

# Multimodal Learning: Examples in Gesture and Audio-Visual Speech Recognition

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August 9, 2017

## Abstract

## 1 Introduction

## 2 Related Work

## 3 Presentation of Basic Network Architectures

### 3.1 Convolutional Neural Networks

Convolutional Neural Networks (CNNs) are an early family of deep learning architectures inspired from the human vision system [15]. Generally we have convolutional layers alternating with pooling (subsampling) layers, but fully connected layers can also be introduced. CNNs have been shown to achieve state-of-the-art performance in image processing tasks such as image classification [14] and object detection [16]. However they can be equally applied in other fields like speech recognition [6].

### 3.2 Auto-encoder

Auto-encoders are networks that are trained to minimize the reconstruction error by back-propagating it from the output layer to hidden layers. In the simplest model with one hidden layer, an auto-encoder takes an input  $\mathbf{x} \in \mathbb{R}^d$  and maps it to the latent representation  $\mathbf{h} \in \mathbb{R}^{d'}$  given by  $\mathbf{h} = \sigma(W\mathbf{x} + \mathbf{b})$  where  $W$  is a weight matrix,  $\mathbf{b}$  is a bias vector and  $\sigma$  is an activation function. Then the network tries to reconstruct the input by a reverse mapping  $\mathbf{x}' = \sigma(W'\mathbf{h} + \mathbf{b}')$ .

To prevent the auto-encoder from learning the identity function as a trivial solution, several regularization techniques have been proposed. The bottleneck approach forces dimensionality reduction by having fewer neurons in hidden layers than in the input layer. For example, in the above case, we must have  $d' < d$ . Sparse auto-encoders impose sparsity on hidden units [17]. Denoising auto-encoders, which play an important role in my internship, try to reconstruct the clean input from its corrupted version [3, 39]. Binomial noise (switching pixels on or off) adding to input or hidden layers are used in my case.

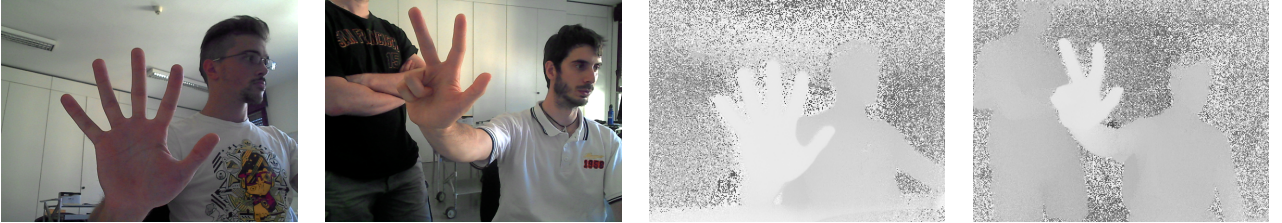
Intuitively, auto-encoders are useful for data reconstruction. Nevertheless, the true interest lies in fact in its capacity to learn a representation (encoding) for a set of data in a purely unsupervised fashion [40]. Recently, auto-encoders are also more and more often used as a generative model [4].

## 4 Datasets and Preprocessing

Many datasets were explored during my internship. The three main datasets being used are given in details below. Two of them are for gesture recognition: Creative Senz3D [20, 21] and ASL Finger Spelling [32], and one is for AVSR: AVLetters [19].

### 4.1 Creative Senz3D

The dataset contains gestures performed by 4 different people, each performing 11 different static gestures repeated 30 times each, for a total of 1320 samples. For each sample, color, depth and confidence frames are available. I only used the color and depth frames of this dataset. The original size of each image is  $480 \times 640$  and they're resized to  $299 \times 299$  pixels before being fed to the network. No other preprocessing are done. For both color and depth images I use the three color channels (even though a priori only one channel is needed for depth maps).



**Figure 1: Example images in the Creative Senz3D dataset.**

Left Two) Color images.

Right Two) Corresponding depth images.

All of the images are of size  $480 \times 640$  and contain the the entire upper body of the subject.

