Extended Static Checking for Java

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What is "Static Checking"?

Annotaated Static Error: ... Source Code Checker type systems Error: wrong number of arguments in method call lint Error: unreachable code full program verification Error: qsort does not yield a sorted array



Why not just use testing?

Testing essential but

Expensive

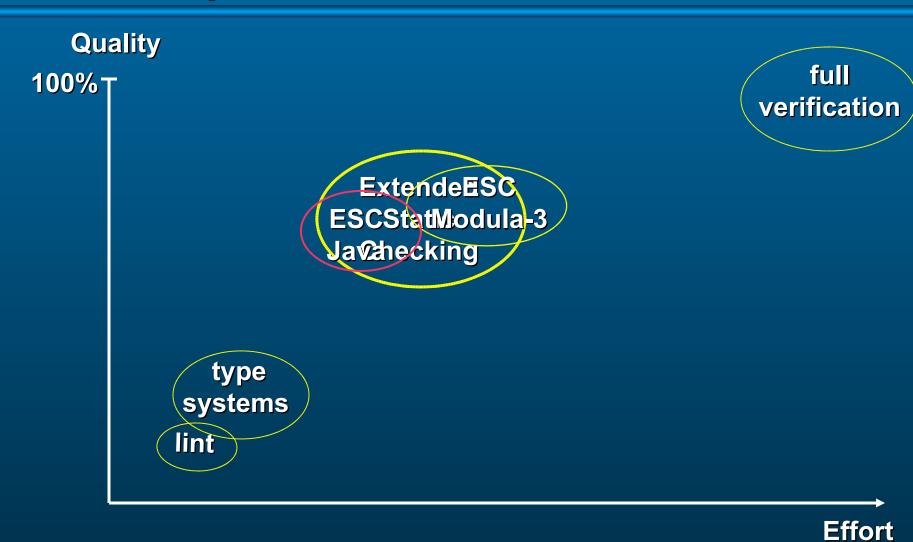
Finds errors late

Misses errors

Static checking and testing complementary



Comparison of Static Checkers



Note: Graph is not to scale

COMPAQ

Goals of ESC/Java

Practical static checking

Detect common run-time errors

null dereferences

array bounds

type casts

race conditions

deadlocks

...

