

# Problem 5

Refs & people discussed with:

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## Reduction

1. If  $T > \sum a_+$  or  $T < \sum a_-$ , just return "no".  $a_+ = \{a_i | a_i > 0\}$  and  $a_- = \{a_i | a_i < 0\}$ .
2. Choose  $K = 1 + \sum_{i=0}^n |a_i|$ .
3. Define transformation  $f$  as:

$$f(a_1, a_2, \dots, a_n; T) = (a_1 + K, a_2 + K, \dots, a_n + K, \underbrace{K, \dots, K}_{nK's}; T + nK)$$

## Correctness

The first step filters impossible cases.

Let  $L = (a_1, a_2, \dots, a_n; T)$  be an instance of *JJBAP*,  $f(L)$  be an instance of *JJBAP*<sub>+</sub>.

**$L$  is yes  $\Rightarrow f(L)$  is yes**

Suppose  $S$  is a subsequence of  $a$  that solves  $L$ .  $\sum S = T$ .

The solution  $S'$  to  $f(L)$  can be constructed by selecting  $s_i + K$  for all  $s_i \in S$  and  $n - |S|$   $K$ 's.

$$\sum S' = (\sum S) + |S|K + (n - |S|)K = T + nK$$

**$f(L)$  is yes  $\Rightarrow L$  is yes**

Suppose  $S'$  solves  $f(L)$ .  $\sum S' = T + nK$ .

The solution  $S$  to  $L$  can be constructed by selecting  $s'_i - K$  from  $a$  for all  $s'_i \in S'$ . During selection,  $s'_i - K = 0$  but there are no 0's left, it means  $s'_i$  correspond to one of the  $n$   $K$ 's.

Because  $K > \sum |a_i| > \max |a_i|$ , for any subsequence  $C$  of  $\{a_1 + K, a_2 + K, \dots, a_n + K, \underbrace{K, \dots, K}_{nK's}\}$ :

$$\begin{aligned} (-\sum |a_i|) + |C|K &\leq \sum C \leq (\sum |a_i|) + |C|K \\ (|C| - 1)K &\leq \sum C \leq (|C| + 1)K \\ \frac{\sum C}{K} - 1 &\leq |C| \leq \frac{\sum C}{K} + 1 \end{aligned}$$

$$\begin{aligned} \frac{T + nK}{K} - 1 = \frac{T}{K} + (n - 1) &\leq |S'| \leq \frac{T + nK}{K} + 1 = \frac{T}{K} + (n + 1) \\ n - 1 &< |S'| < n + 1 \end{aligned}$$

Therefore  $S'$  has exactly  $n$  elements, and:

$$\sum S = (\sum S') - |S'|K = T + nK - nK = T$$

## Polynomial time complexity

Detection in the first line and the transformation can be naively done in  $O(n)$  time.

## 2

### Reduction

1. Transform  $JJBAP$  instance  $L$  to  $JJBAP_+$  instance  $f(L) = (b; T')$  by the method in subproblem 1.
2. Add  $b_{2n+1} = |\sum b - 2T'|$  to construct sequence  $b'$ .

### Correctness

Transformation from  $JJBAP$  to  $JJBAP_+$  has been proved in subproblem 1. Therefore we prove  $JJBAP_+$  is yes  $\iff QQP$  is yes.

#### $JJBAP_+$ is yes $\Rightarrow QQP$ is yes

Suppose  $S$  solves  $JJBAP_+$ , and  $\hat{S} = \{b_i | b_i \in (b - S)\}$ . Let  $H = \sum b$ .

$$\sum S = T' \text{ and } \sum \hat{S} = H - T'.$$

- If  $H \geq 2T'$ 
  - Add  $b_{2n+1} = H - 2T'$  to  $S$  to construct  $S'$ .
  - $$\sum S' = T' + (H - 2T') = H - T' = \frac{H + (H - 2T')}{2} = \frac{\sum b + b_{2n+1}}{2} = \frac{\sum b'}{2}$$
- If  $H < 2T'$ 
  - Add  $b_{2n+1} = 2T' - H$  to  $\hat{S}$  to construct  $\hat{S}'$ .
  - $$\sum \hat{S}' = (H - T') + (2T' - H) = T' = \frac{H + (2T' - H)}{2} = \frac{\sum b + b_{2n+1}}{2} = \frac{\sum b'}{2}$$

#### $QQP$ is yes $\Rightarrow JJBAP_+$ is yes

Suppose  $S'$  solves  $QQP$  and  $\hat{S}' = \{b_i | b_i \in (b - S')\}$ .

