

# EXPECTATION-BASED SEMANTICS IN LANGUAGE COMPREHENSION

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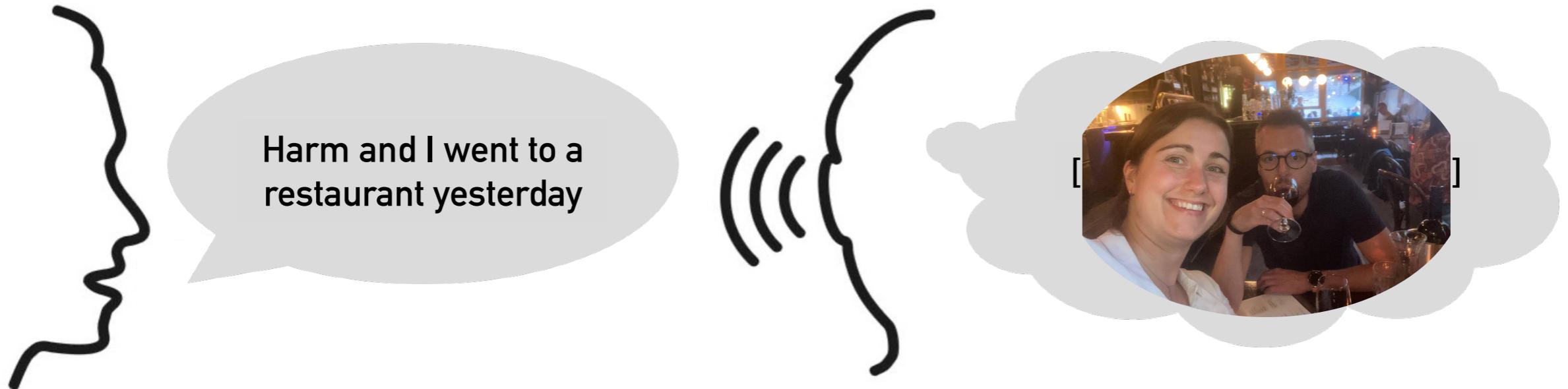
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# SEMANTICS IN HUMAN LANGUAGE COMPREHENSION

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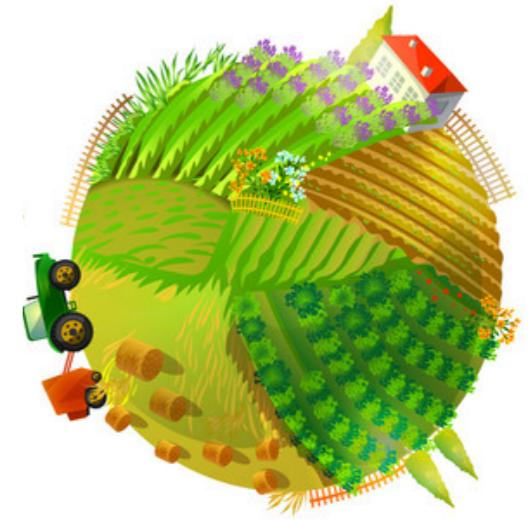
**Big Q:** What does this mental meaning representation look like?

Representation of mental meaning should be:

- *expressive and compositional*: compose logically complex meanings
- *graded and inferential*: probabilistic inferences beyond literal meaning
- *incremental*: word-by-word construction of meaning
- *neurally plausible*: allow for implementation in neural hardware

# FROM FORMAL MODELS TO MEANING SPACE

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...

$$M_1 = \langle U_1, V_1 \rangle$$

$$p_1 \wedge \neg p_2 \wedge p_3 \wedge \dots$$

$$M_2 = \langle U_2, V_2 \rangle$$

$$\neg p_1 \wedge p_2 \wedge p_3 \wedge \dots$$

$$M_3 = \langle U_3, V_3 \rangle$$

$$\neg p_1 \wedge p_2 \wedge \neg p_3 \wedge \dots$$

$$M_n = \langle U_n, V_n \rangle$$

$$\neg p_1 \wedge \neg p_2 \wedge \neg p_3 \wedge \dots$$

- Individual models describe states-of-affairs over all propositions in  $\mathcal{P}$
- The set of models  $\mathcal{M}_{\mathcal{P}}$  defines a meaning space
- Propositional meaning defined by co-occurrence across models  
( $\sim$  world knowledge)

# DISTRIBUTIONAL FORMAL SEMANTICS

*propositional meaning vectors*

	$p_1$	$p_2$	$p_3$	$p_4$	$\vdots$
$M_1$	1	1	0	0	...
$M_2$	1	0	0	1	...
$M_3$	0	1	0	1	...
$M_4$	1	1	1	1	...
$M_5$	0	1	0	0	...
...	...	...	...	...	...

$$[\![p_j]\!]^{\mathcal{M}} := v(p_j)$$

where:  $v_i(p_j) = 1$  iff  $M_i \models p_j$

- **Incremental inference-based probabilistic sampling:** Based on a set of propositions  $\mathcal{P}$ , we sample a set of models  $\mathcal{M}_{\mathcal{P}}$ —taking into account hard and probabilistic world knowledge constraints
- **Co-occurrence defines meaning:** Propositions with related meanings are true in many of the same models, resulting in similar meaning vectors

# THE DISTRIBUTIONAL HYPOTHESIS REVISITED

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“

You shall know a word  
by the company it keeps

- *J. R. Firth (1957)*

# THE DISTRIBUTIONAL HYPOTHESIS REVISITED

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“

You shall know a ~~word~~ *proposition*  
by the company it keeps (*in the world*)

- J. R. Firth (1957)

# MEANING VECTOR COMPOSITION

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Meaning vectors can be combined to define compositional meanings

- Standard logical operators interpreted as in model-theory

$$v_i(\neg p) = 1 \quad \text{iff } M_i \not\models p$$

$$v_i(p \wedge q) = 1 \quad \text{iff } M_i \models p \text{ and } M_i \models q$$

... etc.

- Quantification is defined relative to the combined universe of  $\mathcal{M}_P$ :  $\mathcal{U}_{\mathcal{M}} = \{e_1 \dots e_m\}$  (thereby preserving entailment in  $\mathcal{M}_P$ )

$$v_i(\forall x \varphi) = 1 \quad \text{iff } M_i \models \varphi[x|e_1] \wedge \dots \wedge \varphi[x|e_m]$$

$$v_i(\exists x \varphi) = 1 \quad \text{iff } M_i \models \varphi[x|e_1] \vee \dots \vee \varphi[x|e_m]$$

# PROBABILITIES IN THE MEANING SPACE

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All (sub-)propositional meaning vectors inherently encode (co-)occurrence probabilities

- Prior probability of meaning vector  $a$

$$P(a) = \frac{1}{|\mathcal{M}|} \sum_i \vec{v}_i(a)$$

- Conjunction probability between  $a$  and  $b$

$$P(a \wedge b) = \frac{1}{|\mathcal{M}|} \sum_i \vec{v}_i(a) \vec{v}_i(b)$$

- Conditional probability of  $a$  given  $b$

$$P(a|b) = \frac{P(a \wedge b)}{P(b)}$$

	$p_1$	$p_2$	$p_3$	$p_4$	
$M_1$	1	1	0	0	...
$M_2$	1	0	0	1	...
$M_3$	0	1	0	1	...
$M_4$	1	1	1	1	...
	0	1	0	0	...
	...	...	...	...	...

# QUANTIFYING PROBABILISTIC INFERENCE

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Probabilistic logical inference of meaning vector  $a$  given  $b$

$$\text{inference}(a,b) = \begin{cases} [P(a|b) - P(a)] / [1 - P(a)] & \text{if } P(a|b) > P(a) \\ [P(a|b) - P(a)] / P(a) & \text{otherwise} \end{cases}$$

- $P(a|b) > P(a)$ : Positive inference ( $b$  increases probability of  $a$ )

