

Performance Analysis

Kuan-Yu Chen (陳冠宇)

Review

- Data type determines the set of values that a data item can take and the operations that can be performed on the item

Data Type	Size in Bytes	Range	Use
char	1	-128 to 127	To store characters
int	2	-32768 to 32767	To store integer numbers
float	4	3.4E-38 to 3.4E+38	To store floating point numbers
double	8	1.7E-308 to 1.7E+308	To store big floating point numbers

- Algorithm and Program
 - Algorithms + Data Structures = Programs
- Recursion Functions
 - Direct
 - Indirect
 - Tail
 - Compared with non-recursive functions

Space and Time Complexity

- Analyzing an algorithm means determining the amount of resources (such as time and memory) needed to execute it
 - The **time complexity** of an algorithm is basically the running time of a program as a function of a given input
 - The **space complexity** of an algorithm is the amount of computer memory that is required during the program execution as a function of a given input

Space Complexity

- The space analysis can be classified into two parts
 - Fixed part
 - The instruction space, space for simple variables, space for constants, etc
 - Variable part
 - Space needed by referenced variables
 - The recursion stack space
- Accordingly, the space requirement $S(P)$ of a program P can be defined

$$S(P) = \underbrace{c}_{\substack{\text{fixed part} \\ \text{usually a constant}}} + \underbrace{S_p}_{\substack{\text{variable part} \\ \text{depend on the task and program}}}$$

- We usually concentrate on S_p

Recursion Stack Space.

- Given an Ackerman's function $A(m, n)$, please calculate $A(1, 2)$

$$A(m, n) = \begin{cases} n + 1, & \text{if } m = 0 \\ A(m - 1, 1), & \text{if } n = 0 \\ A(m - 1, A(m, n - 1)), & \text{otherwise} \end{cases}$$

$$A(1, 2) = A(0, A(1, 1))$$

$$A(1, 1) = A(0, A(1, 0))$$

$$A(1, 0) = A(0, 1)$$

$$A(0, 1) = 2$$



Recursion Stack Space..

- Given an Ackerman's function $A(m, n)$, please calculate $A(1, 2)$

$$A(m, n) = \begin{cases} n + 1, & \text{if } m = 0 \\ A(m - 1, 1), & \text{if } n = 0 \\ A(m - 1, A(m, n - 1)), & \text{otherwise} \end{cases}$$

$$A(1, 2) = A(0, A(1, 1)) = A(0, 3) = 4$$

$$A(1, 1) = A(0, A(1, 0)) = A(0, 2) = 3$$

$$A(1, 0) = A(0, 1) = 2$$

$$A(0, 1) = 2$$



Recursion Stack Space...

- Given an Ackerman's function $A(m, n)$, please calculate $A(1, 2)$

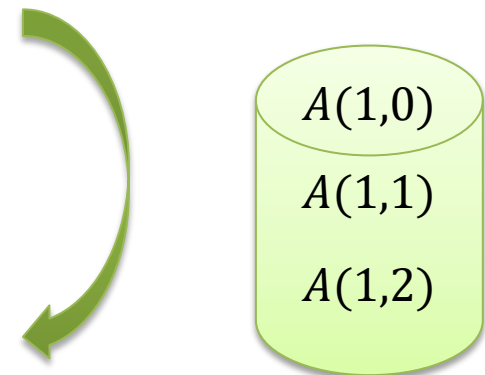
$$A(m, n) = \begin{cases} n + 1, & \text{if } m = 0 \\ A(m - 1, 1), & \text{if } n = 0 \\ A(m - 1, A(m, n - 1)), & \text{otherwise} \end{cases}$$

$$A(1, 2) = A(0, A(1, 1))$$

$$A(1, 1) = A(0, A(1, 0))$$

$$A(1, 0) = A(0, 1)$$

$$A(0, 1) = 2$$



Recursion Stack Space....