### 4.2 ASL Finger Spelling

The dataset is composed of more than 60000 images in each modality (RGB and depth images are provided). Five subjects are asked to perform the 24 static signs in the American Sign Language (ASL) alphabet (excluding j and z which involve motion) a certain number of times, captured with similar lighting and background.

Images of this dataset are of variable sizes. The data preprocessing includes resizing each image to  $83 \times 83$  pixels, converting to grayscale and adjusting contrast of depth maps. Only very late in my internship I added the Z-normalization (normalize to zero mean and unit of variance) as a preprocessing step and the only result that was largely changed is presented in 6.2.



**Figure 2: Example images in the ASL Finger Spelling dataset (after preprocessing).**

Left Two) Grayscale intensity images.

Middle Two) Depth maps after adjusting contrast.

Right Two) Depth maps after Z-normalization.

Images of this dataset have variable sizes, and they're all resized to  $83 \times 83$  before being fed to the network. Generally only the hand region is contained in image.

### 4.3 AVLetters

The dataset comprises video and audio recordings of 10 speakers uttering the letters A to Z, three times each. We count therefore 780 samples in total. For video data, image sequences of pre-extracted lip regions are provided. Each single image is of size  $60 \times 80$ . For audio data, only the mel-frequency cepstrum coefficients (MFCCs) are given, and each audio frame is represented by 26 mfccs. The lack of raw audio data is a strong constraint on what we're able to do on this dataset.

Since all utterances don't have the same time duration, I used fourier resampling to force every video input to be of length 12 and every audio input to be of length 24. Video frames are Z-normalized. Several data augmentation techniques are also considered, including random brightness adjusting, random contrast adjusting and random cropping (but at least 80% of the original image is kept).



**Figure 3: Example visual input for the AVletters dataset (left to right, top to bottom).**

Pre-extracted lip regions of  $60 \times 80$  pixels are provided. Each image sequence is resampled to be of length twelve in order to give an input of fixed size to the network.

## 5 Experimental Setup

To train a classifier I employed the cross entropy cost function and to train an auto-encoder the L2 distance between the input and output vector was used as the loss. For the sake of preventing overfitting, L2 regularization [3] was applied to all the weights of network with a regularization coefficient of 0.0004. The Adam algorithm [13] was then introduced for minimizing the loss function. An exponential decay was further used for the stepsize  $\alpha$  of this algorithm with an initial stepsize  $\alpha_0$  varying from 0.01 to 0.0001 depending on experience. The decaying rate  $\gamma$  was generally close to 0.8 and the decay takes place every 100 training steps.

Inputs of the network are normally fed as mini-batches of size 24 (smaller and bigger batch sizes were also experimented). Batch normalization [10] were introduced after every convolutional and transposed convolutional layer. Therefore, the real operations used to compute neural activations are more complicated than what are described above. These settings aren't necessarily optimal (use a bigger weight regularization coefficient or maybe one had better use a constant learning rate with Adam optimizer) but I didn't have time to do more tests on it.

Here are some more details of the network architectures: ReLu (Rectified Linear Unit) activation were added to all the hidden layers [14] while no activation function was used for the output layer. For classification model dropout [34] was always applied to the second to last layer during training. The output of the classification layer was mapped to the probabilities that one data example belongs to each class by the softmax function.

## 6 Experiences and Results: Unimodal Cases

### 6.1 Classification

With every new dataset, I began with training a classifier on it in a totally supervised manner. This gave me an insight into its data quality, the preprocessing effectiveness and ensured that further experiments could be conducted. CNN is then one of the most suitable architecture for this purpose.

