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# **Sensors Data Handler**

# 1 Introduction

This document presents the *Sensors Data Handler*. The project presented here aims to build a model that, based on data collected from sensors in an industrial equipment, could assess and predict possible failures and abnormalities in the machine in real-time.

In addition to this introduction, this document is organized as follows: Section 2 presents the methods adopted in reading the provided data; Section 3 outlines the main processes in filtering, cleaning, and adapting the values contained in the reading files; Section 4 covers the exploratory analysis stage, where descriptive statistical techniques were used to understand the relationship and context of the data. Section 5 explains the choice of the applied Machine Learning model, provides theoretical and implementation details about it, and also presents the obtained results. Finally, Section 6 illustrates a real situation of streaming data flow with Apache Kafka.

# 2 Data Reading

This chapter covers the data reading stage and some techniques that assisted in the development in this regard.

#### 2.1 Data Source

The data was provided in CSV (Comma Separated Values) format, where each sensor monitoring the equipment had a file of records.

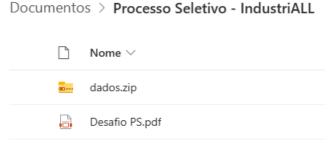


Figura 1 – Data Source

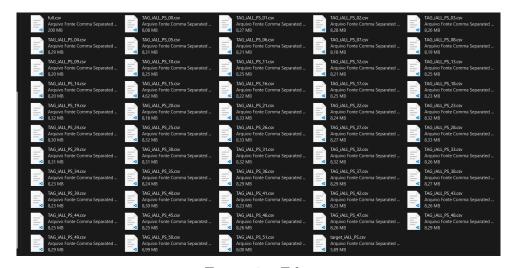


Figura 2 – Files

# 2.2 Aggregation of Sensor Data

Initially, there were two alternatives for aggregating individual data into a single DataFrame: dynamically aggregate by reading one by one and adding to the general DataFrame, or pre-build this complete dataset and only read it. The first alternative saves storage but, being at runtime, loses overall performance, while the second behaves in the opposite way. Thinking about these two scenarios, a script was created that constructs this complete file if not found.

```
c > ensor_summarize_sequential.py > ...
import os
import time
import pandas as pd

DATAFOLDER = 'data/'
BASE_NAME = 'TAG_iALL_PS_'

start_time = time.time()

TAG_iALL_PS_00 = pd.read_csv(DATAFOLDER + 'TAG_iALL_PS_00.csv')

TAG_iALL_PS_00 = TAG_iALL_PS_00.set_index('timestamp')

full_df = pd.DataFrame()
full_df = pd.concat([full_df, TAG_iALL_PS_00], ignore_index=False)
for file in os.listdir(DATAFOLDER):
    if file.endswith('00.csv'):
        continue
    print('Reading file: ' + file)
    df = pd.read_csv(DATAFOLDER + file)
    if file = - starget_iALL_PS.csv':
        full_df = full_df.rename(columns={'target_iALL_PS': 'status'})

full_df.to_csv(DATAFOLDER + 'full.csv')
    # print('full_df.head())

end_time = time.time()
    print('Elapsed_time: ' + str(end_time - start_time) + ' seconds')
```

Figura 3 – Script (Sequential)

```
## Description of the Control of the
```

Figura 4 – Slightly refined script (Parallel Processing)