- Given an Ackerman's function $A(m, n)$, please calculate $A(1, 2)$

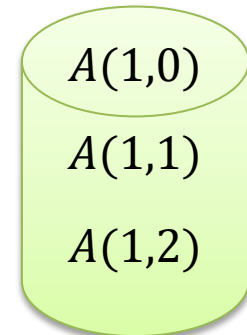
$$A(m, n) = \begin{cases} n + 1, & \text{if } m = 0 \\ A(m - 1, 1), & \text{if } n = 0 \\ A(m - 1, A(m, n - 1)), & \text{otherwise} \end{cases}$$

$$A(1, 2) = A(0, A(1, 1)) = A(0, 3) = 4$$

$$A(1, 1) = A(0, A(1, 0)) = A(0, 2) = 3$$

$$A(1, 0) = A(0, 1) = 2$$

$$A(0, 1) = 2$$



Time Complexity

- The time, $T(P)$, taken by a program P is the sum of the **compile time** and the **run (execution) time**
 - We mainly concentrate on the run time of a program

$$T(P) = \underbrace{c}_{\text{compile time}} + \underbrace{T_p}_{\text{run time}}$$

- There are two ways to determine the run time
 - Measurement
 - Execute the program
 - Record the CPU time
 - Analysis
 - Count only the number of program steps
 - Count the number of instructions

Example

- How many times does the function *call_fun()* execute?

```
1 ▼ for( a = 1 ; a <= n ; a++ )  
2 ▼     for( b = 1 ; b <= a ; b++ )  
3 ▼         for( c = 1 ; c <= a ; c++ )  
4 ▼             if( b != c )  
5                 call_fun() ;
```

$$\sum_{a=1}^n (a^2 - a) = \sum_{a=1}^n a^2 - \sum_{a=1}^n a = \frac{n(n+1)(2n+1)}{6} - \frac{n(n+1)}{2} = \frac{n(n+1)(n-1)}{3}$$

$$\sum_{a=1}^n a^2 = 1^2 + 2^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6}$$

Expressing Time and Space Complexity

- The time and space complexities of a given function $f(n)$, where n is a given input for the algorithm, can be expressed by some notations
 - We introduce some terminologies that will enable us to make **meaningful but inexact** statements about the time and space complexities of a program

Definition [Big “oh”]: $f(n) = O(g(n))$ (read as “ f of n is big oh of g of n ”) iff (if and only if) there exist positive constants c and n_0 such that $f(n) \leq cg(n)$ for all $n, n \geq n_0$. \square

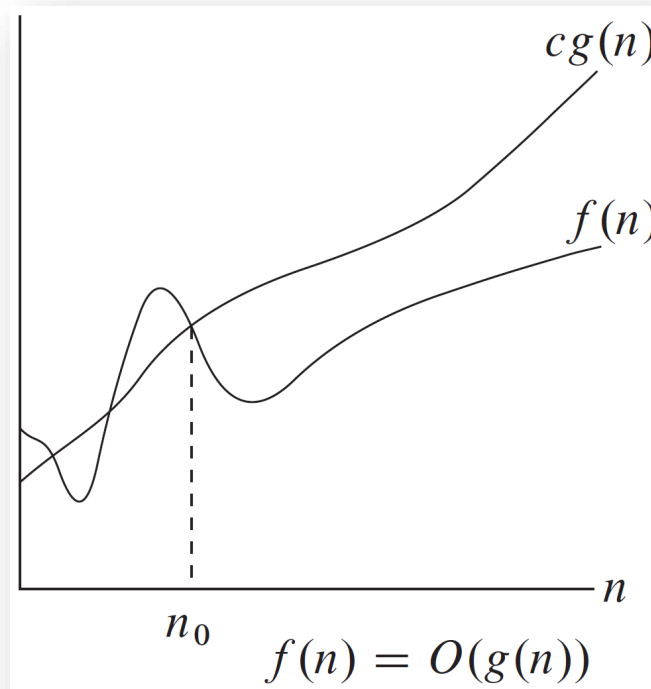
Definition: [Omega] $f(n) = \Omega(g(n))$ (read as “ f of n is omega of g of n ”) iff there exist positive constants c and n_0 such that $f(n) \geq cg(n)$ for all $n, n \geq n_0$. \square

Definition: [Theta] $f(n) = \Theta(g(n))$ (read as “ f of n is theta of g of n ”) iff there exist positive constants c_1, c_2 , and n_0 such that $c_1 g(n) \leq f(n) \leq c_2 g(n)$ for all $n, n \geq n_0$. \square

Big-Oh.