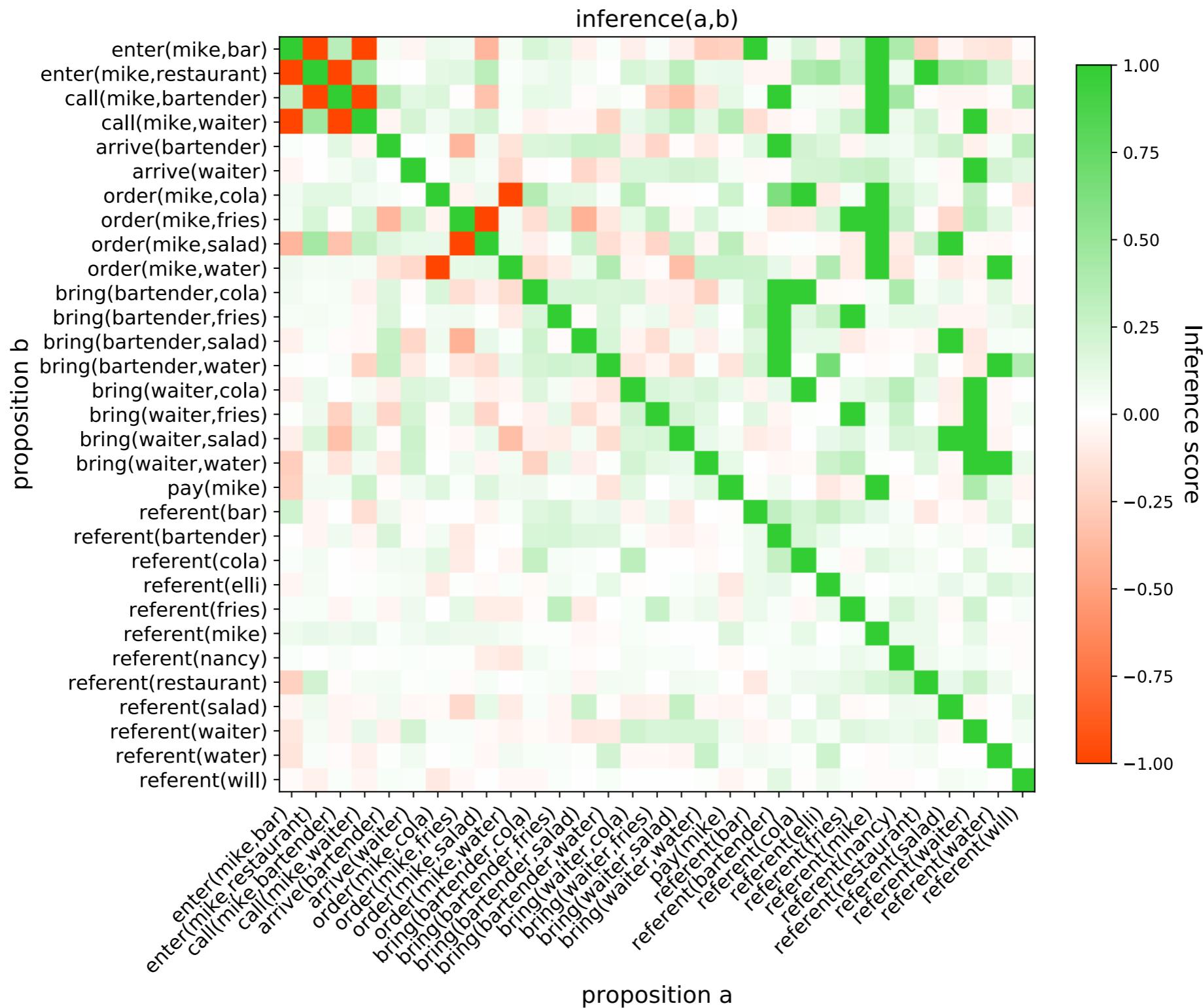
$$\text{inference}(a,b) = 1 \Leftrightarrow b \vDash a$$

- $P(a|b) \leq P(a)$ : Negative inference ( $b$  decreases probability of  $a$ )

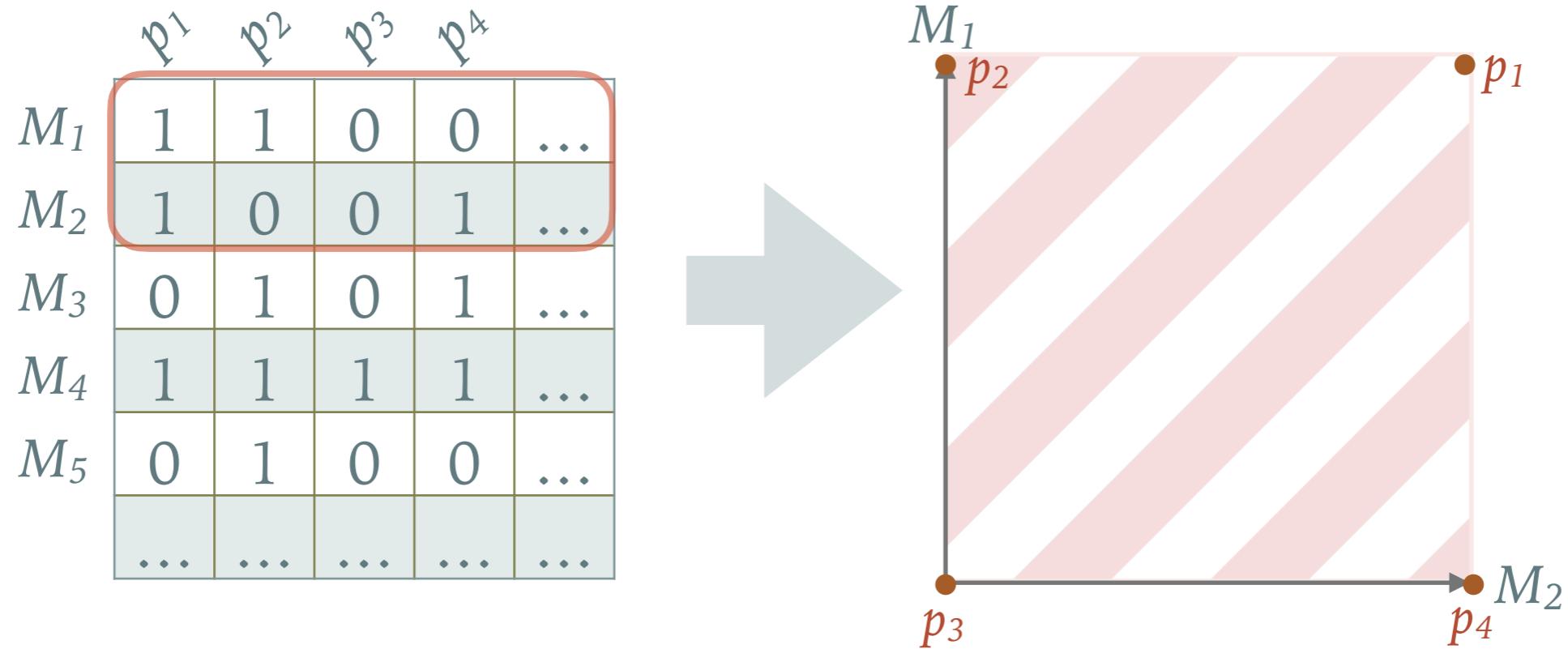
$$\text{inference}(a,b) = -1 \Leftrightarrow b \vDash \neg a$$

# WORLD KNOWLEDGE IN THE MEANING SPACE

We sampled a meaning space of 150 models describing 51 propositions

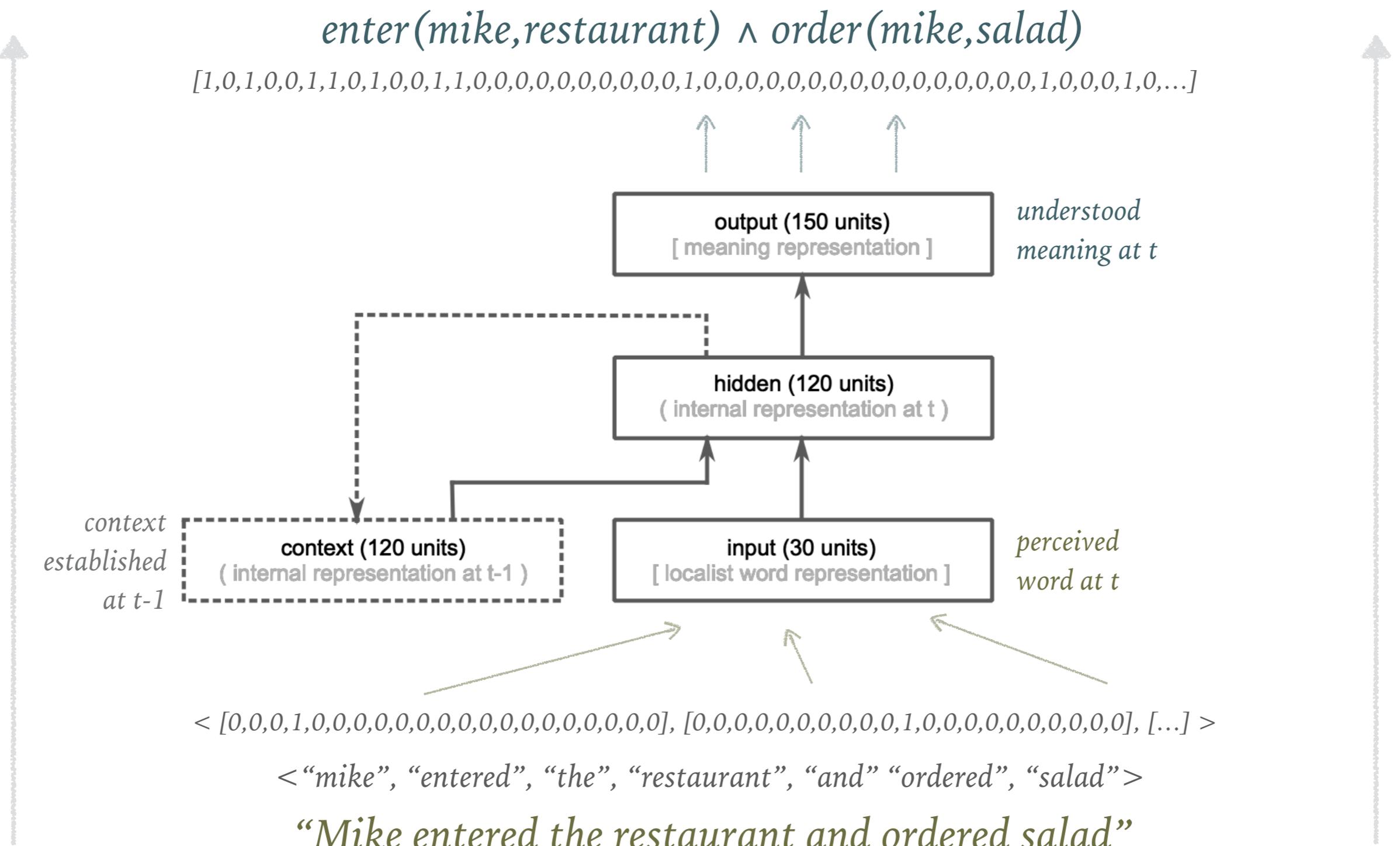


# CONTINUOUS NATURE OF THE MEANING SPACE



- Each point in the meaning space can be interpreted relative to  $\mathcal{M}_P$ 
  - Binary vectors: propositional meanings (simple or complex)
  - Real-valued vectors: sub-propositional meanings
- Sub-propositional meaning derives from incremental mapping from (sequences of) words to proposition-level meanings

