

# **Advanced Analysis**

Kuan-Yu Chen (陳冠宇)

# Review

---

- Space and Time complexity
  - Big-Oh
  - Omega
  - Theta

**Definition [Big “oh”]:**  $f(n) = O(g(n))$  (read as “ $f$  of  $n$  is big oh of  $g$  of  $n$ ”) iff (if and only if) there exist positive constants  $c$  and  $n_0$  such that  $f(n) \leq cg(n)$  for all  $n, n \geq n_0$ .  $\square$

**Definition:** [Omega]  $f(n) = \Omega(g(n))$  (read as “ $f$  of  $n$  is omega of  $g$  of  $n$ ”) iff there exist positive constants  $c$  and  $n_0$  such that  $f(n) \geq cg(n)$  for all  $n, n \geq n_0$ .  $\square$

**Definition:** [Theta]  $f(n) = \Theta(g(n))$  (read as “ $f$  of  $n$  is theta of  $g$  of  $n$ ”) iff there exist positive constants  $c_1, c_2$ , and  $n_0$  such that  $c_1 g(n) \leq f(n) \leq c_2 g(n)$  for all  $n, n \geq n_0$ .  $\square$

# Online Link

---

- Webex: <https://ctld.webex.com/meet/kychen>

# Little-Oh

$o(g(n)) = \{f(n) : \text{for any positive constant } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq f(n) < cg(n) \text{ for all } n \geq n_0\}.$

- The value of  $n_0$  may depend on  $c$
- The definitions of  $O$ -notation and  $o$ -notation are similar

**Definition [Big “oh”]:**  $f(n) = O(g(n))$  (read as “ $f$  of  $n$  is big oh of  $g$  of  $n$ ”) iff (if and only if) there exist positive constants  $c$  and  $n_0$  such that  $f(n) \leq cg(n)$  for all  $n, n \geq n_0$ .  $\square$

- $f(n) = O(g(n))$ , the bound  $0 \leq f(n) \leq cg(n)$  holds for *some* constant  $c > 0$
- $f(n) = o(g(n))$ , the bound  $0 \leq f(n) < cg(n)$  holds for *all* constants  $c > 0$
- Examples:
  - $2n = o(n^2)$
  - $2n^2 \neq o(n^2)$

# Little-Omega

$\omega(g(n)) = \{f(n) : \text{for any positive constant } c > 0, \text{ there exists a constant } n_0 > 0 \text{ such that } 0 \leq cg(n) < f(n) \text{ for all } n \geq n_0\}.$

- By analogy,  $\omega$ -notation is to  $\Omega$ -notation as  $o$ -notation is to  $O$ -notation

**Definition:**  $f(n) = \Omega(g(n))$  (read as “ $f$  of  $n$  is omega of  $g$  of  $n$ ”) iff there exist positive constants  $c$  and  $n_0$  such that  $f(n) \geq cg(n)$  for all  $n, n \geq n_0$ .  $\square$

- Examples:

$$- \frac{n^2}{2} = \omega(n)$$

$$- \frac{n^2}{2} \neq \omega(n^2)$$

# Summary

---

$f(n) = \Theta(g(n))$  and  $g(n) = \Theta(h(n))$  imply  $f(n) = \Theta(h(n))$

$f(n) = O(g(n))$  and  $g(n) = O(h(n))$  imply  $f(n) = O(h(n))$

$f(n) = \Omega(g(n))$  and  $g(n) = \Omega(h(n))$  imply  $f(n) = \Omega(h(n))$

$f(n) = o(g(n))$  and  $g(n) = o(h(n))$  imply  $f(n) = o(h(n))$

$f(n) = \omega(g(n))$  and  $g(n) = \omega(h(n))$  imply  $f(n) = \omega(h(n))$

$$f(n) = \Theta(f(n))$$

$$f(n) = O(f(n))$$

$$f(n) = \Omega(f(n))$$

$f(n) = \Theta(g(n))$  if and only if  $g(n) = \Theta(f(n))$

$f(n) = O(g(n))$  if and only if  $g(n) = \Omega(f(n))$

$f(n) = o(g(n))$  if and only if  $g(n) = \omega(f(n))$

# Example – 1.

---

- Given a recursive function, which is used to calculate the sum, the function  $T(n)$  denotes the number of elementary operations performed by the function call  $sum(n)$ . Please give an asymptotic estimate of the time complexity.

```
int sum( int n )  
{  
    if( n == 1 )  
        return 1 ;  
    else  
        return n+sum(n-1) ;  
}
```

$$\begin{aligned} sum(n) &= n + sum(n - 1) \\ &= n + \{(n - 1) + sum(n - 2)\} \\ &= n + \{(n - 1) + [(n - 2) + sum(n - 3)]\} \\ &= \dots \end{aligned}$$

