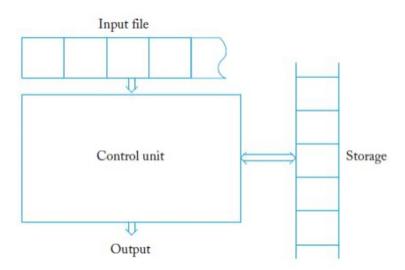
# Foundations of Computing Lecture 1

Arkady Yerukhimovich

January 14, 2025

# Modeling Computation



#### Outline

1 Strings, Languages, and Automata

2 Modeling Computation

3 Deterministic Finite Automata (DFA)

- ullet Alphabet  $\Sigma$ : Set of symbols
  - Ex:  $\Sigma = \{a, b\}$ ,  $\Sigma = \{0, 1\}$

- Alphabet  $\Sigma$ : Set of symbols
  - Ex:  $\Sigma = \{a, b\}, \Sigma = \{0, 1\}$
- $\bullet$  String: sequence of symbols from  $\Sigma$ 
  - ex: v = aba, w = abaaa
  - ex: v = 001, w = 11001
  - $\bullet$   $\lambda, \epsilon$  empty string
  - ullet Length of a string: |v|=3 and  $|\lambda|=0$

- Alphabet  $\Sigma$ : Set of symbols
  - Ex:  $\Sigma = \{a, b\}, \ \Sigma = \{0, 1\}$
- ullet String: sequence of symbols from  $\Sigma$ 
  - ex: v = aba, w = abaaa
  - ex: v = 001, w = 11001
  - $\lambda, \epsilon$  empty string
  - Length of a string: |v| = 3 and  $|\lambda| = 0$
- Operations on Strings
  - v = aba, w = abaaa
  - Concatenation: vw = abaabaaa
  - Reverse:  $w^R = aaaba$
  - Repeat:  $v^2 = abaaba$  and  $v^0 = \epsilon$

- Alphabet  $\Sigma$ : Set of symbols
  - Ex:  $\Sigma = \{a, b\}, \ \Sigma = \{0, 1\}$
- ullet String: sequence of symbols from  $\Sigma$ 
  - ex: v = aba, w = abaaa
  - ex: v = 001, w = 11001
  - $\bullet$   $\lambda, \epsilon$  empty string
  - Length of a string: |v|=3 and  $|\lambda|=0$
- Operations on Strings
  - v = aba, w = abaaa
  - Concatenation: vw = abaabaaa
  - Reverse:  $w^R = aaaba$
  - Repeat:  $v^2 = abaaba$  and  $v^0 = \epsilon$
- Kleene Closure
  - For an alphabet  $\Sigma$ ,  $\Sigma^*$  is the set of all strings formed by concatenating zero or more symbols from  $\Sigma$
  - Ex: If  $\Sigma = \{0,1\}$  then  $\Sigma^*$  is the set of all binary strings, including  $\epsilon$

- Language L: Set of strings
  - ullet We say that any  $s\in L$  is in the language

- Language L: Set of strings
  - We say that any  $s \in L$  is in the language
- Examples:
  - $L_1 = \{ab, aa\}$

- Language L: Set of strings
  - We say that any  $s \in L$  is in the language
- Examples:
  - $L_1 = \{ab, aa\}$
  - $L_2 = \{a^n b^n : n \ge 0\}$

- Language L: Set of strings
  - We say that any  $s \in L$  is in the language
- Examples:
  - $L_1 = \{ab, aa\}$
  - $L_2 = \{a^n b^n : n \ge 0\}$
  - The language of all English sentences

- Language L: Set of strings
  - We say that any  $s \in L$  is in the language
- Examples:
  - $L_1 = \{ab, aa\}$
  - $L_2 = \{a^n b^n : n \ge 0\}$
  - The language of all English sentences
  - For any alphabet  $\Sigma$ ,  $\Sigma^*$  is a language

- Language L: Set of strings
  - We say that any  $s \in L$  is in the language
- Examples:
  - $L_1 = \{ab, aa\}$
  - $L_2 = \{a^n b^n : n \ge 0\}$
  - The language of all English sentences
  - For any alphabet  $\Sigma$ ,  $\Sigma^*$  is a language
- Size or a language:
  - A language L has size |L|

- Language L: Set of strings
  - We say that any  $s \in L$  is in the language
- Examples:
  - $L_1 = \{ab, aa\}$
  - $L_2 = \{a^n b^n : n \ge 0\}$
  - The language of all English sentences
  - For any alphabet  $\Sigma$ ,  $\Sigma^*$  is a language
- Size or a language:
  - A language L has size |L|
  - |L| can be finite e.g.  $|L_1| = 2$

- Language L: Set of strings
  - We say that any  $s \in L$  is in the language
- Examples:
  - $L_1 = \{ab, aa\}$
  - $L_2 = \{a^n b^n : n \ge 0\}$
  - The language of all English sentences
  - For any alphabet  $\Sigma$ ,  $\Sigma^*$  is a language
- Size or a language:
  - A language L has size |L|
  - |L| can be finite e.g.  $|L_1| = 2$
  - |L| can be infinite e.g.,  $L_2, L_3, L_4 = \infty$

We will often be interested in languages recognized by a particular "computer".

