

Problem 5

Refs & people discussed with:

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Reduction

1. If $T > \sum a_+$ or $T < \sum a_-$, just return "no". $a_+ = \{a_i | a_i > 0\}$ and $a_- = \{a_i | a_i < 0\}$.
2. Choose $K = 1 + \sum_{i=0}^n |a_i|$.
3. Define transformation f as:

$$f(a_1, a_2, \dots, a_n; T) = (a_1 + K, a_2 + K, \dots, a_n + K, \underbrace{K, \dots, K}_{nK's}; T + nK)$$

Correctness

The first step filters impossible cases.

Let $L = (a_1, a_2, \dots, a_n; T)$ be an instance of $JJBAP$, $f(L)$ be an instance of $JJBAP_+$.

L is yes $\Rightarrow f(L)$ is yes

Suppose S is a subsequence of a that solves L . $\sum S = T$.

The solution S' to $f(L)$ can be constructed by selecting $s_i + K$ for all $s_i \in S$ and $n - |S|$ K 's.

$$\sum S' = (\sum S) + |S|K + (n - |S|)K = T + nK$$

$f(L)$ is yes $\Rightarrow L$ is yes

Suppose S' solves $f(L)$. $\sum S' = T + nK$.

The solution S to L can be constructed by selecting $s'_i - K$ from a for all $s'_i \in S'$. During selection, $s'_i - K = 0$ but there are no 0's left, it means s'_i correspond to one of the n K 's.

Because $K > \sum |a_i| > \max |a_i|$, for any subsequence C of $\{a_1 + K, a_2 + K, \dots, a_n + K, \underbrace{K, \dots, K}_{nK's}\}$:

$$\begin{aligned} (-\sum |a_i|) + |C|K &\leq \sum C \leq (\sum |a_i|) + |C|K \\ (|C| - 1)K &\leq \sum C \leq (|C| + 1)K \\ \frac{\sum C}{K} - 1 &\leq |C| \leq \frac{\sum C}{K} + 1 \end{aligned}$$

$$\begin{aligned} \frac{T + nK}{K} - 1 = \frac{T}{K} + (n - 1) &\leq |S'| \leq \frac{T + nK}{K} + 1 = \frac{T}{K} + (n + 1) \\ n - 1 &< |S'| < n + 1 \end{aligned}$$

Therefore S' has exactly n elements, and:

$$\sum S = (\sum S') - |S'|K = T + nK - nK = T$$

Polynomial time complexity

Detection in the first line and the transformation can be naively done in $O(n)$ time.

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Reduction

1. Transform $JJBAP$ instance L to $JJBAP_+$ instance $f(L) = (b; T')$ by the method in subproblem 1.
2. Add $b_{2n+1} = |\sum b - 2T'|$ to construct sequence b' .

Correctness

Transformation from $JJBAP$ to $JJBAP_+$ has been proved in subproblem 1. Therefore we prove $JJBAP_+$ is yes $\iff QQP$ is yes.

$JJBAP_+$ is yes $\Rightarrow QQP$ is yes

Suppose S solves $JJBAP_+$, and $\hat{S} = \{b_i | b_i \in (b - S)\}$. Let $H = \sum b$.

$\sum S = T'$ and $\sum \hat{S} = H - T'$.

- If $H \geq 2T'$
 - Add $b_{2n+1} = H - 2T'$ to S to construct S' .
 - $$\sum S' = T' + (H - 2T') = H - T' = \frac{H + (H - 2T')}{2} = \frac{\sum b + b_{2n+1}}{2} = \frac{\sum b'}{2}$$
- If $H < 2T'$
 - Add $b_{2n+1} = 2T' - H$ to \hat{S} to construct \hat{S}' .
 - $$\sum \hat{S}' = (H - T') + (2T' - H) = T' = \frac{H + (2T' - H)}{2} = \frac{\sum b + b_{2n+1}}{2} = \frac{\sum b'}{2}$$

QQP is yes $\Rightarrow JJBAP_+$ is yes

Suppose S' solves QQP and $\hat{S}' = \{b_i | b_i \in (b - S')\}$.

- If $H \geq 2T'$
 - $$\sum S' = \frac{H + (H - 2T')}{2} = H - T' = \sum \hat{S}'$$
 - Remove b_{2n+1} from the subsequence it belongs, and the sum of that subsequence becomes $H - T' - (H - 2T') = T'$.
- If $H < 2T'$
 - $$\sum S' = \frac{H + (2T' - H)}{2} = T' = \sum \hat{S}'$$
 - The subsequence that doesn't contain b_{2n+1} has sum T' .

Polynomial time complexity

First step takes polynomial time (shown in subproblem 1). Second step takes another $O(2n) = O(n)$ time.

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DDBP

Given n balls with weight $a_1, \dots, a_n \in [0, 1]$ and bound N . Is it possible to partition balls into less or equal to N bins such that all bins weigh at most 1 kilogram.

Reduction

1. Let $M = \frac{\sum a}{2}$. If there is an $a_i > M$, return no. Because *QQP* obviously has no solution in this case.
2. Define transformation:

$$f(a_1, a_2, \dots, a_n) = (\frac{a_1}{M}, \frac{a_2}{M}, \dots, \frac{a_n}{M}; 2)$$

Correctness

QQP is yes \Rightarrow *DDBP* is yes

Suppose S solves *QQP*. $\sum S = \sum(a - S) = M$.

Simply choose $\frac{s_i}{M}$ to construct S' . $\sum S' = \sum \frac{s_i}{M} = \frac{\sum S}{M} = 1$.

The remaining balls has sum $\sum(a' - S') = \frac{\sum a}{M} - 1 = 2 - 1 = 1$.

DDBP is yes \Rightarrow *QQP* is yes

Suppose a' is partition into S'_1 and S'_2 . $\sum S'_1 \leq 1$ and $\sum S'_2 \leq 1$

Because $\sum a' = \sum \frac{a_i}{M} = \frac{\sum a}{M} = 2$, $\sum S'_1 = \sum S'_2 = 1$.

Transform each element in S'_1 and S'_2 back yields S_1 and S_2 . Both have sum $1 \times M = M = \frac{\sum a}{2}$.

Polynomial time complexity

Both steps in reduction takes $O(n)$ time.

QQP \leq_p *DBP*

The decision version *DDBP* \leq_p *DBP*. And as shown above, *QQP* \leq_p *DDBP*.

By transitivity, *QQP* \leq_p *DBP*.

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NP-ness

If S is said to solve *QQP*, we can verify by summing S and a , which is done in $O(n)$ (polynomial) time.

If partition P is said to solve *DDBP*, we can verify by summing and checking each bin in $O(n)$ (polynomial) time.

NP-completeness

Since $JJBAP$ is known to be NP-complete, and by the result above we have $JJBAP \leq_p QQP \leq_p DDBP$. Therefore QQP and $DDBP$ is NP-hard.

Because QQP and $DDBP$ are both NP and NP-hard, they are NP-complete.

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Suppose there exist a $\frac{3}{2} - \epsilon$ -approximation polynomial time algorithm $Oracle$ for DBP .

Transform an instance of QQP to DBP by $f(a_1, a_2, \dots, a_n) = (\frac{a_1}{M}, \frac{a_2}{M}, \dots, \frac{a_n}{M})$ where $M = \frac{\sum a}{2}$.

If (a_1, \dots, a_n) is solvable for QQP , DBP should output 2, and $Oracle$'s output c should satisfy:

$$\begin{aligned}\frac{c}{2} &\leq \frac{3}{2} - \epsilon \\ c &\leq 3 - 2\epsilon \\ c &< 3 \\ \Rightarrow c &= 2\end{aligned}$$

And for any unsolvable (a_1, \dots, a_n) , $c \geq 3$. So $QQP(a_1, \dots, a_n)$ is yes \iff $Oracle$ outputs 2.

Therefore, $Oracle$ can solve QQP in polynomial time. But since we assume $P \neq NP$ and QQP is NP-complete, $Oracle$ must not exist.

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Because the lowest weight per ball is c , the largest number of balls that fits is $\lfloor \frac{1}{c} \rfloor$.

Denote the number of type i balls chosen into a single bin be x_i . To calculate the upper bound of possible choices, let's assume that they all have enough supply.

This should be satisfied:

$$\begin{aligned}\sum_{i=1}^m x_i &\leq \lfloor \frac{1}{c} \rfloor \\ x_i &\geq 0 \quad \forall 1 \leq i \leq m\end{aligned}$$

Let $x_{m+1} = \lfloor \frac{1}{c} \rfloor - \sum_{i=1}^m x_i$. We can rewrite it as:

$$\begin{aligned}\sum_{i=1}^{m+1} x_i &= \lfloor \frac{1}{c} \rfloor \\ x_i &\geq 0 \quad \forall 1 \leq i \leq m+1\end{aligned}$$

And the upper bound of possible choices is the combinations of x_i :

$$\frac{(\lfloor \frac{1}{c} \rfloor + m)!}{(\lfloor \frac{1}{c} \rfloor)!m!}$$

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Suppose there are x_i bins with i -th "choice of balls in a bin". This should be satisfied:

$$\sum_{i=1}^M x_i = k$$

$$x_i \geq 0 \quad \forall 1 \leq i \leq M$$

And the number of "combination of x_i that satisfies this" is:

$$\begin{aligned} \frac{(k+M-1)!}{k!(M-1)!} &= \frac{1}{(M-1)!} (k+(M-1))(k+(M-2)) \cdots (k+1) \\ &< 1 \times (k+M)^{M-1} \\ &\leq (Mk+kM)^{M-1} \text{ (Because } k > 1 \text{ and } M > 1) \\ &< (Mk+kM)^M = (2M)^M k^M \end{aligned}$$

Therefore it can be bound with $C_M = (2M)^M$.

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Algorithm

1. Compute c and m for the given n balls.
2. Generate all "choices of balls that fits in a bin" by brute-force.
3. Start with lower bound 1 and upper bound n , binary search the minimum number of needed bins.
 - To examine a mid-point p , brute-force all possible combinations and check each combination if it is valid.
4. Return the result of binary search.

Time complexity

1. Use a set to maintain how many kinds of weight there are. Step 1 takes $O(n)$ time.
2. Brute-forcing went through all possible combinations. Step 2 takes $O(M)$ time.
3. Each examination takes $O(n^M) \cdot O(n)$ time. So the entire binary search takes $O(\log n) \cdot O(n^{M+1})$ time.

Total time complexity is $O(n) + O(M) + O(\log n) \cdot O(n^{M+1}) = O(n^{M+1} \log n) = O(n^{M+2})$ (is polynomial).