

Foundations of Computing

Lecture 23

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April 15, 2025

Remaining Class Schedule

- We have only 2 weeks left of lectures!
- HW7 is due tomorrow
- HW8 is out, due next Wednesday
- Thursday, April 24 will be a review lecture

Final Exam

Final exam will be on Tuesday, May 6, 10:20-12:20.

- 1 Lecture 22 Review
- 2 \mathcal{NP} -Intermediate Languages
- 3 $\text{co-}\mathcal{NP}$

- More \mathcal{NP} -complete problems
 - SAT
 - 3SAT
 - CLIQUE
 - VERTEX-COVER
 - NAE-SAT
 - 3-coloring

Vertex Cover Problem

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$\text{VERTEX-COVER} = \{ \langle G, k \rangle \mid G \text{ has a vertex cover of size } \leq k \}$

Goal: Prove that VC is \mathcal{NP} -Complete

- 1 Show that $\text{VC} \in \mathcal{NP}$
- 2 Show that $3\text{-SAT} \leq_p \text{VC}$

3-SAT \leq_p VC

Goal: Show reduction f from 3-SAT to VC s.t.

- if ϕ is satisfiable, $f(\phi) = \langle G, k \rangle$ s.t. G has VC of size $\leq k$
- if ϕ is not satisfiable, $f(\phi) = \langle G, k \rangle$ s.t. G has no VC of size $\leq k$

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Variable gadget: For every variable x_1 , draw pair of nodes

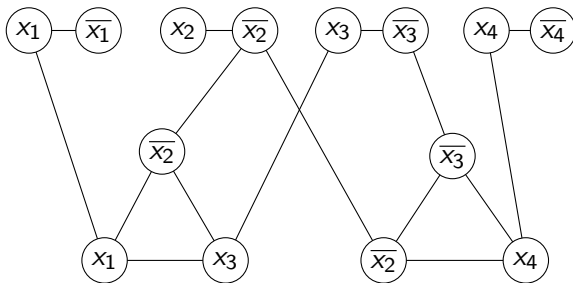
Clause gadget: For every (3-term) clause draw a triangle

Observations:

- For each variable need 1 node in cover
- For each triangle need at least 2 nodes
- Need to connect variables to clauses

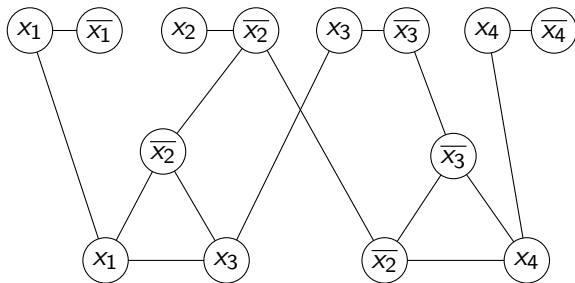
3-SAT \leq_p VC Example

$$\phi = (x_1 \vee \overline{x_2} \vee x_3) \wedge (\overline{x_2} \vee \overline{x_3} \vee x_4)$$



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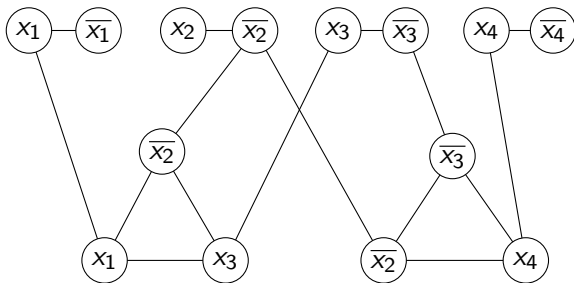
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- 2 No satisfying assignment implies smallest cover needs $|C| > 2c + v$

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- This edge needs to be covered by the variable node.
- Variable nodes in C must cover at least one edge to each triangle implying a satisfying assignment.

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Comment: All languages useful for crypto are such \mathcal{NP} -intermediate languages

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- 1 If $H(n) = n$, then $SAT_H \in \mathcal{P}$
- 2 If $H(n) \leq c$, then SAT_H is \mathcal{NP} -Complete
- 3 We will define H to be in between these two cases

Defining $H(n)$

Let M_1, M_2, \dots be an enumeration of all TM's (can do this since TM's are countable)

$H(n)$

- Smallest $i \leq \log \log n$ s.t. for all $x \in \{0,1\}^*$, with $|x| \leq \log n$

$M_i(x)$ halts in $i|x|^i$ steps and accepts iff $x \in SAT_H$

- If no such M_i exists, $H(n) = \log \log n$

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- 2 Claim: $SAT_H \in \mathcal{P}$ iff $H(n) < c$ for all n
(\Rightarrow) By definition of \mathcal{P} , there is machine M_k that decides SAT_H in kn^k steps so $H(n) = k$

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 - Let $n > 2^{|x|}$. For any such n , if $H(n) = i$, $M_i(x)$ must halt in $i|x|^i$ steps.

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 - So, $H(n) \neq i$ for all $n > 2^{|x|}$. Contradiction!

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- But $H(n) \rightarrow \infty$ so the padding is super-poly in size of ϕ
- Hence $|\phi| \ll n$, so have reduced solving long formula to solving a much shorter one.
- Repeat this enough times to make $|\phi| = O(1)$ and solve.

Takeaway

If $\mathcal{P} \neq \mathcal{NP}$, then \mathcal{NP} -intermediate languages exist!

Outline

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Is UNSAT in \mathcal{NP} ?

We define a new complexity class co- \mathcal{NP} to capture such languages.

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Co-NP is the class of languages that:

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- 2 There exists poly-time DTM V s.t. for $x \in L$ for all w , $V(x, w) = 0$

Observations:

- co- \mathcal{NP} is not the complement of \mathcal{NP} (i.e., it does not consist of all languages not in \mathcal{NP})

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- In particular, there are many languages in $\mathcal{NP} \cap \text{co-}\mathcal{NP}$
- In fact, $\mathcal{P} \subseteq (\mathcal{NP} \cap \text{co-}\mathcal{NP})$

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$\text{co-}\mathcal{NP}$

$L \in \text{co-}\mathcal{NP}$ if there exists poly-time DTM V s.t. for $x \in L$ for all w , $V(x, w) = 0$

Question:

Can you prove that $x \in L$, when $L \in \text{co-}\mathcal{NP}$?

Proving that $x \in L$ for $L \in \text{co-NP}$

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- I.e., $\text{NP} \neq \text{co-NP}$

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Suppose, I am given an input formula ϕ and I want to prove that ϕ is not satisfiable.

- It is widely believed that there is no poly-size, efficiently verifiable proof w that you could give for UNSAT
- I.e., $\text{NP} \neq \text{co-NP}$
- But, we don't know how to prove this

The Complexity Zoo

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Complexity Zoo

The complexity zoo (https://complexityzoo.net/Complexity_Zoo) now has 550 complexity classes.