# Deciding Languages vs. Computing Functions

- Deciding Languages:
  - We will often want to "decide" a language L.

## Deciding Languages vs. Computing Functions

- Deciding Languages:
  - We will often want to "decide" a language L.
  - Given a string x, output whether  $x \in L$  or not
- Computing Functions:
  - ullet Given alphabet  $\Sigma$

# Deciding Languages vs. Computing Functions

- Deciding Languages:
  - We will often want to "decide" a language L.
  - Given a string x, output whether  $x \in L$  or not
- Computing Functions:
  - ullet Given alphabet  $\Sigma$
  - Define a function  $f_L: \Sigma^* \to \{0,1\}$  s.t.  $f_L(x) = 1$  iff  $x \in L$

#### Remember

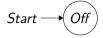
Deciding the language L is the same as computing  $F_L$ 

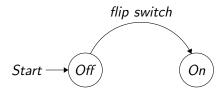
#### Outline

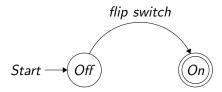
Strings, Languages, and Automata

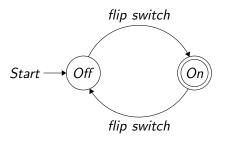
Modeling Computation

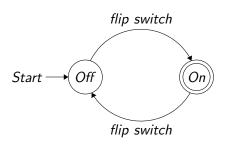
3 Deterministic Finite Automata (DFA)











#### Viewing this as a language

```
L_{light} = \{ \text{set of all flip sequences resulting in the light being on} \}

L_{light} = \{ 1 \text{ flip, 3 flips, 5 flips, ...} \}
```

• An automaton is an abstract model of a computing device

- An automaton is an abstract model of a computing device
- An automaton consists of:
  - An input mechanism

- An automaton is an abstract model of a computing device
- An automaton consists of:
  - An input mechanism
  - A control unit

- An automaton is an abstract model of a computing device
- An automaton consists of:
  - An input mechanism
  - A control unit
  - Possibly, a storage mechanism

- An automaton is an abstract model of a computing device
- An automaton consists of:
  - An input mechanism
  - A control unit
  - Possibly, a storage mechanism
  - Possibly, an output mechanism

- An automaton is an abstract model of a computing device
- An automaton consists of:
  - An input mechanism
  - A control unit
  - Possibly, a storage mechanism
  - · Possibly, an output mechanism
- Control unit transitions between internal states, as determined by a next-state or transition function
- There are a finite number of states

- An automaton is an abstract model of a computing device
- An automaton consists of:
  - An input mechanism
  - A control unit
  - Possibly, a storage mechanism
  - · Possibly, an output mechanism
- Control unit transitions between internal states, as determined by a next-state or transition function
- There are a finite number of states

#### A note on input size

An automaton must be able to accept input of arbitrary length.

- An automaton is an abstract model of a computing device
- An automaton consists of:
  - An input mechanism
  - A control unit
  - Possibly, a storage mechanism
  - · Possibly, an output mechanism
- Control unit transitions between internal states, as determined by a next-state or transition function
- There are a finite number of states

#### A note on input size

- An automaton must be able to accept input of arbitrary length.
- The input may be much larger than the number of states.

- An automaton is an abstract model of a computing device
- An automaton consists of:
  - An input mechanism
  - A control unit
  - Possibly, a storage mechanism
  - · Possibly, an output mechanism
- Control unit transitions between internal states, as determined by a next-state or transition function
- There are a finite number of states

#### A note on input size

- An automaton must be able to accept input of arbitrary length.
- The input may be much larger than the number of states.
- Our goal is a single machine that works for all inputs

#### Automata we will study

- Finite Automata (Deterministic and Non-deterministic)
  - These model Finite State Machines with no external memory

#### Automata we will study

- Finite Automata (Deterministic and Non-deterministic)
  - These model Finite State Machines with no external memory
- Pushdown automata
  - Add the simplest form of memory to a Finite State Machine

