

Minimum Spanning Trees

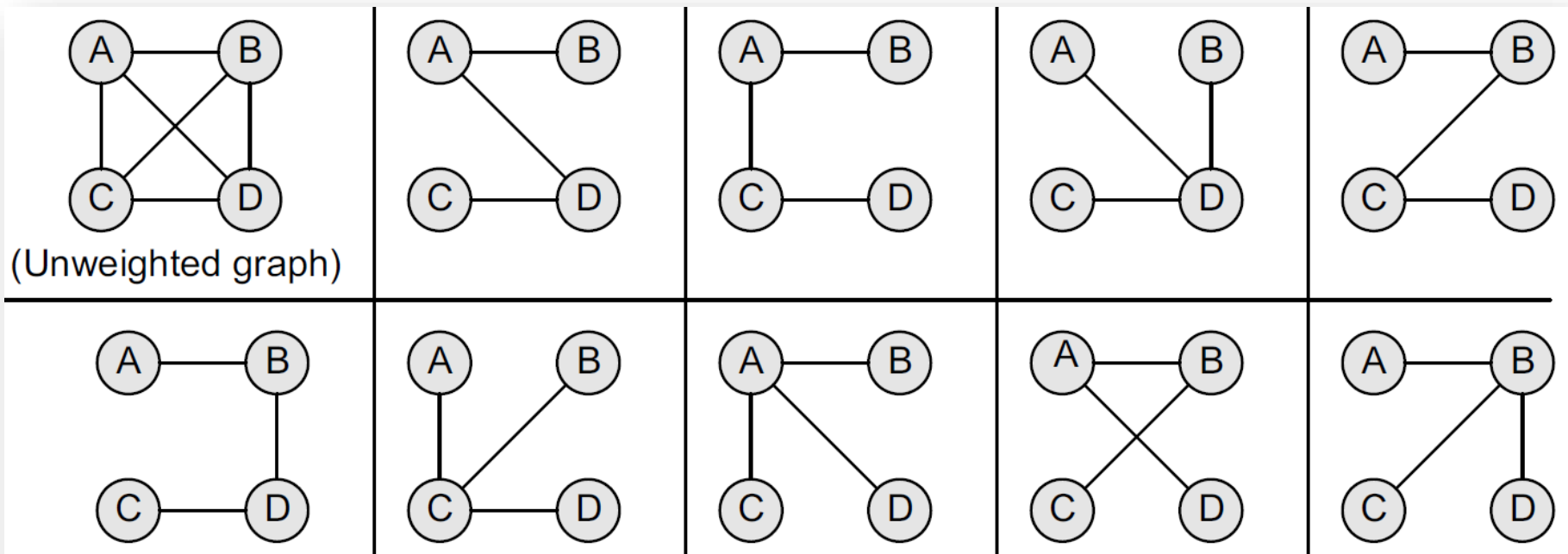
Kuan-Yu Chen (陳冠宇)

Review

- Three common ways of storing graphs
 - Sequential representation
 - adjacency matrix
 - Linked representation
 - linked list
 - Adjacency multi-list
- Search algorithms
 - BFS
 - Queue
 - DFS
 - Stack