Definition [Big “oh”]: $f(n) = O(g(n))$ (read as “ f of n is big oh of g of n ”) iff (if and only if) there exist positive constants c and n_0 such that $f(n) \leq cg(n)$ for all $n, n \geq n_0$. \square

- $f(n) = O(g(n))$ means that $c \times g(n)$ is an **upper bound** on the value of $f(n)$ for all n , where $n \geq n_0$



Big-Oh.

Definition [Big “oh”]: $f(n) = O(g(n))$ (read as “ f of n is big oh of g of n ”) iff (if and only if) there exist positive constants c and n_0 such that $f(n) \leq cg(n)$ for all $n, n \geq n_0$. \square

- $f(n) = O(g(n))$ means that $c \times g(n)$ is an **upper bound** on the value of $f(n)$ for all n , where $n \geq n_0$

Example 1.14: $3n + 2 = O(n)$ as $3n + 2 \leq 4n$ for all $n \geq 2$. $3n + 3 = O(n)$ as $3n + 3 \leq 4n$ for all $n \geq 3$. $100n + 6 = O(n)$ as $100n + 6 \leq 101n$ for $n \geq 10$. $10n^2 + 4n + 2 = O(n^2)$ as $10n^2 + 4n + 2 \leq 11n^2$ for $n \geq 5$. $1000n^2 + 100n - 6 = O(n^2)$ as $1000n^2 + 100n - 6 \leq 1001n^2$ for $n \geq 100$. $6 \cdot 2^n + n^2 = O(2^n)$ as $6 \cdot 2^n + n^2 \leq 7 \cdot 2^n$ for $n \geq 4$. $3n + 3 = O(n^2)$ as $3n + 3 \leq 3n^2$ for $n \geq 2$. $10n^2 + 4n + 2 = O(n^4)$ as $10n^2 + 4n + 2 \leq 10n^4$ for $n \geq 2$. $3n + 2 \neq O(1)$ as $3n + 2$ is not less than or equal to c for any constant c and all $n, n \geq n_0$. $10n^2 + 4n + 2 \neq O(n)$. \square

Big-Oh.

Definition [Big “oh”]: $f(n) = O(g(n))$ (read as “ f of n is big oh of g of n ”) iff (if and only if) there exist positive constants c and n_0 such that $f(n) \leq cg(n)$ for all $n, n \geq n_0$. \square

- $f(n) = O(g(n))$ means that $c \times g(n)$ is an **upper bound** on the value of $f(n)$ for all n , where $n \geq n_0$

Example 1.14: $3n + 2 = O(n)$ as $3n + 2 \leq 4n$ for all $n \geq 2$. $3n + 3 = O(n)$ as $3n + 3 \leq 4n$ for all $n \geq 3$. $100n + 6 = O(n)$ as $100n + 6 \leq 101n$ for $n \geq 10$. $10n^2 + 4n + 2 = O(n^2)$ as $10n^2 + 4n + 2 \leq 11n^2$ for $n \geq 5$. $1000n^2 + 100n - 6 = O(n^2)$ as $1000n^2 + 100n - 6 \leq 1001n^2$ for $n \geq 100$. $6 \cdot 2^n + n^2 = O(2^n)$ as $6 \cdot 2^n + n^2 \leq 7 \cdot 2^n$ for $n \geq 4$. $3n + 3 = O(n^2)$ as $3n + 3 \leq 3n^2$ for $n \geq 2$. $10n^2 + 4n + 2 = O(n^4)$ as $10n^2 + 4n + 2 \leq 10n^4$ for $n \geq 2$. $3n + 2 \neq O(1)$ as $3n + 2$ is not less than or equal to c for any constant c and all $n, n \geq n_0$. $10n^2 + 4n + 2 \neq O(n)$. \square

Big-Oh.

Definition [Big “oh”]: $f(n) = O(g(n))$ (read as “ f of n is big oh of g of n ”) iff (if and only if) there exist positive constants c and n_0 such that $f(n) \leq cg(n)$ for all $n, n \geq n_0$. \square

- $f(n) = O(g(n))$ means that $c \times g(n)$ is an **upper bound** on the value of $f(n)$ for all n , where $n \geq n_0$

