2014 (DATA Act) and Section 5 Pilot activities. The Department of Health and Human Services will present on the grants portion of the pilot.

**Nicole Martinez-Moore**, *Policy Analyst, Office of Federal Financial Management, Office of Management and Budget*

**Christopher Zeleznik**, *Lead, Intragovernmental and Public Engagement, DATA Act Program Management Office, Department of Health and Human Services*

**Moderator: Karen Lee**, *Branch Chief, Office of Federal Financial Management, Office of Management and Budget*

## Payments Innovation Case Study: Electronic Solutions Beyond Cards and the Automated Clearing House Network

### Location: Polaris

This case study highlights the Bureau of the Fiscal Service's efforts to integrate receipt of nonbenefit payments to federal recipients via an e-mail or cell phone. This initiative parallels existing private sector capability to receive payments via commercial payment networks (i.e., PayPal), allowing additional electronic options to be readily available in the federal sphere. This prototype will highlight aspects of the innovative process to make federal administrative law enforcement payments as well as efforts for further expansion.

**Tamara Whitaker**, *Director, Revenue Collections Management/Business Transformation Division, Bureau of the Fiscal Service, Department of the Treasury*

**Moderator: Adam Martin**, *Program Manager, Payment Management/EFT Strategy Division, Bureau of the Fiscal Service, Department of the Treasury*

**Please note:** You will need to sign in for the concurrent sessions. You will not need to sign in for the plenary sessions. Please retain the yellow copy of the completed CPE form for your records and return the top copy and the evaluation form to the registration desk as you leave the conference.

## Donald L. Scantlebury Memorial Award

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### Background

The Joint Financial Management Improvement Program (JFMIP) is a cooperative undertaking of the Office of Management and Budget (OMB), the Department of the Treasury (Treasury), the Office of Personnel Management (OPM), and the Government Accountability Office (GAO). The JFMIP Principals are the OMB Director; the Secretary of the Treasury; the OPM Director; and the Comptroller General of the United States, GAO. They work in cooperation with each other and with operating agencies to improve and coordinate financial management policies and practices throughout the government. The award was established in 1971 to recognize senior financial management executives for distinguished leadership in financial management in the public sector over a number of years. In 1981, the award was designated as the Donald L. Scantlebury Memorial Award in honor of the Chief Accountant of GAO and the Chairman of the JFMIP Steering Committee.

