# Foundations of Computing Lecture 16

Arkady Yerukhimovich

March 19, 2024

### Outline

- 1 Lecture 15 Review
- 2 Proof by Reduction
- 3 Where Are We Now?
- 4 Reduction Types

### Lecture 15 Review

- Countable and Uncountable Sets
  - Diagonalization
- Proving  $A_{TM}$  is Undecidable

### Outline

- 1 Lecture 15 Review
- 2 Proof by Reduction
- Where Are We Now?
- 4 Reduction Types

# Another Way to Prove Undecidability

#### Reductions Between Problems

There is a reduction from a problem A to a problem B if we can use a solution to problem B to solve problem A

$$A \leq B$$

# Another Way to Prove Undecidability

#### Reductions Between Problems

There is a reduction from a problem A to a problem B if we can use a solution to problem B to solve problem A

$$A \leq B$$

#### Intuition

 $A \leq B$  means that:

• problem A is no harder than problem B.

# Another Way to Prove Undecidability

#### Reductions Between Problems

There is a reduction from a problem A to a problem B if we can use a solution to problem B to solve problem A

$$A \leq B$$

#### Intuition

 $A \leq B$  means that:

- problem A is no harder than problem B.
- Equivalently, problem B is no easier than problem A

### Main Observation

Suppose that  $A \leq B$ , then:

- If A is undecidable
- B must also be undecidable

### Main Observation

Suppose that  $A \leq B$ , then:

- If A is undecidable
- B must also be undecidable

Proof: (by contradiction)

### Main Observation

Suppose that  $A \leq B$ , then:

- If A is undecidable
- B must also be undecidable

Proof: (by contradiction)

• Suppose that B is decidable

### Main Observation

Suppose that  $A \leq B$ , then:

- If A is undecidable
- B must also be undecidable

Proof: (by contradiction)

- Suppose that B is decidable
- Since  $A \leq B$ , there exists an algorithm (i.e., a reduction) that uses a solution to B to solve A

### Main Observation

Suppose that  $A \leq B$ , then:

- If A is undecidable
- B must also be undecidable

Proof: (by contradiction)

- Suppose that B is decidable
- Since  $A \leq B$ , there exists an algorithm (i.e., a reduction) that uses a solution to B to solve A
- But, this means that A is decidable by running the reduction using the decider machine for B.

 $HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$ 

 $HALT_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w \}$ 

Theorem: HALT is undecidable

 $HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$ 

Theorem: *HALT* is undecidable Proof Sketch:

• We show that  $A_{TM} \leq HALT_{TM}$ 

 $HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$ 

Theorem: HALT is undecidable

### Proof Sketch:

- We show that  $A_{TM} \leq HALT_{TM}$
- Since we know that  $A_{TM}$  is undecidable, this shows that  $HALT_{TM}$  is also undecidable

 $HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$ 

Theorem: HALT is undecidable

#### Proof Sketch:

- We show that  $A_{TM} \leq HALT_{TM}$
- Since we know that  $A_{TM}$  is undecidable, this shows that  $HALT_{TM}$  is also undecidable

#### Proof:

Construct reduction R that decides  $A_{TM}$  given a TM D that decides HALT

 $HALT_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w \}$ 

Theorem: HALT is undecidable

### Proof Sketch:

- We show that  $A_{TM} \leq HALT_{TM}$
- Since we know that  $A_{TM}$  is undecidable, this shows that  $HALT_{TM}$  is also undecidable

#### Proof:

Construct reduction R that decides  $A_{TM}$  given a TM D that decides HALT On input  $\langle M, w \rangle$ , R does the following: R is  $R_{TM} = R$ 

$$HALT_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w \}$$

Theorem: HALT is undecidable

#### Proof Sketch:

- We show that  $A_{TM} \leq HALT_{TM}$
- Since we know that  $A_{TM}$  is undecidable, this shows that  $HALT_{TM}$  is also undecidable

#### Proof:

Construct reduction R that decides  $A_{TM}$  given a TM D that decides HALT On input  $\langle M, w \rangle$ , R does the following:

• Run  $D(\langle M, w \rangle)$ 

$$HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w\}$$

Theorem: HALT is undecidable

### Proof Sketch:

- We show that  $A_{TM} \leq HALT_{TM}$
- Since we know that  $A_{TM}$  is undecidable, this shows that  $HALT_{TM}$  is also undecidable

#### Proof:

Construct reduction R that decides  $A_{TM}$  given a TM D that decides HALT On input  $\langle M, w \rangle$ , R does the following:

