

Today's lecture presented a simple imperative language, IMP, and gave the small-step and big-step rules for evaluation. These notes provide the following:

- The IMP language syntax
- A small-step semantics for IMP
- A big-step semantics for IMP
- Some notes on why both can be useful

1 Syntax

There are three types of statements in IMP:

- Arithmetic expressions: $AExp$
- Boolean expressions: $BExp$
- Commands: Com

A program in the IMP language is a command, $c \in Com$. Suppose n denotes an integer literal, x denotes a variable name, a_i denotes an arithmetic expression, b_i denotes a boolean expressions, and c_i represents a command. The grammar follows:

$$\begin{aligned}
 AExp &::= n \mid x \mid (a_0 \oplus a_1) \\
 BExp &::= \mathbf{true} \mid \mathbf{false} \mid (a_0 \odot a_1) \mid (b_0 \oslash b_1) \\
 Com &::= \mathbf{skip} \mid x := a \mid (c_0 ; c_1) \mid (\mathbf{if } b \mathbf{ then } c_1 \mathbf{ else } c_2) \mid (\mathbf{while } b \mathbf{ do } c) \\
 \oplus &::= + \mid * \mid - \\
 \odot &::= \leq \mid = \\
 \oslash &::= \vee \mid \wedge
 \end{aligned}$$

1.1 Configurations

Configurations are pairs, $\langle c, \sigma \rangle$, where:

- $c \in Com$ is a command
- σ is a store (also known as a state or environment)
 $\sigma \in (\sum = Var \rightarrow \mathbb{Z})$ where \sum is all possible stores

2 Structural Operational Semantics: Small-Step Semantics

We can evaluate a configuration “one step at a time” until we reach the final configuration, $\langle \mathbf{skip}, \sigma \rangle$:

$$\begin{aligned}
 \langle c, \sigma \rangle &\rightarrow \langle c', \sigma' \rangle \\
 \langle c, \sigma_0 \rangle &\rightarrow \langle c_1, \sigma_1 \rangle \rightarrow \dots \rightarrow \langle c_k, \sigma_k \rangle \rightarrow \langle \mathbf{skip}, \sigma \rangle
 \end{aligned}$$

We can represent this more tersely using closure:

$$\langle c, \sigma_0 \rangle \rightarrow^* \langle \mathbf{skip}, \sigma \rangle$$

To be proper, we should define the \rightarrow operator for commands¹, arithmetic expressions, and boolean expressions:

$$\begin{aligned} \rightarrow & \in (Com \times \sum) \rightarrow (Com \times \sum) \\ \rightarrow_a & \in (AExp \times \sum) \rightarrow AExp \\ \rightarrow_b & \in (BExp, \times \sum) \rightarrow BExp \end{aligned}$$

We will use \rightarrow as shorthand for all three operations.

2.1 Arithmetic & Boolean Expressions

- Constants: no rule (already fully reduced)
- Variables: $\overline{\langle x, \sigma \rangle \rightarrow \sigma(x)}$
- Operations: $\frac{\langle a_0, \sigma \rangle \rightarrow a'_0}{\langle a_0 \oplus a_1, \sigma \rangle \rightarrow a'_0 \oplus a_1} \quad \frac{\langle a_1, \sigma \rangle \rightarrow a'_1}{\langle n \oplus a_1, \sigma \rangle \rightarrow n \oplus a'_1} \quad \overline{\langle n_0 \oplus n_1, \sigma \rangle \rightarrow n \text{ where } n = n_0 \oplus n_1}$
- Corresponding rules of the above form exist for boolean expressions using \odot and \oslash operators