# A MODEL OF INCREMENTAL MEANING CONSTRUCTION

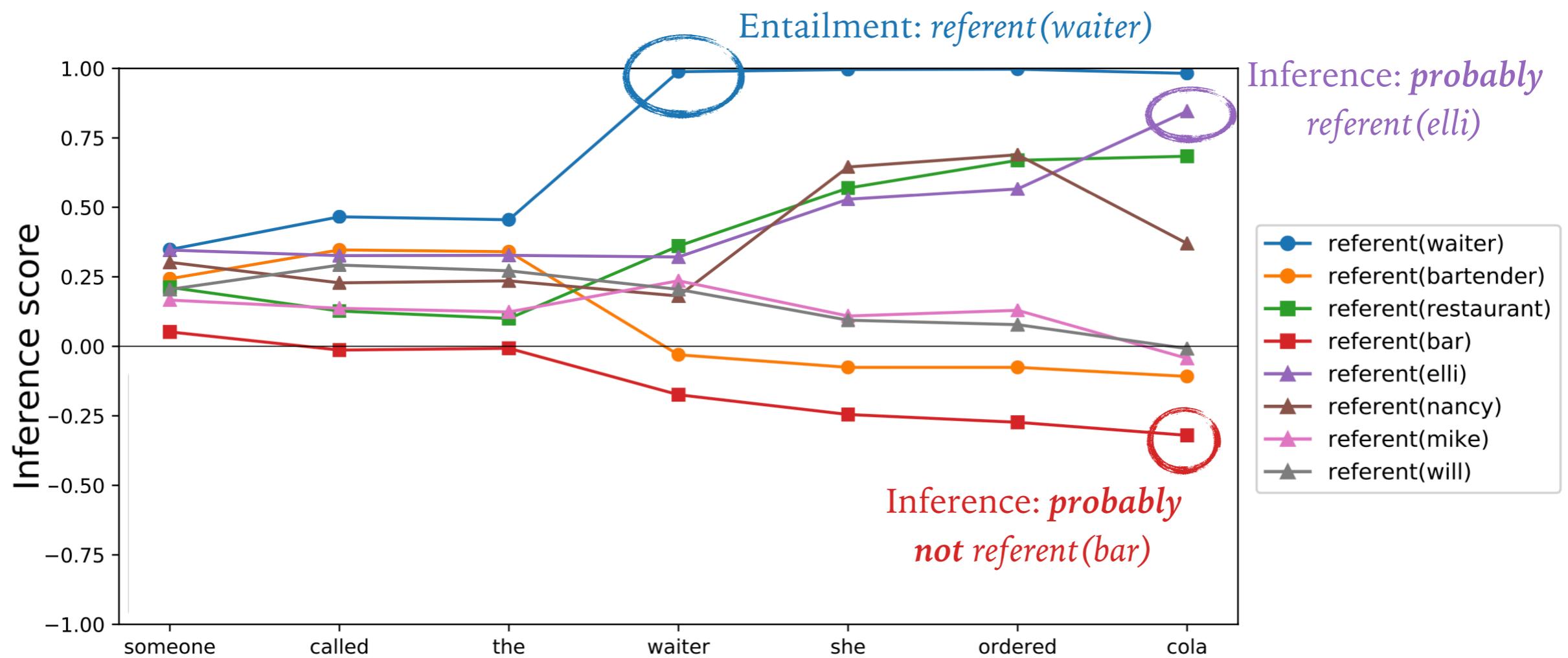


# ENTAILMENT AND INFERENCE

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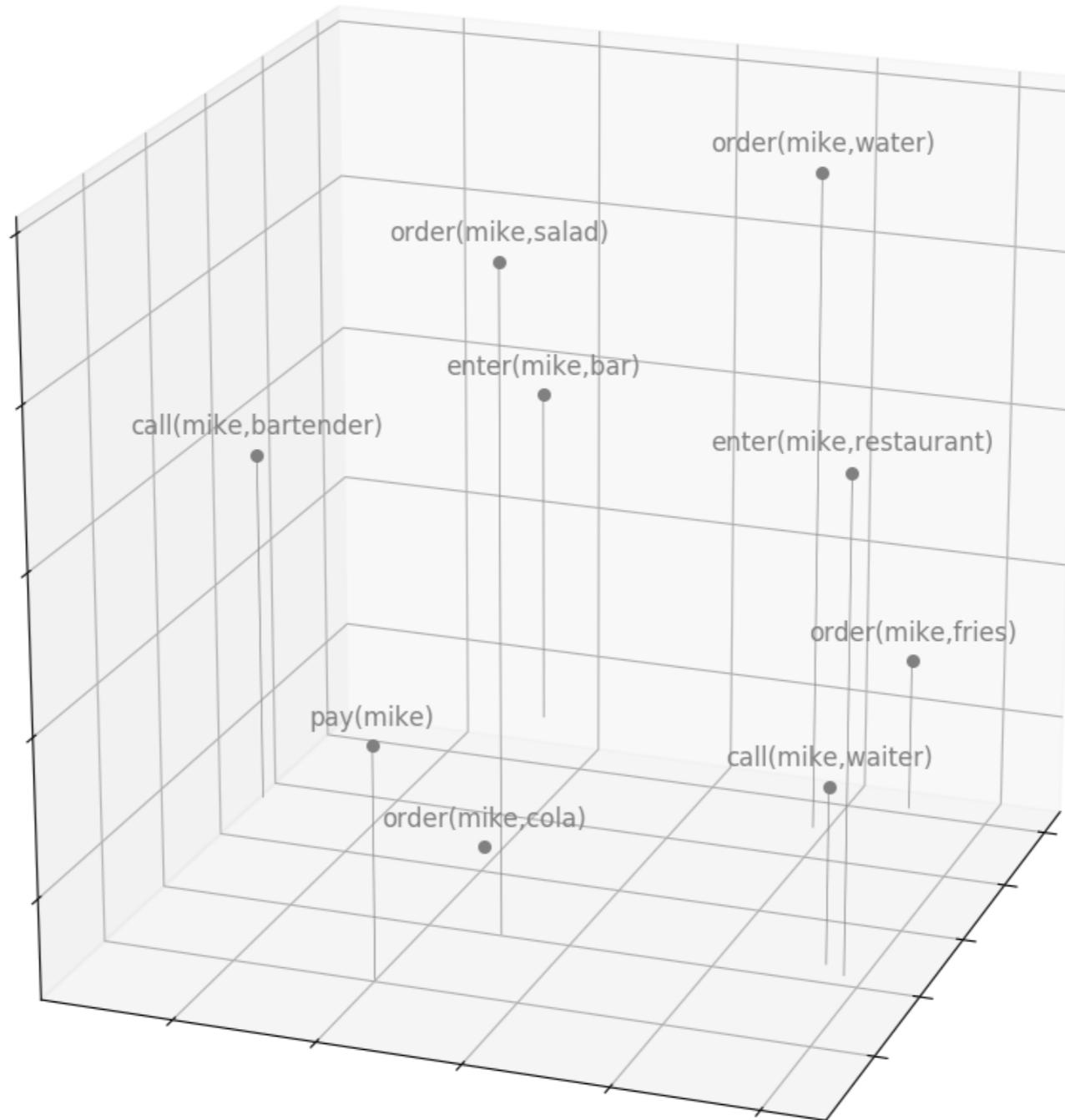
Incremental meaning construction in the model is driven by:

- Sentence-semantics mappings (**linguistic experience**)
- Structure of the meaning space (**world knowledge**)



