# Multiparty Computation based on Ring-LWE

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### **Abstract**

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## Chapter 1

## Introduction

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### Chapter 2

### **Review of literature**

#### 2.1 Ring LWE - A somewhat homomorphic encryption scheme

In the paper "Fully Homomorphic Encryption from Ring-LWE and Security for Key Dependent Messages" written by Zvika Brakerski and Vinod Vaikuntanathan [2] they describe a method for converting the Ring Learnig with errors (RingLWE) problem into an encryption scheme which reduces to the worst-case hardness of problems on ideal lattices. We'll shortly describe the encryption scheme here but will omit proofs and detailed discussions. Both system will be over the message space of  $R_t = \mathbb{Z}_t[x]/\langle f(x) \rangle$ .

#### 2.1.1 Polynomial learning with errors

The polynomial learning with errors problem decision problem variant is defined for an instantiation of a function f, a modulo q and a error distribution  $\chi$  and given at most 1 samples written as  $PLWE_{f,q,\chi}^{(l)}l$ ) It is defined in the hermite normal form as

The PLWE Assumption - Hermite Normal Form. for all  $\kappa \in \mathbb{N}$ , let  $f(x) = f_{\kappa}(x) \in \mathbb{Z}[x]$  be a polynomial of degree  $n = n(\kappa)$ , let  $q = q(\kappa) \in \mathbb{Z}$  be a prime integer, let the ring  $R = \mathbb{Z}[x]/\langle f(x) \rangle$  and  $R_q = R/qR$  with  $\chi$  denoting a distribution over the ring R. Then the Polynomial learning with error  $(PLWE_{f,q,\chi})$  assumption can be defined as

$$\{(a_i, a_i \cdot s + e_i)\}_{i \in [l]} \approx^c \{(a_i, u_i)\}_{i \in [l]}\}$$

where s is sampled from  $\chi$ ,  $a_i$  is uniform in  $R_q$ , the error polynomials  $e_i$  are sampled from  $\chi$  and  $u_i$  are random ring elements from  $R_q$ . We will allow up to 1 samples for the decision problem where the only limitation on 1 is that it should be polynomial.

Beside the decision variant, the PLWE problem could also be stated in terms of a search problem, one in which an adversary have to find the secret vector  $s \in R_q$ . But we will focus on the decision problem, as this is more commonly used in cryptography.

#### 2.1.2 Symmetric version

Let  $\kappa$  be the security parameter and let further q and t be prime numbers where  $t \in \mathbb{Z}_n^*$ . We also need a polynomial of degree n  $f(x) \in \mathbb{Z}[x]$  and an error distribution  $\chi$  over the

ring  $R_q = \mathbb{Z}_q[x]/\langle f(x) \rangle$ , then we can define the following operations for a somewhat homomorphic encryption scheme.

#### Key-gen

Let our secret key be a randomly sampled element from the error distribution  $s \leftarrow^{\$} \chi$ Then given the security parameter  $\kappa$  sample a ring element s uniformly at random from  $\kappa$  and define the secret key vector by  $(s^0, s^1, s^2, \dots, s^D) \in R_a^{D+1}$ .

#### Encryption

Rememer that all messages are encodeable in our message space  $R_t$ , thus we will encode our message m as a n degree polynomium with coefficients modulo t. To encrypt we sample  $(a, b = a \cdot s + t \cdot e)$  where  $a \leftarrow^{\$} R_a$  and  $e \leftarrow^{\$} \chi$ , then compute

$$c_0 := b + m$$
  $c_1 := -a$ 

and from this output the ciphertext  $\mathbf{c} := (c_0, c_1) \in R_a^2$ .

#### Decryption

Note that a ciphertext is on the form  $(c_0, c_1, \dots, C_D) \in R_q^{D+1}$ . Define the inner product over  $R_q$  as

$$\langle c, s \rangle = \sum_{i=0}^{D} c_i \cdot s^i$$

Then to decrypt, simply set m as the inner product of c and s and take modulo t.

$$m = \langle c, s \rangle \mod t$$

m will then be the decrypted message.

#### **Eval**

To obtain the homomorphic abilities of the encryption scheme, Zvika Brakerski and Vinod Vaikuntanathan show how to obtain homomorphic addition and multiplication of ciphertexts.

**Addition:** Assume we have 2 ciphertexts  $c \in R_q^{D+1}$  and  $c' \in R_q^{D+1}$ , then an encryption of the sum of the 2 underlying messages will be

$$c_{Add} = c + c' = (c_0 + c'_0, c_1 + c'_1, \dots, c_d + c'_d)$$
  $c_{Add} \in R_q^{D+1}$ 

The decryption of  $c_{Add}$  will then be the sum of the unencrypted messages from c and c'.

**Multiplication:** Assume we have 2 ciphertexts  $c \in R_q^{D+1}$  and  $c' \in R_q^{D'+1}$  and let v be a symbolig value then calculate the updated ciphertext

$$(\hat{c}_0, \hat{c}_1, \dots, \hat{c}_d + d') \in R_q^{D+D'+1}$$
 by

$$c_{mul} = (\sum_{i=0}^{D} c_i \cdot v^i) \cdot (\sum_{i=0}^{D'} c'_i \cdot v^i) = \sum_{i=0}^{D+D'} \hat{c}_i \cdot v^i \qquad c_{mul} \in R_q^{D+D'+1}$$

The output of the multiplication operation will then be  $c_{mul} = (\hat{c}_0, \hat{c}_1, \dots, \hat{c}_{D+D'})$ 

#### 2.1.3 Public key version

To achieve a public scheme instead, we can make the following changes

- In the key generation we generate in addition to the secret key  $sk = s \leftarrow^{\$} \chi$ , a public key  $pk = (a_0, b_0 = a_0 \cdot s + t \cdot e_0)$ , where  $a_0 \leftarrow^{\$} R_a, e_0 \leftarrow^{\$} \chi$
- In the encryption algorithm, we can instead use  $(a_0 \cdot v + t \cdot e', b_0 \cdot v + t \cdot e'')$  where  $v, e' \leftarrow \chi$  and  $e'' \leftarrow \chi'$ .

where we have then obtained a public key  $pk = (a_0, a_0 \cdot s + t \cdot e_0)$  corresponding to the secret key sk.