- Run  $D(\langle M, w \rangle)$
- If D rejects M(w) doesn't halt halt and reject

 $HALT_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w \}$ 

Theorem: HALT is undecidable

### Proof Sketch:

- We show that  $A_{TM} \leq HALT_{TM}$
- Since we know that  $A_{TM}$  is undecidable, this shows that  $HALT_{TM}$  is also undecidable

#### Proof:

Construct reduction R that decides  $A_{TM}$  given a TM D that decides HALT On input  $\langle M, w \rangle$ , R does the following:

- Run  $D(\langle M, w \rangle)$
- If D rejects M(w) doesn't halt halt and reject
- if D accepts M(w) halts Simulate M(w) until it halts, and output whatever M outputs

 $REGULAR_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language} \}$ 

 $REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$ 

Theorem:  $REGULAR_{TM}$  is undecidable

 $REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$ 

Theorem:  $REGULAR_{TM}$  is undecidable

**Proof Sketch:** 

• We show that  $A_{TM} \leq REGULAR_{TM}$ 

$$REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$$

- We show that  $A_{TM} \leq REGULAR_{TM}$
- Specifically, reduction builds another TM M' s.t.

 $REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$ 

- We show that  $A_{TM} \leq REGULAR_{TM}$
- Specifically, reduction builds another TM M' s.t.
  - If M accepts w, M' recognizes  $\Sigma^*$  regular language

 $REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$ 

- We show that  $A_{TM} \leq REGULAR_{TM}$
- Specifically, reduction builds another TM M' s.t.
  - If M accepts w, M' recognizes  $\Sigma^*$  regular language
  - If M does not accept w, M' recognizes  $\{0^n1^n\}$  not regular

$$REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$$

- We show that  $A_{TM} \leq REGULAR_{TM}$
- Specifically, reduction builds another TM M' s.t.
  - If M accepts w, M' recognizes  $\Sigma^*$  regular language
  - If M does not accept w, M' recognizes  $\{0^n1^n\}$  not regular
- If we can decide whether M' recognizes a regular language or not, can use that to decide whether M accepts w or not

$$REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$$

Theorem:  $REGULAR_{TM}$  is undecidable

Proof:

 $REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$ 

Theorem:  $REGULAR_{TM}$  is undecidable

Proof:

Reduction R that decides  $A_{TM}$  given a TM D that decides  $REGULAR_{TM}$ 

 $REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$ 

Theorem:  $REGULAR_{TM}$  is undecidable

Proof:

 $REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$ 

Theorem:  $REGULAR_{TM}$  is undecidable

Proof:

Reduction R that decides  $A_{TM}$  given a TM D that decides  $REGULAR_{TM}$  On input  $\langle M, w \rangle$ :

 $\bullet \ \, \text{Construct TM} \,\, M'_{\langle M,w\rangle} \,\, \text{s.t.} \,\, M'_{\langle M,w\rangle}(x) \,\, \text{is as follows:} \\$ 

 $REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$ 

Theorem:  $REGULAR_{TM}$  is undecidable

Proof:

- **1** Construct TM  $M'_{\langle M,w\rangle}$  s.t.  $M'_{\langle M,w\rangle}(x)$  is as follows:
  - If  $x = 0^n 1^n$ , accept

$$REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$$

Theorem:  $REGULAR_{TM}$  is undecidable

Proof:

- **①** Construct TM  $M'_{\langle M,w\rangle}$  s.t.  $M'_{\langle M,w\rangle}(x)$  is as follows:
  - If  $x = 0^n 1^n$ , accept
  - If x does not have this form, run M(w) and accept if it accepts

 $REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$ 

Theorem:  $REGULAR_{TM}$  is undecidable

Proof:

- **①** Construct TM  $M'_{\langle M,w\rangle}$  s.t.  $M'_{\langle M,w\rangle}(x)$  is as follows:
  - If  $x = 0^n 1^n$ , accept
  - If x does not have this form, run M(w) and accept if it accepts
- ② Run D on input  $\langle M' \rangle$

$$REGULAR_{TM} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is a regular language}\}$$

Theorem:  $REGULAR_{TM}$  is undecidable

Proof:

Reduction R that decides  $A_{TM}$  given a TM D that decides REGULAR<sub>TM</sub> On input  $\langle M, w \rangle$ :

- Construct TM  $M'_{\langle M,w\rangle}$  s.t.  $M'_{\langle M,w\rangle}(x)$  is as follows:
  - If  $x = 0^n 1^n$ , accept
  - $\longrightarrow$  If x does not have this form, run M(w) and accept if it accepts
- ② Run D on input  $\langle M' \rangle$
- Output what D outputs

Dutput what D outputs
$$M(w) = 1 : M' \text{ accepts all strong} L(n') = \mathbf{Z} - k_{\perp}$$

Modes it acque is M' accept on In Echil-Noi Reg.

