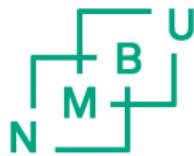


# Radiomics in Head and Neck Cancer

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December 5, 2018



# OUTLINE

## 1) Personalized cancer medicine:

- ▶ Radiomics: Motivation and principles

## 2) A head and neck cancer study:

- ▶ Comparing machine learning algorithms

*Personalized medicine:*

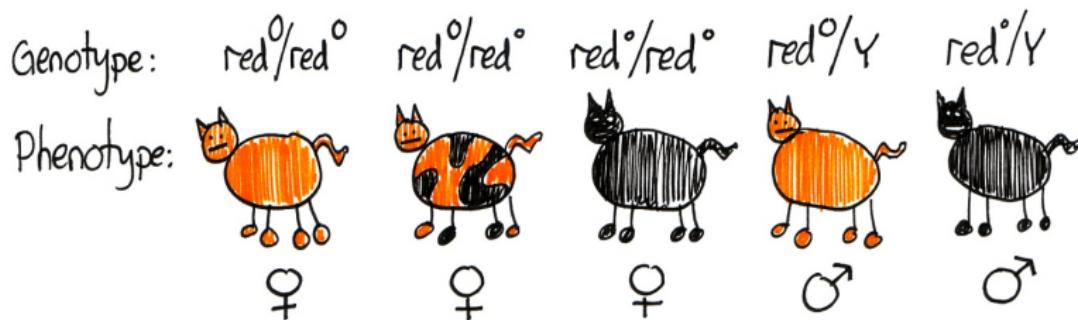
- ▶ Predict patient response → tailor therapies

*Impersonalized medicine:*



## Personalized medicine:

- ▶ Phenotypic differences in medical images



# Imaging for precision medicine:

## Characterization and quantification of disease stage

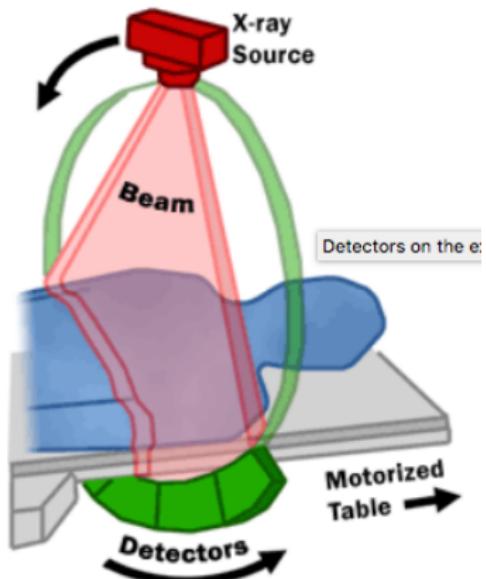
- ▶ Non-invasive
- ▶ Different modalities in clinical practice



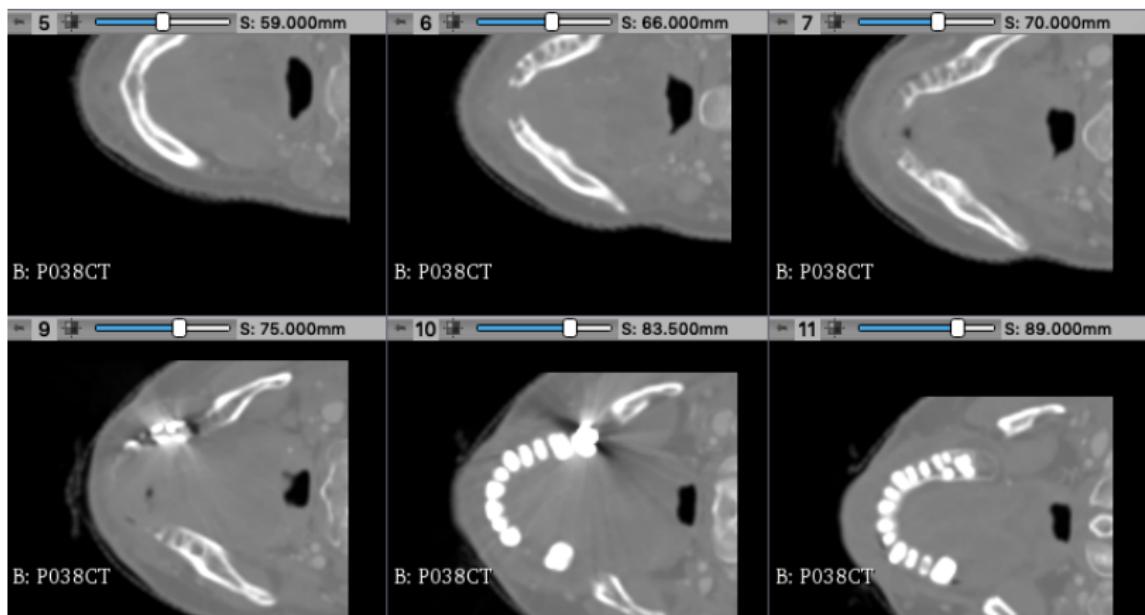
- ▶ Heterogenous aquisition protocols
- ▶ Qualitative not quantitative