#### 2.1.4 On the security of PLWE

To later generate a secure set of parameters for our encryption scheme we will have to touch a bit on the security. Several considerations comes to play when thinking about the security and optimizations, most noticeable the choice of a proper function f(x) and the best well known attack should come into consideration. A lot of math including calculations on lattices and especially ideal lattices are needed to properly discuss the necessity for certain choices to be made and as such we will only be outlining the processes needed for us to choose secure parameters for our system.

#### On the choice of functions f(x)

The choice of function f(x) is normally set to be a cyclotomic-polynomial, meaning that it should be on the form of  $f(x) = x^n + 1$  where n should be chosen as  $n = 2^k$  for  $k \in \mathbb{N}$ . By doing so we get a lot of algebraic properties which opens for optimizations as described in section and adds to the security. Therefore we will without further discussion choose f(x) as a cyclotomic-polynomial function.

#### **Reducing RLWE to LWE samples**

Another important property to see is that any RLWE sample of the form  $(a, s \cdot a + e)$  where  $a \in R_q$  and  $s, e \leftarrow^{\$} \chi$  can be written into n LWE samples by using the following method. Let  $A_a$  be the matrix of multiplication by  $a \in R_q$ , then we get n LWE samples by

$$(A_a, \mathbf{b} = \mathbf{s}^T \cdot A_a + \mathbf{e}^T)$$

Where  $\mathbf{b} \in (\mathbb{R}/q\mathbb{Z})^n$  and  $e \in \mathbb{R}^n$ .

#### Parameter testing tool

Martin Albrecht, professor at the university of Royal Holloway in London, is the current maintainer of an opensource project [1] geared towards choosing secure parameters for the RLWE encryption scheme. By using this tool, we will be able to calculate the amount of security by using the lattice reduction algorithm BKZ. We'll be using this tool to evaluate the amount of security that one would need to actually secure

the algorithm, while still holding the degree of the function f(x) to a minimum for performance reasons. The tool will use a lot of lattice based mathemathics to actually calculate the amount of security, which will be given to us in the form of a number  $\beta$ , this  $\beta$  will then be used to test the amount of security. For the precise usage of the tool we have used the following sage script

```
from estimator import *
from estimator.lwe_parameteres import LWEparameters
from estimator.nd import NoiseDistribution

n = 2048
q = 80708763
Ke = NoiseDistribution(3.2)
Ks = NoiseDistribution(3.2)
m = 2*n

params = LWEParameters(n, q, Xs, Xe, m, tag="params")
result = LWE.estimate.rough(params)
print(result)
```

Then we can here variate the parameteres of our modulus q, the degree of the cyclotomic-polynomial n our noise distributions on r and r' (Xs, Xe) and the value of m typically provided by the authors.

#### Best known attack

The best known attack on the LWE scheme, which by combination of the earlier mentioned method to combine RLWE into n LWE samples also gives an attack on RLWE, is the BKZ lattice reduction algorithm. We will not be going into how BKZ works, but will mention that the amount of security from the BKZ algorithm has been showed in the "new hope" paper [5] written by Erdem ALkim and Thomas poppelman to be

$$2^{0.292 \cdot \beta}$$

Where we can get the value of  $\beta$  from the testing tool.

### 2.2 Circuit privacy

Each time we add or multiply ciphertexts the error term grows. As a result of this the error term will be larger for a ciphertext output by a call to **eval**, than for a ciphertext output by **encrypt**. This poses a problem, as an adversary might be able to derive information about the function computed by looking at the ciphertexts produced. To deal with this problem we would like the output distributions of the ciphertexts output by **eval** and **encrypt** to be identical, which is known as *circuit privacy*. This property along with how to achieve it has been described in [6].

To achieve *circuit privacy* we can make an encryption of 0 with a very large error term, and then add this ciphertext to the original ciphertext. By doing this we esentially

drown out information about the error vector of the original ciphertext. This will not modify the encrypted data, as the new error term will be removed by the (mod t) computation done in **decrypt** anyways.

#### 2.3 Protocol for Multiparty Computation based on SHE

The Multiparty Computation (MPC) problem is the problem where n players each with some private input  $x_i$ , want to compute some function f on the input, without revealing anything but the result.

In [4] the authors describe a protocol for MPC based on a SHE scheme. The protocol is able compute arithmetic formulas consisting of up to a single multiplication, along with a relatively large number of additions, while being statistically UC-secure against an active adversary and n-1 corruptions.

The protocol proceeds in two phases. In the first phase, preprocessing, a global key  $[\![\alpha]\!]$ , random values in two representations  $[\![r]\!]$ ,  $\langle r \rangle$ , and a number of multiplicative triples  $\langle a \rangle$ ,  $\langle b \rangle$ ,  $\langle c \rangle$  satisfying c = ab are generated.

In the second phase, the online phase, the players use the global key and secretshared data generated in the preprocessing phase to do the actual computations.

The online phase therefore only makes indirect use of the SHE scheme, as it is only used in the preprocessing phase to generate input for the online phase.

These two phases are described in further detail in section 2.3.2 & 2.3.3.

**Representations of shared values** The protocol makes use of two different representations of shared values  $[\![\cdot]\!], \langle \cdot \rangle$ . For a shared value  $a \in F_{p^k}$  the  $\langle \cdot \rangle$  representation is defined as follows:

$$\langle a \rangle := (\delta, (a_1, ..., a_n), (\gamma(a)_1, ..., \gamma(a)_n))$$

where  $a = \sum_i a_i$  and  $\alpha(\delta + a) = \sum_i \gamma(a)_i$ . The  $\gamma(a)_i$  values are thus MAC values used to authenticate a. Such a value is shared s.t. each party  $P_i$  has access to the global value  $\delta$  along with shares  $(a_i, \gamma(a)_i)$ . Multiplication by a constant and regular addition and are then defined entry-wise on the representation, while addition by a constant is defined as

$$c + \langle a \rangle := (\delta - c, (a_1 + c, ..., a_n), (\gamma(a)_1, ..., \gamma(a)_n))$$

When a value  $\langle a \rangle$  is partially opened, it means that the value a is revealed without revealing a's MAC values.

The second representation used for the protocol,  $[\cdot]$ , is defined in the following way:

$$[a] = ((a_1,...,a_n), (\beta_i, \gamma(a)_1^i,..., \gamma(a)_n^i)_{i=1,...,n})$$

where  $a = \sum_i a_i$  and  $a\beta_i = \sum_j \gamma(a)_i^j$ . Thus the  $\gamma(a)_i^j$  values are used to authenticate a under  $P_i$ 's personal key  $\beta_i$ . Each player  $P_i$  then has the shares  $(a_i, \beta_i, \gamma(a)_1^i, ..., \gamma(a)_n^i)$ . To open a  $[\cdot]$  value each player  $P_j$  sends  $a_j, \gamma(a)_i^j$  to  $P_i$ , who checks that  $a\beta_i = \sum_j \gamma(a)_i^j$ . Afterwards  $P_i$  can compute  $a = \sum_i a_i$ .

#### 2.3.1 Abstract SHE scheme and instantiation

The cryptosystem used as the SHE scheme in the protocol has to have certain properties to be admissible. The authors of the MPC protocol present a concrete instantiation of such an abstract SHE scheme, using the Ring-LWE based public key encryption scheme by Zvika Brakerski and Vinod Vaikuntanathan [2] described in section 2.1.

**encode and decode** To be able to use this scheme we have to define the function **encode**, which maps elements in the plaintext space  $M = (\mathbb{F}_{p^k})^s$  to elements in a ring R, which is equivalent to  $\mathbb{Z}^N$ . In addition to this we also need to define a function **decode**, which maps elements in  $\mathbb{Z}^N$  to M, s.t. **decode**(**encode**(m)) = m for  $m \in M$ .

To do this we first have to pick a polynomial for the quotient ring  $R_t = \mathbb{Z}_q[X]/\langle f(x)\rangle$  used by the cryptosystem. Picking f(x) in a specific way allows an optimization, which makes it possible to do entrywise multiplication, such that we can perform N multiplications in parallel. To do this we pick f(x) to be the m'th cyclotomic polynomial f(x) of degree  $N = \phi(m)$ , s.t. modulo t the polynomial f(x) factors into t irreducible factors of degree t, where t > t and t divides t.

We can then define the function  $\phi: M \to R_t$  which embeds M into  $R_t$ . We also define  $\iota: R_t \to \mathbb{Z}^N$ , which maps the coefficients from the polynomial given as input to a vector of length N with coefficients in the range (-t/2,...,t/2].

Finally, we define **encode**(**m**) =  $\iota(\phi(\mathbf{m}))$  and **decode**(**x**) =  $\phi^{-1}(\mathbf{x} \pmod{t})$ .

**key distribution and distributed decryption** In addition to the aforementioned requirements, we also want the cryptosystem to implement a functionality  $\mathcal{F}_{KeyGenDec}$ . This functionality will on receiving "start" from all honest players generate a keypair (pk,sk), and then distribute pk to the players and store sk. The players can then use the functionality to cooperate in decrypting a ciphertext encrypted under pk.

#### 2.3.2 Preprocessing phase

The preprocessing phase is implemented by the Prep protocol, which consists of the steps **initialize**, **pair**, and **triple**. These steps use the additional protocols Reshare, PAngle, and PBracket as subroutines.

**Protocol Reshare:** The Reshare protocol takes a ciphertext  $e_m$  as input and a parameter enc, which can be set to either NewCiphertext or NoNewCiphertext. The protocol then outputs a share  $m_i$  of m to each player along with a new fresh ciphertext  $e'_m$  if enc = NewCiphertext, where  $e'_m$  contains  $\sum_i m_i$ . To do this the players first each sample  $f_i \in \mathbb{F}_{p^k}$ , and then broadcast

$$e_{f_i} \leftarrow Enc_{nk}(f_i)$$

Each  $P_i$  then runs the ZKPoPK protocol while acting as a prover on the previously generated ciphertext  $e_{f_i}$ , and if any of these proofs fail, then parties abort. Now, each player homomorphically adds each encrypted share

$$e_f \leftarrow e_{f_1} \boxplus ... \boxplus e_{f_n}$$

and then homomorphically adds  $e_m$  and  $e_f$  to get  $e_{m+f}$ . The players now use  $\mathscr{F}_{KeyGenDec}$  to decrypt  $e_{m+f}$  so that they get m+f. Now  $P_1$  sets  $m_1 \leftarrow m+f-f_1$ , while the rest of the players  $P_i$  set  $m_i \leftarrow -f_i$ . If enc = NewCiphertext, then the players each compute

$$e'_m \leftarrow Enc_{pk}(m+f) \boxminus e_{f_1} \boxminus ... \boxminus e_{f_n}$$

where default randomness is used for the encryption.

**Protocol PAngle:** PAngle takes as input a ciphertext  $e_{\nu}$  along with privately held shares  $\nu_1, ..., \nu_n$ . These are then used to generate a value in the angle representation  $\langle \nu \rangle$ . To achieve this all players first compute

$$e_{v,a} \leftarrow e_v \boxplus e_{\alpha}$$

Reshare is then used with  $e_{v \cdot \alpha}$  as input, such that each player  $P_i$  recieves a share  $\gamma_i$  of  $v \cdot \alpha$ . Finally,  $\langle v \rangle = (0, (v_1, ..., v_n), (\gamma_1, ..., \gamma_n)$  is output.

**Protocol PBracket:** PAngle takes as input a ciphertext  $e_v$  along with privately held shares  $v_1, ..., v_n$ . These are then used to generate a value in the angle representation [v]. For i = 1, ..., n all players compute

$$e_{\gamma_i} \leftarrow e_{\beta_i} \boxtimes e_{\nu}$$

and then generate  $(\gamma_i^1,...,\gamma_i^n)$  by calling Reshare with  $e_{\gamma_i}$  and NoNewCiphertext as input. The representation  $[\![v]\!]=((v_1,...,v_n),(\beta_i,\gamma(v)_1^i,...,\gamma(v)_n^i)_{i=1,...,n})$  is then output.

**Initialize:** The **initialize** step generates the global and personal keys. This is acheived by the players first calling "start" on  $\mathscr{F}_{KeyGenDec}$ , so that every player obtains the public key pk. Then each player samples  $\alpha_i, \beta_i \in \mathbb{F}_{p^k}$ , and broadcasts

$$e_{\alpha_i} \leftarrow Enc_{pk}(Diag(\alpha_i)), \ e_{\beta_i} \leftarrow Enc_{pk}(Diag(\beta_i))$$

where  $Diag(a) = (a, a, ..., a) \in (\mathbb{F}_{p^k})^s$ . Now each  $P_i$  runs the ZKPoPK protocol twice with diag = true, while acting as a prover, where the inputs are the ciphertexts  $e_{\alpha_i}$  and  $e_{\beta_i}$  repeated sec times. Finally, the players homomorphically add the encrypted shares  $e_{\alpha_i}$  to get  $e_{\alpha}$ , which they use along with their share  $Diag(\alpha_i)$  to generate  $[Diag(\alpha)]$  using a call to PBracket. Then  $[Diag(\alpha)]$  is the global key, while  $\beta_i$  is  $P_i$ 's personal key.

**Pair:** In **pair** the players generate random values in the two representations  $[r], \langle r \rangle$ . This is done by each player first sampling a share  $r_i \in (\mathbb{F}_{p^k})^s$ , then broadcasting Each player then encrypts their share to get

$$e_{r_i} \leftarrow Enc_{pk}(r_i)$$

which they then broadcast. Once again  $P_i$  will now runs the ZKPoPK protocol acting as a prover with input  $e_{r_i}$ , and if the ZK proof fails, then the protocol is aborted. The players then homomorphically add the encrytped shares to get  $e_r$ , which they use along with their share  $r_i$  as input to PBracket and PAngle to generate [r],  $\langle r \rangle$ .

**Triple:** The **triple** step generates triples  $(\langle a \rangle, \langle b \rangle, \langle c \rangle)$  satisfying c = ab. To do this the players start off by sampling shares  $a_i, b_i \in (\mathbb{F}_{p^k})^s$ . The players then encrypt their shares and broadcast the result

$$e_{a_i} \leftarrow Enc_{pk}(a_i), \ e_{b_i} \leftarrow Enc_{pk}(b_i)$$

Now each  $P_i$  acts as a prover running the ZKPoPK protocol first with  $e_{a_i}$  and then with  $e_{b_i}$  as input, and if any proof fails, then the protocol is aborted. The players then homomorphically add the encrypted shares to get  $e_a$  and  $e_b$ , and use these along with their shares  $a_i, b_i$  to generate  $\langle a \rangle$ ,  $\langle b \rangle$  using calls to PAngle. Following this each player homomorphically multiplies  $e_a$  and  $e_b$  to get  $e_c$ , which the players use as input to Reshare to get shares of c along with a new ciphertext  $(c_1, ..., c_n, e_{c'})$ . Then the players then use their shares of c along with  $e_{c'}$  to generate  $\langle c \rangle$  by calling PAngle. Finally, the triple  $(\langle a \rangle, \langle b \rangle, \langle c \rangle)$  is output.

#### 2.3.3 Online phase

The Online protocol implements the online phase, and consists of the steps **initialize**, **input**, **add**, **multiply**, and **output**. These steps are executed as needed to evaluate the arithemtic circuit that we wish to evaluate.

**Initialize:** The **initialize** step simply consists using the Prep protocol to generate a global key, along with enough multiplicative triples and random values in the two representations showed earlier, for the circuit that we want to evaluate.

**Input:** The *input* step lets a player  $P_i$  share their private input  $x_i$ . The input is shared by taking a pair [r],  $\langle r \rangle$ , and then opening [r] to  $P_i$  so that  $P_i$  gets r. Following this  $P_i$  computes and broadcasts  $\varepsilon \leftarrow x_i - r$ . All players finally set  $\langle x_i \rangle \leftarrow \langle r \rangle + \varepsilon$ .

**Add:** To add two values  $\langle x \rangle$ ,  $\langle y \rangle$ , we simply perform the component-wise addition  $\langle z \rangle = \langle x \rangle + \langle y \rangle$ , meaning that each player adds their shares locally  $z_i = x_i + y_i$ ,  $\gamma(z)_i = \gamma(x)_i + \gamma(y)_i$ .

**Multiply:** To multiply two values  $\langle x \rangle, \langle y \rangle$ , we use two multiplicative triples  $(\langle a \rangle, \langle b \rangle, \langle c \rangle)$  and  $(\langle f \rangle, \langle g \rangle, \langle h \rangle)$ . We use the second triple to check that ab = c, but this could also be done in preprocessing instead. To do this check we first open [t] to get t, then partially open  $t * \langle a \rangle - \langle f \rangle$  and  $\langle b \rangle - \langle g \rangle$  to get  $\rho$  and  $\sigma$  respectively. Finally, we compute and partially open

$$t * \langle c \rangle - \langle h \rangle - \sigma \langle f \rangle - \rho \langle g \rangle - \sigma \rho$$

if the result is 0, then we conclude that ab=c and move on, otherwise the players abort. Now, partially open  $\langle x \rangle - \langle a \rangle$  and  $\langle y \rangle - \langle b \rangle$  to get  $\varepsilon$  and  $\delta$  respectively. Finally, we outtut

$$\langle z \rangle \leftarrow \langle c \rangle + \varepsilon \langle b \rangle + \delta \langle a \rangle + \varepsilon \delta$$

**Output:** To output a value y given  $\langle y \rangle$ , the players do the following. First, a random value [e] is opened so each player gets e, which is used to compute

$$a = \sum_{j} e^{j} \cdot a_{j}$$

where  $a_j$  are all of the opened values of the form  $\langle a_j \rangle$ . Each player  $P_i$  then uses the commitment functionality  $\mathscr{F}_{Com}$  to commit to  $\gamma_i \leftarrow \sum_j e^j \gamma(a_j)_i$  along with  $y_i$  and  $\gamma(y)_i$ . Then, the global key  $[\![\alpha]\!]$  is opened. The players then use  $\mathscr{F}_{Com}$  to open  $\gamma_i$  and then check that indeed

$$\alpha(a+\sum_{j}e^{j}\delta_{j})=\sum_{j}\gamma_{i}$$

If this is not the case, then the players abort. Finally, to make sure that all players end up with y the commitments to  $y_i$  and  $\gamma(y)_i$  are opened. Now the players check that  $\alpha(y+\delta) = \sum_i \gamma(y)_i$ , and if this is the case, then  $y = \sum_i y_i$  is output.

#### 2.4 Reuse of unrevealed secret-shared data

In [3] a technique that allows for reuse of unrevealed secret-shared data is used. This technique revolves around not having to open  $[\![\alpha]\!]$ , and in fact we do not even need the  $[\![\cdot]\!]$  representation when using this technique.

