

Foundations of Computing

Lecture 15

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March 7, 2024

Outline

- 1 Lecture 14 Review
- 2 Review: Decidable Languages
- 3 Preliminaries – Countable and Uncountable Sets
- 4 Proving A_{TM} Undecidable
- 5 Reductions between Languages

Lecture 14 Review

- Decidable and Turing-recognizable languages
- Decidability of regular and context-free languages

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Characterizing Computability of Languages

Definition: Decidable languages

A language L is *decidable* or *recursive* if some TM M decides it

- M halts on ALL inputs, accepts those in L and rejects those not in L
- Seems to match informal definition we wanted before

Definition: Turing-recognizable languages

A language L is *Turing-recognizable* or *recursively enumerable* if some TM M recognizes it

- M halts and accepts all strings in L
- M may not halt on strings not in L – does not necessarily have to reject

Observation

Every Decidable language is also Turing-recognizable, but the reverse direction is not true.

Decidable Languages

We showed the following languages are decidable:

- Languages about Finite Automata

- 1 $A_{DFA} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w\}$
- 2 $A_{NFA} = \{\langle B, w \rangle \mid B \text{ is a NFA that accepts input string } w\}$
- 3 $A_{REX} = \{\langle R, w \rangle \mid R \text{ is a reg. exp. that generates the string } w\}$
- 4 $E_{DFA} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}$
- 5 $EQ_{DFA} = \{\langle A, B \rangle \mid A, B \text{ are DFAs and } L(A) = L(B)\}$

- Languages about CFGs

- 1 $A_{CFG} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates } w\}$
- 2 $E_{CFG} = \{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset\}$

A Language About Turing Machines

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- 1 Simulate M on input w
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- 1 Simulate M on input w
 - 2 If M ever enters its accept state, halt and accept. If M ever enters its reject state, halt and reject
- Is A_{TM} Decidable?
 - The problem: M may never halt
 - In this case, above algorithm will never output accept or reject
 - If could determine that M will never halt (i.e, it has entered an infinite loop), could reject.

A Language About Turing Machines

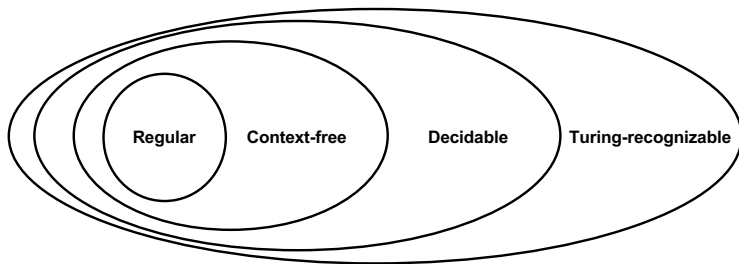
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An Undecidable Problem

- We will prove today that A_{TM} is undecidable

Relationships Among Language Classes



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- Example:
 $A = \{0, 1, 2, 3\}$
 $B = \{a, b, c, d\}$
 $f(0) = a, f(1) = b, f(2) = c, f(3) = d$

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- An infinite set A is *countably infinite* if it has the same cardinality as the natural numbers: $\mathcal{N} = 1, 2, 3, \dots$
- A set A is countable if it is finite or countably infinite
- A set that is not countable is *uncountable*

Example 1: Evens

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Example 2: Rationals

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The set of rational numbers is countable

	1	2	3	...
1	1/1	1/2	1/3	
2	2/1	2/2	2/3	...
3	3/1	3/2	3/3	
4	4/1	4/2	⋮	

Example 3: Strings

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Diagonalization

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- Assume that \mathcal{R} is countable
- Then there is a one-to-one and onto mapping f from \mathcal{N} to \mathcal{R}

Diagonalization

Real Numbers

The set of real numbers (\mathcal{R}) is uncountable

Proof: By diagonalization

- Assume that \mathcal{R} is countable
- Then there is a one-to-one and onto mapping f from \mathcal{N} to \mathcal{R}

n	$f(n)$
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Idea: For all $i \in \mathcal{N}$, make $x_i \neq f(i)_i$

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- Contradiction – f is not mapping between \mathcal{R} and \mathcal{N}

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- But, a TM M can be written as a string $\langle M \rangle \in \Sigma^*$

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- Can similarly show that for any finite alphabet Σ , Σ^* is countable
- But, a TM M can be written as a string $\langle M \rangle \in \Sigma^*$
- Hence, by omitting all strings that are not encodings of valid TMs we get a mapping of TMs to \mathcal{N}

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 - Define the characteristic sequence χ_A of language $A \in \mathcal{L}$

$$\begin{array}{rcl} \Sigma^* & = & \{ \epsilon \quad 0 \quad 1 \quad 00 \quad 01 \quad 11 \quad 000 \quad \dots \} \\ A & = & \{ \quad \quad \quad 1 \quad 00 \quad \quad \quad 000 \quad \dots \} \\ \chi_A & = & \quad 0 \quad 0 \quad 1 \quad 1 \quad 0 \quad 0 \quad 1 \quad \dots \end{array}$$

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- 3 Therefore, \mathcal{L} is uncountable

Some Languages are not Turing-recognizable

We have proven:

- 1 The set of Turing Machines is countable
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Where are we now?

- We have proven that some languages are not Turing-recognizable
- But, we have not given any examples of such a language

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$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject} & \text{if } M \text{ accepts } \langle M \rangle \end{cases}$$

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- Now consider what happens if we run D on $\langle D \rangle$

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- Contradiction!

How Is This a Diagonalization?

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	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	\dots	$\langle D \rangle$	\dots
M_1	<u>accept</u>	reject	accept		accept	
M_2	reject	<u>reject</u>	reject	\dots	accept	\dots
M_3	accept	accept	<u>accept</u>		reject	
\vdots		\vdots		\ddots		
D	reject	accept	reject		?	

- We have defined D to do the opposite of what M_i does on input $\langle M_i \rangle$
- But what does D do on input $\langle D \rangle$??

A Turing-unrecognizable Language

$\overline{L_{TM}}$

The language

$$\overline{L_{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M(w) \neq 1\}$$

is not Turing-recognizable

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- Equivalently, problem B is no easier than problem A

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Suppose that $A \leq B$, then:

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Proof: (by contradiction)

- Suppose that B is decidable
- Since $A \leq B$, there exists an algorithm (i.e., a reduction) that uses a solution to B to solve A
- But, this means that A is decidable by running the machine for B as needed by the reduction

Undecidability of HALT

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Theorem: $HALT$ is undecidable

Proof Sketch:

- We show that $A_{TM} \leq HALT$
- Since we know that A_{TM} is undecidable, this shows that $HALT$ is also undecidable

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- Since we know that A_{TM} is undecidable, this shows that $HALT$ is also undecidable

Proof:

Construct algorithm S that decides A_{TM} given a TM R that decides $HALT$

Undecidability of HALT

$$HALT_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on input } w \}$$

Theorem: $HALT$ is undecidable

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