• Generated file layout:

```
timestamp: object | TAG_iALL_PS_00 : float64 | TAG_iALL_PS_01 : float64 | TAG_iALL_PS_02 : float64 | TAG_iALL_PS_03 : float64 |
TAG_iALL_PS_04 : float64 | TAG_iALL_PS_05 : float64 | TAG_iALL_PS_06 : float64 | TAG_iALL_PS_07 : float64 | TAG_iALL_PS_08 : float64 |
TAG_iALL_PS_09 : float64 | TAG_iALL_PS_10 : float64 | TAG_iALL_PS_11 : float64 | TAG_iALL_PS_12 : float64 | TAG_iALL_PS_13 : float64 |
TAG_iALL_PS_14 : float64 | TAG_iALL_PS_15 : float64 | TAG_iALL_PS_16 : float64 | TAG_iALL_PS_18 : float64 |
TAG_iALL_PS_19 : float64 | TAG_iALL_PS_20 : float64 | TAG_iALL_PS_12 : float64 | TAG_iALL_PS_18 : float64 |
TAG_iALL_PS_19 : float64 | TAG_iALL_PS_20 : float64 | TAG_iALL_PS_21 : float64 | TAG_iALL_PS_22 : float64 |
TAG_iALL_PS_24 : float64 | TAG_iALL_PS_25 : float64 | TAG_iALL_PS_27 : float64 | TAG_iALL_PS_28 : float64 |
TAG_iALL_PS_29 : float64 | TAG_iALL_PS_35 : float64 | TAG_iALL_PS_31 : float64 | TAG_iALL_PS_28 : float64 |
TAG_iALL_PS_29 : float64 | TAG_iALL_PS_35 : float64 | TAG_iALL_PS_36 : float64 | TAG_iALL_PS_37 : float64 |
TAG_iALL_PS_39 : float64 | TAG_iALL_PS_45 : float64 | TAG_iALL_PS_46 : float64 | TAG_iALL_PS_47 : float64 | TAG_iALL_PS_48 : float64 |
TAG_iALL_PS_44 : float64 | TAG_iALL_PS_58 : float64 | TAG_iALL_PS_58 : float64 | TAG_iALL_PS_58 : float64 | TAG_iALL_PS_58 : float64 |
TAG_iALL_PS_44 : float64 | TAG_iALL_PS_58 : float64 | TAG_iALL_PS_58 : float64 | TAG_iALL_PS_48 : float64 |
TAG_iALL_PS_49 : float64 | TAG_iALL_PS_58 : float64 | TAG_iALL_PS_58 : float64 | TAG_iALL_PS_48 : float64 |
TAG_iALL_PS_49 : float64 | TAG_iALL_PS_58 : float64 | T
```

Figura 5 – File Layout

# 3 Data Preprocessing, Filtering, and Cleaning

In this stage, methods such as head(), tail(), summarize(), etc., were used to gain insights into the data. Based on this, duplicate rows were removed to avoid interference in subsequent calculations. Subsequently, a cautious removal of rows with all null values occurred, as a null value for one or more sensors can clearly indicate a failure (provided that the status is not null). There was also a reindexing after removing these values, and the promotion of the timestamp column as the index column, transforming our DataFrame into a time series.

Furthermore, the DataFrame was sorted based on the index. Although we know the nature of the data and expect it to be ordered, it is not a guarantee. Reordering provides greater confidence in working with the data in the subsequent steps.

```
# Drop duplicates and NaNs (when all rows/columns are NaN)
full_df = full_df.drop_duplicates()
full_df = full_df.dropna(axis=1, how='all')
full_df = full_df.dropna(axis=0, how='all')
full_df = full_df.reset_index()

# Convert the timestamp column to datetime and set it as the index
full_df['timestamp'] = pd.to_datetime(full_df['timestamp'])
full_df = full_df.sort_values(by='timestamp')
full_df = full_df.reset_index()
full_df = full_df.reset_index('timestamp') if 'timestamp' in full_df.columns else full_df

# Create a column with the status as a boolean (might be useful for plotting later)
full_df['status_bool'] = np.where(full_df['status'] == 'NORMAL', 0, 1)

# drop the columns that are not useful
full_df = full_df.drop(columns=['index'])
full_df = full_df.drop(columns=['level_0'])
```

Figura 6 – Initial Processing

# 4 Exploratory Analysis

#### 4.1 Initial Checks

Initial checks included examining the proportion of normal and abnormal states of the equipment within the recorded values to understand the predominant behavior.

```
status
NORMAL 205836
ANORMAL 14484
Name: count, dtype: int64
status
NORMAL 0.934259
ANORMAL 0.065741
Name: proportion, dtype: float64
```

Figura 7 – Proportion between Status

#### 4.2 Identification of Outliers

Given the quantity of available data, it was not feasible to analyze (or plot) box plots for each sensor. Therefore, the decision was made to apply an analytical outlier identification method. The analytical method does not always identify all outliers (there may be opposite scenarios); however, in the current case, the results were significantly accurate.