Example 1.14: $3n + 2 = O(n)$ as $3n + 2 \leq 4n$ for all $n \geq 2$. $3n + 3 = O(n)$ as $3n + 3 \leq 4n$ for all $n \geq 3$. $100n + 6 = O(n)$ as $100n + 6 \leq 101n$ for $n \geq 10$. $10n^2 + 4n + 2 = O(n^2)$ as $10n^2 + 4n + 2 \leq 11n^2$ for $n \geq 5$. $1000n^2 + 100n - 6 = O(n^2)$ as $1000n^2 + 100n - 6 \leq 1001n^2$ for $n \geq 100$. $6 \cdot 2^n + n^2 = O(2^n)$ as $6 \cdot 2^n + n^2 \leq 7 \cdot 2^n$ for $n \geq 4$. $3n + 3 = O(n^2)$ as $3n + 3 \leq 3n^2$ for $n \geq 2$. $10n^2 + 4n + 2 = O(n^4)$ as $10n^2 + 4n + 2 \leq 10n^4$ for $n \geq 2$. $3n + 2 \neq O(1)$ as $3n + 2$ is not less than or equal to c for any constant c and all $n, n \geq n_0$. $10n^2 + 4n + 2 \neq O(n)$. \square

Big-Oh.

Definition [Big “oh”]: $f(n) = O(g(n))$ (read as “ f of n is big oh of g of n ”) iff (if and only if) there exist positive constants c and n_0 such that $f(n) \leq cg(n)$ for all $n, n \geq n_0$. \square

- $f(n) = O(g(n))$ means that $c \times g(n)$ is an **upper bound** on the value of $f(n)$ for all n , where $n \geq n_0$

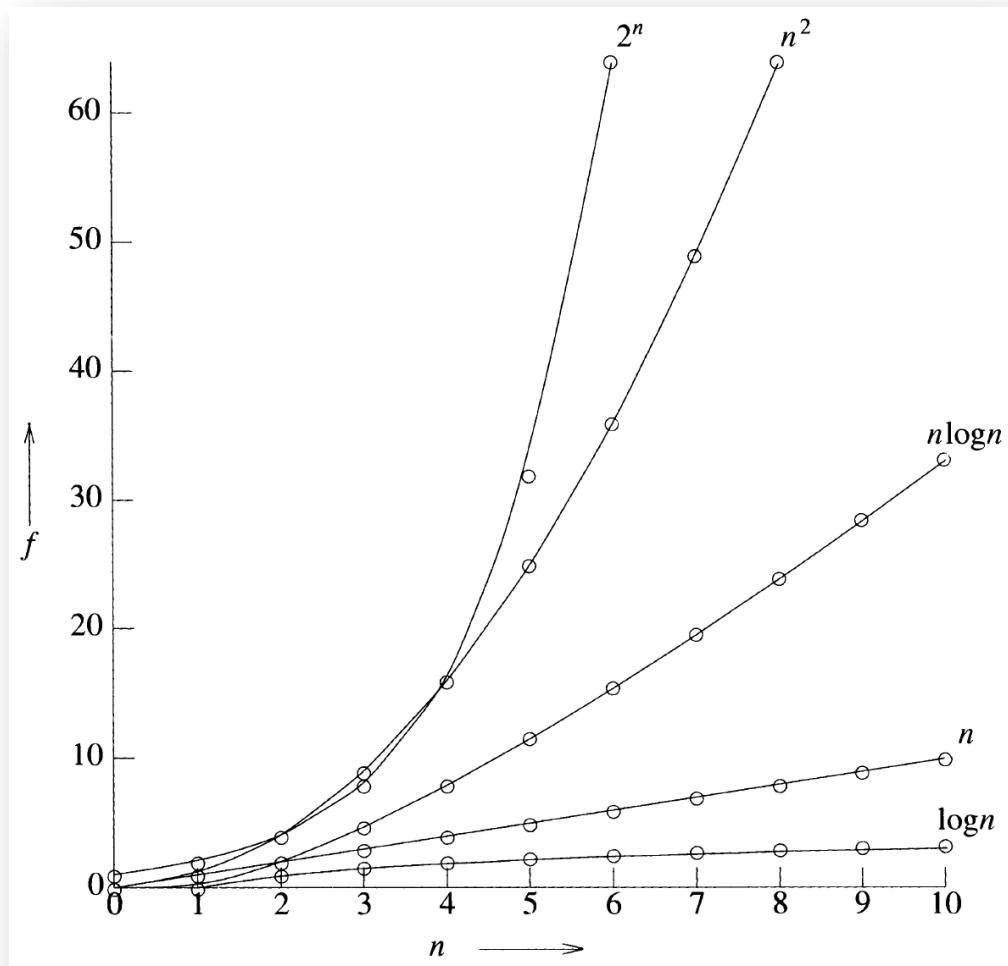
Example 1.14: $3n + 2 = O(n)$ as $3n + 2 \leq 4n$ for all $n \geq 2$. $3n + 3 = O(n)$ as $3n + 3 \leq 4n$ for all $n \geq 3$. $100n + 6 = O(n)$ as $100n + 6 \leq 101n$ for $n \geq 10$. $10n^2 + 4n + 2 = O(n^2)$ as $10n^2 + 4n + 2 \leq 11n^2$ for $n \geq 5$. $1000n^2 + 100n - 6 = O(n^2)$ as $1000n^2 + 100n - 6 \leq 1001n^2$ for $n \geq 100$. $6 \cdot 2^n + n^2 = O(2^n)$ as $6 \cdot 2^n + n^2 \leq 7 \cdot 2^n$ for $n \geq 4$. $3n + 3 = O(n^2)$ as $3n + 3 \leq 3n^2$ for $n \geq 2$. $10n^2 + 4n + 2 = O(n^4)$ as $10n^2 + 4n + 2 \leq 10n^4$ for $n \geq 2$. $3n + 2 \neq O(1)$ as $3n + 2$ is not less than or equal to c for any constant c and all $n, n \geq n_0$. $10n^2 + 4n + 2 \neq O(n)$. \square

