

CS 3313

Foundations of Computing:

Properties of Regular Languages: Non-regular Languages

<http://gw-cs3313.github.io>

© slides based on material from
Peter Linz book, Hopcroft & Ullman, Narahari

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Properties of Regular Languages

- Closure Properties: what happens when we “combine” two regular languages or perform set operations on them ?
- Decision Problems: can we provide procedures to determine properties of a language ?
- Next....How do we determine if a language does not belong to that class of languages ?
 - Ex: How do we show that a language (problem?) cannot be accepted by a DFA ?

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Closure Properties

- Regular languages are closed under:
 - Union
 - Complement
 - Intersection
 - Concatenation
 - Star closure
 - Reversal
 - Set difference
 - homomorphism

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Decision Properties

- A property is decidable if there is an algorithm that can determine if the property holds over the language
- Regular languages, properties that are decidable:
 - Membership (Is w accepted by M)
 - Emptiness (if $L(M)$ empty)
 - Set containment (L_1 is contained in L_2)
 - Set difference
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Finite and Infinite Languages

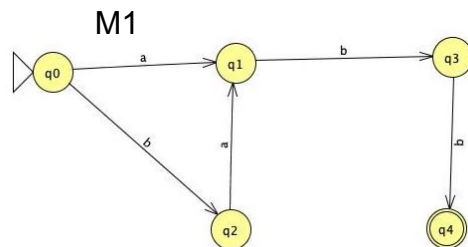
- Theorem: If a language is a finite set then it is a regular languages
 - $L = \{ w_1, w_2, \dots, w_n \mid \text{for some fixed } n \}$
- Proof:
- Question: Can we test if a regular language is finite or infinite ?

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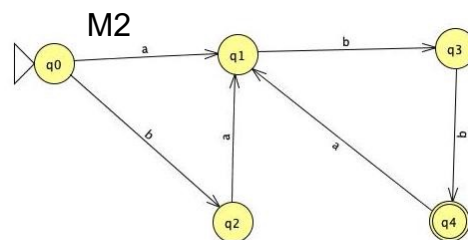
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Transition graphs for two DFAs

Is $L(M1)$ finite ?



Is $L(M2)$ finite ?



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The Infiniteness Problem

- Theorem: Testing if $L(M)$ is infinite is a decidable problem.
- **Key idea:** Homework 1
 - If there is a walk of length n or greater (from start to a final state) then there is a cycle in the graph
 - We can repeat the cycle any number of times

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The Infiniteness Problem

- Theorem: Testing if $L(M)$ is infinite is a decidable problem.
- Algorithm: compute all paths between all pairs of vertices (length n), and check if there is a cycle in the graph
 - Input: Transition graph for DFA M
 - Output: Yes if $L(M)$ is infinite, No if $L(M)$ is finite
 - Check if graph has a cycle (from start state to some final state)!
 - If cycle then $L(M)$ is infinite else $L(M)$ is finite

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So what kinds of languages are not regular and how do we prove they are not ?

- Proof for testing infiniteness of $L(M)$ reveals some properties that can be used to prove that a language is not regular.
- Given any language L , it is either regular or it is not.
 - To prove L is regular, we have to provide a DFA/NFA or Regular expression that accepts L .
 - To prove L is not regular, we need to provide a formal proof using some properties of all regular languages
 - Simply saying “I spent a lot of time and could not find a DFA” is NOT a proof.

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Why is it useful to ask if a language is Regular

- Example: Can we check if there are syntax errors in a C program by using a DFA ?
 - Syntax checking is first step in a compiler's translation process
 - Program must satisfy the rules (specified as a grammar) of the C programming language (or any programming language)

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Power of abstraction

- If a DFA can do syntax checking, then a DFA can check if there are an equal number of left and right braces ({ and } are used to specify a code block in C)
 - Choose $L = \{ w \mid w \text{ is a string over } a,b \text{ and } w \text{ has equal number of } a\text{'s and } b\text{'s} \}$
 - Using a to denote { and b to denote } (recall homomorphism which will let you substitute symbols)
- Now apply closure properties: we know that a^*b^* is regular and regular languages are closed under intersection
 - Therefore $L_1 = L \cap a^*b^* = \{ a^i b^i \mid i \geq 1 \}$ must be a regular language
 - Equal number of a's and b's
- So, is L_1 a regular language ?

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“power” of DFAs: A little intuition

- So what can DFAs (i.e., finite state machines) “compute” ?
- What can they store and where ?
 - State
 - Do they have an “external” memory to store a value ?
- Example: A DFA for $L = \{ a^i b^i \}$? (equal number of a's and b's)

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“power” or limits of DFAs....

- Key takeaway: If we have a solution that requires external storage of arbitrary size (value of a counter, storing an entire string, etc.) then DFAs don't have that capability
- Ex: $L = \{ a^i b^j \}$ or $L = \{ w w \mid w \text{ in } \{0,1\}^* \}$
- But is this argument a formal proof ?
- How do you prove a language is not regular ?

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How do we prove a language is not regular....constructing the formal framework

- Note: we are only interested in infinite language (since all finite languages are regular)
- If a language is regular then it is accepted by some DFA with n states
 - We don't know what n is ...just that it exists
 - DFA represented by a graph... has n vertices (for the n states)
- When is a string w accepted by a DFA ? In terms of transition graph of the DFA

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Walk/Paths in a graph – recall HW1 proof

- Graph has n vertices
- Walk/Path in a graph can be represented as a sequence of vertices: $v_1 v_2 v_3 \dots v_k$ where each (v_i, v_{i+1}) is an edge
- Recall proof from HW1: Suppose we have a path of length n , how many vertices on the path ?