# Example – 1..

---

- Given a recursive function, which is used to calculate the sum, the function  $T(n)$  denotes the number of elementary operations performed by the function call  $sum(n)$ . Please give an asymptotic estimate of the time complexity.

$$\begin{aligned}sum(n) &= n + sum(n - 1) \\&= n + \{(n - 1) + sum(n - 2)\} \\&= n + \{(n - 1) + [(n - 2) + sum(n - 3)]\} \\&= \dots\end{aligned}$$

$$\begin{aligned}T(n) &= y + T(n - 1) \\&= y + \{y + T(n - 2)\} \\&= y + \{y + [y + T(n - 3)]\} \\&= y + \{y + [y + \dots + T(1)]\} \\&= y + \{y + [y + \dots + x]\}\end{aligned}$$

- If  $n > 1$ , the function will perform a fixed number of operations and will make a recursive call, so it can be calculated by  $T(n) = y + T(n - 1)$
- $sum(1)$  is computed using a fixed number of operations, so we specify  $T(1) = x$



# Example – 1...

- Given a recursive function, which is used to calculate the sum, the function  $T(n)$  denotes the number of elementary operations performed by the function call  $sum(n)$ . Please give an asymptotic estimate of the time complexity.
  - The constants  $x$  and  $y$  are set to 1, since we are only looking for an asymptotic estimate of the time complexity

$$\begin{aligned}\therefore sum(n) &= n + sum(n - 1) \\ &= n + \{(n - 1) + sum(n - 2)\} \\ &= n + \{(n - 1) + [(n - 2) + sum(n - 3)]\} \\ &= \dots\end{aligned}$$

$$T(1) = 1$$

$$T(n) = 1 + T(n - 1)$$

$$\begin{aligned}\therefore T(n) &= 1 + T(n - 1) \\ &= 1 + \{1 + T(n - 2)\} \\ &= 1 + \{1 + [1 + T(n - 3)]\} \\ &= \dots \\ &= k + T(n - k) \\ &= \dots \\ &= (n - 1) + T(1) = (n - 1) + 1 = n = \Theta(n)\end{aligned}$$

```
int sum( int n )
{
    if( n == 1 )
        return 1 ;
    else
        return n+sum(n-1) ;
}
```

## Example – 2

---

- Given a recursive function and its time complexity can be denoted by  $T(n) = 2T\left(\frac{n}{2}\right) + n$ , where  $T(1) = 0$ , please write down the time complexity in big-oh for the function
  - We assume  $n$  is a power of 2 for simplification
    - That is  $2^x = n$

$$\begin{aligned}T(n) &= 2 \times T\left(\frac{n}{2}\right) + n \\&= 2 \times \left[2 \times T\left(\frac{n}{4}\right) + \frac{n}{2}\right] + n = 4 \times T\left(\frac{n}{4}\right) + 2 \times n \\&= 4 \times \left[2 \times T\left(\frac{n}{8}\right) + \frac{n}{4}\right] + 2 \times n = 8 \times T\left(\frac{n}{8}\right) + 3 \times n \\&= \dots \\&= n \times T\left(\frac{n}{n}\right) + (\log_2 n) \times n = n \log_2 n \\&\therefore T(n) = O(n \log_2 n)\end{aligned}$$

# Master Method.

---

- The master method provides a “cookbook” method for solving recurrences of the form

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

where  $a \geq 1$  and  $b > 1$  are constants and  $f(n)$  is a positive function

1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$
2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log_2 n)$
3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and if  $af(\frac{n}{b}) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$

# Master Method..

---

- The master method theorem

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$
2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log_2 n)$
3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and if  $af\left(\frac{n}{b}\right) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$

– Take  $T(n) = 9T\left(\frac{n}{3}\right) + n$  for example

- $a = 9, b = 3, f(n) = n$ , and  $n^{\log_b a} = n^{\log_3 9} = n^2$
- $f(n) = n = O(n^{\log_b a - \epsilon}) = O(n^{\log_3 9 - \epsilon})$ , where  $\epsilon = 1$

✓ Case 1

- $T(n) = \Theta(n^{\log_b a}) = \Theta(n^{\log_3 9}) = \Theta(n^2)$

# Master Method...

---

- The master method theorem

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$
2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log_2 n)$
3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and if  $af\left(\frac{n}{b}\right) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$