# MEANING SPACE NAVIGATION

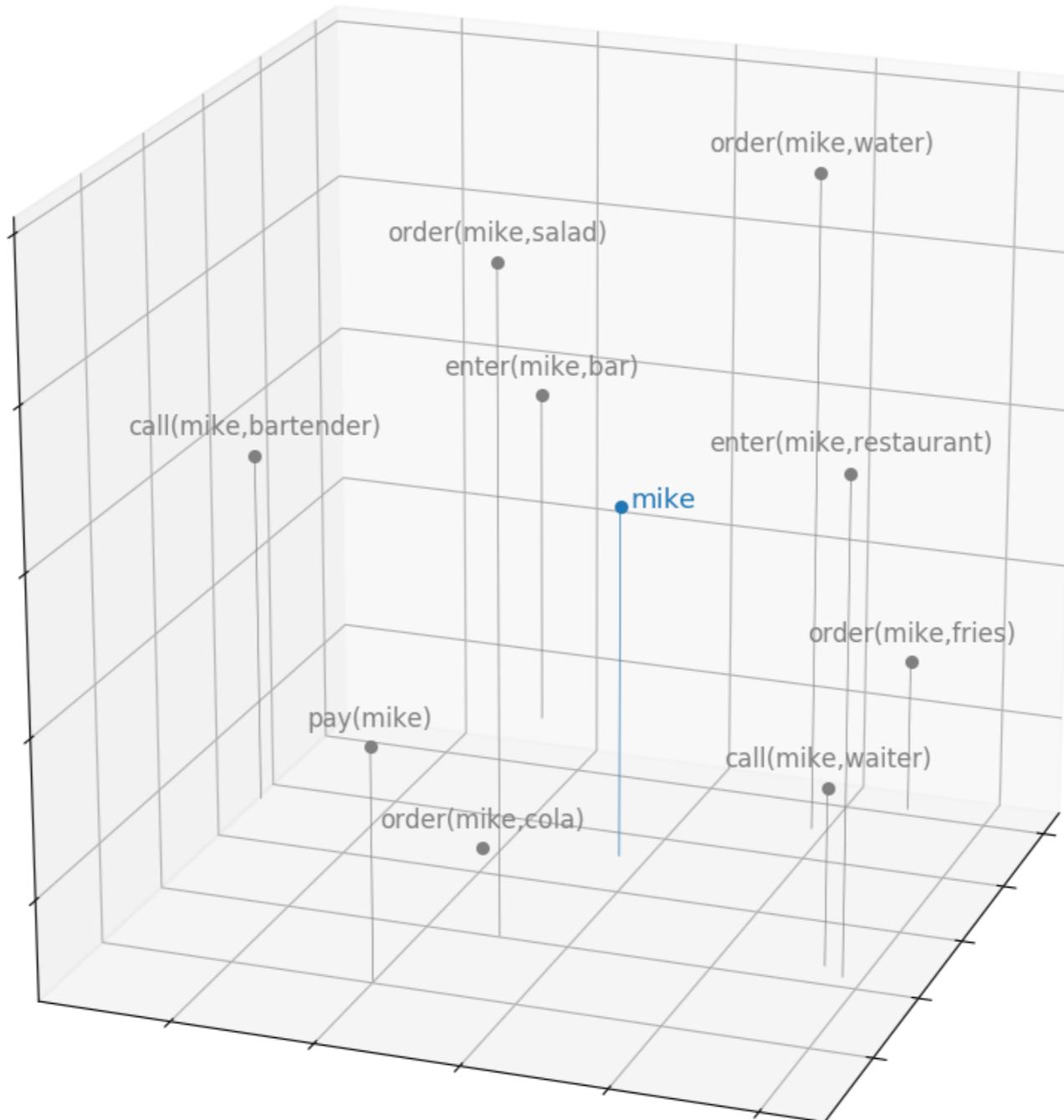
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- 3-Dimensional representation of 150-D meaning space (MDS)

# MEANING SPACE NAVIGATION

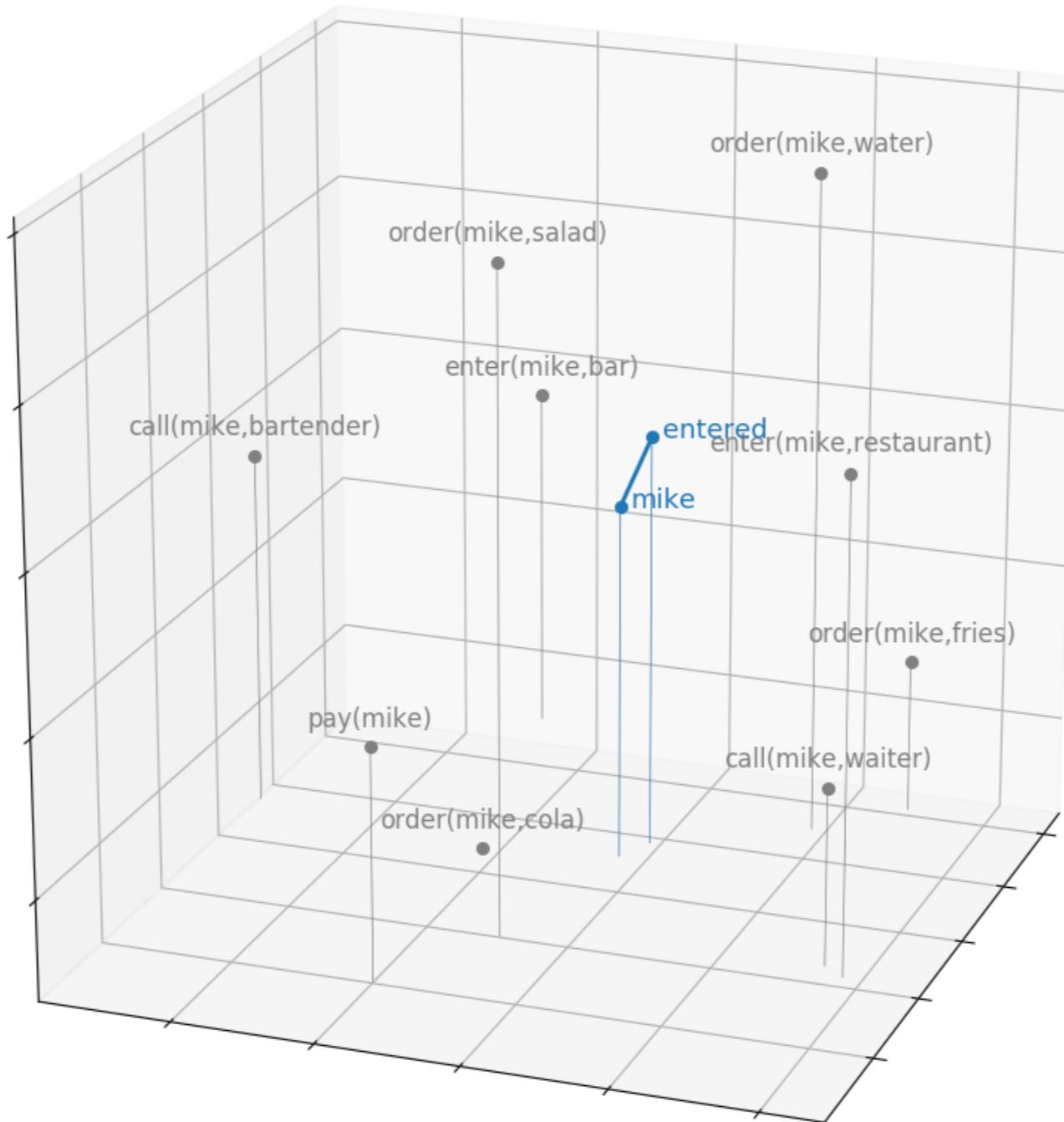
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- Model-derived meaning of “Mike”

# MEANING SPACE NAVIGATION

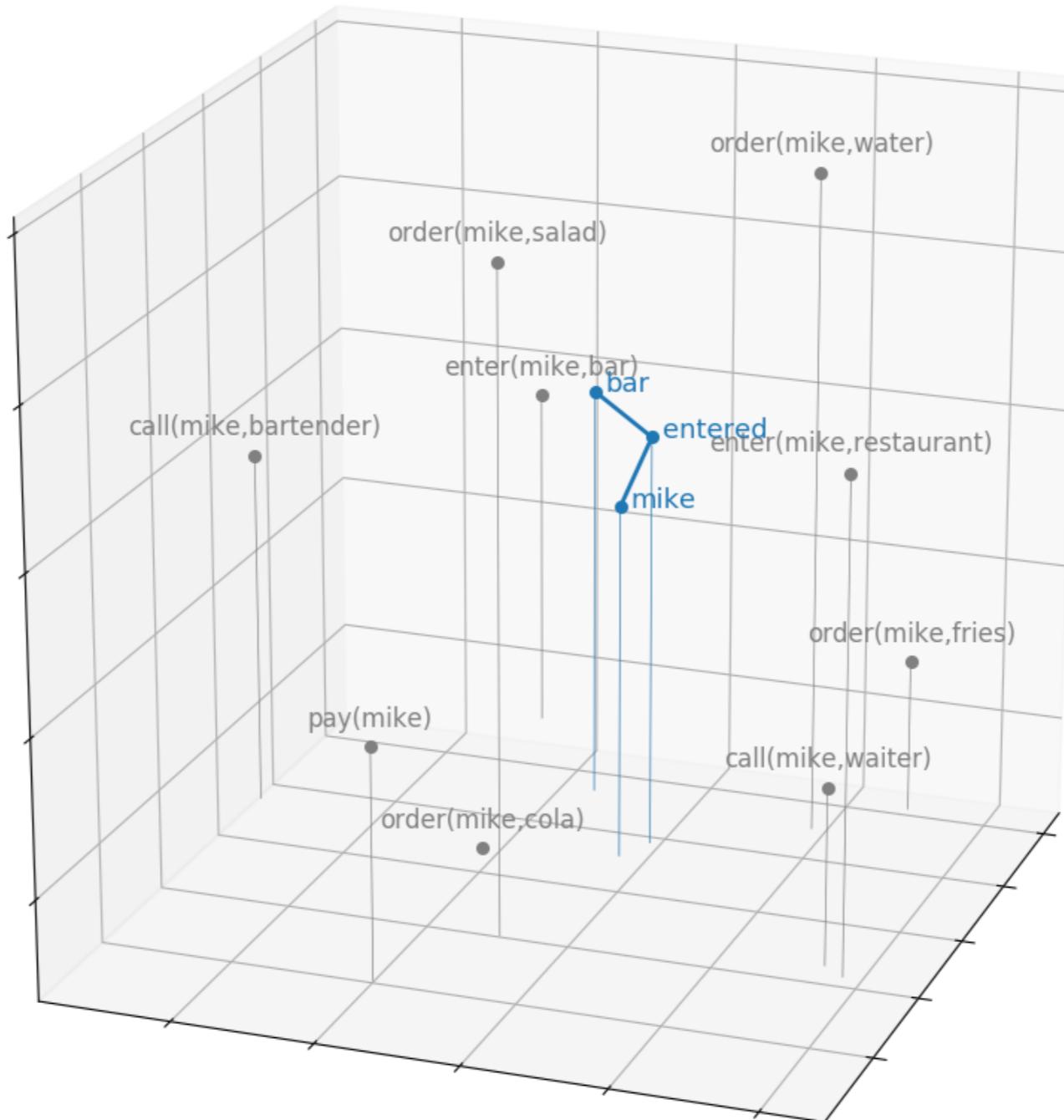
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- Model-derived meaning of “Mike entered”

