

# Foundations of Computing

## Lecture 15

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- 1 Lecture 14 Review
- 2 Review: Decidable Languages
- 3 Preliminaries – Countable and Uncountable Sets
- 4 Hilbert's Grand Hotel – Playing with Countably Infinite Sets
- 5 Back to Foundations
- 6 An Undecidable Language
- 7 Reductions between Languages

# Lecture 14 Review

- Decidable and Turing-recognizable languages
- Decidability of regular and context-free languages

# Outline

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# Characterizing Computability of Languages

## Definition: Decidable languages

A language  $L$  is *decidable* or *recursive* if some TM  $M$  decides it

- $M$  halts on ALL inputs, accepts those in  $L$  and rejects those not in  $L$
- Seems to match informal definition we wanted before

## Definition: Turing-recognizable languages

A language  $L$  is *Turing-recognizable* or *recursively enumerable* if some TM  $M$  recognizes it

- $M$  halts and accepts all strings in  $L$
- $M$  may not halt on strings not in  $L$  – does not necessarily have to reject

## Observation

Every Decidable language is also Turing-recognizable, but the reverse direction is not true.

# Decidable Languages

We showed the following languages are decidable:

- Languages about Finite Automata

- 1  $A_{DFA} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w\}$
- 2  $A_{NFA} = \{\langle B, w \rangle \mid B \text{ is a NFA that accepts input string } w\}$
- 3  $A_{REX} = \{\langle R, w \rangle \mid R \text{ is a reg. exp. that generates the string } w\}$
- 4  $E_{DFA} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}$
- 5  $EQ_{DFA} = \{\langle A, B \rangle \mid A, B \text{ are DFAs and } L(A) = L(B)\}$

- Languages about CFGs

- 1  $E_{CFG} = \{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset\}$

# A Language About Turing Machines

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On input  $\langle M, w \rangle$ :

- 1 Simulate  $M$  on input  $w$
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- 1 Simulate  $M$  on input  $w$
  - 2 If  $M$  ever enters its accept state, halt and accept. If  $M$  ever enters its reject state, halt and reject
- Is  $A_{TM}$  Decidable?
    - The problem:  $M$  may never halt
    - In this case, above algorithm will never output accept or reject
    - If could determine that  $M$  will never halt (i.e, it has entered an infinite loop), could reject.

# A Language About Turing Machines

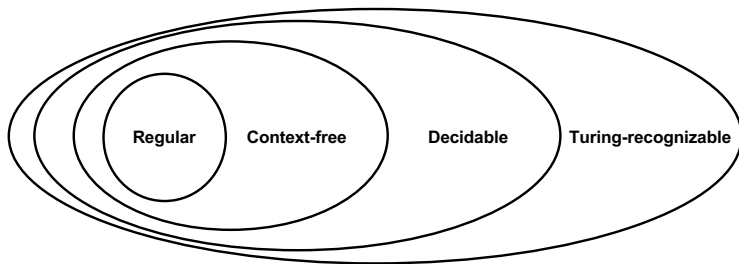
$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M(w) = 1 \}$$

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## An Undecidable Problem

- We will prove today that  $A_{TM}$  is undecidable

# Relationships Among Language Classes



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- Example:  
     $A = \{0, 1, 2, 3\}$   
     $B = \{a, b, c, d\}$   
     $f(0) = a, f(1) = b, f(2) = c, f(3) = d$



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# Countable and Uncountable (Infinite) Sets

Intuition: Countable sets are ones where we can arrange elements into a “first element”, “second element”, and so on.

- An infinite set  $A$  is *countably infinite* if it has the same cardinality as the natural numbers:  $\mathcal{N} = 1, 2, 3, \dots$
- A set  $A$  is countable if it is finite or countably infinite
- A set that is not countable is *uncountable*

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# The Hotel Setup

- Imagine a hotel with an infinite number of rooms:  $1, 2, \dots$
- All the rooms are occupied, each with a guest.
- The hotel is full, but still, there is room for more guests.

# Paradox 1: Adding One New Guest

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## Conclusion

Even when the hotel is "full," it is still possible to accommodate an additional guest.

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- Now, an infinite number of new guests  $(1, 2, \dots)$  arrive at the hotel.
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- This frees up all the odd-numbered rooms  $(1, 3, 5, 7, \dots)$ , which can be assigned to the new guests.