### Other Undecidable Languages – Exercise

$$EMPTY - STRING_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } M(\epsilon) = 1 \}$$

#### Outline

- 1 Lecture 15 Review
- Proof by Reduction
- Where Are We Now?
- 4 Reduction Types

### Summary

#### Algorithms

Algorithms are critical for understanding decidability of problems

#### Summary

#### Algorithms

Algorithms are critical for understanding decidability of problems

● To show that a problem is decidable – give an algorithm that always terminates and outputs the answer

### Summary

#### Algorithms

Algorithms are critical for understanding decidability of problems

- To show that a problem is decidable give an algorithm that always terminates and outputs the answer
- To show that a problem is undecidable give an algorithm (a reduction) that shows that this problem can be used to solve one of the undecidable problems

#### Question

Can reductions help us determine if a language is Turing-unrecognizable?

#### Question

Can reductions help us determine if a language is Turing-unrecognizable?

Recall:  $\overline{A_{TM}}$  is Turing-unrecognizable

#### Question

Can reductions help us determine if a language is Turing-unrecognizable?

Recall:  $\overline{A_{TM}}$  is Turing-unrecognizable

Problem:  $\overline{A_{TM}} \leq A_{TM}$ 

#### Question

Can reductions help us determine if a language is Turing-unrecognizable?

Recall:  $\overline{A_{TM}}$  is Turing-unrecognizable

Problem:  $A_{TM} \leq A_{TM}$ 

but  $A_{TM}$  is Turing-recognizable

#### Question

Can reductions help us determine if a language is Turing-unrecognizable?

Recall:  $\overline{A_{TM}}$  is Turing-unrecognizable

Problem:  $A_{TM} \leq A_{TM}$ 

but  $A_{TM}$  is Turing-recognizable

#### Solution

We need to restrict what our reductions can do.

#### Outline

- 1 Lecture 15 Review
- Proof by Reduction
- 3 Where Are We Now?
- 4 Reduction Types

#### **Definition**

$$w \in A \iff f(w) \in B$$

#### **Definition**

$$w \in A \iff f(w) \in B$$

- ullet Function f is computable if it can be computed by a TM / algorithm
  - There is a TM M that starts with w on its tape, writes f(w) on its tape

#### Definition

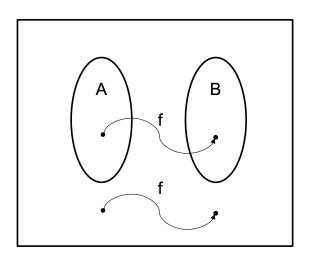
$$w \in A \iff f(w) \in B$$

- ullet Function f is computable if it can be computed by a TM / algorithm
  - There is a TM M that starts with w on its tape, writes f(w) on its tape
- Such reductions are also called:
  - many-one reductions
  - Karp reductions (when only considering poly-time reductions)

#### Definition

$$w \in A \iff f(w) \in B$$

- ullet Function f is computable if it can be computed by a TM / algorithm
  - There is a TM M that starts with w on its tape, writes f(w) on its tape
- Such reductions are also called:
  - many-one reductions
  - Karp reductions (when only considering poly-time reductions)
- Works by mapping input  $\in A$  to input  $\in B$  and vice-versa



- If  $A \leq_m B$ 
  - If B is decidable then A is decidable

- If  $A \leq_m B$ 
  - If B is decidable then A is decidable
  - If A is undecidable then B is undecidable

- If  $A \leq_m B$ 
  - If B is decidable then A is decidable
  - If A is undecidable then B is undecidable
- - If B is Turing-recognizable then

- If  $A \leq_m B$ 
  - If B is decidable then A is decidable
  - If A is undecidable then B is undecidable
- - If B is Turing-recognizable then A is Turing-recognizable

- If  $A \leq_m B$ 
  - If B is decidable then A is decidable
  - If A is undecidable then B is undecidable
- - If B is Turing-recognizable then A is Turing-recognizable
  - If A is not Turing-recognizable than B is not Turing-recognizable

#### **Turing Reductions**

#### Definition

Language A is Turing reducible to language B  $(A \leq_{\mathcal{T}} B)$  if can use a decider for B to decide A.

