

# **SEQUENCE MODELS**

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# SEQUENCE DATA

- **Examples:** Speech recognition, music generation, sentiment classification, DNA sequence analysis, machine translation, video activity recognition, name entity recognition

- **Representation:**

$X^{(i)<t>}$ :  $t^{th}$  word in  $i^{th}$  training example

$Y^{(i)<t>}$ :  $t^{th}$  label for  $i^{th}$  training example

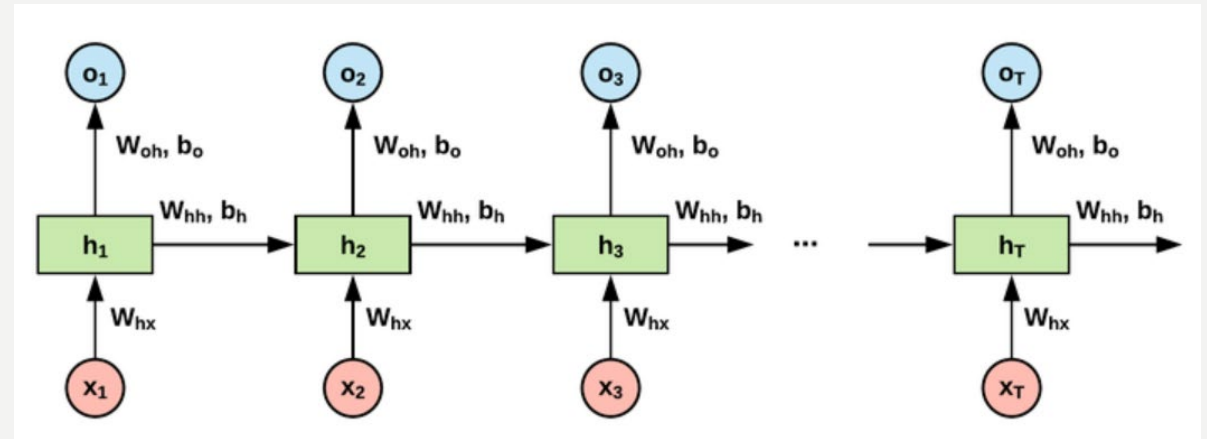
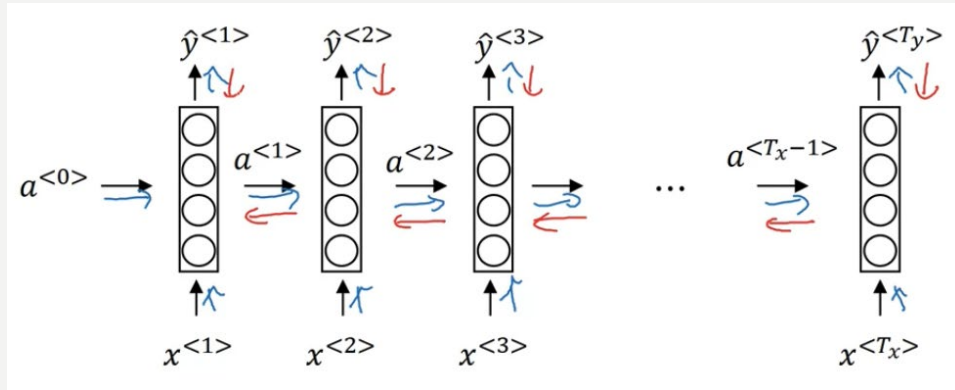
$T_x^{(i)}$ : length of  $i^{th}$  training example

$T_y^{(i)}$ : length of label for  $i^{th}$  training example

$$\text{Vocabulary} = \begin{bmatrix} a \\ aaron \\ \vdots \\ harry \\ \vdots \\ zulu \end{bmatrix} \in (10000,1); \text{One - hot encode: } x^{<t>} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{bmatrix} \in (10000,1)$$

- **Problems with a standard network:**
  - Inputs, outputs can be  $\neq$  lengths in  $\neq$  examples
  - Doesn't share features learned across  $\neq$  positions of text

# RECURRENT NEURAL NETWORKS



- Forward propagation:

- $a^{<t>} = \tanh(W_{aa}a^{<t-1>} + W_{ax}x^{<t>} + b_a) = \tanh(W_a[a^{<t-1>}, x^{<t>}] + b_a)$

- $W_a = [W_{aa} \mid W_{ax}] \in (\#examples, \#examples + \#vocabulary)$

- $[a^{<t-1>}, x^{<t>}] = \begin{bmatrix} a^{<t-1>} \\ x^{<t>} \end{bmatrix} \in (\#examples + \#vocabulary, 1)$

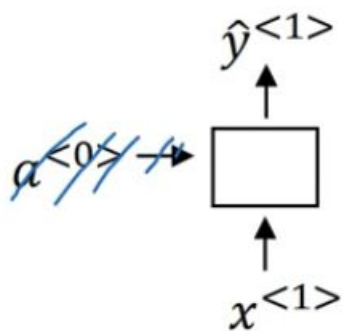
- $a^{<0>} = 0$

- $\hat{y}^{<t>} = \text{sigmoid}(W_{ya}a^{<t>} + b_y) = \text{sigmoid}(W_y a^{<t>} + b_y)$

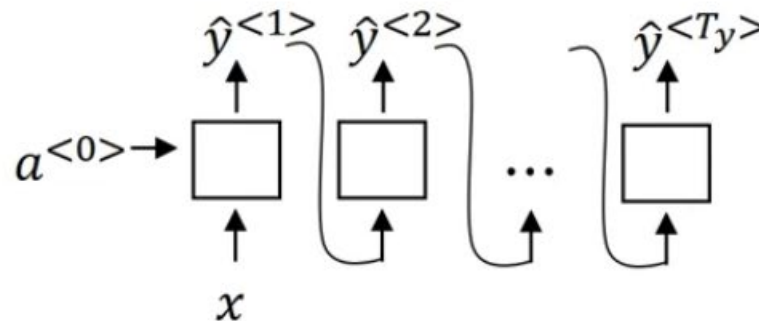
- $L^{<t>}(\hat{y}^{<t>}, y^{<t>}) = -y^{<t>} \log \hat{y}^{<t>} - (1 - y^{<t>}) \log(1 - \hat{y}^{<t>})$

- **Backpropagation through time:**  $L(\hat{y}, y) = \sum_{t=1}^{T_y} L^{<t>}(\hat{y}^{<t>}, y^{<t>})$