# MEANING SPACE NAVIGATION

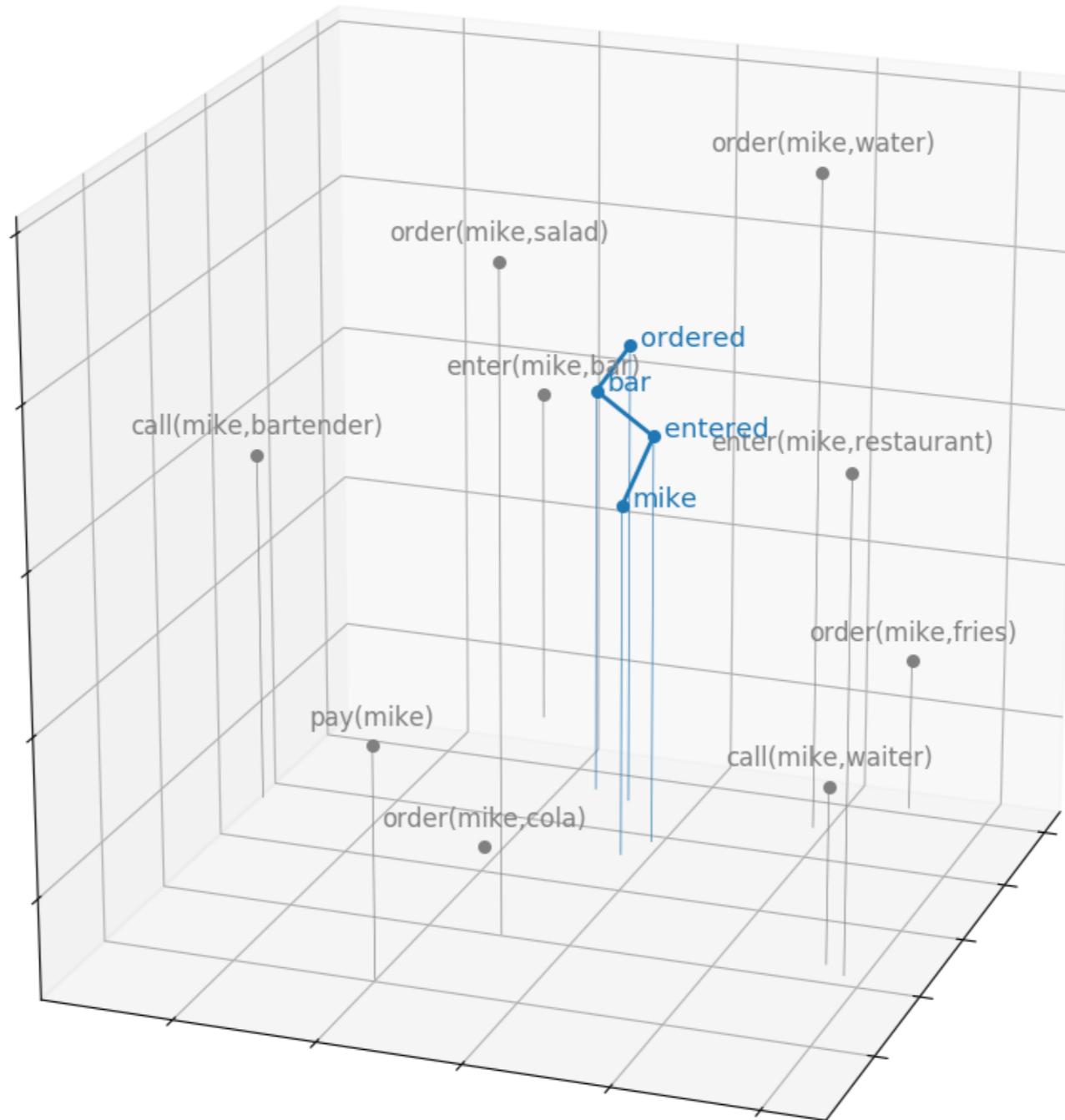
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- Model-derived meaning of “Mike entered the bar”

# MEANING SPACE NAVIGATION

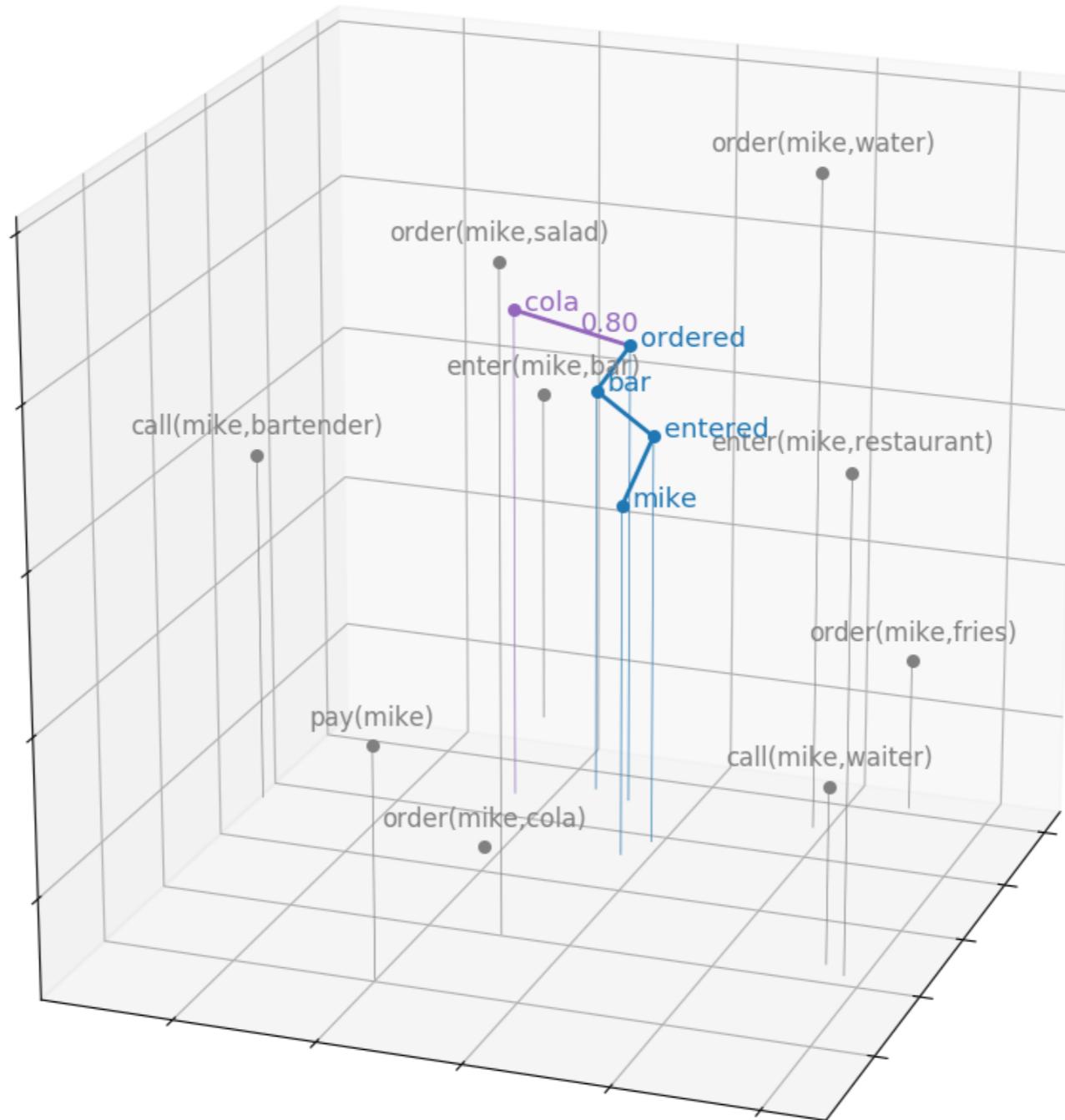
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- Model-derived meaning of “Mike entered the bar [...] he ordered”

