



# LEC 13/14 Divide and Conquer Recurrences

3.11

11

Def:  $T_n = \min \# \text{ moves for } n \text{ disks}$

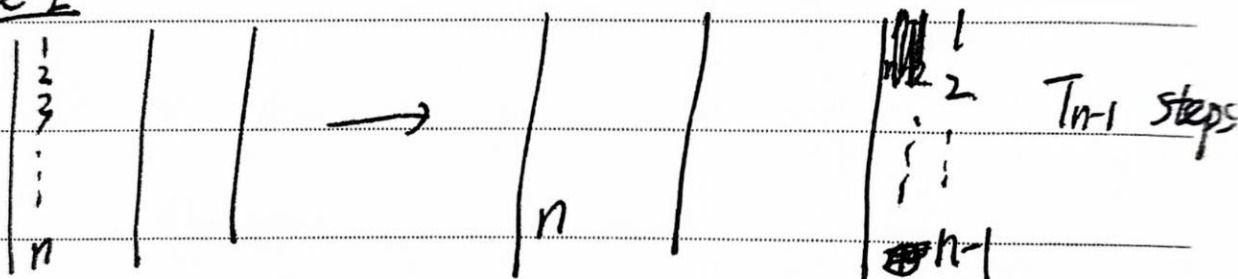
$$T_1 = 1$$

$$T_2 = 3$$

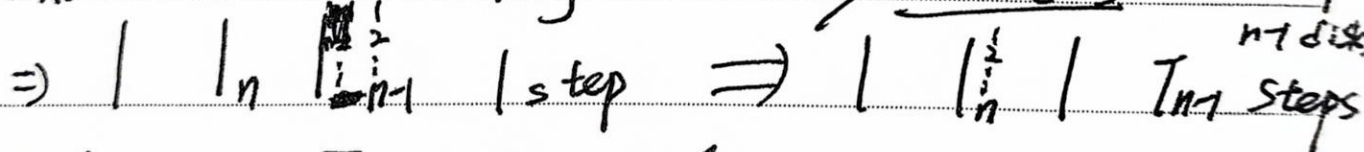
$$T_3 = 7$$

## Recursive Solution

Phase 1



Phase 2: move the largest disk / Phase 3: move top  $n-1$  disks



$$\Rightarrow \# \text{ moves } T_n \leq 2T_{n-1} + 1$$

$$T_3 \leq 2T_2 + 1 = 2 \cdot 3 + 1 = 7$$

## Lower Bound



$\geq T_{n-1}$  steps before moves

$\geq 1$  step for big disk for move

$\geq T_{n-1}$  steps after last move of big disk



$$\Rightarrow T_n \geq 2T_{n-1} + 1 \Rightarrow T_n = 2T_{n-1} + 1$$

### Guess & Verify (Substitution) Method

$$T_1 = 1, T_2 = 3, T_3 = 7, T_4 = 15$$

Guess:  $T_n = 2^n - 1$

Verify: By induction,  $P(n) = 2^n - 1$

Base case:  $T_1 = 1 = 2^1 - 1 = 1 \checkmark$

Inductive step: Assume  $T_n = 2^n - 1$  to prove

$$T_{n+1} = 2^{n+1} - 1$$

$$T_{n+1} = 2T_n + 1 = 2 \cdot (2^n - 1) + 1 = 2^{n+1} - 1 \checkmark \square$$

### Plug & Chug

Plug  $T_{n+1} = 1 + 2T_n = 1 + 2(1 + 2T_{n-1})$

chug  $= 1 + 2 + 4T_{n-1}$

$$= 1 + 2 + 4(1 + 2T_{n-2})$$

$$= 1 + 2 + 4 + 8T_{n-2}$$

$$T_n = 1 + 2 + 4 + \dots + 2^{i-1} + 2^i T_{n-i}$$

$$= 1 + 2 + 4 + \dots + 2^{n-2} + 2^{n-1} T_1$$

$$= 2^n - 1 \quad \text{|| } T_1$$





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Memo No. \_\_\_\_\_

Date    /    /

## Merge Sort

To sort  $n > 1$   $X_1, X_2, \dots, X_n$ , ( $n = \text{power of } 2$ )

1. sort  $X_1, X_2, \dots, X_{n/2}$  &  $X_{n/2+1}, \dots, X_n$  recursively

2. merge

Ex: Sort  $\{10, 7, 23, 5, 2, 4, 3, 9\}$

1. sort  $\{10, 7, 23, 5\} \Rightarrow \{5, 7, 10, 23\}$   
- - -  $\Rightarrow \{2, 3, 4, 9\}$

merge:  $2, 3, 4, 5, 7, 9, 10, 23$

Let  $T(n) = \# \text{ comparisons used to sort}$

merging take  $n-1$  comparisons (worst case)

$2T(n/2)$  comparisons for recursive sorting

$$\Rightarrow T(n) = 2T(n/2) + n - 1$$

$$T(1) = 0, \quad T(2) = 1, \quad T(4) = 5$$

$$T(8) = 2 \cdot 5 + 8 - 1 = 17, \quad T(16) = 2 \cdot 17 + 16 - 1 = 49$$

## Plug & Chug

$$T(n) = n - 1 + 2T(n/2)$$

$$= n - 1 + 2\left(\frac{n}{2} - 1 + 2T\left(\frac{n}{4}\right)\right)$$

$$= n - 1 + n - 2 + 4T\left(\frac{n}{4}\right)$$

$$= n - 1 + n - 2 + 4\left(\frac{n}{4} - 1 + 2T\left(\frac{n}{8}\right)\right)$$

$$= n - 1 + n - 2 + n - 4 + 8T\left(\frac{n}{8}\right)$$



Mo	Tu	We	Th	Fr	Sa	Su
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Memo No. \_\_\_\_\_

