Foundations of Computing Lecture 4

Arkady Yerukhimovich

January 23, 2025

Outline

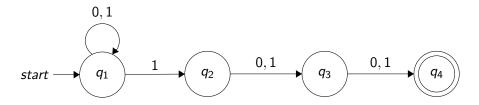
- 1 Lecture 3 Review
- 2 Example NFAs
- 3 Equivalence of NFAs and DFAs
- 4 Properties of Regular Languages Using NFAs

Lecture 3 Review

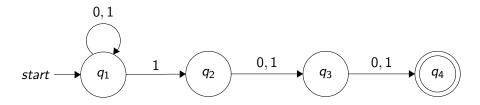
- Regular Languages
- Nondeterministic Finite Automata
- Understanding Nondeterminism

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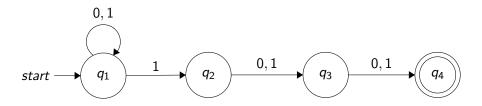


Question: Does M accept 01101? 01001?



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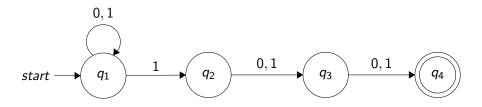
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Answer: Strings in $\{0,1\}^*$ with a 1 as third from the end



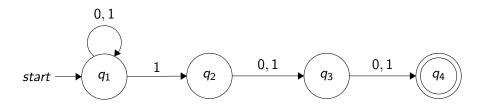
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ullet M waits in q_1 until it "guesses" that it is 3 symbols from the end



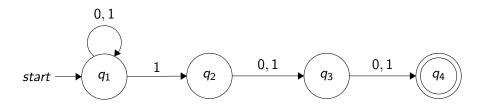
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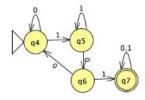
How does it work?

- ullet M waits in q_1 until it "guesses" that it is 3 symbols from the end
- Uses the rest of the states to verify that 1 is third from the end
- DFA doing the same thing would have to track the last three bits seen – requires 8 states

- $L = \{x | x \in \{0,1\}^* \text{ and } x \text{ contains } \}$
 - ① the substring 101, or
 - ② the substring 010}

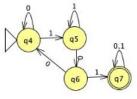
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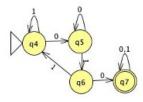


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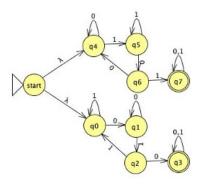
DFA for prop. (1)



DFA for prop. (2)

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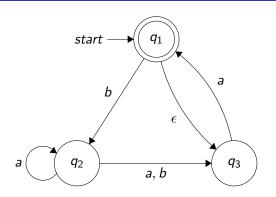
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NFA for L

Quiz

Quiz



- **1** Does *N* accept $w = \epsilon$?
- 2 Does N accept w = aaa?
- **3** Does N accept w = babba?
- Does N accept w = abaaba?



NFA Summary

- NFAs are much simpler to design
- Only need to verify that inputs have correct form
- Ability to "guess" when some checkable property occurs is very useful

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Question

Are NFAs more powerful than DFAs?

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Nondeterministic Finite Automaton – Formal Definition

Nondeterministic Finite Automaton (NFA)

An NFA is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where:

- Q is a finite set of states
- ullet Σ is a finite input alphabet
- $\delta: Q \times (\Sigma \cup \{\epsilon\}) \rightarrow P(Q)$ is the transition function
- $q_0 \in Q$ is the start state
- $F \subseteq Q$ is the set of accept states

Recall:

P(Q) is the power set of Q, i.e., the set of all subsets of Q

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Changes:

- **1** Transition function allows empty symbol (ϵ)
- ② Output of transition function is a set of states $\in P(Q)$, not a single state in Q

Theorem

For every NFA $\it N$ there exists an equivalent DFA $\it M$

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- ullet Define δ to move to new set of highlighted nodes
- Accept states are ones in which at least one node is an accept node
- ullet Can deal with ϵ edges by "placing more fingers" on resulting nodes

Let N be an NFA recognizing L. Contruct DFA M recognizing L

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- ② For $R \in Q'$ and $a \in \Sigma$, let

$$\delta'(R,a) = \cup_{r \in R} \delta(r,a)$$

Look at transitions from all states in set R and map to set that gives results of all these transitions

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- $q_0' = \{q_0\}$
- $F' = \{R \in Q' | R \text{ contains an accept state of } N\}$ Accept if any state in R is an accept state



Handling ϵ transitions

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1 Let $E(R) = \{q | q \text{ can be reached from } R \text{ along } \epsilon \text{ arrows}\}$

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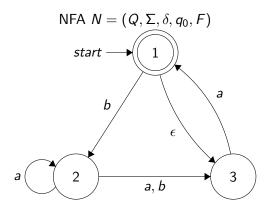
Problem: We need to also get rid of any ϵ edges

Intuition: For every ϵ edge, just place a new "finger" on the graph Formally:

- Let $E(R) = \{q | q \text{ can be reached from } R \text{ along } \epsilon \text{ arrows}\}$
- 2 Define extended transition function

$$\delta'(R,a) = \cup_{r \in R} E(\delta(r,a))$$

Map to set of states that can be reached on input a or $a\epsilon$



• states: Q' =

NFA
$$N = (Q, \Sigma, \delta, q, F)$$

$$start \rightarrow 1$$

$$b$$

$$a$$

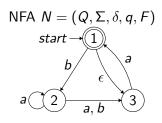
$$a$$

$$2$$

$$a, b$$

$$3$$

- 2 start state: q' =



- **1** states: $Q' = P(Q) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$
- ② start state: $q' = E(1) = \{1, 3\}$
- \odot accept states: F =

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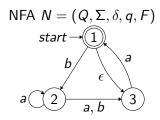
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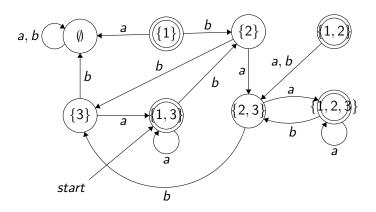
4 Transition function δ' :

$$\delta'(\emptyset, a) = \\ \delta'(\{1\}, a) = \\ \delta'(\{2\}, a) = \\ \delta'(\{1, 2\}, a) = \\ \delta'(\{3\}, a) = \\ \delta'(\{1, 3\}, a) = \\ \delta'(\{2, 3\}, a) = \\ \delta'(\{1, 2, 3\}, a) = \\$$

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Recall that:

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We can now use NFAs to argue the properties of regular languages

Closure Under Union

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If L_1 and L_2 are both regular languages then $L_1 \cup L_2$ is also regular

 $L_1 \cup L_2$ is the language consisting of all strings either in L_1 or L_2

Proof:

Closure Under Concatenation

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If L_1 and L_2 are both regular languages then $L_1 \circ L_2$ is also regular

$$L_1 \circ L_2 = \{xy | x \in L_1 \text{ and } y \in L_2\}$$

Proof:

Closure Under the Star Operation

Closure Under Star Operation

If L is a regular languages then L^* is also regular

 $L^* = \{0 \text{ or more strings from } L\}$

Proof: