

# Homework 3 – Course 02231 Cryptography Solutions

Group HW46

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## Exercise 3.1 (A simple CCA attack on Regev's encryption scheme)

Recall Regev's PKE:

- **Keygen()**: sample

$$A \leftarrow \mathbb{Z}_p^{\ell \times n}, \quad s \leftarrow \mathbb{Z}_p^n, \quad e \leftarrow q_\sigma.$$

Set  $b = As + e \bmod p$  and output  $(\text{sk}, \text{pk}) = (s, (A, b))$ .

- **Enc(pk, m)** with  $m \in \{0, 1\}$ : sample  $r \in \{0, 1\}^\ell$ , then compute

$$c_0 = r^\top A \bmod p, \quad c_1 = r^\top b + m \cdot \frac{p-1}{2} \bmod p,$$

and output  $c = (c_0, c_1)$ .

- **Dec(sk, c)** for  $c = (c_0, c_1)$ :

$$m' = \left\lfloor \frac{2(c_1 - c_0^\top s \bmod p)}{p} \right\rfloor,$$

and output  $m'$ .

We always represent elements of  $\mathbb{Z}_p$  as integers in  $\{-(p-1)/2, \dots, (p-1)/2\}$ , as stated in the assignment.

### (1) Decryption of the modified ciphertext

Let  $c^* = (c_0^*, c_1^*)$  be a valid encryption of  $m_b \in \{0, 1\}$ . We define

$$c' = (c_0^*, c_1^* + \frac{p-1}{2} \bmod p).$$

We show that  $c'$  decrypts to  $1 - m_b$  (assuming decryption succeeds, i.e., the noise is small enough, as guaranteed by the scheme parameters).

First, expand the decryption for a general ciphertext  $c = (c_0, c_1)$ . Using  $b = As + e \bmod p$ , we get

$$\begin{aligned} c_1 - c_0^\top s &= r^\top b + m \cdot \frac{p-1}{2} - (r^\top A)s \bmod p \\ &= r^\top (As + e) + m \cdot \frac{p-1}{2} - r^\top As \bmod p \\ &= r^\top e + m \cdot \frac{p-1}{2} \bmod p. \end{aligned}$$

Denote  $u := r^\top e + m \cdot \frac{p-1}{2}$  represented in  $\{-(p-1)/2, \dots, (p-1)/2\}$ . The decryption computes

$$m' = \left\lfloor \frac{2u}{p} \right\rfloor.$$

By construction of Regev's scheme,  $r^\top e$  is small, so:

- If  $m = 0$ , then  $u \approx r^\top e$  is close to 0, so  $\frac{2u}{p}$  is close to 0 and rounds to 0.
- If  $m = 1$ , then  $u \approx \frac{p-1}{2} + r^\top e$  is close to  $\frac{p-1}{2}$ , so  $\frac{2u}{p}$  is close to 1 and rounds to 1.

Thus the decryption is correct.

Now look at the modified ciphertext

$$c' = (c_0^*, c_1^* + \frac{p-1}{2} \bmod p),$$

where  $c^*$  encrypts  $m_b$  with randomness  $r$ . Then

$$c'_1 - (c'_0)^\top s = (c_1^* + \frac{p-1}{2}) - (c_0^*)^\top s = (c_1^* - (c_0^*)^\top s) + \frac{p-1}{2} \bmod p.$$

From above,

$$c_1^* - (c_0^*)^\top s \equiv r^\top e + m_b \cdot \frac{p-1}{2} \pmod{p},$$

so, writing  $u^* = r^\top e + m_b \cdot \frac{p-1}{2}$  in the symmetric representation, we have

$$u' := c'_1 - (c'_0)^\top s \equiv u^* + \frac{p-1}{2} \pmod{p}.$$

We distinguish two cases:

