

Foundations of Computing

Lecture 6

Arkady Yerukhimovich

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Outline

- 1 Lecture 5 Review
- 2 A Non-regular Language
- 3 The Pumping Lemma for Regular Languages
- 4 Proving the Pumping Lemma
- 5 Using the Pumping Lemma

Lecture 5 Review

- Regular expressions
- Equivalence of regular expressions and NFAs/DFAs

Quiz Solutions

For each of the following languages over $\Sigma = \{a, b\}$, give two strings that are in the language and two strings not in the language.

① $a^* \cup b^*$

in
aaaa
bb

out
ab
ba

② $(aa \cup bb)^*$

in
aaaa
bbbb

out
abba
ab

③ $\Sigma^* a \Sigma^* b \Sigma^* a \Sigma^*$

in
abab
aabbbabab

out
bbbbbb
aaaaaa

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What We Know So Far

The following four things are equivalent:

- 1 Regular languages
- 2 Languages decided by DFAs
- 3 Languages decided by NFAs
- 4 Languages described by regular expressions

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ab a b a

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Are all languages regular?

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Are all languages regular?

Today we will see that there are languages that are not regular

The F in DFA/NFA

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Important

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This means that:

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- An automaton must be able to process strings w s.t. $|w| > |Q|$

Important

In a *Finite* Automaton, the number of states is **finite**

This means that:

- The number of states is fixed independently of the input size
- An automaton must be able to process strings w s.t. $|w| > |Q|$
- Thus, a finite automaton cannot store its whole input

A Nonregular Language

Consider the following language:

$$L = \{0^n 1^n \mid n \geq 0\}$$

Let's try to build a DFA for L :



A Nonregular Language

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Let's try to build a DFA for L :

The Problem

We need to count the number of 0s, but this is unbounded so can't have a state for each value

The Need for a Proof

What we just saw

Intuition: An NFA/DFA cannot count unbounded inputs

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Why isn't this a proof?

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Intuition: An NFA/DFA cannot count unbounded inputs

Why isn't this a proof?

Consider the following language:

0101010

$L' = \{w \mid w \text{ has an equal number of occurrences of } 01 \text{ and } 10 \text{ as substrings}\}$

sketch

General Proof Structure

We will prove that a language L is not regular by contradiction

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General Proof Structure

We will prove that a language L is not regular by contradiction

- 1 Assume L is regular – there is a NFA/DFA M accepting it
- 2 Pick a particular string $w \in L$
- 3 Show that if $M(w) = 1$ then there exists a string $w' \notin L$ s.t. $M(w') = 1$
- 4 Conclude that L is not regular since any M that accepts all strings in L must also accept strings not in L

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Let's write this using math:

$$\exists p \text{ s.t. } \forall w \in L, |w| \geq p \quad \exists x, y, z \text{ s.t. } w = xyz \quad \forall i \quad xy^iz \in L$$

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Next steps:

- 1 Prove the pumping lemma
- 2 Show how to use the pumping lemma to prove languages nonregular

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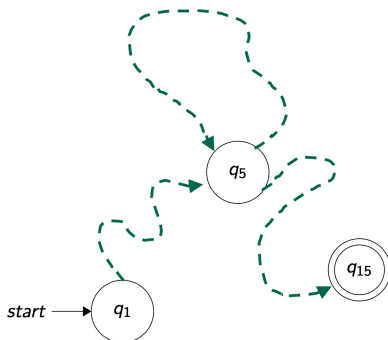
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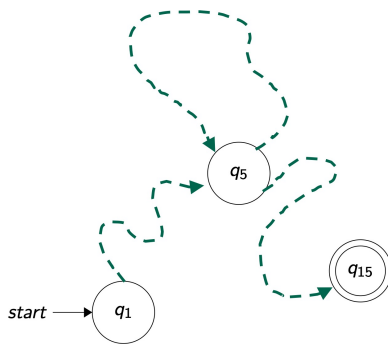
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- Suppose $w \in L$ s.t. $|w| = n \geq p$
 - If we run $M(w)$, it will go through $n + 1$ states – since it transitions on each symbol of w
 - Since $n + 1 > p$, there must be some state that is visited twice