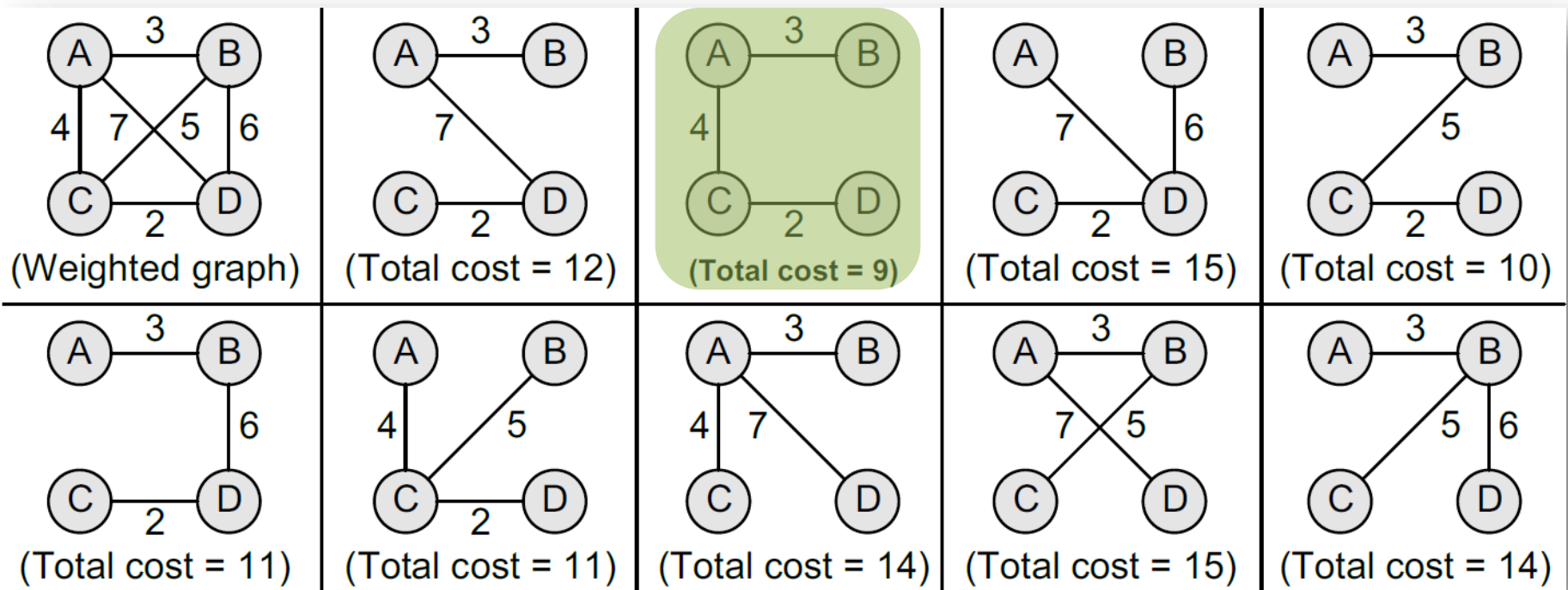
Spanning Tree

- A tree is a connected undirected graph without cycle
- A spanning tree of a connected and undirected graph G is a sub-graph of G which is a **tree** that connects all the vertices together
 - A graph G can have many different spanning trees



Minimum Spanning Tree.

- A **minimum spanning tree** (MST) is defined as a spanning tree with weight less than or equal to the weight of every other spanning tree
 - We can assign **weights** to each edge, and use it to assign a weight to a spanning tree by calculating the sum of the weights of the edges in that spanning



Minimum Spanning Tree..

- Properties
 - *Possible multiplicity*
 - There can be multiple minimum spanning trees of the same weight
 - Particularly, if all the weights are the same, then every spanning tree will be minimum
 - *Uniqueness*
 - When each edge in the graph is assigned a different weight, then there will be only one unique minimum spanning tree
 - *Simplicity*
 - For an unweighted graph, any spanning tree is a minimum spanning tree

Minimum Spanning Tree...

- Minimum spanning trees can be computed quickly and easily to provide optimal solutions
 - Prim's algorithm
 - Kruskal's algorithm

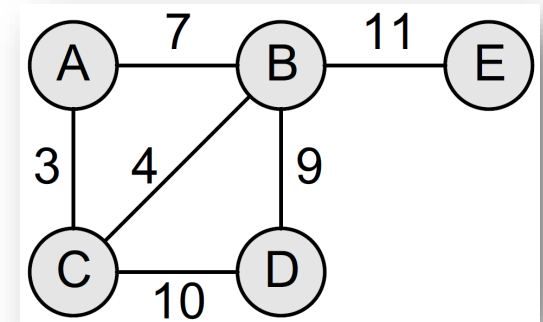
Prim's Algorithm.

- Prim's algorithm is a **greedy algorithm** that is used to form a minimum spanning tree for a connected weighted undirected graph
 - **Tree vertices**
 - Vertices that are a part of the minimum spanning tree T
 - **Fringe (Neighboring) vertices**
 - Vertices that are currently not a part of T , but are adjacent to some tree vertex
 - **Unseen vertices**
 - Vertices that are neither tree vertices nor fringe vertices fall under this category