The technique works as follows. First, when we generate the global key we now just need each player  $P_i$  to have a share  $\alpha_i$  of  $\alpha$ . In the output step the player will then instead of the current MAC check instead invoke the new MACCheck protocol on all of the values in the  $\langle \cdot \rangle$  representation that have been opened, and if this succeeds the player invokes MACCheck on the output value  $\langle y \rangle$ . The MACCheck protocol works as follows:

**Protocol MACCheck** First each  $P_i$  samples a seed  $s_i$  and use  $\mathscr{F}_{Commit}$  to broadcast  $\tau_i^s \leftarrow Commit(s_i)$ . Following this each player opens all commitments using  $\mathscr{F}_{Commit}$  to get all n seeds  $s_i$ . Now, all players set

$$s \leftarrow s_1 \oplus ... \oplus s_n$$

Players then use s as seed to sample a random vector of length t with entries in the interval [0, p). All players then first compute

$$a \leftarrow \sum_{j=1}^{t} r_j \cdot a_j$$

where the  $a_i$ 's are the opened values. Now  $P_i$  computes

$$\gamma_i \leftarrow \sum_{j=1}^t r_j \cdot \gamma(a_j)_i$$
 and  $\sigma_i \leftarrow \gamma_i - \alpha_i \cdot a$ 

Player *i* then uses  $\mathscr{F}_{Commit}$  to broadcast  $\tau_i^{\sigma} \leftarrow Commit(s_i)$ . All players invoke  $\mathscr{F}_{Commit}$  to open the commitments received to get the  $\sigma_j$ 's. Finally, the players check that  $\sigma_1 + ... + \sigma_n = 0$ , and if this is not the case, then they abort.

### **Chapter 3**

### **Implementation**

For the implementation part of the project we first implemented the Ring-LWE cryptosystem described in section 2.1. We then used this for implementing the MPC protocol described in section 2.3, while making slight deviations to allow for reuse of secret-shared values, as described in section 2.4. The code for the protocol was written in the programming language Rust, and can be found in the repository at https://github.com/Gabaa/homomorphic-encryption-project. In this chapter we describe the implementation details of these systems.

#### 3.1 Ring-LWE cryptosystem

#### 3.1.1 Polynomials (poly.rs)

Since our public-key encryption scheme uses polynomials to represent most of the values (messages, ciphertexts, secret keys, and public keys), we implemented a simple Polynomial data structure, along with the most common operations we will perform on it.

Internally, a Polynomial is simply a Vec (a contiguous growable array type) of Integer values, each representing a coefficient in the polynomial.

We implemented operations for adding, subtracting, negating, and multiplying (with both constants and other polynomials), and functions for trimming the polynomial (removing trailing zero-coefficients), right-shifting the coefficients (from lower to higher degrees), retrieving the  $\ell_{\infty}$  value, calculating the modulo, and normalizing the coefficients to be in the range [-q/2,q/2) instead of [0,q), which is necessary during decryption.

#### 3.1.2 Quotient ring (quotient\_ring.rs)

Encryption, decryption, and key generation involves adding, subtracting, multiplying, and negating elements in the quotient ring  $R_q = \mathbb{Z}_q[x]/\langle x^n + 1 \rangle$ . To be able to do these computations we made a quotient ring implementation, which can be found in quotient\_ring.rs.

#### Rq

The quotient ring module contains a struct definition Rq. This struct represents an instantiation of a quotient ring  $\mathbb{Z}_q[x]\langle f(x)\rangle$ . It therefore has fields q and modulo, where q is an Integer, and modulo is a Polynomial representing f(x). The **new** function takes q and modulo as input, and is used to make a new instantiation of Rq.

#### reduce

The reduce method found in the quotient ring module is called on an Rq struct, takes a polynomial pol as input, and returns the normal form of the element pol with respect to modulo.

To achieve this, the method first performs polynomial long division with pol as the dividend and the modulo from the Rq struct as the divisor. The remainder computed in this way is then stored in the variable r.

Lastly, we reduce the coefficients of the resulting polynomial r modulo q, by using the modulo method defined in the poly.rs module, and then return the result.

#### add, sub, times, neg, mul

The methods add, sub, times, neg, mul are called on an Rq struct. These methods first use the addition, scalar multiplication, negation, and polynomial multiplication methods (or some combination thereof), as defined in the the poly.rs module on the input. Then, reduce is called, and the result is returned.

#### 3.1.3 Public-key encryption scheme (encryption.rs)

The encryption scheme, as usual, has three major components:

- the generate\_key\_pair function
- the encrypt function
- and the decrypt function

In addition to this we also have two functions responsible for the homomorphic operations, namely add and mul.

#### generate\_key\_pair

The generate\_key\_pair function takes as input an instance of the Parameters struct. This struct essentially just defines the parameters that nearly all functions in the encryption scheme use in some form or another. This includes r, n, q, t, and the quotient ring  $R_q$ , which are all relevant for the key generation function.

The function starts by sampling polynomials sk and  $e_0$  from a Gaussian distribution with standard deviation r, and the polynomial  $a_0$  uniformly from  $R_q$ .

It then calculates the public-key as  $pk = (a_0, a_0 \cdot sk + e_0 \cdot t)$ , and finally returns the key pair (pk, sk).

#### encrypt

The encrypt function takes a Parameters instance, as described above, and additionally takes a polynomial m and a public key pk.

We extract the two polynomials of the public key,  $a_0$  and  $b_0$ .

First, we make sure that the message polynomial we are trying to encrypt is in  $R_t$ . Then, we sample polynomials v and e' from a Gaussian distribution with standard deviation r, and the polynomial e'' from a Gaussian distribution with standard deviation r' (which is also defined in the Parameters struct).

We then calculate  $a = a_0 \cdot v + e' \cdot t$  and  $b = b_0 \cdot v + e'' \cdot t$ . Finally, we create the ciphertext as a Vec c = [b+m,a] and return it.

#### decrypt

The decrypt function takes a Parameters instance, as well as a ciphertext  $c = [c_0, c_1, \dots]$  and a secret key sk.

We start by constructing the secret key vector  $\mathbf{s} = [1, s, s^2, \dots]$  from the secret key. We only create the first |c| entries of the secret key vector, as those are the only ones we'll need.