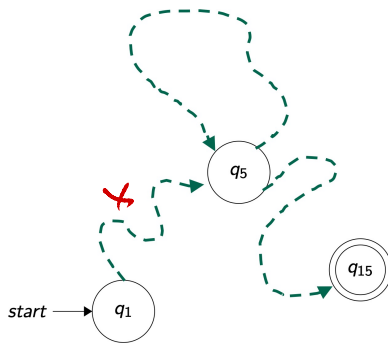


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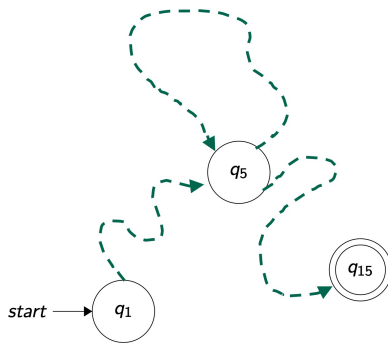
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- x is the part of w until $M(w)$ visits q_5

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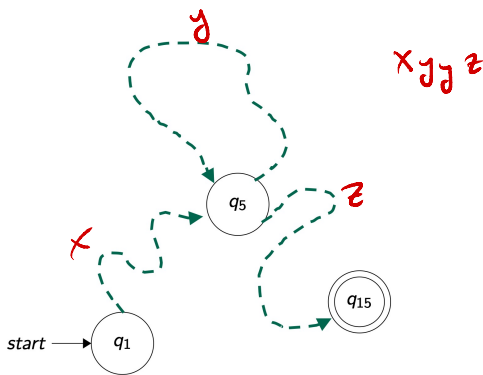


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1. $x y^i z \in L$
2. $|y| > 0$
3. $|x y| < p$



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Proof: if q_5 is the first repetition in $M(w)$, then this repetition must occur in the first $p + 1$ states, hence $|xy| \leq p$

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Observe that:

- x takes M from $r_1 = q_1$ to r_j , y takes M from r_j to r_k , and z takes M from r_k to r_{n+1} , which is an accept state. So, M must accept $xy^i z$ for $i \geq 0$

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- $j \neq k$ so, $|y| > 0$
- $k \leq p + 1$, so $|xy| \leq p$

The Pumping Lemma

Pumping Lemma

If L is a regular language, then there exists an integer p (the pumping length) where any string $w \in L$ such that $|w| \geq p$ can be divided into three substrings $w = xyz$ satisfying:

- 1 For each $i \geq 0$, $xy^iz \in L$
- 2 $|y| > 0$, and
- 3 $|xy| \leq p$

Mathematically:

$$\forall w \in L, |w| \geq p \exists \text{ substrings } w = xyz \text{ s.t. } \forall i \geq 0, xy^iz \in L$$

Let's negate this:

$$\exists w \in L, |w| \geq p \forall \text{ substrings } w = xyz \exists i \geq 0, \text{ s.t. } xy^iz \notin L$$

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To use the pumping lemma to prove that L is not regular, we do the following:

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To use the pumping lemma to prove that L is not regular, we do the following:

- 1 Assume that L is regular

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To use the pumping lemma to prove that L is not regular, we do the following:

- 1 Assume that L is regular
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- ① Assume that L is regular
- ② By pumping lemma there exists pumping length p , s.t. all w with $|w| \geq p$ can be pumped
- ③ Choose a particular $w \in L$ with $|w| \geq p$
- ④ Demonstrate that w cannot be pumped:
 - For each possible division $w = xyz$, find an i such that $xy^iz \notin L$

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To use the pumping lemma to prove that L is not regular, we do the following:

- 1 Assume that L is regular
- 2 By pumping lemma there exists pumping length p , s.t. all w with $|w| > p$ can be pumped
- 3 Choose a particular $w \in L$ with $|w| \geq p$
- 4 Demonstrate that w cannot be pumped:
 - For each possible division $w = xyz$, find an i such that $xy^iz \notin L$
- 5 Contradiction – Pumping lemma must hold for any regular L

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- ⑤ Contradiction – hence, L is not regular

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Consider $L = \{w \mid w \text{ has an equal number of 0s and 1s}\}$, prove L is not regular

Proof:

- 1 Assume L is regular, and let p be the pumping length this implies
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- ⑦ Contradiction – hence, L is not regular

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- 4 Since regular languages are closed under \cap , if L is regular then L_1 must be regular
- 5 Since we know L_1 is nonregular, this means that L must be nonregular

Exercise

Prove that the following language is nonregular:

$$L = \{0^i 1^j 2^i 3^j \mid i, j > 0\}$$

What's Next?

- We will get plenty of practice with proving languages nonregular
- We will add (a small amount of) memory to our machines to recognize a richer class of languages