- IQR = Q3 Q1
- $Upperbound = Q3 + 1.5 \times IQR$
- $Lowerbound = Q1 1.5 \times IQR$

```
Outliers: 84262
Non normal: 14484
Non normal and outlier: 6363
```

Figura 8 – Outliers

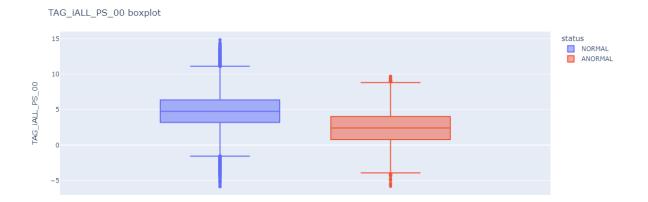


Figura 9 – Boxplot of 'TAG iALL PS 00' (example)

# 4.3 Correlation Between Variables (Sensors)

This is an essential step for the decision regarding the machine learning model that will be chosen, as we will understand the correlation between the variables we have and the target (status). From the heatmap below, we can see that the correlation between variable data is generally low (only the middle portion, between sensor 16 and 36, has a bit more relationship among themselves). We assume, then, that these are predominantly independent sensors.

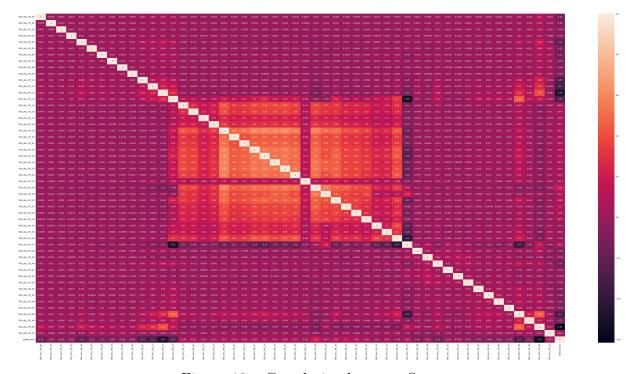


Figura 10 – Correlation between Sensors

Analyzing individually through the heatmap below, we notice that individual sensors have little effect on the equipment status. Therefore, it is a set of sensor states that interfere with the status.

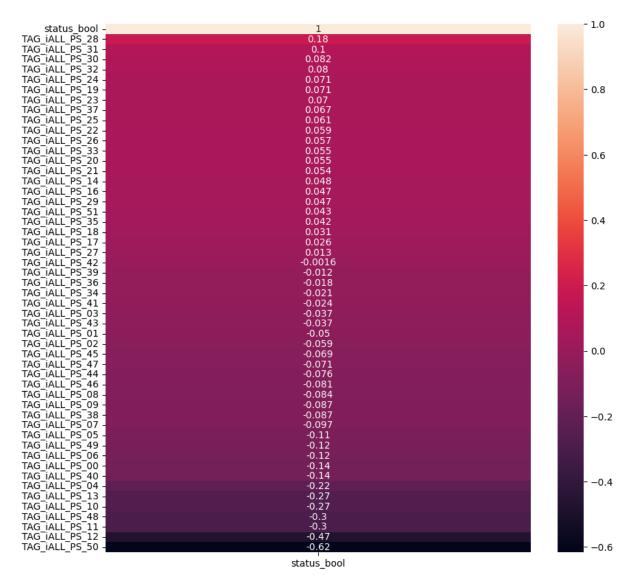


Figura 11 – Correlation between Sensors and Status

With this, we conclude that we have a multivariate analysis with independent features.

# 5 Machine Learning Model

### 5.1 Naive Bayes

Given that we have independent variables, the Naive Bayes algorithm is a good choice for our problem.