We then initialize a polynomial msg = 0, which will become the decrypted message. Then, iterating over each element  $c_i$  in the ciphertext, we add  $c_i \cdot \mathbf{s}_i$  to msg, where  $\mathbf{s}_i$  is the *i*'th entry (zero-indexed) in the secret key vector.

Since the message has coefficients in  $\mathbb{Z}_q$ , but we need them to be in the interval from  $-\frac{q}{2}$  to  $\frac{q}{2}$ , we call the normalized\_coefficients method on the msg polynomial at this point.

At this point, we want to ensure that the  $\ell_{\infty}$  for the message is at most  $\frac{q}{2}$ . If not, decryption cannot work.

If the check succeeds, we reduce the message modulo t to remove the  $e \cdot t$  part of the encryption, and then we return the result.

#### add

The add function homomorphically adds the two ciphertexts c1 and c2 given as input along with a Parameters struct.

To do this computation the function simply creates a new Vec of length max(c1.len(), c2.len(). Following this the function iterates over the two ciphertexts and for each entry i, it adds c1[i] and c2[i] to entry i in the newly created Vec using add from quotient\_ring.rs. After iterating over all entries the resulting Vec is returned.

#### mul

The mul function homomorphically multiplies the two ciphertexts c1 and c2, which it takes as input along with a Parameters struct.

First, a new Vec called res is initialized. For each entry i in c1 and each entry j in c2 the function adds  $c1[i] \cdot c2[j]$  to the entry res[i + j], where the addition and multiplication is done using add and mul from quotient\_ring.rs. Afterwards res is returned.

#### 3.2 MPC protocol

We now describe our implementation of an actively secure MPC protocol.

For this protocol we did not implement the cyclotomic polynomial optimisation described earlier, so s=1, meaning that we are working in  $\mathbb{F}_{p^k}$ . This also means that we cannot do entry-wise multiplications like done in [4]. Therefore, to encode an element  $a \in \mathbb{F}_{p^k}$  to an element in  $R_q$ , we simply return Polynomial::new(vec![a]), which is the Polynomial corresponding to f(x)=a. To decode a polynomial  $f(x)=a \in R_q$ , we simply return the Integer a.

#### 3.2.1 Dealer and Player

There are two types of actors in this system, the *dealer* and the *player*.

The *dealer* acts as a trusted party for establishing connections between all parties and, once all parties have connected, provides the parties with key material (i.e. a public key and shares to the corresponding secret key), enabling distributed decryption. For practical purposes, all players only need to know the address of the dealer, who will then forward the receiving addresses of all players to each other, enabling point-to-point communication.

All other parties in the protocol are *players*. A player contributes some private input to the function being computed, in the end receiving only the result and no other information.

To prepare for computing the agreed function, all players connect to the dealer, sending a "Start" message with their address for receiving TCP connections, and getting back a list of players who have already connected to the dealer (along with themselves). Each player's position in this list indicates their "player number", which is relevant for some parts of the protocol. When all players have connected, and all of the key material has been distributed, the dealer shuts down, as it is no longer needed.

#### **Facilitator**

The Facilitator is a small, but central component that enables communication between the parties while running the protocol. While it is not a theoretically important part of the system, it ended up being used in almost all other components, and will therefore be summarized here.

After the dealer has shut down, each player uses a Facilitator to communicate with the other players, providing functions for broadcasting or sending point-to-point messages (broadcast and send), as well as for receiving messages from a designated player or from all players at once (receive and receive\_from\_all).

To ensure that all messages are received in the desired order, sending a message is handled entirely synchronously for the player (i.e. the send function is handled in the main thread, and therefore will not return until the message has been accepted by the other party).

Oppositely, receiving messages is handled by a dedicated thread that holds a TCPListener (the one for which the address was published). Once a messages is received, it is added to a channel corresponding to the player that sent the message,

ready to be read whenever the receiving player is ready. If no message is ready, the receive function blocks until a message is received.

The system currently does not have any mechanism for verifying the origin of messages, as a new connection is opened on a new port each time a player wants to send a message to another player. Therefore, each player sends along their own identity when sending a message, which would obviously be insecure in a production-ready system. This issue could be resolved in many ways, for example using public-key cryptography and authenticated channels.

#### 3.2.2 ZKPoPK (mpc/zk.rs)

In zk.rs the zero knowledge proof of plaintext is implemented for each party to run. The zero-knowledge proof has been implemented as in figure 10 of "multiparty computation from somewhat homomorphic encryption" [4]. The file is divided into 2 different functions. To generate a zero knowledge proof, we must call the function make\_zkopk() which takes 6 arguments

- params The parameters of the PLWE instantiation.
- x: The plaintext for which we intend to generate the proof.
- r: The randomness to be used.
- c: The ciphertexts generated in the preprocessing protocol.
- diag: A boolean to indicate whether the diagonal element should be checked.
- **Pk**: The public key to be used.

make\_zkopk() will generate 3 values (a, z, T), which will be used in the verification of the proof. To verify the proof we call the function verify\_zkopk, which takes as input 6 arguments as well

- a: value generated by the make\_zkopk function.
- **z**: value generated by the make\_zkopk function.
- **t**: value generated by the make\_zkopk function.
- c: The ciphertexts generated in the preprocessing protocol.
- params The parameters of the PLWE instantiation.
- **Pk**: The public key to be used.

verify\_zkopk will return a boolean indicating whether or not the proof was valid.

#### 3.2.3 Preprocessing phase (mpc/prep.rs)

The file prep.rs contains all of the functions that are called in the preprocessing phase to generate values for the online phase.

The functions reshare and p\_angle correspond to the Reshare and PAngle protocols explained previously, while initialize, pair, and triple correspond to three steps of the Prep protocol.

The file also contains a type definition AngleShare, which for some value  $\langle v \rangle$  is a pair of the form  $(v_i, \gamma(v)_i)$  for some player i. Additionally, the file also contains a definition MulTriple, which contains three AngleShare values, and represent a players shares of the  $\langle \cdot \rangle$  values in a multiplicative triple.

#### reshare

The first function, reshare, takes as input a Parameters struct params, a Ciphertext e\_m, a PlayerState state, and an Enc enum enc, which can take on values NewCiphertext or NoNewCiphertext.

The first thing done in this function is to sample a value  $f_i$  uniformly from  $Z_t$  using sample\_single from prob.rs. Then,  $f_i$  is encrypted to get  $e_f$ . The facilitator is then used to broadcast  $e_f$ , and subsequently receive the encrypted shares from the other parties, which are then homomorphically added to get  $e_f$ . Then, we compute  $e_m$ -plus\_f = add(params,  $e_m$ , &e\_f).