#### 6.1.1 Creative Senz3d

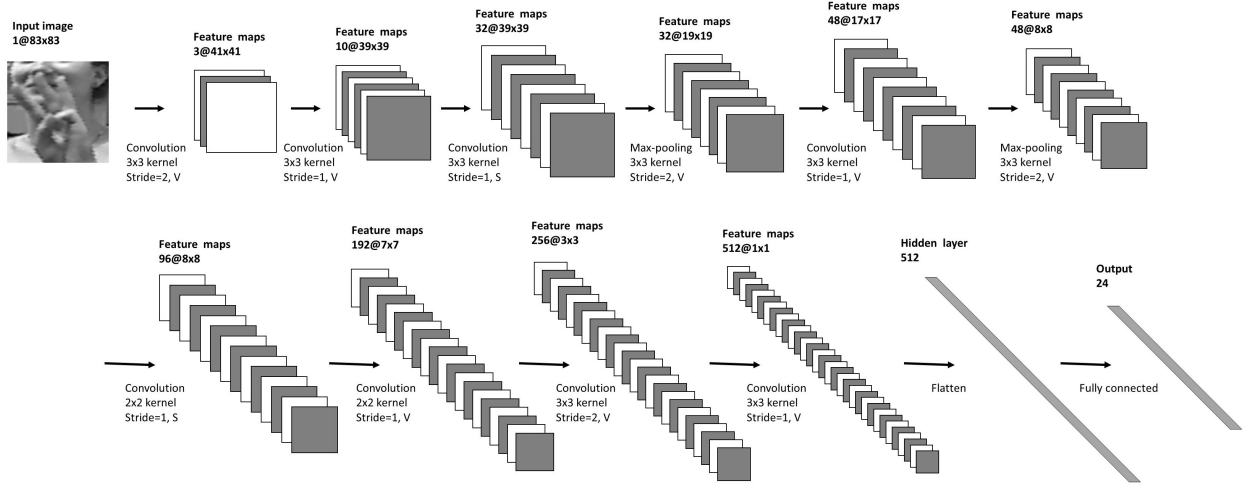
No satisfying results were acquired. It may be due to a lack of data quantity, variety, and the fact that the hand is also contained in the image increases significantly the classification difficulty.

**Subject Dependent.** In a subject dependant setting, images are separated randomly into training set (3/4) and test set (1/4). Therefore, during the testing phase, the classifier doesn't need to deal with data from an individual that it has never seen before. In this case, for RGB images, all of the classifiers are able to have a classification accuracy that is closed to 100%. This holds true even for a perceptron. On the other hand, for depth images, the classification accuracy is between 60% and 70% using a perceptron and near 90% for other CNN architectures that were tested.

**Subject Independent.** On the contrary, the classifier faces individuals never seen before during testing in a subject independant setting. In my case, the training set consists of images coming from the first three individuals while the test set contains images of the final subject. With the various architectures (including single-layer perceptron and CNNs varying from three to ten hidden layers) that I implemented, none of them is able to generalize the learned model to the new individual. The pre-trained InceptionV4 architecture achieves a prediction accuracy of 30% for color images and 20% for depth images (better than chance).

#### 6.1.2 ASL Finger Spelling

The large number of data contained in this dataset and the relatively simple image content (single hand instead of the entire upper body) makes the classification task much easier. By using the CNN architecture shown in [Figure 4](#), we can achieve a classification accuracy of respectively 80% and 70% for intensity and depth images (Table 1) in an subject-independant setting (four subjects for training and one subject for testing). We may not need that many layers in the CNN architecture, but further tests were not carried out since it's not the essential point of my internship.



**Figure 4: CNN architecture used for the Finger Spelling dataset.**

The input of the network is a one-channel image of size  $83 \times 83$ . It contains ten hidden layers. S stands for ‘SAME’ padding and V stands for ‘VALID’ padding (see text).

