

## Graph Algorithms - Lecture 6

November 8, 2024

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## Exercises for the 7th seminar (november 11 - 15 week)

# Minimum cost spanning tree (MST) problem

**MST problem.** Given  $G = (V, E)$  a graph and  $c : E \rightarrow \mathbb{R}$  ( $c(e)$  is the cost of the edge  $e$ ), find  $T^* \in \mathcal{T}_G$  such that

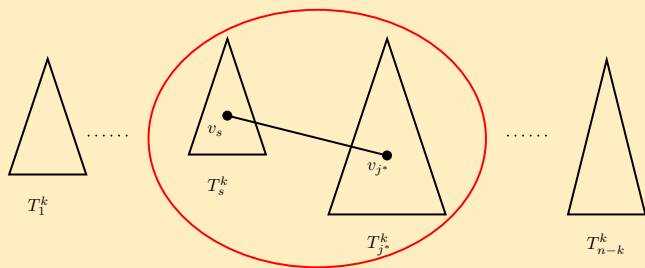
$$c(T^*) = \min_{T \in \mathcal{T}_G} c(T),$$

where  $c(T) = \sum_{e \in E(T)} c(e)$ .

- Start with the family  $\mathcal{T}^0 = (T_1^0, T_2^0, \dots, T_n^0)$  of  $n$  disjoint trees:  
 $T_i^0 = (\{i\}, \emptyset)$ ,  $i = \overline{1, n}$ .
- In each step  $k$  ( $0 \leq k \leq n - 2$ ), from the family  $\mathcal{T}^k = (T_1^k, T_2^k, \dots, T_{n-k}^k)$  of  $(n - k)$  disjoint trees such that  $V = \bigcup_{i=1}^{n-k} V(T_i^k)$  and  $\bigcup_{i=1}^{n-k} E(T_i^k) \subseteq E$ , define  $\mathcal{T}^{k+1}$  like follows:
  - choose  $T_s^k \in \mathcal{T}^k$ ;
  - find a minimum cost edge  $e^* = v_s v_{j^*}$  from the set of edges of  $G$  with an extremity  $v_s \in V(T_s^k)$  and the other in  $V \setminus V(T_s^k)$  ( $v_{j^*} \in V(T_{j^*}^k)$ );
  - $\mathcal{T}^{k+1} = (\mathcal{T}^k \setminus \{T_s^k, T_{j^*}^k\}) \cup T$ , where  $T$  is the tree obtained from  $T_s^k$  and  $T_{j^*}^k$  by adding the edge  $e^*$ .

## Remarks

- Note that, if, at some step, there is no edge with an extremity in  $V(T_s^k)$  and the other in  $V \setminus V(T_s^k)$ , it follows that  $G$  is not connected and there is no MST in  $G$ .
- The above construction is suggested in the figure below:



- The family  $\mathcal{T}^{n-1}$  has just one tree,  $T_1^{n-1}$ .

## Theorem 1

If  $G = (V, E)$  is a connected graph with  $V = \{1, 2, \dots, n\}$ , then  $T_1^{n-1}$  constructed by the above algorithm is an MST of  $G$ .

**Proof:** We prove (by induction) that  $(*) \forall k \in \{0, \dots, n-1\}$  there exists a spanning tree  $T_k^*$ , MST of  $G$ , such that

$$E(\mathcal{T}^k) = \bigcup_{i=1}^{n-k} E(T_i^k) \subseteq E(T_k^*).$$

In particular, for  $k = n-1$ ,  $E(\mathcal{T}^{n-1}) = E(T_1^{n-1}) \subseteq E(T_{n-1}^*)$  implies  $T_1^{n-1} = T_{n-1}^*$  and the theorem is proved.

For  $k = 0$ , we have  $E(\mathcal{T}^0) = \emptyset$  and, since  $G$  is connected, there exists a MST  $T_0^*$ ; therefore the property  $(*)$  holds.

**Proof cont'd.** If the property (\*) holds for  $0 \leq k \leq n - 2$ , then there exists a MST of  $G$ ,  $T_k^*$ , such that  $E(\mathcal{T}^k) \subseteq E(T_k^*)$ . By construction,  $E(\mathcal{T}^{k+1}) = E(\mathcal{T}^k) \cup \{e^*\}$ . If  $e^* \in E(T_k^*)$ , we then take  $T_{k+1}^* = T_k^*$  and the property holds for  $k + 1$ .

Suppose that  $e^* \notin E(T_k^*)$ . Then,  $T_k^* + e^*$  has exactly one cycle  $C$ , containing  $e^* = v_s v_{j^*}$ . Since  $v_{j^*} \notin V(T_s^k)$ , it follows that there exists an edge  $e_1 \neq e^*$  in  $C$  with an extremity in  $V(T_s^k)$  and the other in  $V \setminus V(T_s^k)$ . By the choice of  $e^*$  we have  $c(e^*) \leq c(e_1)$  and  $e_1 \in E(T_k^*) \setminus E(\mathcal{T}^k)$ .