Modular checking



Non-goals of ESC/Java

Complete functional verification

Completeness

May not pass all programs

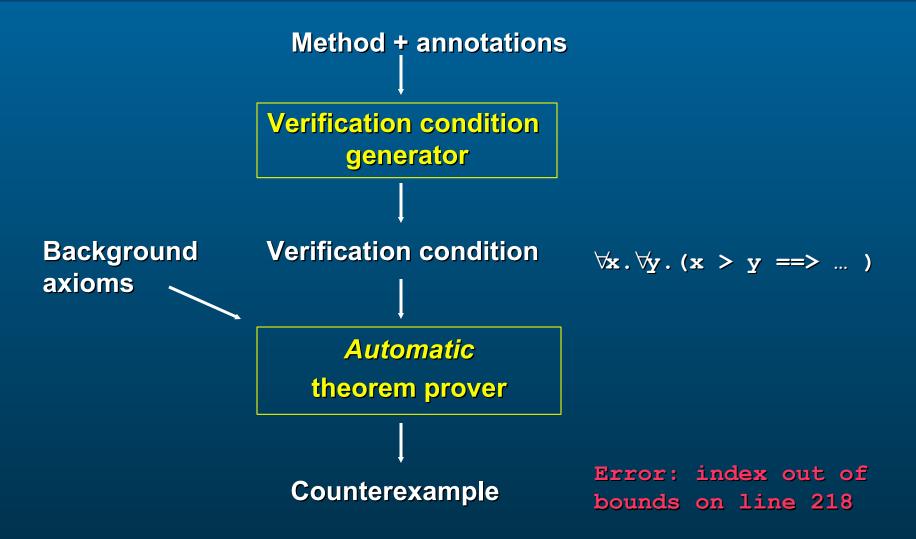
Soundness

May fail to detect errors

Error-resistant, not error-proof

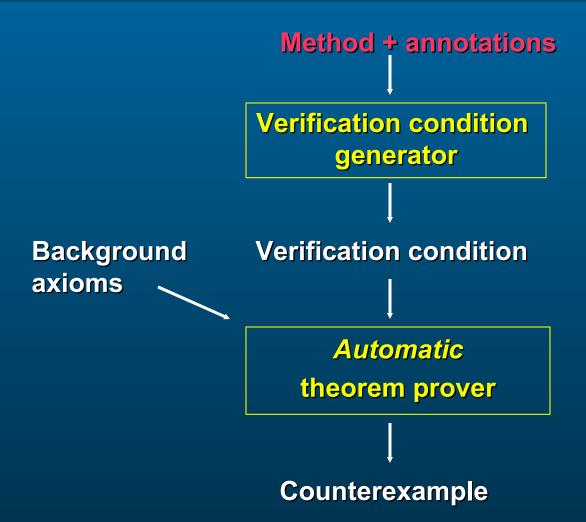


Architecture of ESC/Java



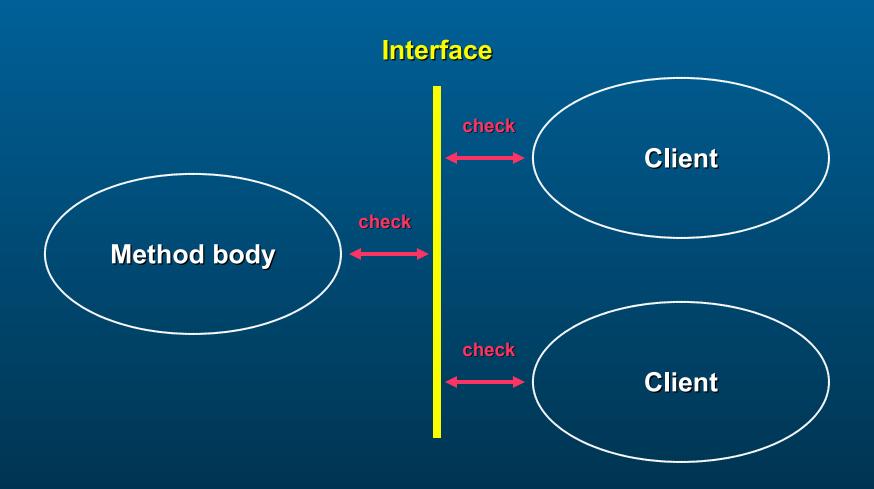


Input to ESC/Java





Modular checking



Describing interfaces

```
public class Vector {
    Object[] a;
    //@ invariant a != null
    int size;
    //@ invariant size <= a.length</pre>
    public Object elementAt(int i)
    //@ requires 0 <= i && i < size
    { . . . }
    public Object[] copyToArray()
    //@ ensures RES != null && RES.length == size
    //@ modifies size, a[0], a[*]
    { ... }
```

Input to ESC/Java's "checking engine"

Method implementation Interface annotations

requires

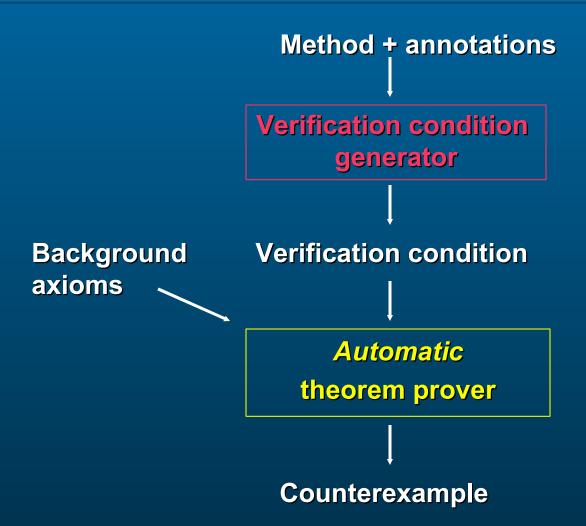
ensures

modifies

invariants



Verification condition generation





Verification condition generation

Easy for small languages [Dijkstra] Much harder for real languages

Object-oriented

Typed

Dynamic allocation

Exceptions

Aliasing

Threads



Verification conditions for real programs

```
Java
                        x = a[i++];
                         assume preconditions
                         assume invariants
                         i0 = i;
                         assert (LABEL Null@218: a != null);
Guarded command
                         assert (LABEL IndexNeg@218: 0 <= i0);</pre>
                         assert (LABEL IndexTooBig@218: i0 < a.length);</pre>
                         x = elems[a][i0];
                         assert postconditions
           alw
                         assert invariants
Verification condition ∀i0. (i0 == i ==> ... )
```