- If  $H \geq 2T'$ 
  - $$\sum S' = \frac{H + (H - 2T')}{2} = H - T' = \sum \hat{S}'$$
  - Remove  $b_{2n+1}$  from the subsequence it belongs to, and the sum of that subsequence becomes  $H - T' - (H - 2T') = T'$ .
- If  $H < 2T'$ 
  - $$\sum S' = \frac{H + (2T' - H)}{2} = T' = \sum \hat{S}'$$
  - The subsequence that doesn't contain  $b_{2n+1}$  has sum  $T'$ .

## Polynomial time complexity

First step takes polynomial time (shown in subproblem 1). Second step takes another  $O(2n) = O(n)$  time.

### 3

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#### *DDBP*

Given  $n$  balls with weight  $a_1, \dots, a_n \in [0, 1]$  and bound  $N$ . Is it possible to partition balls into less or equal to  $N$  bins such that all bins weigh at most 1 kilogram.

#### Reduction

1. Let  $M = \frac{\sum a_i}{2}$ . If there is an  $a_i > M$ , return no. Because *QQP* obviously has no solution in this case.
2. Define transformation:

$$f(a_1, a_2, \dots, a_n) = (\frac{a_1}{M}, \frac{a_2}{M}, \dots, \frac{a_n}{M}; 2)$$

#### Correctness

##### *QQP* is yes $\Rightarrow$ *DDBP* is yes

Suppose  $S$  solves *QQP*.  $\sum S = \sum(a - S) = M$ .

Simply choose  $\frac{s_i}{M}$  to construct  $S'$ .  $\sum S' = \sum \frac{s_i}{M} = \frac{\sum S}{M} = 1$ .

The remaining balls has sum  $\sum(a' - S') = \frac{\sum a}{M} - 1 = 2 - 1 = 1$ .

##### *DDBP* is yes $\Rightarrow$ *QQP* is yes

Suppose  $a'$  is partition into  $S'_1$  and  $S'_2$ .  $\sum S'_1 \leq 1$  and  $\sum S'_2 \leq 1$

Because  $\sum a' = \sum \frac{a_i}{M} = \frac{\sum a}{M} = 2$ ,  $\sum S'_1 = \sum S'_2 = 1$ .

Transform each element in  $S'_1$  and  $S'_2$  back yields  $S_1$  and  $S_2$ . Both have sum  $1 \times M = M = \frac{\sum a}{2}$ .

## Polynomial time complexity

Both steps in reduction takes  $O(n)$  time.

#### *QQP* $\leq_p$ *DBP*

The decision version *DDBP*  $\leq_p$  *DBP*. And as shown above, *QQP*  $\leq_p$  *DDBP*.

By transitivity, *QQP*  $\leq_p$  *DBP*.

### 4

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#### NP-ness

If  $S$  is said to solve *QQP*, we can verify by summing  $S$  and  $a$ , which is done in  $O(n)$  (polynomial) time.

If partition  $P$  is said to solve *DDBP*, we can verify by summing and checking each bin in  $O(n)$  (polynomial) time.

## NP-completeness

Since  $JJBAP$  is known to be NP-complete, and by the result above we have  $JJBAP \leq_p QQP \leq_p DDBP$ . Therefore  $QQP$  and  $DDBP$  is NP-hard.

Because  $QQP$  and  $DDBP$  are both NP and NP-hard, they are NP-complete.

