String Algorithms and Data Structures Approximate Pattern Matching

CS 199-225 Brad Solomon November 7, 2022



Department of Computer Science

Learning Objectives



Review exact pattern matching and introduce approximate matching

Formally define a mismatch vs an edit

Discuss strategies for efficient approximate pattern matching...

... With mismatches

... With edits

Suffix-Based Index Review

	Suffix tree	Suffix array	FM Index
Time: Does P occur?	<i>O</i> (<i>n</i>)	O(n log m)	O(n)
Time: Count <i>k</i> occurrences of P	O(n+k)	O(n log m)	O(n)
Time: Report <i>k</i> locations of P	O(n+k)	$O(n \log m + k)$	O(n+k)
Space	O(m)	O(m)	O(m)
Needs T?	yes	yes	no
Bytes per input character	>15	~4	~0.5

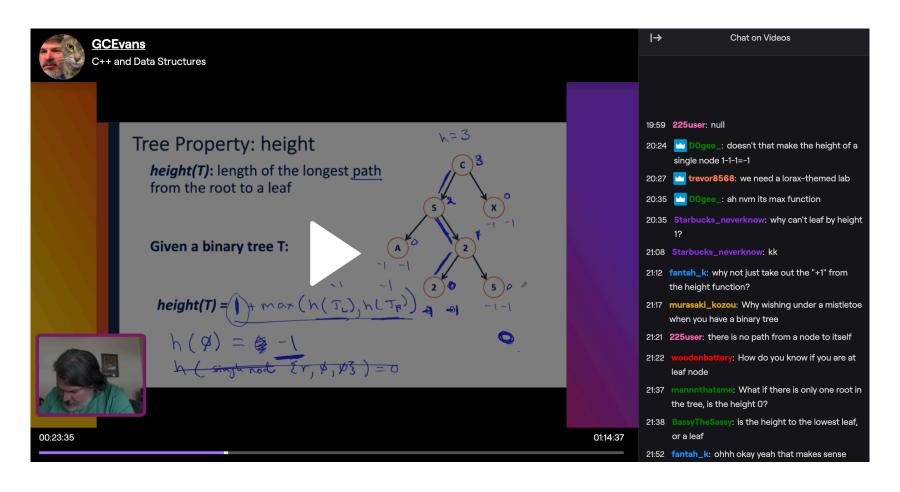
m = |T|, n = |P|, k = # occurrences of P in T

Limitations of exact pattern matching

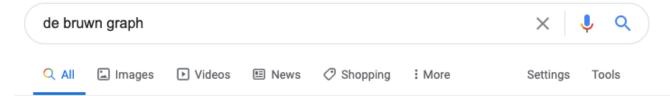
```
Aa _ab, _* 2 of 2
                                              strong
* Returns the number of alignments skipped by Boyer-Moore
* In this instance, Boyer-Moore is *only* the strong bad character rule [and right-to-left scanning]
* Also modifies the outlist vector to contain the index positions of all exact matches of P in T.
* If no match is found, modifies the vector to contain one value '[-1]'
* @param P A std::string object which holds the Pattern string.
* @param T A std::string object which holds the Text string.
* @param alpha A std::string object which holds the Alphabet string.
* @param outList An std::vector<int> array (by reference) that can be modified to contain all match
* @return An int counting the number of skipped alignments using bad character.
int bmoore_search(std::string P, std::string T, std::string alpha, std::vector<int> & outList){
```

But what if I was actually trying to look up 'string'?

Limitations of exact pattern matching



If I ban "bad word", what happens to "b@d w0rd"?



About 429,000 results (0.59 seconds)

Showing results for de *bruijn* graph Search instead for de bruwn graph

Scholarly articles for de bruijn graph

IDBA-a practical iterative de Bruijn graph de novo ... - Peng - Cited by 269

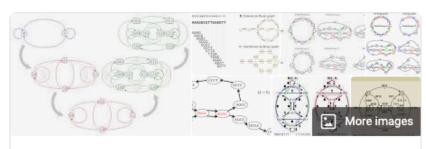
... metagenomics assembly via succinct de Bruijn graph - Li - Cited by 1244

Space-efficient and exact de Bruijn graph ... - Chikhi - Cited by 245

en.wikipedia.org > wiki > De_Bruijn_graph *

In graph theory, an n-dimensional De Bruijn graph of m symbols is a directed graph representing overlaps between sequences of symbols. It has mⁿ vertices, consisting of all possible length-n sequences of the given symbols; the same symbol may appear multiple times in a sequence.

