# galois

# **Rust Verification with SAW**

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## 1 Introduction

SAW is a special-purpose programming environment developed by Galois to help orchestrate and track the results of the large collection of proof tools necessary for analysis and verification of complex software artifacts.

SAW adopts the functional paradigm, and largely follows the structure of many other typed functional languages, with some special features specifically targeted at the coordination of verification and analysis tasks.

This tutorial introduces the details of the language by walking through several examples, and showing how simple verification tasks can be described. The complete examples are available on GitHub (https://github.com/GaloisInc/saw-script/tree/master/doc/rust-tutorial/code). Most of the examples make use of inline specifications written in Cryptol, a language originally designed for high-level descriptions of cryptographic algorithms. For readers unfamiliar with Cryptol, various documents describing its use are available here (http://cryptol.net/documentation.html).

This tutorial also include a *case study* on how to use SAW to verify a real-world implementation of the Salsa20 stream cipher based on the stream-ciphers (https://github.com/RustCrypto/stream-ciphers) Rust project. The code for this case study is also available on GitHub (https://github.com/GaloisInc/saw-script/tree/master/doc/rust-tutorial/code/salsa20).

## 2 Prerequisites

In order to run the examples in this tutorial, you will need to install the following prerequisite tools:

- SAW itself, which can be installed by following the instructions here (https://github.com/GaloisInc/saw-script#manual-installation).
- The Z3 and Yices SMT solvers. Z3 can be downloaded from here (https://github.com/Z3Prover/z3/releases), and Yices can be downloaded from here (https://yices.csl.sri.com/).
- The mir-json tool, which can be installed by following the instructions here (https://github.com/GaloisInc/mir-json#installation-instructions).

# 3 About mir-json

We are interested in verifying code written in Rust, but Rust is an extremely rich programming language that has many distinct language features. To make the process of verifying Rust simpler, SAW targets an intermediate language used in the Rust compiler called MIR (https://blog.rust-lang.org/2016/04/19/MIR.html) (short for "Mid-level IR"). MIR takes the variety of different features and syntactic extensions in Rust and boils them down to a minimal subset that is easier for a computer program to analyze.

The process of extracting MIR code that is suitable for SAW's needs is somewhat tricky, so we wrote a suite of tools called mir-json to automate this process. mir-json provides a plugin for tools like rustc and cargo that lets you compile Rust code as you normally would and produce an additional .json file as output. This .json file contains the MIR code that was produced internally by the Rust compiler, with some additional minor tweaks to make it suitable for SAW's needs.

mir-json is not a single tool but rather a suite of related tools that leverage the same underlying plugin. For SAW purposes, the two mir-json tools that are most relevant are:

- saw-rustc: A thin wrapper around rustc (the Rust compiler), which is suitable for individual .rs files.
- cargo-saw-build: A thin wrapper around the cargo build command, which is suitable for cargo-based Rust projects.

Most of the examples in this tutorial involve self-contained examples, which will use saw-rustc. Later in the tutorial, we will examine a Salsa20 case study that involves a cargo-based project, which will use cargo-saw-build.

#### 3.1 A first example with saw-rustc

Let's try out saw-rustc on a small example file, which we'll name first-example.rs:

```
pub fn id_u8(x: u8) -> u8 {
          x
}
```

This is the identity function, but specialized to the type u8. We can compile this with saw-rustc like so:

```
$ saw-rustc first-example.rs
<snip>
note: Emitting MIR for first_example/abef32c5::id_u8

linking 1 mir files into first-example.linked-mir.json
<snip>
```

saw-rustc prints out some additional information that rustc alone does not print, and we have displayed the parts of this information that are most interesting. In particular:

- saw-rustc notes that is is Emitting MIR for first\_example/abef32c5::id\_u8, where first\_example/abef32c5::id\_u8 is the full *identifier* used to uniquely refer to the id\_u8 function. It's entirely possible that the abef32c5 bit will look different on your machine; we'll talk more about identifiers in the "Identifiers" section.
- Once saw-rustc produced a MIR JSON file named first-example.linked-mir.json. This is an important bit of information, as SAW will ingest this JSON file.

If you'd like, you can inspect the first-example.linked-mir.json file with JSON tools (e.g., jq (https://jqlang.github.io/jq/)), but it is not important to understand everything that is going on there. This is machine-generated JSON, and as such, it is not meant to be particularly readable by human eyes.

### 3.2 The SAW\_RUST\_LIBRARY\_PATH environment variable

Rust has a large set of standard libraries that ship with the compiler, and parts of the standard library are quite low-level and tricky. SAW's primary objective is to provide a tool that can analyze code in a tractable way. For this reason, SAW sometimes needs to invoke simplified versions of Rust standard library functions that are more reasonable for an SMT-based tool like SAW to handle. These simplified functions are equivalent in behavior, but avoid using problematic code patterns (e.g., gnarly pointer arithmetic or the transmute (https://doc.rust-lang.org/std/intrinsics/fn.transmute.html) function).

If you are only ever compiling self-contained pieces of code with saw-rustc, there is a good chance that you can get away without needing to use SAW's custom version of the Rust standard libraries. However, if you ever need to build something more complicated than that (e.g., the Salsa20 case

study later in this tutorial), then you *will* need the custom libraries. For this reason, it is worthwhile to teach SAW the location of the custom libraries now.

At present, the best way to obtain the custom version of the Rust standard libraries is to perform the following steps:

1. Clone the mir-json (https://github.com/GaloisInc/mir-json) repo like so:

```
$ git clone https://github.com/GaloisInc/mir-json
$ cd mir-json
```

- 2. Follow the instructions laid out in the mir-json installation instructions (https://github.com/GaloisInc/mir-json#installation-instructions) in order to install mir-json.
- 3. Run the mir-json-translate-libs utility:

```
$ mir-json-translate-libs
```

This will compile the custom versions of the Rust standard libraries using mir-json, placing the results under the rlibs subdirectory.

4. Finally, define a SAW\_RUST\_LIBRARY\_PATH environment variable that points to the newly created rlibs subdirectory:

```
$ export SAW_RUST_LIBRARY_PATH=<...>/mir-json/rlibs
```

An upcoming release of SAW will include these custom libraries pre-built, which will greatly simplify the steps above. Either way, you will need to set the SAW\_RUST\_LIBRARY\_PATH environment variable to point to the location of the custom libraries.

#### 3.3 A note about generics

The id\_u8 function above is likely not how most Rust programmers would define the identity function. Instead, it would seem more natural to define it generically, that is, by parameterizing the function by a type parameter:

```
pub fn id<A>(x: A) -> A {
      x
}
```

If you compile this with saw-rustc, however, the resulting JSON file will lack a definition for id! We can see this by using jq:

```
$ saw-rustc generics-take-1.rs
<snip>
$ jq . generics-take-1.linked-mir.json
{
```

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```
"fns": [],
  "adts": [],
  "statics": [],
  "vtables": [],
  "traits": [],
  "intrinsics": [],
  "tys": [],
  "roots": []
```

What is going on here? This is the result of an important design choice that SAW makes: *SAW* only supports verifying monomorphic functions. To be more precise, SAW's approach to symbolic simulation requires all of the code being simulated to have fixed types without any type parameters.

In order to verify a function using generics in your Rust code, you must provide a separate, monomorphic function that calls into the generic function. For example, you can rewrite the example above like so:

```
pub fn id<A>(x: A) -> A {
          x
}

pub fn id_u8(x: u8) -> u8 {
          id(x)
}
```

If you compile this version with saw-rustc, you'll see:

This time, the resulting JSON file contains a definition for id\_u8. The reason that this works is because when id\_u8 calls id, the Rust compile will generate a specialized version of id where A is instantiated with the type u8. This specialized version of id is named id::\_instaddce72e1232152c[0] in the output above. (You don't have to remember this name, thankfully!)

Although the resulting JSON file contains a definition for id\_u8, it does not contain a definition for

the generic id function. As a result, SAW will only be able to verify the id\_u8 function from this file. If you are ever in doubt about which functions are accessible for verification with SAW, you can check this with jq like so:

```
$ jq '.intrinsics | map(.name)' generics-take-2.linked-mir.json
[
   "generics_take_2/8b1bf337::id_u8",
   "generics_take_2/8b1bf337::id::_instaddce72e1232152c[0]"
]
```

Here, "intrinsics" are monomorphic functions that are visible to SAW. Note that saw-rustc will optimize away all definitions that are not accessible from one of these intrinsic functions. This explains why the original program that only defined a generic id function resulted in a definition-less JSON file, as that program did not contain monomorphic functions (and therefore no intrinsics).

Generally speaking, we prefer to verify functions that are defined directly in the Rust source code, such as id\_u8, as these functions' names are more stable than the specialized versions of functions that the compiler generates, such as id::\_instaddce72e1232152c[0]. Do note that SAW is capable of verifying both types of functions, however. (We will see an example of verifying an autogenerated function in the Salsa20 case study later in this tutorial.)

#### 3.4 Identifiers

When you compile a function named id\_u8, saw-rustc will expand it to a much longer name such as first\_example/abef32c5::id\_u8. This longer name is called an *identifier*, and it provides a globally unique name for that function. In the small examples we've seen up to this point, there hasn't been any risk of name collisions, but you could imagine compiling this code alongside another file (or crate) that also defines an id\_u8 function. If that happens, then it is essential that we can tell apart all of the different id\_u8 functions, and identifiers provide us the mechanism for doing so.

Let's take a closer look at what goes into an identifier. In general, an identifier will look like the following:

```
<crate name>/<disambiguator>::<function path>
```

<crate name> is the name of the crate in which the function is defined. All of the examples we've
seen up to this point have been defined in standalone files, and as a result, the crate name has been the
same as the file name, but without the .rs extension and with all hyphens replaced with underscores
(e.g., first-example.rs is given the crate name first\_example). In cargo-based projects,
the crate name will likely differ from the file name.

<disambiguator> is a hash of the crate and its dependencies. In extreme cases, it is possible for two different crates to have identical crate names, in which case the disambiguator must be used to distinguish between the two crates. In the common case, however, most crate names will correspond to exactly one disambiguator. (More on this in a bit.)

<function path> is the path to the function within the crate. Sometimes, this is as simple as

the function name itself. In other cases, a function path may involve multiple *segments*, depending on the module hierarchy for the program being verified. For instance, a read function located in <code>core/src/ptr/mod.rs</code> will have the identifier:

```
core/<disambiguator>::ptr::read
```

Where core is the crate name and ptr::read is the function path, which has two segments ptr and read. There are also some special forms of segments that appear for functions defined in certain language constructs. For instance, if a function is defined in an impl block, then it will have {impl} as one of its segments, e.g.,

```
core/<disambiguator>::ptr::const_ptr::{impl}::offset
```

The most cumbersome part of writing an identifier is the disambiguator, as it is extremely sensitive to changes in the code (not to mention hard to remember and type). Luckily, the vast majority of crate names correspond to exactly one disambiguator, and we can exploit this fact to abbreviate identifiers that live in such crates. For instance, we can abbreviate this identifier:

```
core/<disambiguator>::ptr::read
```

To simply:

```
core::ptr::read
```

We will adopt the latter, shorter notation throughout the rest of the tutorial. SAW also understands this shorthand, so we will also use this notation when passing identifiers to SAW commands.

#### 4 SAW basics

#### 4.1 A first SAW example

We now have the knowledge necessary to compile Rust code in a way that is suitable for SAW. Let's put our skills to the test and verify something! We will build on the example from above, which we will put into a file named <code>saw-basics.rs</code>:

```
pub fn id<A>(x: A) -> A {
          x
}

pub fn id_u8(x: u8) -> u8 {
          id(x)
}
```

Our goal is to verify the correctness of the id\_u8 function. However, it is meaningless to talk about whether a function is correct without having a *specification* for how the function should behave. This is

where SAW enters the picture. SAW provides a scripting language named *SAWScript* that allows you to write a precise specification for describing a function's behavior. For example, here is a specification that captures the intended behavior of id\_u8:

```
let id_u8_spec = do {
   x <- mir_fresh_var "x" mir_u8;
   mir_execute_func [mir_term x];
   mir_return (mir_term x);
};</pre>
```

At a high level, this specification says that id\_u8 is a function that accepts a single argument of type u8, and it returns its argument unchanged. Nothing too surprising there, but this example illustrates many of the concepts that one must use when working with SAW. Let's unpack what this is doing, line by line:

- In SAWScript, specifications are ordinary values that are defined with let. In this example, we are defining a specification named id\_u8\_spec.
- Specifications are defined using "do-notation". That is, they are assembled by writing do { <stmt>; <stmt>; <stmt>; }, where each <stmt> is a statement that declares some property about the function being verified. A statement can optionally bind a variable that can be passed to later statements, which is accomplished by writing <var> <- <stmt>.
- The x <- mir\_fresh\_var "x" mir\_u8; line declares that x is a fresh variable of type u8 (represented by mir\_u8 in SAWScript) that has some unspecified value. In SAW parlance, we refer to these unspecified values as *symbolic* values. SAW uses an SMT solver under the hood to reason about symbolic values.

The "x" string indicates what name the variable x should have when sent to the underlying SMT solver. This is primarily meant as a debugging aid, and it is not required that the string match the name of the SAWScript variable. (For instance, you could just as well have passed "x smt" or something else.)

- The mir\_execute\_func [mir\_term x]; line declares that the function should be invoked with x as the argument. For technical reasons, we pass mir\_term x to mir\_execute\_func rather than just x; we will go over what mir\_term does later in the tutorial.
- Finally, the mir\_return (mir\_term x); line declares that the function should return x once it has finished.

Now that we have a specification in hand, it's time to prove that id\_u8 actually adheres to the spec. To do so, we need to load the MIR JSON version of id\_u8 into SAW, which is done with the mir\_load\_module command:

```
m <- mir_load_module "saw-basics.linked-mir.json";
```

This m variable contains the definition of id\_u8, as well as the other code defined in the program. We can then pass m to the mir\_verify command, which actually verifies that id\_u8 behaves according to id\_u8\_spec:

```
mir_verify m "saw_basics::id_u8" [] false id_u8_spec z3;
```

Here is what is going on in this command:

- The m and "saw\_basics::id\_u8" arguments instruct SAW to verify the id\_u8 function located in the saw\_basics crate defined in m. Note that we are using the shorthand identifier notation here, so we are allowed to omit the disambiguator for the saw\_basics crate.
- The [] argument indicates that we will not provide any function overrides to use when SAW simulates the id\_u8 function. (We will go over how overrides work later in the tutorial.)
- The false argument indicates that SAW should not use path satisfiability checking when analyzing the function. Path satisfiability checking is an advanced SAW feature that we will not be making use of in this tutorial, so we will always use false here.
- The id\_u8\_spec argument indicates that id\_u8 should be checked against the specification defined by id\_u8\_spec.
- The z3 argument indicates that SAW should use the Z3 SMT solver to solve any proof goals that are generated during verification. SAW also supports other SMT solvers, although we will mostly use Z3 in this tutorial.

Putting this all together, our complete saw-basics.saw file is:

```
enable_experimental;

let id_u8_spec = do {
    x <- mir_fresh_var "x" mir_u8;
    mir_execute_func [mir_term x];
    mir_return (mir_term x);
};

m <- mir_load_module "saw-basics.linked-mir.json";

mir_verify m "saw_basics::id_u8" [] false id_u8_spec z3;</pre>
```

One minor detail that we left out until just now is that the SAW's interface to MIR is still experimental, so you must explicitly opt into it with the enable\_experimental command.

Now that everything is in place, we can check this proof like so:

```
$ saw saw-basics.saw

[16:14:07.006] Loading file "saw-basics.saw"

[16:14:07.009] Verifying saw_basics/f77ebf43::id_u8[0] ...

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```

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```
[16:14:07.017] Simulating saw_basics/f77ebf43::id_u8[0] ...
[16:14:07.017] Checking proof obligations saw_basics/f77ebf43::id_u8[0]__

...
[16:14:07.017] Proof succeeded! saw_basics/f77ebf43::id_u8[0]
```

Tada! SAW was successfully able to prove that id\_u8 adheres to its spec.

#### 4.2 Cryptol

The spec in the previous section is nice and simple. It's also not very interesting, as it's fairly obvious at a glance that id\_u8's implementation is correct. Most of the time, we want to verify more complicated functions where the correspondence between the specification and the implementation is not always so clear.

For example, consider this function, which multiplies a number by two:

```
pub fn times_two(x: u32) -> u32 {
    x << 1 // Gotta go fast
}</pre>
```

The straightforward way to implement this function would be to return 2 \* x, but the author of this function *really* cared about performance. As such, the author applied a micro-optimization that computes the multiplication with a single left-shift (<<). This is the sort of scenario where we are pretty sure that the optimized version of the code is equivalent to the original version, but it would be nice for SAW to check this.

Let's write a specification for the times\_two function:

```
let times_two_spec = do {
  x <- mir_fresh_var "x" mir_u32;
  mir_execute_func [mir_term x];
  mir_return (mir_term {{ 2 * x }});
};</pre>
```

This spec introduces code delimited by double curly braces  $\{\{\ldots,\}\}$ , which is a piece of syntax that we haven't seen before. The code in between the curly braces is written in Cryptol (http://cryptol.net/documentation.html), a language designed for writing high-level specifications of various algorithms. Cryptol supports most arithmetic operations, so  $2 \times \infty$  works exactly as you would expect. Also note that the  $\infty$  variable was originally bound in the SAWScript language, but it is possible to embed  $\infty$  into the Cryptol language by referencing  $\infty$  within the curly braces. (We'll say more about how this embedding works later.)

 $\{\{\{2^*\times x^*\}\}\}$  takes the Cryptol expression  $2^*\times x$  and lifts it to a SAW expression. As such, this SAW spec declares that the function takes a single u32-typed argument x and returns  $2^*\times x$ . We could have also wrote the specification to declare that the function returns  $x^*< x^*$  1, but that would

have defeated the point of this exercise: we specifically want to check that the function against a spec that is as simple and readable as possible.

Our full SAW file is:

```
enable_experimental;

let times_two_spec = do {
    x <- mir_fresh_var "x" mir_u32;
    mir_execute_func [mir_term x];
    mir_return (mir_term {{ 2 * x }});
};

m <- mir_load_module "times-two.linked-mir.json";

mir_verify m "times_two::times_two" [] false times_two_spec z3;</pre>
```

Which we can verify is correct like so:

```
$ saw times-two.saw

[17:51:35.469] Loading file "times-two.saw"

[17:51:35.497] Verifying times_two/6f4e41af::times_two[0] ...

[17:51:35.512] Simulating times_two/6f4e41af::times_two[0] ...

[17:51:35.513] Checking proof obligations times_two/6f4e41af::times_

$\to$two[0] ...

[17:51:35.527] Proof succeeded! times_two/6f4e41af::times_two[0]
```

Nice! Even though the times\_two function does not literally return 2 \* x, SAW is able to confirm that the function behaves as if it were implemented that way.

#### 4.3 Terms and other types

Now that we know how Cryptol can be used within SAW, we can go back and explain what the mir\_term function does. It is helpful to examine the type of mir\_term by using SAW's interactive mode. To do so, run the saw binary without any other arguments:

```
$ saw
```

Then run enable\_experimental (to enable MIR-related commands) and run: type mir\_term:

```
sawscript> enable_experimental
sawscript> :type mir_term
Term -> MIRValue
```

Here, we see that mir\_term accepts a Term as an argument and returns a MIRValue. In this context, the Term type represents a Cryptol value, and the MIRValue type represents SAW-related MIR values. Terms can be thought of as a subset of MIRValues, and the mir\_term function is used to promote a Term to a MIRValue.

Most other MIR-related commands work over MIRValues, as can be seen with SAW's :type command:

```
sawscript> :type mir_execute_func
[MIRValue] -> MIRSetup ()
sawscript> :type mir_return
MIRValue -> MIRSetup ()
```

Note that MIRSetup is the type of statements in a MIR specification, and two MIRSetup-typed commands can be chained together by using do-notation. Writing MIRSetup () means that the statement does not return anything interesting, and the use of () here is very much analogous to how () is used in Rust. There are other MIRSetup-typed commands that *do* return something interesting, as is the case with mir\_fresh\_var:

```
sawscript> :type mir_fresh_var
String -> MIRType -> MIRSetup Term
```

This command returns a MIRSetup Term, which means that when you write x <- mir\_fresh\_var ... in a MIR specification, then x will be bound at type Term.

Values of type Term have the property that they can be embedded into Cryptol expression that are enclosed in double curly braces  $\{\{\ldots,\}\}$ . This is why our earlier  $\{\{\{2,*,*\}\}\}$  example works, as x is of type Term.

#### 4.4 Preconditions and postconditions

As a sanity check, let's write a naïve version of times\_two that explicitly returns 2 \* x:

```
pub fn times_two_ref(x: u32) -> u32 {
          2 * x
}
```

It seems like we should be able to verify this times\_two\_ref function using the same spec that we used for times\_two:

```
mir_verify m "times_two::times_two_ref" [] false times_two_spec z3;
```

Somewhat surprisingly, SAW fails to verify this function:

```
$ saw times-two-ref-fail.saw (continues on next page)
```

The "which would overflow" portion of the error message suggests what went wrong. When a Rust program is compiled with debug settings (which is the default for rustc and saw-rustc), arithmetic operations such as multiplication will check if the result of the operation can fit in the requested number of bits. If not, the program will raise an error.

In this case, we must make the result of multiplication fit in a u32, which can represent values in the range 0 to 2^^32 - 1 (where ^^ is Cryptol's exponentiation operator). But it is possible to take a number in this range, multiply it by two, and have the result fall outside of the range. In fact, SAW gives us a counterexample with exactly this number: 2147483648, which can also be written as 2^^31. Multiplying this by two yields 2^^32, which is just outside of the range of values expressible with u32. SAW's duties include checking that a function cannot fail at runtime, so this function falls afoul of that check.

Note that we didn't have this problem with the original definition of times\_two because the semantics of << are such that if the result is too large to fit in the requested type, then the result will *overflow*, i.e., wrap back around to zero and count up. This means that (2^^31) << 1 evaluates to 0 rather than raising an error. Cryptol's multiplication operation also performs integer overflow (unlike Rust in debug settings), which is why we didn't notice any overflow-related issues when verifying times\_two.

There are two possible ways that we can repair this. One way is to rewrite times\_two\_ref to use Rust's wrapping\_mul (https://doc.rust-lang.org/std/primitive.u32.html#method.wrapping\_mul) function, a variant of multiplication that always uses integer overflow. This work around the issue, but it is a bit more verbose.

The other way is to make our spec more precise such that we only verify times\_two\_ref for particular inputs. Although times\_two\_ref will run into overflow-related issues when the argument is 2^^31 or greater, it is perfectly fine for inputs smaller than 2^^31. We can encode such an

assumption in SAW by adding a *precondition*. To do so, we write a slightly modified version of times\_two\_spec:

```
let times_two_ref_spec = do {
   x <- mir_fresh_var "x" mir_u32;
   mir_precond {{   x < 2^^31 }};
   mir_execute_func [mir_term x];
   mir_return (mir_term {{   2 * x }});
};</pre>
```

The most notable change is the mir\_precond  $\{\{x < 2^{31}\}\}$ ; line. mir\_precond (where "precond" is short for "precondition") is a command that takes a Term argument that contains a boolean predicate, such as  $\{\{x < 2^{31}\}\}$ . Declaring a precondition requires that this predicate must hold during verification, and any values of x that do not satisfy this predicate are not considered.

By doing this, we have limited the range of the function from 0 to 2^^31 - 1, which is exactly the range of values for which times\_two\_ref is well defined. SAW will confirm this if we run it:

```
mir_verify m "times_two::times_two_ref" [] false times_two_ref_spec z3;
```

```
[19:23:53.480] Verifying times_two/56182919::times_two_ref[0] ...
[19:23:53.496] Simulating times_two/56182919::times_two_ref[0] ...
[19:23:53.497] Checking proof obligations times_two/56182919::times_two_

→ref[0] ...
[19:23:53.531] Proof succeeded! times_two/56182919::times_two_ref[0]
```

We can add as many preconditions to a spec as we see fit. For instance, if we only want to verify times two ref for positive integers, we could add an additional assumption:

```
let times_two_ref_positive_spec = do {
    x <- mir_fresh_var "x" mir_u32;
    mir_precond {{ 0 < x }}; // The input must be positive
    mir_precond {{ x < 2^^31 }};
    mir_execute_func [mir_term x];
    mir_return (mir_term {{ 2 * x }});
};</pre>
```

In addition to preconditions, SAW also supports postconditions. Whereas preconditions represent conditions that must hold *before* invoking a function, postconditions represent conditions that must hold *after* invoking a function. We have already seen one type of postcondition in the form of the mir return command, which imposes a postcondition on what the return value must be equal to.

We can introduce additional postconditions with the mir\_postcond command. For example, if we call times\_two\_ref with a positive argument, then it should be the case that the return value should be strictly greater than the argument value. We can check for this using mir\_postcond like so:

```
let times_two_ref_positive_postcond_spec = do {
    x <- mir_fresh_var "x" mir_u32;
    mir_precond {{ 0 < x }}; // The input must be positive
    mir_precond {{ x < 2^^31 }};
    mir_execute_func [mir_term x];
    mir_postcond {{ x < (2 * x) }}; // Argument value < return value
    mir_return (mir_term {{ 2 * x }});
};</pre>
```

An additional convenience that SAW offers is the mir\_assert command. mir\_assert has the same type as mir\_precond and mir\_postcond, but mir\_assert can be used to declare both preconditions and postconditions. The difference is where mir\_assert appears in a specification. If mir\_assert is used before the call to mir\_execute\_func, then it declares a precondition. If mir\_assert is used after the call to mir\_execute\_func, then it declares a postcondition.

For example, we can rewrite times\_two\_ref\_positive\_postcond\_spec to use mir\_asserts like so:

```
let times_two_ref_positive_postcond_assert_spec = do {
   x <- mir_fresh_var "x" mir_u32;
   mir_assert {{ 0 < x }}; // The input must be positive
   mir_assert {{ x < 2^^31 }};
   mir_execute_func [mir_term x];
   mir_assert {{ x < (2 * x) }}; // Argument value < return value
   mir_return (mir_term {{ 2 * x }});
};</pre>
```

The choice of whether to use mir\_precond/mir\_postcond versus mir\_assert is mostly a matter personal taste.

# 5 Reference types

All of the examples we have seen up to this point involve simple integer types such as u8 and u32. While these are useful, Rust's type system features much more than just integers. A key part of Rust's type system are its reference types. For example, in this read\_ref function:

```
pub fn read_ref(r: &u32) -> u32 {
    *r
}
```

The function reads the value that r (of type &u32) points to and returns it. Writing SAW specifications involving references is somewhat trickier than with other types of values because we must also specify what memory the reference points to. SAW provides a special command for doing this called mir alloc:

```
sawscript> :type mir_alloc
MIRType -> MIRSetup MIRValue
```

mir\_alloc will allocate a reference value with enough space to hold a value of the given MIRType. Unlike mir\_fresh\_var, mir\_alloc returns a MIRValue instead of a Term. We mentioned before that Terms are only a subset of MIRValues, and this is one of the reasons why. Cryptol does not have a notion of reference values, but MIRValues do. As a result, you cannot embed the result of a call to mir\_alloc in a Cryptol expression.

mir\_alloc must be used with some care. Here is a first, not-quite-correct attempt at writing a spec for read\_ref using mir\_alloc:

```
let read_ref_fail_spec = do {
   r <- mir_alloc mir_u32;
   mir_execute_func [r];
   // mir_return ??;
};

m <- mir_load_module "ref-basics.linked-mir.json";

mir_verify m "ref_basics::read_ref" [] false read_ref_fail_spec z3;</pre>
```

As the comment suggests, it's not entirely clear what this spec should return. We can't return r, since read\_ref returns something of type u32, not &u32. On the other hand, we don't have any values of type u32 lying around that are obviously the right thing to use here. Nevertheless, it's not required for a SAW spec to include a mir\_return statement, so let's see what happens if we verify this as-is:

```
$ saw ref-basics-fail.saw

[20:13:27.224] Loading file "ref-basics-fail.saw"

[20:13:27.227] Verifying ref_basics/54ae7b63::read_ref[0] ...

