Foundations of Computing Lecture 21

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April 9, 2024

Outline

- 1 Lecture 20 Review
- $oldsymbol{2}$ A Review of ${\cal P}$ and ${\cal NP}$
- 3 Polynomial-Time Reductions
- $4 \mathcal{NP}$ -Completeness
- 5 \mathcal{NP} -Completeness Using Reductions

Lecture 20 Review

- Verifying vs. Deciding
- ullet The Complexity Class \mathcal{NP}

$$\mathcal{NP} = \bigcup_{k} NTIME(n^k)$$

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Both ${\mathcal P}$ and ${\mathcal N}{\mathcal P}$ contain many useful languages

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\mathcal{NP} -Completeness

There are problems in \mathcal{NP} that are as hard as any other problem in \mathcal{NP}

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Language A is mapping reducible to language B $(A \leq_m B)$ if there is a computable function $f: \Sigma^* \to \Sigma^*$, where for every x,

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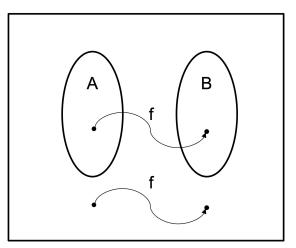
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- Poly-time reductions give an efficient way to convert membership testing in A to membership testing in B
- If B has a poly-time solution so does A



Poly-time Mapping Reductions



f runs in time poly(|x|) on all inputs x

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 - If $x \in A$, $f(x) \in B$ so M accepts
 - If $x \notin A$, $f(x) \notin B$, so M rejects
 - Since both f and M are poly-time, M(f(x)) is also poly-time

Using Poly-Time Reductions to Prove Hardness

Theorem

If $A \leq_P B$ and $A \notin \mathcal{P}$, then $B \notin \mathcal{P}$

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If B is \mathcal{NP} -complete and $B \leq_P C$ for $C \in \mathcal{NP}$, then C is \mathcal{NP} -complete

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Proof Idea:

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 - Since any computation can be represented as a Boolean computation, this is always possible

#	q 0	<i>x</i> ₁	<i>x</i> ₂	• • •	Xn	Ш	 Ш	#
#								#
#								#
#								#

Table: Tableau of configurations of M

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- M accepts x if a row of this tableau is in q_{accept}

Given input x that we want to check if $x \in A$ We need to build a formula ϕ that checks the following four things:

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 - \bullet The following equation $\phi_{i,j}^{cell}$ guarantees that a cell has a valid value

$$\phi_{i,j}^{\textit{cell}} = \underbrace{\left(\bigvee_{s \in C} x_{i,j,s}\right)}_{\text{cell } i,j \text{ has at least } 1 \text{ value}} \land \underbrace{\left(\bigwedge_{s,t \in C, s \neq t} (\overline{x_{i,j,s}} \lor \overline{x_{i,j,t}})\right)}_{\text{cell } i,j \text{ has at most } 1 \text{ value}}$$

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• Now, we just take the AND over all n^{2k} cells in the tableau

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$$\phi_{start} = x_{1,1,\#} \wedge x_{1,2,q_0} \cdots \wedge x_{1,n^k,\#}$$

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- Since k = O(1), this is polynomial in n

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- A Boolean formula is in conjunctive normal form (CNF) if it consists of clauses connected by ∧'s

$$(x_1 \vee \overline{x_2} \vee x_3 \vee x_4) \wedge (\overline{x_3} \vee x_5)$$

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- A clause is several literals connected with \vee 's $x_1 \vee \overline{x_2} \vee x_3$
- \bullet A Boolean formula is in conjunctive normal form (CNF) if it consists of clauses connected by \wedge 's

$$(x_1 \vee \overline{x_2} \vee x_3 \vee x_4) \wedge (\overline{x_3} \vee x_5)$$

A Boolean formula is a 3-CNF if all the clauses have exactly 3 literals

$$(x_1 \vee \overline{x_2} \vee x_3) \wedge (\overline{x_3} \vee x_4 \vee x_5) \wedge (\overline{x_1} \vee x_4 \vee x_2)$$

- Recall that SAT asks if a Boolean formula has a satisfying assignment
- 3SAT asks the same question for 3-CNF formulas

3-CNF formulas

- A literal is a (possibly negates) Boolean variable x or \overline{x}
- A clause is several literals connected with \lor 's $x_1 \lor \overline{x_2} \lor x_3$
- \bullet A Boolean formula is in conjunctive normal form (CNF) if it consists of clauses connected by \land 's

$$(x_1 \vee \overline{x_2} \vee x_3 \vee x_4) \wedge (\overline{x_3} \vee x_5)$$

A Boolean formula is a 3-CNF if all the clauses have exactly 3 literals

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3-SAT

 $3SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable 3-CNF formula} \}$

Can show that 3SAT is \mathcal{NP} -complete using similar proof to SAT

$\overline{3SAT} \leq_P CLIQUE$

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 - If ϕ is satisfiable, G has a clique of size k
 - If ϕ is not satisfiable, G has no clique of size k
- Consider $\phi = (x_1 \lor x_1 \lor x_2) \land (\overline{x_1} \lor \overline{x_2} \lor \overline{x_2}) \land (\overline{x_1} \lor x_2 \lor x_2)$

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 $\begin{pmatrix} x_1 \end{pmatrix}$



 (x_1)



 (x_2)



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ullet If ϕ is satisfiable then ${\it G}$ has a ${\it k}$ -clique

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 $\begin{pmatrix} x_1 \end{pmatrix}$



 (x_1)



 (x_2)



- If ϕ is satisfiable then G has a k-clique
- If G has a k-clique then ϕ is satisfiable