



WEST UNIVERSITY OF TIMISOARA
FACULTY OF MATHEMATICS AND COMPUTER SCIENCE

STUDY PROGRAM:
COMPUTER SCIENCE IN ENGLISH

BACHELOR THESIS

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Prezicerea zonelor inundabile folosind imagini satelitare

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Abstract

Floods are without doubt the most devastating natural disasters, striking numerous regions in the world each year. During the last decades due the increased frequency of heavy rain and a continuously increasing concentration of population near water regions a lot of assets and lives were lost.

That is the reason why a system that can create a flood forecasting is

To process the resulted images we will use a library in python called GDAL which can handle that specific type of files (.tif)

In the first part we will try to identify the areas nearby the rivers and lakes (because that areas are more likely to be flooded), and in the second part we will try to analyze the images based on the height of each section. The water from the rain will gather in the lower areas, so we can presume that that areas are likely to hold water.

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Chapter 1

Introduction

1.1 Motivation

Water is an essential component of ecosystems for the sustainability of life on our planet. It balances ecosystems and maintains climate variation, carbon cycling, etc. It is equally important to humans and other forms of life. Its excess or absence could lead to disasters and extreme land use change. Hence, identification of water bodies is an essential process in science and engineering research. The identification can be useful in various ways, such as estimation of water areas and demarcation of flooded regions[1, 2]

Floods are without doubt the most devastating natural disasters, striking numerous regions in the world each year. During the last decades due the increased frequency of heavy rain and a continuously increasing concentration of population near water regions a lot of assets and lives were lost. In general, less developed countries are the most vulnerable to floods, causing damages that significantly affect the national GDP. At country and community levels important initiatives have and are being devoted to implement appropriate countermeasures, both structural and non-structural, aiming to alleviate the persistent threats of water-related disasters.

Flood prediction models are of significant importance for hazard assessment and extreme event management. Robust and accurate prediction contribute highly to water resource management strategies, policy suggestions and analysis, and further evacuation modeling[3]

Thus, the importance of advanced systems for short-term and long-term prediction for flood and other hydrological events is strongly emphasized to alleviate damage[4].

However, the prediction of flood lead time and occurrence location is fundamentally complex due to the dynamic nature of climate condition. Therefore, today's major flood prediction models are mainly data-specific and involve various simplified assumptions.[5]

Physically based models were long used to predict hydrological events, such as storm, rainfall/runoff, shallow water condition, hydraulic models of flow, and further global circulation phenomena , including the coupled effects of atmosphere, ocean, and floods, and this is why we chose to develop a physically based flood prediction model. Other types of prediction models are data-driven models e.g. machine learning or hybrid models which can combine data-driven, statistical and physically base models.

Physical models showed great capabilities for predicting a diverse range of flooding scenarios[6],especially in long and mid-term prediction, although they often require various types of hydro-geomorphological monitoring datasets.

In contrast to the physically based models, the data-driven prediction models using ML are shown to have a higher performance rate on both short-term and long-term forecasting compared to the physically based models, furthermore, the literature includes numerous successful experiments of quantitative precipitation forecasting (QPF) using ML methods for different lead-time predictions[7, 8]. In addition, it was shown that the performance of ML could be improved through hybridization with other ML methods, soft computing techniques, numerical simulations, and/or physical models. Such applications provided more robust and efficient models that can effectively learn complex flood systems in an adaptive manner. Although the literature includes numerous evaluation performance analyses of individual ML models [9-12], there is no definite conclusion reported with regards to which models function better in certain applications. In fact, the literature includes only a limited number of surveys on specific ML methods in specific hydrology fields 13-15. Consequently, there is a research gap for a comprehensive literature review in the general applications of ML in all flood resource variables from the perspective of ML modeling and data-driven prediction systems.

Although the data-driven models using ML can be much efficient in some cases there is a still a big draw back regarding their development and use. For a data-driven model to have a high accuracy it will be needed a very large amount of training data, which can be hard to acquire due the weather conditions and monitoring devices availability time. Furthermore the development of a data-driven model using ML is very expensive because it requires a complex model which needs to be trained for a long period of time,

requiring a high computation cost, and it also requires a longer validation, testing, and evaluation period.