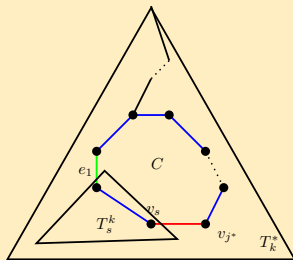
Let  $T^1 = T_k^* + e^* - e_1$ ; obviously,  $T^1 \in \mathcal{T}_G$  (being connected with  $n - 1$  edges).

Since  $e_1 \in E(T_k^*) \setminus E(\mathcal{T}^k)$ , we have  $E(\mathcal{T}^{k+1}) \subseteq E(T^1)$ .

# MST general method

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Proof cont'd.



On the other hand, since  $c(e^*) \leq c(e_1)$ , we have  $c(T^1) = c(T_k^*) + c(e^*) - c(e_1) \leq c(T_k^*)$ .

Because  $T_k^*$  is a MST of  $G$ , it follows that  $c(T^1) = c(T_k^*)$ , i. e.,  $T^1$  is a MST of  $G$  containing all edges in  $E(\mathcal{T}^{k+1})$ . By taking  $T_{k+1}^* = T^1$  we finish the proof of the theorem.  $\square$



## Remarks

- The above proof remains true for tree-cost functions  $c : \mathcal{T}_G \rightarrow \mathbb{R}$  satisfying:  $\forall T \in \mathcal{T}_G, \forall e \in E(T), \forall e' \notin E(T)$

$$c(e') \leq c(e) \Rightarrow c(T + e' - e) \leq c(T).$$

- In the general method presented above, the way of choosing the tree  $T_s^k$  is not detailed. We will discuss two classical strategies for choosing this tree.
- The first strategy chooses  $T_s^k$  as the maximum order tree in the family  $\mathcal{T}^k$ .
- In the second strategy  $T_s^k$  is one of the two trees in the family  $\mathcal{T}^k$ , connected by an edge of minimum cost over all edges with extremities on different trees of the family.

## Prim's algorithm

- In Prim's strategy  $T_s^k$  is the maximum order tree in the family  $\mathcal{T}^k$ .
- It follows that in each step  $k > 0$  of the general method,  $\mathcal{T}^k$  has a tree,  $T_s^k = (V_s, E_s)$ , with  $k + 1$  vertices and  $n - k - 1$  trees each containing just one vertex.
- **Dijkstra's implementation:** Let  $\alpha$  and  $\beta$  be two vectors of size  $n$ ; the elements of  $\alpha$  are vertices from  $V(G)$  and the elements of  $\beta$  are real numbers, with the following meaning:

$$(S) \quad \forall j \in V \setminus V_s, \beta[j] = c(\alpha[j]j) = \min_{i \in V_s, ij \in E} c(ij)$$

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# Prim's algorithm

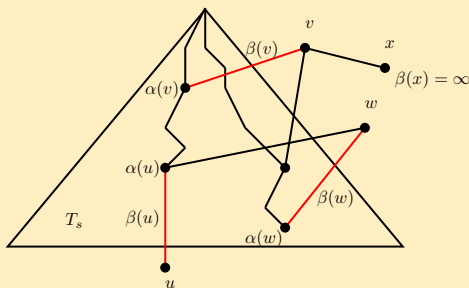
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## Prim's algorithm

```
 $V_s \leftarrow \{s\}; E_s \leftarrow \emptyset; //$  for some  $s \in V$ .  
for ( $v \in V \setminus \{s\}$ ) do  
     $\alpha[v] \leftarrow s; \beta[v] \leftarrow c(sv); //$  if  $ij \notin E$ , then  $c(ij) = \infty$ .  
while ( $V_s \neq V$ ) do  
    find  $j^* \in V \setminus V_s$  s. t.  $\beta[j^*] = \min_{j \in V \setminus V_s} \beta[j];$   
     $V_s \leftarrow V_s \cup \{j^*\}; E_s \leftarrow E_s \cup \{\alpha[j^*]j^*\};$   
    for ( $j \in V \setminus V_s$ ) do  
        if ( $\beta[j] > c[j^*j]$ ) then  
             $\beta[j] \leftarrow c[j^*j]; \alpha[j] \leftarrow j^*;$ 
```

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## Remarks



- Note that (S) is satisfied after the initializations. In the while loop, the strategy of the general method is respected and the meaning of (S) is maintained also by the test in the for loop.
- **Time complexity:**  $\mathcal{O}(n-1) + \mathcal{O}(n-2) + \dots + \mathcal{O}(1) = \mathcal{O}(n^2)$  which is good for graphs with size  $\mathcal{O}(n^2)$ .

