

# 1 Written: Understanding word2vec

(a)

$\mathbf{y}_w$  is a one hot vector, so all the zeros in the summation will be dropped, except for where the value of  $\mathbf{y}_w$  is 1.

(b)

(i)

$$\begin{aligned}
 J_{\text{naive-softmax}}(\mathbf{v}_c, o, U) &= -\log \frac{\exp \mathbf{u}_o^T \mathbf{v}_c}{\sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c} \\
 &= -\mathbf{u}_o^T \mathbf{v}_c + \log \sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c \\
 \frac{\partial J_{\text{naive-softmax}}(\mathbf{v}_c, o, U)}{\partial \mathbf{v}_c} &= -\mathbf{u}_o + \frac{1}{\sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c} \frac{\partial \sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c}{\partial \mathbf{v}_c} \\
 &= -\mathbf{u}_o + \frac{1}{\sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c} \sum_{w \in \text{Vocab}} \mathbf{u}_w \exp \mathbf{u}_w^T \mathbf{v}_c \\
 &= -U\mathbf{y} + \sum_{w \in \text{Vocab}} \frac{\exp \mathbf{u}_w^T \mathbf{v}_c}{\sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c} \mathbf{u}_w \\
 &= -U\mathbf{y} + \sum_{w \in \text{Vocab}} \hat{\mathbf{y}}_w \mathbf{u}_w \\
 &= -U\mathbf{y} + U\hat{\mathbf{y}} \\
 &= U(\hat{\mathbf{y}} - \mathbf{y})
 \end{aligned}$$

(ii)

- $\hat{\mathbf{y}} = \mathbf{y}$
- $(\hat{\mathbf{y}} - \mathbf{y})$  is in the null space of  $U$

(c)

- where  $w = o$  we have:

$$\begin{aligned}
\frac{\partial J_{\text{naive-softmax}}(\mathbf{v}_c, o, U)}{\partial \mathbf{u}_w} &= \frac{\partial}{\partial \mathbf{u}_w} [-\mathbf{u}_o^T \mathbf{v}_c + \log \sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c] \\
&= -\mathbf{v}_c^T + \frac{1}{\sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c} \frac{\partial}{\partial \mathbf{u}_w} \left[ \sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c \right] \\
&= -\mathbf{v}_c^T + \frac{\exp \mathbf{u}_w^T \mathbf{v}_c}{\sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c} \mathbf{v}_c^T \\
&= -\mathbf{v}_c^T + \hat{\mathbf{y}}_w \mathbf{v}_c^T \\
&= (\hat{\mathbf{y}}_w - 1) \mathbf{v}_c^T
\end{aligned}$$

- where  $w \neq o$  we have:

$$\begin{aligned}
\frac{\partial J_{\text{naive-softmax}}(\mathbf{v}_c, o, U)}{\partial \mathbf{u}_w} &= \frac{\partial}{\partial \mathbf{u}_w} [-\mathbf{u}_o^T \mathbf{v}_c + \log \sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c] \\
&= \frac{1}{\sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c} \frac{\partial}{\partial \mathbf{u}_w} \left[ \sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c \right] \\
&= \frac{\exp \mathbf{u}_w^T \mathbf{v}_c}{\sum_{w \in \text{Vocab}} \exp \mathbf{u}_w^T \mathbf{v}_c} \mathbf{v}_c^T \\
&= \hat{\mathbf{y}}_w \mathbf{v}_c^T \\
&= (\hat{\mathbf{y}}_w - 0) \mathbf{v}_c^T
\end{aligned}$$

For it to be same shape as  $\mathbf{u}_w$ , we need to transpose  $\mathbf{v}_c^T$ , so the partial derivatives are:

$$\frac{\partial J_{\text{naive-softmax}}(\mathbf{v}_c, o, U)}{\partial \mathbf{u}_w} = \begin{cases} (\hat{\mathbf{y}}_w - 1) \mathbf{v}_c & \text{if } w = o, \\ (\hat{\mathbf{y}}_w - 0) \mathbf{v}_c & \text{if } w \neq o. \end{cases}$$

or, for the general case:

$$\frac{\partial J_{\text{naive-softmax}}(\mathbf{v}_c, o, U)}{\partial \mathbf{u}_w} = (\hat{\mathbf{y}}_w - \mathbf{y}_w) \mathbf{v}_c$$

(d)

$$\frac{\partial J_{\text{naive-softmax}}(\mathbf{v}_c, o, U)}{\partial U} = \mathbf{v}_c (\hat{\mathbf{y}} - \mathbf{y})^T$$