Exceptions

Java has exceptions

Add exceptions (raise and catch) to guarded command language

Calculate wlp of GC statement with respect to normal and exceptional postconditions



Method overriding

Method in subclass can override method in superclass

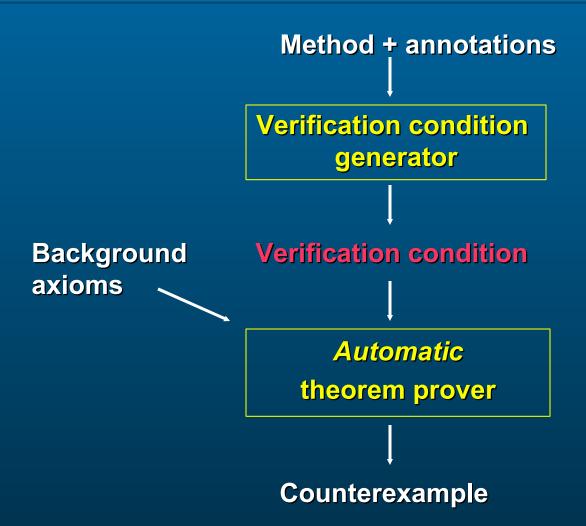
Must respect interface of overridden method

Weaker requires clause

Stronger ensures clause



Verification condition





Verification condition

Formula in untyped, first-order predicate calculus

equality and function symbols quantifiers arithmetic operations select and store operations

Eg.
$$\forall x. \forall y. (x > y ==> ...)$$



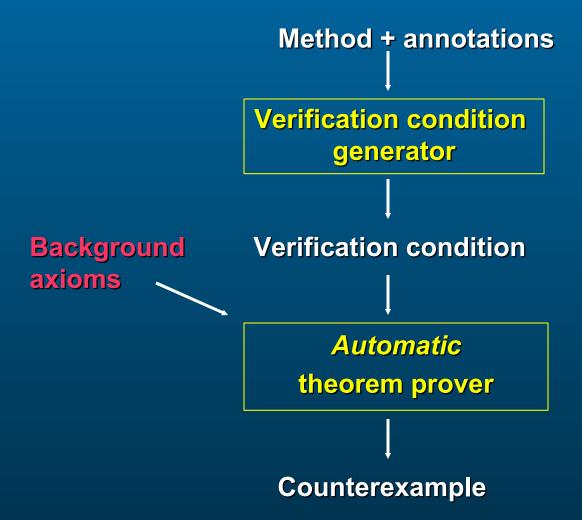
Example verification condition

Verification condition large but "dumb"