2.2 Commands

- Skip: no rule ($\langle \mathbf{skip}, \sigma \rangle$ is a final configuration)
- Assignments: $\frac{\langle a, \sigma \rangle \rightarrow a'}{\langle x := a, \sigma \rangle \rightarrow \langle x := a', \sigma \rangle} \quad \overline{\langle x := n, \sigma \rangle \rightarrow \langle \mathbf{skip}, \sigma[x \mapsto n] \rangle}$
- Sequences: $\frac{\langle c_0, \sigma \rangle \rightarrow \langle c'_0, \sigma' \rangle}{\langle c_0; c_1, \sigma \rangle \rightarrow \langle c'_0; c_1, \sigma' \rangle} \quad \overline{\langle \mathbf{skip}; c_1, \sigma \rangle \rightarrow \langle c_1, \sigma \rangle}$
 $\frac{\langle b, \sigma \rangle \rightarrow b'}{\overline{\langle \mathbf{if } b \text{ then } c_0 \text{ else } c_1, \sigma \rangle \rightarrow \langle \mathbf{if } b' \text{ then } c_0 \text{ else } c_1, \sigma \rangle}}$
- If Statements: $\overline{\langle \mathbf{if true then } c_0 \text{ else } c_1, \sigma \rangle \rightarrow \langle c_0, \sigma \rangle}$
 $\overline{\langle \mathbf{if false then } c_0 \text{ else } c_1, \sigma \rangle \rightarrow \langle c_1, \sigma \rangle}$
- While Statements: $\overline{\langle \mathbf{while } b \text{ do } c, \sigma \rangle \rightarrow \langle \mathbf{if } b \text{ then } (c; \mathbf{while } b \text{ do } c) \text{ else skip}, \sigma \rangle}$

3 Structural Operational Semantics: Big-Step Semantics

As an alternative to “one step at a time” evaluation, we now consider a step in evaluation to mean the entire transition from an expression and state to a final value. For example:

$$\begin{aligned} \langle c, \sigma \rangle \Downarrow \sigma' & \quad (\text{steps to the final store of its final configuration}) \\ \langle a, \sigma \rangle \Downarrow n & \quad (\text{steps to its final integer value}) \\ \langle b, \sigma \rangle \Downarrow t & \quad (\text{steps to } t \text{ where } t \in \{\mathbf{true}, \mathbf{false}\}) \end{aligned}$$

¹Winskel uses \rightarrow_1 instead of \rightarrow to emphasize that only a single step is performed

The rules for specific commands are as follows.

- Skip: $\overline{\langle \mathbf{skip}, \sigma \rangle \Downarrow \sigma}$
- Assignments: $\frac{\langle a, \sigma \rangle \Downarrow n}{\langle x := a, \sigma \rangle \Downarrow \sigma[x \mapsto n]}$
- Sequences: $\frac{\langle c_0, \sigma \rangle \Downarrow \sigma'' \quad \langle c_1, \sigma'' \rangle \Downarrow \sigma'}{\langle c_0; c_1, \sigma \rangle \Downarrow \sigma'}$
- If Statements: $\frac{\langle b, \sigma \rangle \Downarrow \mathbf{true} \quad \langle c_0, \sigma \rangle \Downarrow \sigma'}{\langle \mathbf{if } b \mathbf{ then } c_0 \mathbf{ else } c_1, \sigma \rangle \Downarrow \sigma'} \quad \frac{\langle b, \sigma \rangle \Downarrow \mathbf{false} \quad \langle c_1, \sigma \rangle \Downarrow \sigma'}{\langle \mathbf{if } b \mathbf{ then } c_0 \mathbf{ else } c_1, \sigma \rangle \Downarrow \sigma'}$
- While Statements: $\frac{\langle b, \sigma \rangle \Downarrow \mathbf{false}}{\langle \mathbf{while } b \mathbf{ do } c, \sigma \rangle \Downarrow \sigma} \quad \frac{\langle b, \sigma \rangle \Downarrow \mathbf{true} \quad \langle c, \sigma \rangle \Downarrow \sigma'' \quad \langle \mathbf{while } b \mathbf{ do } c, \sigma'' \rangle \Downarrow \sigma'}{\langle \mathbf{while } b \mathbf{ do } c, \sigma \rangle \Downarrow \sigma'}$

4 Big-Step vs. Small-Step SOS

4.1 Small-Step

- can model more complex features, like programs which run forever and concurrency
- Although “one step at a time” evaluation is useful for proving certain properties, in many cases it is unnecessary extra work.

4.2 Big-Step

- large steps in reasoning make it easier to prove things
- more closely models an actual recursive interpreter
- Because evaluation skips over intermediate steps, all programs without final configurations (infinite loops, errors/stuck configs) look the same.