## Computed Tomography (CT)

2

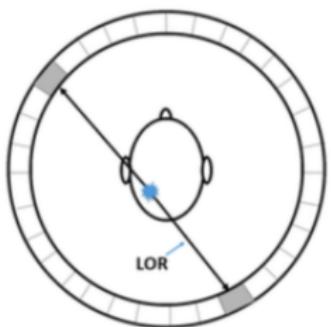


- ▶ Measure absorption of X-rays
- ▶ Displays bodily structures

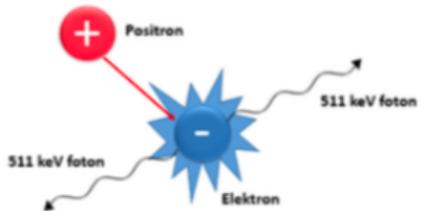


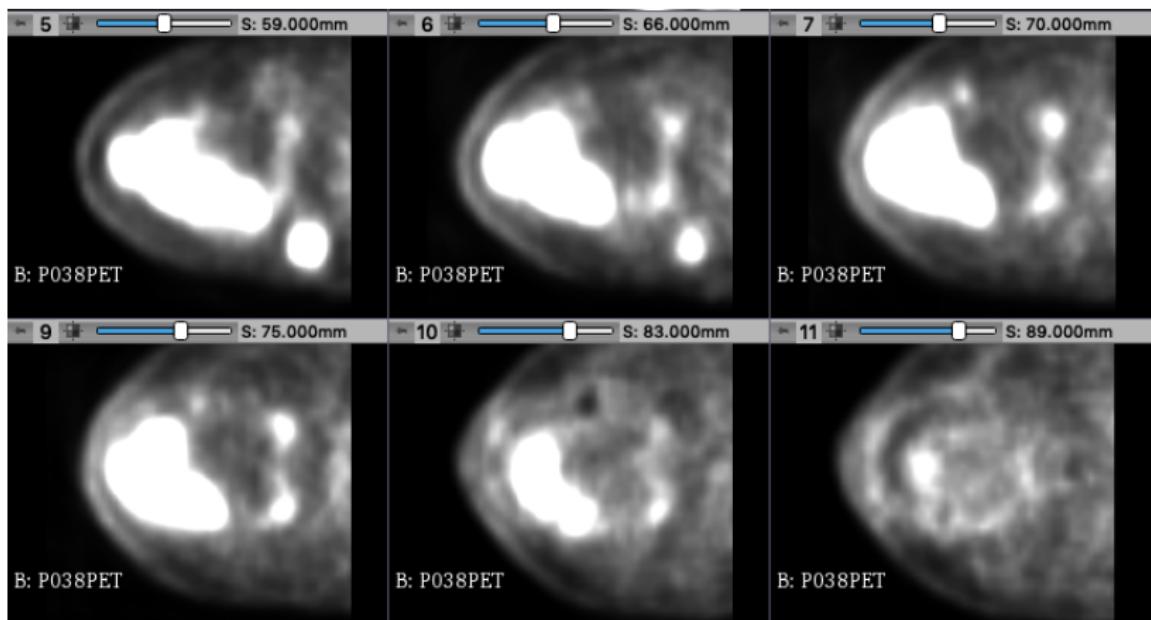
## Positron Emission Tomography (PET)

