# A Slide-Save Based Framework for Multi-Source DOA Extraction With Closely Spaced Sources

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## Outline

Background

2 The proposed framework

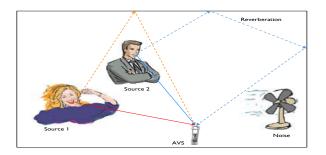
Performance

## Direction of arrival (DOA) estimation

**Task**: estimate DOAs of multiple speech sources in an enclosed environment.

**Challenges**: reverberation, noise and interference between adjacent active speakers.

**Sensor**: a single acoustic vector sensor (AVS).



## Acoustic Vector Sensor (AVS)

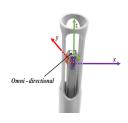
- Measuring both the sound pressure and particle velocity.
- Having frequency independent manifold vector.

#### Manifold vector:

$$\mathbf{a} = \begin{bmatrix} 1 \\ \cos \psi \cos \phi \\ \cos \psi \sin \phi \\ \sin \psi \end{bmatrix} \qquad \mathbf{x}[n] = \begin{bmatrix} x_p[n] \\ x_{v_x}[n] \\ x_{v_y}[n] \\ x_{v_z}[n] \end{bmatrix}$$

#### Measurements:

$$\mathbf{x}[n] = egin{bmatrix} x_p[n] \ x_{v_x}[n] \ x_{v_y}[n] \ x_{v_z}[n] \end{bmatrix}$$

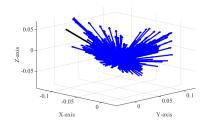


The structure of AVS

#### Intensity vector

The instantaneous active intensity vector in the time-frequency (TF) domain is defined as

$$\mathbf{I}(k,l) = \mathcal{R} \left\{ x_p^{\star}(k,l) \begin{bmatrix} x_{v_x}(k,l) \\ x_{v_y}(k,l) \\ x_{v_z}(k,l) \end{bmatrix} \right\}$$

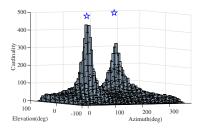


The distribution of intensity vectors

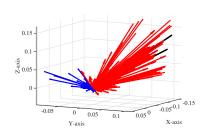
The direction of I(k, l) denotes an estimated DOA at the TF bin (k, l).

#### Multi-source DOAs extraction

- Intensity-based approaches can estimate a rough DOA at each TF bin.
- Multi-source DOAs can be extracted by histogram or clustering.



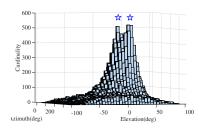
Histogram



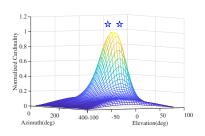
Clustering

## Histogram-based DOA extraction

- Azimuth and elevation corresponding to the peaks are extracted as DOAs.
- Spatial smoothing is applied to emphasize the peaks.



Histogram

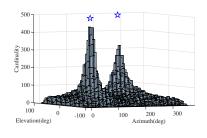


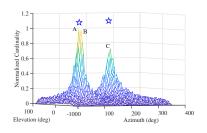
Smoothing

A strong smoothing may merge close peaks in adjacent sources scenarios.

## Histogram-based DOA extraction

- Azimuth and elevation corresponding to the peaks are extracted as DOAs.
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Histogram

Smoothing

A weak smoothing may result in irregular peaks corresponding to one active source being identified as multiple sources.

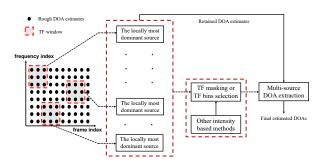
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## Overview of the proposed framework



- Saving the DOA estimates corresponding to the locally most dominant source within a sliding TF window.
- Extracting final DOAs from the set of retained DOA estimates.
- Other intensity-based algorithms can also be incorporated into the proposed framework.

## Overview of the proposed framework

Let d(t,f) be the DOA estimate at TF bin (t,f) and  $d_{ci}$  be the core direction corresponding to the most dominant source within TF window  $\mathcal{W}_i$ . The set of DOA estimates close to  $d_{ci}$  is defined as

$$\mathcal{D}(d_{ci}, \mathcal{W}_i) = \{ d(t, f) | \measuredangle \{ d(t, f), d_{ci} \} \le \theta, (t, f) \in \mathcal{W}_i \}, \tag{1}$$

After determining the core direction  $d_{ci}$ , the core set of critical DOA estimates corresponding to all the locally most dominant sources can be obtained by

$$\Lambda = \mathcal{D}(d_{c1}, \mathcal{W}_1) \cup \mathcal{D}(d_{c2}, \mathcal{W}_2) \cup ... \cup \mathcal{D}(d_{cM}, \mathcal{W}_M). \tag{2}$$

Here M is the total number of sliding windows.

How to determine the core direction  $d_{ci}$ ?

## Implementing the proposed framework based on histogram

#### Determining the core direction :

For histogram-based scheme, the core direction  $d_{ci}$  is determined by

$$d_{ci} = \underset{(\psi,\phi)}{\arg \max} \mathbf{C}_{si}(\psi,\phi), \tag{3}$$

where the smoothed histogram  $C_{si}(\psi, \phi)$  is constructed by the rough DOAs estimated from TF bins within TF window  $W_i$ .

#### Obtaining the core set :

The core set of critical DOA estimates  $\Lambda$  can be obtained by substituting the core direction  $d_{ci}$  into (1) and (2).