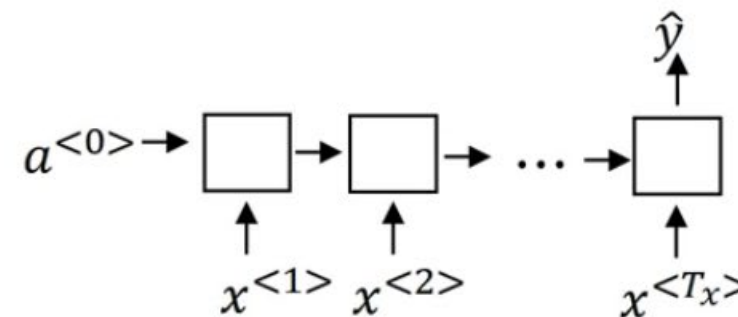
# RNN TYPES



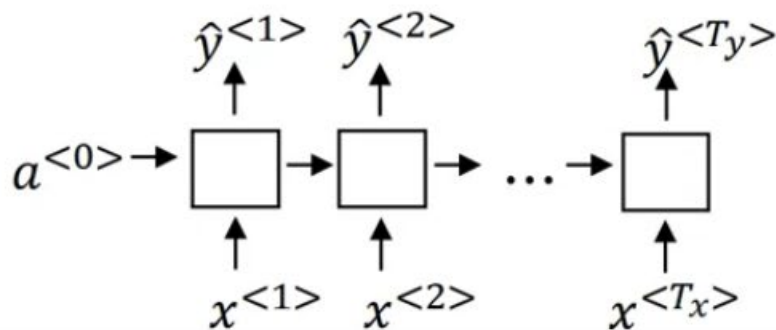
One to one



One to many

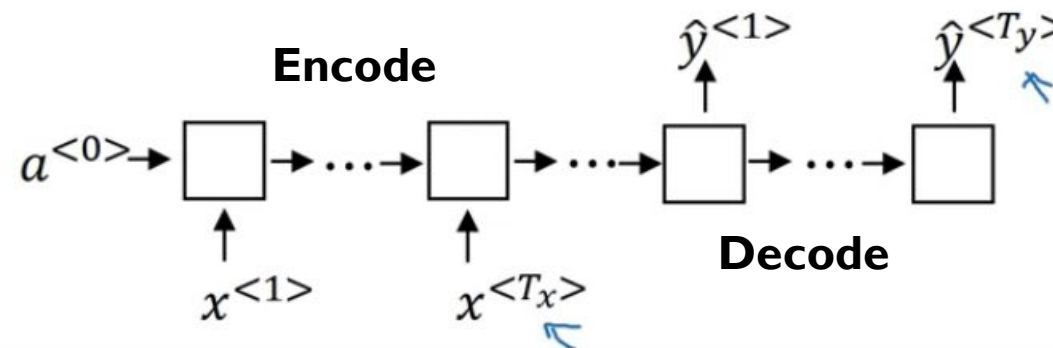


Many to one



Many to many

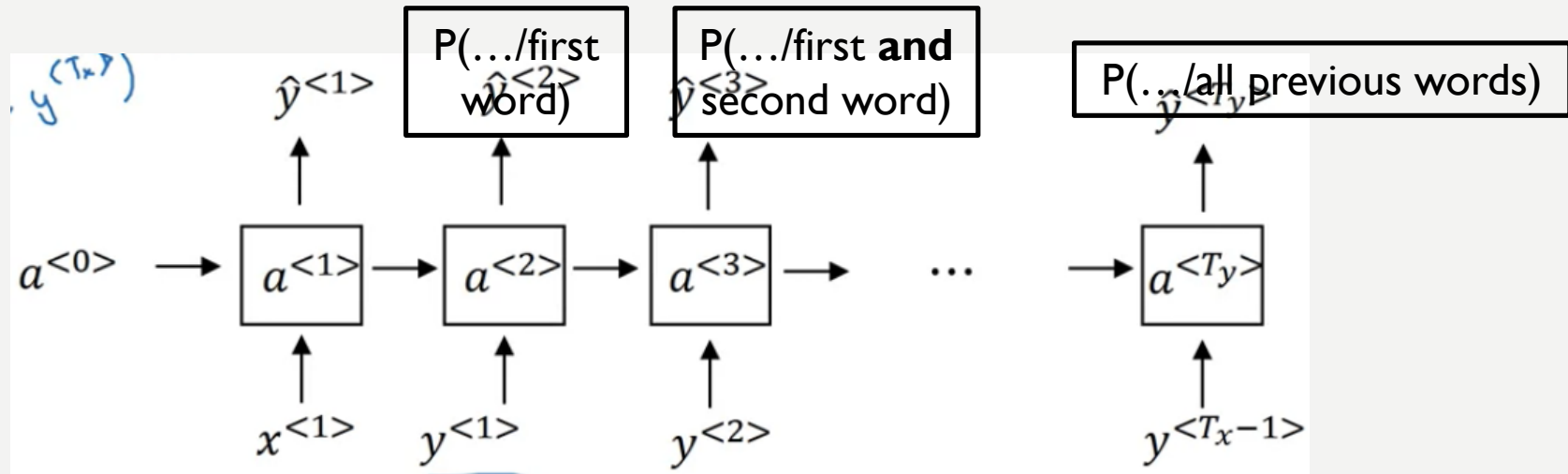
$T_x = T_y$



Many to many

# LANGUAGE MODELING

- **Training set:** Large corpus (data set) of English text
  - <EOS> = Word for End Of Sentence
  - <UNK> = Word for words that are not in the vocabulary



- $L^{<t>}(\hat{y}^{<t>}, y^{<t>}) = -\sum_i y_i^{<t>} \log \hat{y}_i^{<t>}$
- $P(y^{<1>}, y^{<2>}, y^{<3>}) = P(y^{<1>})P(y^{<2>}/y^{<1>})P(y^{<3>}/y^{<1>}, y^{<2>})$
- **Sampling a sequence from a trained RNN:** Start with a random word, and generate next words based on probabilities
- **PS:** There is also **character-level language model**, that has a vocabulary of characters individually
- **PS:** Problem of **vanishing gradients** / **Exploding gradients**: No possibility to remember past entries