**Case  $m_b = 0$ .** Then  $u^* \approx r^\top e$  is small, so

$$u' \approx r^\top e + \frac{p-1}{2}.$$

This is close to  $\frac{p-1}{2}$ , so

$$\frac{2u'}{p} \approx 1,$$

and thus

$$\left\lfloor \frac{2u'}{p} \right\rfloor = 1 = 1 - 0.$$

**Case  $m_b = 1$ .** Then  $u^* \approx r^\top e + \frac{p-1}{2}$ , hence

$$u' \approx r^\top e + \frac{p-1}{2} + \frac{p-1}{2} = r^\top e + (p-1).$$

In  $\mathbb{Z}_p$  with symmetric representatives  $\{-(p-1)/2, \dots, (p-1)/2\}$ , we have

$$p-1 \equiv -1 \pmod{p},$$

so  $u' \approx r^\top e - 1$ , i.e. it is close to  $-1$ . Since  $|u'|$  is still very small compared to  $p$ , the value

$$\frac{2u'}{p}$$

is very close to 0, and therefore

$$\left\lfloor \frac{2u'}{p} \right\rfloor = 0 = 1 - 1.$$

Hence in both cases the modified ciphertext  $c'$  decrypts to  $1 - m_b$ , as claimed.

## (2) CCA attack distinguishing $\mathsf{L}_{\text{CCA}-0}$ and $\mathsf{L}_{\text{CCA}-1}$

In the IND-CCA experiment (equivalently, in the libraries  $\mathsf{L}_{\text{CCA}-0}$  and  $\mathsf{L}_{\text{CCA}-1}$  from the assignment), the adversary has:

- access to the public key  $\text{pk}$ ,
- access to a decryption oracle for any ciphertext  $c' \neq c^*$ ,
- and a challenge ciphertext  $c^*$  which is an encryption of some bit  $m_b$  (depending on the library  $\mathsf{L}_{\text{CCA}-b}$ ).

We describe an adversary  $\mathcal{A}$  that wins the CCA game with probability 1 (up to negligible decryption error):

1.  $\mathcal{A}$  interacts with the challenger and obtains  $\text{pk}$ . It makes no use of the decryption oracle yet.
2.  $\mathcal{A}$  triggers the challenge phase to receive the challenge ciphertext  $c^* = (c_0^*, c_1^*)$ , which encrypts an unknown bit  $m_b$ .
3.  $\mathcal{A}$  constructs the modified ciphertext

$$c' = (c_0^*, c_1^* + \frac{p-1}{2} \bmod p).$$

Note that  $c' \neq c^*$  because only  $c_1$  was changed.

4.  $\mathcal{A}$  queries the decryption oracle on  $c'$ , obtaining a bit  $m'$ . From part (1) we know (except with negligible probability) that

$$m' = 1 - m_b.$$

5.  $\mathcal{A}$  outputs the guess

$$\hat{b} = 1 - m'.$$

Then  $\hat{b} = m_b$  with probability  $1 - \text{negl}(\lambda)$ .

This algorithm respects the interface of both libraries  $\mathsf{L}_{\text{CCA}-0}$  and  $\mathsf{L}_{\text{CCA}-1}$ : it makes a single challenge query and one decryption query on  $c' \neq c^*$ . In both libraries it recovers  $m_b$  with overwhelming probability, and therefore can distinguish them with overwhelming advantage. Hence, Regev's encryption scheme is *not* IND-CCA secure.

## Exercise 3.2 (One-time signatures)

We recall the Lamport one-time signature (OTS) scheme for  $n$ -bit messages using a hash function  $H : \{0, 1\}^* \rightarrow \{0, 1\}^\lambda$ :

- $\Sigma_{\text{Lam}}.\text{KeyGen}(1^\lambda)$ : sample

$$x_{0,1}, x_{1,1}, \dots, x_{0,n}, x_{1,n} \leftarrow \{0, 1\}^\lambda,$$

and set  $y_{b,i} = H(x_{b,i})$  for  $i \in [n]$ ,  $b \in \{0, 1\}$ . The public key and secret key are

$$\text{vk} = (y_{0,1}, y_{1,1}, \dots, y_{0,n}, y_{1,n}), \quad \text{sk} = (x_{0,1}, x_{1,1}, \dots, x_{0,n}, x_{1,n}).$$