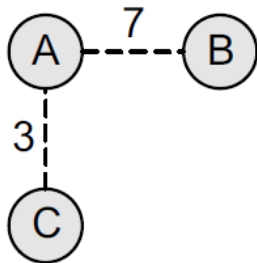
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Step 1: Select a starting vertex
Step 2: Repeat Steps 3 and 4 until there are fringe vertices
Step 3:   Select an edge  $e$  connecting the tree vertex and
          fringe vertex that has minimum weight
Step 4:   Add the selected edge and the vertex to the
          minimum spanning tree  $T$ 
          [END OF LOOP]
Step 5: EXIT
```

Prim's Algorithm..

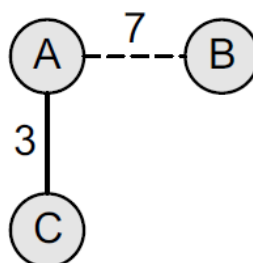
- Construct a minimum spanning tree of the graph by using Prim's algorithm
 - Step 1: Choose a starting vertex *A*
 - Step 2: Add the fringe vertices (that are adjacent to *A*)
 - Step 3: Since the edge connecting *A* and *C* has less weight, add *C* to the tree
 - Step 4: Add the fringe vertices (that are adjacent to *C*)
 - Step 5: Since the edge connecting *C* and *B* has less weight, add *B* to the tree



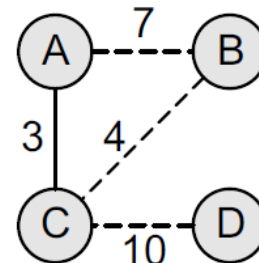
Step 1



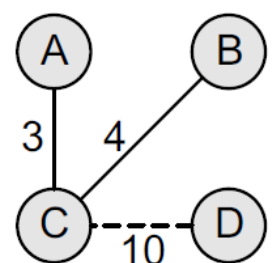
Step 2



Step 3



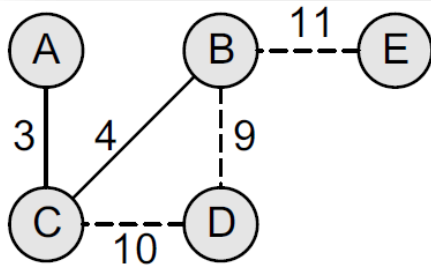
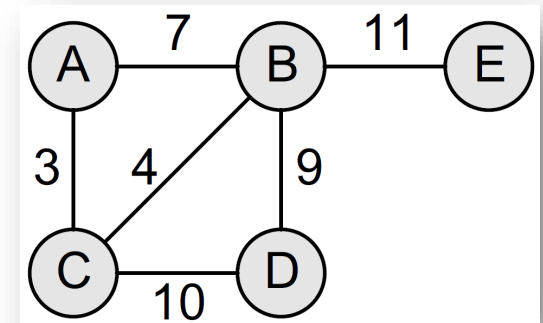
Step 4



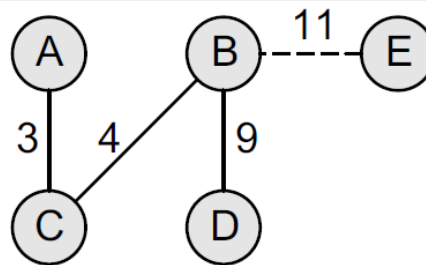
Step 5

Prim's Algorithm...

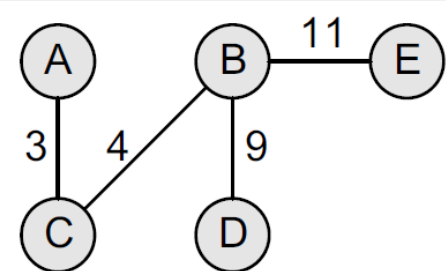
- Step 6: Add the fringe vertices (that are adjacent to B)
- Step 7: Since the edge connecting B and D has less weight, add D to the tree
- Step 8: Add E to the tree



Step 6



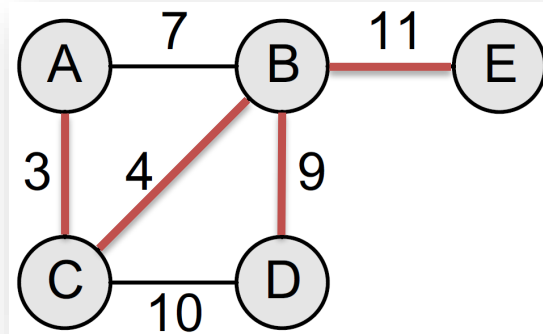