The ddec function from mod.rs is then called with e\_m\_plus\_f as input to get the plaintext m\_plus\_f.

Then we set m\_i to be  $e_{m+f} - f_i \mod t$  if the player calling the function is the player with index 0, and  $-f_i \mod t$  otherwise. If enc = NewCiphertext, we now encrypt m\_plus\_f using encrypt\_det where we use a triple of 1-polynomials instead of the randomness to make encryption deterministic. Now, we use add from encrypt.rs to homomorphically subtract the encrypted shares  $e_{f_i}$  from the encryption of m\_plus\_f to get e\_m\_prime. Afterwards, we return (Some(e\_m\_prime), m\_i)

If enc = NoNewCiphertext, we instead just return (None, m\_i).

#### p\_angle

The p\_angle function takes a Parameters struct params, an Integer v\_i, a Ciphertext e\_v, and a PlayerState state as input.

The first thing done in p\_angle is to homomorphically multiply e\_v and state .e\_alpha to get e\_v\_mul\_alpha. Then, reshare is called to get gamma\_i, which is a share of an Integer, namely the plaintext in e\_v\_mul\_alpha. Lastly, the function returns (v\_i, gamma\_i), which is an AngleShare value corresponding to a share of  $\langle v \rangle$ .

As can be seen from the implementation we omit the public  $\delta$  value as done in [3], such that the MAC's now instead satisfy  $\alpha v = \sum_i \gamma(v)_i$ .

#### initialize

The initialize function takes a Parameters struct params, and a mutable PlayerState state as input.

First, we sample a uniformly random Integer from [0,t) using the sample\_single function with params.t as input, and set the alpha\_i variable of the player state to this value. This represents the given players share of the global key. We then encrypt state.alpha\_i to get an encrypted share e\_alpha\_i. Now, e\_alpha\_i is broadcast using state.facilitor, and each player then uses their facilitator to receive  $e_{\alpha_i}$  from the other players. The  $e_{\alpha_i}$ 's are then homomorphically added to get  $e_{\alpha}$ , and the result is then assigned to the e\_alpha variable of state. Finally, we run zpopk from zk.rs in a loop sec times.

Notice how we do not compute the personal keys  $\beta_i$  as done in [4]. As mentioned earlier these are not needed when we use the trick described in section 2.4.

#### pair

The pair function takes a Parameters struct params, and a PlayerState state as input.

The function first samples a uniformly random Integer  $r_i$  from  $Z_t$ . Now,  $r_i$  is encrypted to get  $e_r$ , which is the broadcast using the facilitator. All players then again use the facilitator to receive the encrypted shares from the other parties, which are then homomorphically added to compute  $e_r$ . Then, we call zkpopk with  $e_r$  as input.

Following this we compute the given players share of  $\langle r \rangle$  with a call to p\_angle with r\_i and e\_r as arguments, which returns r\_angle.

Again, we use the trick explained in section 2.4, so we don't need the values in the bracket representation, and therefore we just return (r\_i, r\_angle), which corresponds to shares of the pair  $(r, \langle r \rangle)$ .

#### triple

The triple function takes a Parameters struct params, along with a PlayerState state as arguments.

First, we sample a\_i, b\_i uniformly at random from  $Z_t$ , which are both then encrypted, and the encrypted shares are then broadcast.

Then, when the player receives the encrypted shares of a and b from the other players, then these are homomorphically added to get  $e_a$  and  $e_b$ .

Following this, we generate shares a\_angle and b\_angle with calls to p\_angle using a\_i, e\_a and b\_i, e\_b as input respectively.

Now, the player calling the function has shares of  $\langle a \rangle$  and  $\langle b \rangle$ , and we need to compute a share of  $\langle c \rangle$ .

To do this we compute e\_c by homomorphically multiplying e\_a and e\_b, and then we call reshare with e\_c and NewCiphertext as input to get a new ciphertext e\_c\_prime and c\_i, which is a share of c.

This allows us to call p\_angle using c\_i and e\_c\_prime to get c\_angle.

Finally, we return a MulTriple containing a\_angle, b\_angle, and c\_angle.

#### 3.2.4 Commitments (mpc/commit.rs)

For the online phase we also need a commitment functionality as described in [3]. Our implementation of this functionality can be found in commit.rs. This file contains the

methods commit and open, which are explained in greater detail below.

#### commit

The commit function simply takes two Vec<u8> values called v and r, along with a PlayerState state as input. Then v is the value that we wish to commit to, while r is the randomness that we use when committing.

In this method we utilize the implementation of sha256 found in the sha2 package to hash the concatenation of v and r, which yields the commitment c.

c is then broadcast to all players using the facilitator.

#### open

This function takes a commitment c and a value o as input, and these are both Vec<u8>. The o value is then supposed to satisfy that o = v||r.

When calling this function it hashes o using sha256, and then checks whether h(o) = c. If this is indeed the case, then we return 0k(o), and otherwise we return an error.

#### 3.2.5 Online phase (mpc/online.rs)

The code related to the online phase can be found in mpc/online.rs. The implementation of this phase is based on the online protocol in [3], but in that protocol the triple check is done in preprocessing, while we do it in the online phase as in [4].

The two functions give\_input and receive\_input together correspond to the Input step of the protocol. The add, multiply, and output functions correspond to the steps of the same names. The function maccheck corresponds to the MACCheck protocol, and triple\_check is used as a subroutine in multiply to check for validity of a multiplicative triple.

#### give\_input

This function takes a Parameters struct params, an Integer x\_i, a (Integer, AngleShare) pair called r\_pair, and a PlayerState state.

First, the function broadcasts a message BeginInput to indicate that the player calling the function wants to give some input.

Then, the player receives all shares of r from the other players using the facilitator, and opens r by computing  $r = r_1 + ... + r_n \mod p$ .

The value eps =  $x_i - r$  is then computed, and subsequently broadcast to all players.

The AngleShare corresponding to  $\langle r \rangle + \varepsilon$  is then computed and returned.

#### receive\_input

The receive\_input function takes a Parameters struct params, an Integer x\_i, a (Integer, AngleShare) pair called r\_pair, and a PlayerState state.

