# 301AA - Advanced Programming

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**AP-05**: The JVM instruction set

#### Outline

- The JVM instruction set architecture
  - Execution model
  - Instruction format & Addressing modes
  - Types and non-orthogonality of instructions
  - Classes of instructions
  - → Chapter 2 and 3 of the JVM Specification

# The JVM interpreter loop

```
do {
   atomically calculate pc and fetch opcode at pc;
   if (operands) fetch operands;
   execute the action for the opcode;
} while (there is more to do);
```

## Instruction set properties

- 32 bit stack machine
- Variable length instruction set
  - One-byte opcode followed by arguments
- Simple to very complex instructions
- Symbolic references
- Only relative branches
- Byte aligned (except for operands of tableswitch and lookupswitch)
- Compactness vs. performance

#### JVM Instruction Set

- Load and store (operand stack <-> local vars)
- Arithmetic
- Type conversion
- Object creation and manipulation
- Operand stack manipulation
- Control transfer
- Method invocation and return
- Monitor entry/exit

#### Instruction format

- Each instruction may have different "forms" supporting different kinds of operands.
- Example: different forms of "iload" (i.e. push)

#### Assembly code

#### Binary instruction code layout

iload_0	26	Pushe	s local variable 0 on operand stack
iload_1	27		
iload_2	28		
iload_3	29		
iload <i>n</i>	21	n	
wide iload n	196	21	n

# Runtime memory

- Memory:
  - Local variable array (frame)
  - Operand stack (frame)
  - Object fields (heap)
  - Static fields (method area)
- JVM stack instructions
  - implicitly take arguments from the top of the operand stack of the current frame
  - put their result on the top of the operand stack
- The operand stack is used to
  - pass arguments to methods
  - return a result from a method
  - store intermediate results while evaluating expressions
  - store local variables

## JVM Addressing Modes

- JVM supports three addressing modes
  - Immediate addressing mode
    - Constant is part of instruction
  - Indexed addressing mode
    - Accessing variables from local variable array
  - Stack addressing mode
    - Retrieving values from operand stack using pop

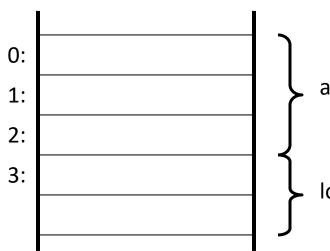
## Instruction-set: typed instructions

- JVM instructions are explicitly typed: different opCodes for instructions for integers, floats, arrays, reference types, etc.
- This is reflected by a naming convention in the first letter of the opCode mnemonics
- Example: different types of "load" instructions

i	int		
1	long		
s	short		
b	byte		
С	char		
f	float		
d	double		
a	for reference		

iload lload fload dload	integer load long load float load double load reference-type load
aload	reference-type load

# Instruction-set: accessing arguments and locals in the Local Variable array



args: indexes 0 .. #args - 1

locals: indexes #args .. #args + #locals - 1

#### **Instruction examples:**

iload_1	istore_1
iload_3	astore_1
aload 5	fstore_3
aload 0	

- A *load* instruction takes something from the args/locals area and pushes it onto the top of the operand stack.
- A *store* instruction pops something from the top of the operand stack and places it in the args/locals area.

# Opcode "pressure" and non-orthogonality

- Since op-codes are bytes, there are at most 256 distinct ones
- Impossible to have for each instruction one opcode per type
- Careful selection of which types to support for each instruction
- Non-supported types have to be converted
- Result: non-orthogonality of the Instruction
   Set Architecture

# Type support in the JVM instruction set

 Design choice: almost no support for byte, char and short – using int as "computational type"





