# Foundations of Computing Lecture 3

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### Outline

- 1 Lecture 2 Review
- Regular Languages
- Non-deterministic Finite Automata (NFA)
- 4 Example NFAs

### Lecture 2 Review

- ullet Language decided by DFA M
- Building DFAs
- Proving Correctness of DFAs

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### From Machines to Languages

- Last lecture we saw how to build DFA M to decide a language L
- Learned to reason about machine M
- Recall that each machine M decides one language L(M)

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### Let's switch our perspective

Instead of reasoning about machines, let's focus on languages decided by those machines.

# Regular Language

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- We will prove that regular languages correspond to regular expressions

### Something to think about

Are all languages regular?

### Closure under Complement

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Intuition: Swap the accept and reject states

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Construct  $M' = (Q', \Sigma', \delta', q', F')$  that decides  $\overline{L}$ 

- $2 \Sigma' = \Sigma$
- $\delta' = \delta$
- q' = q
- $F' = Q \setminus F$

Observe:

• If  $w \in L \iff w \notin \overline{L}$ , then M(w) stops in some  $q \in F$ , so  $q \notin (Q \setminus F)$ 

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Intuition: Run both machines in parallel and accept if either of them stops in an accept state

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Construct  $M = (Q, \Sigma, \delta, q, F)$  that recognizes  $L_1 \cup L_2$ 

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Intuition: Run both machines in parallel (same as for union) and accept if BOTH of them stop in an accept state

#### Closure Under Concatenation

If  $L_1$  and  $L_2$  are both regular languages then  $L_1 \circ L_2$  is also regular

$$L_1 \circ L_2 = \{xy | x \in L_1 \text{ and } y \in L_2\}$$

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### Nondeterminism

#### **Deterministic Finite Automaton**

- For every state q and every symbol  $x \in \Sigma$ , exactly one value  $\delta(q, x)$  is defined
- State transitions only on an input symbol
- Execution of DFA is fully determined

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- Allow multiple transitions for same state and symbol: e.g.,  $\delta(q1,1) = \{q2,q3\}$
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#### Nondeterministic Finite Automaton

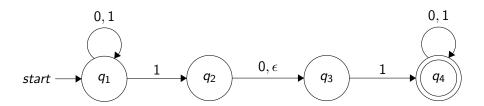
- Allow multiple transitions for same state and symbol: e.g.,  $\delta(q1,1) = \{q2,q3\}$
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### What is going on here?!?

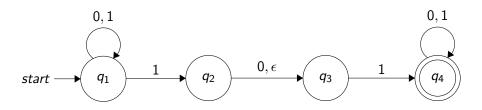
What does non-determinism mean?



# An Example NFA

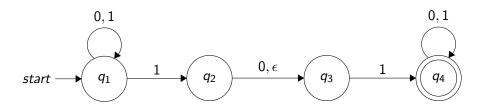


# An Example NFA



Input: 010

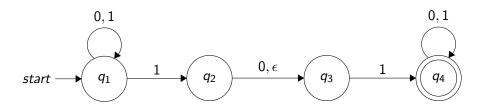
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Input: 010

Input: 010110

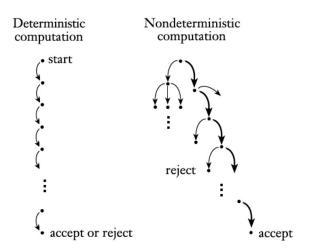
## An Example NFA



Input: 010 Input: 010110

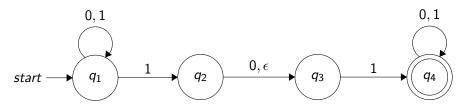
Question: What language does this recognize?

Interpretation 1: Try all paths in parallel

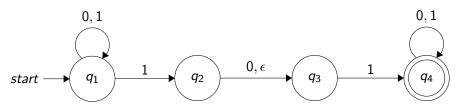


If any path leads to accept then accept

Interpretation 2: Guess and verify

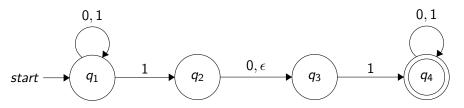


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- $\bullet$  Verifies that this guess was correct on path to  $q_4$

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- **1** DFA execution on input *x*:
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Interpretation 3: Verifying a proof vs. finding a solution

Consider the execution of a finite automaton

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- NFA execution on input x
  - Input x alone does not necessarily take you to an accept state
  - Need to somehow choose which edge to take whenever there is a choice
  - Can view this sequence of nondeterministic choices as a "witness" w that allows you to verify that  $x \in L(M)$

### **Important**

For any  $x \notin L$ , there must be no path to an accepting state – no possible "witness" works

## Nondeterministic Finite Automaton – Formal Definition

## Nondeterministic Finite Automaton (NFA)

An NFA is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where:

- Q is a finite set of states
- ullet  $\Sigma$  is a finite input alphabet
- $\delta: Q \times (\Sigma \cup {\epsilon}) \to P(Q)$  is the transition function
- $q_0 \in Q$  is the start state
- $F \subseteq Q$  is the set of accept states

#### Recall:

P(Q) is the power set of Q, i.e., the set of all subsets of Q

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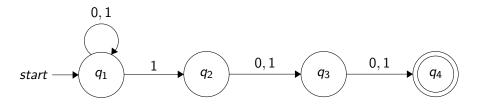
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#### Changes:

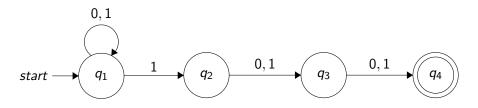
- **1** Transition function allows empty symbol  $(\epsilon)$
- ② Output of transition function is a set of states  $\in P(Q)$ , not a single state in Q

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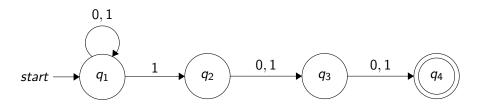


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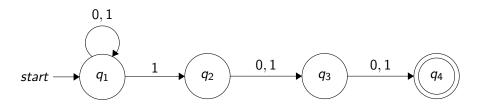


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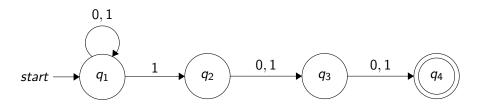


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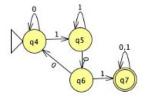
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- ullet M waits in  $q_1$  until it "guesses" that it is 3 symbols from the end
- Uses the rest of the states to verify that 1 is third from the end
- DFA doing the same thing would have to track the last three bits seen – requires 8 states

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  - 10 the substring 101, or
  - ② the substring 010}

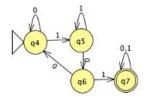
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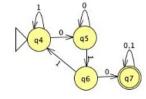
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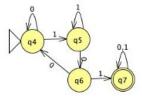
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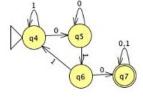


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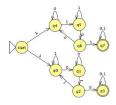
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DFA for prop. (1)



DFA for prop. (2)



NFA for L