## 5

Suppose there exist a  $\frac{3}{2} - \epsilon$ -approximation polynomial time algorithm  $Oracle$  for  $DBP$ .

Transform an instance of  $QQP$  to  $DBP$  by  $f(a_1, a_2, \dots, a_n) = (\frac{a_1}{M}, \frac{a_2}{M}, \dots, \frac{a_n}{M})$  where  $M = \frac{\sum a_i}{2}$ .

If  $(a_1, \dots, a_n)$  is solvable for  $QQP$ ,  $DBP$  should output 2, and  $Oracle$ 's output  $c$  should satisfy:

$$\begin{aligned}\frac{c}{2} &\leq \frac{3}{2} - \epsilon \\ c &\leq 3 - 2\epsilon \\ c &< 3 \\ \Rightarrow c &= 2\end{aligned}$$

And for any unsolvable  $(a_1, \dots, a_n)$ ,  $c \geq 3$ . So  $QQP(a_1, \dots, a_n)$  is yes  $\iff$   $Oracle$  outputs 2.

Therefore,  $Oracle$  can solve  $QQP$  in polynomial time. But since we assume  $P \neq NP$  and  $QQP$  is NP-complete,  $Oracle$  must not exist.

## 6

Because the lowest weight per ball is  $c$ , the largest number of balls that fits is  $\lfloor \frac{1}{c} \rfloor$ .

Denote the number of type  $i$  balls chosen into a single bin be  $x_i$ . To calculate the upper bound of possible choices, let's assume that they all have enough supply.

This should be satisfied:

$$\begin{aligned}\sum_{i=1}^m x_i &\leq \lfloor \frac{1}{c} \rfloor \\ x_i &\geq 0 \quad \forall 1 \leq i \leq m\end{aligned}$$

Let  $x_{m+1} = \lfloor \frac{1}{c} \rfloor - \sum_{i=1}^m x_i$ . We can rewrite it as:

$$\begin{aligned}\sum_{i=1}^{m+1} x_i &= \lfloor \frac{1}{c} \rfloor \\ x_i &\geq 0 \quad \forall 1 \leq i \leq m+1\end{aligned}$$

And the upper bound of possible choices is the combinations of  $x_i$ :

$$\frac{(\lfloor \frac{1}{c} \rfloor + m)!}{(\lfloor \frac{1}{c} \rfloor)!m!}$$

## 7

Suppose there are  $x_i$  bins with  $i$ -th "choice of balls in a bin". This should be satisfied:

$$\sum_{i=1}^M x_i = k$$

$$x_i \geq 0 \quad \forall 1 \leq i \leq M$$

And the number of "combination of  $x_i$  that satisfies this" is:

$$\begin{aligned} \frac{(k+M-1)!}{k!(M-1)!} &= \frac{1}{(M-1)!} (k+(M-1))(k+(M-2)) \cdots (k+1) \\ &< 1 \times (k+M)^{M-1} \\ &\leq (Mk+kM)^{M-1} \text{ (Because } k > 1 \text{ and } M > 1) \\ &< (Mk+kM)^M = (2M)^M k^M \end{aligned}$$

Therefore it can be bound with  $C_M = (2M)^M$ .

## 8

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### Algorithm

1. Compute  $c$  and  $m$  for the given  $n$  balls.
2. Generate all "choices of balls that fits in a bin" by brute-force.
3. Start with lower bound 1 and upper bound  $n$ , binary search the minimum number of needed bins.
  - To examine a mid-point  $p$ , find a way to put all the balls inside  $p$  bins by brute-forcing all possible combinations.
4. Return the result of binary search.

### Time complexity

1. Use a set to maintain how many kinds of weight there are. Step 1 takes  $O(n)$  time.
2. Brute-forcing went through all possible combinations. Step 2 takes  $O(M)$  time.
3. Each examination takes  $O(n^M)$  time. So the entire binary search takes  $O(\log n) \cdot O(n^M)$  time.

Total time complexity is  $O(n) + O(M) + O(\log n) \cdot O(n^M) = O(n^M \log n) = O(n^{M+1})$ .