# Kruskal's Algorithm

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- In Kruskal's algorithm  $T_s^k$  is one of the two trees in the family  $\mathcal{T}^k$ , connected by an edge of minimum cost over all edges with extremities on different trees of the family.
- This choice can be done by performing first a non-decreasing sorting of the edges by their costs and, after that, parsing the obtained list. If we denote by  $T$  the tree  $T_s^k$ , the algorithm can be described as follows.

sort  $E = \{e_1, e_2, \dots, e_m\}$  s. t.  $c(e_1) \leq \dots \leq c(e_m)$ ;

$T \leftarrow \emptyset$ ;  $i \leftarrow 1$ ;

while  $(i \leq m)$  do

    if  $(\langle T \cup \{e_i\} \rangle_G \text{ has no cycles})$  then

$T \leftarrow T \cup \{e_i\}$ ;

$i++$ ;

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# Kruskal's Algorithm

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- The sorting can be done in  $\mathcal{O}(m \log m) = \mathcal{O}(m \log n)$  time.
- In order to efficiently implement the test from the while loop, it is necessary to represent the sets of vertices of the trees,  $V(T_1^k), V(T_2^k), \dots, V(T_{n-k}^k)$  (at each step  $k$  of the general method), and to test if the current edge has both extremities in the same set.
- These sets will be represented using trees (which are not, in general, subtrees of the graph  $G$ ). Each such tree has a root which will be used to designate the set of vertices of the graph  $G$  stored in the tree.
- More precisely, we have a function  $find(v)$  which finds the set to which the vertex  $v$  belongs, that is, **returns the root of the tree storing the set to which  $v$  belongs.**

# Kruskal's Algorithm – Union-Find

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- In the general method it is necessary to perform the (disjoint) union of the vertex sets of two trees (in order to obtain  $\mathcal{T}^{k+1}$ ).
- We use a method *union*( $u, w$ ) with the following meaning: it performs the union of the two sets of vertices, to which  $v$  and  $w$  belong.
- We can rewrite the while loop of the algorithm, using these two methods, as follows:

```
while ( $i \leq m$ ) do
  let  $e_i = vw$ ;
  if ( $find(v) \neq find(w)$ ) then
    union( $v, w$ );
     $T \leftarrow T \cup \{e_i\}$ ;
   $i++$ ;
```

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# Kruskal's Algorithm – Union-Find – First solution

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- The array  $root[1..n]$  with entries from  $V$  has the meaning:  
 $root[v] =$  root of the tree storing the set to which  $v$  belongs.
- Add to the initialization step (corresponding to the family  $\mathcal{T}^0$ ):  
for  $(v \in V)$  do  
     $root[v] \leftarrow v$ ;
- The function *find* (having time complexity  $\mathcal{O}(1)$ ):  
function *find*( $v : V$ );  
return  $root[v]$ ;
- The method *union* (having time complexity  $\mathcal{O}(n)$ ):  
method *union*( $v, w : V$ );  
for  $(i \in V)$  do  
    if  $(root[i] = root[v])$  then  
         $root[i] = root[w]$ ;

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## Kruskal's Algorithm – Union-Find – First solution

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### Time complexity analysis:

- There are  $\mathcal{O}(m)$  calls of the function *find* during the while loop.
- In the sequence of *find*-calls, exactly  $n - 1$  *union*-calls are interleaved (one call for each edge of the final MST).
- Therefore, the time spent by the algorithm during the while loop is  $\mathcal{O}(m\mathcal{O}(1) + (n - 1)\mathcal{O}(n)) = \mathcal{O}(n^2)$ .

Hence, the time complexity of the algorithm is  $\mathcal{O}(\max(m \log n, n^2))$ .  
If  $G$  has many edges,  $m = \mathcal{O}(n^2)$ , then Prim's algorithm is more efficient.

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## Kruskal's Algorithm – Union-Find – Second solution

- The array  $pred[1..n]$  with entries from  $V \cup \{0\}$  has the meaning  $pred[v]$  = the vertex before  $v$  on the unique path to  $v$  from the root of the tree storing the set to which  $v$  belongs.
- Add to the initialization step (corresponding to the family  $\mathcal{T}^0$ ):  
for  $(v \in V)$  do  
     $pred[v] \leftarrow 0$ ;
- The function  $find(v)$  runs in  $\mathcal{O}(h(v))$  time, where  $h(v)$  is the length of the tree-path from  $v$  to the root (of its tree):  
function  $find(v : V)$ ;  
     $i \leftarrow v$ ; // a local variable.  
    while  $(pred[i] > 0)$  do  
         $i \leftarrow pred[i]$ ;  
    return  $i$ ;

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# Kruskal's Algorithm – Union-Find – Second solution

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- The method *union* (having time complexity  $\mathcal{O}(1)$ ) is called only for root vertices:

```
method union(root1, root2 : V)  
  pred[root1]  $\leftarrow$  root2;
```