# MEANING SPACE NAVIGATION

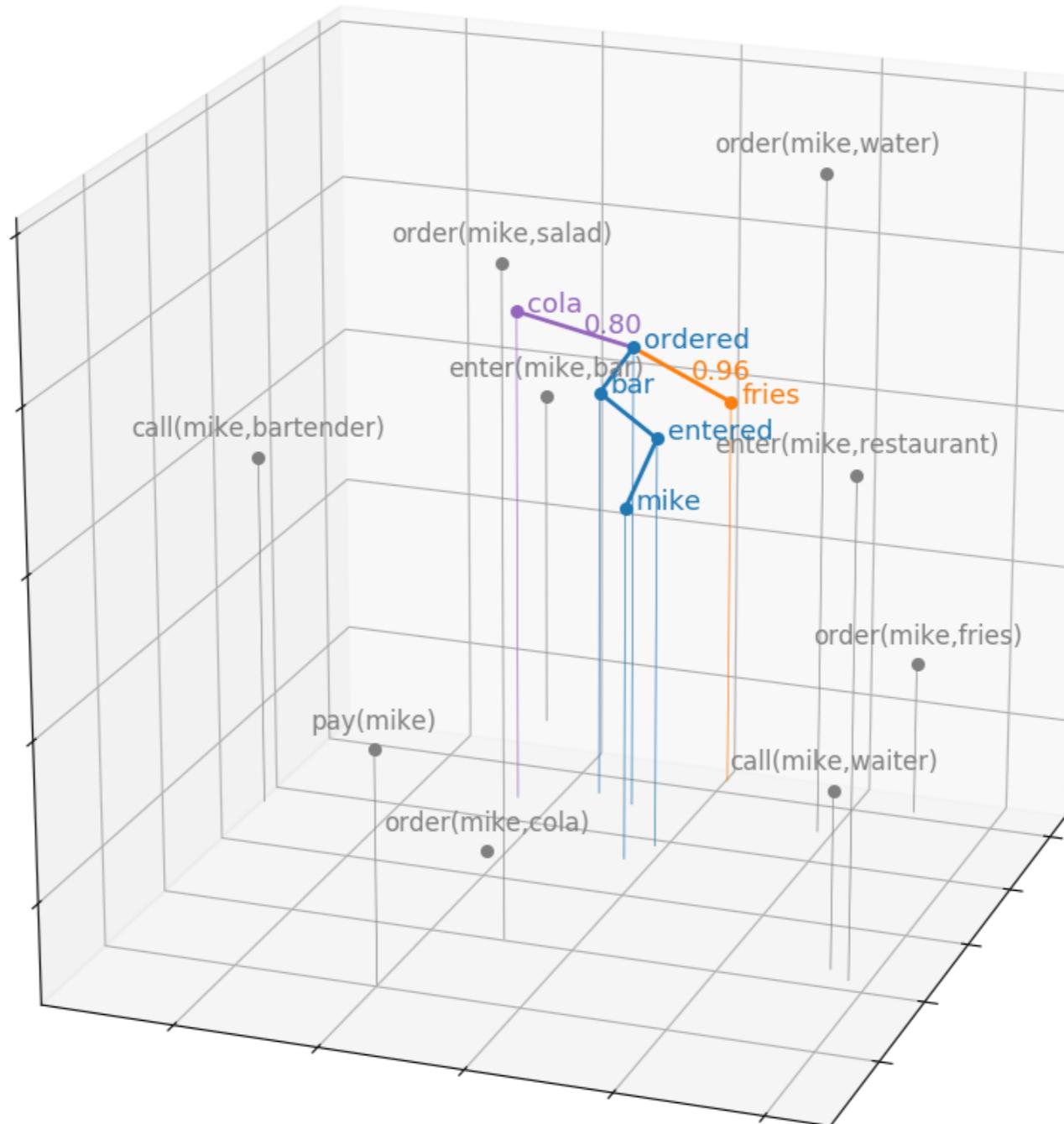
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- Model-derived meaning of “Mike entered the bar [...] he ordered cola”
- Transition in meaning space quantifies expectancy of the continuation

# MEANING SPACE NAVIGATION

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- Model-derived meaning of “Mike entered the bar [...] he ordered fries”
- Larger (more surprising) transition reflects less expected continuation

# INFORMATION THEORY IN DFS

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Probabilistic nature of meaning space allows for defining formal notion of *information* (Shannon, 1948)

- Surprisal quantifies the expectancy of words in context
- Higher Surprisal: increased processing cost (Hale, 2001; Levy, 2008)
- In DFS, Surprisal quantifies expectancy of **transitions** in meaning space (from  $a$  to  $b$ ), triggered by message  $m_{ab}$ :

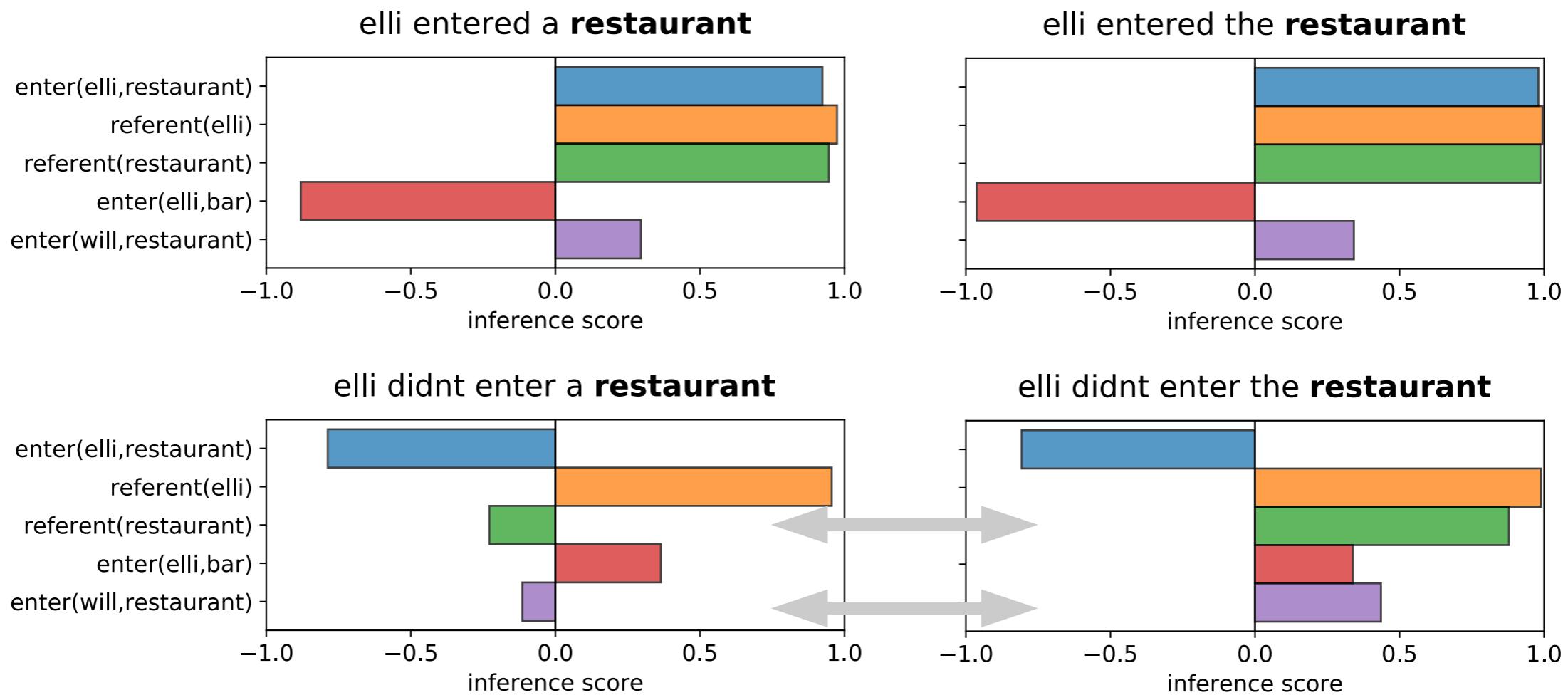
$$S(m_{ab}) = -\log P(b | a)$$

- Word-by-word information effects of semantic construction

# EXAMPLE: NEGATION AND PRESUPPOSITION

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- Negation affects entailments and probabilistic inferences



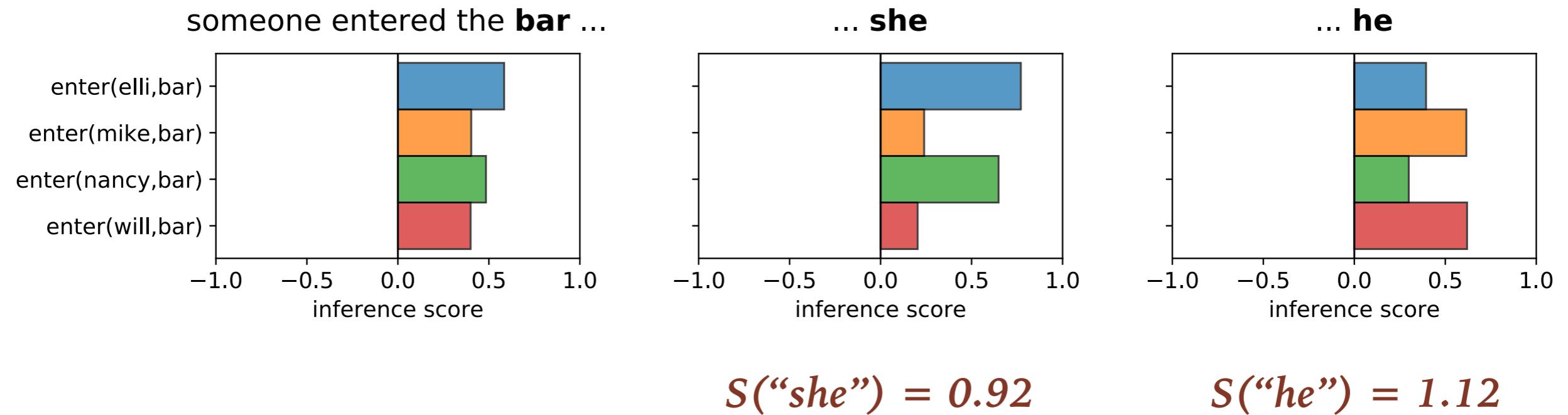
- Presupposition has an effect beyond the literal meaning

# EXAMPLE: QUANTIFICATION AND REFERENCE

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Quantified expressions induce inferential uncertainty