3

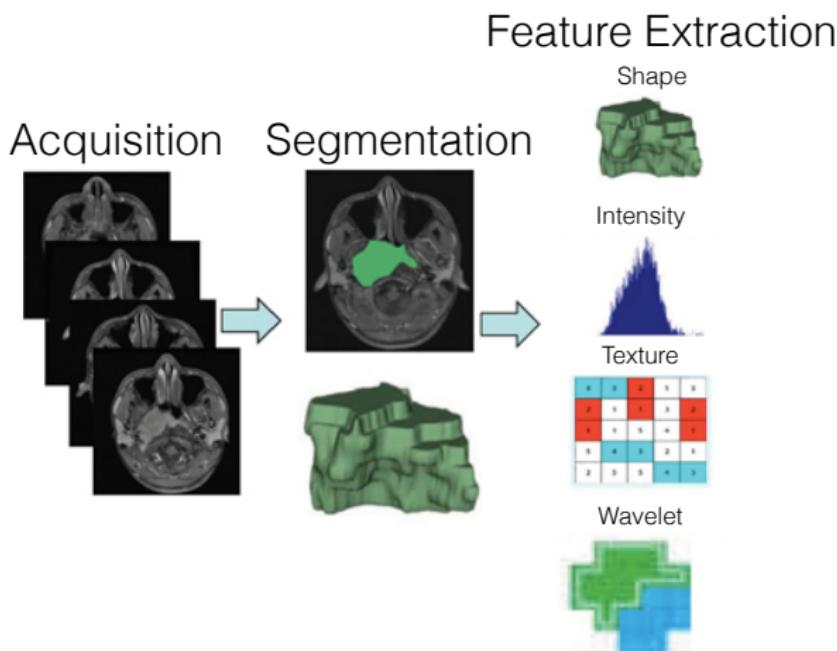


- ▶ Inject radioactive tracers
- ▶ Displays metabolic activity

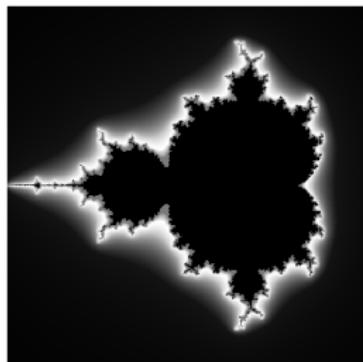




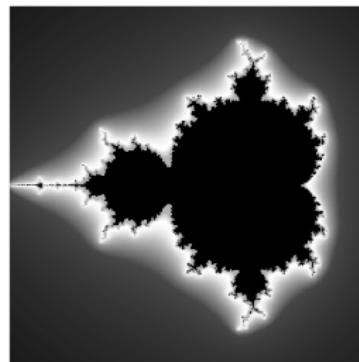
# RADIOMICS



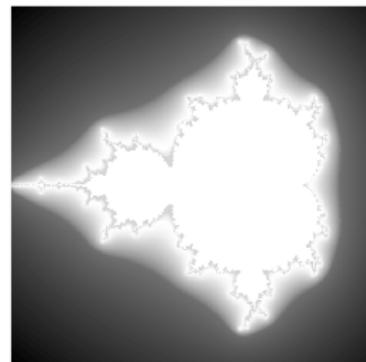
# FILTERING



Original

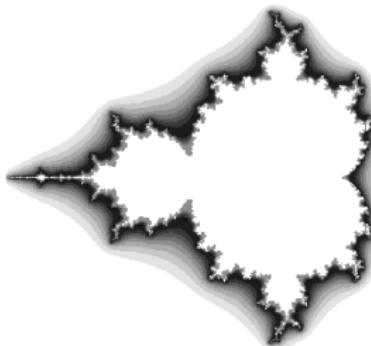
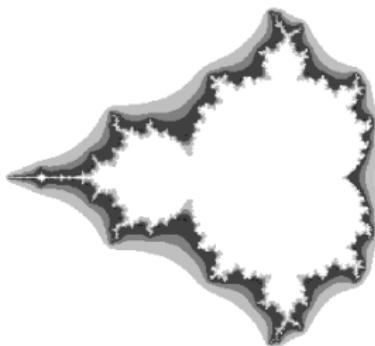
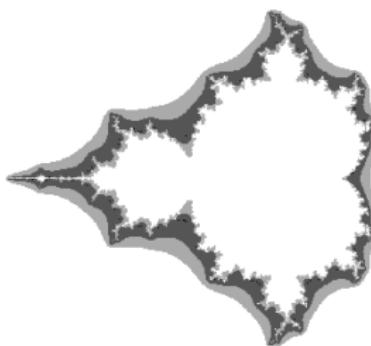
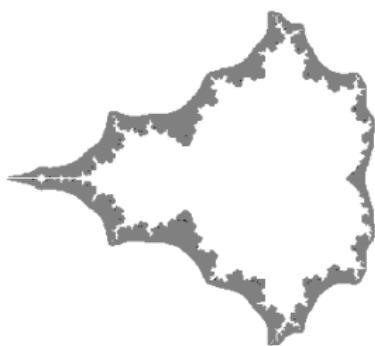