– Take  $T(n) = T\left(\frac{2n}{3}\right) + 1$  for example

- $a = 1, b = \frac{3}{2}, f(n) = 1$ , and  $n^{\log_b a} = n^{\log_{\frac{3}{2}} 1} = n^0 = 1$

- $f(n) = 1 = \Theta(n^{\log_b a}) = \Theta(1)$

✓ Case2

- $T(n) = \Theta(n^{\log_b a} \log_2 n) = \Theta(\log_2 n)$

# Master Method....

- The master method theorem

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

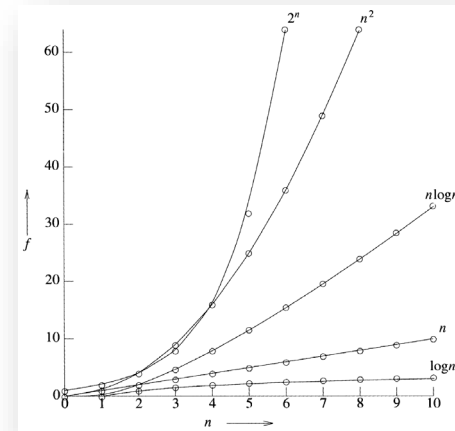
1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$
2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log_2 n)$
3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and if  $af\left(\frac{n}{b}\right) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$

– Take  $T(n) = 3T\left(\frac{n}{4}\right) + n \log_2 n$  for example

- $a = 3, b = 4, f(n) = n \log_2 n$ , and  $n^{\log_b a} = n^{\log_4 3}$
- $f(n) = \Omega(n^{\log_4 3 + \epsilon})$
- $af\left(\frac{n}{b}\right) = 3f\left(\frac{n}{4}\right) = 3 \frac{n}{4} \log_2 \frac{n}{4} = 3 \frac{n}{4} (\log_2 n - \log_2 4)$   
 $= \frac{3}{4} n \log_2 n - \frac{3}{2} n \leq cf(n) = cn \log_2 n$ , when  $c = \frac{3}{4}$

✓ Case 3

- $T(n) = \Theta(f(n)) = \Theta(n \log_2 n)$



# Master Method.....

---

- The master method theorem

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$
  2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log_2 n)$
  3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and if  $af(\frac{n}{b}) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$
- In each of the three cases, we compare  $f(n)$  with  $n^{\log_b a}$
  - In case1,  $n^{\log_b a}$  is larger than  $f(n)$ , thus  $T(n) = \Theta(n^{\log_b a})$
  - In case3,  $f(n)$  is larger than  $n^{\log_b a}$ , thus  $T(n) = \Theta(f(n))$
  - In case2,  $f(n)$  and  $n^{\log_b a}$  are the same size, we multiply by a logarithmic factor, and the solution is  $T(n) = \Theta(n^{\log_b a} \log_2 n) = \Theta(f(n) \log_2 n)$

# Master Method.....

- Check out the proof from:

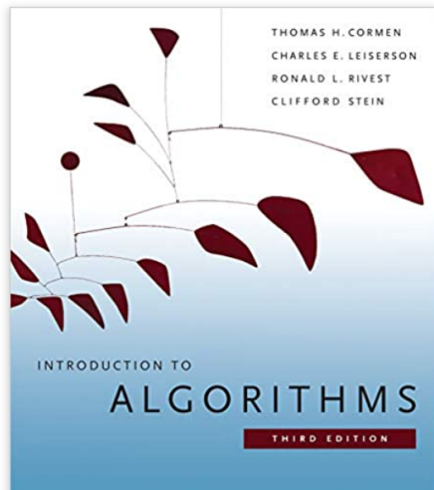
## Introduction to Algorithms, 3rd Edition (The MIT Press) 3rd Edition

by [Thomas H. Cormen](#) (Author), [Charles E. Leiserson](#) (Author), [Ronald L. Rivest](#) (Author), [Clifford Stein](#) (Author)

★★★★☆ 895 ratings

#1 Best Seller in Computer Algorithms

Look inside



Kindle

\$66.14

**Hardcover**

**\$34.24 - \$68.47**

Paperback

\$57.88 - \$66.95

Other Sellers

See all 7 versions

☐ Rent

\$34.24

☐ Buy used:

\$46.30

☒ Buy new:

**\$68.47**

In Stock.

Ships from and sold by Amazon.com.

Available at a lower price from [other sellers](#) that may not

List Price: ~~\$99.00~~

Save: \$30.53 (31%)

**4 new from \$68.47**

+ \$43.50 shipping



# Questions?

---



[kychen@mail.ntust.edu.tw](mailto:kychen@mail.ntust.edu.tw)