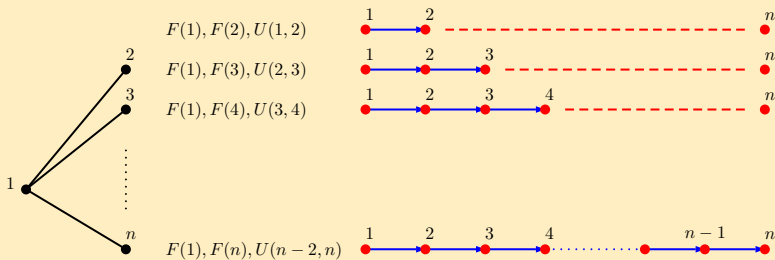
- The *while* loop of the algorithm is changed to support this modification:

```
while (i  $\leq$  m) do  
  let ei = vw; x  $\leftarrow$  find(v); y  $\leftarrow$  find(w);  
  if (x  $\neq$  y) then  
    union(x, y);  
    T  $\leftarrow$  T  $\cup$  {ei};  
  i ++;
```

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## Kruskal's Algorithm – Union-Find – Second solution

If we execute this form of the **while** loop on the graph  $G = K_{1,n-1}$  with the sorted edge list,  $E = \{12, 13, \dots, 1n\}$ , then the sequence of calls of the two methods is (F and U abbreviates **find** and **union**):



# Kruskal's Algorithm – Union-Find – Second solution

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- Hence this form of the algorithm has time complexity  $\Omega(n^2)$  (even if the graph is sparse).
- The weakness of this implementation is given by the fact that, in the **union** procedure, we make the root of the new tree that of the tree storing a smaller number of vertices, implying an augmenting of  $h(v)$  to  $\mathcal{O}(n)$  during the algorithm.
- We can avoid this by keeping in the root of each tree the cardinality of the set stored. More precisely, the meaning of  $pred[v]$ , when  $v$  is a root, is:

$pred[v] < 0 \Leftrightarrow v$  is the root of the tree

storing a set with  $-pred[v]$  vertices

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## Kruskal's Algorithm – Union-Find – Second solution

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- The initialization step is

```
for ( $v \in V$ ) do  
     $pred[v] \leftarrow -1$ ;
```

- The procedure *union* takes also  $\mathcal{O}(1)$  time to maintain the new meaning:

```
procedure union( $root_1, root_2 : V$ )  
     $t \leftarrow pred[root_1] + pred[root_2]$ ;  
    if ( $-pred[root_1] \geq -pred[root_2]$ ) then  
         $pred[root_2] \leftarrow root_1$ ;  $pred[root_1] \leftarrow t$ ;  
    else  
         $pred[root_1] \leftarrow root_2$ ;  $pred[root_2] \leftarrow t$ ;
```

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## Kruskal's Algorithm – Union-Find – Second solution

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**Fact.** With these implementations of the function `find` and method `union` the algorithm has the following invariant:

$$(*) \forall v \in V, -pred[find(v)] \geq 2^{h(v)}$$

In other words, the number of vertices stored in the tree to which  $v$  belongs is at least 2 to the power "distance of  $v$  to the root".

**Proof of the fact.** After the initialization step we have  $h(v) = 0$ ,  $find(v) = v$ , and  $-pred[v] = 1$ ,  $\forall v \in V$ , therefore  $(*)$  holds with equality.

Suppose that  $(*)$  holds before an iteration in the while loop. We have two possible cases:

- In this while iteration `union` is not called. The array `pred` is not updated, so  $(*)$  still holds after this iteration.

## Kruskal's Algorithm – Union-Find – Second solution

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- In this while iteration we have a call of the method `union`. Let  $union(x, y)$  be this call, and suppose that in the union method the assignment  $pred[y] \leftarrow x$  is executed. This means that before this iteration we have  $-pred[x] \geq -pred[y]$ .

The vertices  $v$  for which  $h(v)$  changes in this iteration are those for which, before the iteration we had  $find(v) = y$  and  $-pred[y] \geq 2^{h(v)}$ .

After the while loop iteration, we have  $h'(v) = h(v) + 1$  and  $find'(v) = x$ . Hence, we must verify if  $-pred'[x] \geq 2^{h'(v)}$ . Indeed,  $-pred'[x] = -pred[x] - pred[y] \geq 2 \cdot (-pred[y]) \geq 2 \cdot 2^{h(v)} = 2^{h(v)+1} = 2^{h'(v)}$ .

It follows that  $(*)$  is an invariant of the algorithm.  $\square$

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## Kruskal's Algorithm – Union-Find – Third solution

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The time complexity of the **while** loop in the above solution is due to the sequence of **find** calls. **Tarjan (1976)** observed that a call with  $h(v) > 1$  can, without changing the  $\mathcal{O}(h(v))$  time, "collapse" the tree path from  $v$  to the root, making  $h(x) = 1$  for all vertices  $x$  on the path. In this way, the future **find** calls for these vertices will be faster. More precisely, the function **find** is:

```
function find( $v : V$ ); //  $i, j, aux$  are local variables.
```

```
 $i \leftarrow v$ ;
```

```
while ( $pred[i] > 0$ ) do
```

```
     $i \leftarrow pred[i]$ ;
```

```
 $j \leftarrow v$ ;
```

```
while ( $pred[j] > 0$ ) do
```

```
     $aux \leftarrow pred[j]$ ;  $pred[j] \leftarrow i$ ;  $j \leftarrow aux$ ;
```

```
return  $i$ ;
```

If  $A : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  is the Ackermann function given by:

$$(1) \quad A(m, n) = \begin{cases} n + 1, & \text{if } m = 0 \\ A(m - 1, 1), & \text{if } m > 0 \text{ and } n = 0 \\ A(m - 1, A(m, n - 1)), & \text{if } m > 0 \text{ and } n > 0 \end{cases}$$

and if we denote,  $\forall m \geq n > 0$ ,

$$\alpha(m, n) = \min \{z : A(z, 4 \lceil m/n \rceil) \geq \log n, z \geq 1\}$$

we get that the time complexity of the while loop using union from the second solution and the above find, is  $\mathcal{O}(m \cdot \alpha(m, n))$ .

