## **Problem 1**

1.

```
function GetBoundary(P)
  bound1 = 1
  bound2 = 2
  n = P.len
  for i from 3 to n:
     query_result = PancakeGodOracle(P, bound1, bound2, i)
     if query_result == i:
        continue
     else if query_result == bound1:
        bound1 = i
     else if query_result == bound2:
        bound2 = i
     return bound1, bound2
```

Query is done for n-2 times, therefore the query complexity is O(n)

#### 2.

My implementation is a pancake variation of quicksort. The recursion depth on average would be  $O(\log n)$ , and in each depth the query complexity is O(n), therefore the total query complexity is  $O(n \log n)$ 

3.

```
function InsertPancake(L, new_pancake)
    l = 1;    r = L.len
    L.append(new_pancake)
    new_p = L.len
    final_pos = -1
    while l < r:
        mid = floor((l+r)/2)
        query = PancakeGodOracle(P, l, mid, new_p)
        if query == new_p:
            r = mid-1
        else:
            l = mid+1
        final_pos = l
        for i from final_pos to L.len-1
            swap(L, i, L.len)</pre>
```

My implementation is a pancake variation of binary search. The query complexity can be easily found to be  $O(\log n)$ 

4.

```
/* A[1:i+1] means the array A from first element to i-th element */
function SortPancakesAgain(P)
  for i from 2 to P.len
    InsertPancake(P[1:i+1], P[i])
```

InsertPancake() runs n times, therefore the query complexity is  $O(n \log n)$ 

#### 6.

```
For n=2, if P[2] > P[1], they will be swapped and then P is in descending order.
```

For n=3, the code would look like:

```
ELF-SORT(P, 1, 2)
ELF-SORT(P, 2, 3)
ELF-SORT(P, 1, 2)
```

The first two line moves the smallest element in P to the end, and the last line sorts P[1] and P[2] . P will be in descending order.

Assume that  $\forall \ n \leq k$ , ELF-SORT(P, 1, n) sorts P[1] to P[n] in descending order. As shown above, this is true  $\forall \ n \leq 3$ .

ELF-SORT(P, 1+t, n+t) also sorts P[1+t] to P[n+t] in descending order because it's just a shift on P.

For n=k+1, the code would look like:

```
Delta = floor((k+1)/3)

ELF-SORT(P, 1, k+1 - Delta)

ELF-SORT(P, 1 + Delta, k+1)

ELF-SORT(P, 1, k+1 - Delta)
```

Because we are only considering  $n \ge 4$  in this part, Delta or  $\Delta$  is always larger then 1. Therefore all those three ELF-SORT() would sort the respective range in descending order.

The first two ELF-SORT() will sort the least  $\frac{n}{3}$  element to the correct place, and the last one will sort the first  $\frac{2n}{3}$  elements to the correct place. Therefore P is sorted in descending order.

Since ELF-SORT (P, 1, n) works for n=1,2,3, and if for n=k ELF-SORT works, it would also work for n=k+1. By mathematical induction, ELF-SORT (P, 1, n) sorts P in descending order  $\forall n \in \mathbb{N}$ .

For  $n \geq 3$ , the code would look like:

```
Delta = floor(n/3)
ELF-SORT(P, 1, n - Delta)
ELF-SORT(P, 1 + Delta, n)
ELF-SORT(P, 1, n - Delta)
```

From this, it's obvious that  $T(n)=3T(rac{2}{3}n)+\Theta(1)$  , because  $oxed{floor()}$  runs at constant time

For n=2, the running time is the time of two comparisons and a swap, which is  $\Theta(1)$ .

For n=1, the running time is the time of two comparisons, which is  $\Theta(1)$ .