#### **Turing Reductions**

#### **Definition**

Language A is Turing reducible to language B  $(A \leq_T B)$  if can use a decider for B to decide A.

• The reduction may make multiple calls to decider for *B* and may not directly use the result.

### **Turing Reductions**

#### Definition

Language A is Turing reducible to language B  $(A \leq_T B)$  if can use a decider for B to decide A.

- The reduction may make multiple calls to decider for *B* and may not directly use the result.
- For example, in the proof that  $L_{TM} \leq L_{E_{TM}}$ , we flipped the result of R deciding  $L_{E_{TM}}$

Turing reductions are more general than mapping reductions:

Turing reductions are more general than mapping reductions:

• If  $A \leq_m B$ , then  $A \leq_T B$ 

Turing reductions are more general than mapping reductions:

- If  $A \leq_m B$ , then  $A \leq_T B$
- ② If  $A \leq_T B$ , then it is not necessarily the case that  $A \leq_m B$

Turing reductions are more general than mapping reductions:

- If  $A \leq_m B$ , then  $A \leq_T B$
- ② If  $A \leq_T B$ , then it is not necessarily the case that  $A \leq_m B$ 
  - In particular,  $L_{TM} \leq_T \overline{L_{TM}}$ , but  $L_{TM} \nleq_m \overline{L_{TM}}$

Turing reductions are more general than mapping reductions:

- If  $A \leq_m B$ , then  $A \leq_T B$
- ② If  $A \leq_T B$ , then it is not necessarily the case that  $A \leq_m B$ 
  - In particular,  $L_{TM} \leq_T \overline{L_{TM}}$ , but  $L_{TM} \nleq_m \overline{L_{TM}}$

Turing reductions are more general than mapping reductions:

- If  $A \leq_m B$ , then  $A \leq_T B$
- ② If  $A \leq_T B$ , then it is not necessarily the case that  $A \leq_m B$ 
  - In particular,  $L_{TM} \leq_T \overline{L_{TM}}$ , but  $L_{TM} \nleq_m \overline{L_{TM}}$

- $\bullet$  If  $A \leq_T B$ 
  - If B is decidable then A is decidable

Turing reductions are more general than mapping reductions:

- If  $A \leq_m B$ , then  $A \leq_T B$
- ② If  $A \leq_T B$ , then it is not necessarily the case that  $A \leq_m B$ 
  - In particular,  $L_{TM} \leq_T \overline{L_{TM}}$ , but  $L_{TM} \nleq_m \overline{L_{TM}}$

- $\bullet$  If  $A \leq_T B$ 
  - If B is decidable then A is decidable
  - If A is not decidable, then B is not decidable

Turing reductions are more general than mapping reductions:

- If  $A \leq_m B$ , then  $A \leq_T B$
- ② If  $A \leq_T B$ , then it is not necessarily the case that  $A \leq_m B$ 
  - In particular,  $L_{TM} \leq_T \overline{L_{TM}}$ , but  $L_{TM} \nleq_m \overline{L_{TM}}$

- $\bullet$  If  $A \leq_T B$ 
  - If B is decidable then A is decidable
  - If A is not decidable, then B is not decidable
- If  $A \leq_T B$ 
  - If B is Turing-recognizable,

Turing reductions are more general than mapping reductions:

- If  $A \leq_m B$ , then  $A \leq_T B$
- ② If  $A \leq_T B$ , then it is not necessarily the case that  $A \leq_m B$ 
  - In particular,  $L_{TM} \leq_T \overline{L_{TM}}$ , but  $L_{TM} \nleq_m \overline{L_{TM}}$

- $\bullet$  If  $A \leq_T B$ 
  - If B is decidable then A is decidable
  - If A is not decidable, then B is not decidable
- If  $A \leq_T B$ 
  - ullet If B is Turing-recognizable, A is not necessarily Turing-recognizable

Turing reductions are more general than mapping reductions:

- If  $A \leq_m B$ , then  $A \leq_T B$
- ② If  $A \leq_T B$ , then it is not necessarily the case that  $A \leq_m B$ 
  - In particular,  $L_{TM} \leq_T \overline{L_{TM}}$ , but  $L_{TM} \nleq_m \overline{L_{TM}}$

- $\bullet$  If  $A \leq_T B$ 
  - If B is decidable then A is decidable
  - If A is not decidable, then B is not decidable
- $\bullet$  If  $A <_{\tau} B$ 
  - If B is Turing-recognizable, A is not necessarily Turing-recognizable
  - ullet If A is not Turing-recognizable, cannot say if B is Turing-recognizable