[20:13:27.235] Simulating ref_basics/54ae7b63::read_ref[0] ...

[20:13:27.235] Stack trace:

"mir_verify" (ref-basics-fail.saw:11:1-11:11)

Symbolic execution failed.

Abort due to assertion failure:

ref-basics.rs:2:5: 2:7: error: in ref_basics/54ae7b63::read_ref[0]

attempted to read empty mux tree
```

Clearly, SAW didn't like what we gave it. The reason this happens is although we allocated memory for the reference r, we never told SAW what value should live in that memory. When SAW simulated the read\_ref function, it attempted to dereference r, which pointed to uninitialized memory. This is constitutes an error in SAW, which is what this "attempted to read empty mux tree"

business is about.

SAW provides a mir\_points\_to command to declare what value a reference should point to:

```
sawscript> :type mir_points_to
MIRValue -> MIRValue -> MIRSetup ()
```

Here, the first MIRValue argument represents a reference value, and the second MIRValue argument represents the value that the reference should point to. In our spec for read\_ref, we can declare that the reference should point to a symbolic u32 value like so:

```
let read_ref_spec = do {
    r_ref <- mir_alloc mir_u32;
    r_val <- mir_fresh_var "r_val" mir_u32;
    mir_points_to r_ref (mir_term r_val);
    mir_execute_func [r_ref];
    mir_return (mir_term r_val);
};</pre>
```

We have renamed r to r\_ref in this revised spec to more easily distinguish it from r\_val, which is the value that r\_ref is declared to point to using  $mir_points_to$ . In this version of the spec, it is clear that we should return r\_val using  $mir_return$ , as r\_val is exactly the value that will be computed by dereferencing r\_ref.

This pattern, where a call to mir\_alloc/mir\_alloc\_mut to followed by a call to mir\_points\_to, is common with function specs that involve references. Later in the tutorial, we will see other examples of mir\_points\_to where the reference argument does not come from mir\_alloc/mir\_alloc\_mut.

The argument to read\_ref is an immutable reference, so the implementation of the function is not allowed to modify the memory that the argument points to. Rust also features mutable references that do permit modifying the underlying memory, as seen in this swap function:

```
pub fn swap(a: &mut u32, b: &mut u32) {
    let a_tmp: u32 = *a;
    let b_tmp: u32 = *b;

    *a = b_tmp;
    *b = a_tmp;
}
```

A corresponding spec for swap is:

```
let swap_spec = do {
   a_ref <- mir_alloc_mut mir_u32;
   a_val <- mir_fresh_var "a_val" mir_u32;
   (continues on next page)</pre>
```

(continued from previous page)

```
mir_points_to a_ref (mir_term a_val);

b_ref <- mir_alloc_mut mir_u32;

b_val <- mir_fresh_var "b_val" mir_u32;

mir_points_to b_ref (mir_term b_val);

mir_execute_func [a_ref, b_ref];

mir_points_to a_ref (mir_term b_val);

mir_points_to b_ref (mir_term a_val);

};</pre>
```

There are two interesting things worth calling out in this spec:

- 1. Instead of allocating the reference values with mir\_alloc, we instead use mir\_alloc\_mut. This is a consequence of the fact that &mut u32 is a different type from &mut in Rust (and in MIR), and and such, we need a separate mir\_alloc\_mut to get the types right.
- 2. This spec features calls to mir\_points\_to before and after mir\_execute\_func. This is because the values that a\_ref and b\_ref point to before calling the function are different than the values that they point to after calling the function. The two uses to mir\_points\_to after the function has been called swap the order of a\_val and b\_val, reflecting the fact that the swap function itself swaps the values that the references point to.

## 6 Compound data types

Besides integer types and reference types, Rust also features a variety of other interesting data types. This part of the tutorial will briefly go over some of these data types and how to interface with them in SAW.

#### 6.1 Array types

Rust includes array types where the length of the array is known ahead of time. For instance, this index function takes an arr argument that must contain exactly three u32 values:

```
pub fn index(arr: [u32; 3], idx: usize) -> u32 {
    arr[idx]
}
```

While Rust is good at catching many classes of programmer errors at compile time, one thing that it cannot catch in general is out-of-bounds array accesses. In this index example, calling the function with a value of idx ranging from 0 to 2 is fine, but any other choice of idx will cause the program to crash, since the idx will be out of the bounds of arr.

SAW is suited to checking for these sorts of out-of-bound accesses. Let's write an incorrect spec for index to illustrate this:

```
let index_fail_spec = do {
    arr <- mir_fresh_var "arr" (mir_array 3 mir_u32);
    idx <- mir_fresh_var "idx" mir_usize;

    mir_execute_func [mir_term arr, mir_term idx];

    mir_return (mir_term {{ arr @ idx }});
};

m <- mir_load_module "arrays.linked-mir.json";

mir_verify m "arrays::index" [] false index_fail_spec z3;</pre>
```

Before we run this with SAW, let's highlight some of the new concepts that this spec uses:

- 1. The type of the arr variable is specified using mir\_array 3 mir\_u32. Here, the mir\_array function takes the length of the array and the element type as arguments, just as in Rust.
- 2. The spec declares the return value to be {{ arr @ idx }}, where @ is Cryptol's indexing operator. Also note that it is completely valid to embed a MIR array type into a Cryptol expression, as Cryptol has a sequence type that acts much like arrays do in MIR.

As we hinted above, this spec is wrong, as it says that this should work for *any* possible values of idx. SAW will catch this mistake:

We can repair this spec by adding some preconditions:

```
let index_spec = do {
   arr <- mir_fresh_var "arr" (mir_array 3 mir_u32);
   idx <- mir_fresh_var "idx" mir_usize;
   mir_precond {{ 0 <= idx }}; // Lower bound of idx
   mir_precond {{ idx <= 2 }}; // Upper bound of idx

   mir_execute_func [mir_term arr, mir_term idx];

   mir_return (mir_term {{ arr @ idx }});
};</pre>
```

An alternative way of writing this spec is by using SAW's mir\_array\_value command:

```
sawscript> :type mir_array_value
MIRType -> [MIRValue] -> MIRValue
```

Here, the MIRType argument represents the element type, and the list of MIRValue arguments are the element values of the array. We can rewrite index\_spec using mir\_array\_value like so:

Here, [arr0, arr1, arr2] is Cryptol notation for constructing a length-3 sequence consisting of arr0, arr1, and arr2 as the elements. index\_alt\_spec is equivalent to index\_spec, albeit more verbose. For this reason, it is usually preferable to use mir\_fresh\_var to create an entire symbolic array rather than creating separate symbolic values for each element and combining them with mir\_array\_value.

There are some situations where mir\_array\_value is the only viable choice, however. Consider this variant of the index function:

```
pub fn index_ref_arr(arr: [&u32; 3], idx: usize) -> u32 {
    *arr[idx]
}
```

When writing a SAW spec for index\_ref\_arr, we can't just create a symbolic variable for arr using mir\_alloc (mir\_array 3 ...), as the reference values in the array wouldn't point to valid memory. Instead, we must individually allocate the elements of arr using separate calls to mir\_alloc and then build up the array using mir\_array\_value. (As an exercise, try writing and verifying a spec for index\_ref\_arr).

#### 6.2 Tuple types

Rust includes tuple types where the elements of the tuple can be of different types. For example:

```
pub fn flip(x: (u32, u64)) -> (u64, u32) {
    (x.1, x.0)
}
```

SAW includes a mir\_tuple function for specifying the type of a tuple value. In addition, one can embed MIR tuples into Cryptol, as Cryptol also includes tuple types whose fields can be indexed with .0, .1, etc. Here is a spec for flip that makes use of all these features:

```
let flip_spec = do {
  x <- mir_fresh_var "x" (mir_tuple [mir_u32, mir_u64]);
  mir_execute_func [mir_term x];
  mir_return (mir_term {{ (x.1, x.0) }});
};</pre>
```

SAW also includes a mir\_tuple\_value function for constructing a tuple value from other MIR-Values:

```
sawscript> :type mir_tuple_value
[MIRValue] -> MIRValue
```

mir\_tuple\_value plays a similar role for tuples as mir\_array\_value does for arrays.

#### 6.3 Struct types

Rust supports the ability for users to define custom struct types. Structs are uniquely identified by their names, so if you have two structs like these:

```
pub struct S(u32, u64);
pub struct T(u32, u64);
```

Then even though the fields of the S and T structs are the same, they are *not* the same struct. This is a type system feature that Cryptol does not have, and for this reason, it is not possible to embed MIR struct values into Cryptol. It is also not possible to use mir\_fresh\_var to create a symbolic struct value. Instead, one can use the mir\_struct\_value command:

```
sawscript> :type mir_struct_value
MIRAdt -> [MIRValue] -> MIRValue
```

Like with mir\_array\_value and mir\_tuple\_value, the mir\_struct\_value function takes a list of MIRValues as arguments. What makes mir\_struct\_value unique is its MIRAdt argument, which we have not seen up to this point. In this context, "Adt" is shorthand for "algebraic data type (https://en.wikipedia.org/wiki/Algebraic\_data\_type)", and Rust's structs are an example of ADTs. (Rust also supports enums, another type of ADT that we will see later in this tutorial.)

ADTs in Rust are named entities, and as such, they have unique identifiers in the MIR JSON file in which they are defined. Looking up these identifiers can be somewhat error-prone, so SAW offers a mir\_find\_adt command that computes an ADT's identifier and returns the MIRAdt associated with it:

```
sawscript> :type mir_find_adt
MIRModule -> String -> [MIRType] -> MIRAdt
```

Here, MIRModule correspond to the MIR JSON file containing the ADT definition, and the String is the name of the ADT whose identifier we want to look up. The list of MIRTypes represent types to instantiate any type parameters to the struct (more on this in a bit).

As an example, we can look up the S and T structs from above like so:

```
m <- mir_load_module "structs.linked-mir.json";
let s_adt = mir_find_adt m "structs::S" [];
let t_adt = mir_find_adt m "structs::T" [];</pre>
```

We pass an empty list of MIRTypes to each use of mir\_find\_adt, as neither S nor T have any type parameters. An example of a struct that does include type parameters can be seen here:

```
pub struct Foo<A, B>(A, B);
```

As mentioned before, SAW doesn't support generic definitions out of the box, so the only way that we can make use of the Foo struct is by looking up a particular instantiation of Foo's type parameters. If we define a function like this, for example:

```
pub fn make_foo() -> Foo<u32, u64> {
    Foo(27, 42)
}
```

Then this function instantiates Foo's A type parameter with u32 and the B type parameter with u64. We can use mir\_find\_adt to look up this particular instantiation of Foo like so:

```
let foo_adt = mir_find_adt m "structs::Foo" [mir_u32, mir_u64];
```

In general, a MIR JSON file can have many separate instantiations of a single struct's type parameters, and each instantiation must be looked up separately using mir\_find\_adt.

Having looked up Foo<u32, u64> using mir\_find\_adt, let's use the resulting MIRAdt in a spec:

Note that we are directly writing out the values 27 and 42 in Cryptol. Cryptol's numeric literals can take on many different types, so in order to disambiguate which type they should be, we give each numeric literal an explicit type annotation. For instance, the expression 27: [32] means that 27 should be a 32-bit integer.

#### Symbolic structs

Let's now verify a function that takes a struct value as an argument:

```
pub struct Bar(u8, u16, Foo<u32, u64>);
pub fn do_stuff_with_bar(b: Bar) {
    // ...
}
```

Moreover, let's verify this function for all possible Bar values. One way to do this is to write a SAW spec that constructs a struct value whose fields are themselves symbolic:

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This is a rather tedious process, however, as we had to repeatedly use mir\_fresh\_var to create a fresh, symbolic value for each field. Moreover, because mit\_fresh\_var does not work for structs, we had to recursively apply this process in order to create a fresh Foo value. It works, but it takes a lot of typing to accomplish.

To make this process less tedious, SAW offers a mir\_fresh\_expanded\_value command that allows one to create symbolic values of many more types. While mir\_fresh\_var is limited to those MIR types that can be directly converted to Cryptol, mir\_fresh\_expanded\_value can create symbolic structs by automating the process of creating fresh values for each field. This process also applies recursively for struct fields, such as the Foo field in Bar.

As an example, a much shorter way to write the spec above using mir\_fresh\_expanded\_value is:

```
let do_stuff_with_bar_spec2 = do {
  b <- mir_fresh_expanded_value "b" (mir_adt bar_adt);
  mir_execute_func [b];
  // ...
};</pre>
```

That's it! Note that the string "b" is used as a prefix for all fresh names that mir\_fresh\_expanded\_value generates, so if SAW produces a counterexample involving this symbolic struct value, one can expect to see names such as b\_0, b\_1, etc. for the fields of the struct.

mir\_fresh\_expanded\_value makes it easier to construct large, compound values that consist of many smaller, inner values. The drawback is that you can't refer to these inner values in the postconditions of a spec. As a result, there are some functions for which mir\_fresh\_expanded\_value isn't suitable, so keep this in mind before reaching for it.

#### 6.4 Enum types

Besides structs, another form of ADT that Rust supports are enums. Each enum has a number of different *variants* that describe the different ways that an enum value can look like. A famous example of a Rust enum is the Option type, which is defined by the standard library like so:

```
enum Option<T> {
    None,
    Some(T),
}
```

Option is commonly used in Rust code to represent a value that may be present (Some) or absent (None). For this reason, we will use Option as our motivating example of an enum in this section.

First, let's start by defining some functions that make use of Option's variants:

```
pub fn i_found_something(x: u32) -> Option<u32> {
    Some(x)
}

pub fn i_got_nothing() -> Option<u32> {
    None
}
```

Both functions return an Option<u32> value, but each function returns a different variant. In order to tell these variants apart, we need a SAW function which can construct an enum value that allows the user to pick which variant they want to construct. The `mir\_enum\_value function does exactly that:

```
sawscript> :type mir_enum_value
MIRAdt -> String -> [MIRValue] -> MIRValue
```

Like mir\_struct\_value, mir\_enum\_value also requires a MIRAdt argument in order to discern which particular enum you want. Unlike mir\_struct\_value, however, it also requires a String which variant of the enum you want. In the case of Option, this String will either be "None" or "Some". Finally, the [MIRValue] arguments represent the fields of the enum variant.

Let's now verify some enum-related code with SAW. First, we must look up the Option<u32> ADT, which works just as if you had a struct type:

```
let option_u32 = mir_find_adt m "core::option::Option" [mir_u32];
```

Next, we can use this ADT to construct enum values. We shall use mir\_enum\_value to create a Some value in the spec for i\_found\_something:

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Note that while we used the full identifier <code>core::option::Option</code> to look up the <code>Option</code> ADT, we do not need to use the <code>core::option</code> prefix when specifying the "Some" variant. This is because SAW already knows what the prefix should be from the <code>option\_u32</code> ADT, so the "Some" shorthand suffices.

Similarly, we can also write a spec for i\_got\_nothing, which uses the None variant:

```
let i_got_nothing_spec = do {
    mir_execute_func [];

let ret = mir_enum_value option_u32 "None" [];
    mir_return ret;
};

mir_verify m "enums::i_got_nothing" [] false i_got_nothing_spec z3;
```

#### Symbolic enums

In order to create a symbolic struct, one could create symbolic fields and pack them into a larger struct value using mir\_struct\_value. The same process is not possible with mir\_enum\_value, however, as a symbolic enum value would need to range over *all* possible variants in an enum.

Just as mir\_fresh\_expanded\_value supports creating symbolic structs, mir\_fresh\_expanded\_value also supports creating symbolic enum values. For example, given this function that accepts an Option<u32> value as an argument:

```
pub fn do_stuff_with_option(o: Option<u32>) {
    // ...
}
```

We can write a spec for this function that considers all possible Option<u32> values like so:

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```
mir_execute_func [o];

// ...
};
```

Here, o can be a None value, or it can be a Some value with a symbolic field.

#### 6.5 Slices

Slices are a particular type of reference that allow referencing contiguous sequences of elements in a collection, such as an array. Unlike ordinary references (e.g., &u32), SAW does not permit allocating a slice directly. Instead, one must take a slice of an existing reference. To better illustrate this distinction, consider this function:

```
pub fn sum_of_prefix(s: &[u32]) -> u32 {
    s[0].wrapping_add(s[1])
}
```

sum\_of\_prefix takes a slice to a sequence of u32s as an argument, indexes into the first two elements in the sequence, and adds them together. There are many possible ways we can write a spec for this function, as the slice argument may be backed by many different sequences. For example, the slice might be backed by an array whose length is exactly two:

```
let a1 = [1, 2];
let s1 = &a1[..];
let sum1 = sum_of_prefix(s1);
```

We could also make a slice whose length is longer than two:

```
let a2 = [1, 2, 3, 4, 5];
let s2 = &a2[..];
let sum2 = sum_of_prefix(s2);
```

Alternatively, the slice might be a subset of an array whose length is longer than two:

```
let a3 = [1, 2, 3, 4, 5];
let s3 = &a3[0..2];
let sum3 = sum_of_prefix(s3);
```

All of these are valid ways of building the slice argument to <code>sum\_of\_prefix</code>. Let's try to write SAW specifications that construct these different forms of slices. To do so, we will need SAW functions that take a reference to a collection (e.g., an array) and converts them into a slice reference. The <code>mir\_slice\_value</code> function is one such function:

```
sawscript> :type mir_slice_value
MIRValue -> MIRValue
```

mir\_slice\_value arr\_ref is the SAW equivalent of writing arr\_ref[..]. That is, if arr\_ref is of type & [T; N], then mir\_slice\_value arr\_ref is of type & [T]. Note that arr\_ref must be a reference to an array, not an array itself.

Let's use mir\_slice\_value to write a spec for sum\_of\_prefix when the slice argument is backed by an array of length two:

```
let sum_of_prefix_spec1 = do {
   a_ref <- mir_alloc (mir_array 2 mir_u32);
   a_val <- mir_fresh_var "a" (mir_array 2 mir_u32);
   mir_points_to a_ref (mir_term a_val);

let s = mir_slice_value a_ref;

mir_execute_func [s];

mir_return (mir_term {{ (a_val @ 0) + (a_val @ 1) }});
};</pre>
```

The first part of this spec allocates an array reference a\_ref and declares that it points to a fresh array value a\_val. The next part declares a slice s that is backed by the entirety of a\_ref, which is then passed as an argument to the function itself. Finally, the return value is declared to be the sum of the first and second elements of a\_val, which are the same values that back the slice s itself.

As noted above, the <code>sum\_of\_prefix</code> function can work with slices of many different lengths. Here is a slight modification to this spec that declares it to take a slice of length 5 rather than a slice of length 2:

```
let sum_of_prefix_spec2 = do {
   a_ref <- mir_alloc (mir_array 5 mir_u32);
   a_val <- mir_fresh_var "a" (mir_array 5 mir_u32);
   mir_points_to a_ref (mir_term a_val);

let s = mir_slice_value a_ref;

mir_execute_func [s];

mir_return (mir_term {{ (a_val @ 0) + (a_val @ 1) }});
};</pre>
```

Both of these examples declare a slice whose length matches the length of the underlying array. In general, there is no reason that these have to be the same, and it is perfectly fine for a slice's length to be less than the the length of the underlying array. In Rust, for example, we can write a slice of a

subset of an array by writing &arr\_ref[0..2]. The SAW equivalent of this can be achieved with the mir\_slice\_range\_value function:

```
sawscript> :type mir_slice_range_value
MIRValue -> Int -> MIRValue
```

mir\_slice\_range\_value takes takes two additional Int arguments that represent (1) the index to start the slice from, and (2) the index at which the slice ends. For example, mir\_slice\_range\_value arr\_ref 0 2 creates a slice that is backed by the first element (index 0) and the second element (index 1) of arr\_ref. Note that the range [0..2] is half-open, so this range does *not* include the third element (index 2).

For example, here is how to write a spec for sum\_of\_prefix where the slice is a length-2 subset of the original array:

```
let sum_of_prefix_spec3 = do {
   a_ref <- mir_alloc (mir_array 5 mir_u32);
   a_val <- mir_fresh_var "a" (mir_array 5 mir_u32);
   mir_points_to a_ref (mir_term a_val);

let s = mir_slice_range_value a_ref 0 2;

mir_execute_func [s];

mir_return (mir_term {{ (a_val @ 0) + (a_val @ 1) }});
};</pre>
```

Note that both Int arguments to mir\_slice\_range\_value must be concrete (i.e., not symbolic). (See the section below if you want an explanation for why they are not allowed to be symbolic.)

#### Aside: slices of arbitrary length

After reading the section about slices above, one might reasonably wonder: is there a way to write a more general spec for sum\_of\_prefix: that covers all possible slice lengths n, where n is greater than or equal to 2? In this case, the answer is "no".

This is a fundamental limitation of the way SAW's symbolic execution works. The full reason for why this is the case is somewhat technical (keep reading if you want to learn more), but the short answer is that if SAW attempts to simulate code whose length is bounded by a symbolic integer, then SAW will go into an infinite loop. To avoid this pitfall, the mir\_slice\_range\_value function very deliberately requires the start and end values to be concrete integers, as allowing these values to be symbolic would allow users to inadvertently introduce infinite loops in their specifications.

A longer answer as to why SAW loops forever on computations that are bounded by symbolic lengths: due to the way SAW's symbolic execution works, it creates a complete model of the behavior of a function for all possible inputs. The way that SAW achieves this is by exploring all possible execution paths through a program. If a program involves a loop, for example, then SAW will unroll all iterations

of the loop to construct a model of the loop's behavior. Similarly, if a sequence (e.g., a slice or array) has an unspecified length, then SAW must consider all possible lengths of the array.

SAW's ability to completely characterize the behavior of all paths through a function is one of its strengths, as this allows it to prove theorems that other program verification techniques would not. This strength is also a weakness, however. If a loop has a symbolic number of iterations, for example, then SAW will spin forever trying to unroll the loop. Similarly, if a slice were to have a symbolic length, then SAW would spin forever trying to simulate the program for all possible slice lengths.

In general, SAW cannot prevent users from writing programs whose length is bounded by a symbolic value. For now, however, SAW removes one potential footgun by requiring that slice values always have a concrete length.

# 7 Overrides and compositional verification

Up until this point, all uses of mir\_verify in this tutorial have provided an empty list ([]) of overrides. This means that any time SAW has simulated a function which calls another function, it will step into the definition of the callee function and verify its behavior alongside the behavior of the callee function. This is a fine thing to do, but it can be inefficient. For example, consider a function like this:

```
pub fn f(x: u32) -> u32 {
    let x1 = g(x);
    let x2 = g(x1);
    g(x2)
}
```

Here, the caller function f invokes the callee function g three separate times. If we verify f with  $mir\_verify$  as we have done up until this point, then SAW must analyze the behavior of g three separate times. This is wasteful, and especially so if g is a large and complicated function.

This is where *compositional verification* enters the picture. The idea behind compositional verification is that when we prove properties of a caller function, we can reuse properties that we have already proved about callee functions. These properties are captured as *override specifications*, which are also referred to by the shorthand term *overrides*. When a caller invokes a callee with a corresponding override specification, the override's properties are applied without needing to re-simulate the entire function.

As it turns out, the command needed to produce an override specification is already familiar to us—it's mir\_verify! If you examine the type of this command:

```
sawscript> :type mir_verify
MIRModule -> String -> [MIRSpec] -> Bool -> MIRSetup () -> ProofScript
→() -> TopLevel MIRSpec
```

The returned value is a MIRSpec, which captures the behavior of the function that was verified as an

override spec. This override can then be passed to another call to mir\_verify to use as part of a larger proof.

Let's now try compositional verification in practice. To do so, we will first prove a spec for the g function above. For demonstration purposes, we will pick a simplistic implementation of g:

```
pub fn g(x: u32) -> u32 {
     x.wrapping_add(1)
}
```

Note that we don't really *have* to use compositional verification when g is this simple, as SAW is capable of reasoning about g's behavior directly when proving a spec for f. It's still worth going along with this exercise, however, as the same principles of compositional verification apply whether the implementation of g is small or large.

The first step of compositional verification is to prove a spec for q, the callee function:

```
let g_spec = do {
  x <- mir_fresh_var "x" mir_u32;

  mir_execute_func [mir_term x];

  mir_return (mir_term {{ x + 1 }});
};

g_ov <- mir_verify m "overrides::g" [] false g_spec z3;</pre>
```

There's nothing that different about this particular proof from the proofs we've seen before. The only notable difference is that we bind the result of calling mir\_verify to a MIRSpec value that we name g\_ov (short for "g override"). This part is important, as we will need to use g\_ov shortly.

