DFARS Case 2022-D023

Data Universal Number System to Unique Entity Identifier Transition

Final Rule

PART 204—ADMINISTRATIVE AND INFORMATION MATTERS

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SUBPART 204.11—SYSTEM FOR AWARD MANAGEMENT

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**204.1103 Procedures.**

See [PGI 204.1103](http://www.acq.osd.mil/dpap/dars/pgi/pgi_htm/PGI204_11.htm#204.1103) for helpful information on navigation and data entry in the System for Award Management (SAM) database.

(1) On contract award documents, use the contractor’s legal or “doing business as” name and physical address information as recorded in the ~~(~~SAM~~)~~ database at the time of award.

(2) When making a determination to exercise an option, or at any other time before issuing a modification other than a unilateral modification making an administrative change, ensure that—

(i) The contractor’s record is active in the SAM database; and

(ii) The contractor’s **[unique entity identifier (UEI)]**~~Data Universal Numbering System (DUNS~~) number, Commercial and Government Entity (CAGE) code, name, and physical address are accurately reflected in the contract document.

(3) At any time, if the **[UEI]**~~DUNS number~~, CAGE code, contractor name, or physical address on a contract no longer matches the information on the contractor’s record in the SAM database, the contracting officer shall process a novation or change-of-name agreement, or an address change, as appropriate.

(4) See [PGI 204.1103](http://www.acq.osd.mil/dpap/dars/pgi/pgi_htm/PGI204_11.htm#204.1103) for additional requirements relating to use of information in the SAM database.

(5) On contractual documents transmitted to the payment office, provide the CAGE code, instead of the **[UEI]**~~DUNS number or DUNS+4 number~~, in accordance with agency procedures.

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**PART 217—SPECIAL CONTRACTING METHODS**

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**SUBPART 217.2—OPTIONS**

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**217.207 Exercise of options.**

(c) In addition to the requirements at FAR 17.207(c), exercise an option only after:

(1) Determining that the contractor’s record in the System for Award Management database is active and the contractor’s **[unique entity identifier]**~~Data Universal Numbering System (DUNS) number~~, Commercial and Government Entity (CAGE) code, name, and physical address are accurately reflected in the contract document. See [PGI 217.207](https://www.acq.osd.mil/dpap/dars/pgi/pgi_htm/PGI217_2.htm#217.207) for the requirement to perform cost or price analysis of spare parts prior to exercising any option for firm-fixed-price contracts containing spare parts.

(2) Verifying in the Supplier Performance Risk System (SPRS) (<https://www.sprs.csd.disa.mil/>) that—

(i) The summary level score of a current NIST SP 800-171 DoD Assessment (i.e., not more than 3 years old, unless a lesser time is specified in the solicitation) for each covered contractor information system that is relevant to an offer, contract, task order, or delivery order are posted (see [204.7303](https://www.acq.osd.mil/dpap/dars/dfars/html/current/204_73.htm#204.7303)).

(ii) The contractor has a CMMC certificate at the level required by the contract, and that it is current (i.e., not more than 3 years old) (see [204.7502](https://www.acq.osd.mil/dpap/dars/dfars/html/current/204_75.htm#204.7502)).

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