Squareroot



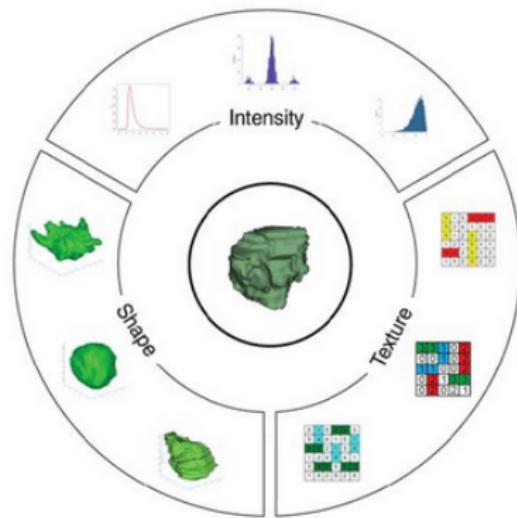
Logarithm

# DISCRETIZATION



# RADIOMICS

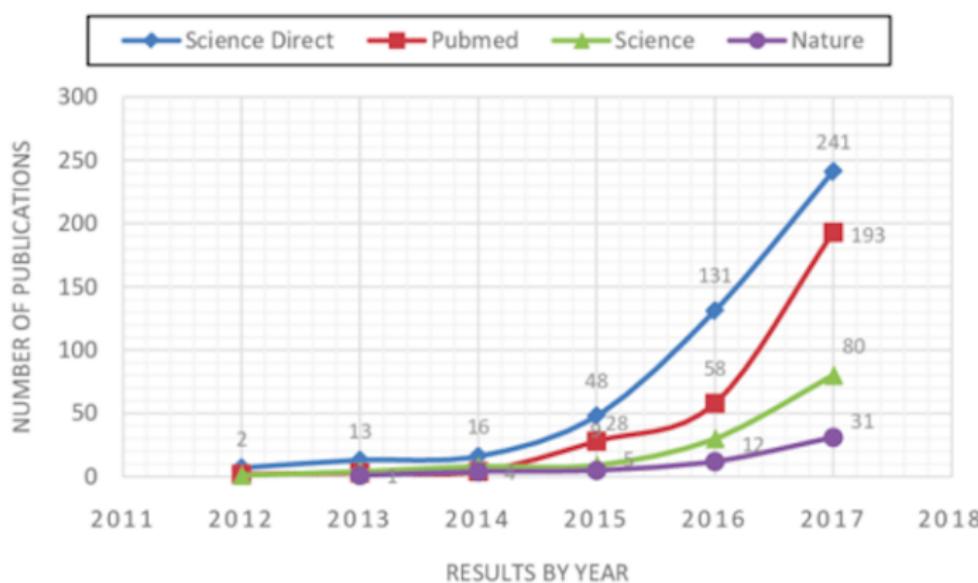
- ▶ Shape-based (16)
- ▶ First-order statistics (19)
- ▶ Texture (75)



<sup>5</sup>Zhang, Ouyang, & Gu et al (2017). Advanced nasopharyngeal carcinoma



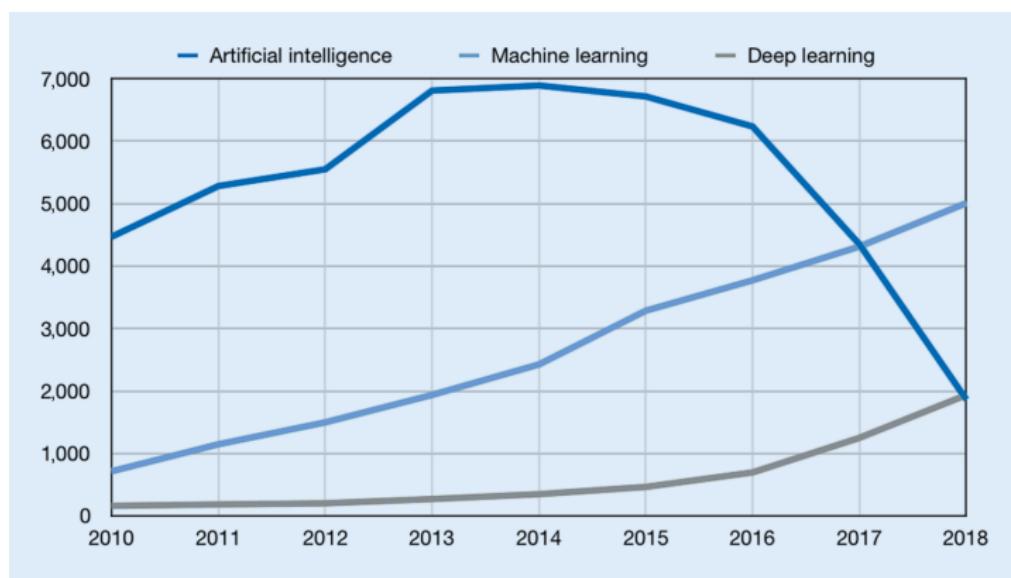
# RADIOMICS



Radiomic publications by year.<sup>6</sup>

<sup>6</sup>Florez, Edward & Fatemi et al (2018). Emergence of Radiomics

# RADIOMICS + AI

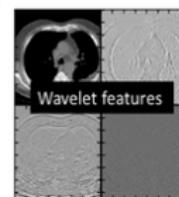
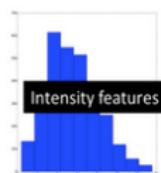


AI in PubMed publications by year.<sup>7</sup>

<sup>7</sup>Langs, Röhrich, Hofmanninger et al (2018). Radiologe

# RADIOMICS + AI

## Radiomic Features



Machine Learning



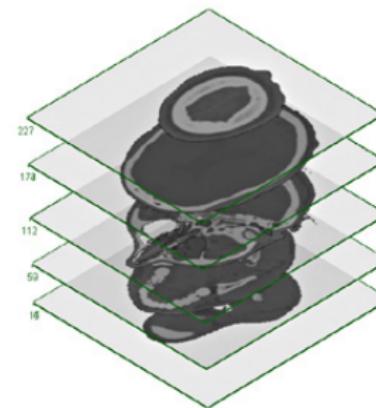
Treatment outcome

# CASE

**Goal:** Classify patients by radiotherapy response

**Data set:** 198 head and neck cancer patients

- ▶ Disease-free survival/locoregional relapse
- ▶ Clinical variables (sex, age, smoking habits, etc.)
- ▶ PET + CT stacks



# CASE

## Base study:

- ▶ Graylevel discretization (PET = 16 bins, CT = 128 bins)
- ▶ Square root transformation
- ▶ 4-fold nested cross-validation (CV)
  - ▶ PLSR, log reg (L2), LDA, QDA (AUC of 0.66)