Big-Oh..

- For the statement $f(n) = O(g(n))$ to be **informative**, $g(n)$ should be as small a function of n as one can come up with
 - $3n + 3 = O(n)$ vs. $3n + 3 = O(n^2)$
- Fantastic names
 - $O(1)$ mean a computing time that is a constant
 - $O(n)$ is called linear
 - $O(n^2)$ is called quadratic
 - $O(n^3)$ is called cubic
 - $O(2^n)$ is called exponential
- Ordering
 - $O(1) < O(\log n) < O(n) < O(n \log n) < O(n^2) < O(n^3) < O(2^n)$

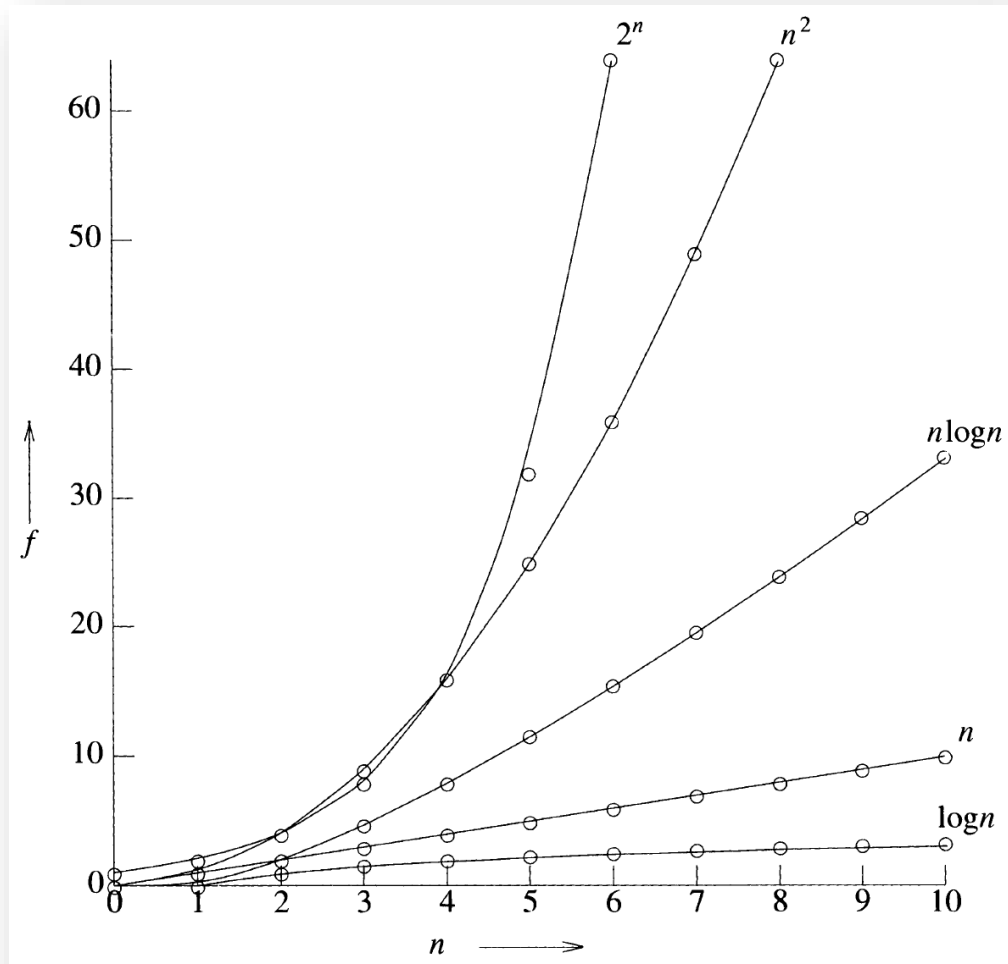
Big-Oh...