Properties · Dynamical systems



De Bruijn graph



In graph theory, an n-dimensional De Bruijn graph of m symbols is a directed graph representing overlaps between sequences of symbols. It has mⁿ vertices, consisting of all possible length-n sequences of the given symbols; the same symbol may appear multiple times in a sequence. Wikipedia

```
Score = 248 bits (129), Expect = 1e-63
Identities = 213/263 (80%), Gaps = 34/263 (12%)
Strand = Plus / Plus
Query: 161 atatcaccacgtcaaaggtgactccaactcca---ccactccattttgttcagataatgc 217
        Sbjct: 481 atatcaccacgtcaaaggtgactccaact-tattgatagtgttttatgttcagataatgc 539
Query: 218 ccgatgatcatgtcatgcagctccaccgattgtgagaacgacagcgacttccgtcccagc 277
        Sbjct: 540 ccgatgactttgtcatgcagctccaccgattttg-g-----ttccgtcccagc 586
Query: 278 c-gtgcc--aggtgctgcctcagattcaggttatgccgctcaattcgctgcgtatatcgc 334
        Sbjct: 587 caatgacgta-gtgctgcctcagattcaggttatgccgctcaattcgctgggtatatcgc 645
Query: 335 ttgctgattacgtgcagctttcccttcaggcggga-----ccagccatccgtc 382
        Query: 383 ctccatatc-accacgtcaaagg 404
Sbjct: 706 atccatatcaaccacgtcaaagg 728
```

Input: A text *T*, a pattern *P*, and a distance *d*

Output: All positions in T where P has at most d mismatches or edits

P: word

T: There would have been a time for such a word Alignment 1: word Alignment 2: word

Not a match!

Distance 2 match!

Match!

Distance 0 match!

What is the distance between these two strings?

X: 10011

Y: 00110

X: 10011 | | Y: 00110

X: 10011 -

Hamming distance is 3!

Edit distance is 2!

A **substitution** replaces one character with another

Described as the character swap needed to *convert T* to *P*

```
T: GGAAAAAGAGGTAGCGGCGTTTAACAGTAG

| | | | | | | | |

P: GTAACGGCG

Mismatch

(Substitution)
```

Hamming Distance

The minimum number of *substitutions* to turn one string into another.

 $Hamming\ distance = 3$

Hamming distance = 6

Hamming Distance

The minimum number of *substitutions* to turn one string into another.

X: GGCCGGC

Y: CCGGGGG

Hamming distance = *5*

X: TATATA

Y: ATATAT

Hamming distance = 6

An **insertion** adds a character, shifting all other characters back Insertion is relative! What edits *convert T* to *P*

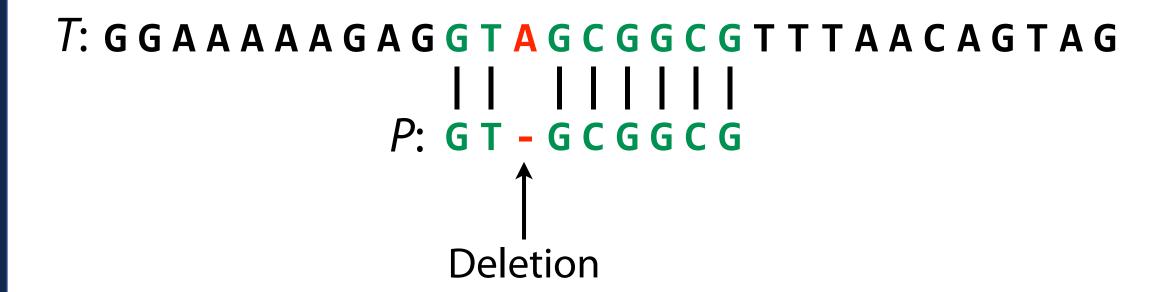
7: GGAAAAAGGGTAGC - GCGTTTAACAGTAG

| | | | | | | |

P: GTAGCGGCG

Insertion

An **deletion** removes a character, shifting all other characters forward Deletion is relative! What edits *convert T* to *P*



The minimum number of substitutions, insertions, or deletions (**edits!**) needed to turn one string into another (from X to Y)!