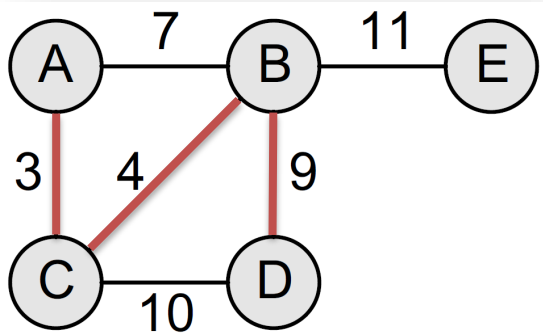
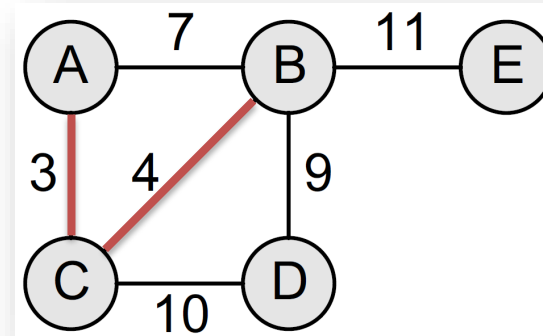
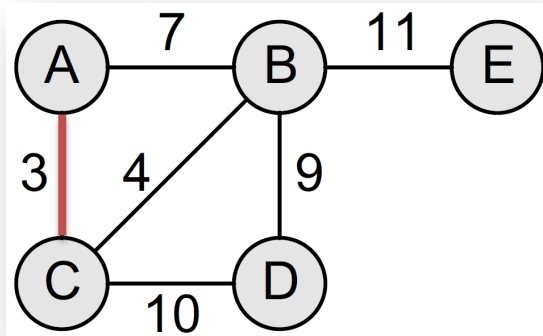
Step 7



Step 8

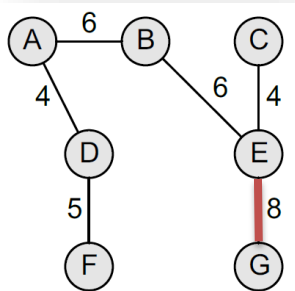
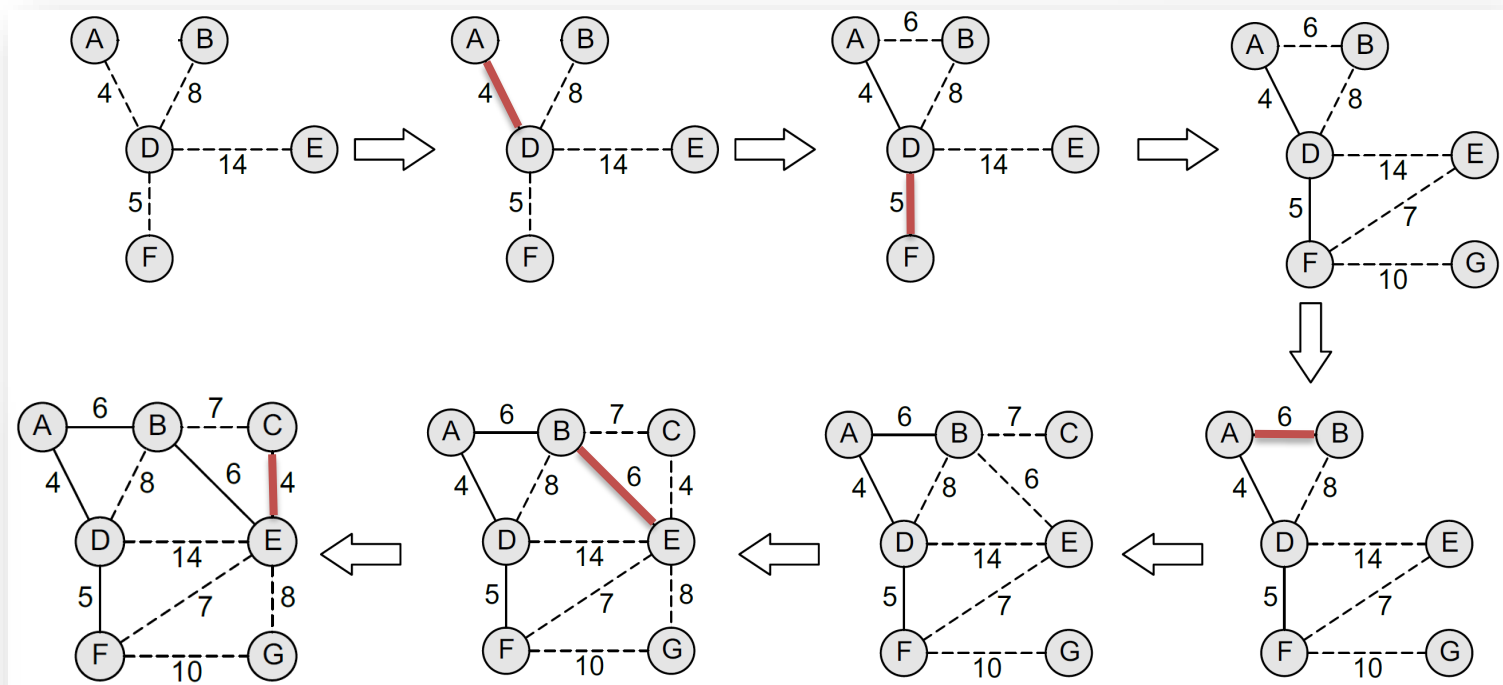
Prim's Algorithm....

- By looking!



Prim's Algorithm....

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Kruskal's Algorithm.

- Kruskal's algorithm is used to find the minimum spanning tree for a connected weighted undirected graph
 - If the graph is not connected, then it finds a **minimum spanning forest**

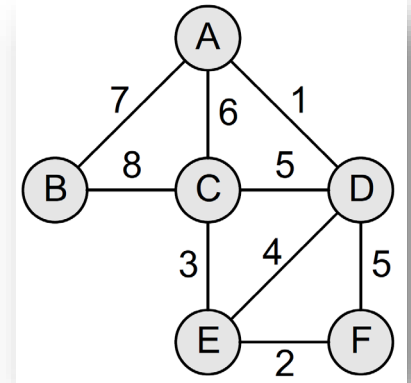
```
Step 1: Create a forest in such a way that each graph is a separate
        tree.
Step 2: Create a priority queue Q that contains all the edges of the
        graph.
Step 3: Repeat Steps 4 and 5 while Q is NOT EMPTY
Step 4:     Remove an edge from Q
Step 5: IF the edge obtained in Step 4 connects two different trees,
        then Add it to the forest (for combining two trees into one
        tree).
        ELSE
            Discard the edge
Step 6: END
```

Kruskal's Algorithm..

- Apply Kruskal's algorithm on the given graph

– Initial:

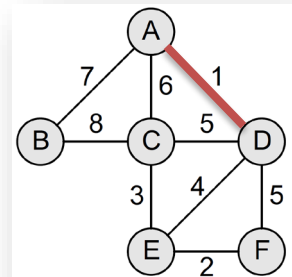
- $F = \{\{A\}, \{B\}, \{C\}, \{D\}, \{E\}, \{F\}\}$
- $MST = \{\}$
- Priority Queue $Q = \{(A, D), (E, F), (C, E), (E, D), (C, D), (D, F), (A, C), (A, B), (B, C)\}$



– Step1:

- Remove the edge (A, D) from Q

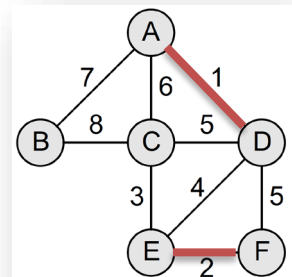