(IMPLIES (DISTINCT | ecReturn | | L 14.4|) (IMPLIES (AND (EQ |a@pre:2.8| |a:2.8|) (EQ |a:2.8| (asField |a:2.8| (array | T int|))) (< (fClosedTime |a:2.8|) alloc) (EQ |n@pre:3.6| |n:3.6|) (EQ |n:3.6| (asField |n:3.6| |T int|)) (EQ |MAX VALUE@pre:3.4.26| | MAX VALUE:3.4.26|) (EQ |@true| (is |MAX VALUE:3.4.26| |T int|)) (EQ |elems@pre| elems) (EQ elems (asElems elems)) (< (eClosedTime elems) alloc) (EQ LS (asLockSet LS)) (EQ |alloc@pre| alloc) (EQ |@true| (is |this<1>| |T Bag|)) (EQ |@true| (isAllocated |this<1>| alloc)) (NEQ | this<1>| null)) (FORALL (tmp1 | tmp2:21.4 | | tmp3:21.6 | | m:12.8 | | mindex:13.8 | | i:14.13 | | tmp0:14.28 |) (AND (IMPLIES (<= 1 (select |n:3.6| |this<1>|)) (AND (LBLNEG |Null@15.10~15.10| (NEQ (select |a:2.8| |this<1>|) null)) (LBLNEG | (select (select elems (select |a:2.8| |this<1>|)) 1) |MAX VALUE:3.4.26|) (AND (LBLNEG |Null@17.12~17.12| (NEQ (select |a:2.8| | |this<1>|)))) (FORALL (|m:17.8|) (IMPLIES (EQ |m:17.8| (select elems (select |a:2.8| |this<1>|)) 1)) (FORALL (|i:14.28|) (IMPLIES (AND (EO | i:14.28 | (+ 1 1)) (EO |@true| |bool\$false|)) (FORALL (|tmp2:21.4<1>|) (IMPLIES (EO |tmp2:21.4<1>| (select | a:2.8| |this<1>|)) (AND (LBLNEG |Null@21.16~21.16| (NEQ (select |a:2.8| |this<1>|) null)) (LBLNEG |IndexNegative@21.16~21.17| (<= 0 (select (store |n:3.6| |this<1>| (- (select |n:3.6| |this<1>|) 1)) |this<1>|))) (LBLNEG |IndexTooBig@21.16~21.17| (< (select Null@21.4~21.4| (NEQ |tmp2:21.4<1>| null)) (LBLNEG |IndexNegative@21.4~21.5| (<= 0 1)) (LBLNEG |IndexTooBig@21.4~21.5| (< 1 (arrayLength | tmp2:21.4<1>|))) (LBLNEG | Exception:11.6~11.6@11.2~11.2| (EO | ecReturn| | ecReturn|)))))))))))) (IMPLIES (NOT (< (select (select elems (select |a:2.8| |this<1>|)) 1) |MAX VALUE:3.4.26|)) (FORALL (|i:14.28|) (IMPLIES (AND (EQ |i:14.28| (+ 1 1)) (EQ |@true| |bool\$false|)) (FORALL (|tmp2:21.4<1>|) (IMPLIES (EQ |tmp2:21.4<1>| (select |a:2.8| |this<1>|)) (AND (LBLNEG | Null@21.16~21.16| (NEQ (select |a:2.8| |this<1>|) null)) (LBLNEG |IndexNegative@21.16~21.17| (<= 0 (select (store |n:3.6| | this<1>| (- (select |n:3.6| |this<1>|) 1)) |this<1>|))) (LBLNEG |IndexTooBig@21.16~21.17| (< (select (store |n:3.6| |this<1>| (-(select |n:3.6| |this<1>|) 1)) |this<1>|) (arrayLength (select |a:2.8| |this<1>|)))) (LBLNEG |Null@21.4~21.4| (NEQ |tmp2:21.4<1>| null)) (LBLNEG |IndexNegative@21.4~21.5| (<= 0 0)) (LBLNEG |IndexTooBig@21.4~21.5| (< 0 (arrayLength |tmp2:21.4<1>|))) (LBLNEG | Exception:11.6~11.6@11.2~11.2| (EQ |ecReturn| |ecReturn|))))))))))) (IMPLIES (NOT (<= 1 (select |n:3.6| |this<1>|))) (AND (IMPLIES (EO |L 14.4| |L 14.4|) (FORALL (|tmp2:21.4<1>|) (IMPLIES (EO |tmp2:21.4<1>| (select |a:2.8| |this<1>|)) (AND (LBLNEG | this<1>| (- (select |n:3.6| |this<1>|) 1)) |this<1>|))) (LBLNEG |IndexTooBig@21.16~21.17| (< (select (store |n:3.6| |this<1>| (-(select |n:3.6| |this<1>|) 1)) |this<1>|) (arrayLength (select |a:2.8| |this<1>|)))) (LBLNEG |Null@21.4~21.4| (NEQ |tmp2:21.4<1>| null)) (LBLNEG |IndexNegative@21.4~21.5| (<= 0 0)) (LBLNEG |IndexTooBig@21.4~21.5| (< 0 (arrayLength |tmp2:21.4<1>|))) (LBLNEG | Exception:11.6~11.6@11.2~11.2| (EQ |ecReturn| |ecReturn|)))))) (IMPLIES (NOT (EQ |L 14.4| |L 14.4|)) (AND (LBLNEG | Exception:11.6~11.6@11.2~11.2| (EQ |L 14.4| |ecReturn|))))))))