## Parmar & Grossmann et al.: <sup>8</sup>

- ▶ 464 lung cancer patients (CT)
- ▶ 14 feature selection and 12 classification methods
- ▶ AUC of 0.68 with random forest
- ▶ Adopted hyperparameter settings from another study

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<sup>8</sup>Parmar, Grossmann et al. 2015. *Machine learning methods for quantitative radiomic biomarkers*

## The .632+ bootstrap method

- ▶ K-fold CV: nearly unbiased, but can be
  - ▶ highly variable
  - ▶ pessimistic
- ▶ Based on .632 estimator by Bradley Efron

$$\varepsilon_{.632} = 0.368\varepsilon_T + 0.632\varepsilon_V$$

Issue: Cannot handle overfitting

## The .632+ bootstrap method

- Improved: Efron strikes back (with Tibhirani)

$$\varepsilon_{.632+} = \omega \varepsilon_T + (1 - \omega) \varepsilon_V$$

- variable weighting

$$\omega = \frac{0.632}{1 - 0.368R(\gamma)}$$

$R$ : relative overfitting rate (degree of overfitting)

$\gamma$ : no-information error rate ( $\geq$  majority class)

## The .632+ bootstrap method

- ▶ relative overfitting rate

$$R = \frac{\varepsilon_T - \varepsilon_V}{\gamma - \varepsilon_V}$$

- ▶ No-information error rate (binary)

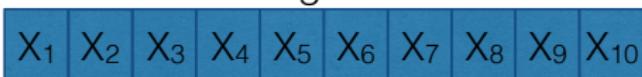
$$\gamma = p_1(1 - q_1) + (1 - p_1)q_1$$

$p_1$ : # observations = 1

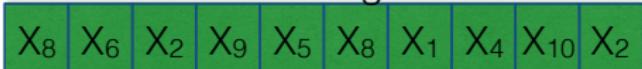
$q_1$ : # predictions = 1

# Bootstrap Out-of-Bag

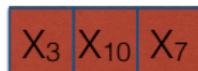
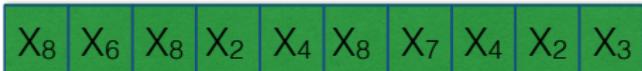
Original



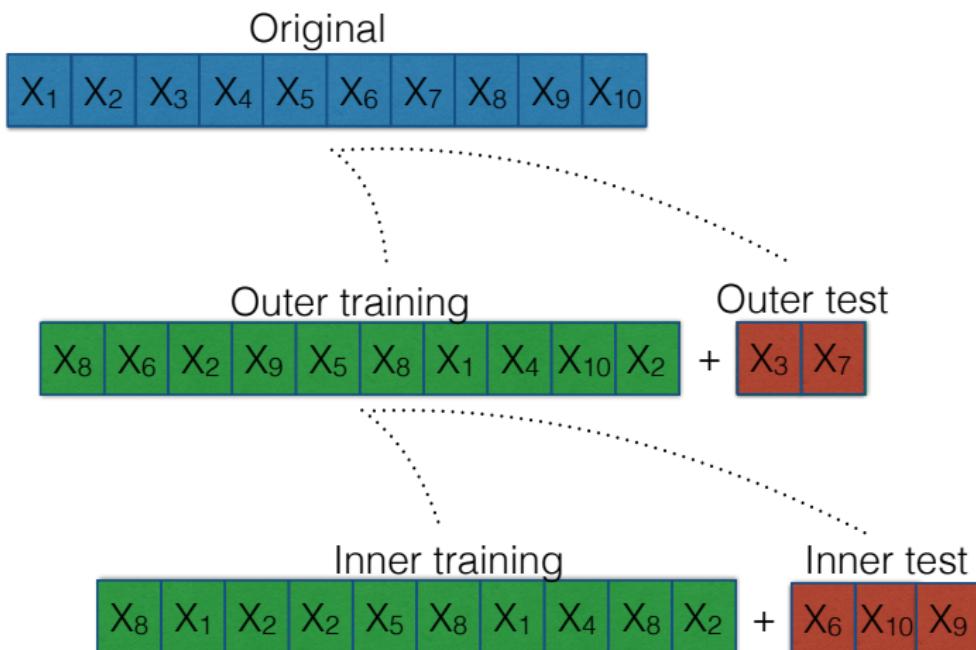
Training



Test



## Nested bootstrap Out-of-Bag



# EXPERIMENTS

## Preprocessing:

- ▶ Graylevel discretization (PET = 16 bins, CT = 128 bins)
- ▶ Square root transformation

## Feature extraction:

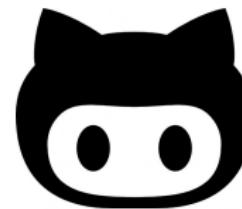
- ▶ 188 PyRadiomics image features
- ▶ 49 clinical variables (encoded)