#### Fable 2.11.1-A. Type support in the Java Virtual Machine instruction set

opcode	byte	short	int	long	float	double	char	reference
Tipush	bipush	sipush						
Tconst			iconst	lconst	fconst	dconst		aconst
Tload			iload	lload	fload	dload		aload
Tstore			istore	lstore	fstore	dstore		astore
Гіпс			iinc					
Taload -	baload	saload	iaload	laload	faload	daload	caload	aaload
Tastore	bastore	sastore	iastore	lastore	fastore	dastore	castore	aastore
Tadd			iadd	ladd	fadd	dadd		
Tsub			isub	lsub	fsub	dsub		
Гтиl			imul	lmul	fmul	dmul		
Tdiv			idiv	ldiv	fdiv	ddiv		
Ггет			irem	lrem	frem	drem		
Гпед			ineg	lneg	fneg	dneg		
Tshl			ishl	lshl				
Tshr			ishr	lshr				
Tushr			iushr	lushr				
Tand			iand	land				
Tor			ior	lor				
Txor			ixor	lxor				
2T	i2b	i2s		i2l	i2f	i2d		
2T			<i>l</i> 2 <i>i</i>		l2f	l2d		
2T			f2i	f2l		f2d		
12T			d2i	d2l	d2f			
Гстр				lcmp				
Гстрі					fcmpl	dcmpl		
Гстрд					fcmpg	dcmpg		
f_TcmpOP			if_icmpOP					if_acmpOP
Treturn			ireturn	lreturn	freturn	dreturn		areturn

# Specification of an instruction: iadd

iadd iadd

**Operation** Add int

**Format** iadd

**Forms** iadd = 96 (0x60)

**Operand** ..., value1,  $value2 \rightarrow$ 

Stack ..., result

**Description** 

Both *value1* and *value2* must be of type int. The values are popped from the operand stack. The int *result* is *value1* + *value2*. The *result* is pushed onto the operand stack.

The result is the 32 low-order bits of the true mathematical result in a sufficiently wide two's-complement format, represented as a value of type int. If overflow occurs, then the sign of the result may not be the same as the sign of the mathematical sum of the two values.

Despite the fact that overflow may occur, execution of an *iadd* instruction never throws a run-time exception.

# **Computational Types**

Table 2.11.1-B. Actual and Computational types in the Java Virtual Machine

Actual type	Computational type	<b>Category</b>		
boolean	int	1		
byte	int	1		
char	int	1		
short	int 1			
int	int	1		
float	float	1		
reference	reference	1		
returnAddress	returnAddress	1		
long	long	2		
double	double	2		

# Compiling Constants, Local Variables, and Control Constructs

Sample Code

Can compile to

```
void spin() {
    int i;
    for (i = 0; i < 100; i++) {
        ; // Loop body is empty
    }
}</pre>
```

```
0 iconst 0
                  // Push int constant 0
                  // Store into local variable 1 (i=0)
1 istore 1
2 goto 8
                  // First time through don't increment
5 iinc 1 1
                  // Increment local variable 1 by 1 (i++)
                  // Push local variable 1 (i)
8 iload 1
9 bipush 100
                  // Push int constant 100
11 if icmplt 5
                  // Compare and loop if less than (i < 100)
                  // Return void when done
14 return
```

- Pushing constants on the operand stacks
- Incrementing local variable, comparing

# int vs. double: lack of opcodes for double requires longer bytecode

Sample Code

```
void dspin() {
    double i;
    for (i = 0.0; i < 100.0; i++) {
        ; // Loop body is empty
    }
}</pre>
```

Can compile to

```
0 dconst 0
                  // Push double constant 0.0
                   // Store into local variables 1 and 2
1 dstore 1
2 goto 9
                  // First time through don't increment
5 dload 1
                   // Push local variables 1 and 2
6 dconst 1
                   // Push double constant 1.0
7 dadd
                  // Add; there is no dinc instruction
8 dstore 1
                  // Store result in local variables 1 and 2
9 dload 1
                  // Push local variables 1 and 2
10 ldc2 w #4
                  // Push double constant 100.0
  dcmpg
                   // There is no if dcmplt instruction
14 iflt 5
                   // Compare and loop if less than (i < 100.0)
   return
                   // Return void when done
                                                            16
```