Background axioms





Background axioms

Additional properties of Java that the theorem prover needs to know

A variable of type T always holds a value whose type is a subtype of T

The subtyping relation is reflexive, anti-symmetric, and transitive

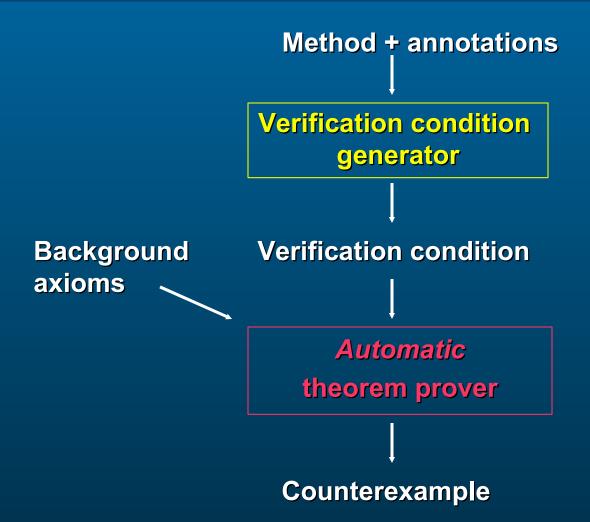
new returns an object that is distinct from all existing objects

... lots more ...

java.lang.Object has no supertype



Automatic theorem proving





Automatic theorem proving

Use Simplify

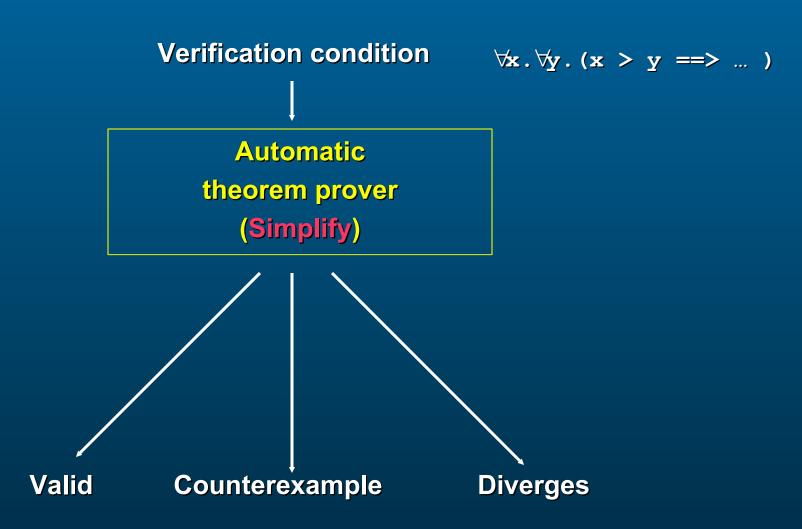
Theorem prover from ESC/Modula-3

Accepts formulae in untyped, first-order predicate calculus

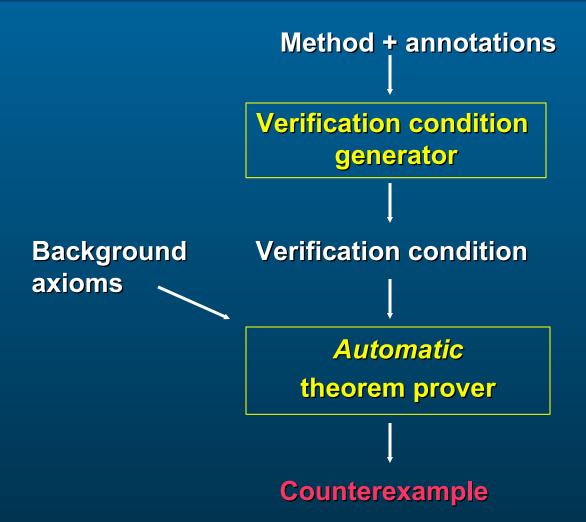
Attempts to prove or refute



Automatic theorem proving



Handling counterexamples





Error message from counterexample

```
Verification condition
                          \forall x . \forall y .
                                 (LABEL IndexTooBig@218 ...)
      Automatic
   theorem prover
       (Simplify)
 Counterexample: \times 417 > 7
                     Label: IndexTooBig@218
Error: index out of
bounds on line 218
```

Initial experience

First implementation is done

Run on 30,000+ lines of code (mostly itself)

Caught several errors

null dereference, array bounds

Programmer can annotate and check about 300 lines per hour

Looks promising ...