- Selective expressions (e.g. pronouns) reduce this uncertainty

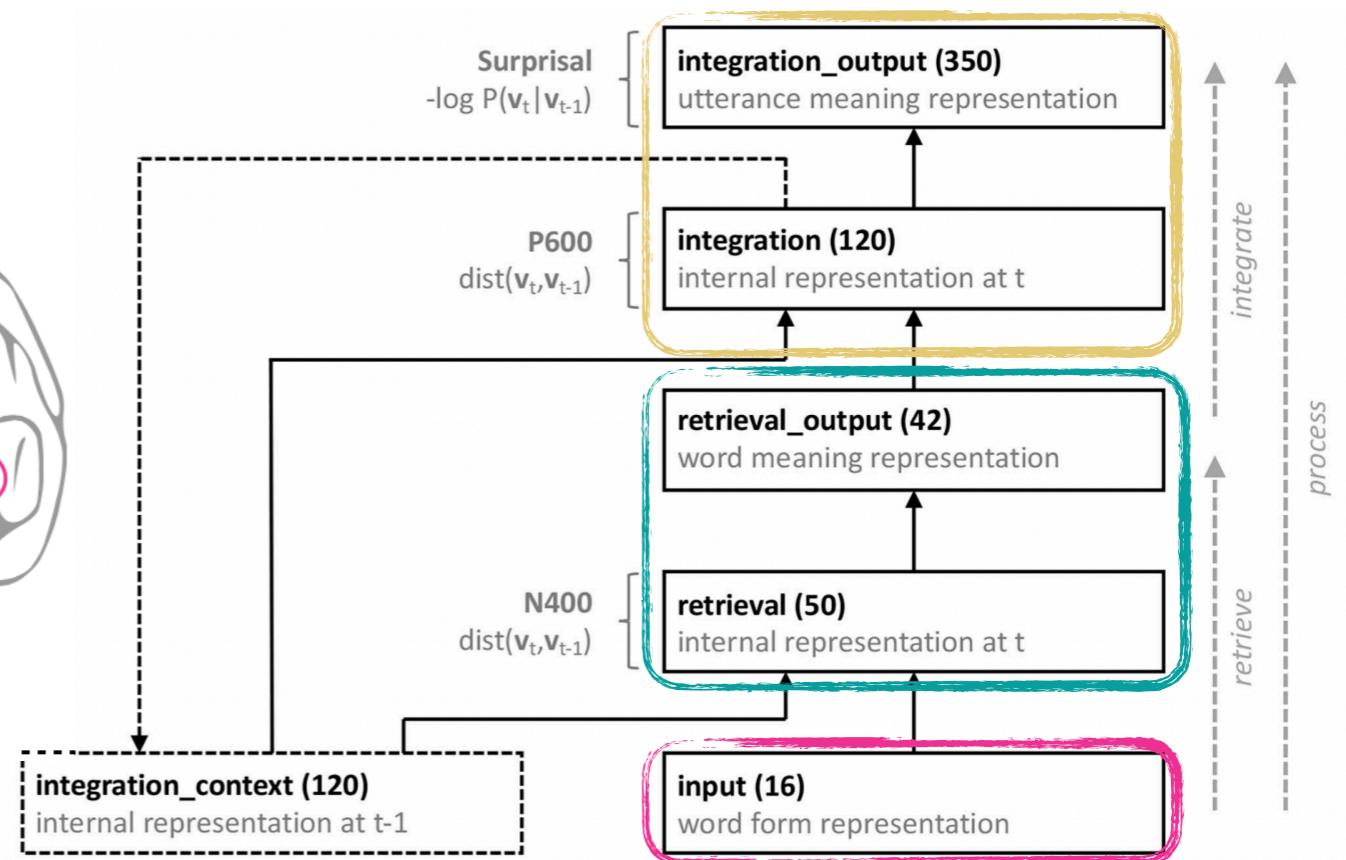
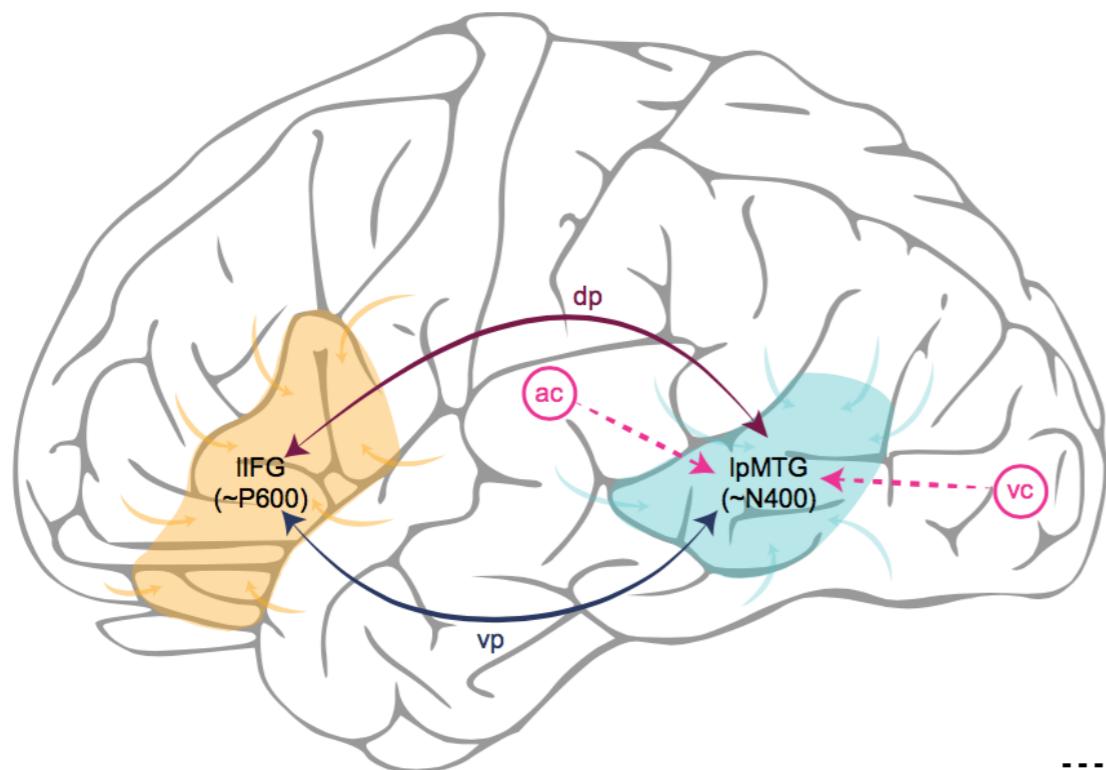


- Surprisal reflects expectedness of the continuation based on world knowledge and linguistic experience

# DFS IN THE NEUROCOMPUTATION OF LANGUAGE

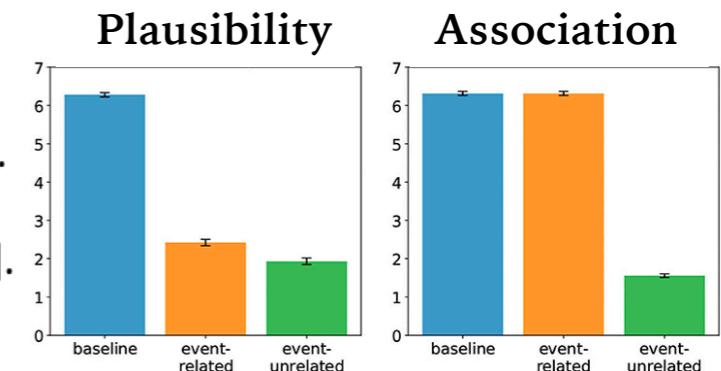
Word-by-word comprehension proceeds in Retrieval-Integration cycles:

- **Retrieval** of word meaning from semantic memory ~ N400
- **Integration** into unfolding utterance meaning ~ P600
- **Expectedness** of change in utterance meaning ~ **Surprisal (RT)**



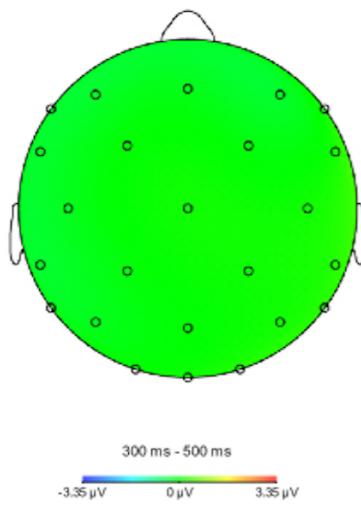
# NEURO-BEHAVIOURAL DATA: EXPERIMENTAL FINDINGS

- baseline:** John entered the **restaurant**. Before long he opened the **menu** [...].  
**event-related implausible:** John left the **restaurant**. Before long he opened the **menu** [...].  
**event-unrelated implausible:** John entered the **apartment**. Before long he opened the **menu** [...].