- $O(1) < O(\log n) < O(n) < O(n \log n) < O(n^2) < O(2^n)$



Big-Oh...

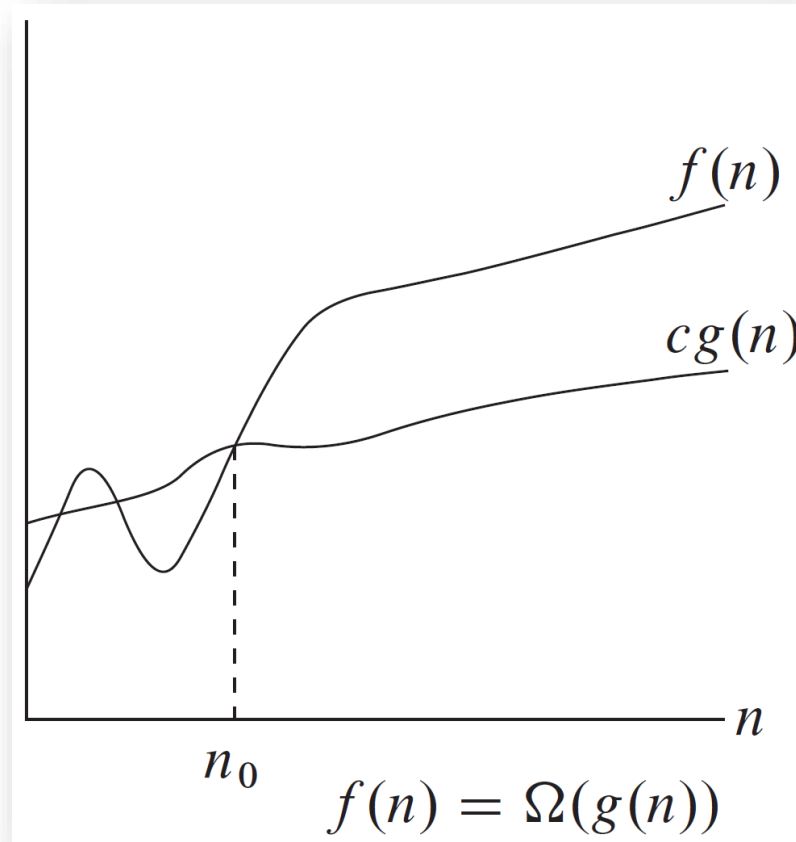
- $O(1) < O(\log n) < O(n) < O(n \log n) < O(n^2) < O(n^3) < O(n^c) < O(2^n) < O(3^n) < O(c^n) < O(n!) < O(n^n) < O(n^{c^n})$



Omega

Definition: [Omega] $f(n) = \Omega(g(n))$ (read as “ f of n is omega of g of n ”) iff there exist positive constants c and n_0 such that $f(n) \geq cg(n)$ for all $n, n \geq n_0$. \square

- The function $g(n)$ is a **lower bound** on $f(n)$



Omega

Definition: [Omega] $f(n) = \Omega(g(n))$ (read as “ f of n is omega of g of n ”) iff there exist positive constants c and n_0 such that $f(n) \geq cg(n)$ for all $n, n \geq n_0$. \square