Edit distance = 3

```
X: G C G C T
| | | |
Y: --G C T
```

Edit distance = 2

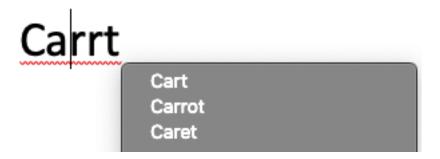
The minimum number of substitutions, insertions, or deletions (**edits!**) needed to turn one string into another (from X to Y)!

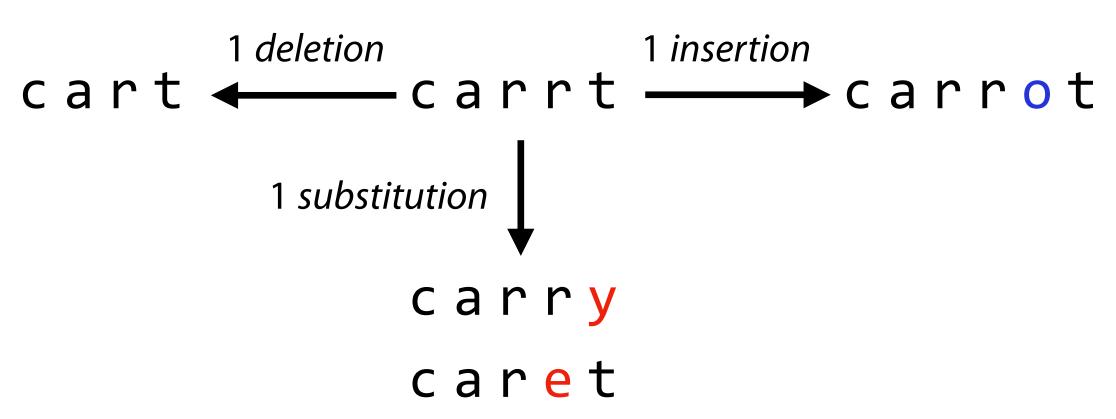
```
X: GGCCGGC
| |
Y: CCGGGGG
```

Edit distance = 5

```
X: TATATA-
| | | | | |
Y: -ATATAT
```

Edit distance = 2





```
Score = 248 bits (129), Expect = 1e-63
Identities = 213/263 (80%), Gaps = 34/263 (12%)
Strand = Plus / Plus
                                    Substitution
Query: 161 atatcaccacgtcaaaggtgactccaactcca---ccactccattttgttcagataatgc 217
         11111111111111111111111111111111
Sbjct: 481 atatcaccacgtcaaaggtgactccaact-tattgatagtgttttatgttcagataatgc 539
Query: 218 ccgatgatcatgtcatgcagctccaccgattgtgagaacgacagcgacttccgtcccagc 277
                Sbjct: 540 ccgatgactttgtcatgcagctccaccgattttg-g--
                                            ----ttccgtcccagc 586
                                      Deletion
Query: 278 c-gtgcc--aggtgctgcctcagattcaggttatgccgctcaattcgctgcgtatatcgc 334
          Sbjct: 587 caatgacgta-gtgctgcctcagattcaggttatgccgctcaattcgctgggtatatcgc 645
Query: 335 ttgctgattacgtgcagctttcccttcaggcggga-----ccagccatccgtc 382
         Insertion
Query: 383 ctccatatc-accacgtcaaagg 404
Sbjct: 706 atccatatcaaccacgtcaaagg 728
```



Input: A text *T*, a pattern *P*, and a distance *d*

Output: All positions in T where P has at most d mismatches or edits

Hamming Distance: Min number substitutions (mismatches)

Edit Distance: Min number edits (substitution, insertions, deletions)

$$\Sigma = 0$$
, 1 $P = 000$

Hamming Distance 1 strings:

Edit Distance 1 strings:

$$\Sigma = 0$$
, 1 $P = 000$

Hamming Distance 1 strings: 100 010 001

Edit Distance 1 strings: 100 1000 00

010 0100

001

0001

$$P = abb$$
 $d = 1$

Using *Hamming* distance, what are valid approximate matches for P?