### The recipient of the Donald L. Scantlebury Award:

**Dr. Brett M. Baker**, *Assistant Inspector General for Audit, National Science Foundation*

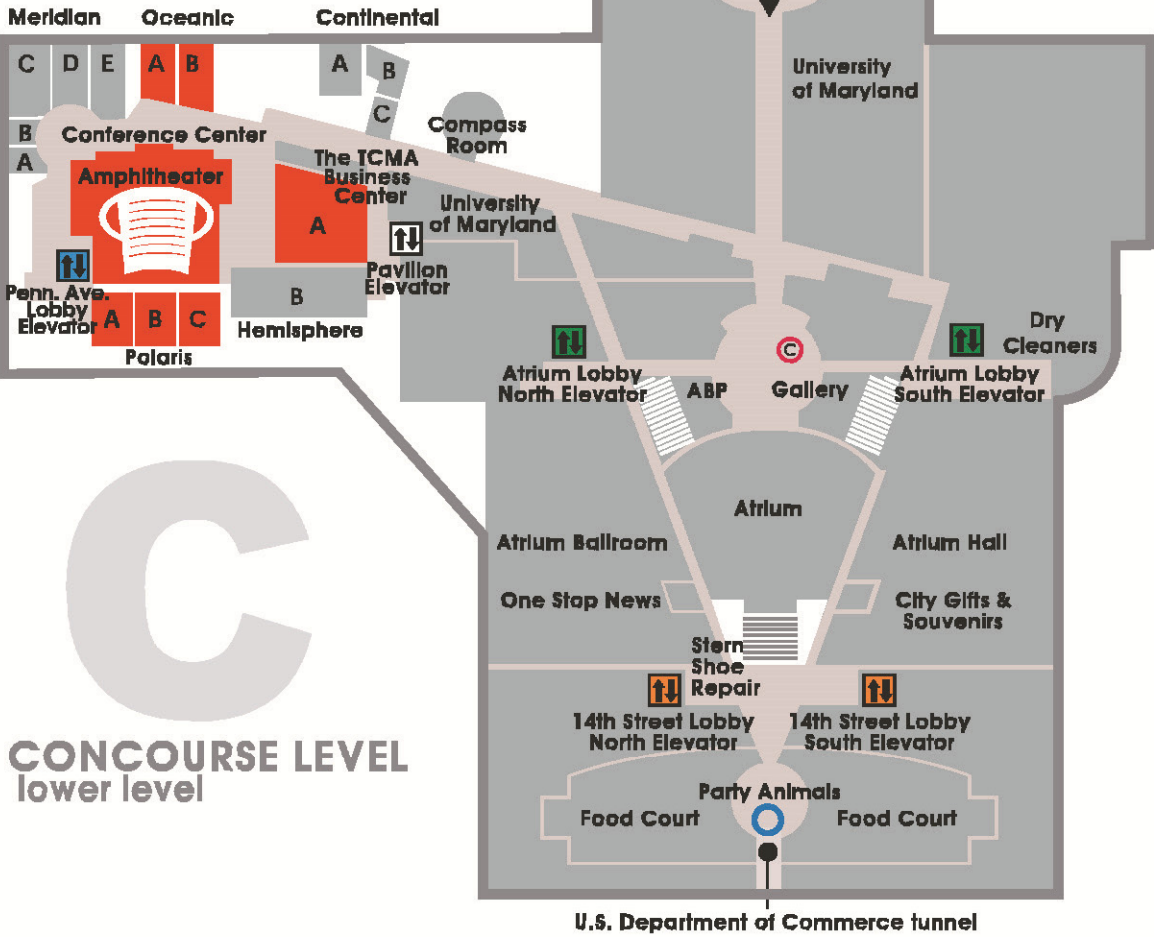
Dr. Brett M. Baker has provided exemplary financial management leadership to the audit and investigative communities within the federal government. He developed a first-in-government approach that uses data analytics and automated techniques to enhance oversight of grants and other financial assistance awards. His work has surfaced over \$2.5 billion in fraud, waste, and abuse, and has identified numerous opportunities to strengthen internal controls. In addition, as a key leader of the office of inspector general (OIG) community's Grant Reform Working Group, Dr. Baker played a critical role in ensuring that the grant reform effort retained strong internal controls over \$150 billion in financial assistance expenditures. He has worked closely with management officials and stakeholders across government, including congressional committees, OMB, and chief financial officer and OIG community leadership, to enhance visibility of awardee expenditures and improve internal controls.

Dr. Baker serves in many leadership positions throughout the federal government and the OIG community to promote improved oversight and the sharing of best practices. Dr. Baker has been chair of the Federal Audit Executive Council since 2011, providing direction to over 8,000 federal auditors. Dr. Baker is also a member of GAO's Green Book Advisory Council, GAO's Yellow Book Advisory Council, and the Federal Accounting Standards Advisory Board's Accounting and Audit Policy Committee. Dr. Baker is a certified public accountant, certified information systems auditor, and veteran of the U.S. Army Signal Corps.

The Federal Financial Management Conference offers 8 Continuing Professional Education (CPE) hours. The Government Accountability Office (GAO) is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of CPE on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN 37219-2417. [www.nasba.org](http://www.nasba.org)







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lower level

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# 2016 JFMIP Federal Financial Management Conference



	<b>Amphitheater</b>	<b>Hemisphere</b>	<b>Oceanic</b>	<b>Polaris</b>
8-9:20 am	Welcome, Color Guard, and Morning Plenary Session			Overflow from amphitheater
9:30-10:40 am	Federal Accountability and Information: The Past, Present, and Future	An Update on Government-Wide Improper Payments	A Case Study on Migrating a Cabinet-Level Agency to a Federal Shared Service Provider	Managing Federal Receivables: Collecting, Reporting, and Analytics
10:50 am-noon	DATA Act: Implementation Efforts, Accomplishments, and What's Next	Recruit, Train, and Retain: How to Attract and Cultivate the Next Generation of ...	Do Not Pay: Using Analytics to Help Agencies Prevent Improper Payments	Federal Accounting Standards Advisory Board Update
noon-1 pm	<b>LUNCH</b>			
1-2:20 pm	Scantlebury Award Presentation and Afternoon Plenary Session			Overflow from amphitheater
2:30-3:40 pm	Information Technology/ Federal Financial Management Systems in the Federal Government	Using the New Fraud Risk Management Framework	Value Chain: Unified Shared Services Management, Financial Management Line of Business Managing ...	The Ongoing Government-Wide Intragovernmental Elimination Effort and ...
3:50-5 pm	Continued Commitment to Auditability of the Financial Report of the U.S. Government	Implementing the New Standards for Internal Control in the Federal Government	What is the DATA Act Section 5 Grants Pilot?	Payments Innovation Case Study: Electronic Solutions Beyond Cards and the Automated ...

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