## Implementation of the framework based on histogram

#### Extracting final DOAs :

Let  $\delta_m$  be the contribution of the mth detected peak  $(\psi_m, \phi_m)$ . It can be calculated by

$$\delta_m = \mathbf{C}_s^m(\psi, \phi) \odot \mathbf{h}_r(\psi - \psi_m, \phi - \phi_m), \tag{4}$$

where the smoothed histogram  $C_s(\psi,\phi)$  is constructed based on  $d \in \Lambda$ ,  $\odot$  denotes element-wise multiplication and  $\mathbf{h}_r$  is a 2D Gaussian filter. Then the contribution from  $C_s^m(\psi,\phi)$  is removed by

$$\mathbf{C}_s^{m+1}(\psi,\phi) \leftarrow \mathbf{C}_s^m(\psi,\phi) - \delta_m. \tag{5}$$

The iterative procedures proceed to detect  $(\psi_{m+1}, \phi_{m+1})$  and calculate  $\delta_{m+1}$  from  $\mathbf{C}_{s}^{m+1}(\psi,\phi)$  until reach the number of source J. Afterwards,  $\{(\psi_m, \phi_m)\}_{m=1}^J$  are saved as the final DOAs.

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## Implementing the proposed framework based on clustering

#### • Determining the core direction :

The core direction is set to  $d_{ci}=(\psi_k,\phi_k)$ , where  $(\psi_k,\phi_k)$  represents the direction of the center of the kth cluster  $\mathcal{C}_k$ . Here the index k is determined by

$$k = \arg\max_{k} \left\{ Card(\mathcal{C}_1), ..., Card(\mathcal{C}_k), ..., Card(\mathcal{C}_J) \right\}, \tag{6}$$

where  $Card(\cdot)$  counts the number of elements in each cluster.

#### Obtaining the core set :

The core set of critical DOA estimates  $\Lambda$  can be obtained by substituting the core direction  $d_{ci}$  into (1) and (2).

#### Extracting final DOAs :

Partitioning  $d \in \Lambda$  into J clusters and output the corresponding J centers as the final DOAs.

## Implementing the proposed framework based on GMM

#### • Determining the core direction :

For GMM-based scheme, the core direction  $d_{ci}$  is determined by the mean direction  $\mu_k$  of the kth Gaussian component, i.e.,  $d_{ci}=\mu_k$ . Here the index k is given by

$$k = \arg\max_{j} \left\{ \frac{w_1}{\sqrt{2\pi\sigma_1}}, ..., \frac{w_j}{\sqrt{2\pi\sigma_j}}, ..., \frac{w_J}{\sqrt{2\pi\sigma_J}} \right\}, \tag{7}$$

where  $\sigma_j$  and  $w_j$  are the standard deviation and weight corresponding to the kth Gaussian component, respectively.

#### Obtaining the core set :

The core set of critical DOA estimates  $\Lambda$  can be obtained by substituting the core direction  $d_{ci}$  into (1) and (2).

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## Implementation of the framework based on GMM

#### • Extracting final DOAs :

An extra Gaussian distribution is introduced to describe the outlier component. Let  $\{\mu_j,\sigma_j,w_j\}_{j=1}^{J+1}$  denote the parameter set of J+1 components derived via an EM algorithm. The index corresponding to the outlier component can be determined by

$$o = \arg\min_{j} \left\{ \frac{w_1}{\sqrt{2\pi}\sigma_1}, ..., \frac{w_j}{\sqrt{2\pi}\sigma_j}, ..., \frac{w_{J+1}}{\sqrt{2\pi}\sigma_{J+1}} \right\}.$$
 (8)

After removing the outlier component, other mean directions  $\{\mu_j\}_{j=1}^J$  are saved as the final estimated DOAs.

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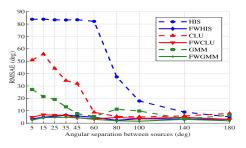
2 The proposed framework

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## Evaluate the accuracy

- Average angular error:  $e = \frac{1}{J} \sum_{i=1}^{J} \angle \{d_i, (\psi_i, \phi_i)\}.$
- $\bullet$  Root-mean-square angular error (RMSAE):  $\sqrt{\mathbb{E}\{e^2\}}.$

RMSAE versus angular difference at  $T_{60}=0.35s$  and SNR=20dB.

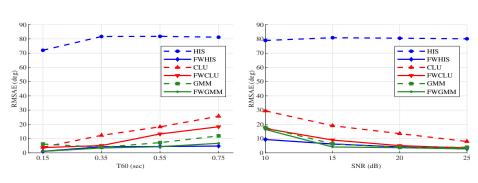


"HIS" and "CLU" denote histogram and cluster, "FWHIS" and "FWCLU" denote the corresponding frameworks.

The proposed method is accuracy, even in adjacent sources scenario.

#### Evaluate the robustness

Two active sources are separated at an angle of  $60^{\circ}$ .



RMSAE versus  $T_{60}$  at SNR = 20 dB

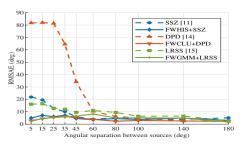
RMSAE versus SNR at  $T_{60}=0.35s$ 

The proposed method is robust to  $T_{60}$  and SNR.

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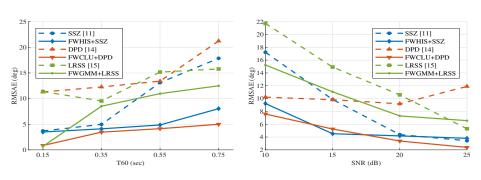


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## **Thanks**