Using edit distance, what are valid approximate matches for P?

A) aba

B) aabb

C) bbb

D) ab

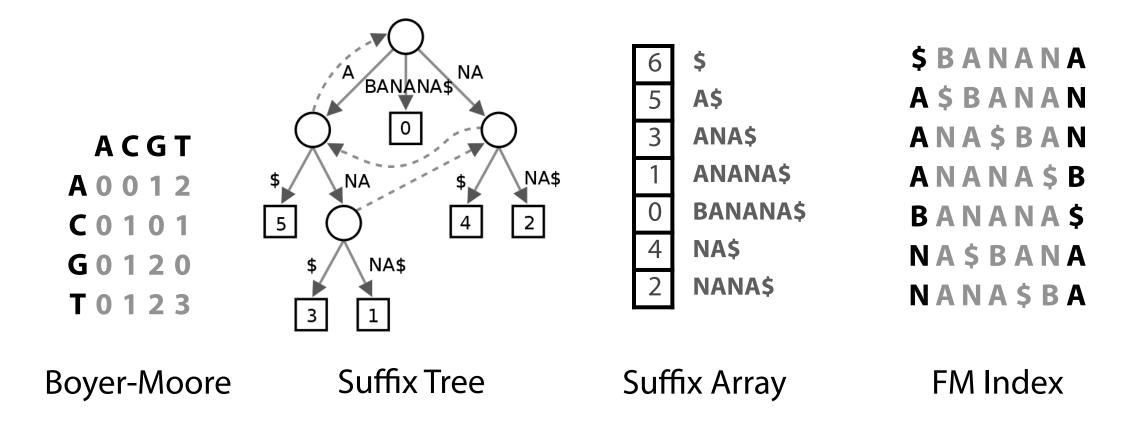
How do we find all approximate matches for a pattern in a text?

How do we find all approximate matches for a pattern in a text?

- P: word

How do we find all approximate matches for a pattern in a text?

Can we use our efficient exact pattern matching algorithms?



Can we use our efficient exact pattern matching algorithms?

For Hamming distance (mismatches), we can!

_____*P* _____

Can we use our efficient exact pattern matching algorithms?

For Hamming distance (mismatches), we can!

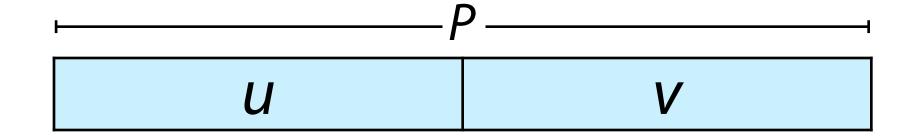
.....*P*

Can we use our efficient exact pattern matching algorithms?

For Hamming distance (mismatches), we can!

Can we use our efficient exact pattern matching algorithms?

For Hamming distance (mismatches), we can!



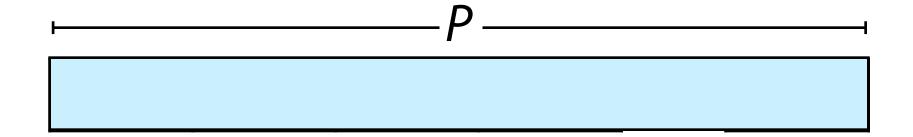
If P occurs in T with 1 mismatch, then u or v has no mismatch

We can search for *u* and *v* in T as a proxy for *P*!