Demonstration



ESC/Java Summary

Finds more errors than type checking

Costs less than full verification

Currently working; is being evaluated

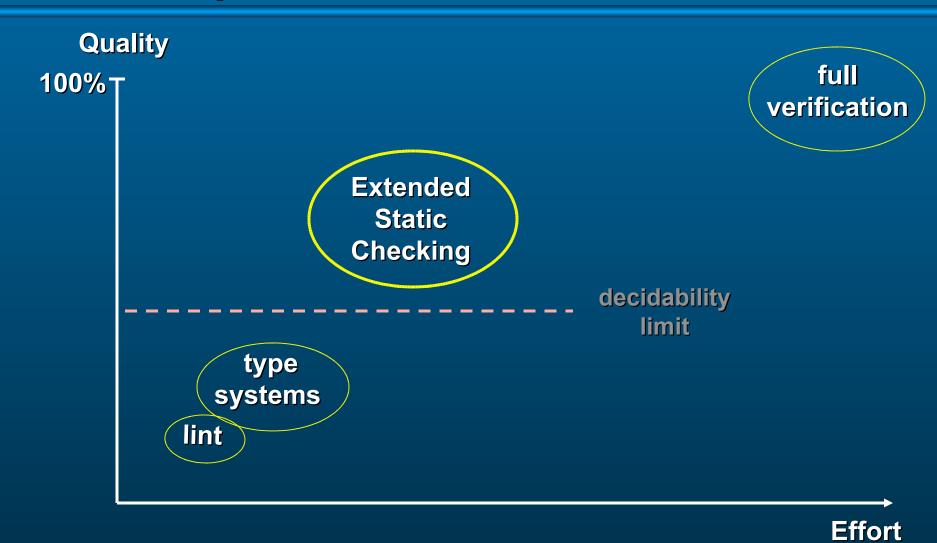
Potential as "software reliability metric"

Practical checking based on automatic theorem proving may be possible

www.research.digital.com/SRC/esc/Esc.html



Comparison of Static Checkers



Note: Graph is not to scale

COMPAQ

Metrics for Static Checkers

Cost of using the tool

Quality

Does it miss errors?

Does it give spurious warnings?



Challenges

Automatic theorem proving

Error messages from counterexample

Verification conditions for real programs

Object-oriented

Typed

Dynamic allocation

Exceptions



ESC/Java vs. Testing

Testing essential but

Expensive

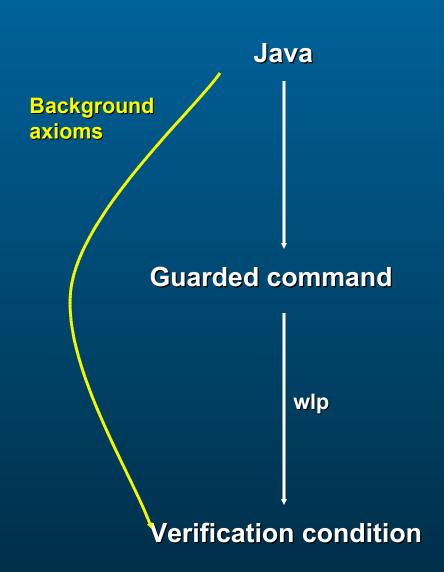
Finds errors late

Misses errors

ESC/Java ... ?



Background axioms





Additional annotations

```
//@ assert <exp>
//@ assume <exp>
//@ nowarn <error code>
//@ axiom <exp>
```



Describing interfaces

```
public Integer[] sum(Integer[] a, Integer[] b);

//@ requires a != null && b != null;

//@ requires a.length == b.length;

//@ ensures RES != null && RES.length == a.length;

//@ modifies a[0], b[*];
```