Note that  $\alpha(m, n)$  is an extremely slow increasing function, and for practical values of  $n$   $\alpha(m, n) \leq 3$ , hence, the third solution is practically a linear implementation ( $\mathcal{O}(m)$ ) of Kruskal's algorithm.

# Matchings

Let  $G = (V, E)$  be a (multi)graph. If  $A \subseteq E$  and  $v \in V$ , we denote  $d_A(v) = |\{e : e \in A, e \text{ incident with } v\}|$ , i. e., the degree of  $v$  in the subgraph spanned by  $A$ ,  $\langle A \rangle_G$ .

## Definition

A matching (an independent set of edges) in  $G$  is any set of edges  $M \subseteq E$  such that

$$d_M(v) \leq 1, \forall v \in V.$$

The family of all matchings in the graph  $G$  is denoted  $\mathcal{M}_G$ :

$$\mathcal{M}_G = \{M : M \subseteq E, M \text{ matching in } G\}.$$

Note that  $\mathcal{M}_G$  satisfies:

- (i)  $\emptyset \in \mathcal{M}_G$ ;
- (ii)  $M \in \mathcal{M}_G, M' \subseteq M \Rightarrow M' \in \mathcal{M}_G$ .

Let  $M \in \mathcal{M}_G$  be a matching.

- A vertex  $v \in V$  with  $d_M(v) = 1$  is called **saturated** by  $M$ , and the set of all vertices of  $G$  saturated by  $M$  is denoted  $S(M)$ . Obviously,

$$S(M) = \bigcup_{e \in M} e, \text{ and } |S(M)| = 2 \cdot |M|.$$

- A vertex  $v \in V$  with  $d_M(v) = 0$  is called **exposed** with respect to  $M$  (or **unsaturated** by  $M$ ), and the set of all vertices of  $G$  exposed to  $M$  is denoted  $E(M)$ . Clearly,  $E(M) = V \setminus S(M)$ , and  $|E(M)| = |V| - 2 \cdot |M|$ .



## Definition

An edge-cover in  $G$  is any set of edges  $F \subseteq E$  such that

$$d_F(v) \geq 1, \forall v \in V(G).$$

The family of all edge-covers in the graph  $G$  is denoted  $\mathcal{F}_G$ :

$$\mathcal{F}_G = \{F : F \subseteq E, F \text{ edge-cover in } G\}.$$

Note that  $\mathcal{F}_G$  has the following properties

- (i)  $\mathcal{F}_G \neq \emptyset \Leftrightarrow G$  has no isolated vertices (then  $E \in \mathcal{F}_G$ );
- (ii)  $F \in \mathcal{F}_G, F' \supseteq F \Rightarrow F' \in \mathcal{F}_G$ .

Minimum edge-cover problem:

P<sub>2</sub> given a graph  $G = (V, E)$ , find  $F^* \in \mathcal{F}_G$  such that

$$|F^*| = \min_{F \in \mathcal{F}_G} |F|.$$

# Maximum matchings – minimum edge-covers

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## Theorem 2

(Norman-Rabin, 1959) Let  $G = (V, E)$  be a graph of order  $n$ , without isolated vertices. If  $M^*$  is a maximum cardinality matching in  $G$  and  $F$  is a minimum cardinality edge-cover in  $G$ , then

$$|M^*| + |F^*| = n.$$

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**Proof:** " $\leq$ " Let  $M^*$  be a maximum cardinality matching in  $G$  and consider the following algorithm:

$F \leftarrow M^*$ ;

for  $(v \in E(M^*))$  do

find  $v' \in S(M^*)$  s. t.  $vv' \in E$ ;

$F \leftarrow F \cup \{vv'\}$ ;

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# Maximum matchings – minimum edge-covers

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## Proof cont'd.

Since  $G$  has no isolated vertices,  $\forall v \in E(M^*)$ , there exists an edge incident with  $v$ , and, since  $M^*$  is maximal w. r. t. inclusion, this edge has the other end in  $S(M^*)$ .