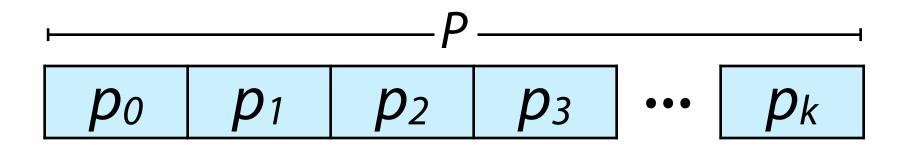
Can we use our efficient exact pattern matching algorithms?

- 1) Search for the two half-patterns of *P*
- 2) Compute the number of mismatches for each half-match!
- 3) Return all matches (but don't duplicate!)

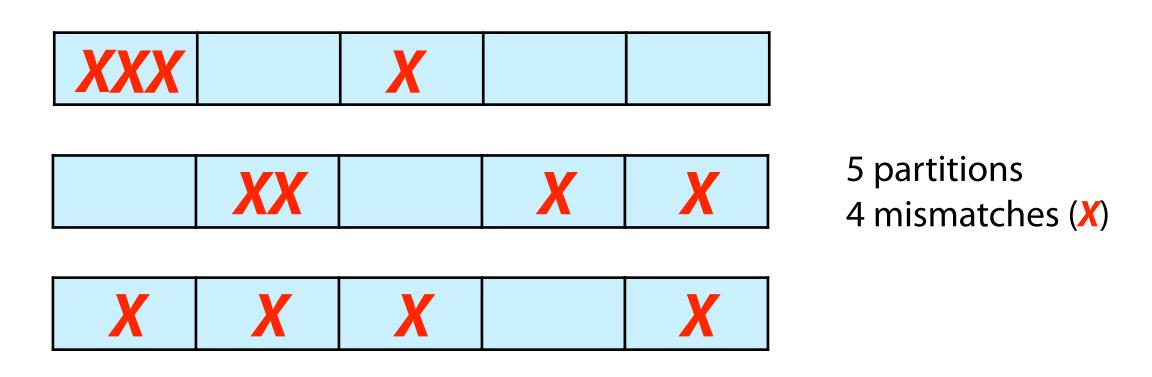
If *P* occurs in *T* with up to *k* mismatches...



If *P* occurs in *T* with up to *k* mismatches, then if we split P into k+1 partitions, at least one of $p_0, p_1, ..., p_k$ must appear with 0 mismatches.



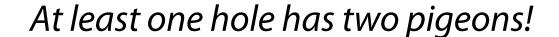
If *P* occurs in *T* with up to *k* mismatches, then if we split P into k+1 partitions, at least one of $p_0, p_1, ..., p_k$ must appear with 0 mismatches.



1) Pigeonhole principle: we will have at least one exact match!



k+1 pigeons, *k* holes?

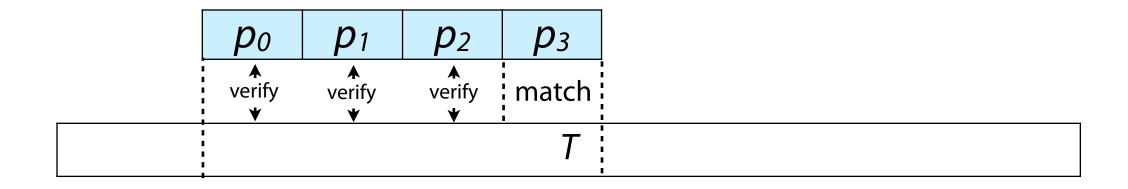




k pigeons, *k*+1 holes?