#### 5.1.1 General Formulation

The conditional probability P(y|X), representing the probability of the class (event) y given the feature vector X, follows Bayes' Theorem:

$$P(y|X) = \frac{P(X|y) \cdot P(y)}{P(X)}$$

#### 5.1.2 Independence

The distinctive feature of Naive Bayes is the assumption of independence between features, given the class. Thus, the formula simplifies to:

$$P(y|X) = \frac{P(x_1|y) \cdot P(x_2|y) \cdot \ldots \cdot P(x_n|y) \cdot P(y)}{P(X)}$$

#### 5.1.3 Class Selection

To determine the most probable class, we further simplify, eliminating P(X) since it does not depend on the class:

$$y = \operatorname{argmax}_{y} (P(x_1|y) \cdot P(x_2|y) \cdot \ldots \cdot P(x_n|y) \cdot P(y))$$

#### 5.1.4 Logarithmic Transformation

Given that probabilities are values between 0 and 1, the expression can be rewritten as a sum of logarithms to facilitate calculations:

$$y = \operatorname{argmax}_{y} (\log(P(x_1|y)) + \log(P(x_2|y)) + \dots + \log(P(x_n|y)) + \log(P(y)))$$

#### 5.1.5 Prior and Class-Conditional with Gaussian Distribution

P(y) represents the a priori probability (probability of an event occurring based on prior knowledge or information), reflecting the frequency of each class.  $P(x_i|y)$  represents the class-conditional probability, often modeled with the Gaussian distribution formula:

$$P(x_i|y) = \frac{1}{\sqrt{2\pi\sigma_y^2}} \exp\left(-\frac{(x_i - \mu_y)^2}{2\sigma_y^2}\right)$$

#### 5.1.6 Main Steps

- Training: Calculate the mean, variance, and frequency of each class.
- Predictions: Calculate the future probability for each class using the simplified formula.
- Class Choice: Select the class with the highest a priori probability as the final prediction.

## 5.2 Model Application

The model implementation was done through the scikit-learn module. It is important to note that there are several different implementations of the Naive Bayes probability distribution function, and in this case, two distinct forms were used:

- Gaussian
- Bernoulli

```
from sklearn.naive_bayes import GaussianNB, BernoulliNB
from sklearn.model_selection import train_test_split
from sklearn.metrics import accuracy_score

# set nan values to 0. The missing values might not affect the model
full_df = full_df.fillna(0)

# create a list of features
features = full_df.columns.tolist()
features.remove('status')
features.remove('status')
features.remove('status_bool')

# create a list of target
target = 'status'

# split the data into train and test
X_train, X_test, y_train, y_test = train_test_split(full_df[features], full_df[target], test_size=0.2, random_state=42)
```

Figura 12 – Split of Samples

```
# create a Gaussian and Bernoulli Naive Bayes classifier
gnb = GaussianNB()
bnb = BernoulliNB()

# train the model using the training sets
gnb.fit(X_train, y_train)
bnb.fit(X_train, y_train)

# predict the response for test dataset
y_pred_gnb = gnb.predict(X_test)
y_pred_bnb = bnb.predict(X_test)
```

Figura 13 – Training and Prediction

```
def print_result_sample(y_pred, y_test):
                                   first_10_anormal_predictions = []
                                   first_10_anormal_actual = []
                                    for i in range(len(y_pred)):
                                                     if y_pred[i] == 'ANORMAL':
                                                                        first_10_anormal_predictions.append(y_pred[i])
                                                                         first_10_anormal_actual.append(y_test[i])
                                                                         if len(first_10_anormal_predictions) == 10:
                                                                                           break
                                   print(first_10_anormal_predictions)
                                   print(first_10_anormal_actual)
                print(f"\nGNB accuracy: {accuracy_score(y_test, y_pred_gnb)}")
                print_result_sample(y_pred_gnb, y_test)
                print(f"\nBNB accuracy: {accuracy_score(y_test, y_pred_bnb)}")
                print_result_sample(y_pred_bnb, y_test)
                print(f"\nNumber of matching predictions: {np.sum(y_pred_gnb == y_pred_bnb)} / {len(y_pred_gnb)}")
     √ 1.2s
GNB accuracy: 0.9864969135802469
['ANORMAL', 'ANORMAL', 'ANORMAL',
BNB accuracy: 0.9746505083514887
['ANORMAL', 'ANORMAL', 'ANORMAL',
Number of matching predictions: 42604 / 44064
```

Figura 14 – ML Result

# 6 Simulating Real Scenario with Apache Kafka

Apache Kafka is an open-source stream processing platform developed by the Apache Software Foundation, written in Scala and Java. The project aims to provide a unified, high-throughput, and low-latency platform for real-time data processing. Its storage layer is a "scalable publisher/subscriber message queue designed as a distributed transaction log,"making it highly valuable for corporate infrastructures processing data streams. With this in mind, the additional idea for this project was to simulate an environment in which sensor data is not in a pre-provided static file but is instead generated and consumed in real-time. Thus, the Naive Bayes model runs each time new data is generated, increasing its accuracy.