The set  $F$  of edges constructed is an edge-cover and  $|F| = |M^*| + |E(M^*)| = |M^*| + n - 2 \cdot |M^*| = n - |M^*|$ . Hence

$$(2) \qquad |F^*| \leq |F| = n - |M^*|.$$

" $\geq$ " Let  $F^*$  be minimum cardinality edge-cover in  $G$  and consider the following algorithm:

```
M ← F*;  
for ( $\exists v \in V : d_M(v) > 1$ ) do  
  find  $e \in M$  incident with  $v$ ;  
  M ← M \ {e};
```

# Maximum matchings – minimum edge-covers

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## Proof cont'd.

Obviously, the algorithm builds a matching  $M$  in  $G$ . If the edge  $e$  incident with  $v$  (and removed from  $M$  in a **while** iteration) is  $e = vv'$ , then  $d_M(v') = 1$  and in the next iteration we will have  $d_M(v') = 0$ , hence at each **while** iteration an exposed vertex w.r.t. the final matching  $M$  is created (if there would be another edge  $e'$  in the current set  $M$  incident with  $v'$ , then, since  $e \in F^*$ , it follows that  $F^* \setminus \{e\}$  would be an edge-cover, contradicting the choice of  $F^*$ ).

Hence, if  $M$  is the matching constructed by the algorithm, then  $|F^*| - |M| = |E(M)| = n - 2 \cdot |M|$ , i. e.,

$$(3) \quad |F^*| = n - |M| \geq n - |M^*|.$$

From (2) and (3) the theorem follows.  $\square$



# The Maximum Matching Problem

Let  $G = (V, E)$  be a graph and  $\mathcal{M}_G$  the family of its matchings.

Maximum matching problem.

$P_1$  given a graph  $G = (V, E)$ , find  $M^* \in \mathcal{M}_G$  such that

$$|M^*| = \max_{M \in \mathcal{M}_G} |M|.$$

- Based on Integer linear programming formulation one can find that the problem  $P_1$  is easy if the graph is bipartite.
- Combinatorial adaptation of the simplex algorithm for solving linear programming problems led to the so called hungarian method for solving  $P_1$  for bipartite graphs.
- We will discuss a faster solution: Hopcroft and Karp (1973).
- However, the theorem of duality in linear programming and the integrity of the optimal solution can be used obtain and explain the (already proven) results on matchings in bipartite graphs:

# The Maximum Matching Problem

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## Theorem 3

(Hall, 1935) Let  $G = (S, T; E)$  be a bipartite graph. There exists a matching in  $G$  which saturates all the vertices in  $S$  if and only if

$$|N_G(A)| \geq |A|, \forall A \subseteq S.$$

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## Theorem 4

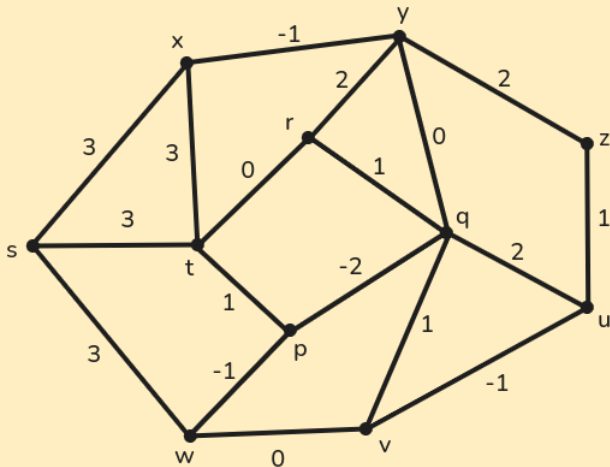
(Konig, 1930) Let  $G = (S, T; E)$  be a bipartite graph. The maximum cardinality of a matching in  $G$  is equal with the minimum cardinality of a vertex cover  $\nu(G) = n - \alpha(G)$ .

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## Exercises for the 7th seminar

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**Exercise 1'.** Find a minimum cost spanning tree in the following graph.



**Exercise 1.** Let  $G = (V, E)$  be a connected graph and  $c : E \rightarrow \mathbb{R}$  a weight function on its edges. A subset  $A \subseteq E$  is called *cut* if exists a bipartition  $(S, T)$  of  $V$  such that  $A = \{uv \in E : u \in S, v \in T\}$  ( $G \setminus A$  is no more connected).

- (a) If in every *cut* it exists only one edge of minimum cost, then  $G$  contains only one minimum cost spanning tree.
- (b) Show that, if  $c$  is an injective function, then  $G$  contains only one minimum cost spanning tree.
- (c) Are true the reciprocal assertions?

**Exercise 2.** Let  $G = (V, E)$  be a connected graph of order  $n$ ,  $c : E \rightarrow \mathbb{R}$ , and  $\mathcal{T}_G^{min}$  the family of its ( $c$  related) minimum cost spanning trees. Define  $H = (\mathcal{T}_G^{min}, E(H))$  where  $T_1 T_2 \in E(H) \iff |E(T_1) \Delta E(T_2)| = 2$ . Prove that  $H$  is connected and its diameter is at most  $n - 1$ .