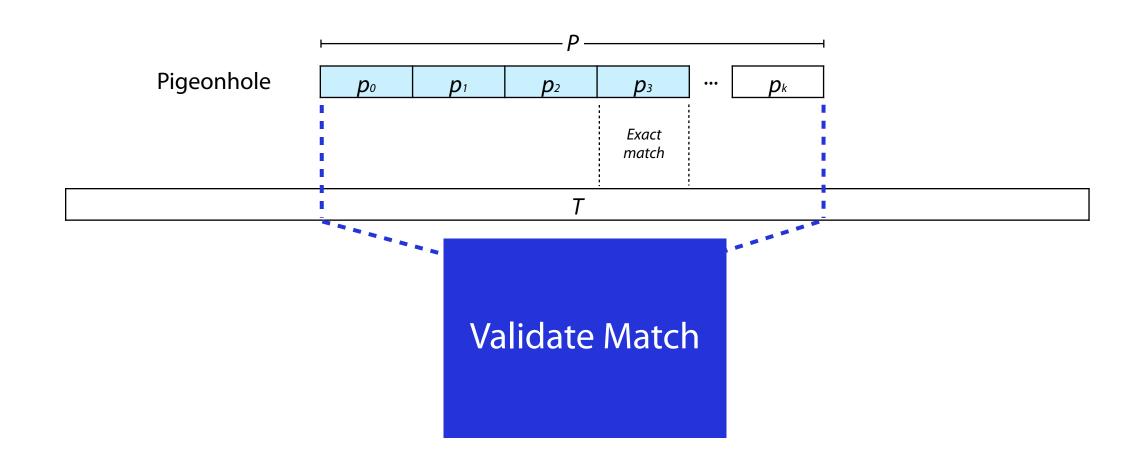
At least one hole is empty!

2) Verifying a match is O(P)



Seed and Extend

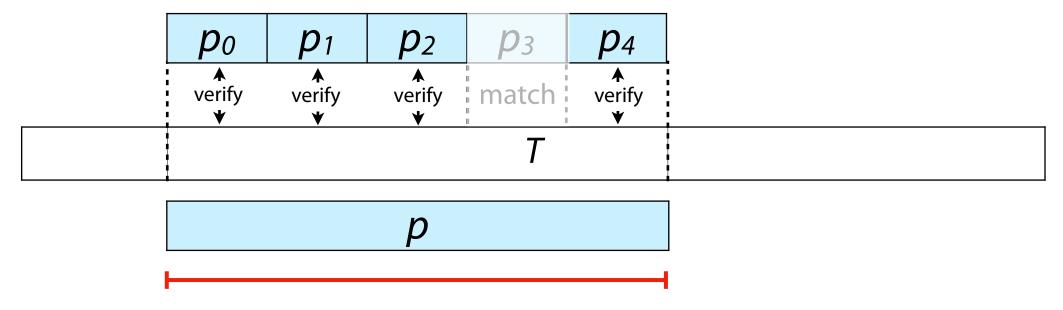
Using an exact-match 'seed' and 'extending' the match is efficient!



Seed and Extend

Exact match of **seeds** reduce the search space

```
P: word
T: There would have been a time for such a word word
```



Only consider mismatches while verifying a seed hit

Seed and Extend

Exact match of **seeds** reduce the search space

P: word
T: There would have been a time for such a word word

Consider the likelihood of seeing 'wo' or 'rd' by chance (assuming random string):