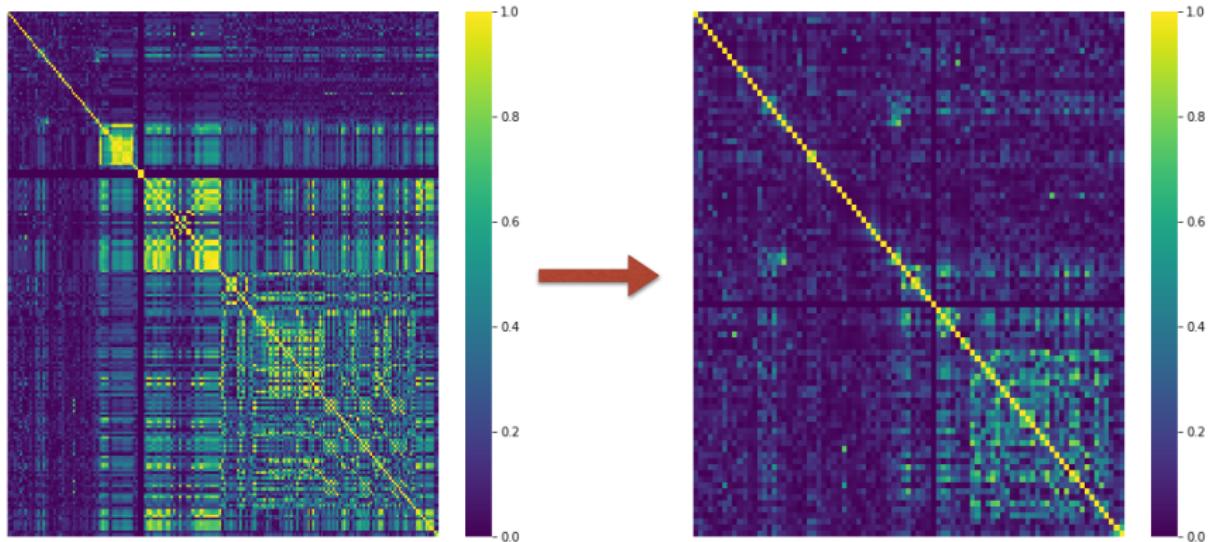
# EXPERIMENTS

**Postprocessing:** Remove unattainable + correlated features

- ▶ 37 PET textural features failed extraction (flat image regions)
- ▶ In total 87 features to analysis



## Correlations ( $\geq 0.85$ )



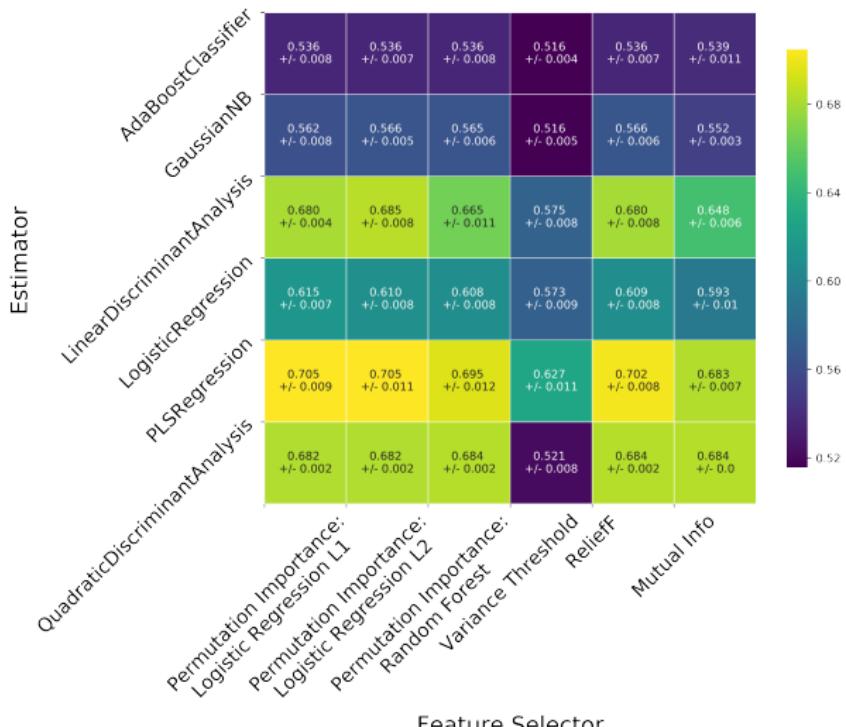
## Model comparisons

- ▶ 10 experimental parallels (DFS/LRR)
- ▶ 50 out-of-bag nested iterations
- ▶ Area Under Curve (TPR / FPR) based .632+ score