- $\Sigma_{\text{Lam}}.\text{Sign}(\text{sk}, m)$ : for  $m = m_1 \dots m_n \in \{0, 1\}^n$ , output

$$\sigma = (x_{m_1,1}, \dots, x_{m_n,n}).$$

- $\Sigma_{\text{Lam}}.\text{Ver}(\text{vk}, m, \sigma)$ : parse  $\text{vk}$  as  $(y_{0,1}, y_{1,1}, \dots, y_{0,n}, y_{1,n})$  and  $\sigma = (\sigma_1, \dots, \sigma_n)$ ; accept iff

$$\forall i \in [n] : \quad H(\sigma_i) = y_{m_i,i}.$$

## (1) Correctness of $\Sigma_{\text{Lam}}$

Let  $(\text{vk}, \text{sk})$  be generated by  $\Sigma_{\text{Lam}}.\text{KeyGen}$ , and let  $m \in \{0, 1\}^n$ .

Signing:  $\sigma = (x_{m_1,1}, \dots, x_{m_n,n})$ .

Verification checks  $H(\sigma_i) = y_{m_i,i}$  for all  $i$ . Since by definition  $y_{b,i} = H(x_{b,i})$ , we have for each  $i$ :

$$H(\sigma_i) = H(x_{m_i,i}) = y_{m_i,i}.$$

Thus the verification condition holds for every coordinate, and the signature is always accepted. Therefore the scheme is correct.

## (2) Security of $\Sigma_{\text{Lam}}$ from one-wayness of $H$

We are given the one-wayness libraries  $\mathsf{L}_{H,m}^{\text{ow-real}}$  and  $\mathsf{L}_{H,m}^{\text{ow-ideal}}$ , and the Lamport signature libraries  $\mathsf{L}_{\text{sig-real}}^{\Sigma_{\text{Lam}}}$  and  $\mathsf{L}_{\text{sig-fake}}^{\Sigma_{\text{Lam}}}$  (from the lecture).

Intuitively, Lamport OTS is secure because forging a signature on a *new* message requires revealing at least one preimage  $x$  of some hash value  $y = H(x)$  that has not been revealed before, which would break the one-wayness of  $H$ .

Formally, let  $\mathcal{A}$  be any PPT adversary that distinguishes  $\mathsf{L}_{\text{sig-real}}^{\Sigma_{\text{Lam}}}$  and  $\mathsf{L}_{\text{sig-fake}}^{\Sigma_{\text{Lam}}}$  with non-negligible advantage. In the library formulation used in the course, such a distinguisher must, with non-negligible probability, produce a valid signature on a previously unsigned message (this is exactly the “success” event in the Lamport one-time signature experiment). We construct a PPT adversary  $\mathcal{B}$  that distinguishes  $\mathsf{L}_{H,m}^{\text{ow-real}}$  and  $\mathsf{L}_{H,m}^{\text{ow-ideal}}$  for some  $m \geq \lambda$ .

**High-level idea.**  $\mathcal{B}$  simulates for  $\mathcal{A}$  the view of the Lamport scheme, but for one randomly chosen pair  $(b^*, i^*)$  it does *not* know the preimage  $x_{b^*,i^*}$ . Instead, it obtains  $y_{b^*,i^*}$  by calling the one-wayness oracle  $\text{Challenge}()$ . If  $\mathcal{A}$  ever produces a valid signature on a new message, then (with non-negligible probability) it must reveal a preimage for exactly that hidden  $y_{b^*,i^*}$ , which  $\mathcal{B}$  can feed to  $\text{Check}()$  in the one-wayness game.

### Construction of $\mathcal{B}$ .

1.  $\mathcal{B}$  interacts with the one-wayness oracle, which runs either  $\mathsf{L}_{H,m}^{\text{ow-real}}$  or  $\mathsf{L}_{H,m}^{\text{ow-ideal}}$ .
2. Key generation for the simulation:  $\mathcal{B}$  chooses a random index  $i^* \in [n]$  and a random bit  $b^* \in \{0, 1\}$ . For all pairs  $(b, i) \neq (b^*, i^*)$  it samples  $x_{b,i} \leftarrow \{0, 1\}^\lambda$  and sets  $y_{b,i} = H(x_{b,i})$ . For the special pair  $(b^*, i^*)$  it calls the one-way  $\text{Challenge}()$  oracle to obtain some  $y^* = H(x^*)$  for a random and unknown  $x^*$ . It sets

$$y_{b^*,i^*} := y^*.$$

The public key given to  $\mathcal{A}$  is  $\text{vk} = (y_{0,1}, y_{1,1}, \dots, y_{0,n}, y_{1,n})$ .