- The function $g(n)$ is a lower bound on $f(n)$

Example 1.15: $3n + 2 = \Omega(n)$ as $3n + 2 \geq 3n$ for $n \geq 1$ (actually the inequality holds for $n \geq 0$, but the definition of Ω requires an $n_0 > 0$). $3n + 3 = \Omega(n)$ as $3n + 3 \geq 3n$ for $n \geq 1$. $100n + 6 = \Omega(n)$ as $100n + 6 \geq 100n$ for $n \geq 1$. $10n^2 + 4n + 2 = \Omega(n^2)$ as $10n^2 + 4n + 2 \geq n^2$ for $n \geq 1$. $6 \cdot 2^n + n^2 = \Omega(2^n)$ as $6 \cdot 2^n + n^2 \geq 2^n$ for $n \geq 1$. Observe also that $3n + 3 = \Omega(1)$; $10n^2 + 4n + 2 = \Omega(n)$; $10n^2 + 4n + 2 = \Omega(1)$; $6 \cdot 2^n + n^2 = \Omega(n^{100})$; $6 \cdot 2^n + n^2 = \Omega(n^{50.2})$; $6 \cdot 2^n + n^2 = \Omega(n^2)$; $6 \cdot 2^n + n^2 = \Omega(n)$; and $6 \cdot 2^n + n^2 = \Omega(1)$. \square

Omega

Definition: [Omega] $f(n) = \Omega(g(n))$ (read as “ f of n is omega of g of n ”) iff there exist positive constants c and n_0 such that $f(n) \geq cg(n)$ for all $n, n \geq n_0$. \square

- The function $g(n)$ is a lower bound on $f(n)$

Example 1.15: $3n + 2 = \Omega(n)$ as $3n + 2 \geq 3n$ for $n \geq 1$ (actually the inequality holds for $n \geq 0$, but the definition of Ω requires an $n_0 > 0$). $3n + 3 = \Omega(n)$ as $3n + 3 \geq 3n$ for $n \geq 1$. $100n + 6 = \Omega(n)$ as $100n + 6 \geq 100n$ for $n \geq 1$. $10n^2 + 4n + 2 = \Omega(n^2)$ as $10n^2 + 4n + 2 \geq n^2$ for $n \geq 1$. $6 \cdot 2^n + n^2 = \Omega(2^n)$ as $6 \cdot 2^n + n^2 \geq 2^n$ for $n \geq 1$. Observe also that $3n + 3 = \Omega(1)$; $10n^2 + 4n + 2 = \Omega(n)$; $10n^2 + 4n + 2 = \Omega(1)$; $6 \cdot 2^n + n^2 = \Omega(n^{100})$; $6 \cdot 2^n + n^2 = \Omega(n^{50.2})$; $6 \cdot 2^n + n^2 = \Omega(n^2)$; $6 \cdot 2^n + n^2 = \Omega(n)$; and $6 \cdot 2^n + n^2 = \Omega(1)$. \square

Omega

Definition: [Omega] $f(n) = \Omega(g(n))$ (read as “ f of n is omega of g of n ”) iff there exist positive constants c and n_0 such that $f(n) \geq cg(n)$ for all $n, n \geq n_0$. \square