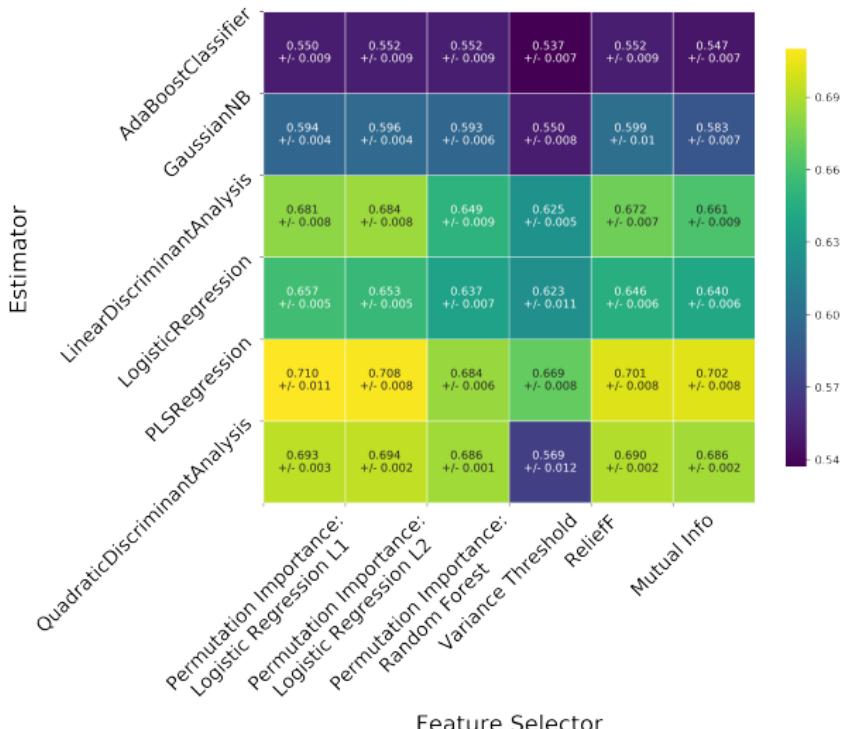
**Note:** majority of events

- ▶ Disease free survival: 0.67
- ▶ Locoregional relapse: 0.75

# Locoregional relapse



# Disease free survival



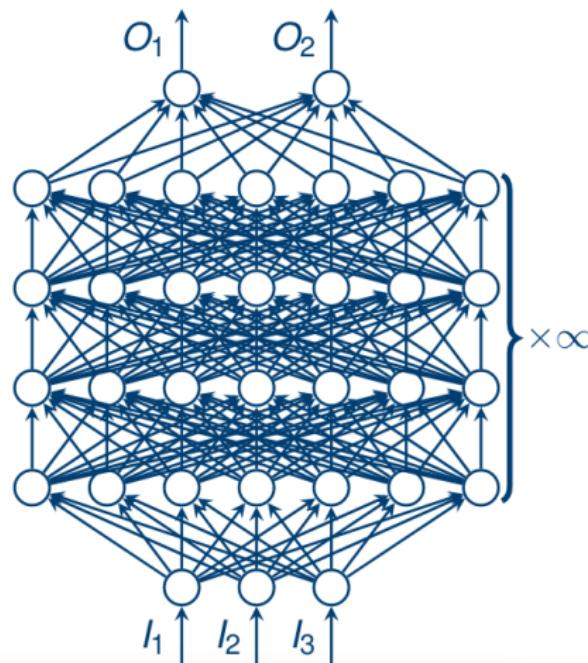
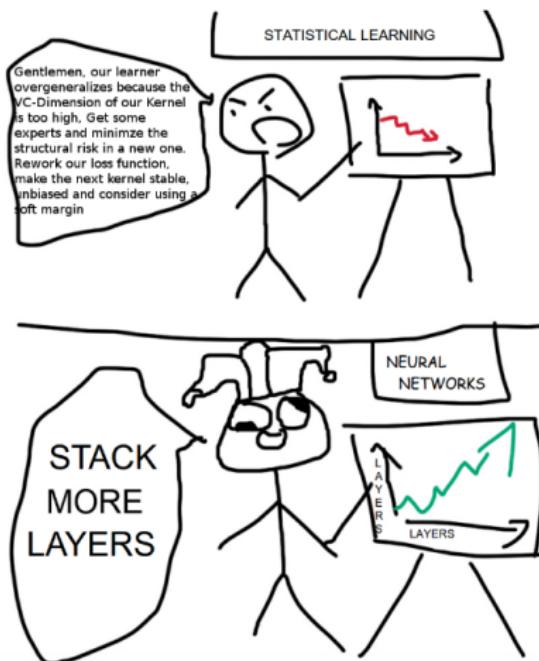
## Observations

- ▶ PLSR (best performing):
  - ▶ Disease free survival:  $0.67 < 0.710$ )
  - ▶ Locoregional relapse:  $0.75 > 0.705$ )
- ▶ Improved performance compared to base study (0.66)