# GRU & LSTM

$$\tilde{c}^{<t>} = \tanh(W_c[\Gamma_r * c^{<t-1>}, x^{<t>}] + b_c)$$

$$\Gamma_u = \sigma(W_u[c^{<t-1>}, x^{<t>}] + b_u)$$

$$\Gamma_r = \sigma(W_r[c^{<t-1>}, x^{<t>}] + b_r)$$

$$c^{<t>} = \Gamma_u * \tilde{c}^{<t>} + (1 - \Gamma_u) * c^{<t-1>}$$

$$a^{<t>} = c^{<t>}$$

Adding orange → Full GRU

$c$ : Memory cell

$\Gamma_u$ : Update gate

$\Gamma_o$ : Output gate

$\Gamma_f$ : Forget gate

$$\tilde{c}^{<t>} = \tanh(W_c[a^{<t-1>}, x^{<t>}] + b_c)$$

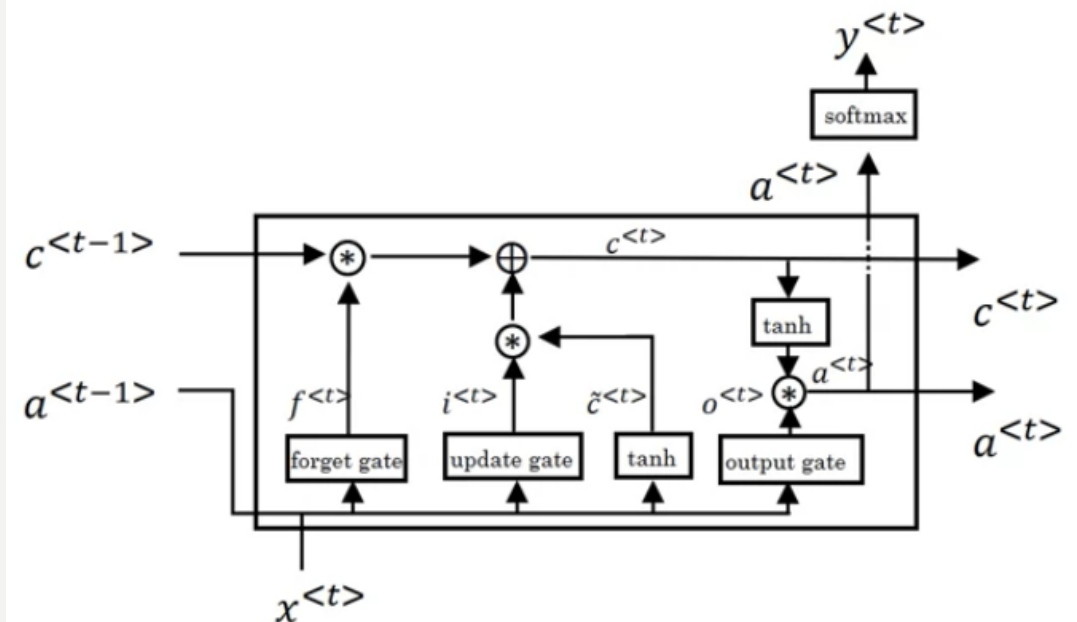
$$\Gamma_u = \sigma(W_u[a^{<t-1>}, x^{<t>}] + b_u)$$

$$\Gamma_f = \sigma(W_f[a^{<t-1>}, x^{<t>}] + b_f)$$

$$\Gamma_o = \sigma(W_o[a^{<t-1>}, x^{<t>}] + b_o)$$

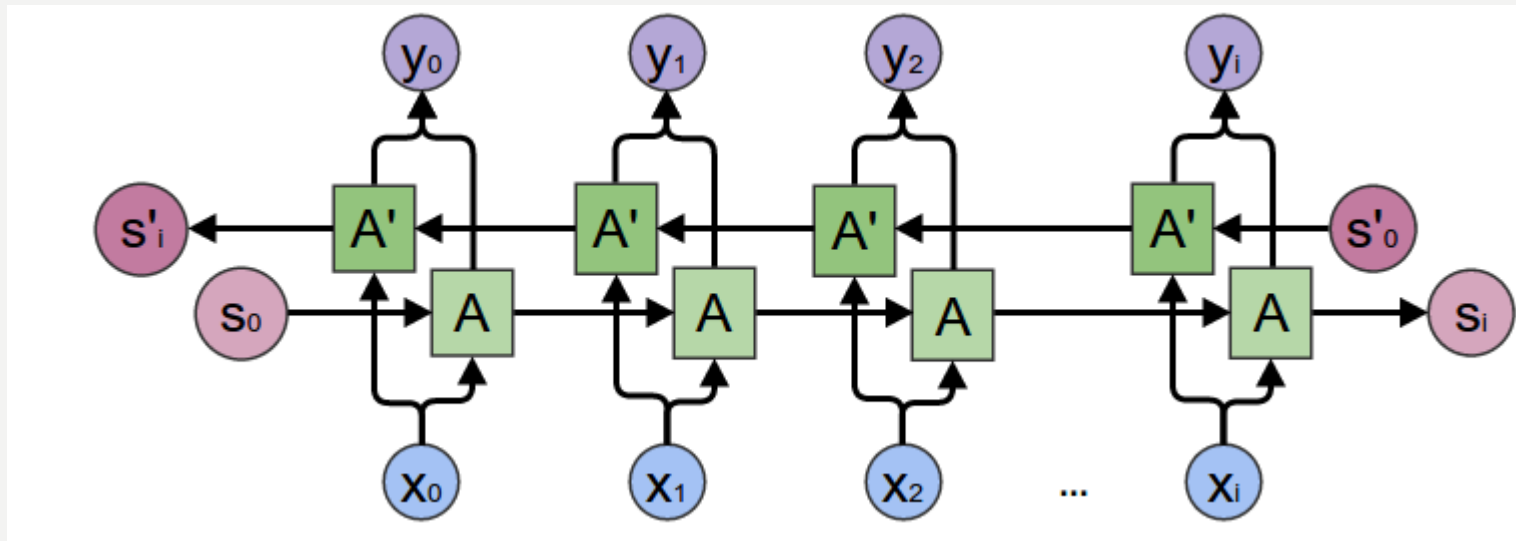
$$c^{<t>} = \Gamma_u * \tilde{c}^{<t>} + \Gamma_f * c^{<t-1>}$$