$F = \{\{A, D\}, \{B\}, \{C\}, \{E\}, \{F\}\}$
 $MST = \{A, D\}$
 $Q = \{(E, F), (C, E), (E, D), (C, D), (D, F), (A, C), (A, B), (B, C)\}$



– Step2:

- Remove the edge (E, F) from Q

$F = \{\{A, D\}, \{B\}, \{C\}, \{E, F\}\}$
 $MST = \{(A, D), (E, F)\}$
 $Q = \{(C, E), (E, D), (C, D), (D, F), (A, C), (A, B), (B, C)\}$

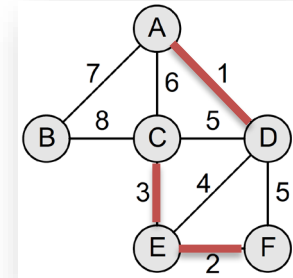


Kruskal's Algorithm...

– Step3:

- Remove the edge (C, E) from Q

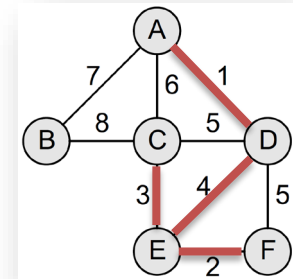
$F = \{\{A, D\}, \{B\}, \{C, E, F\}\}$
 $MST = \{(A, D), (C, E), (E, F)\}$
 $Q = \{(E, D), (C, D), (D, F), (A, C), (A, B), (B, C)\}$



– Step4:

- Remove the edge (E, D) from Q

$F = \{\{A, C, D, E, F\}, \{B\}\}$
 $MST = \{(A, D), (C, E), (E, F), (E, D)\}$
 $Q = \{(C, D), (D, F), (A, C), (A, B), (B, C)\}$



– Step5:

- Remove the edge (C, D) from Q

The edge does not connect different trees, so simply discard this edge

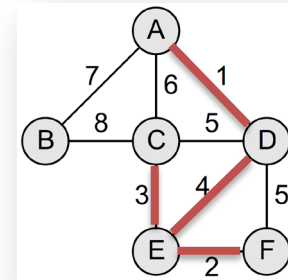
$F = \{\{A, C, D, E, F\}, \{B\}\}$
 $MST = \{(A, D), (C, E), (E, F), (E, D)\}$
 $Q = \{(D, F), (A, C), (A, B), (B, C)\}$

Kruskal's Algorithm....

– Step6:

- Remove the edge (D, F) from Q

The edge does not connect different trees, so simply discard this edge

$$\begin{aligned} F &= \{\{A, C, D, E, F\}, \{B\}\} \\ \text{MST} &= \{(A, D), (C, E), (E, F), (E, D)\} \\ Q &= \{(A, C), (A, B), (B, C)\} \end{aligned}$$


– Step7:

- Remove the edge (A, C) from Q