- The function $g(n)$ is a lower bound on $f(n)$

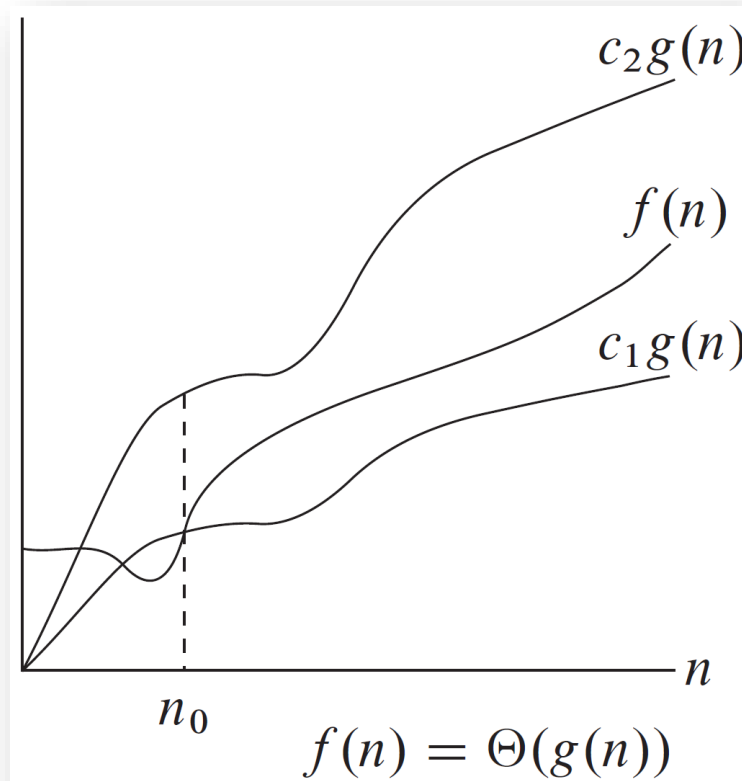
Example 1.15: $3n + 2 = \Omega(n)$ as $3n + 2 \geq 3n$ for $n \geq 1$ (actually the inequality holds for $n \geq 0$, but the definition of Ω requires an $n_0 > 0$). $3n + 3 = \Omega(n)$ as $3n + 3 \geq 3n$ for $n \geq 1$. $100n + 6 = \Omega(n)$ as $100n + 6 \geq 100n$ for $n \geq 1$. $10n^2 + 4n + 2 = \Omega(n^2)$ as $10n^2 + 4n + 2 \geq n^2$ for $n \geq 1$. $6 \cdot 2^n + n^2 = \Omega(2^n)$ as $6 \cdot 2^n + n^2 \geq 2^n$ for $n \geq 1$. Observe also that $3n + 3 = \Omega(1)$; $10n^2 + 4n + 2 = \Omega(n)$; $10n^2 + 4n + 2 = \Omega(1)$; $6 \cdot 2^n + n^2 = \Omega(n^{100})$; $6 \cdot 2^n + n^2 = \Omega(n^{50.2})$; $6 \cdot 2^n + n^2 = \Omega(n^2)$; $6 \cdot 2^n + n^2 = \Omega(n)$; and $6 \cdot 2^n + n^2 = \Omega(1)$. \square

- For the statement $f(n) = \Omega(g(n))$ to be informative, $g(n)$ should be as **large** a function of n as possible
 - $3n + 3 = \Omega(n)$ vs. $3n + 3 = \Omega(1)$
 - $6 \times 2^n + n^2 = \Omega(2^n)$ vs. $6 \times 2^n + n^2 = \Omega(1)$

Theta

Definition: [Theta] $f(n) = \Theta(g(n))$ (read as “ f of n is theta of g of n ”) iff there exist positive constants c_1, c_2 , and n_0 such that $c_1 g(n) \leq f(n) \leq c_2 g(n)$ for all $n, n \geq n_0$. \square

- The theta is more **precise** than both big-oh and omega
 - $g(n)$ is both an upper and lower bound on $f(n)$



Theta

Definition: [Theta] $f(n) = \Theta(g(n))$ (read as “ f of n is theta of g of n ”) iff there exist positive constants c_1, c_2 , and n_0 such that $c_1 g(n) \leq f(n) \leq c_2 g(n)$ for all $n, n \geq n_0$. \square

- The theta is more precise than both big-oh and omega
 - $g(n)$ is both an upper and lower bound on $f(n)$

Example 1.16: $3n + 2 = \Theta(n)$ as $3n + 2 \geq 3n$ for all $n \geq 2$, and $3n + 2 \leq 4n$ for all $n \geq 2$, so $c_1 = 3, c_2 = 4$, and $n_0 = 2$. $3n + 3 = \Theta(n)$; $10n^2 + 4n + 2 = \Theta(n^2)$; $6 \cdot 2^n + n^2 = \Theta(2^n)$; and $10 \cdot \log n + 4 = \Theta(\log n)$. $3n + 2 \neq \Theta(1)$; $3n + 3 \neq \Theta(n^2)$; $10n^2 + 4n + 2 \neq \Theta(n)$; $10n^2 + 4n + 2 \neq \Theta(1)$; $6 \cdot 2^n + n^2 \neq \Theta(n^2)$; $6 \cdot 2^n + n^2 \neq \Theta(n^{100})$; and $6 \cdot 2^n + n^2 \neq \Theta(1)$. \square

Questions?



kychen@mail.ntust.edu.tw