## For the future

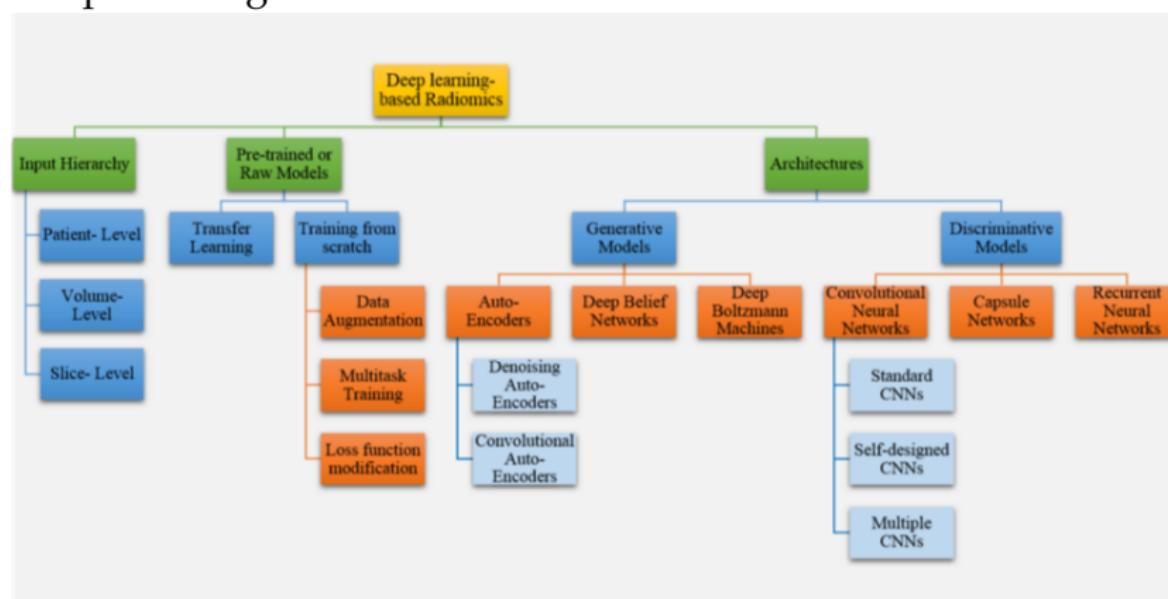
- ▶ Alternative image transformations
- ▶ Feature extraction/feature selection/Deep feature synthesis
- ▶ Increase model complexity (boosting/DL)

# SUMMARY



<sup>9</sup>[https://www.reddit.com/r/ProgrammerHumor/comments/5si1f0/machine\\_learning\\_approaches/](https://www.reddit.com/r/ProgrammerHumor/comments/5si1f0/machine_learning_approaches/)

# Deep learning in radiomics



## Feature reduction techniques

| Category     | Description                                                                                                                                                                                                                   | Methods                                                                                                                                                                                |
|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Supervised   | <p>Considers the relation of features with the class labels and features are selected mostly based on their contribution to distinguish classes.</p> <ul style="list-style-type: none"><li>• Filtering (Univariate)</li></ul> | Fisher score (FSCR); Wilcoxon rank sum test; Gini index (GINI); Mutual information feature selection (MIFS); Minimum redundancy maximum relevance (MRMR), and; Student $t$ -test [44]. |
|              | <p>Test the relation between the features and the class label one by one.</p> <ul style="list-style-type: none"><li>• Wrapper (Multivariate)</li></ul>                                                                        | Greedy forward selection, and Greedy backward elimination.                                                                                                                             |
| Unsupervised | <p>Does not consider the class labels and its objective is to remove redundant features.</p> <ul style="list-style-type: none"><li>• Linear</li></ul>                                                                         | Principle Component Analysis (PCA), and; Multidimensional scaling (MDS)                                                                                                                |
|              | <p>Features have linear correlations.</p> <ul style="list-style-type: none"><li>• Nonlinear</li></ul>                                                                                                                         | Isometric mapping (Isomap), and; Locally linear embedding (LLE).                                                                                                                       |
|              | <p>Features are not assumed to be lied on a linear space.</p>                                                                                                                                                                 |                                                                                                                                                                                        |

<sup>11</sup>Afshar, Parnian, et al. "From Hand-Crafted to Deep Learning-based Cancer Radiomics. (2018).

# .632+ ESTIMATOR

The no information rate in a dichotomous classification problem

$$\gamma = p(1 - q) + (1 - p)q$$

- ▶  $p$ : Proportion of responses  $y_i = 1$ .
- ▶  $q$ : Proportion of predictions  $\hat{y}_j = 1$ .

# .632+ ESTIMATOR

The relative overfitting rate, R

$$R = \frac{\epsilon(V) - \epsilon(T)}{\gamma - \epsilon(T)}$$

- ▶ No overfitting:  $\epsilon(V) = \epsilon(T) \Rightarrow R = 0$
- ▶ Overfitting equal to no information value  
 $\gamma - \epsilon(T) \Rightarrow R = 1.$

# .632+ ESTIMATOR

Alternative .632+

$$\varepsilon_{.632+} = \varepsilon_{.632} + (\bar{\varepsilon}_r - \varepsilon_V) \frac{0.367(1 - 0.367)\bar{R}}{1 - 0.367\bar{R}}$$

where  $\bar{\varepsilon}_T = \min \{\varepsilon_T, \gamma\}$ , and  $\bar{R} = \varepsilon_T$  if  $\gamma > \varepsilon_V$ , else 0.

# MODEL COMPARISONS

- ▶ Logistic regression
- ▶ Partial least squares regression
- ▶ Gaussian Naive Bayes classifier
- ▶ Linear discriminant classifier
- ▶ Quadratic discriminant classifier
- ▶ Adaboost classifier

# MODEL COMPARISONS

- ▶ Variance threshold
- ▶ ReliefF (feature ranking approach)
- ▶ Mutual information (entropy measure)
- ▶ L1 or L2 regularized logistic regression permutation importance
- ▶ Random forest permutation importance