The edge does not connect different trees, so simply discard this edge

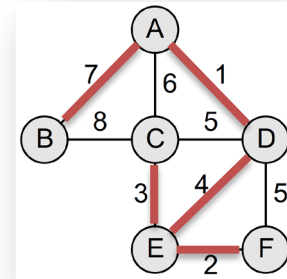
$$\begin{aligned} F &= \{\{A, C, D, E, F\}, \{B\}\} \\ \text{MST} &= \{(A, D), (C, E), (E, F), (E, D)\} \\ Q &= \{(A, B), (B, C)\} \end{aligned}$$

Kruskal's Algorithm.....

– Step8:

- Remove the edge (A, B) from Q

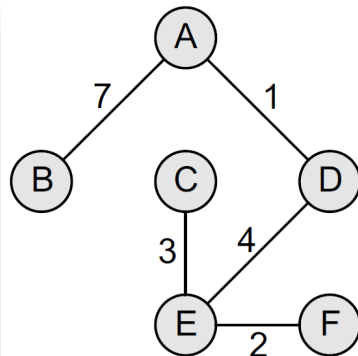
$F = \{A, B, C, D, E, F\}$
 $MST = \{(A, D), (C, E), (E, F), (E, D), (A, B)\}$
 $Q = \{(B, C)\}$



– Step8:

- Remove the edge (B, C) from Q

The edge does not connect different trees, so simply discard this edge



$F = \{A, B, C, D, E, F\}$
 $MST = \{(A, D), (C, E), (E, F), (E, D), (A, B)\}$
 $Q = \{\}$

General Formulation

- They each use a specific rule to determine a safe edge in line 3 of GENERIC-MST

GENERIC-MST(G, w)

```
1   $A = \emptyset$ 
2  while  $A$  does not form a spanning tree
3      find an edge  $(u, v)$  that is safe for  $A$ 
4       $A = A \cup \{(u, v)\}$ 
5  return  $A$ 
```

- In Prim's algorithm
 - The set A forms a single tree
 - The safe edge added to A is always a least-weight edge **connecting the tree to a vertex not in the tree**
- In Kruskal's algorithm
 - The set A is a forest whose vertices are all those of the given graph
 - The safe edge added to A is always a least-weight edge in the graph that **connects two distinct components (trees)**

Schedule

- 12/19 Final Exam!

Questions?



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