The next step is to write a spec for f. Since g adds 1 to its argument, f will add 3 to its argument:

```
let f_spec = do {
  x <- mir_fresh_var "x" mir_u32;

  mir_execute_func [mir_term x];

  mir_return (mir_term {{ x + 3 }});
};</pre>
```

Again, nothing too surprising. Now let's prove f against f\_spec by using g\_ov as a compositional override:

```
mir_verify m "overrides::f" [g_ov] false f_spec z3;
```

Here, note that instead of passing an empty list ([]) as we have done before, we now pass a list

containing g\_ov. This informs mir\_verify that whenever it simulates a call to g, it should reuse the properties captured in g\_ov. In general, we can pass as many overrides as we want (we will see examples of this later in the tutorial), but for now, one override will suffice.

Let's run the proof of f against f\_spec, making sure to pay attention to the output of SAW:

```
[19:06:17.392] Verifying overrides/96c5af24::f[0] ...
[19:06:17.406] Simulating overrides/96c5af24::f[0] ...
[19:06:17.407] Matching 1 overrides of overrides/96c5af24::g[0] ...
[19:06:17.407] Branching on 1 override variants of overrides/
→96c5af24::g[0] ...
[19:06:17.407] Applied override! overrides/96c5af24::g[0]
[19:06:17.407] Matching 1 overrides of overrides/96c5af24::g[0] ...
[19:06:17.407] Branching on 1 override variants of overrides/
→96c5af24::g[0] ...
[19:06:17.407] Applied override! overrides/96c5af24::g[0]
[19:06:17.407] Matching 1 overrides of overrides/96c5af24::g[0] ...
[19:06:17.407] Branching on 1 override variants of overrides/
→96c5af24::q[0] ...
[19:06:17.407] Applied override! overrides/96c5af24::g[0]
[19:06:17.407] Checking proof obligations overrides/96c5af24::f[0] ...
[19:06:17.422] Proof succeeded! overrides/96c5af24::f[0]
```

We've now proven f compositionally! The first two lines ("Verifying ..." and "Simulating ...") and the last two lines ("Checking proof obligations ..." and "Proof succeeded! ...") are the same as before, but this time, we have some additional lines of output in between:

- Whenever SAW prints "Matching <N> overrides of <function>", that's when you know that SAW is about to simulate a call to <function>. At that point, SAW will check to see how many overrides (<N>) for <function> are available.
- Whenever SAW prints "Branching on <N> override variants of <function>", SAW is trying to figure out which of the <N> overrides to apply. In this example, there is only a single override, so the choice is easy. In cases where there are multiple overrides, however, SAW may have to work harder (possibly even consulting an SMT solver) to figure out which override to use.
- If SAW successfully picks an override to apply, it will print "Applied override! ...".

In the example above, we used a single g override that applies for all possible arguments. In general, however, there is no requirement that overrides must work for all arguments. In fact, it is quite common for SAW verification efforts to write different specifications for the same function, but with different arguments. We can then provide multiple overrides for the same function as part of a compositional verification, and SAW will be able to pick the right override depending on the shape of the argument when invoking the function being overridden.

For example, let's suppose that we wrote different g specs, one where the argument to g is even, and another where the argument to g is odd:

We can then prove f compositionally by passing both of the g overrides to mir\_verify:

```
mir_verify m "overrides::f" [g_even_ov, g_odd_ov] false f_spec z3;
```

Like before, this will successfully verify. The only different now is that SAW will print output involving two overrides instead of just one:

```
[20:48:07.649] Simulating overrides/96c5af24::f[0] ...
[20:48:07.650] Matching 2 overrides of overrides/96c5af24::g[0] ...
[20:48:07.650] Branching on 2 override variants of overrides/

$\times 96c5af24::g[0] \tdots$

[20:48:07.652] Applied override! overrides/96c5af24::g[0]
...
```

Keep in mind that if you provide at least one override for a function as part of a compositional verification, then SAW *must* apply an override whenever it invokes that function during simulation. If SAW cannot find a matching override, then the verification will fail. For instance, consider what would happen if you tried proving f like so:

```
mir_verify m "overrides::f" [g_even_ov] false f_spec z3;
```

This time, we supply one override for g that only matches when the argument is even. This is a problem, as SAW will not be able to find a matching override when the argument is odd. Indeed, SAW will fail to verify this:

```
[20:53:29.588] Verifying overrides/96c5af24::f[0] ...
[20:53:29.602] Simulating overrides/96c5af24::f[0] ...
[20:53:29.602] Matching 1 overrides of overrides/96c5af24::q[0] ...
[20:53:29.602] Branching on 1 override variants of overrides/
→96c5af24::g[0] ...
[20:53:29.603] Applied override! overrides/96c5af24::q[0]
[20:53:29.603] Matching 1 overrides of overrides/96c5af24::g[0] ...
[20:53:29.603] Branching on 1 override variants of overrides/
→96c5af24::g[0] ...
[20:53:29.604] Applied override! overrides/96c5af24::q[0]
[20:53:29.604] Matching 1 overrides of overrides/96c5af24::q[0] ...
[20:53:29.604] Branching on 1 override variants of overrides/
→96c5af24::q[0] ...
[20:53:29.605] Applied override! overrides/96c5af24::q[0]
[20:53:29.605] Symbolic simulation completed with side conditions.
[20:53:29.606] Checking proof obligations overrides/96c5af24::f[0] ...
[20:53:29.623] Subgoal failed: overrides/96c5af24::f[0] No override_
→specification applies for overrides/96c5af24::g[0].
Arguments:
- c@26:bv
Run SAW with --sim-verbose=3 to see a description of each override.
[20:53:29.623] SolverStats {solverStatsSolvers = fromList ["SBV->Z3"],__
⇒solverStatsGoalSize = 388}
[20:53:29.624] ------Counterexample-----
[20:53:29.624] x: 1
Proof failed.
```

Here, we can see that No override specification applies, and SAW also generates a counterexample of x: 1. Sure enough, 1 is an odd number!

#### 7.1 Overrides and mutable references

Compositional overrides provide great power, as they effectively allow you to skip over certain functions when simulating them and replace them with simpler implementations. With great power comes great responsibility, however. In particular, one must be careful when using overrides for functions that modify mutable references. If an override does not properly capture the behavior of a mutable reference, it could potentially lead to incorrect proofs.

This is the sort of thing that is best explained with an example, so consider these two functions:

```
pub fn side_effect(a: &mut u32) {
    *a = 0;
}
(continues on next page)
```

(continued from previous page)

```
pub fn foo(x: u32) -> u32 {
    let mut b: u32 = x;
    side_effect(&mut b);
    b
}
```

The side\_effect function does not return anything interesting; it is only ever invoked to perform a side effect of changing the mutable reference a to point to 0. The foo function invokes side\_effect, and as a result, it will always return 0, regardless of what the argument to foo is. No surprises just yet.

Now let's make a first attempt at verifying foo using compositional verification. First, we will write a spec for side\_effect:

```
let side_effect_spec = do {
   a_ref <- mir_alloc_mut mir_u32;
   a_val <- mir_fresh_var "a_val" mir_u32;
   mir_points_to a_ref (mir_term a_val);
   mir_execute_func [a_ref];
};</pre>
```

side\_effect\_spec is somewhat odd. Although it goes through the effort of allocating a mutable reference a\_ref and initializing it, nothing about this spec states that a\_ref will point to 0 after the function has been invoked. This omission is strange, but not outright wrong—the spec just underspecifies what the behavior of the function is. Indeed, SAW will successfully verify this spec using mir verify:

```
side_effect_ov <- mir_verify m "overrides_mut::side_effect" [] false_
→side_effect_spec z3;
```

Next, let's try to write a spec for foo:

```
let foo_spec = do {
   x <- mir_fresh_var "x" mir_u32;
   mir_execute_func [mir_term x];
   mir_return (mir_term {{ x }});
};</pre>
```

At this point, alarm bells should be going off in your head. This spec incorrectly states that foo(x) should return x, but it should actually return 0! This looks wrong, but consider what would happen if you tried to verify this compositionally using our side\_effect\_ov override:

```
mir_verify m "overrides_mut::foo" [side_effect_ov] false foo_spec z3;
```

If SAW were to simulate foo(x), it would invoke create a temporary variable b and assign it to the value x, and then it would invoke  $side_effect(x)$ . At this point, the  $side_effect_ov$  override would apply. According to  $side_effect_spec$ , the argument to  $side_effect$  is not modified at all after the function returns. This means that when the foo function returns b, it will still retain its initial value of x. This shows that if we were to use  $side_effect_ov$ , we could prove something that's blatantly false!

Now that we've made you sweat a little bit, it's time for some good news: SAW won't *actually* let you prove foo\_spec. If you try this compositional proof in practice, SAW will catch your mistake:

```
[14:50:29.170] Verifying overrides_mut/11e47708::foo[0] ...
[14:50:29.181] Simulating overrides_mut/11e47708::foo[0] ...
[14:50:29.181] Matching 1 overrides of overrides_mut/11e47708::side_
→effect[0] ...
[14:50:29.181] Branching on 1 override variants of overrides_mut/
→11e47708::side_effect[0] ...

State of memory allocated in precondition (at overrides-mut-fail.
→saw:6:12) not described in postcondition
```

The line of code that SAW points to in the "State of memory ..." error message is:

```
a_ref <- mir_alloc_mut mir_u32;
```

SAW informs us that although we allocated the mutable reference a\_ref, we never indicated what it should point to after the function has returned. This is an acceptable (if somewhat unusual) thing to do when verifying side\_effect\_spec using mir\_verify, but it is *not* acceptable to do this when using this spec as an override. To avoid unsound behavior like what is described above, any override that allocates a mutable reference in its preconditions *must* declare what its value should be in the postconditions, no exceptions.

Thankfully, repairing this spec is relatively straightforward. Simply add a mir\_points\_to statement in the postconditions of side\_effect\_spec:

```
let side_effect_spec = do {
   a_ref <- mir_alloc_mut mir_u32;
   a_val <- mir_fresh_var "a_val" mir_u32;
   mir_points_to a_ref (mir_term a_val);
   mir_execute_func [a_ref];

// This is new
   mir_points_to a_ref (mir_term {{ 0 : [32] }});
};</pre>
```

Then use the correct return value in foo\_spec:

```
let foo_spec = do {
  x <- mir_fresh_var "x" mir_u32;
  mir_execute_func [mir_term x];

// This is new
  mir_return (mir_term {{ 0 : [32] }});
};</pre>
```

And now the compositional proof of foo\_spec works!

### 7.2 Unsafe overrides

Now that we've made it this far into the tutorial, it's time to teach you a more advanced technique: *unsafe* overrides. Up until this point, we have relied on SAW to check all of our work, and this is usually what you'd want from a formal verification tool. In certain circumstances, however, it can be useful to say "I know what I'm doing, SAW—just believe me when I say this spec is valid!" In order to say this, you can use mir\_unsafe\_assume\_spec:

```
sawscript> :type mir_unsafe_assume_spec
MIRModule -> String -> MIRSetup () -> TopLevel MIRSpec
```

mir\_unsafe\_assume\_spec is mir\_verify's cousin who likes to live a little more danger-ously. Unlike mir\_verify, the specification that you pass to mir\_unsafe\_assume\_spec (the MIRSetup () argument) is not checked for full correctness. That is, mir\_unsafe\_assume\_spec will bypass SAW's usual symbolic execution pipeline, which is why one does not need to pass a ProofScript argument (e.g., z3) to mir\_unsafe\_assume\_spec. SAW will believe whatever spec you supply mir\_unsafe\_assume\_spec to be valid, and the MIRSpec that mir\_unsafe\_assume\_spec returns can then be used in later compositional verifications.

Why would you want to do this? The main reason is that writing proofs can be difficult, and sometimes, there are certain functions in a SAW verification effort that are disproportionately harder to write a spec for than others. It is tempting to write specs for each function in sequence, but this can run the risk of getting stuck on a particularly hard-to-verify function, blocking progress on other parts of the proofs.

In these situations, mir\_unsafe\_assume\_spec can be a useful prototyping tool. One can use mir\_unsafe\_assume\_spec to assume a spec for the hard-to-verify function and then proceed with the remaining parts of the proof. Of course, you should make an effort to go back and prove the hard-to-verify function's spec later, but it can be nice to try something else first.

For example, here is how one can unsafely assume g\_spec and use it in a compositional proof of f\_spec:

```
g_ov <- mir_unsafe_assume_spec m "overrides::g" g_spec;
mir_verify m "overrides::f" [g_ov] false f_spec z3;</pre>
```

It should be emphasized that when we say "unsafe", we really mean it. mir\_unsafe\_assume\_spec can be used to prove specs that are blatantly wrong, so use it with caution.

### 8 Static items

Sometimes, Rust code makes use of *static items* (https://doc.rust-lang.org/reference/items/static-items.html), which are definitions that are defined in a precise memory location for the entire duration of the program. As such, static items can be thought of as a form of global variables.