Even though the data-driven models using ML can be a great scientific tool they tend to be hard to understand by a non trained person, and they can require, as shown above, a high run cost and a data set which can be very difficult to acquire by every person. This is why we chose to develop a physically prediction model which can be used by everybody with a low run cost, which requires a minimum data-set easy to obtain via different services like Sentinel 1,2,3 or Landsat satellite images programs. This app has the potential to serve regular people when making decisions about where to buy a property, or where to build a house without any risk saving lots of money.

1.2 Our Contribution

1.2.1 Method and Outline

This paper identifies the state of the art of physically methods for flood prediction taking into account the processing time, cost, efficiency and difficulty to use. The methods that we used shown a very significant performance and accuracy rate.

The applications in flood prediction can be classified according to flood resource variables, i.e. river flow, flood peak discharge, urban flood and plain flood. Among these key influencing flood resource variables, and the spatial examination of the topographical images the application include the possibility to detect with high accuracy the water surfaces (over 82% accuracy from all tests).

The mainly methods that we used in the application were the detection of water and flooding a land area based on the topographical images. The water detection was obtained by combining a set of near infrared (or Nif) and green band satellite images, and then applying a method of extraction called normalized difference water index (NDWI). This method is based on the extraction the water bodies taking into account the reflectance property of water.

After the water has been detected, the land area is filled based on the topological map of the surface. One of the problems that we encountered here is ,besides the water detection, the fact that the topological satellite images tend to cover a bigger area than the NIF and green band area from the satellite images, so we had to map the smaller

NIF images into the bigger topological images, but we will discuss this problem and how we solve it in the following chapters. The resulting product of our application is a area that has a high possibility of being flooded during a heavy rainstorm, results that can be achieved using a very low processing time, cost and resources.