**Exercise 3.** Let  $G = (V, E)$  be a connected graph and  $c : E \rightarrow \mathbb{R}$ . For any spanning tree  $T = (V, E') \in \mathcal{T}_G$ , and  $v \neq w \in V$  we denote by  $P_{vw}^T$  the unique  $vw$ -path in  $T$ . Show that a spanning tree  $T^* = (V, E^*)$  has a minimum cost if and only if

$$\forall e = vw \in E \setminus E^*, \forall e' \in E(P_{vw}^{T^*}), \text{ we have } c(e) \geq c(e').$$

**Exercise 4.** Let  $G = (V, E)$  be a 2-edge-connected graph and  $c : E \rightarrow \mathbb{R}$ . If  $T = (V, E')$  is minimum cost spanning tree of  $G$  and  $e \in E'$ ,  $T - e$  has exactly two connected components  $T'_1$  and  $T'_2$  respectively. We denote by  $e_T \neq e$  a minimum weight edge in the cut generated by  $(V(T'_1), V(T'_2))$  in  $G - e$ . Show that, if  $T^*$  is a minimum cost spanning tree of  $G$ , and  $e \in E(T^*)$ , then  $T^* - e + e_T$  is a minimum cost spanning tree of  $G - e$ .



**Exercise 5.** Let  $G = (V, E)$  be a connected graph and  $c : E \rightarrow \mathbb{R}$  an injective weight on its edges. Let us consider the following algorithm

for  $(e \in E)$  do

$\gamma(e) \leftarrow r$ ; // all the edges are colored red; during the execution they will be red, blue or green

while  $((\exists A \subseteq E, \text{ a cut, s. t. } \gamma(e') \neq g, \text{ where } c(e') = \min_{e \in A} c(e)) \text{ or}$

$(\exists C, \text{ a cycle, s. t. } \gamma(e') \neq b, \text{ where } c(e') = \max_{e \in C} c(e)))$  do

for a cut,  $A, \gamma(e') \leftarrow g$ ;

for a cycle,  $C, \gamma(e') \leftarrow b$ ;

return  $H = (V, \{e \in E : \gamma(e) = g\})$ ;

Prove that

- (a) an edge belongs to a minimum cost spanning tree if and only if it is of minimum cost in a certain cut;
- (b) an edge doesn't belong to any minimum cost spanning tree of  $G$  if and only if it is of maximum cost on a certain cycle;

## Exercise 5 (cont'd).

- (c) the algorithm doesn't end as long as there are remaining red edges;
- (d) the algorithm ends for any choice of the edges  $e'$  and  $H$  is the only minimum cost spanning tree in  $G$ .

**Exercise 6.** Let  $H$  be a connected graph,  $\emptyset \neq A \subseteq V(H)$ , and  $w : E(H) \rightarrow \mathbb{R}_+$ . A Steiner tree for  $(H, A, w)$  is a tree  $T(H, A, w) = (V_T, E_T) \subseteq H$  with  $A \subseteq V_T$  which has the minimum weight between all the trees containing  $A$ , and which are subgraphs of  $H$ :

$$s[T(H, A, w)] = \sum_{e \in E_T} w(e) =$$

$$= \min \left\{ \sum_{e \in E_{T'}} w(e) : T' = (V_{T'}, E_{T'}) \text{ tree in } H, A \subseteq V_{T'} \right\}$$

- (a) Prove that a Steiner tree can be determined in polynomial time complexity if  $A = V(H)$  or  $|A| = 2$ .

## Exercise 6 (cont'd).

- (b) Let  $G = (V, E)$  be a connected graph with  $V = \{1, 2, \dots, n\}$ , and  $A \subseteq V$ ; we also have a cost function  $c : E \rightarrow \mathbb{R}_+$ . Consider the complete graph  $K_n$  (with  $V(K_n) = V$ ) and define  $\bar{c} : E(K_n) \rightarrow \mathbb{R}_+$ :

$$\bar{c}(ij) = \min \left\{ c(P) = \sum_{e \in E(P)} c(e) : P \text{ is } ij\text{-path in } G \right\}$$

Prove that  $s[T(G, A, c)] = s[T(K_n, A, \bar{c})]$  and show how to build a Steiner tree  $T(K_n, A, \bar{c})$  starting from a Steiner tree  $T(G, A, c)$ .

- (c) Show that it exists a Steiner tree  $T(K_n, A, \bar{c})$  such that all its vertices from outside  $A$  has degree at least 3. Using this property prove that there exists a Steiner tree  $T(K_n, A, \bar{c})$  having at most  $2|A| - 2$  vertices.

**Exercise 7.** Consider an ordering  $E = \{e_1, e_2, \dots, e_m\}$  of the edges of a connected graph  $G = (V, E)$  of order  $n$ . For every subset  $A \subseteq E$  we define  $x^A \in GF^m$  its  $m$ -dimensional characteristic vector:  $x_i^A = 1 \Leftrightarrow e_i \in A$ .  $GF^m$  is the  $m$ -dimensional vector space over  $\mathbb{Z}_2$ .