#### 8.

By the recurrence relation we have:

$$\begin{split} T(n) &= 3^1 T((\frac{2}{3})^1 n) + \Theta(1) \\ 3^1 T((\frac{2}{3})^1 n) &= 3^2 T((\frac{2}{3})^2 n) + 3^1 \Theta(1) \\ 3^2 T((\frac{2}{3})^2 n) &= 3^3 T((\frac{2}{3})^3 n) + 3^2 \Theta(1) \\ & \cdots \\ 3^{k-1} T((\frac{2}{3})^{k-1} n) &= 3^k T(1) + 3^{k-1} \Theta(1) \ \lor \ 3^k T(2) + 3^{k-1} \Theta(1) \end{split}$$

Summing all k equations and replacing T(1) and T(2) with  $\Theta(1)$  yields:

$$T(n) = 3^k \Theta(1) + \sum_{i=0}^{k-1} 3^i \cdot \Theta(1)$$

$$= \sum_{i=0}^k 3^i \cdot \Theta(1)$$

$$= \frac{3^{k+1} - 1}{3 - 1}$$

$$= \frac{3}{2} 3^k - \frac{1}{2}$$

And because  $k = \lfloor \log_{\frac{3}{2}} n \rfloor$  , we have:

$$egin{align} T(n) &= rac{3}{2}3^k - rac{1}{2} \ &= rac{3}{2}3^{\lfloor\log_{1.5}n
floor} - rac{1}{2} \ &\leq rac{3}{2}3^{1+\log_{1.5}n} - rac{1}{2} \ rac{3}{2}3^{1+\log_{1.5}n} - rac{1}{2} &= rac{9}{2}n^{\log_{1.5}3} - rac{1}{2} \ &\leq rac{9}{2}n^3 \end{gathered}$$

Choose  $n_0=1$  and  $c=\frac{9}{2}$ , we have:

$$egin{aligned} orall & n \geq n_0 = 1, \ & T(n) \leq rac{3}{2} 3^{1 + \log_{1.5} n} - rac{1}{2} \ & \leq rac{9}{2} n^3 \ & = c \cdot n^3 \ \Rightarrow T(n) = O(n^3) \end{aligned}$$

# **Problem 2**

1.

```
function FindPrev(T, t_k)
  node = T.root
  prev_node = NIL
  while node != NIL
  if node.key >= t_k
      node = node.left
  else
      prev_node = node
      node = node.right
  return prev_node
```

## 2.

If the key larger or equal to  $t_k$ ,  $t_{k-1}$  must be in the left subtree, therefore we go left.

If the key is smaller than  $t_k$ , there are two possibilities:

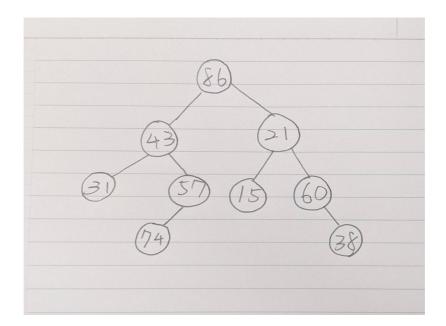
```
1. It's t_{k-1} 2. It is smaller than t_{k-1}
```

For case 1, because we go to the right subtree, every key is larger than  $t_{k-1}$  and larger or equal to  $t_k$ . prev\_node = node won't be executed anymore and the algorithm works.

For case 2,  $t_{k-1}$  must be in the right subtree, therefore we go right.

If it receives  $t_1$  as input, we always go left and  $prev_node = node$  won't be executed. The return value would be NIL.

3.



### 4.

Because preorder[1] is the root of the tree, by locating it in inorder we can extract (inorder, preorder) pair of left subtree and right subtree. Therefore if two trees have the same (inorder, preorder) pair, the root must be the same, two left subtrees have the same (inorder, preorder) pair, and two right subtrees also have the same (inorder, preorder) pair.

Doing this recursively for subtrees can show that this two trees must be the same.

### **5**.

```
/* A.index(v) returns the index of v in array A */
function Reconstruct(inorder, preorder)
    if inorder.len == 0:
        return NIL
    rt = root()
    rt.key = preorder[1]
    l_size = inorder.index(rt.key) - 1
    r_size = inorder.len - l_size - 1
    l_inorder = inorder[:l_size+1]
    l_preorder = preorder[2:l_size+2]
    r_inorder = inorder[l_size+2:]
    r_preorder = preorder[l_size+2:]
    rt.left = Reconstruct(l_inorder, l_preorder)
    rt.right = Reconstruct(r_inorder, r_preorder)
    return rt
```

Time complexity:  $O(n^2)$ 

# **Problem 3**

1.

```
function modify(x, v)
    if (v > x.key)
       x.key = v
       min_child = minNode(x.l, x.r)
       while (min_child != NIL)
            if x.key <= min_child.key</pre>
                break
                swapNode(x, min_child)
                min_child = minNode(x.l, x.r)
    else if (v < x.key)</pre>
        x.key = v
        parent = x.p
        while (parent != NIL)
            if x.key >= parent.key
                break
                swapNode(x, parent)
                parent = x.p
```

When v > x key , in the while loop we always try to move x down, and a node can be moved down for at most  $\lg |h|$  times (from top to bottom). swapNode and minNode can both be done in O(1) time. Therefore time complexity is  $\lg |h| \cdot O(1) = O(\lg |h|)$ .