3. Signature oracle simulation (Lamport is one-time, so we handle one signing query): when  $\mathcal{A}$  asks for a signature on a message  $m$ ,  $\mathcal{B}$  returns

$$\sigma = (x_{m_1,1}, \dots, x_{m_n,n}),$$

*provided* that  $m_{i^*} \neq b^*$ . In that case,  $\mathcal{B}$  never needs  $x_{b^*,i^*}$ , so the simulation is perfect. If  $m_{i^*} = b^*$ ,  $\mathcal{B}$  aborts (this happens with probability at most  $1/2$  over the random choice of  $b^*$ ).

4. Eventually, by assumption on its distinguishing advantage,  $\mathcal{A}$  outputs a forged signature  $(m', \sigma')$  for some new message  $m' \neq m$ . For the forgery to verify, we must have for all  $i$ :

$$H(\sigma'_i) = y_{m'_i,i}.$$

Since  $m' \neq m$ , there exists at least one position  $i$  such that  $m'_i \neq m_i$ . With probability at least  $1/n$ , this differing index is exactly  $i^*$ , and with additional probability  $1/2$  we have  $m'_{i^*} = b^*$  (recall that  $b^*$  was random). In that case, the coordinate  $(b^*, i^*)$  appears in the forgery. Then we have

$$H(\sigma'_{i^*}) = y_{m'_{i^*},i^*} = y_{b^*,i^*} = y^*.$$

Thus  $\sigma'_{i^*}$  is a preimage of the challenge  $y^*$ .

$\mathcal{B}$  now calls  $\text{Check}(\sigma'_{i^*})$ . In  $\mathcal{L}_{H,m}^{\text{ow-real}}$  this returns 1 (because  $H(\sigma'_{i^*}) \in Y$ ), while in  $\mathcal{L}_{H,m}^{\text{ow-ideal}}$  it returns 0. Hence  $\mathcal{B}$  distinguishes the one-wayness libraries with non-negligible advantage, derived from that of  $\mathcal{A}$ .

Thus, if  $H$  is one-way (for length  $m \geq \lambda$ ), then  $\Sigma_{\text{Lam}}$  is secure as a one-time signature scheme.

### (3) Sizes for $n = 256$ , $\lambda = 128$ , and comparison with RSA-FDH

For Lamport OTS:

- Secret key:  $2n$  values  $x_{b,i}$  of  $\lambda$  bits each (not counted here since we compare pk+signature).
- Public key:  $2n$  hash outputs  $y_{b,i}$  of  $\lambda$  bits each:

$$|\text{vk}| = 2n\lambda = 2 \cdot 256 \cdot 128 = 65536 \text{ bits.}$$

- Signature:  $n$  values, each  $\lambda$  bits:

$$|\sigma| = n\lambda = 256 \cdot 128 = 32768 \text{ bits.}$$

- Combined size:

$$|\text{vk}| + |\sigma| = 65536 + 32768 = 98304 \text{ bits.}$$

This is  $98304/8 = 12288$  bytes  $\approx 12$  KB.

For RSA-FDH with 4096-bit modulus  $N$  (so  $2^{4095} < N < 2^{4096}$ ) and a fixed public exponent  $e$  that does not need to be included in the pk:

- Public key: just  $N$ , of size 4096 bits.
- Signature: one RSA element modulo  $N$ , also 4096 bits.
- Combined size:

$$4096 + 4096 = 8192 \text{ bits} \approx 1024 \text{ bytes} = 1 \text{ KB.}$$

So, for these parameters, Lamport OTS uses about  $98304/8192 = 12$  times more bits for one public key plus one signature than RSA-FDH.

### (4) Correctness of the hash-chain OTS $\Pi$

The variant  $\Pi$  uses a small message space  $M = \{0, 1, 2, 3, 4, 5, 6, 7\}$ :

- $\Pi.\text{KeyGen}(1^\lambda)$ : sample  $x \leftarrow \{0, 1\}^\lambda$ , set

$$y = H^8(x) = H(H(\dots H(x) \dots)),$$

and output  $(\text{sk}, \text{vk}) = (x, y)$ .