- (a) Show that the subset of the characteristic vectors corresponding to all the cuts in  $G$  completed with zero vector is a subspace  $X$  of  $GF^m$ .
- (b) Show that the subset of the characteristic vectors corresponding to all the cycles in  $G$  spans a subspace  $U$  of  $GF^m$  which is orthogonal on  $X$ .
- (c) Prove that  $\dim(X) \geq n - 1$ .
- (d) Show that  $\dim(U) \geq m - n + 1$ .
- (e) Finally, prove that the above inequalities are in fact equalities.

**Exercise 8.** Let  $G = (V, E)$  be a connected graph and  $c : E \rightarrow \mathbb{R}$  a cost function on its edges.

a) Let  $T^*$  be a minimum  $c$ -cost spanning tree of  $G$  and  $\epsilon > 0$ . Prove that  $T^*$  is the only minimum  $\bar{c}$ -cost spanning tree of  $G$ , where

$$\bar{c}(e) = \begin{cases} c(e) - \epsilon, & \text{if } e \in E(T^*) \\ c(e), & \text{otherwise} \end{cases}$$

b) Deduce from here that for every minimum spanning tree,  $T^*$ , of  $G$  there exists an ordering of the edges in  $G$  such that Kruskal's algorithm returns  $T^*$ .

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**Exercise 9.** Let  $G$  be a graph,  $s, t \in V$ , and  $c : E(G) \rightarrow \mathbb{R}_+$  a cost on its edges. For every path (with non-zero length) we define its *bottleneck* as the minimum cost of its edges. Design an efficient algorithm which finds a  $st$ -path with the maximum *bottleneck* (among all  $st$ -paths in  $G$ ).

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**Exercise 10.** Let  $G = (V, E)$  be a connected graph and  $c : E \rightarrow \mathbb{R}$  an injective cost function on its edges. Let  $T^*$  be the minimum cost spanning tree of  $G$  and  $T_0$  be a second-best minimum cost spanning tree in  $G$ .

- (a) Is  $T_0$  the only second-best minimum cost spanning tree in  $G$ ?
- (b) Prove that  $|E(T^*) \Delta E(T_0)| = 2$ .
- (c) Devise an algorithm to find the second-best minimum cost spanning tree in  $G$ .

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## Exercises for the 7th seminar

**Exercise 11.** Let  $G = (V, E)$  be a connected graph and  $c : E \rightarrow \mathbb{R}$  be a cost function on its edges. True or false? (Justify your answers!)

- (a) Any edge of minimum cost in  $G$  is contained in a certain minimum cost spanning tree (MST) of  $G$ .
- (b) If  $G$  has a cycle,  $C$ , whose minimum cost edge is unique on  $C$ , then that edge must be contained in every MST of  $G$ .
- (c) If an edge is contained in a certain MST of  $G$ , then that edge must be of minimum cost in a certain cut of  $G$ .

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**Exercise 12.** Find the number of maximum matchings in the following graph:



## Exercises for the 7th seminar

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**Exercise 13.** Two kids play the following game on a given graph  $G$ : they alternatively pick a new vertex  $v_0, v_1, \dots$  such that, for every  $i > 0$ ,  $v_i$  is adjacent with  $v_{i-1}$ . The player which can not choose another vertex will loose the game. Prove that the player which starts the game has always a winning strategy if and only if  $G$  has not a perfect matching.

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**Exercise 14.** Let  $S$  be a non-empty, finite set,  $k \in \mathbb{N}^*$ , and  $\mathcal{A} = (A_i)_{1 \leq i \leq k}$  and  $\mathcal{B} = (B_i)_{1 \leq i \leq k}$  two partitions of  $S$ . Prove that  $\mathcal{A}$  and  $\mathcal{B}$  admits a common set of representatives, i. e., there exist  $r_{\mathcal{A}}, r_{\mathcal{B}} : \{1, 2, \dots, k\} \rightarrow S$  such that for every  $1 \leq i \leq k$ ,  $r_{\mathcal{A}}(i) \in A_i$  and  $r_{\mathcal{B}}(i) \in B_i$ , and the two functions have the same image.

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## Exercises for the 7th seminar

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**Exercise 15.** Let  $X$  be a finite set,  $X_1, \dots, X_n \subseteq X$ , and  $d_1, d_2, \dots, d_n \in \mathbb{N}$ . Prove that there are  $n$  disjoint subsets  $Y_i \subseteq X_i$ ,  $|Y_i| = d_i$ ,  $\forall i = \overline{1, n}$  if and only if

$$\left| \bigcup_{i \in I} X_i \right| \geq \sum_{i \in I} d_i,$$

for all  $I \subseteq \{1, \dots, n\}$ .

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**Exercise 16.** Every  $p$ -regular bipartite graph has a perfect matching ( $p \geq 1$ ).

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**Exercise 17.** Let  $G = (S, T; E)$  a bipartite graph. Use Hall's theorem to prove that, for every  $0 \leq k \leq |S|$ ,  $G$  has a matching of cardinality at least  $|S| - k$  if and only if  $|N_G(A)| \geq |A| - k$ ,  $\forall A \subseteq S$ .