### **Accessing literals in the Constant Pool**

Sample Code

Can compile to

```
void useManyNumeric() {
   int i = 100;
   int j = 1000000;
   long l1 = 1;
   long l2 = 0xffffffff;
   double d = 2.2;
   ...do some calculations... }
```

```
0 bipush 100
                  // Push small int constant with bipush
2 istore 1 📃
3 ldc #1 📃
                  // Push large int (1000000) with ldc
5 istore 2
6 lconst 1
                  // A tiny long value uses fast lconst 1
7 lstore 3
8 1dc2 w #6
                  // Push long 0xfffffff (that is, int -1)
11 lstore 5
                  // Any long can be pushed with ldc2 w
                  // Push double constant 2.200000
13 ldc2 w #8
16 dstore 7
                  ...do those calculations...
```

#### Parameter passing: Receiving Arguments

- Sample Code
- Can compile to

```
int addTwo(int i, int j) {
    return i + j;
}
```

- Local variable 0 used for this in instance methods
- Sample Code
- Can compile to

```
static int addTwo(int i, int j) {
    return i + j;
}
```

```
0 iload_0
1 iload_1
2 iadd
3 ireturn
```

### **Invoking Methods**

- Sample Code
- Can compile to

```
int add12and13() {
     return addTwo(12, 13);
}
```

- invokevirtual causes the allocation of a new frame, pops the arguments from the stack into the local variables of the callee (putting this in 0), and passes the control to it by changing the pc
- A resolution of the symbolic link is performed
- **ireturn** pushes the top of the current stack to the stack of the caller, and passes the control to it. Similarly for **dreturn**, ...
- return just passes the control to the caller

#### Other kinds of method invocation

- invokestatic for calling methods with "static" modifiers
  - this is not passed, arguments are copied to local vars from 0
- invokespecial for calling constructors, which are not dynamically dispatched, private methods or superclass methods.
  - this is always passed
- invokeinterface same as invokevirtual, but used when the called method is declared in an interface (requires a different kind of method lookup)
- invokedynamic introduced in Java SE 7 to support dynamic typing
  - We shall discuss it when presenting lambdas

## Working with objects

- Sample Code
- Can compile to

```
Object create() {
    return new Object();
}
```

 Objects are manipulated essentially like data of primitive types, but through references using the corresponding instructions (e.g. areturn)

## Accessing fields (instance variables)

void setIt(int value) {

i = value;

- Sample Code
- Can compile to

```
Method void setIt(int)
0 aload_0
1 iload_1
2 putfield #4 // Field Example.i I
5 return
Method int getIt()
0 aload_0
1 getfield #4 // Field Example.i I
4 ireturn
```

- Requires resolution of the symbolic reference in the constant pool
- Computes the offset of the field in the class, and uses it to access the field in this
- Similar for static variables, using putstatic and getstatic

## **Using Arrays**

- Sample Code
- Can compile to

```
void createBuffer() {
    int buffer[];
    int bufsz = 100;
    int value = 12;
    buffer = new int[bufsz];
    buffer[10] = value;
    value = buffer[11];
}
```

```
0 bipush 100
                    // Push int constant 100 (bufsz)
                    // Store bufsz in local variable 2
2 istore 2
3 bipush 12
                    // Push int constant 12 (value)
5 istore 3
                    // Store value in local variable 3
6 iload 2
                    // Push bufsz and...
7 newarray int
                    // ... create new int array of that length
9 astore 1
                    // Store new array in buffer
10 aload 1
                    // Push buffer
11 bipush 10
                    // Push int constant 10
13 iload 3
                    // Push value
14 iastore
                    // Store value at buffer[10]
15 aload 1
                    // Push buffer
16 bipush 11
                    // Push int constant 11
18 iaload
                    // Push value at buffer[11]...
19 istore 3
                    // ...and store it in value
                                                              23
20 return
```