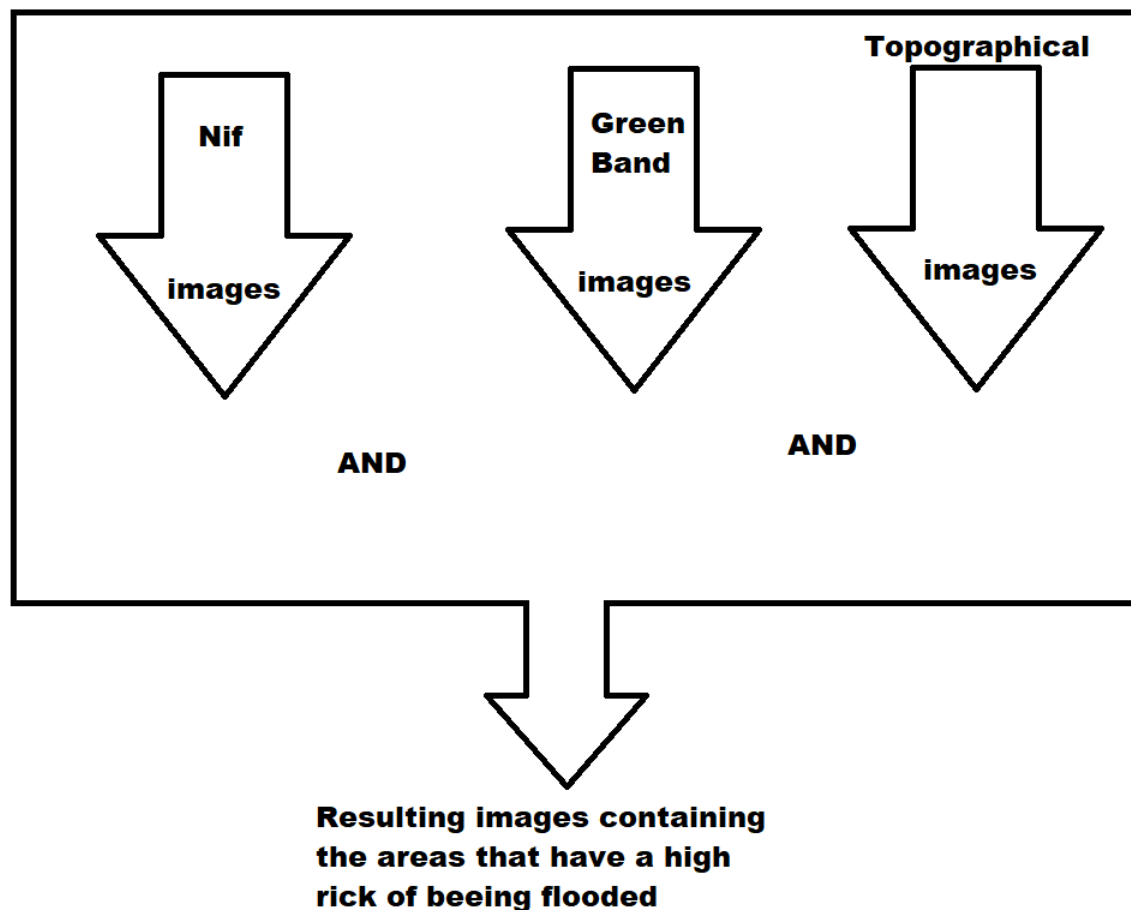


Fig 1

Combining those techniques with an easy to use and understand client interface we managed to create a system that can predict the land areas with a high potential of being flooded. The processing time and cost are reduced because the server part has to go through a set of images only twice, first time to detect the surface water and second time to fill the land area based on the topographic map, so the computations are reduced as low as possible. On the client side, there are big advantages because the

set of resources (satellite images) are free and easy to access and the results are easy to understand, making this application ideal for a non trained person.

1.2.2 State of Art of Physically models in flood prediction

For creating our physically prediction model we chose to use NDWI water extraction technique due its ease use and low processing time. McFeeters [16] developed the normalized difference water index (NDWI) using the reflectance of the green (band 2) and near-infrared (band 4) bands of Landsat TM (Thematic Mapper). NDWI is one of the most widely used water indices for a variety of applications, including surface water mapping, land use/cover change analyses, and ecological research[17-19] and also it shown great classification accuracy in areas that include shadow and dark surfaces.

1.3 Thesis Structure

Chapter 2

Descrierea aplicatiei

2.1 Technologies used

2.1.1 Collecting and processing the resources

Collecting the resources

Our prediction model was created with a simple scope in mind, to offer a simply application that can predict the areas with high risk of being flooded during a rainstorm, with as little processing time and cost as possible. The input for our model had to be easy to obtained and the output had to be easy to understand, so we chose to use a input data that is both easy to obtained and free (it will need to be accessible by every person). The input for our model is a set of .tiff images that should contain a near infrared image (Nif), a green band image, and a topographic image. A simple way to obtain the NIF and green band images is by using the available data offered by satellites like Landsat 1 to Landsat 8 or Sentinel 2.

The Landsat project is one of the longest-running enterprise projects for acquisition of satellite imagery of Earth. The project was first developed by NASA and then it was transferred to NOAA (National Oceanic and Atmospheric Administration) by U.S Jimmy Carter's presidential directive. There were 8 Landsat satellites on the Earth's orbit, now only 2 of them are still active, and the resources collected are available on the USGS (U.S Geological Survey) website. The only thing that a user will need in order to access the data is a free account. After the account is created the user can select different areas via USGS's EarthExplorer portal and download the area's satellite imagery using filters like

Data Range or satellite preference (Landsat satellite 1-8).

The screenshot displays the USGS EarthExplorer interface. On the left, the 'Search Results' section shows three items:

- Item 1: ID:LE07_L1TP_024032_20190515_20190515_01_RT, Acquisition Date: 15-MAY-19, Path: 24, Row: 32.
- Item 2: ID:LE07_L1TP_023032_20190508_20190508_01_RT, Acquisition Date: 08-MAY-19, Path: 23, Row: 32.
- Item 3: ID:LE07_L1GT_024032_20190429_20190501_01_RT, Acquisition Date: 29-APR-19, Path: 24, Row: 32.

On the right, the 'Search Criteria Summary' section shows a map of the region. A yellow polygon highlights a large area of flooding, and a pink polygon highlights a smaller area within it. The map includes labels for various cities and towns in Illinois, such as Springfield, Bloomington, and Champaign. The USGS logo and 'EarthExplorer - Home' are visible at the top.