## Automata we will study

- Finite Automata (Deterministic and Non-deterministic)
  - These model Finite State Machines with no external memory
- Pushdown automata
  - Add the simplest form of memory to a Finite State Machine
- Turing Machines
  - Add unrestricted memory to a Finite State Machine

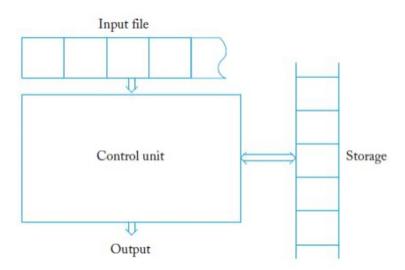
#### Outline

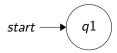
Strings, Languages, and Automata

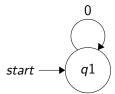
2 Modeling Computation

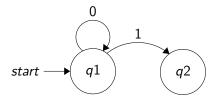
3 Deterministic Finite Automata (DFA)

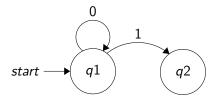
#### What is an Automaton

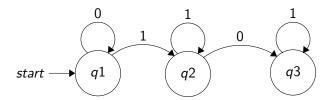


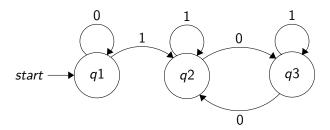


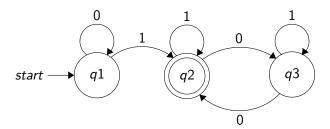


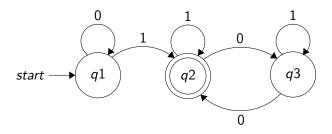




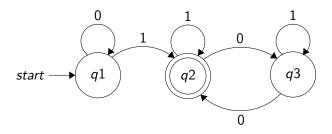




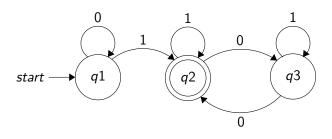




Computation on string x = 1101



Computation on string x = 1101



#### Computation on string x = 1101

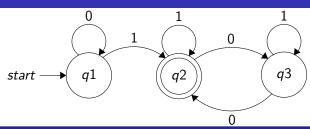
- Start in state *q*1
- 2 read 1, follow transition to  $q^2$
- $\odot$  read 1, follow transition to q2
- read 0, follow transition to q3
- read 1, follow transition to q3
- "reject" (output 0) because q3 is not an accept state

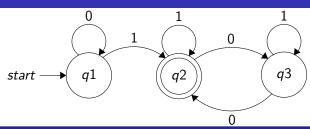
#### Finite Automaton - Formal Definition

#### Finite Automaton

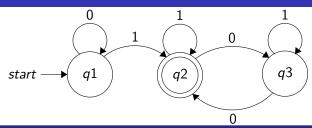
A finite automaton is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where:

- Q is a finite set of states
- ullet  $\Sigma$  is a finite input alphabet
- $\delta: Q \times \Sigma \to Q$  is the transition function
- $q_0 \in Q$  is the start state
- $F \subseteq Q$  is the set of accept states

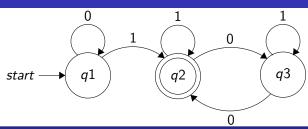




• 
$$Q = \{q1, q2, q3\}$$

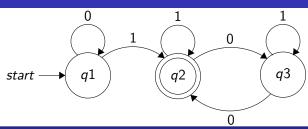


- $Q = \{q1, q2, q3\}$
- $\Sigma = \{0, 1\}$

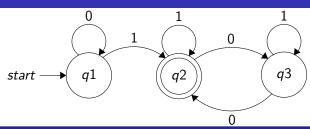


- $Q = \{q1, q2, q3\}$
- $\bullet \ \Sigma = \{0,1\}$

$$\delta = \begin{array}{c|cccc} & 0 & 1 \\ \hline q1 & q1 & q2 \end{array}$$

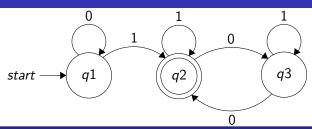


- $Q = \{q1, q2, q3\}$
- $\bullet \ \Sigma = \{0,1\}$



## Defining this formally: $M = (Q, \Sigma, \delta, q1, F)$

- $Q = \{q1, q2, q3\}$
- $\Sigma = \{0, 1\}$



- $Q = \{q1, q2, q3\}$
- $\Sigma = \{0, 1\}$

- q1 is the start state
- $F = \{q2\}$