Date \_\_\_\_\_

$$i = \log_2 n$$

$$= n-1 + n-2 + n-4 + \dots + n - \frac{n}{2} + 2^i T(\frac{n}{2})$$

$$= n-1 + n-2 + \dots + n-2^{\log n - 1} + 2^{\log n} T(1) \rightarrow 0$$

$$= \sum_{i=0}^{\log n - 1} (n-2^i) = \sum_{i=0}^{\log n - 1} n - \sum_{i=0}^{\log n - 1} 2^i = n \log n - (2^{\log n} - 1)$$

$$= n \log n - n + 1$$

$$e \left[ \frac{2^{\log n} - 1}{1-2} \right] = \frac{1 \cdot (1-2^{\log n})}{1-2} = 2^{\log n} - 1$$

$$\begin{cases} S(n) = S(\lfloor n/2 \rfloor) + S(\lceil n/2 \rceil) + 1 \Rightarrow S(n) \sim n \\ T(n) = 2T(n-1) + 1 \Rightarrow T(n) \sim 2^n \\ T(n) = 2T(n/2) + n \Rightarrow T(n) \sim n \log n \text{ (tilde)} \end{cases}$$

Define | Ex of Recursive |

$$S(1) = 0, \quad S(n) = S(\lfloor \frac{n}{2} \rfloor) + S(\lceil \frac{n}{2} \rceil) + 1 \text{ for } n \geq 2$$

biggest integer  $\leq \frac{n}{2}$  (smallest integer  $\geq \frac{n}{2}$ )

$$S(n) = 2S(\frac{n}{2}) + 1 \text{ for } n \text{ even}$$

Guess

$$S(1) = 0, \quad S(2) = 1, \quad S(3) = S(1) + S(2) + 1 = 2, \quad S(4) = 3$$

$$\text{guess: } S(n) = n-1$$

Verify: (by strong induction)

$$\text{I.H. } P(n): S(n) = n-1, \quad \text{Base: } S(1) = 0 = 1-1 \checkmark$$





Mo	Tu	We	Th	Fr	Sa	Su
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Memo No. \_\_\_\_\_

Date    /    /

Induction step: Assume  $P(1), P(2), \dots, P(n)$   
to prove  $P(n+1)$ :

$$\begin{aligned} S(n+1) &= S\left(\left\lfloor \frac{n+1}{2} \right\rfloor\right) + S\left(\left\lceil \frac{n+1}{2} \right\rceil\right) + 1 \\ &= \left\lfloor \frac{n+1}{2} \right\rfloor - 1 + \left\lceil \frac{n+1}{2} \right\rceil - 1 + 1 \quad \text{by Induction} \\ &= n+1 - 1 - 1 + 1 = (n+1) - 1 \quad \checkmark, \square \end{aligned}$$

$$T(x) = \begin{cases} 2T\left(\frac{x}{2}\right) + \frac{8}{9}T\left(\frac{3x}{4}\right) + x^2 & \text{for } x \geq 1 \\ 0 & \text{for } x < 1 \end{cases}$$

Def: Divide & Conquer Recurrence has the form.

$$T(x) = a_1 T(b_1 x + \varepsilon_1(x)) + a_2 T(b_2 x + \varepsilon_2(x))$$

$$+ \dots + a_n T(b_n x + \varepsilon_k(x)) + g(x) \quad \text{for } x \geq x_0$$

where,  $a_i > 0$ ,  $0 < b_i < 1$ ,  $k$  is fixed,  $|\varepsilon_i(x)| \leq O\left(\frac{x}{\log x}\right)$

$$|g'(x)| \leq x^c \quad \text{for } c \in \mathbb{R}$$



Memo No. \_\_\_\_\_

Date     /     /

Mo	Tu	We	Th	Fr	Sa	Su
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Thm (Akra & Bazzi) : Set  $p$  so that  $\sum_{i=1}^k a_i p_i^p = 1$

Then  $T(x) = \Theta(x^p + x^p \int_1^x \frac{g(u)}{u^{p+1}} du)$  = 1

Ex:  $T(x) = 2T(x/2) + x - 1 \lfloor \lg(x) \rfloor$

$$a_1 = 2, b_1 = \frac{1}{2}, k = 1$$

$$2\left(\frac{1}{2}\right)^p = 1 \Rightarrow p = 1$$

$$T(x) = \Theta\left(x + x \int_1^x \frac{u-1}{u^2} du\right)$$

$$= \Theta\left(x + x \int_1^x \left(\frac{1}{u} - \frac{1}{u^2}\right) du\right)$$

$$= \Theta\left(x + x \left(\ln(u) + \frac{1}{u}\right) \Big|_1^x\right)$$

$$= \Theta\left(x + x \left(\ln x + \frac{1}{x} - 1\right)\right)$$

$$= \Theta(x \ln x)$$