Fig 2

The image presented above is a shot taken while searching for our test data from a region in U.S (Illinois) that was heavily flooded during a rainstorm by the Mississippi river. The yellow and pink areas represent two different scenes captured by the Landsat 7 satellite. A set of 8 images are found in a package downloaded from this website depending on the satellite that had provided them, but all the Landsat satellites imagery set contains a NIF and green band shot of the selected area (the main difference between them is the resolution in meters of the photo). We used in our research mainly Landsat's 7 images because the photos are at a higher resolution (30 m) and most of them are already processed by the USGS servers (some images need to be processed by the internal servers before being available to the users).

Landsat 7 Enhanced Thematic Mapper Plus (ETM+)

Bands	Wavelength (micrometers)	Resolution (meters)	Bands	Wavelength (micrometers)	Resolution (meters)
Band 1 - Blue	0.45 - 0.52	30	Band 5 - SWIR 1	1.55 - 1.75	30
Band 2 - Green	0.52 - 0.60	30	Band 6 - Thermal	10.40 - 12.50	60* (30)
Band 3 - Red	0.63 - 0.69	30	Band 7 - SWIR 2	2.09 - 2.35	30
Band 4 - NIR	0.77 - 0.90	30	Band 8 - Panchromatic	0.52 - 0.90	15

Fig 3

As we specified above there is another option to obtain the images besides Landsat, and that is through the Sentinel program. Sentinel is an observation mission from the Eu Copernicus Programme that acquires optical imagery of Earth at large resolution (10 to 60 m) over land and coastal waters. There are 3 satellites launched by the Copernicus Programme but we will focus mainly on Sentinel 2, because it has the largest collected data.

The Sentinel 2 satellite has a multi-spectral instrument with 13 spectral channels in the visible, short wave and near infrared spectral range. The bands that are interesting for us are the 3-rd band which is the green one and the 8-th band which is the NIF one (the bands have a spatial resolution of 10 m). The images can be downloaded from the Copernicus SciHub website, again by creating a free account. There is a possibility to select a date range, cloud coverage in percents and the satellite platform which is the best suitable for the user needs (I.E Sentinel 1,2 or 3).