## 6.1 Pipeline Architecture

The main idea is that, at each timestamp (second, in this case), sensor data is received, formatted, and written to the Kafka queue (producer). Then, the consumer listens to this queue, and for each new entry, it reads, processes, and writes the new records to the database. The database (PostgreSQL) is running in a Docker container. After the data is stored in the database, the script with the Machine Learning model is triggered and starts consuming from the table, generating real-time updated analyses.

#### 6.2 Overview of Kafka

#### 6.2.1 Kafka Topic

Kafka "topics" are mechanisms that store the sequence of received events. These topics can be replicated across different partitions of the broker and even replicated to other nodes (distributed systems) to be processed/consumed in parallel. For this project, only one topic (SensorDataStream) with two partitions was created.

```
from kafka.admin import KafkaAdminClient,NewTopic
TOPIC="SensorsDataStream"
   admin_client = KafkaAdminClient(bootstrap_servers="localhost:9092", client_id='IndustriALL')
   print("Exception while connecting Kafka")
   print(str(e))
   exit(1)
if TOPIC in admin_client.list_topics():
   print("Topic already exists")
   exit(0)
   topic_list = []
   new_topic = NewTopic(name=TOPIC, num_partitions= 2, replication_factor=1)
   topic_list.append(new_topic)
   admin_client.create_topics(new_topics=topic_list)
   print("Topic created successfully")
except Exception as e:
   print("Exception while creating topic")
```

Figura 15 – Creating Topic

#### 6.2.2 Enqueuing Data

Sensor data is read from the local file *full.csv* created by the *sensor-summarize.py* referenced in the previous sections. From the DataFrame, it inserts row by row into the queue with a 1-second delay to simulate the real condition of the problem.

```
from time import sleep
from kafka import KafkaProducer
import json
import pandas as pd
TOPIC = "SensorsDataStream"
INPUT_FILE = "data/full.csv"
df = pd.read_csv(INPUT_FILE)
producer = KafkaProducer(
   bootstrap_servers=['localhost:9092'],
   value_serializer=lambda v: json.dumps(v).encode('utf-8'))
for idx in range(len(df)):
   print(df.iloc[idx].to_dict())
   producer.send(TOPIC, df.iloc[idx].to_dict())
   producer.flush()
   sleep(1)
producer.close()
```