- $\Pi.\text{Sign}(\text{sk}, m)$ : output  $\sigma = H^m(x)$ .
- $\Pi.\text{Ver}(\text{vk}, m, \sigma)$ : accept iff  $y = H^{8-m}(\sigma)$ .

Correctness: For any  $m \in \{0, \dots, 7\}$ , the signer outputs  $\sigma = H^m(x)$ . Then

$$H^{8-m}(\sigma) = H^{8-m}(H^m(x)) = H^8(x) = y.$$

Thus the verification condition  $y = H^{8-m}(\sigma)$  always holds, so  $\Pi$  is correct.

## (5) Insecurity of $\Pi$

We show that  $\Pi$  is not secure by constructing an adversary that can distinguish its signature libraries using at most one query to **GetSig**.

The essential problem: if the adversary sees a valid signature  $\sigma = H^m(x)$  for some  $m$ , then it can easily compute valid signatures for all *larger* messages  $m' > m$ :

$$\sigma' = H^{m'-m}(\sigma) = H^{m'-m}(H^m(x)) = H^{m'}(x),$$

which verifies for message  $m'$ .

### Adversary $\mathcal{A}$ (one signing query):

1.  $\mathcal{A}$  obtains the public key  $\mathbf{vk} = y$  from the signature library (either real or fake).
2. It queries **GetSig**(0) and receives some string  $\sigma$ .
3. It computes  $\sigma' = H(\sigma)$ .
4. It checks whether  $(m' = 1, \sigma')$  is accepted by  $\Pi.\text{Ver}$ , i.e. whether  $y = H^{8-1}(\sigma') = H^7(\sigma')$  holds.
  - In the *real* signature library:  $\sigma$  is a valid signature on message 0, so  $\sigma = H^0(x) = x$ , hence  $\sigma' = H(x)$  is a valid signature on message 1. Therefore verification of  $(1, \sigma')$  always succeeds.
  - In the *fake* signature library: the response to **GetSig**(0) is a random  $\lambda$ -bit string, independent of  $x$ , so  $\sigma'$  is also random and the probability that  $y = H^7(\sigma')$  holds is negligible (on the order of  $2^{-\lambda}$ ).
5. If verification accepts,  $\mathcal{A}$  outputs “real”; otherwise it outputs “fake”.

Hence  $\mathcal{A}$  distinguishes the real and fake signature libraries with non-negligible advantage. Therefore,  $\Pi$  is not a secure one-time signature scheme.

## (6) Why the Winternitz OTS $\Pi_W$ prevents this attack

The Winternitz OTS  $\Pi_W$  is defined as:

- $\Pi_W.\text{KeyGen}$ : generate two key pairs  $(\mathbf{sk}_i, \mathbf{vk}_i) \leftarrow \Pi.\text{KeyGen}$  for  $i \in \{0, 1\}$  and set

$$\mathbf{sk} = (\mathbf{sk}_0, \mathbf{sk}_1), \quad \mathbf{vk} = (\mathbf{vk}_0, \mathbf{vk}_1).$$

- $\Pi_W.\text{Sign}(\mathbf{sk}, m)$ : output

$$\sigma = (\sigma_0, \sigma_1) \quad \text{where} \quad \sigma_0 = \Pi.\text{Sign}(\mathbf{sk}_0, m), \quad \sigma_1 = \Pi.\text{Sign}(\mathbf{sk}_1, 7 - m).$$

- $\Pi_W.\text{Ver}(\mathbf{vk}, m, \sigma)$ : accept iff

$$\Pi.\text{Ver}(\mathbf{vk}_0, m, \sigma_0) = 1 \quad \text{and} \quad \Pi.\text{Ver}(\mathbf{vk}_1, 7 - m, \sigma_1) = 1.$$