256 characters:
$$\frac{1}{256}^2 = 0.000015$$

Approximate Pattern Matching in Genomics

Partition Seed: Length ~40

CTCAAACTCCTGACCTTTGGTGATCCACCCGCCTAGGCCTTC

T: Length 3 billion

GATCACAGGTCTATCACCCTATTAACCACTCACGGGAGCTCTCCATGCATTTGGTATTTT CGTCTGGGGGGTATGCACGCGATAGCATTGCGAGACGCTGGAGCCCGGAGCACCCTATGTC ACAGGCGAACATACTTACTAAAGTGTGTTAAT ACAATTGAATGTCTGCACAGCCACTTTCC/ AAATTTCCACCA AACCCCCCTCCCCGCTTCTGGCCAC/ GGTATGCAC CTACTAAT TTTTAACAGTCACCCCCCAACTAAC/ CTCATCAATACAACCCCCGCCCAT(TACCCAGCACACA CCCCATA CCCCGAACCAACCAAACCCCAAAG CCTCAAA GCAATACACTGACCCGCTCAAACT GCCTAAA AGTGAGT TCACCCTCTAAATCACCACGATCAA AGGAACAAGCATCAAGCACGCAGC GCAGCTC **ACGAAAGTTTAACTAAGCTATACTAA** CCAGGGTTGGTCAATTTCGT AGCCACCGC GGTCACACGATTAACCCAAGTCAATAGA. CCCGGCGTAAAGAGTGTT ATCACCCCC TCCCCAATAAAGCTAAAACTCACCTGAGT TACGAAAGTGGCTTTAACATATCTGAACACACA TACCCCACTATGCTTAGCCCTAAACCTCAACAGTTAAATCAACAAAAC CACTACGAGCCACAGCTTAAAACTCAAAGGACCTGGCGGTGCTTCATATC AGCCTGTTCTGTAATCGATAAACCCCGATCAACCTCACCACCTCTTGCTCAG CCGCCATCTTCAGCAAACCCTGATGAAGGCTACAAAGTAAGCGCAAGTACCCA ACGTTAGGTCAAGGTGTAGCCCATGAGGTGGCAAGAAATGGGCTACATTTTCTAC **AAAACTACGATAGCCCTTATGAAACTTAAGGGTCGAAGGTGGATTTAGCAGTAAACT** AGTAGAGTGCTTAGTTGAACAGGGCCCTGAAGCGCGTACACACCGCCCGTCACCCTCC1 **AAGTATACTTCAAAGGACATTTAACTAAAACCCCTACGCATTTATATAGAG** CGTAACCTCAAACTCCTGCCTTTGGTGATCCACCCGCCTTGGCCTACCTGCATAATGAAG GCCCCAAACCCACTCCACCTTACTACCAGACAACCTTAGCCAAACCATTTACCCAAATAA **AGTATAGGCGATAGAAATTGAAACCTGGCGCAATAGATATAGTACCGCAAGGGAAAGATG AAAAATTATAACCAAGCATAATATAGCAAGGACTAACCCCTATACCTTCTGCATAATGAA** TTAACTAGAAATAACTTTGCAAGGAGAGCCAAAGCTAAGACCCCCGAAACCAGACGAGCT ACCTAAGAACAGCTAAAAGAGCACACCCGTCTATGTAGCAAAATAGTGGGAAGATTTATA GGTAGAGGCGACAAACCTACCGAGCCTGGTGATAGCTGGTTGTCCAAGATAGAATCTTAG TTCAACTTTAAATTTGCCCACAGAACCCTCTAAATCCCCTTGTAAATTTAACTGTTAGTC

Likelihood of random seed string:

$$\frac{1}{4}^{40} = 8.27e - 25$$

of times seed will occur by chance in T:

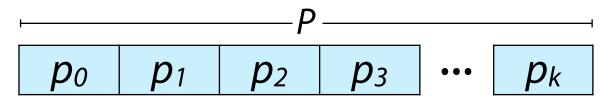
Likelihood * (~ length)

$$2.48e - 15$$

Approximate Pattern Matching



"Seed and extend" approach to pattern matching



Advantages

Reuse favorite exact matching algs; fast and easy

Flexible; works for Hamming and edit distance*

Disadvantages

Large *k* yields small partitions matching many times by chance; lots of verification work

k+1 exact matching problems, one per partition

^{*} we don't know how to do edit distance verification yet

Approximate Pattern Matching

If *P* occurs in *T* with up to *k* mismatches, then if we split P into k+1 partitions, at least one of $p_0, p_1, ..., p_k$ must appear with 0 mismatches.

$$P = AAAAAAAA$$
 $d = 3$

How many partitions?

What is the characters in each partition(s)?

```
T = \dots BBBBBBAABBBBB\dots
```

Approximate Pattern Matching

If *P* occurs in *T* with up to *k* mismatches, then if we split P into k+1 partitions, at least one of $p_0, p_1, ..., p_k$ must appear with 0 mismatches.

$$P = B A A A A A A A A$$
 $d = 1$

 $T = \dots BBBBBBAAAAABAA\dots$

Assignment 10: a_pigeon

Learning Objective:

Preprocess text into kmers and a hash table

Use pigeonhole principle to perform approximate matching

Consider: Do the partitions need to be contiguous runs of characters? Do they need to all be the same length?