When v < x key , instead of moving down, we are trying to move |x| up. The same analogy from above applies, therefore time complexity is also  $O(\lg |h|)$ .

#### 2.

Empty locations are left blank

(a)

$$A_{4 imes4}= \left[egin{matrix} &&&&\ &&&1\ 4&&&2 \end{smallmatrix}
ight]$$

(b)

$$A_{4 imes4}=\left[egin{matrix} & & & & \ & & & 1 \ & & & 1 \end{array}
ight]$$

(c)

$$A_{4 imes4}=egin{bmatrix} & & 3 \ & & 1 \ 4 & & \end{bmatrix}$$

(d)

$$A_{4 imes4}=\left[egin{matrix}&3\4&\end{array}
ight]$$

(e)

$$A_{4 imes4}= \left[egin{array}{c} A_4 \end{array}
ight]$$

3.

Elements are stored in a  $N \times M$  array A . Each row and column has a corresponding heap row[i] / col[j]. Each elements has these extra attributes:  $col_l$ ,  $col_r$ ,  $row_l$ ,  $row_r$ , representing its left/right child in the row/column heap. Each element also has i, j representing its index.

4.

```
/* Using heap operations from P3-1 */
/* Assmuing that "extract" operations return the extracted element */
function add(i, j, v)
    A[i][j] = v
    row[i].insert(A[i][j])
    col[j].insert(A[i][j])
```

```
function extractMinRow(i)
    row_min = row[i].extractMin()
    col[row_min.j].delete(row_min)

function extractMinCol(j)
    col_min = col[j].extractMin()
    row[col_min.i].delete(col_min)

function delete(i, j)
    row[i].delete(A[i][j])
    col[i].delete(A[i][j])
```

Maximum size of heap [row[i]] is the number of columns M. And the maximum size of heap [row[i]] is the number of rows N.

## add()

```
\label{eq:alpha} \begin{split} & \text{A[i][j] = v is } O(1). \\ & \text{row[i].insert() is } O(\lg M). \text{ col[j].insert() is } O(\lg N). \text{ (heap operation)} \\ & \text{Total time complexity is } O(1) + O(\lg M) + O(\lg N) = O(\lg(MN)). \end{split}
```

## extractMinRow()

```
\label{eq:complexity} \begin{split} \operatorname{row\_min} &= \operatorname{row[i].extractMin()} \text{ is } O(\lg M). \text{ (heap operation)} \\ \operatorname{col[row\_min.j].delete(row\_min)} \text{ is } O(\lg N). \text{ (also heap operation)} \end{split} \label{eq:collinear} \\ \mathsf{Total time complexity is } O(\lg M) + O(\lg N) = O(\lg(MN)). \end{split}
```

#### extractMinCol()

```
\label{eq:col_min} \begin{split} \operatorname{col\_min} &= \operatorname{col[j].extractMin()} \text{ is } O(\lg N). \text{ (heap operation)} \\ &\operatorname{row[col\_min.i].delete(col\_min)} \text{ is } O(\lg M). \text{ (also heap operation)} \\ &\operatorname{Total time complexity is } O(\lg N) + O(\lg M) = O(\lg(MN)). \end{split}
```

## delete()

```
\label{eq:complexity} \begin{split} \operatorname{row}[\mathtt{i}].\operatorname{delete}(\mathtt{A}[\mathtt{i}][\mathtt{j}]) & \text{is } O(\lg M). \text{ (heap operation)} \\ \operatorname{col}[\mathtt{i}].\operatorname{delete}(\mathtt{A}[\mathtt{i}][\mathtt{j}]) & \text{is } O(\lg N). \text{ (also heap operation)} \end{split} \mathsf{Total time complexity is } O(\lg M) + O(\lg N) = O(\lg(MN)).
```