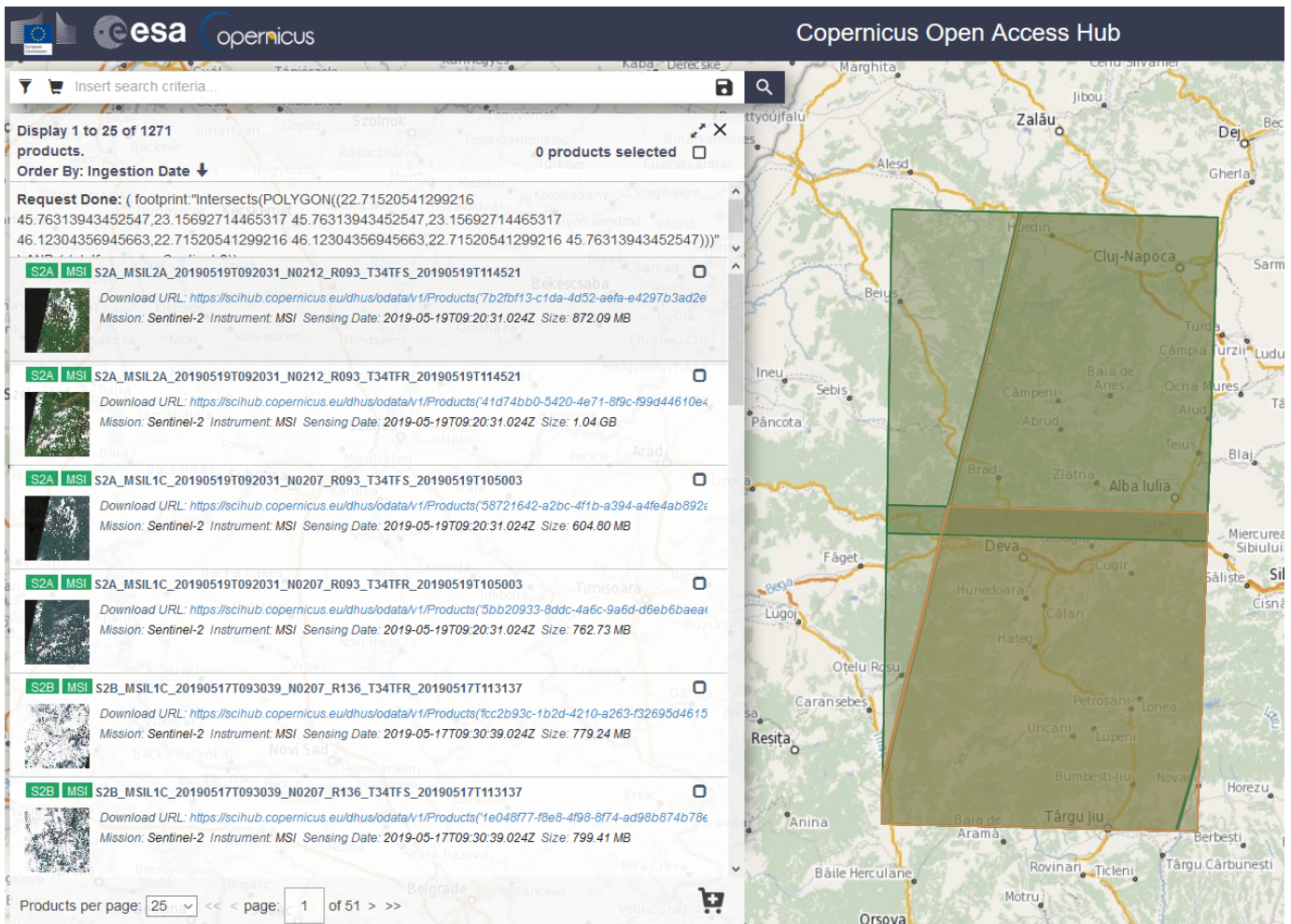


Fig 4

Still, there are some drawbacks from using the Sentinel imagery. We chose to use the Landsat images over Sentinel's data set, because the Sentinel 1 images are at a lower resolution, and the Sentinel 2 and 3 images are too recent taken (the satellites were launched in 2015, so the data could not cover a larger time period for our data analysis).

Processing the resources

2.2 Programming languages and Frameworks

Programming language: Java

Java is popular high-level programming language that is object-oriented and it is designed to have as few implementation dependencies as possible. It was created in 1995 by Sun Microsystems and now it is owned by Oracle.

This project was developed using Java 1.8 with two external libraries i.e.: Gdal and jai-imageio-core 1.4.0. Any version below 1.6 will now work as intended because of jai-imageio-core library. This library was used to process TIFF images from satellite.

At first we intended to use Python as the heavy lifting programming language because of the more relaxed syntactic structure, but in the end we chose Java because of its parallel programming capabilities. Usually when we have to work with satellite images we should take into account the fact that the images can be really large, like 8161x7211 pixels in size covering over 589.000.000 km^2 , and when we have to process more that one image of this kind the processing time becomes very important.

Python will become much slower in this area because of the Global Interpreter Lock or GIL (a mutex, or a lock, that allows only one thread to hold the control of the Python interpreter). This means that only one thread can be in a state of execution at any point in time. The impact of the GIL isn't visible to developers who execute single-threaded programs, but it can be a performance bottleneck in CPU-bound and multi-threaded code.

In the following image we can take a look over the processing time between the Java's multi-threaded system and the single-threaded Python's system

Multi Thread

```
For 8 images with multi threadin it took:  
24728
```

Single Thread

```
For 8 images with single threadin it took:  
39675
```

Programming language: Python

Python is a interpreted, high-level, open source programming language that was made to have a relaxed syntactic structure and to be more easy to read. It supports both functional and object oriented programming and its mainly targeted to a fast development and easy to maintain code.

Python had played a major role in the project because of its relaxed syntactic structure and it was use to create the server part of the application, using Flask (flask is a microframework for python based applications).