Suppose an adversary queries the signing oracle once on some message  $m^*$  and obtains a valid signature

$$\sigma^* = (\sigma_0^*, \sigma_1^*),$$

where

$$\sigma_0^* = H^{m^*}(x_0), \quad \sigma_1^* = H^{7-m^*}(x_1).$$

From the first component  $\sigma_0^*$  (a hash-chain signature at depth  $m^*$ ), the adversary can derive valid signatures for all messages  $m \geq m^*$  using the same trick as in part (5). From the second component  $\sigma_1^*$  at depth  $7 - m^*$ , it can derive signatures for all *chain depths*  $\geq 7 - m^*$ , which correspond to messages  $t$  such that

$$\Pi.\text{Sign}(\mathbf{sk}_1, 7 - t) = H^{7-t}(x_1).$$

Starting at depth  $7 - m^*$ , we can only move forward, so we can cover all depths  $d \geq 7 - m^*$ ; these correspond to messages

$$7 - t = d \geq 7 - m^* \iff t \leq m^*.$$

So:

- From  $\sigma_0^*$  we can sign all messages  $m$  with  $m \geq m^*$ .
- From  $\sigma_1^*$  we can sign all messages  $m$  with  $m \leq m^*$ .

To forge a signature on some  $m \neq m^*$  in  $\Pi_W$ , the adversary would need to produce *both* components:

$$\sigma_0 \text{ valid for } m \quad \text{and} \quad \sigma_1 \text{ valid for } 7 - m.$$

However:

- If  $m > m^*$ : then the adversary can compute  $\sigma_0$  from  $\sigma_0^*$  (by going forward in the chain), but for the second component it would need a value corresponding to  $7 - m < 7 - m^*$ , i.e. an earlier point in the chain than  $7 - m^*$ , which requires inverting  $H$  (assumed hard).
- If  $m < m^*$ : then the adversary can compute  $\sigma_1$  from  $\sigma_1^*$ , but would need to go *back* from depth  $m^*$  in the first chain, again requiring inversion of  $H$ .

The only message for which the adversary can construct *both* components is  $m = m^*$  itself. Therefore, the previous attack (which used a single signature to derive signatures for different messages) no longer works for  $\Pi_W$ : to forge for  $m \neq m^*$ , the adversary would have to invert  $H$  on at least one of the chains.

## (7) Size comparison: Winternitz OTS vs. Lamport with $n = 3$

We compare the combined size (public key + signature) of:

- the Winternitz OTS  $\Pi_W$  as defined above (message space size 8),
- the Lamport OTS  $\Sigma_{\text{Lam}}$  with  $n = 3$  (message space size  $2^3 = 8$ ),

both using the same hash output length  $\lambda$ .

### Winternitz OTS $\Pi_W$ .

- Each underlying  $\Pi$  key pair has

$$|\text{sk}_i| = \lambda, \quad |\text{vk}_i| = \lambda.$$

- The Winternitz public key is  $\text{vk} = (\text{vk}_0, \text{vk}_1)$ , so

$$|\text{vk}_{\Pi_W}| = 2\lambda.$$

- A signature is  $\sigma = (\sigma_0, \sigma_1)$ , where each  $\sigma_i$  is one  $\lambda$ -bit string produced by  $\Pi$ , hence

$$|\sigma_{\Pi_W}| = 2\lambda.$$

- Combined size:

$$|\text{vk}_{\Pi_W}| + |\sigma_{\Pi_W}| = 2\lambda + 2\lambda = 4\lambda \text{ bits.}$$

**Lamport OTS with  $n = 3$ .**

- Public key:  $2n = 6$  hash outputs of  $\lambda$  bits each:

$$|\mathbf{vk}_{\text{Lam}}| = 2n\lambda = 6\lambda.$$

- Signature:  $n = 3$  values, each  $\lambda$  bits:

$$|\sigma_{\text{Lam}}| = n\lambda = 3\lambda.$$

- Combined size:

$$|\mathbf{vk}_{\text{Lam}}| + |\sigma_{\text{Lam}}| = 6\lambda + 3\lambda = 9\lambda \text{ bits.}$$

Thus, for the same message space size  $|M| = 8$ , the Winternitz OTS as defined here uses

$$4\lambda \text{ bits}$$

for one public key plus one signature, while the corresponding Lamport scheme uses

$$9\lambda \text{ bits.}$$

So the Winternitz construction is more than a factor 2 smaller in this measure.