$$a^{<t>} = \Gamma_o * \tanh c^{<t>}$$



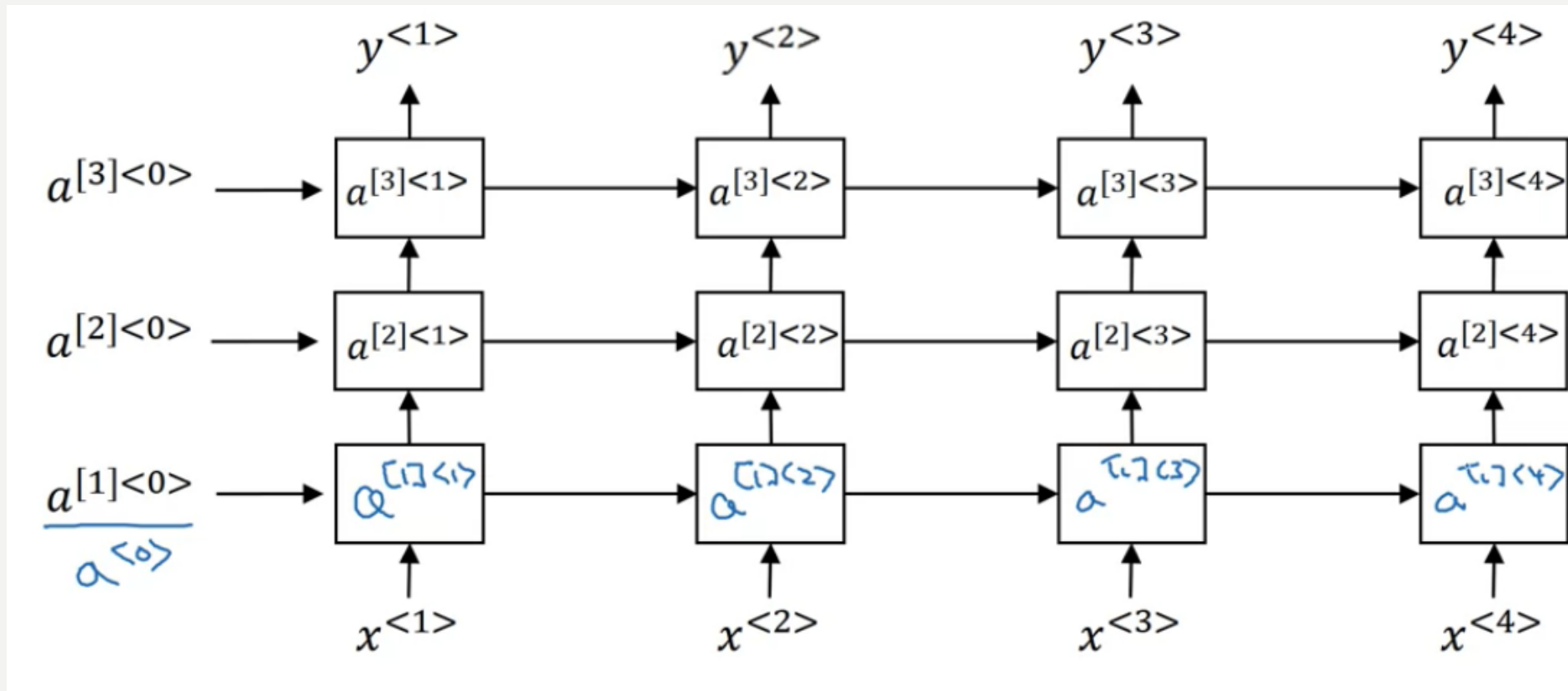
# BIDIRECTIONAL RNN

- **Goal:** Learn from the future and the past  $\rightarrow$  Acyclic graph
- $\hat{y}^{<t>} = g(W_y[\vec{a}^{<t>}, \tilde{a}^{<t>} + b_y]$



# DEEP RNN

- $$a^{[l]<t>} = g(W_a^{[l]} [a^{[l]<t-1>}, a^{[l-1]<t>} + b_a^{[l]})$$





# WORD EMBEDDING

- The problem of word representation (one hot vectors) is that words are not linked to each other

$e_{word}$	Features/Word	King	Queen	Apple	Orange
	Gender	-1	1	0	0
	Food	0	0	1	1
	...	...	...	...	...

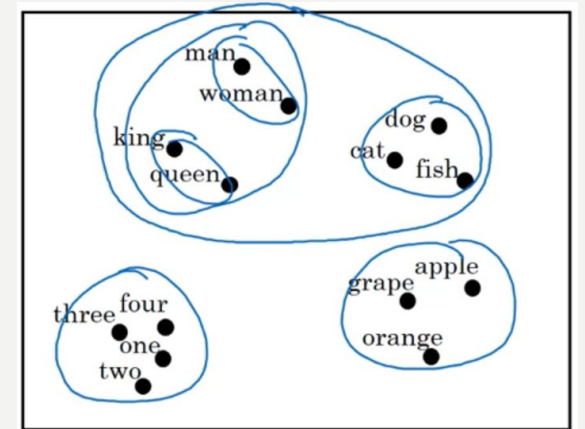
→ Learning « orange **juice** » will lead to learning « apple **juice** » because orange and apple are linked

- t-SNE algorithm: #Features dimension → 2D: Visualize word embedding

- Transfer learning:

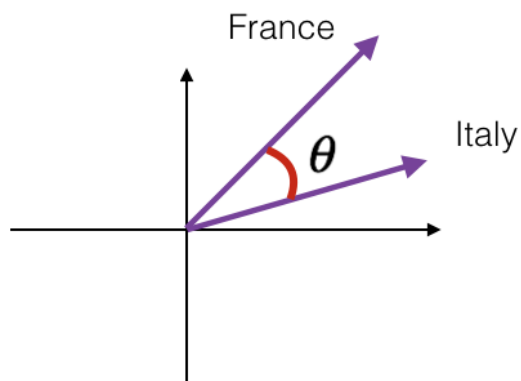
- Learn word embeddings from large text corpus (1-100B words)  
(Or download pre-trained embedding online)
- Transfer embedding to new task with smaller training set (100k words)
- Optional: Continue to finetune the word embeddings with new data

- PS: Word embedding in RNN = Face encoding in CNN



# SIMILARITY

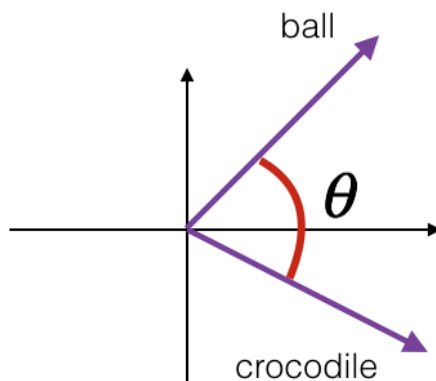
- Man to woman as king to \_\_\_\_?
- $e_{man} - e_{woman} = e_{king} - e_{?} \Rightarrow \arg \max \text{similarity}(e_{?}, e_{king} - e_{man} + e_{woman})$
- Exp: *Cosine similarity* $(u, v) = \frac{u^T v}{\|u\|_2 \|v\|_2}$