After the server receives a set of satellite images from the user, a Java process is started by the server to solve the request. The java files are compiled when the server is started for the first time and then for every request a java process is started. All this part was handled using the python's "subprocess" library

We will take a short look on how the python server compiles the java files and how a process is started, and we will discuss in more details in the next chapters.

Here we can take a short look on how the java files are compiled

```
import subprocess

def compile_java_files():
    """
    compile all java files that are used for image processing
    and link all libs
    """
    java_files = JAVA_FILES_PATH + '\\*java'
    java_libs = JAVA_LIBS_PATH + '\\*'
    subprocess.Popen(['javac', '-d', JAVA_OUT_COMPILED_CLASSES,
                     '-sourcepath', JAVA_SOURCEPATH_CLASSES,
                     '-cp', java_libs, java_files], shell=True, stdout=True)
```

Here we can take a look on how a process is started

```
def process_files(path_files, result_directory):
    """
    run a java process that will solve the request
    param : path_files - path to the folder where images have been unzipped
    param : result_directory
    return : 1 - if the process have failed
            0 - if the process had succed
    """
    java_libs = JAVA_LIBS_PATH + '\\*'
    compiled_libs_and_classes = JAVA_OUT_COMPILED_CLASSES + ';' + java_libs
    java_main_class = JAVA_PACKAGE_NAME + '.' + JAVA_MAIN_CLASS
    cmd = ['java', '-cp', compiled_libs_and_classes,
           java_main_class, path_files, result_directory]
    stdin = PIPE
    stdout = PIPE
    stderr = STDOUT
    proc = subprocess.Popen(cmd, stdin=PIPE, stdout=PIPE, stderr=STDOUT, shell=True)
    stdout, stderr = proc.communicate()
    output = str(stdout).replace('\r', '').split('\n')
    final_output = str(output[0]) + str(output[1]) + str(output[2])
    correct_output = JAVA_OUTPUT_DETECT_CLASS + JAVA_OUTPUT_PREDICT_CLASS + JAVA_OPTIONS

    if (final_output == correct_output):
        return 0
    return 1
```

Features

- Coding assistance and analysis, with code completion, syntax and error highlighting, linter integration, and quick fixes

- Project and code navigation: specialized project views, file structure views and quick jumping between files, classes, methods and usages
- Python refactoring: including rename, extract method, introduce variable, introduce constant, pull up, push down and others
- Integrated Python debugger
- Integrated unit testing, with line-by-line code coverage
- Version control integration: unified user interface for Mercurial, Git, Subversion, Perforce and CVS with changelists and merge

2.3 Python Programming Language

Python is an interpreted high-level programming language for general-purpose programming. Created by Guido van Rossum and first released in 1991, Python has a design philosophy that emphasizes code readability, notably using significant whitespace. It provides constructs that enable clear programming on both small and large scales. In July 2018, Van Rossum stepped down as the leader in the language community after 30 years.

Python features a dynamic type system and automatic memory management. It supports multiple programming paradigms, including object-oriented, imperative, functional and procedural, and has a large and comprehensive standard library.

Python interpreters are available for many operating systems. CPython, the reference implementation of Python, is open source software and has a community-based development model, as do nearly all of Python's other implementations. Python and CPython are managed by the non-profit Python Software Foundation.

2.4 Gdal

The Geospatial Data Abstraction Library (GDAL) is a computer software library for reading and writing raster and vector geospatial data formats, and is released under the permissive X/MIT style free software license by the Open Source Geospatial Foundation. As a library, it presents a single abstract data model to the calling application for all supported formats. It may also be built with a variety of useful command line interface utilities for data translation and processing. Projections and transformations are supported by the PROJ.4 library.

The related OGR library (OGR Simple Features Library), which is part of the GDAL source tree, provides a similar ability for simple features vector graphics data.

GDAL was developed mainly by Frank Warmerdam until the release of version 1.3.2, when maintenance was officially transferred to the GDAL/OGR Project Management Committee under the Open Source Geospatial Foundation.

GDAL/OGR is considered a major free software project for its "extensive capabilities of data exchange" and also in the commercial GIS community due to its widespread use and comprehensive set of functionalities.