Figura 16 – Producer Script

#### Terminal File Edit View Search Terminal Help S 03': 105.48293379659302, 'TAG iALL PS 04': 1503.486461674161, 'TAG iALL PS 05' : 103.56996973689056, 'TAG iALL PS 06': 6.15986302516886, 'TAG iALL PS 07': 21.9 04177644678622, 'TAG\_iALL\_PS\_08': 59.7320062495748, 'TAG\_iALL\_PS\_09': 45.9429485 4836127, 'TAG\_iALL\_PS\_10': 64.33404502805527, 'TAG\_iALL\_PS\_11': 118.349041764501 24, 'TAG\_iALL\_PS\_12': 45.03880008057119, 'TAG\_iALL\_PS\_13': 8.692714802377052, 'T AG iALL PS 14': 613.0778349475679, 'TAG\_iALL\_PS\_15': nan, 'TAG\_iALL\_PS\_16': 991. 6075771141888, 'TAG iALL PS 17': 1055.8311680489542, 'TAG iALL PS 18': 6.5254563 45849921, 'TAG iALL PS 19': 1253.2468332910053, 'TAG iALL PS 20': 669.7390292204 593, 'TAG iALL PS 21': 1783.7534353500623, 'TAG iALL PS 22': 847.2446087787572, 'TAG iALL PS 23': 1639.2966319234, 'TAG iALL PS 24': 1297.3009680784469, 'TAG iA LL\_PS\_25': 1320.664384482156, 'TAG\_iALL\_PS\_26': 1515.4614805877416, 'TAG\_iALL\_PS 27': 1420.7179319120382, 'TAG iALL PS 28': 1829.5200883571624, 'TAG iALL PS 29' : 1336.3692894301744, 'TAG\_iALL\_PS\_30': 1413.1754242979794, 'TAG\_iALL\_PS\_31': 14 67.607568439488, 'TAG iALL PS 32': 897.4581533064713, 'TAG iALL PS 33': 933.0318 156574274, 'TAG iALL PS 34': 234.13302911824985, 'TAG iALL PS 35': 948.737609614 3008, 'TAG\_iALL\_PS\_36': 720.9681562510398, 'TAG\_iALL\_PS\_37': 156.32603014522303, 'TAG\_iALL\_PS\_38': 77.57448057722208, 'TAG\_iALL\_PS\_39': 25.453747450381464, 'TAG \_iALL\_PS\_40': 104.38526679656216, 'TAG\_iALL\_PS\_41': 55.45920457244837, 'TAG\_iALL PS 42': 79.09089918361585, 'TAG iALL PS 43': 89.16191290015365, 'TAG iALL PS 44 ': 61.45769705526574, 'TAG\_iALL\_PS\_45': 84.80172275039179, 'TAG\_iALL\_PS\_46': 97. 76902151777884, 'TAG iALL PS 47': 124.71275231368692, 'TAG iALL PS 48': 344.5765 2480342466, 'TAG iALL PS 49': 72.50202605297736, 'TAG iALL PS 50': 351.836411581 881, 'TAG iALL PS 51': 384.8748167580968, 'status': 'NORMAL'}

Figura 17 – Producer Terminal

#### 6.2.3 Processing the Queue

While messages are enqueued by the *producer*, our *consumer* processes them one by one and inserts them into the database as it reads, processes, and formats the entries.

Figura 18 – Connecting to Queue and Database

```
dictionary = json.loads(msg.value.decode('utf-8'))
df = pd.DataFrame(dictionary, index=[0])
    print(df)
    columns = ",".join(df.columns)
              cursor.execute(f"CREATE TABLE {TABLE_NAME} (id SERIAL PRIMARY KEY);")
              for column in df.columns:
   if column == "timestamp":
                   cursor.execute(f"ALTER TABLE {TABLE_NAME} ADD COLUMN {column} TIMESTAMP;")
elif column == "status":
                      cursor.execute(f"ALTER TABLE {TABLE_NAME} ADD COLUMN {column} VARCHAR;")
                      cursor.execute(f"ALTER TABLE {TABLE_NAME} ADD COLUMN {column} FLOAT;")
             check = False
             ept pg.errors.DuplicateTable:
              print("Table already exists")
check = False
     values = df.values.tolist()[0]
     values_str =
     is_timestamp = True
         value in values:
if is_timestamp:
             values_str+=f"'{value}',"
is_timestamp = False
         if value == "NORMAL" or value == "ANORMAL":
              values_str+=f"'{value}',"
         values_str+=str(value)+","
    values_str = values_str[:-1]
values_str = values_str.replace("nan", "NULL")
    cursor.execute(f"INSERT INTO {TABLE_NAME}({columns}) VALUES ({values_str})", values)
    sleep(1)
cursor.close()
```

Figura 19 – Processing Queue and Writing to Database

```
Terminal
File Edit View Search Terminal Help
0 - 2010-04-01 00.20.00 - - - - J. 536104 - . . . - - - 465. /10023 - NUIVIAL
[1 rows x 54 columns]
              0 2018-04-01 00:25:00
                                                    383.953027 NORMAL
[1 rows x 54 columns]
              timestamp TAG_iALL_PS_00 ... TAG_iALL_PS_51 status
.00:27:00 2.013368 ... 666.257182 NORMAL
  2018-04-01 00:27:00
[1 rows x 54 columns]
  timestamp TAG_iALL_PS_00 ... TAG_iALL_PS_51 status
2018-04-01 00:28:00 -0.87427 ... 594.525997 NORMAL
[1 rows x 54 columns]
              timestamp TAG_iALL_PS_00 ... TAG_iALL_PS_51 status
0 2018-04-01 00:29:00
                                                    657.742314 NORMAL
[1 rows x 54 columns]
              timestamp TAG_iALL_PS_00 ... TAG_iALL_PS_51 status
.00:30:00 6.91672 ... 229.23811 NORMAL
0 2018-04-01 00:30:00
[1 rows x 54 columns]
```