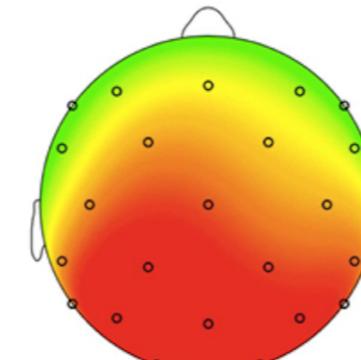
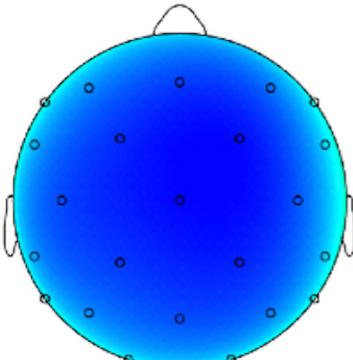
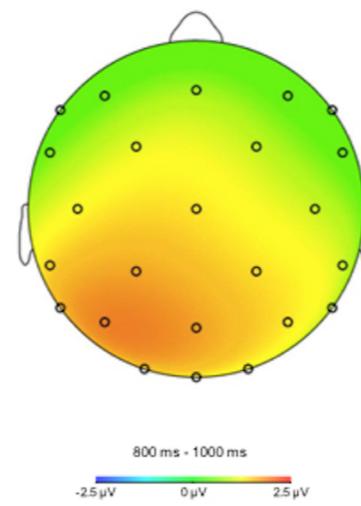


## Event-related Potentials (ERPs)

N400 effects



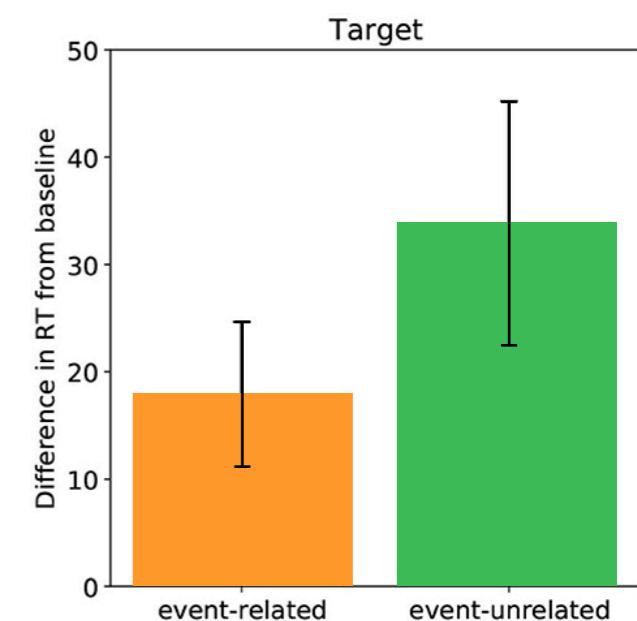
P600 effects



plausibility only:  
↑P600

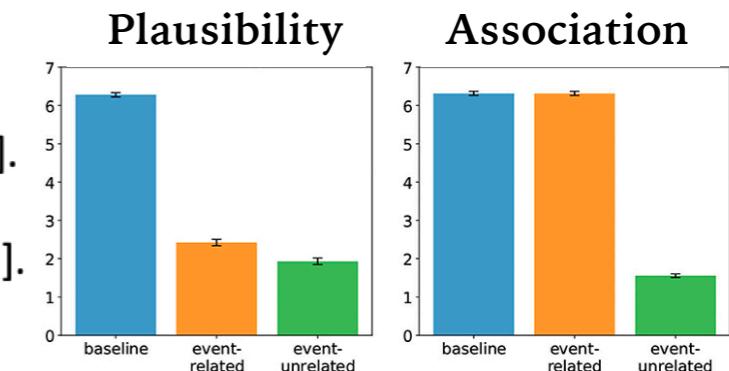
plausibility &  
association:  
↑N400  
↑P600

## Reading Times

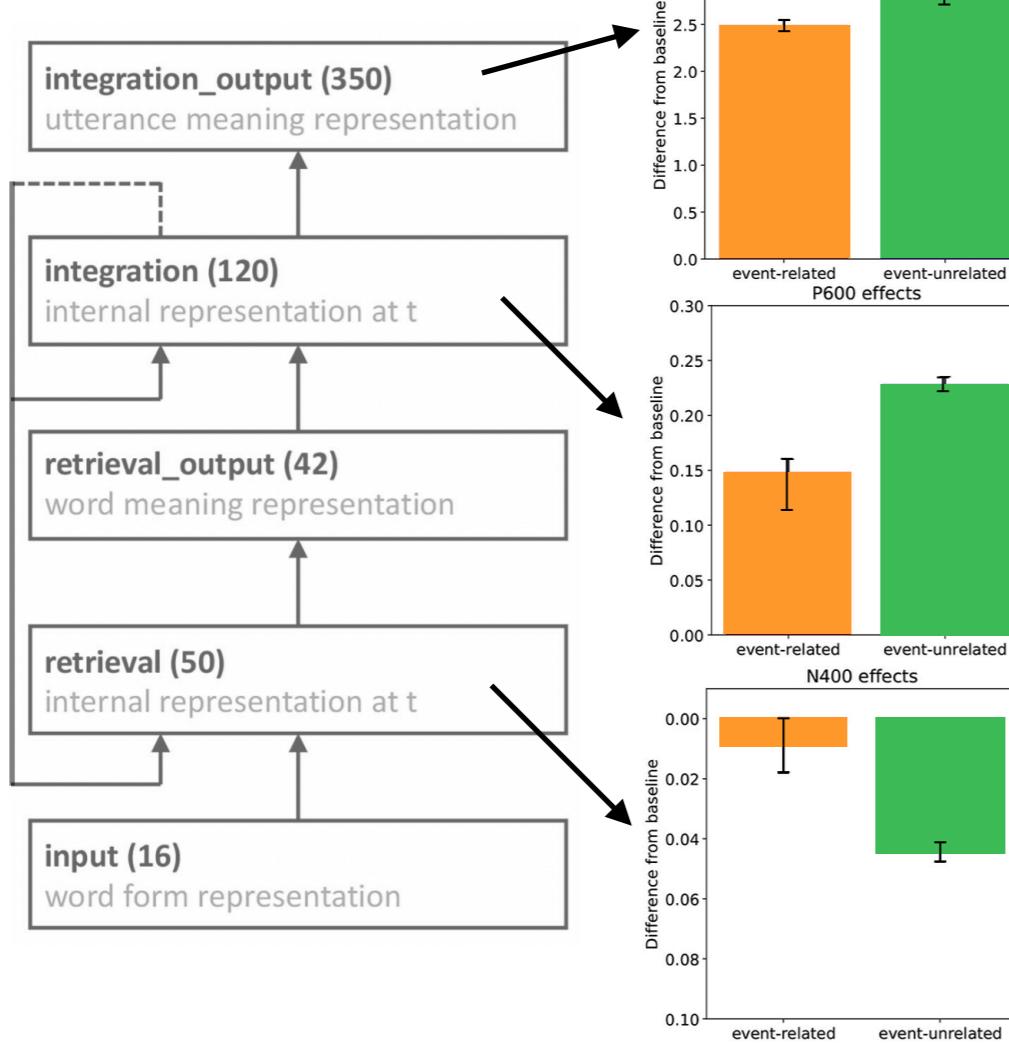


# NEURO-BEHAVIOURAL DATA: MODEL PREDICTIONS

- baseline:** John entered the **restaurant**. Before long he opened the **menu** [...].  
**event-related implausible:** John left the **restaurant**. Before long he opened the **menu** [...].  
**event-unrelated implausible:** John entered the **apartment**. Before long he opened the **menu** [...].



## Model predictions



↑ Surprisal:  
expectancy

↑ P600:  
integration

↑ N400:  
retrieval

Surprisal ~ RT		
Condition	Data	Model
Baseline	—	—
Event-rel.	Yes	✓
Event-unrel.	Yes	✓

P600		
Condition	Data	Model
Baseline	—	—
Event-rel.	Yes	✓
Event-unrel.	Yes	✓

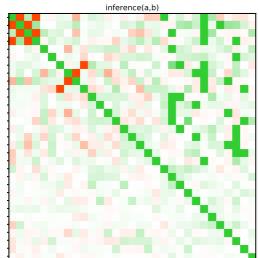
N400		
Condition	Data	Model
Baseline	—	—
Event-rel.	No	✓
Event-unrel.	Yes	✓

# EXPECTATION-BASED SEMANTICS IN LANGUAGE COMPREHENSION

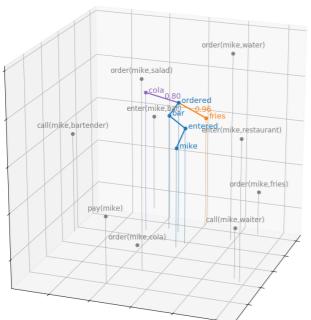
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	$p^1$	$p^2$	$p^3$	$\dots$
$M_1$	1	1	0	$\dots$
$M_2$	1	0	0	$\dots$
$M_3$	0	1	0	$\dots$
$M_4$	1	1	1	$\dots$
$\dots$	$\dots$	$\dots$	$\dots$	$\dots$

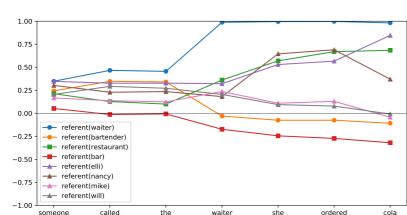
Meaning space from proposition-level co-occurrences



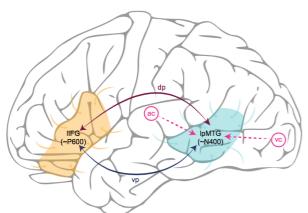
Compositional semantics, entailment and probabilistic inferences using vector operations



Dynamic meaning construction as word-by-word navigation through the meaning space



Expectations from proposition-level world knowledge and linguistic experience



Division of labour between lexical- and utterance-level semantics in modeling language comprehension

# OUTLOOK: DATA-DRIVEN DFS

Goal: Employ DFS to model deep understanding using real-world propositional co-occurrences

- Step 1: Derive meaning space from resource with world knowledge about propositional (not: lexical) co-occurrence
- Step 2: Mapping natural language to vectors in the meaning space