How to install Gdal on a Windows machine

- The user will need to install a version of Python
- The user will need to install the Miniconda packages Conda (recommended Conda - a more complex version of miniconda)
- The user will need to install the Gdal Api's using the following commands : `conda install -c conda-forge gdal` or `conda install -c conda-forge/label/broken gdal`

2.5 Qgis

QGIS (previously known as Quantum GIS) is a free and open-source cross-platform desktop geographic information system (GIS) application that supports viewing, editing, and analysis of geospatial data.

Functionality

QGIS functions as geographic information system (GIS) software, allowing users to analyze and edit spatial information, in addition to composing and exporting graphical maps. QGIS supports both raster and vector layers; vector data is stored as either point, line, or polygon features. Multiple formats of raster images are supported, and the software can georeference images.

QGIS supports shapefiles, coverages, personal geodatabases, dxf, MapInfo, PostGIS, and other formats. Web services, including Web Map Service and Web Feature Service, are also supported to allow use of data from external sources.

QGIS integrates with other open-source GIS packages, including PostGIS, GRASS GIS, and MapServer. Plugins written in Python or C++ extend QGIS's capabilities. Plugins can geocode using the Google Geocoding API, perform geoprocessing functions similar to those of the standard tools found in ArcGIS, and interface with PostgreSQL/-PostGIS, SpatiaLite and MySQL databases.

How to install Qgis

- The user will need to download and install the Qgis Standalone Installer corresponding to the operating system 32/64
- Through the OSGeo4W shell's the user will be able to realise fast operations on the data from the satellites

Chapter 3

The application

3.1 Qgis introduction

3.2 Python GDAL introduction

3.3 Functional description

3.4 The user interface

3.5 Main use cases

3.6 Implementation details

3.7 Application specification - user's point of view

User's point of view

This app should be able to deliver a marked zone on the land area(provided by the user), based on the probabilities of that zone to be floodable. (ex: red for high probability, green for none)

The user will have to insert a set of images provided by sentinel 1,2 or 3, or a .tif representation of the area which he wants to test. The .tif set of images should include a infrared picture as well, because that is the way in which the water can be detected. The satellites usually use infrared scanners so this would not be a problem. The infrared images are useful because the water will absorb the infrared laser, so the areas covered with water are usually black. This is how the program will find the water areas, and will be able to detect the zones which have a higher chance of being flooded. Depending on the progress of the program, we will try to offer a GUI for the user in which he/she will be able to insert the coordinates of the area under the observation, and we will try to download the images based on their coordinated using a API for sentinel satellites.

The resulted image will give the user a sense of which areas are more likely of being flooded during a heavy rain period.

3.8 Application specification - programmer's point of view

User's point of view and application skeleton

This app should be able to deliver a marked zone on the land area(provided by the user), based on the probabilities of that zone to be floodable. (ex: red for high probability, green for none)

The app will receive a set of .tif images, (provided by the user) from Landsat, sentinel 1,2 or 3, one image will be in infrared and the other one will be composed from the green wave channel.

The way that we detect water is by combining the NIF(infrared) with green band resulting NDWI (Normalized Difference Water Index). NDWI is a remote sensing based indicator sensitive to the change in the water content.NDWI is computed using the near infrared (NIR–MODIS band 2) and the short wave infrared (Green band) reflectance's.

The formula will be applied as following for each pixel:

$$NDWI/perpixel = \frac{X_{green} - X_{nir}}{X_{green} + X_{nir}}$$

If the resulted pixel has a value bigger that 0.45 we classify that specific pixel as a pixel that contains/is water (lake, river, ocean, etc), and all the pixels below that value as non-water pixels (land). We will color the water pixels in white and the other ones in black.

Using this method we will obtain all the water content of a picture, and we can mark the areas which have a high risk of being flooded(the areas near the water).

Later the user will be able to add a set of topographic images and determine the exact areas that will be flooded, by combining the results from NDWI water area detection with the topographic height of the map.

Chapter 4

Conclusions

4.1 Performance Evaluation

4.2 Future Development

Chapter 5

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