France and Italy are quite similar

$\theta$  is close to  $0^\circ$

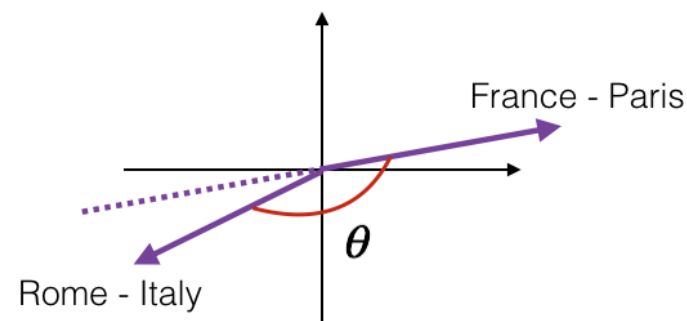
$\cos(\theta) \approx 1$



ball and crocodile are not similar

$\theta$  is close to  $90^\circ$

$\cos(\theta) \approx 0$



the two vectors are similar but opposite  
the first one encodes (city - country)  
while the second one encodes (country - city)

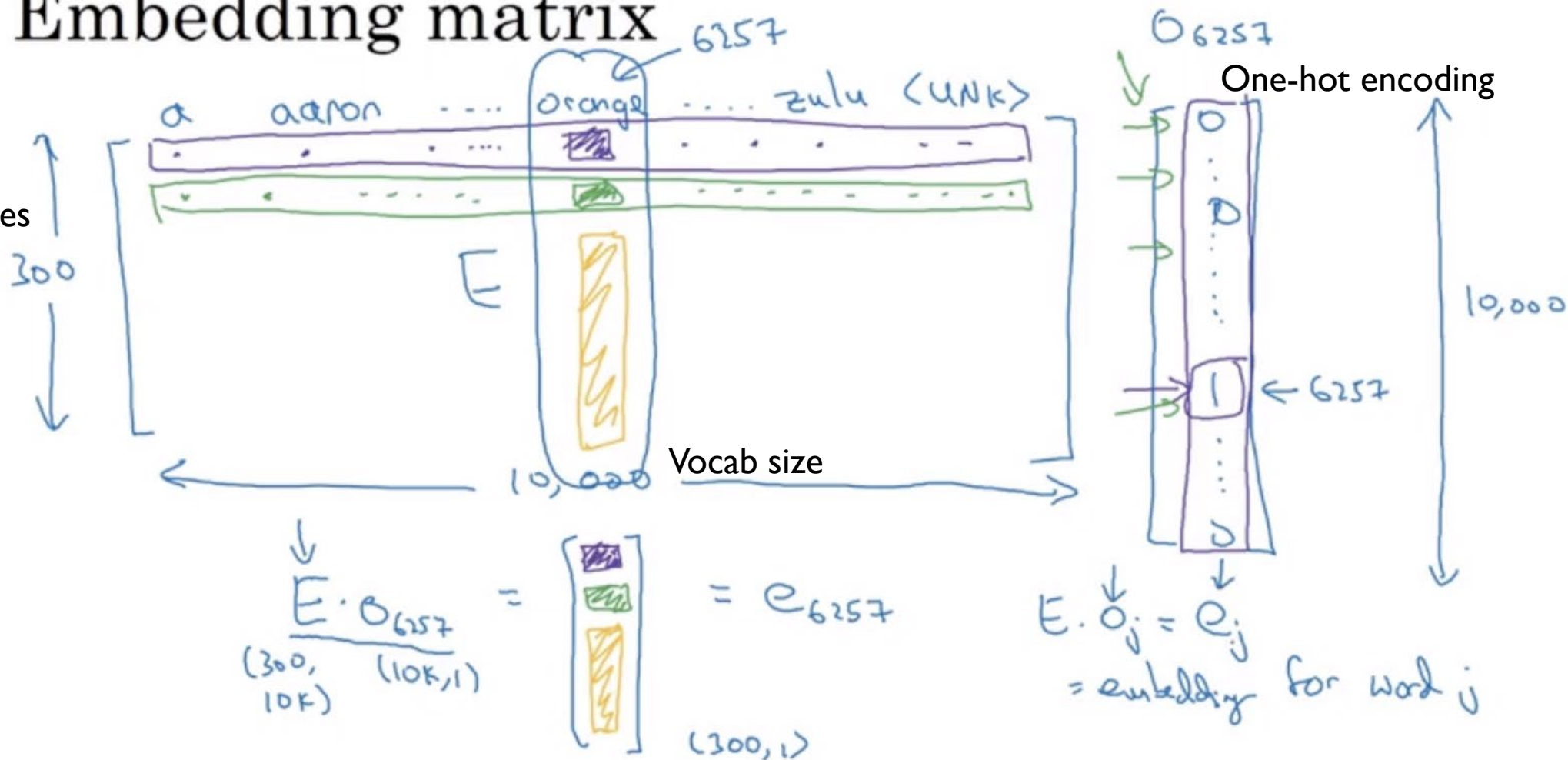
$\theta$  is close to  $180^\circ$

$\cos(\theta) \approx -1$

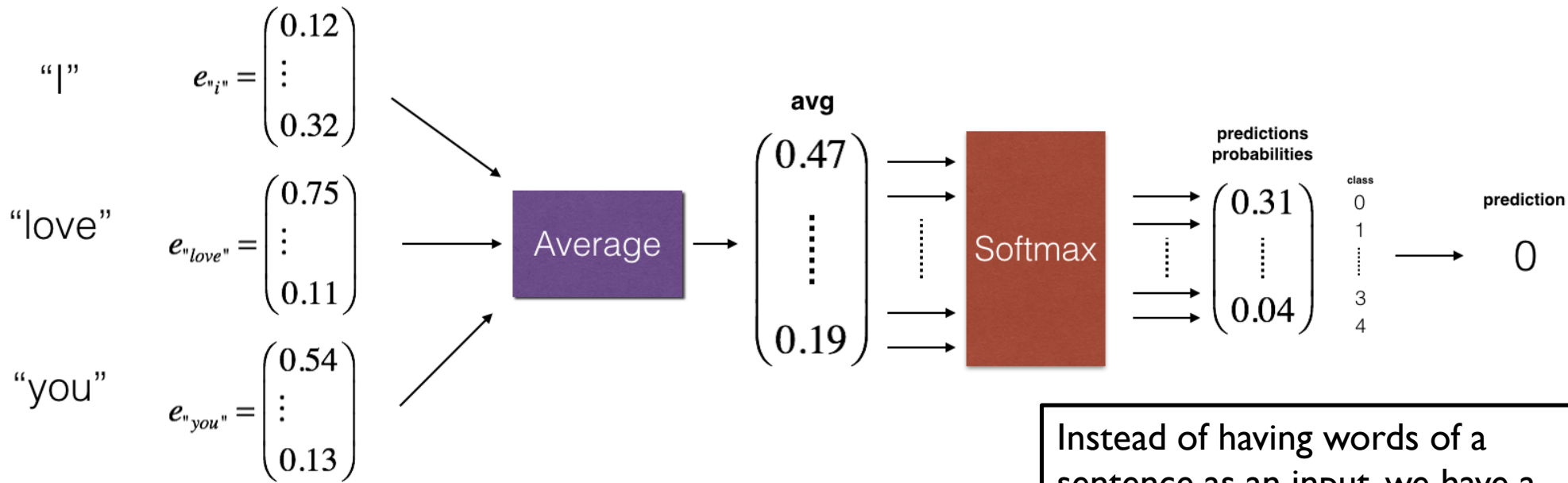
# EMBEDDING MATRIX

Embedding matrix

#Features



# NATURAL LANGUAGE MODEL (1)



code	emoji	label
:heart:	❤️	0
:baseball:	⚾️	1
:smile:	😊	2
:disappointed:	😞	3
:fork_and_knife:	🍴	4

Instead of having words of a sentence as an input, we have a list of possible target words

- **Context/trager pairs:** Context can be:

- Last 4 words
- 4 words on left & right
- Last 1 word
- Nearby 1 word

- PS: **Natural language model** works like **sentiment classification model**

- PS2: We can use **RNN many-to-one** as sentiment classification model

# NATURAL LANGUAGE MODEL (2)

- Softmax:  $p(t/c) = \frac{e^{\theta_t^T e_c}}{\sum_{j=1}^{10000} e^{\theta_j^T e_c}}$ ;  $\theta_t$ =parameter associated with target  $t$   
 $e_c$ =Embedding of context  $c$

→ **Problem:** Computationally expensive → Hierarchical softmax (Not bad as a solution)

- **Solution:** Defining a new learning problem

- Input = Couple (Context, Word), context being static