### 6.1.3 AVletters

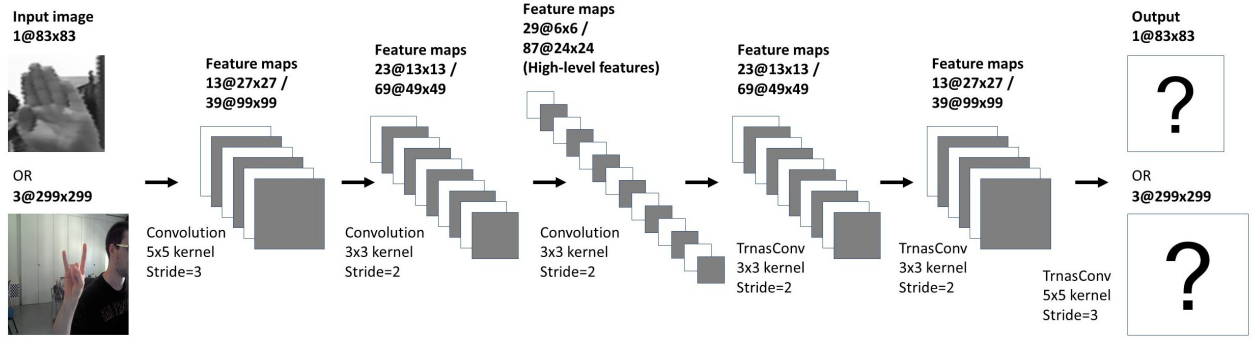
One can refer to [Figure 9](#) for the main CNN architectures that are used in this dataset. Notice that 3d CNNs are employed to deal with video inputs. Considering the small number of available data, a speaker-dependant setting was used, but it didn’t save me from the problem of overfitting. The classification accuracy is of 100% for training data but only of 60% or 55% for testing data depending on the input modality (audio then video).

Curiously, for audio data, I get exactly the same classification performance regardless of the used architecture (perceptron or CNNs) or the fact that if deltas and delta-deltas are also given in input. This is not the case when I test with another audio dataset (not mentioned in the Datasets and Preprocessing section because it wasn’t used for main experiences). For video input, the use of data augmentation techniques only decrease the learning speed for the training part but doesn’t improve the performance for testing.

## 6.2 Convolutional auto-encoder

Several different CAE architectures were tested in my internship. Here I present the one with five hidden layers, therefore it contains three convolutional layers and three transposed convolutional layers as shown in [Figure 5](#). The end-to-end training instead of a greedy layer-wise approach was used.

The proposed architecture was then trained on the two gesture recognition datasets. First of all, I’m interested in the denoising capacity of the auto-encoder. An example is shown in [Figure 6](#). The auto-encoder is effectively able to reconstruct the clean image in a way.



**Figure 5: Convolutional auto-encoder architecture with three convolutional layers and three transposed convolutional layer.**

Activation values of the middle layer are taken as high-level features of the input image. Inputs of the network can be of different sizes. We only use valid paddings here.



**Figure 6: Image restoration using convolutional auto-encoder.**

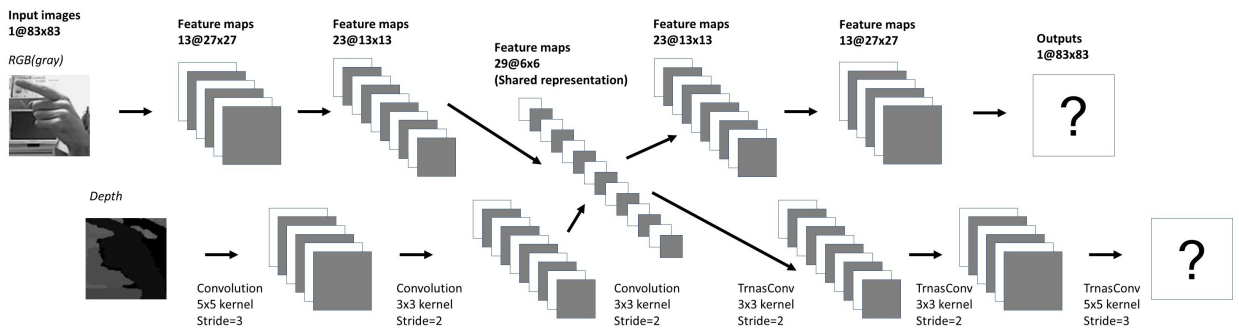
Left) Clean Image.

Middle) Noisy image [input].

Right) Restored image [output].