(e)

$$\frac{\partial \max \alpha x, x}{\partial x} = \begin{cases} \alpha & \text{if } x < 0, \\ 1 & \text{if } x > 0. \end{cases}$$

(f)

$$\sigma'(x) = \sigma(x)(1 - \sigma(x))$$

(g)

(i)

$$\begin{aligned} \frac{\partial J_{\text{neg-sample}}(\mathbf{v}_c, o, U)}{\partial \mathbf{v}_c} &= \frac{-\sigma(\mathbf{u}_o^T \mathbf{v}_c)(1 - \sigma(\mathbf{u}_o^T \mathbf{v}_c))\mathbf{u}_o}{\sigma(\mathbf{u}_o^T \mathbf{v}_c)} - \sum_{s=1}^K \frac{-\sigma(-\mathbf{u}_{w_s}^T \mathbf{v}_c)(1 - \sigma(-\mathbf{u}_{w_s}^T \mathbf{v}_c))\mathbf{u}_{w_s}}{\sigma(-\mathbf{u}_{w_s}^T \mathbf{v}_c)} \\ &= (\sigma(\mathbf{u}_o^T \mathbf{v}_c) - 1)\mathbf{u}_o + \sum_{s=1}^K -(\sigma(-\mathbf{u}_{w_s}^T \mathbf{v}_c) - 1)\mathbf{u}_{w_s} \\ \frac{\partial J_{\text{neg-sample}}(\mathbf{v}_c, o, U)}{\partial \mathbf{u}_o} &= \frac{-\sigma(\mathbf{u}_o^T \mathbf{v}_c)(1 - \sigma(\mathbf{u}_o^T \mathbf{v}_c))\mathbf{u}_o}{\sigma(\mathbf{u}_o^T \mathbf{v}_c)} \\ &= (\sigma(\mathbf{u}_o^T \mathbf{v}_c) - 1)\mathbf{v}_c \\ \frac{\partial J_{\text{neg-sample}}(\mathbf{v}_c, o, U)}{\partial -\mathbf{u}_{w_s}} &= (\sigma(\mathbf{u}_{w_s}^T \mathbf{v}_c) - 1)\mathbf{v}_c \end{aligned}$$

(ii)

$$\sigma(U_{o, \{w_1, \dots, w_K\}}^T \mathbf{v}_c) - \mathbf{1}$$

(h)

$$\begin{aligned}
\frac{\partial J_{\text{neg-sample}}(\mathbf{v}_c, o, U)}{\partial -\mathbf{u}_{w_s}} &= \frac{\partial}{\partial -\mathbf{u}_{w_s}} - \sum_{\substack{1 \leq t \leq K \\ \mathbf{w}_t = \mathbf{w}_s}} \log \sigma(-\mathbf{u}_{w_t}^T \mathbf{v}_c) \\
&= - \sum_{\substack{1 \leq t \leq K \\ \mathbf{w}_t = \mathbf{w}_s}} \frac{-\sigma(-\mathbf{u}_{w_t}^T \mathbf{v}_c)(1 - \sigma(-\mathbf{u}_{w_t}^T \mathbf{v}_c))\mathbf{v}_c}{\sigma(-\mathbf{u}_{w_t}^T \mathbf{v}_c)} \\
&= \sum_{\substack{1 \leq t \leq K \\ \mathbf{w}_t = \mathbf{w}_s}} (\sigma(-\mathbf{u}_{w_t}^T \mathbf{v}_c) - 1)\mathbf{v}_c
\end{aligned}$$

(i)

(i)

$$\frac{\partial J_{\text{skip-gram}}(\mathbf{v}_c, w_{t-m}, \dots, w_{t+m}, U)}{\partial U} = \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \frac{\partial J_{\text{skip-gram}}(\mathbf{v}_c, w_{t+j}, U)}{\partial U}$$

(ii)

$$\frac{\partial J_{\text{skip-gram}}(\mathbf{v}_c, w_{t-m}, \dots, w_{t+m}, U)}{\partial \mathbf{v}_c} = \sum_{\substack{-m \leq j \leq m \\ j \neq 0}} \frac{\partial J_{\text{skip-gram}}(\mathbf{v}_c, w_{t+j}, U)}{\partial \mathbf{v}_c}$$

(iii)

$$\frac{\partial J_{\text{skip-gram}}(\mathbf{v}_c, w_{t-m}, \dots, w_{t+m}, U)}{\partial \mathbf{v}_w} = 0$$