- Output =  $\begin{matrix} 1 & \text{if word is target} \\ 0 & \text{if not} \end{matrix}$
- Training set size: 5-20 words for small data set and 2-5 words for large data set

$$\rightarrow P(y = 1/c, t) = \sigma'(\theta_t^T e_c) = \frac{f(w_i)^{\frac{3}{4}}}{\sum_{j=1}^{10000} f(w_j)^{\frac{3}{4}}} : 10000 \text{ binary classification problem}$$

- **PS:**  $\frac{3}{4}$  to avoid negative examples (the, of, and...) and to consider positive rare examples (orange, apple...)

- **GloVe (Global Vectors for word representation):**

- $X_{ij}$  = times  $i$  appears in context of  $j$  ( $i$  = context,  $j$  = target)
- Minimize  $\sum_{i=1}^{10,000} \sum_{j=1}^{10,000} f(X_{ij})(\theta_i^T e_j + b_i + b'_j - \log X_{ij})^2$ ;  $f(0) = 0$

# BIAS IN WORD EMBEDDING

- PS: Word embeddings can reflect gender, ethnicity, age, sexual orientation, and other biases of the text used to train the model

1. Identify bias direction

$$e_{he} - e_{she}$$

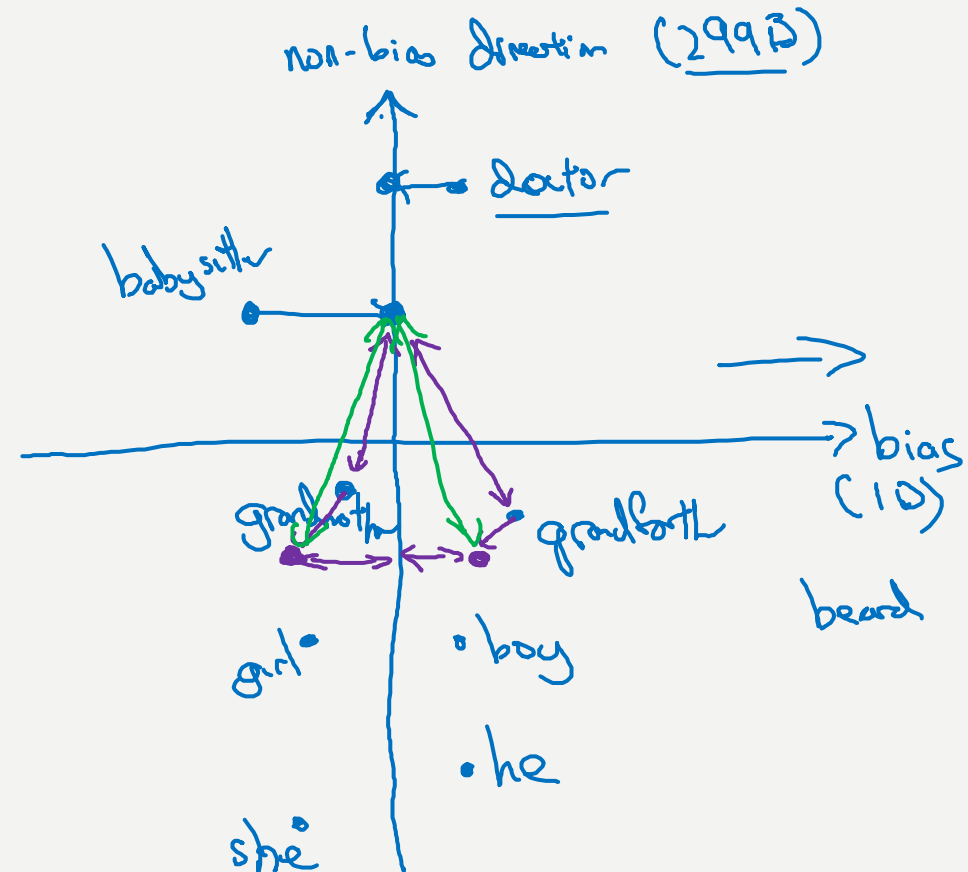
$$e_{male} - e_{female}$$

→ Average

2. Neutralize: For every word that is not definitional, project to get rid of bias

3. Equalize pairs

*grandmother girl – grandfather boy*



# SEQUENCE TO SEQUENCE MODEL

- **Text translation:** Encode layer  $\rightarrow$  Final feature vector  $\rightarrow$  Decode layer
- **Image captioning:** CNN  $\rightarrow$  Final feature vector  $\rightarrow$  Decode layer
- **PS:** Machine translation = Conditional language model:

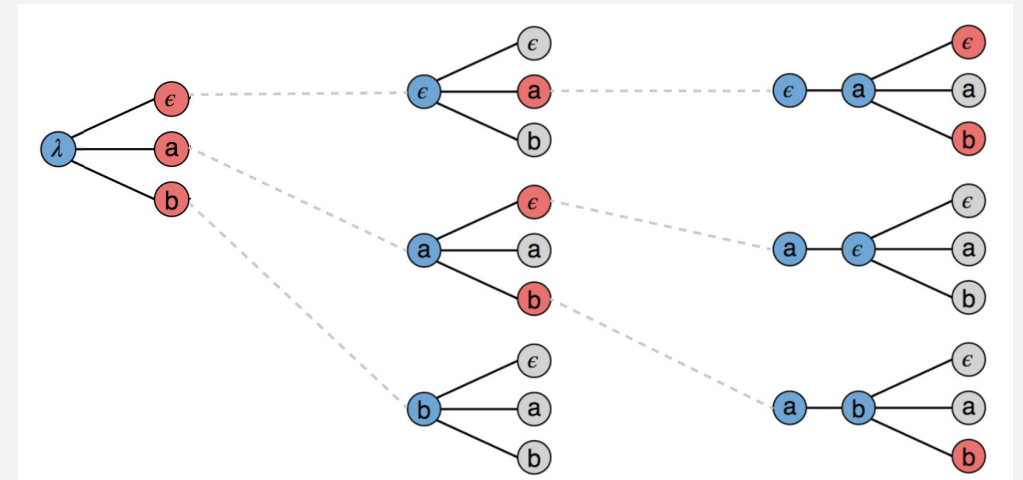
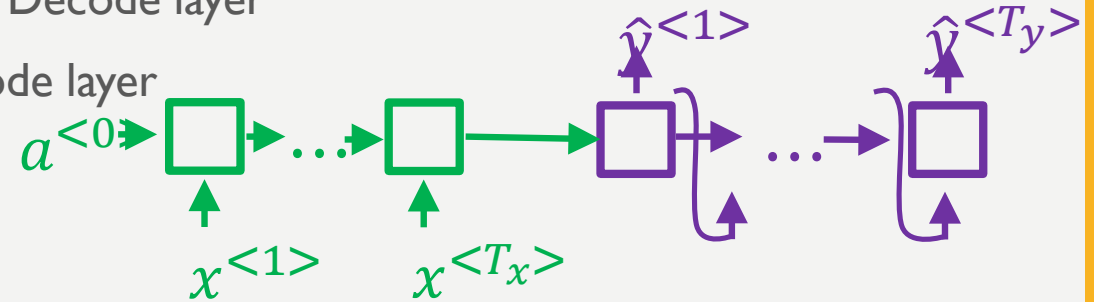
$$\rightarrow \arg \max P(y^{<1>}, \dots, y^{<T_y>} | x^{<1>}, \dots, x^{<T_x>})$$

- **Greedy search:**  $P(y^{<1>}, \dots, y^{<T_y>} | x)$ :

Predict each word on itself, and go on...

- **Beam search:** Choose the best 3 possible words (maximum probabilities), and go on...

- Beam width = Maximum number of words to select
  - Large B: Better result / Slower | Small B: Worse result / Faster
- Error analysis:
  - If  $P(y_{human} | x) > P(y_{algorithm} | x) \rightarrow$  Beam search at fault
  - If  $P(y_{human} | x) \leq P(y_{algorithm} | x) \rightarrow$  RNN at fault



$$\arg \max_y \prod_{t=1}^{T_y} P(y^{<t>} | x, y^{<1>}, \dots, y^{<t-1>}) \Leftrightarrow \arg \max_y \sum_{t=1}^{T_y} \log P(y^{<t>} | x, y^{<1>}, \dots, y^{<t-1>})$$

# BLEU SCORE

- BLEU = Bilingual Evaluation Understanding
  - $p_1$  = Performance by choosing a unigram
  - $p_2$  = Performance by choosing n-unigram

$$p_1 = \frac{\sum_{unigram \in \hat{y}} count_{clip}(unigram)}{\sum_{unigram \in \hat{y}} count(unigram)}$$

$$p_n = \frac{\sum_{ngram \in \hat{y}} count_{clip}(ngram)}{\sum_{ngram \in \hat{y}} count(ngram)}$$