## 7 Experiences and Results: Multimodal Cases

### 7.1 Learning shared representation

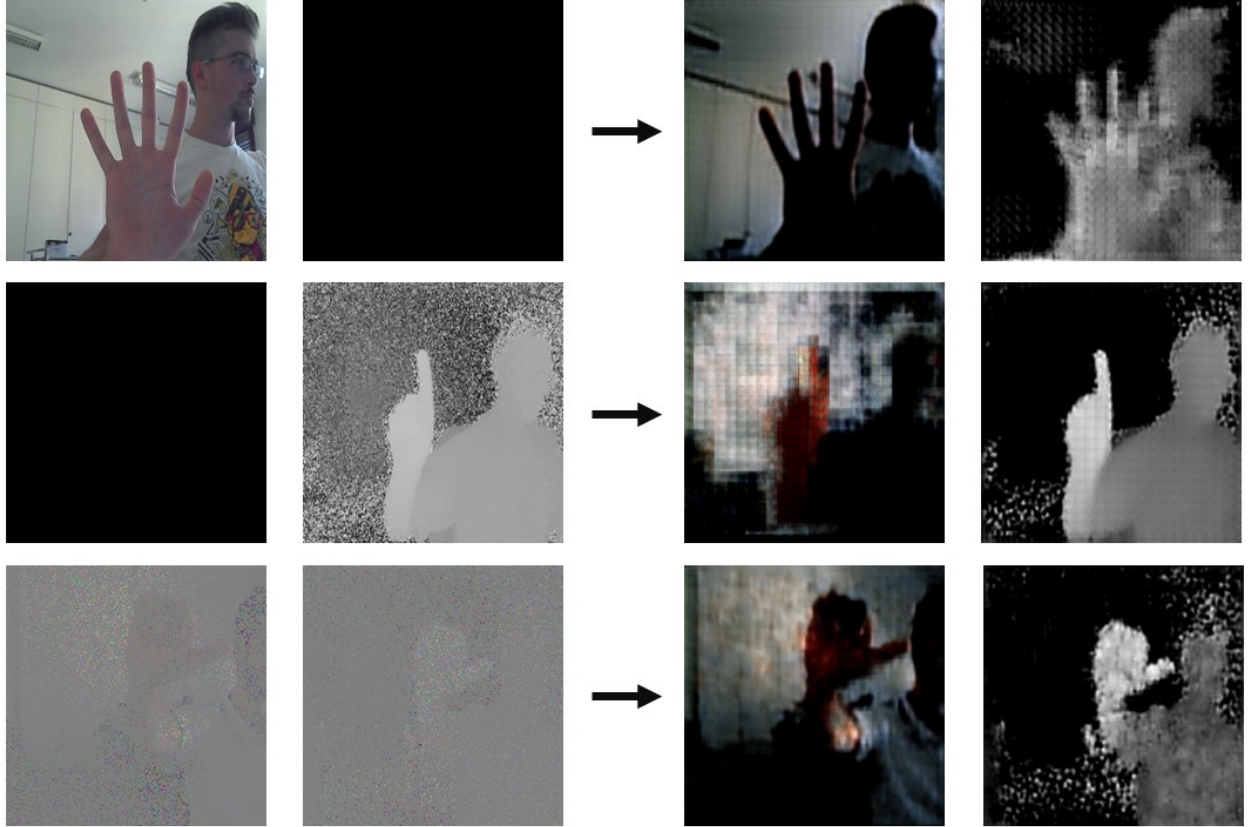


**Figure 7: The bimodal convolutional auto-encoder model that is used to learn shared multimodal representation.**

We simply take the CAE architecture that is introduced earlier (Figure 5) for each modality but force them to have a shared middle layer by adding the corresponding activation values. We then try to reconstruct the two images separately through two disjoint paths.



		Raw	CAE features	Shared	CAE architecture	CNN
<b>Intensity</b>	train	69.47 %	78.39 %	81.07 %	92.34 %	99.69 %
	test	32.64 %	50.72 %	50.92 %	67.23 %	79.73 %
<b>Depth</b>	train	48.17 %	38.65 %	73.07 %	90.4 %	97.24 %
	test	30.95 %	26.39 %	43.50 %	58.69 %	66.84 %
<b>Depth (Z-n)</b>	train	63.64 %	72.37 %	72.01 %	%	97.24 %
	test	29.93 %	40.03 %	40.27 %	%	64.46 %