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### Conclusion

Even though there are infinitely many new guests, the hotel can still accommodate them by utilizing the infinite number of even-numbered rooms.

## Paradox 3: Accommodating an Infinite Number of Buses

- Suppose there is a countably infinite number of buses, each carrying a countably infinite number of passengers.

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# Example 1: Evens

- To show that an infinite set is countable, need to show a 1-to-1 and onto mapping onto the Natural numbers ( $\mathcal{N}$ ):  $1, 2, \dots$

## Evens

The set of even numbers is countable

## Example 2: Rationals

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The set of rational numbers is countable

	1	2	3	...
1	1/1	1/2	1/3	
2	2/1	2/2	2/3	...
3	3/1	3/2	3/3	
4	4/1	4/2	⋮	

## Example 3: Strings

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The set of real numbers ( $\mathcal{R}$ ) is uncountable

Proof: By diagonalization

- Assume that  $\mathcal{R}$  is countable
- Then there is a one-to-one and onto mapping  $f$  from  $\mathcal{N}$  to  $\mathcal{R}$

n	$f(n)$
1	1.234...
2	3.141...
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$\vdots$	$\vdots$

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- We construct a value  $x \in \mathcal{R}$  s.t  $x \neq f(n)$  for any  $n$   
Idea: For all  $i \in \mathcal{N}$ , make  $x_i \neq f(i)_i$

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Idea: For all  $i \in \mathcal{N}$ , make  $x_i \neq f(i)_i$
- Contradiction –  $f$  is not mapping between  $\mathcal{R}$  and  $\mathcal{N}$

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- Can similarly show that for any finite alphabet  $\Sigma$ ,  $\Sigma^*$  is countable
- But, a TM  $M$  can be written as a string  $\langle M \rangle \in \Sigma^*$
- Hence, by omitting all strings that are not encodings of valid TMs we get a mapping of TMs to  $\mathcal{N}$

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  - Define the characteristic sequence  $\chi_A$  of language  $A \in \mathcal{L}$

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- 3 Therefore,  $\mathcal{L}$  is uncountable

# Some Languages are not Turing-recognizable

We have proven:

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Where are we now?

- We have proven that some languages are not Turing-recognizable
- But, we have not given any examples of such a language

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- Assume that  $A_{TM}$  is decided by a TM  $H$ :  $H$  halts on all inputs, and

$$H(\langle M, w \rangle) = \begin{cases} \textit{accept} & \text{if } M \text{ accepts } w \\ \textit{reject} & \text{if } M \text{ does not accept } w \end{cases}$$

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$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

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$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

- Now consider what happens if we run  $D$  on  $\langle D \rangle$

$$D(\langle D \rangle) = \begin{cases} \text{accept} & \text{if } D \text{ does not accept } \langle D \rangle \\ \text{reject} & \text{if } D \text{ accepts } \langle D \rangle \end{cases}$$

- Contradiction!

# How Is This a Diagonalization?

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	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\dots$	$\langle D \rangle$	$\dots$
$M_1$	<u>accept</u>	reject	accept		accept	
$M_2$	reject	<u>reject</u>	reject	$\dots$	accept	$\dots$
$M_3$	accept	accept	<u>accept</u>		reject	
$\vdots$		$\vdots$		$\ddots$		
$D$	reject	accept	reject		?	

- We have defined  $D$  to do the opposite of what  $M_i$  does on input  $\langle M_i \rangle$
- But what does  $D$  do on input  $\langle D \rangle$ ??
- We have found a machine not on the list

# A Turing-unrecognizable Language

$\overline{A_{TM}}$

The language

$$\overline{A_{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M(w) \neq 1\}$$

is not Turing-recognizable

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# Another Way to Prove Undecidability

## Reductions Between Problems

There is a reduction from a problem  $A$  to a problem  $B$  if we can use a solution to problem  $B$  to solve problem  $A$

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$A \leq B$  means that:

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- Equivalently, problem  $B$  is no easier than problem  $A$



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- Since  $A \leq B$ , there exists an algorithm (i.e., a reduction) that uses a solution to  $B$  to solve  $A$
- But, this means that  $A$  is decidable by running the machine for  $B$  as needed by the reduction

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- Output whatever *M* output