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DFA Transition Graph

- The transition function of a DFA can be represented as a (directed graph).
- DFA has a finite number of states: n
- If DFA accepts an infinite language, then it *must* accept a string of length $\geq n$
 - Else it is a finite set !
- Suppose there is a string of length $m \geq n$ accepted by the DFA
 - Vertex sequence in the path = ?
 - $p_1 p_2 p_3 \dots p_i \dots p_j \dots p_n p_{n+1} \dots p_m p_{m+1}$

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Cycles in the path ?

▪ DFA $M = (Q, \Sigma, \delta, q_0, F)$ and $|Q| = n$ (there are n states)

▪ M accepts string w of length $m \geq n$, $\delta(q_0, w) \in F \Rightarrow$

There is a walk of length $(m+1) > n$ with vertex sequence of $(m+1)$ vertices

$p_1 p_2 p_3 \dots p_i \dots p_j \dots p_n p_{n+1} \dots p_m p_{m+1}$ with $p_i \in Q$, $p_1 = q_0$, $p_{m+1} \in F$

We have n unique vertices, therefore from pigeon hole principle:

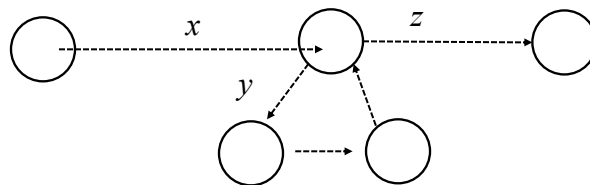
there must be two states p_i and p_j from first $n+1$ in $\{p_1 p_2 p_3 \dots p_i \dots p_j \dots p_n p_{n+1}\}$ such that $p_i = p_j$ (they are the same)

The walk in DFA for input w is therefore:

$p_1 p_2 p_3 \dots p_i \dots p_{j-1} p_i p_{j+1} \dots p_n p_{n+1} \dots p_m p_{m+1}$

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Paths in the graph....



$$w = xyz, |y| \leq 1, |xy| \leq n$$

$$\delta(q_0, x) = p_i \quad \delta(p_i, y) = p_i \quad \delta(p_i, z) = p_{m+1} \in F$$

$$\delta(q_0, x y^i z) = p_{m+1} \in F$$

therefore, if $w \in L$ then $x y^i z \in L$ for all $i \geq 0$

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The Pumping Lemma for Regular Languages

For every regular language L

There is an integer n , such that

For every string w in L of length $\geq n$

We can write $w = xyz$ such that:

1. $|xy| \leq n$.
2. $|y| > 0$.
3. For all $i \geq 0$, xy^iz is in L .

*Number of
states of
DFA for L*

*Labels along
first cycle on
path labeled w*

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Example: $L = \{ a^i b^i \mid i \geq 0 \}$

- L is not regular. Prove by contradiction
- Assume L is regular....(and apply pumping lemma)

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Pumping Lemma as Adversarial Game

- 1: Player 1 (me) picks the language to be proved nonregular

$$L = \{ ww \mid w \in \{a,b\}^* \}$$

- 2. Player 2 picks n , but doesn't reveal to player 1 what n is; player 1 must now devise a play for all possible n 's

important: you cannot assume a value for n !

- 3. Player 1 picks w , which may depend on n and which must be of length at least n

pick $w = a^n b^n a^n b^n$ (note: we express string in terms of n)

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Pumping Lemma as Adversarial Game

- 4: Player 2 divides w into x,y,z obeying the constraints that are stipulated in the lemma: y is not empty and $|xy| \leq n$.

- Again, Player 2 does not tell Player 1 what xyz are; just that they obey the constraints

$$w = xyz \quad y \text{ is not } \lambda \text{ (since } |y| \geq 1) \quad |xy| \leq n$$

since $|xy| \leq n$, string xy consists entirely of a 's

let $|x| = m_1$ and $|y| = m_2$ and $m_2 \geq 1$

$$x = a^{m_1} \quad y = a^{m_2} \quad z = a^{n-m_1-m_2} b^n a^n b^n$$

- 5. Player 1 "wins" by picking k , which may be a function of n, x, y , and z such that xy^kz is not in L .

$$\text{pick } i=0 \text{ and consider } xy^0z = a^{m_1} a^{n-m_1-m_2} b^n a^n b^n = a^{n-m_2} b^n a^n b^n$$

since $m_2 \geq 1$, $n-m_2 < n$

therefore $a^{n-m_2} b^n a^n b^n$ is not in L ... Contradiction.

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Power of abstraction & Combining theorems

- If a DFA can do syntax checking, then a DFA can check if there are an equal number of left and right braces ({ and } are used to specify a code block in C)
 - Choose $L = \{ w \mid w \text{ is a string over } a, b \text{ and } w \text{ has equal number of } a\text{'s and } b\text{'s} \}$
 - Using a to denote { and b to denote } (recall homomorphism which will let you substitute symbols)
- Now apply closure properties: we know that a^*b^* is regular and regular languages are closed under intersection
 - Therefore $L_1 = L \cap a^*b^* = \{ a^i b^i \mid i > 1 \}$ must be a regular language
 - Equal number of a 's and b 's
- So, is L_1 a regular language ?

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Example: $L = \{ w \mid w \text{ does not have an equal number of } a\text{'s and } b\text{'s} \}$

- Two approaches:
 1. Directly apply pumping lemma to get a contradiction by picking some string w
 2. Use previous results and closure properties of regular languages

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Exercise:

- *Is the following language regular, prove or disprove:*

$$L = \{0^i 1^j 2^i 3^j \mid i, j > 0\}$$

- Can you come up with a short proof using previous proofs/results and properties of regular languages ?