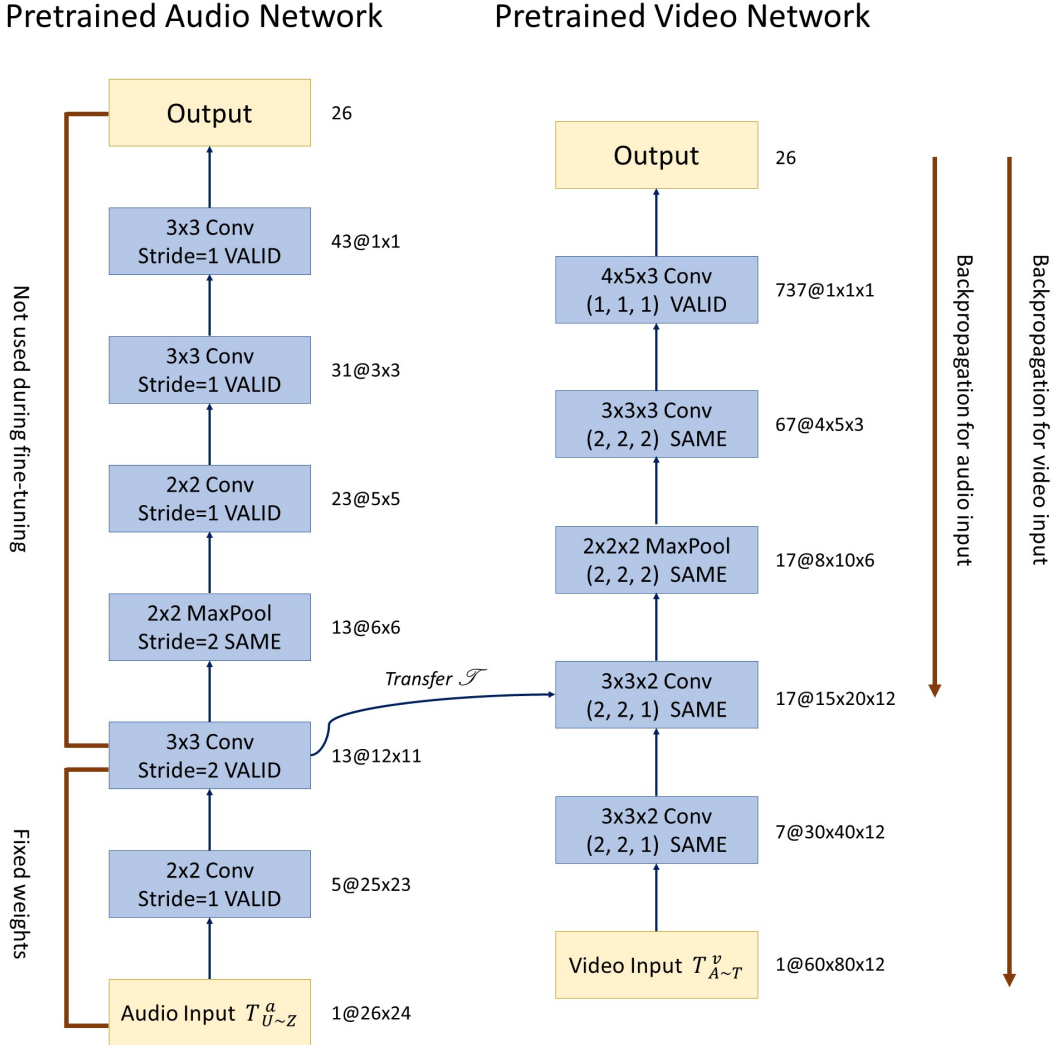
**Figure 8: Restore color and depth images from incomplete input information.**

Top) Only the color image is given.

Middle) Only the depth image is given.

Bottom) Both modalities are given but with little information (10% of pixels).

## 7.2 Transfer learning



**Figure 9: Illustration of the transfer learning approach applied in audio and lip-reading speech recognition tasks.**

We first learn two separated model for audio and visual inputs (in my cases two CNNs) and try to fine-tune the video network with transferred audio data.



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