vector<int> approximate_search(fname, P, mm)
Input:

string fname: The file storing the text T

string P:The pattern text

int mm: The number of allowed mismatches

Output:

vector<int>: The index positions in T of all approximate matches

p_0	<i>p</i> ₁	<i>p</i> ₂	p ₃	<i>p</i> ₄
∧ verify ∀	∱ verify ♥	↑ verify ∀	match	↑ verify ▼
			T	

vector<Seed> partitionPattern(string P, int np) Input:

string P: The input pattern — can be large this week!

int np: The number of non-overlapping partitions to split P

Output:

vector<Seed>: Vector of partitioned strings and their index

typedef std::pair<std::string, int> Seed;

P: ABCDEFGH

$$np = 2$$

np = 2 { {ABCD, 0}, {EFGH, 4} }

kmerMap text_to_kmer_map(string & T, int k)
Input:

string & T:The input text — can be very large this week!
int k:The fixed size for each kmer (substring)

Output:

kmerMap:unordered map<string, vector<int>>

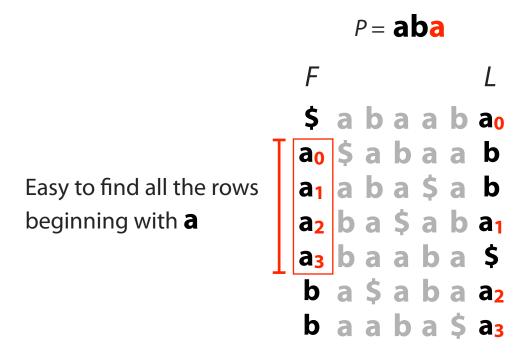
k = 4

Bonus Slides

FM Index w/ mismatches

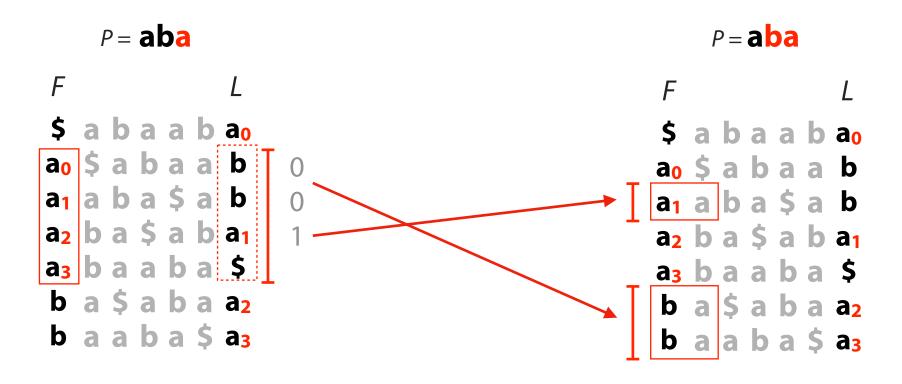
Start with shortest suffix, then match successively longer suffixes

Keep track of mismatches for each suffix



FM Index w/ mismatches

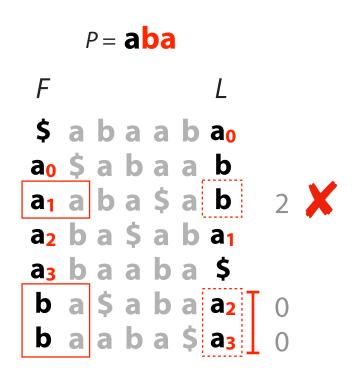
We have rows beginning with **a**, now we want rows beginning with **ba**



No longer have just one search range!

FM Index w/ mismatches

We have rows beginning with **ba**, now we seek rows beginning with **aba**



```
      P = aba

      F
      L

      $ a b a a b a_0

      a_0 $ a b a a b

      a_1 a b a $ a b

      a_2 b a $ a b a_1

      a_3 b a a b a $ a b a $ a b a $ a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a $ a a b a a $ a a b a a a b a a $ a a b a a $ a a b a a a b a a $ a a b a a a b a a $ a a b a a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a $ a a b a a a b a a $ a a b a a a b a a $ a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a b a a a a b a a a a b a a a a b a a a a b a a a a b a a a a b a a a a b a a a a b a a a b a a a a b a a a a b a a a a b a a a a b a a a a b a a a a
```

Only works for Hamming Distance (mismatches)!