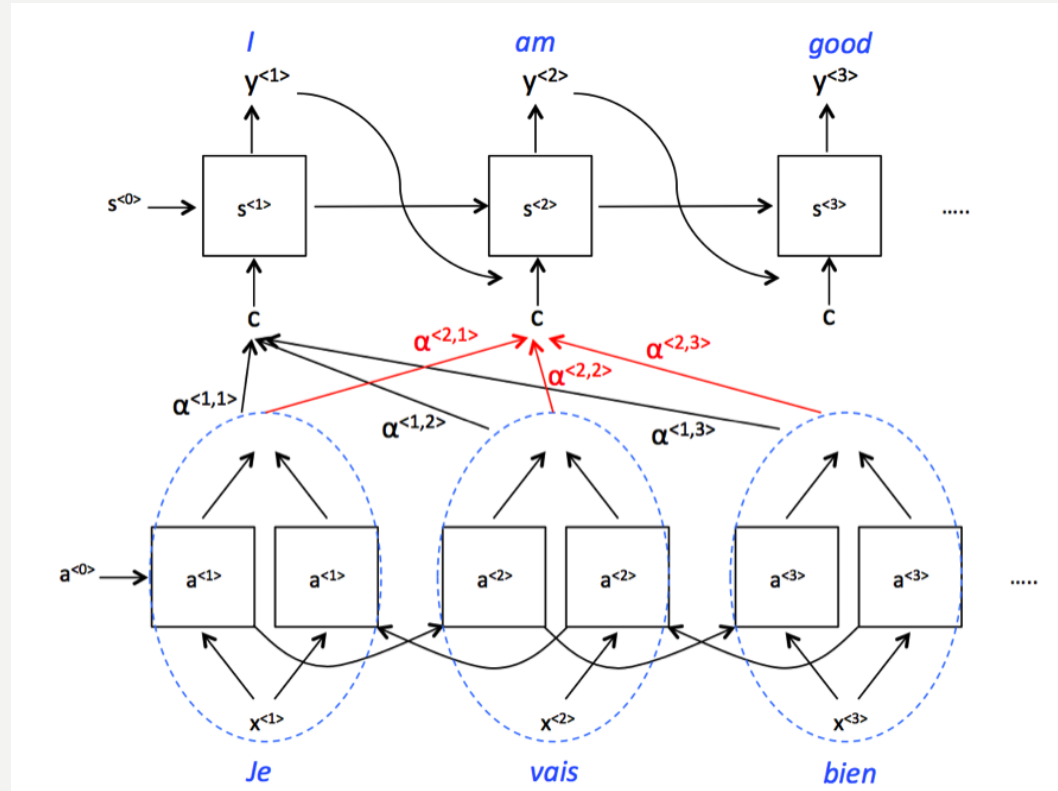
- Combine BLEU score:  $BP \cdot e^{\frac{1}{m} \sum_{n=1}^m p_n}$ 
  - With:

$$BP = \begin{cases} 1 & \text{if MT\_output\_length} > \text{reference\_output\_length} \\ \exp(1 - \text{MT\_output\_length}/\text{reference\_output\_length}) & \text{otherwise} \end{cases}$$



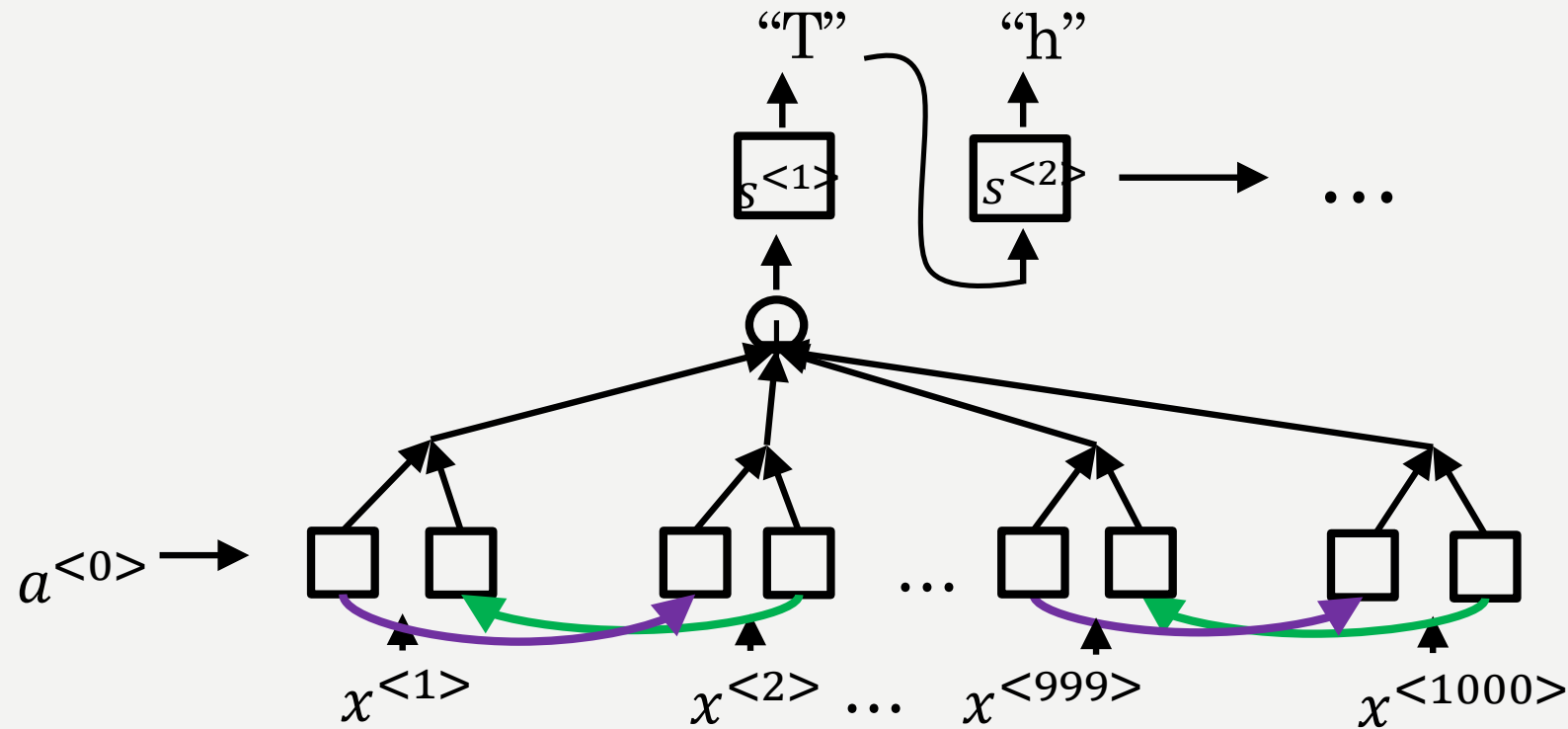
# ATTENTION MODEL

- Goal: Resolve machine translation problem if a sentence is too long



- $\alpha^{<t,t'>} = \frac{e^{e^{<t,t'>}}}{\sum_{t'=1}^{T_x} e^{e^{<t,t'>}}}$  Amount of "attention"  $y^{<t>}$  should pay to  $a^{<t'>}$
- $c^{<t>} = \sum_{t'} \alpha^{<t,t'>} a^{<t'>}$  ;  $a^{<t'>} = (\vec{a}^{<t'>}, \tilde{a}^{<t'>})$
- $\sum_{t'} \alpha^{<t,t'>} = 1$

# ATTENTION MODEL – SPEECH RECOGNITION



- CTC = Connectionist Temporal Classification
  - Basic rule: Collapse characters not separated by « blank »
- PS: Trigger word detection algorithm → Put I's seconds just after the trigger word is said