



Comprehensive Claims Report for InvesTrust

As of May 20, 2016

Disclaimer:

For your pending claims, Chicago Clearing Corporation (CCC) has provided recognized loss and estimated payouts. Recognized loss is CCC's calculation of your or your clients' total eligible damages based upon CCC's interpretation of the plan of allocation of any given settlement. Your recognized loss calculation is subject to change due to various factors such as claims that are deemed to be deficient or rejected by the administrator, changes in the plan of allocation, or changes in the interpretation of the plan of allocation by the court, the administrator or CCC. There is no guarantee the court will approve payment of your claims even if CCC calculates a recognized loss. A "0" recognized loss means that CCC does not calculate a recognized loss and you likely do not have a claim in the settlement. A "N/A" recognized loss means that the listed settlement does not have a plan of allocation, CCC has not devised the formula to calculate a recognized loss or CCC has not calculated your recognized loss as of the date of your report.

The estimated payouts are CCC's projected check amounts for claims filed on your or your clients' behalf. Your actual settlement payments will be a percentage of your recognized loss. CCC's prediction of the percentage payout of your recognized loss is based upon proprietary correlations and algorithms developed by CCC. The exact payment amount for your claims is unknown until the final distribution order is signed by the court.

CCC accepts no responsibility or liability of any kind for the accuracy, reliability or completeness of its recognized loss and estimated payout calculations, or for any action taken, or results obtained, from the use of its recognized loss and estimated payout calculations. Furthermore, you or your clients agree to release CCC from any claims, demands and damages (actual and consequential) of every kind and nature arising out of or in any way connected with CCC's recognized loss and estimated payout calculations.

39 Pending Claims

Claims listed below have been filed between 04/30/2013 and 05/18/2016 with the claims administrator and will remain “pending” until they are either paid or rejected. Please refer to CCC’s disclaimer for an explanation of CCC’s recognized loss and pro rata calculations.

Case Name	Accounts	Status
Adelphia Communications III	1	Pending
AIG - Starr Defendants Settlement	75	Pending
AIG 2008 Securities Litigation	53	Pending
Anadarko Petroleum	4	Pending
Apollo Group 2015	5	Pending
Autoliv	3	Pending
Avon Products	2	Pending
Bank of New York Mellon Forex Litigation	10	Pending
Baxter International	4	Pending
Carter's	1	Pending
Carter's PwC Settlement	1	Pending
Ciber	1	Pending
Ebix, Inc.	1	Pending
Fannie Mae 2008	5	Pending
Focus Media II	1	Pending

Hewlett Packard	18	Pending
Hewlett-Packard (HP)	22	Pending
Hospira	2	Pending
Johnson & Johnson	10	Pending
JPMorgan Chase Non-disclosure Fair Fund (JPM Fair Fund)	7	Pending
Kinder Morgan Energy Partners	4	Pending
Lehman Brothers Equity - Debt Securities Litigation, EY Settlement	2	Pending
Massey Energy Co.	1	Pending
Maxwell Technologies	1	Pending
Neustar	2	Pending
Overseas Shipholding Group (OSG)	3	Pending
Pfizer	51	Pending
Regions Financial	1	Pending
SEC v. Mozilo Fair Fund (Countrywide Financial)	17	Pending
Sprint Nextel	12	Pending
St. Jude Medical	3	Pending
Starr International v. United States	98	Pending
State Street	9	Pending
Tower Group International	1	Pending

Value Line Fair Fund	3	Pending
ViroPharma	1	Pending
Wachovia Preferred and Bond - Notes Litigation	7	Pending
Weatherford International	15	Pending
Weatherford International 2015	8	Pending

75 Claims Filed

Below is a list of all claims filed between 03-04-2013 and 05-20-2016. Distributions take place, on average, 1.5 years after the claim filing deadline

Case Name	Date Mailed	Status
40000801	2013-04-03	Rejected
40000764	2013-03-27	Pending
40000848	2013-04-26	Pending
40000844	2013-04-29	Paid
40000863	2013-05-01	Paid
40000834	2013-05-03	Rejected
40000861	2013-05-03	Rejected
40000835	2013-05-08	Rejected
40000687	2013-05-08	Rejected
40000729	2013-05-29	Paid
40000808	2013-05-29	Paid
40000711	2013-06-07	Paid
40000818	2013-06-10	Rejected
40000718	2013-06-11	Pending
40000738	2013-06-12	Rejected

40000758	2013-06-13	Pending
40001561	2013-06-24	Paid
40001029	2013-05-21	Rejected
40000759	2013-07-02	Rejected
40000746	2013-07-03	Paid
40000762	2013-07-08	Paid
40000706	2013-07-09	Paid
40000820	2013-07-10	Rejected
40000745	2013-07-10	Rejected
40000672	2013-07-10	Pending
40000753	2013-07-17	Paid
40001662	2013-08-06	Paid
40001696	2013-09-16	Pending
40001701	2013-10-03	Pending
40001797	2014-10-01	Pending
40001729	2014-09-25	Pending
40002249	2014-08-01	Pending
40002215	2014-09-16	Pending
40001777	2014-09-18	Rejected
40001707	2014-09-09	Rejected

40001706	2014-09-09	Rejected
40001663	2013-08-21	Paid
40001714	2014-08-13	Rejected
40002170	2014-07-21	Pending
40002155	2014-08-19	Pending
40002095	2014-07-03	Pending
40002103	2014-06-04	Pending
40000860	2013-02-07	Paid
40002004	2014-04-09	Pending
40001962	2014-04-17	Pending
40001893	2014-01-13	Paid
40002484	2015-04-03	Pending
40002477	2015-02-22	Pending
40002334	2014-12-16	Pending
40002366	2014-12-03	Pending
40001009	2013-05-25	Paid
40001703	2013-11-13	Paid
40001702	2013-11-08	Paid
40002267	2014-11-08	Pending
40002436	2014-12-29	Paid

40002454	2015-05-05	Pending
40002594	2015-06-24	Pending
40000728	2012-01-23	Paid
40002634	2015-07-20	Pending
40002647	2015-09-04	Pending
40002592	2015-07-30	Pending
40000877	2013-04-25	Paid
40002646	2015-08-03	Pending
40002779	2016-01-07	Pending
40002741	2015-12-28	Pending
40002761	2015-12-26	Pending
40002714	2015-12-11	Pending
40002749	2015-12-02	Pending
40002664	2015-09-21	Pending
40002592	2015-12-02	Pending
40002728	2015-12-09	Pending
40002674	2015-09-09	Pending
40002731	2015-10-31	Pending
40002777	2016-02-03	Pending
40002755	2016-01-19	Pending

15 Checks Paid

Case Name	Date	Status
AIG	16	2015-10-08
Lehman Brothers Equity - Debt Securities Litigation, Underwriter Settlement	1	2014-12-09
Motorola III	1	2015-04-21
CIT Group	1	2015-04-21
Medtronic	1	2015-10-08
Citigroup	7	2014-12-09
Pharmacia	1	2014-12-09
Bank of America	28	2015-11-16
Dell SEC Fair Fund	9	2015-04-21
SunPower	1	2015-04-21
Citigroup Bond Litigation	1	2014-12-09
Computer Sciences	1	2015-04-21
General Electric	3	2015-04-21
Sanofi-Aventis	2	2015-10-08
Private Equity Litigation/Bain Capital	12	2015-12-31