Figura 20 – Consumer Terminal

#### 6.3 Database

The chosen database was PostgreSQL running in Docker. It is a simple implementation since we are only interested in the values emitted by the sensors. If the sensor type and additional information were provided, we would model the database with PK and FK to allow joins and other filters, but it was not relevant in this context.

```
db:
    image: postgres
    restart: always
    environment:
    POSTGRES_PASSWORD: example
    volumes:
        - pgdata:/var/lib/postgresql/data
    ports:
        - 5432:5432

volumes:
    pgdata:

# user: postgres
# host: localhost
# port: 5432
# pwd: example
# db: postgres
```

Figura 21 – Docker Compose Pgsql

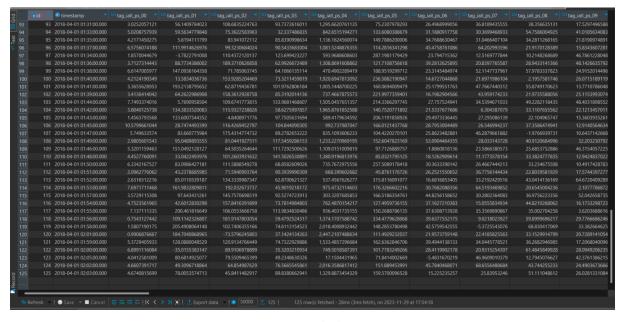


Figura 22 – Records being Written

# 6.4 Real-time Algorithm

Since values are being generated in real-time, the Naive Bayes model is executed each time new data is inserted into the database, thereby increasing its accuracy.

Note: The images of the algorithm results show accuracy values of 1.0 and only 'NORMAL' status because they represent the initial records. Only around the 170kth line do 'ANORMAL' statuses begin to appear.



Figura 23 - Result

## 6.5 Running on Your Machine

All the results above were using Windows 11 with WSL. Only Docker was running on Windows itself; the other services ran in WSL. Enter the project folder and follow the next instructions.

Note: It is necessary to create a 'data' folder containing the full.csv or have, in this folder, the source files to run summirize.py and build full.csv, see Figure??

## 6.5.1 Creating the Virtual Environment and Installing Dependencies

- > wsl
- > python3 -m venv venv
- > source venv/bin/activate
- > pip install -r requirements.txt

## 6.5.2 Installing Dependencies in WSL

- > sudo apt update
- > sudo apt upgrade
- > sudo apt install dos2unix
- > sudo apt install gnome-terminal

#### 6.5.3 Running setup.sh

- > chmod + x kafka/setup.sh
- > chmod +x setup.sh
- > ./setup.sh
- > (if you need to convert some script, use: > dos2unix <script.sh>)

You should see 4 extra terminals for Kafka:

- 1. Zookeeper
- 2. Server
- 3. Producer
- 4. Consumer

And in the same terminal, the execution of Naive Bayes.

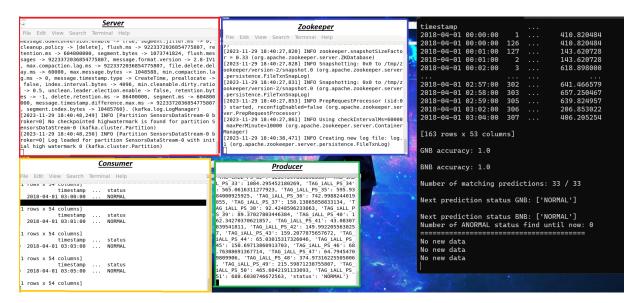


Figura 24 – Terminals