The first thing that the function does is to receive a message using the facilitator, and if this is not BeginInput, then we panic.

Following this we take  $r_i$  from r\_pair, and send it to the player p\_i, which is the player that is providing input, and thus also the player that sent the initial BeginInput message.

Now, we receive  $\varepsilon$  from p\_i, and then use this to compute and return the AngleShare corresponding to  $\langle x_i \rangle = \langle r \rangle + \varepsilon$  for the given player.

#### add

Add simply takes two AngleShare values x and y as input.

These are then used to compute (x.0 + y.0, x.1 + y.1), and the resulting AngleShare is then returned.

#### partial\_opening

To partially open some value v where player i holds share  $v_i$ , each player calls partial\_opening with a Parameters struct params, an Integer to\_share, and a PlayerState state as arguments. When partially opening we send all shares to some designated player, and in this case we simply let all players send their share to player  $P_1$ , who has index 0.

To do this we first send the calling players share of v, to\_share, to  $P_1$  using the facilitator. Player  $P_1$  then computes  $v = v_1 + ... + v_n \mod p$ , which is subsequently broadcast to all players using the facilitator.

Finally, the function returns the value  $\nu$  received from  $P_1$ .

#### triple\_check

The triple\_check function takes a Parameters struct params, two MulTriple values abc\_triple and fgh\_triple, an Integer t\_share, and a mutable PlayerState state as arguments.

The first thing done is to use the facilitator to broadcast the players share of t, t\_share. The player then uses the facilitator to receive shares of t from the other players, and then compute  $t = t_1 + ... + t_n \mod p$ .

Now, we compute  $t \cdot \langle a \rangle - \langle f \rangle$  and save the resulting AngleShare in variable rho\_share. Following this we call partial\_opening with rho\_share.0 as input to get  $\rho$ . Lastly, we push (rho, rho\_share.1) to state.opened, to ensure that we check the MAC of  $\rho$  in maccheck.

Now, we use the same approach as for  $\rho$  to compute  $\langle b \rangle - \langle g \rangle$  and store the resulting AngleShare in variable sigma\_share. Then we call partial\_opening with sigma\_share.0 as input to get  $\sigma$ . Then we push (sigma, sigma\_share.1) to state. opened.

We then compute  $t \cdot \langle c \rangle - \langle h \rangle - \sigma \cdot \langle f \rangle - \rho \cdot \langle g \rangle - \sigma \cdot \rho$ , call partial\_opening with the resulting AngleShare as input to get the variable zero.

The last thing done is to check whether zero is 0. If this is the case, then we return 0k, otherwise we return Err.

#### multiply

The multiply function takes a Parameters struct params, two AngleShare values x and y, two MulTriple values abc\_triple and fgh\_triple, an Integer t\_share, and a PlayerState state as arguments.

First, we call triple\_check with params, abc\_triple, fgh\_triple, t\_share, and state as input. If the call to triple\_check returns Err, then we panic and abort the protocol, otherwise we proceed.

Following this we compute the AngleShare corresponding to  $\langle x \rangle - \langle a \rangle$ , so that we get eps\_share. We then call partial\_opening with eps\_share. 0 as input to get eps. Then we push (eps, eps\_share.1) to state.opened.

Now we compute the AngleShare corresponding to  $\langle y \rangle - \langle b \rangle$ , so we get delta\_share, then call partial\_opening with delta\_share.0 as input to get delta. And now we push (delta\_share.1) to state.opened.

Finally, we compute the AngleShare corresponding to  $\langle z \rangle = \langle c \rangle + \varepsilon \langle b \rangle \delta \langle a \rangle + \varepsilon \delta$  and return it.

#### maccheck

The maccheck function takes a Parameters struct params, a Vec<(Integer, Integer) > of  $(a_i, \gamma(a_i)_i)$  pairs named to\_check, and a PlayerState state as arguments.

First, we use the rand package to sample random 32-byte values s\_i and r.

Then we use the commit function from commit.rs, to commit to s\_i using r as randomness.

Following this we use the facilitator to receive the commitments from all n parties. Then once all of the commitments have been received the parties broadcast the value  $o = s_i || r$ , such that all parties then open the commitments that they have received and get the seed  $s_i$  for all players i.

Now, we XOR the received seeds to get  $s = s_1 \oplus ... \oplus s_n$ . This is followed by using the rand package and the seed s to sample a vector rng\_seed with n entries and with values in the range [0, p).

Then the function uses the values  $a_1,...,a_t$  stored in state.opened to compute  $a = \sum_{j=1}^{t} r_j a_j$ .

After generating a we use it to compute  $\gamma_i = \sum_{j=1}^t r_j \gamma(a_j)_i$  and  $\sigma_i = \gamma_i - \alpha_i a$ . Then we convert  $\sigma_i$  to bytes and store the result in sigma\_i\_bytes.

Afterwards we need to commit to sigma\_i\_bytes, and to do this we sample 32 bytes of randomness r as done earlier. This is followed by committing to sigma\_i\_bytes using r as randomness.

Now we use the facilitator to receive commitments from all n players, and once these have been received we broadcast  $o = sigma\_i\_bytes||r$ .

Then we use the o values received to open the  $\sigma$  commitments received earlier, such that we get bytes corresponding to  $\sigma_i$  from all players i. Then, we convert the bytes into values of the Integer type.

Finally, we add the  $n \sigma_i$  values received and check that indeed the sum is equal to Integer::ZERO. If this is the case, then we return true, otherwise we return false.

#### output

The output function is called by a player when that player is ready to output some value  $\langle y \rangle$ . The function takes a Parameters struct params, AngleShare y\_angle, and a PlayerState state as arguments.

To output a value we first call the maccheck function on state.opened, to check that the MAC values are correct for all of the values v in the  $\langle r \rangle$  representation that have been opened. If this returns false, then the check was unsuccessful and we panic to abort the protocol.

Then we broadcast y\_angle.0, which is the players share  $y_i$  of the output value y. This is followed by receiving shares from all players, and then computing  $y = y_1 + ... + y_n \mod p$ .

Now, we once again call the maccheck function but this time we use the (y, y\_angle.1) as input, which is the opened value y and the players corresponding MAC value. If this returns false, then we once again panic to abort the protocol.

If we did not abort at any point during the abort, then we return the final result y.

## **Chapter 4**

## **Conclusion**

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## Acknowledgments

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## Appendix A

## First appendix