## **Compiling switches (1)**

- Sample Code
- Can compile to

```
int chooseNear(int i) {
    switch (i) {
        case 0: return 0;
        case 1: return 1;
        case 2: return 2;
        default: return -1;
}
```

```
0 iload 1
                       // Push local variable 1 (argument i)
1 tableswitch 0 to 2:
                       // Valid indices are 0 through 2
      0: 28
                        // If i is 0, continue at 28
      1: 30
                        // If i is 1, continue at 30
      2: 32
                       // If i is 2, continue at 32
      default:34
                       // Otherwise, continue at 34
                       // i was 0; push int constant 0...
28 iconst 0
29 ireturn
                        // ...and return it
30 iconst 1
                       // i was 1; push int constant 1...
31 ireturn
                       // ...and return it
32 iconst 2
                       // i was 2; push int constant 2...
33 ireturn
                        // ...and return it
34 iconst m1
                       // otherwise push int constant -1...
35 ireturn
                        // ...and return it
```

#### tableswitch

#### **Operation**

Access jump table by index and jump

#### **Format**

tableswitch
<0-3 byte pad>
defaultbyte l
defaultbyte2
defaultbyte3
defaultbyte4
lowbyte1
lowbyte2
lowbyte3
lowbyte4
highbyte1
highbyte2
highbyte3
highbyte4
jump offsets

Forms tableswitch = 170 (0xaa)

**Operand** ...,  $index \rightarrow$ 

Stack ...

#### tableswitch

A *tableswitch* is a variable-length instruction. Immediately after the *tableswitch* opcode, between zero and three bytes must act as padding, such that *defaultbyte1* begins at an address that is a multiple of four bytes from the start of the current method (the opcode of its first instruction). Immediately after the padding are bytes constituting three signed 32-bit values: *default*, *low*, and *high*. Immediately following are bytes constituting a series of *high* - *low* + 1 signed 32-bit offsets. The value *low* must be less than or equal to *high*. The *high* - *low* + 1 signed 32-bit offsets are treated as a 0-based jump table. Each of these signed 32-bit values is constructed as  $(byte1 << 24) \mid (byte2 << 16) \mid (byte3 << 8) \mid byte4$ .

The *index* must be of type int and is popped from the operand stack. If *index* is less than *low* or *index* is greater than *high*, then a target address is calculated by adding *default* to the address of the opcode of this *tableswitch* instruction. Otherwise, the offset at position *index* - *low* of the jump table is extracted. The target address is calculated by adding that offset to the address of the opcode of this *tableswitch* instruction. Execution then continues at the target address.

The target address that can be calculated from each jump table offset, as well as the one that can be calculated from *default*, must be the address of an opcode of an instruction within the method that contains this *tableswitch* instruction.

## Compiling switches (2)

- Sample Code
- Can compile to

```
iload 1
 lookupswitch 3:
      -100:36
         0: 38
       100: 40
   default: 42
36 iconst m1
   ireturn
  iconst 0
  ireturn
  iconst 1
40
41 ireturn
42 iconst m1
43 ireturn
```

```
int chooseFar(int i) {
    switch (i) {
        case -100: return -1;
        case 0: return 0;
        case 100: return 1;
        default: return -1;
}
```

- lookupswitch is used when the cases of the switch are sparse
- Each case is a pair <value: address>, instead of an offset in the table of addresses
- Cases are sorted, so binary search can be used

Note that only switches on **int** are supported: for other types conversions (**char**, **byte**, **short**) or non-trivial translations (**String**, using hashcode) are needed

#### Operand stack manipulation

- Sample Code
- Can compile to

```
public long nextIndex() {
    return index++;
}
private long index = 0;
```

```
0 aload 0
                    Push this
1 dup
                    Make a copy of it
2 getfield #4
                    One of the copies of this is consumed
                 // pushing long field index,
                 // above the original this
5 dup2 x1
                    The long on top of the operand stack is
                 // inserted into the operand stack below the
                    original this
 lconst 1
                 // Push long constant 1
 ladd
                    The index value is incremented...
8 putfield #4
                 // ...and the result stored in the field
11 lreturn
                    The original value of index is on top of
                 // the operand stack, ready to be returned
```

 $dup2\_x1$   $dup2\_x1$ 

**Operation** 

Duplicate the top one or two operand stack values and insert two or three values down

**Format** 

**Forms** 

$$dup2_x1 = 93 (0x5d)$$

#### **Operand**

Form 1:

Stack

..., value3, value2,  $value1 \rightarrow$ 

..., value2, value1, value3, value2, value1

where *value1*, *value2*, and *value3* are all values of a category 1 computational type (§2.11.1).

Form 2:

..., value2,  $value1 \rightarrow$ 

..., value1, value2, value1

where *value1* is a value of a category 2 computational type and *value2* is a value of a category 1 computational type (§2.11.1).

**Description** 

Duplicate the top one or two values on the operand stack and insert the duplicated values, in the original order, one value beneath the original value or values in the operand stack.

### **Throwing Exceptions**

- Sample Code
- Can compile to

```
void cantBeZero(int i) throws TestExc
{
    if (i == 0) {
        throw new TestExc();
    }}
```

```
0 iload_1
1 ifne 12
4 new #1
7 dup
8 invokespecial #7
11 athrow
12 return

// Push argument 1 (i)
// If i==0, allocate instance and throw
// Create instance of TestExc
// One reference goes to its constructor
// Method TestExc.<init>() V
// Second reference is thrown
// Never get here if we threw TestExc
```

- athrow looks in the method for a catch block for the thrown exception using the exception table
- If it exists, stack is cleared and control passed to the first instruction
- Otherwise the current frame is discarded and the same exception is thrown on the caller
- If no method catches the exception, the thread is aborted

## try-catch

- Sample Code
- Can compile to

```
void catchOne() {
    try {
        tryItOut();
    } catch (TestExc e) {
        handleExc(e);
    }}
```

```
0 aload 0
                        // Beginning of try block
 invokevirtual #6
                        // Method Example.tryItOut() V
                        // End of try block; normal return
4 return
                           Store thrown value in local var 1
 astore 1
                        // Push this
 aload 0
7 aload 1
                        // Push thrown value
8 invokevirtual #5
                           Invoke handler method:
                        // Example.handleExc(LTestExc;) V
                        // Return after handling TestExc
11 return
```

```
Exception table:
From To Target Type
0  4 5     Class TestExc
```

Compilation of **finally** more tricky

- Compiles a catch clause like another method
- The table records boundaries of try and is used by athrow to dispatch the control

#### Other Instructions

- Handling synchronization: monitorenter, monitorexit
- verifying instances: instanceof
- checking a cast operation: checkcast
- No operation: nop

#### Limitations of the Java Virtual Machine

- Max number of entries in constant pool: 65535 (count in ClassFile structure)
- Max number of fields, of methods, of direct superinterfaces: 65535 (idem)
- Max number of local variables in the local variables array of a frame: 65535, also by the 16-bit local variable indexing of the JVM instruction set.
- Max operand stack size: 65535
- Max number of parameters of a method: 255
- Max length of field and method names: 65535 characters by the 16-bit unsigned length item of the CONSTANT\_Utf8\_info structure
- Max number of dimensions in an array: 255, by the size of the dimensions opcode of the multianewarray instruction and by the constraints imposed on the multianewarray, anewarray, and newarray instructions

#### Resources

- JVMS Chapter 2 The Structure of the Java Virtual Machine
- JVM Internals, by James D. Bloom http://blog.jamesdbloom.com/JVMInternals.h tml
- JLS Chapter 17 Memory model