#### 8.1 Immutable static items

There are two kinds of static items in Rust: mutable static items (which have a mut keyword) and immutable static items (which lack mut). Immutable static items are much easier to deal with, so let's start by looking at an example of a program that uses immutable static data:

```
static ANSWER: u32 = 42;
pub fn answer_to_the_ultimate_question() -> u32 {
    ANSWER
}
```

This function will return ANSWER, i.e., 42. We can write a spec that says as much:

```
let answer_to_the_ultimate_question_spec1 = do {
   mir_execute_func [];

   mir_return (mir_term {{ 42 : [32] }});
};
```

This works, but it is somewhat unsatisfying, as it requires hard-coding the value of ANSWER into the spec. Ideally, we'd not have to think about the precise implementation of static items like ANSWER. Fortunately, SAW makes this possible by providing a mir\_static\_initializer function which computes the initial value of a static item at the start of the program:

```
sawscript> :type mir_static_initializer
String -> MIRValue
```

In this case, mir\_static\_initializer "statics::ANSWER" is equivalent to writing mir\_term {{ 42 : [32] }}, so this spec is also valid:

```
let answer_to_the_ultimate_question_spec2 = do {
  mir_execute_func [];
```

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```
mir_return (mir_static_initializer "statics::ANSWER");
};
```

Like mir\_verify, the mir\_static\_initializer function expects a full identifier as an argument, so we must write "statics::ANSWER" instead of just "ANSWER".

At the MIR level, there is a unique reference to every static item. You can obtain this reference by using the mir\_static function:

```
sawscript> :type mir_static
String -> MIRValue
```

Here is one situation in which you would need to use a *reference* to a static item (which mir\_static computes) rather than the *value* of a static item (which mir\_static\_initializer computes):

```
pub fn answer_in_ref_form() -> &'static u32 {
    &ANSWER
}
```

A spec for this function would look like this:

```
let answer_in_ref_form_spec = do {
   mir_execute_func [];

   mir_return (mir_static "statics::ANSWER");
};
```

That's about all there is to say regarding immutable static items.

#### 8.2 Mutable static items

Mutable static items are a particularly tricky aspect of Rust. Including a mutable static item in your program is tantamount to having mutable global state that any function can access and modify. They are so tricky, in fact, that Rust does not even allow you to use them unless you surround them in an unsafe block:

```
static mut MUT_ANSWER: u32 = 42;

pub fn mut_answer_to_the_ultimate_question() -> u32 {
    unsafe { MUT_ANSWER }
}
```

The mir\_static\_initializer and mut\_static functions work both immutable and mutable static items, so we can write specs for mutable items using mostly the same techniques as for writing specs for immutable items. We must be careful, however, as SAW is pickier when it comes

to specifying the initial values of mutable static items. For example, here is naïve attempt at porting the spec for answer\_to\_the\_ultimate\_question over to its mutable static counterpart, mut\_answer\_to\_the\_ultimate\_question:

```
let mut_answer_to_the_ultimate_question_spec = do {
   mir_execute_func [];

   mir_return (mir_static_initializer "statics::MUT_ANSWER");
};
```

This looks plausible, but SAW will fail to verify it:

Oh no! Recall that we have seen this "attempted to read empty mux tree" error message once before when discussing reference types. This error arose when we attempted to read from uninitialized memory from a reference value. The same situation applies here. A static item is backed by a reference, and SAW deliberately does *not* initialize the memory that a mutable static reference points to upon program startup. Since we did not initialize MUT\_ANSWER's reference value in the preconditions of the spec, SAW crashes at simulation time when it attempts to read from the uninitialized memory.

The solution to this problem is to perform this initialization explicitly using mir\_points\_to in the preconditions of the spec. For example, this is a valid spec:

```
let mut_answer_to_the_ultimate_question_spec = do {
  let mut_answer = "statics::MUT_ANSWER";
  let mut_answer_init = mir_static_initializer mut_answer;
  mir_points_to (mir_static mut_answer) mut_answer_init;

mir_execute_func [];

mir_return mut_answer_init;
};
```

We don't necessarily have to use mir\_static\_initializer as the starting value for MUT\_ANSWER, however. This spec, which uses 27 as the starting value, is equally valid:

```
let mut_answer_to_the_ultimate_question_alt_spec = do {
  let alt_answer = mir_term {{ 27 : [32] }};
  mir_points_to (mir_static "statics::MUT_ANSWER") alt_answer;

  mir_execute_func [];

  mir_return alt_answer;
};
```

At this point, you are likely wondering: why do we need to explicitly initialize mutable static references but not immutable static references? After all, when we wrote a spec for answer\_to\_the\_ultimate\_question earlier, we managed to get away with not initializing the reference for ANSWER (which is an immutable static item). The difference is that the value of a mutable static item can change over the course of a program, and SAW requires that you be very careful in specifying what a mutable static value is at the start of a function. For example, consider a slightly extended version of the earlier Rust code we saw:

```
static mut MUT_ANSWER: u32 = 42;

pub fn mut_answer_to_the_ultimate_question() -> u32 {
    unsafe { MUT_ANSWER }
}

pub fn alternate_universe() -> u32 {
    unsafe {
        MUT_ANSWER = 27;
     }
     mut_answer_to_the_ultimate_question()
}
```

Suppose someone were to ask you "what value does mut\_answer\_to\_the\_ultimate\_question return?" This is not a straightforward question to answer, as the value that it returns depends on the surrounding context. If you were to call mut\_answer\_to\_the\_ultimate\_question right as the program started, it would return 42. If you were to call mut\_answer\_to\_the\_ultimate\_question as part of the implementation of alternate\_universe, however, then it would return 27! This is an inherent danger of using mutable static items, as they can be modified at any time by any function. For this reason, SAW requires you to be explicit about what the initial values of mutable static items should be.

#### Mutable static items and compositional overrides

In the "Overrides and mutable references" section, we discussed the potential pitfalls of using mutable references in compositional overrides. Mutable static items are also mutable values that are backed by references, and as such, they are also subject to the same pitfalls. Let's see an example of this:

```
static mut A: u32 = 42;

pub fn side_effect() {
    unsafe {
        A = 0;
    }
}

pub fn foo() -> u32 {
    side_effect();
    unsafe { A }
}
```

The setup is almost the same, except that instead of passing a mutable reference as an argument to side\_effect, we instead declare a mutable static item A that is shared between side\_effect and foo. We could potentially write SAW specs for side\_effect and foo like these:

Note that we have once again underspecified the behavior of side\_effect, as we do not say what A's value should be in the postconditions of side\_effect\_spec. Similarly, foo\_spec is wrong, as it should return 0 rather than the initial value of A. By similar reasoning as before, we run the risk that using side\_effect\_ov could lead use to prove something incorrect. Thankfully, SAW can

also catch this sort of mistake:

```
[15:46:38.525] Verifying statics_compositional/16fea9c0::side_effect[0]_

...
[15:46:38.533] Simulating statics_compositional/16fea9c0::side_
...
[15:46:38.533] Checking proof obligations statics_compositional/
.16fea9c0::side_effect[0] ...
[15:46:38.533] Proof succeeded! statics_compositional/16fea9c0::side_
...
effect[0]
[15:46:38.533] Verifying statics_compositional/16fea9c0::foo[0] ...
[15:46:38.542] Simulating statics_compositional/16fea9c0::foo[0] ...
[15:46:38.542] Matching 1 overrides of statics_compositional/
.16fea9c0::side_effect[0] ...
[15:46:38.542] Branching on 1 override variants of statics_
...
State of mutable static variable "statics_compositional/16fea9c0::A[0]"...
...
onot described in postcondition
```

To repair this proof, add a mir\_points\_to statement in the postconditions of side\_effect\_spec:

```
let side_effect_spec = do {
    mir_points_to (mir_static a) (mir_static_initializer a);
    mir_execute_func [];

    // This is new
    mir_points_to (mir_static a) (mir_term {{ 0 : [32] }});
};
```

And then correct the behavior of foo\_spec:

```
let foo_spec = do {
  let a_init = mir_static_initializer a;
  mir_points_to (mir_static a) a_init;
  mir_execute_func [];

// This is new
  mir_return (mir_term {{ 0 : [32] }});
};
```

Be warned that if your program declares any mutable static items, then any compositional override *must* state what the value of each mutable static item is in its postconditions. This applies *even if* the override does not directly use the mutable static items. For example, if we had declared a second

mutable static item alongside A:

```
static mut A: u32 = 42;
static mut B: u32 = 27;
```

Then side\_effect\_spec would need an additional mir\_points\_to statement involving B to satisfy this requirement. This requirement is somewhat heavy-handed, but it is necessary in general to avoid unsoundness. Think carefully before you use mutable static items!

# 9 Case study: Salsa20

If you've made it this far into the tutorial, congrats! You've now been exposed to all of the SAW fundamentals that you need to verify Rust code found in the wild. Of course, talking about verifying real-world code is one thing, but actually *doing* the verification is another thing entirely. Making the jump from the small examples to "industrial-strength" code can be intimidating.

To make this jump somewhat less frightening, the last part of this tutorial will consist of a case study in using SAW to verify a non-trivial piece of Rust code. In particular, we will be looking at a Rust implementation of the Salsa20 (https://en.wikipedia.org/wiki/Salsa20) stream cipher. We do not assume any prior expertise in cryptography or stream ciphers in this tutorial, so don't worry if you are not familiar with Salsa20.

More than anything, this case study is meant to emphasize that verification is an iterative process. It's not uncommon to try something with SAW and encounter an error message. That's OK! We will explain what can go wrong when verifying Salsa20 and how to recover from these mistakes. Later, if you encounter similar issues when verifying your own code with SAW, the experience you have developed when developing these proofs can inform you of possible ways to fix the issues.

### 9.1 The salsa20 crate

The code for this Salsa20 implementation we will be verifying can be found under the <code>code/salsa20</code> (https://github.com/GaloisInc/saw-script/tree/master/doc/rust-tutorial/code/salsa20) subdirectory. This code is adapted from version 0.3.0 of the <code>salsa20</code> crate, which is a part of the <code>stream-ciphers</code> (https://github.com/RustCrypto/stream-ciphers) project. The code implements Salsa20 as well as variants such as HSalsa20 and XSalsa20, but we will only be focusing on the original Salsa20 cipher in this tutorial.

The parts of the crate that are relevant for our needs are mostly contained in the src/core.rs (https://github.com/GaloisInc/saw-script/tree/master/doc/rust-tutorial/code/salsa20/src/core.rs) file, as well as some auxiliary definitions in the src/rounds.rs (https://github.com/GaloisInc/saw-script/tree/master/doc/rust-tutorial/code/salsa20/src/rounds.rs) and src/lib.rs (https://github.com/GaloisInc/saw-script/tree/master/doc/rust-tutorial/code/salsa20/src/lib.rs) files. You can take a look at these files if you'd like, but you don't need to understand everything in them just yet. We will introduce the relevant parts of the code in the tutorial as they come up.

## 9.2 Salsa20 preliminaries

Salsa20 is a stream cipher, which is a cryptographic technique for encrypting and decrypting messages. A stream cipher encrypts a message by combining it with a *keystream* to produce a ciphertext (the encrypted message). Moreover, the same keystream can then be combined with the ciphertext to decrypt it back into the original message.

The original author of Salsa20 has published a specification for Salsa20 here (https://cr.yp.to/snuffle/spec.pdf). This is a great starting point for a formal verification project, as this gives us a high-level description of Salsa20's behavior that will guide us in proving the functional correctness of the salsa20 crate. When we say that salsa20 is functionally correct, we really mean "proven correct with respect to the Salsa20 specification".

The first step in our project would be to port the Salsa20 spec to Cryptol code, as we will need to use this code when writing SAW proofs. The process of transcribing an English-language specification to executable Cryptol code is interesting in its own right, but it is not the primary focus of this tutorial. As such, we will save you some time by providing a pre-baked Cryptol implementation of the Salsa20 spec here (https://github.com/GaloisInc/saw-script/blob/master/doc/rust-tutorial/code/salsa20/Salsa20.cry). (This implementation is adapted (https://github.com/GaloisInc/cryptol-specs/blob/1366ccf71db9dca58b16ff04ca7d960a4fe20e34/Primitive/Symmetric/Cipher/Stream/Salsa20.cry) from the cryptol-specs (https://github.com/GaloisInc/cryptol-specs) repo.)

Writing the Cryptol version of the spec is only half the battle, however. We still have to prove that the Rust implementation in the salsa20 crate adheres to the behavior prescribed by the spec, which is where SAW enters the picture. As we will see shortly, the code in salsa20 is not a direct port of the pseudocode shown in the Salsa20 spec, as it is somewhat more low-level. SAW's role is to provide us assurance that the behavior of the low-level Rust code and the high-level Cryptol code coincide.

## 9.3 A note about cryptographic security

As noted in the previous section, our goal is to prove that the behavior of salsa20 functions is functionally correct. This property should *not* be confused with cryptographic security. While functional correctness is an important aspect of cryptographic security, a full cryptographic security audit would encompass additional properties such as whether the code runs in constant time on modern CPUs. As such, the SAW proofs we will write would not constitute a full security audit (and indeed, the salsa20 README (https://github.com/GaloisInc/saw-script/tree/master/doc/rust-tutorial/code/salsa20/README.md) states that the crate has never received such an audit).

### 9.4 An overview of the salsa20 code

Before diving into proofs, it will be helpful to have a basic understanding of the functions and data types used in the salsa20 crate. Most of the interesting code lives in src/core.rs (https://github.com/GaloisInc/saw-script/tree/master/doc/rust-tutorial/code/salsa20/src/core.rs). At the top of this file, we have the Core struct:

```
pub struct Core<R: Rounds> {
    /// Internal state of the core function
    state: [u32; STATE_WORDS],

    /// Number of rounds to perform
    rounds: PhantomData<R>,
}
```

#### Let's walk through this:

• The state field is an array that is STATE\_WORDS elements long, where STATE\_WORDS is a commonly used alias for 16:

```
/// Number of 32-bit words in the Salsa20 state
const STATE_WORDS: usize = 16;
```

• The rounds field is of type PhantomData<R>. If you haven't seen it before, PhantomData<R> (https://doc.rust-lang.org/std/marker/struct.PhantomData.html) is a special type that tells the Rust compiler to pretend as though the struct is storing something of type R, even though a PhantomData value will not take up any space at runtime.

The reason that Core needs a PhantomData<R> field is because R implements the Rounds trait:

```
//! Numbers of rounds allowed to be used with a Salsa20 family stream

→cipher

pub trait Rounds: Copy {
   const COUNT: usize;
}
```

A core operation in Salsa20 is hashing its input through a series of *rounds*. The COUNT constant indicates how many rounds should be performed. The Salsa20 spec assumes 20 rounds:

```
/// 20-rounds (Salsa20/20)
#[derive(Copy, Clone)]
pub struct R20;
impl Rounds for R20 {
   const COUNT: usize = 20;
}
```

However, there are also reduced-round variants that perform 8 and 12 rounds, respectively:

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```
pub struct R8;

impl Rounds for R8 {
    const COUNT: usize = 8;
}

/// 12-rounds (Salsa20/12)
#[derive(Copy, Clone)]
pub struct R12;

impl Rounds for R12 {
    const COUNT: usize = 12;
}
```

Each number of rounds has a corresponding struct whose names begins with the letter R. For instance, a Core<R20> value represents a 20-round Salsa20 cipher. Here is the typical use case for a Core value:

• A Core value is created using the new function:

```
pub fn new(key: &Key, iv: &Nonce) -> Self {
```

We'll omit the implementation for now. This function takes a secret Key value and a unique Nonce value and uses them to produce the initial state in the Core value.

• After creating a Core value, the counter\_setup and rounds functions are used to produce the Salsa20 keystream:

```
pub(crate) fn counter_setup(&mut self, counter: u64) {
   fn rounds(&mut self, state: &mut [u32; STATE_WORDS]) {
```

We'll have more to say about these functions later.

• The *pièce de résistance* is the apply\_keystream function. This takes a newly created Core value, produces its keystream, and applies it to a message to produce the output:

Our ultimate goal is to verify the apply\_keystream function, which is the Rust equivalent of the Salsa20 encryption function described in the spec.

### 9.5 Building salsa20

The next step is to build the salsa20 crate. Unlike the examples we have seen up to this point, which have been self-contained Rust files, salsa20 is a cargo-based project. As such, we will need to build it using cargo saw-build, an extension to the cargo package manager that integrates with mir-json. Before you proceed, make sure that you have defined the SAW\_RUST\_LIBRARY\_PATH environment variable as described in *this section*.

To build the salsa20 crate, perform the following steps:

```
$ cd code/salsa20/
$ cargo saw-build
```

Near the end of the build output, you will see a line that looks like this:

```
linking 9 mir files into <...>/saw-script/doc/rust-tutorial/code/

→salsa20/target/x86_64-unknown-linux-gnu/debug/deps/salsa20-

→dd0d90f28492b9cb.linked-mir.json
```

This is the location of the MIR JSON file that we will need to provide to SAW. (When we tried it, the hash in the file name was dd0d90f28492b9cb, but it could very well be different on your machine.) Due to how cargo works, the location of this file is in a rather verbose, hard-to-remember location. For this reason, we recommend copying this file to a different path, e.g.,

As a safeguard, we have also checked in a compressed version of this MIR JSON file as code/salsa20/salsa/salsa20.linked-mir.json.gz (https://github.com/GaloisInc/saw-script/tree/master/doc/rust-verification-with-saw/code/salsa20/salsa20.linked-mir.json.gz). In a pinch, you can extract this archive to obtain a copy of the MIR JSON file, which is approximately 4.6 megabytes when uncompressed.

## 9.6 Getting started with SAW

Now that we've built the salsa20 crate, it's time to start writing some proofs! Let's start a new code/salsa20/salsa20.saw file as fill it in with the usual preamble:

```
enable_experimental;

m <- mir_load_module "salsa20.linked-mir.json";</pre>
```

We are also going to need to make use of the Cryptol implementation of the Salsa20 spec, which is defined in <code>code/salsa20/Salsa20.cry</code> (https://github.com/GaloisInc/saw-script/tree/master/doc/rust-tutorial/code/salsa20/Salsa20.cry). SAW allows you to import standalone Cryptol .cry files by using the import command:

```
import "Salsa20.cry";
```

As an aside, note that we have also checked in a <code>code/salsa20-reference.saw</code> (https://github.com/GaloisInc/saw-script/tree/master/doc/rust-tutorial/code/salsa20-reference.saw), which contains a complete SAW file. We encourage you *not* to look at this file for now, since following along with the tutorial is meant to illustrate the "a-ha moments" that one would have in the process of writing the proofs. In you become stuck while following along and absolutely need a hint, however, then this file can help you become unstuck.

## 9.7 Verifying our first salsa20 function

Now it's time to start verifying some salsa20 code. But where do we start? It's tempting to start with apply\_keystream, which is our end goal. This is likely going to be counter-productive, however, as apply\_keystream is a large function with several moving parts. Throwing SAW at it immediately is likely to cause it to spin forever without making any discernible progress.

For this reason, we will instead take the approach of working from the bottom-up. That is, we will first verify the functions that <code>apply\_keystream</code> transitively invokes, and then leverage compositional verification to verify a proof of <code>apply\_keystream</code> using overrides. This approach naturally breaks up the problem into smaller pieces that are easier to understand in isolation.

If we look at the implementation of apply\_keystream, we see that it invokes the round function, which in turn invokes the quarter\_round function:

```
pub(crate) fn quarter_round(
    a: usize,
   b: usize,
    c: usize,
    d: usize,
    state: &mut [u32; STATE_WORDS],
) {
   let mut t: u32;
    t = state[a].wrapping_add(state[d]);
    state[b] ^= t.rotate_left(7) as u32;
    t = state[b].wrapping_add(state[a]);
    state[c] ^= t.rotate_left(9) as u32;
    t = state[c].wrapping_add(state[b]);
    state[d] ^= t.rotate_left(13) as u32;
    t = state[d].wrapping_add(state[c]);
    state[a] ^= t.rotate_left(18) as u32;
```

quarter\_round is built on top of the standard library functions wrapping\_add (https://doc.rust-lang.org/std/primitive.usize.html#method.wrapping\_add) and rotate\_left (https://doc.rust-lang.org/std/primitive.usize.html#method.rotate\_left), so we have finally reached the bottom of the call stack. This makes quarter\_round a good choice for the first function to verify.

The implementation of the Rust quarter\_round function is quite similar to the Cryptol quarter\_round function in Salsa20.cry:

```
quarterround : [4][32] -> [4][32]
quarterround [y0, y1, y2, y3] = [z0, z1, z2, z3]
where
z1 = y1 ^ ((y0 + y3) <<< 0x7)
z2 = y2 ^ ((z1 + y0) <<< 0x9)
z3 = y3 ^ ((z2 + z1) <<< 0xd)
z0 = y0 ^ ((z3 + z2) <<< 0x12)</pre>
```

The Cryptol quarterround function doesn't have anything like the state argument in the Rust quarter\_round function, but let's not fret about that too much yet. Our SAW spec is going to involve quarterround *somehow*—we just have to figure out how to make it fit.

Let's start filling out the SAW spec for quarter\_round:

```
let quarter_round_spec = do {
```

We are going to need some fresh variables for the a, b, c, and d arguments:

```
a <- mir_fresh_var "a" mir_usize;
b <- mir_fresh_var "b" mir_usize;
c <- mir_fresh_var "c" mir_usize;
d <- mir_fresh_var "d" mir_usize;</pre>
```

We will also need to allocate a reference for the state argument. The reference's underlying type is STATE\_WORDS (16) elements long:

```
state_ref <- mir_alloc_mut (mir_array STATE_WORDS mir_u32);
state_arr <- mir_fresh_var "state" (mir_array STATE_WORDS mir_u32);
mir_points_to state_ref (mir_term state_arr);</pre>
```

Finally, we will need to pass these arguments to the function:

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```
};
```

With that, we have a spec for <code>quarter\_round!</code> It's not very interesting just yet, as we don't specify what <code>state\_ref</code> should point to after the function has returned. But that's fine for now. When developing a SAW proof, it can be helpful to first write out the "skeleton" of a function spec that only contains the call to <code>mir\_execute\_func</code>, without any additional preconditions or postconditions. We can add those later after ensuring that the skeleton works as expected.

Let's check our progress thus far by running this through SAW:

We've already run into some type errors. Not too surprising, considering this was our first attempt. The error message contains that STATE\_WORDS is unbound. This makes sense if you think about it, as STATE\_WORDS is defined in the Rust code, but not in the SAW file itself. Let's fix that by adding this line to salsa20.saw:

```
let STATE_WORDS = 16;
```

That change fixes the type errors in quarter\_round\_spec. Hooray! Let's press on.

Next, we need to add a call to mir\_verify. In order to do this, we need to know what the full identifier for the quarter\_round function is. Because it is defined in the salsa20 crate and in the core.rs file, so we would expect the identifier to be named salsa20::core::quarter\_round:

#### However, SAW disagrees:

```
[00:22:56.970] Stack trace:
"mir_verify" (salsa20.saw:26:3-26:13)
Couldn't find MIR function named: salsa20::core::quarter_round
```

Ugh. This is a consequence of how mir-json disambiguates identifiers. Because there is a separate core crate in the Rust standard libraries, mir-json uses "core#1", a distinct name, to refer to the core.rs file. You can see this for yourself by digging around in the MIR JSON file, if you'd like. (In a future version of SAW, one will be able to look this name up (https://github.com/GaloisInc/saw-script/issues/1980) more easily.)

Once we change the identifier:

```
quarter_round_ov <-
   mir_verify m "salsa20::core#1::quarter_round" [] false quarter_round_
   →spec z3;</pre>
```

We can run SAW once more. This time, SAW complains about a different thing:

Here, SAW complains that we have an index out of bounds. Recall that we are indexing into the state array, which is of length 16, using the a/b/c/d arguments. Each of these arguments are of type usize, and because we are declaring these to be symbolic, it is quite possible for each argument to be 16 or greater, which would cause the index into state to be out of bounds.

In practice, however, the only values of a/b/c/d that we will use are less than 16. We can express this fact as a precondition:

That is enough to finally get SAW to verify this very stripped-down version of quarter\_round\_spec. Some good progress! But we aren't done yet, as we don't yet say what happens to the value that state points to after the function returns. This will be a requirement if we want to use quarter\_round\_spec in compositional verification (and we do want this), so we should address this shortly.

Recall that unlike the Rust quarter\_round function, the Cryptol quarterround function doesn't have a state argument. This is because the Rust function does slightly more than what the Cryptol function does. The Rust function will look up elements of the state array, use them to perform the computations that the Cryptol function does, and then insert the new values back into the state array. To put it another way: the Rust function can be thought of as a wrapper around the Cryptol function that also performs an in-place bulk update of the state array.

In Cryptol, one can look up elements of an array using the (@@) function, and one can perform inplace array updates using the updates function. This translates into a postcondition that looks like this:

What does SAW think of this? Someone surprisingly, SAW finds a counterexample:

Note that in this counterexample, the values of c and d are the same. In the Rust version of the function, each element in state is updated sequentially, so if two of the array indices are the same, then the value that was updated with the first index will later be overwritten by the value at the later index. In the Cryptol version of the function, however, all of the positions in the array are updated simultaneously. This implicitly assumes that all of the array indices are disjoint from each other, an assumption that we are not encoding into quarter\_round\_spec's preconditions.

At this point, it can be helpful to observe *how* the quarter\_round function is used in practice. The call sites are found in the rounds function:

```
// column rounds
quarter_round(0, 4, 8, 12, state);
quarter_round(5, 9, 13, 1, state);
quarter_round(10, 14, 2, 6, state);
quarter_round(15, 3, 7, 11, state);

// diagonal rounds
quarter_round(0, 1, 2, 3, state);
quarter_round(5, 6, 7, 4, state);
quarter_round(10, 11, 8, 9, state);
quarter_round(15, 12, 13, 14, state);
```

Here, we can see that the values of a/b/c/d will only ever be chosen from a set of eight possible options. We can take advantage of this fact to constrain the possible set of values for a/b/c/d. The latest iteration of the quarter\_round\_spec is now:

```
let quarter_round_spec = do {
 a <- mir_fresh_var "a" mir_usize;</pre>
 b <- mir_fresh_var "b" mir_usize;</pre>
  c <- mir_fresh_var "c" mir_usize;</pre>
  d <- mir_fresh_var "d" mir_usize;</pre>
 let indices = {{ [a, b, c, d] }};
 mir_precond {{
                  indices == [0, 1, 2, 3]
                 \/ indices == [5, 6, 7, 4]
                 \/ indices == [10, 11, 8, 9]
                 \/ indices == [0, 4, 8, 12]
                 \/ indices == [5, 9, 13, 1]
                 \/ indices == [10, 14, 2, 6]
                 \/ indices == [15, 3, 7, 11]
              } ;
  state_ref <- mir_alloc_mut (mir_array STATE_WORDS mir_u32);</pre>
  state_arr <- mir_fresh_var "state" (mir_array STATE_WORDS mir_u32);</pre>
 mir_points_to state_ref (mir_term state_arr);
```

#### Note that:

- The indices value is constrained (via a precondition) to be one of the set of values that is chosen in the rounds function. (Note that \/ is the logical-or function in Cryptol.) Each of these are concrete values that are less than STATE\_WORDS (16), so we no longer need a precondition stating a < `STATE\_WORDS /\ ....
- Because we now reference indices in the preconditions, we have moved its definition up. (Previously, it was defined in the postconditions section.)

With this in place, will SAW verify quarter\_round\_spec now?

At long last, it succeeds. Hooray! SAW does have to think for a while, however, as this proof takes about 17 seconds to complete. It would be unfortunate to have to wait 17 seconds on every subsequent invocation of SAW, and since we still have other functions to verify, this is a very real possibility. For this reason, it can be helpful to temporarily turn this use of mir\_verify into a mir\_unsafe\_assume\_spec:

```
quarter_round_ov <-
   mir_unsafe_assume_spec m "salsa20::core#1::quarter_round" quarter_
   →round_spec;
   // Temporarily commented out to save time:
   //
   // mir_verify m "salsa20::core#1::quarter_round" [] false quarter_
   →round_spec z3;</pre>
```

Once we are done with the entire proof, we can come back and remove this use of mir\_unsafe\_assume\_spec, as we're only using it as a time-saving measure.

## 9.8 Verifying the rounds function

Now that we've warmed up, let's try verifying the rounds function, which is where quarter\_round is invoked. Here is the full definition of rounds:

```
fn rounds(&mut self, state: &mut [u32; STATE_WORDS]) {
    for _ in 0..(R::COUNT / 2) {
        // column rounds
        quarter_round(0, 4, 8, 12, state);
        quarter_round(5, 9, 13, 1, state);
        quarter_round(10, 14, 2, 6, state);
        quarter_round(15, 3, 7, 11, state);

        // diagonal rounds
        quarter_round(0, 1, 2, 3, state);
        quarter_round(5, 6, 7, 4, state);
        quarter_round(10, 11, 8, 9, state);
        quarter_round(15, 12, 13, 14, state);
        puarter_round(15, 12, 13, 14, state);
```

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```
for (s1, s0) in state.iter_mut().zip(&self.state) {
    *s1 = s1.wrapping_add(*s0);
}
```

First, rounds performs COUNT rounds on the state argument. After this, it takes each element of self.state and adds it to the corresponding element in state.

Linking back at the Salsa20 spec, we can see that the rounds function is *almost* an implementation of the Salsa20(x) hash function. The only notable difference is that while the Salsa20(x) hash function converts the results to little-endian form, the rounds function does not. Salsa20.cry implements this part of the spec here:

Where Salsa20 is the hash function, and Salsa20\_rounds is the part of the hash function that excludes the little-endian conversions. In other words, Salsa20\_rounds precisely captures the behavior of the Rust rounds function.

One aspect of the rounds function that will make verifying it slightly different from verifying quarter\_rounds is that rounds is defined in an impl block for the Core struct. This means that the &mut self argument in rounds has the type &mut Core<R>. As such, we will have to look up the Core ADT in SAW using mir\_find\_adt.

This raises another question, however: when looking up Core<R>, what type should we use to instantiate R? As noted above, our choices are R8, R12, and R20, depending on how many rounds you want. For now, we'll simply hard-code it so that R is instantiated to be R8, but we will generalize this a bit later.

Alright, enough chatter—time to start writing a proof. First, let's look up the R8 ADT. This is defined in the salsa20 crate in the rounds.rs file, so its identifier becomes salsa20::rounds::R8:

```
let r_adt = mir_find_adt m "salsa20::rounds::R8" [];
```

Next, we need to look up the PhantomData<R8> ADT, which is used in the rounds field of the

Core<R8> struct. This is defined in core::marker:

Finally, we must look up Core<R8> itself. Like quarter\_round, the Core struct is defined in salsa20::core#1:

```
let core_adt = mir_find_adt m "salsa20::core#1::Core" [mir_adt r_adt];
```

Now that we have the necessary prerequisites, let's write a spec for the rounds function. First, we need to allocate a reference for the self argument:

```
let rounds_spec = do {
  self_ref <- mir_alloc_mut (mir_adt core_adt);</pre>
```

Next, we need to create symbolic values for the fields of the Core struct, which self\_ref will point to. The self.state field will be a fresh array, and the self.rounds field will be a simple, empty struct value:

Finally, putting all of the self values together:

Next, we need a state argument (not to be confused with the self.state field in Core). This is handled much the same as it was in quarter\_round\_spec:

```
state_ref <- mir_alloc_mut (mir_array STATE_WORDS mir_u32);
state_arr <- mir_fresh_var "state" (mir_array STATE_WORDS mir_u32);
mir_points_to state_ref (mir_term state_arr);</pre>
```

Lastly, we cap it off with a call to mir\_execute\_func:

```
mir_execute_func [self_ref, state_ref];
};
```

(Again, we're missing some postconditions describing what self\_ref and state\_ref point to after the function returns, but we'll return to that in a bit.)

If we run SAW at this point, we see that everything in rounds\_spec typechecks, so we're off to a good start. Let's keep going and add a mir\_verify call.

Here, we are faced with an interesting question: what is the identifier for rounds::<R8>? The rounds function is defined using generics, so we can't verify it directly—we must instead verify a particular instantiation of rounds. At present, there isn't a good way to look up what the identifiers for instantiations of generic functions are (there will be in the future (https://github.com/GaloisInc/saw-script/issues/1980)), but it turns out that the identifier for rounds::<R8> is this:

Note that we are using quarter\_round\_ov as a compositional override. Once again, SAW is happy with our work thus far:

```
[03:12:35.990] Proof succeeded! salsa20/10e438b3::core#1[0]::{impl#0}

→[0]::rounds[0]::_inst6e4a2d7250998ef7[0]
```

Nice. Now let's go back and fill in the missing postconditions in rounds\_spec. In particular, we must declare what happens to both self\_ref and state\_ref. A closer examination of the code in the Rust rounds function reveals that the self argument is never modified at all, so that part is easy:

```
mir_points_to self_ref self_val;
```

The state argument, on the other hand, is modified in-place. This time, our job is made easier by the fact that Salsa20\_rounds implements exactly what we need. Because we are instantiating rounds at type R8, we must explicitly state that we are using 8 rounds:

Once again, SAW is happy with our work. We're on a roll!

Now let's address the fact that we are hard-coding everything to R8, which is somewhat uncomfortable. We can make things better by allowing the user to specify the number of rounds. The first thing that we will need to change is the  $r_adt$  definition, which is response for looking up R8. We want to turn this into a function that, depending on the user input, will look up R8, R12, or R20:

Where str concat is a SAW function for concatenating strings together:

```
sawscript> :type str_concat
String -> String
```

We also want to parameterize phantom\_data\_adt and core\_adt:

Next, we need to parameterize rounds\_spec by the number of rounds. This will require changes in both the preconditions and postconditions. On the preconditions side, we must pass the number of rounds to the relevant functions:

And on the postconditions side, we must pass the number of rounds to the Cryptol Salsa20\_rounds function:

Finally, we must adjust the call to rounds\_spec in the context of mir\_verify so that we pick 8 as the number of rounds:

```
rounds_8_spec_ov <-
mir_verify m "salsa20::core#1::{impl#0}::rounds::_inst6e4a2d7250998ef7

→" [quarter_round_ov] false (rounds_spec 8) z3;
```

SAW is happy with this generalization. To demonstrate that we have generalized things correctly, we can also verify the same function at R20 instead of R8:

```
rounds_20_spec_ov <-
mir_verify m "salsa20::core#1::{impl#0}::rounds::_instfa33e77d840484a0

→" [quarter_round_ov] false (rounds_spec 20) z3;
```

The only things that we had to change were the identifier and the argument to rounds\_spec. Not bad!

## 9.9 Verifying the counter\_setup function

We're very nearly at the point of being able to verify apply\_keystream. Before we do, however, there is one more function that apply\_keystream calls, which we ought to verify first: counter\_setup. Thankfully, the implementation of counter\_setup is short and sweet:

```
pub(crate) fn counter_setup(&mut self, counter: u64) {
    self.state[8] = (counter & 0xffff_ffff) as u32;
    self.state[9] = ((counter >> 32) & 0xffff_ffff) as u32;
}
```

This updates the elements of the state array at indices 8 and 9 with the lower 32 bits and the upper 32 bits of the counter argument, respecitvely. At a first glance, there doesn't appear to be any function in Salsa20.cry that directly corresponds to what counter\_setup does. This is a bit of a head-scratcher, but the answer to this mystery will become more apparent as we get further along in the proof.

For now, we should take matters into our own hands and write our own Cryptol spec for counter\_setup. To do this, we will create a new Cryptol file named Salsa20Extras.cry, which imports from Salsa20.cry:

```
module Salsa20Extras where import Salsa20
```

The Cryptol implementation of counter\_setup will need arrays of length STATE\_WORDS, so we shall define STATE\_WORDS first:

```
type STATE_WORDS = 16
```

Note that we preceded this definition with the type keyword. In Cryptol, sequence lengths are encoded at the type level, so if we want to use STATE\_WORDS at the type level, we must declare it as a type.

Finally, we can write a Cryptol version of counter\_setup using our old friend updates to perform a bulk sequence update:

```
counter_setup : [STATE_WORDS][32] -> [64] -> [STATE_WORDS][32]
counter_setup state counter =
  updates state [8, 9] [drop counter, drop (counter >> 32)]
```

Note that counter is a 64-bit word, but the elements of the state sequence are 32-bit words. As a result, we have to explicitly truncate counter and counter >> 32 to 32-bit words by using the drop function, which drops the first 32 bits from each word.

Returning to salsa20.saw, we must now make use of our new Cryptol file by importing it at the top:

```
import "Salsa20Extras.cry";
```

With the counter\_setup Cryptol implementation in scope, we can now write a spec for the Rust counter\_setup function. There's not too much to remark on here, as the spec proves relatively straightforward to write:

```
let counter setup spec num rounds = do {
  let r = r_adt num_rounds;
 let core_adt_inst = core_adt r;
  self_ref <- mir_alloc_mut (mir_adt core_adt_inst);</pre>
 self_state <- mir_fresh_var "self_state" (mir_array STATE_WORDS mir_</pre>
\rightarrowu32);
  let self_rounds = mir_struct_value (phantom_data_adt r) [];
 let self_val = mir_struct_value core_adt_inst [mir_term self_state,__
→self rounds];
 mir_points_to self_ref self_val;
 counter <- mir_fresh_var "counter" mir_u64;</pre>
 mir_execute_func [self_ref, mir_term counter];
 let self_state' = {{ counter_setup self_state counter }};
 let self_val' = mir_struct_value core_adt_inst [mir_term self_state',_
⇔self_rounds];
 mir_points_to self_ref self_val';
};
```

We can now verify counter\_setup against counter\_setup\_spec at lengths 8 and 20:

That wasn't so bad. It's a bit unsatisfying that we had to resort to writing a Cryptol function not found in Salsa20.cry, but go along with this for now—it will become apparent later why this needed to be done.

### 9.10 Verifying the apply\_keystream function (first attempt)

It's time. Now that we've verified rounds and counter\_setup, it's time to tackle the topmost function in the call stack: apply\_keystream:

There aren't *that* many lines of code in this function, but there is still quite a bit going on. Let's walk through apply\_keystream in more detail:

1. The output argument represents the message to encrypt (or decrypt). output is a slice of bytes, so in principle, output can have an arbitrary length. That being said, the first line of apply\_keystream's implementation checks that output's length is equal to BLOCK\_SIZE:

```
debug_assert_eq!(output.len(), BLOCK_SIZE);
```

Where BLOCK SIZE is defined here:

```
/// Size of a Salsa20 block in bytes
pub const BLOCK_SIZE: usize = 64;
```

So in practice, output must have exactly 64 elements.

- 2. Next, apply\_keystream invokes the counter\_setup and rounds functions to set up the keystream (the local state variable).
- 3. Finally, apply\_keystream combines the keystream with output. It does so by chunking output into a sequence of 4 bytes, and then it XOR's the value of each byte in-place with the corresponding byte from the keystream. This performs little-endian conversions as necessary.

The fact that we are XOR'ing bytes strongly suggests that this is an implementation of the Salsa20 encryption function from the spec. There is an important difference between how the Salsa20 spec defines the encryption function versus how apply\_keystream defines it, however. In the Salsa20 spec, encryption is a function of a key, nonce, and a message. apply\_keystream, on the other

hand, is a function of self's internal state, a counter, and a message. The two aren't *quite* the same, which is makes it somewhat tricky to describe one in terms of the other.

Salsa20.cry defines a straightforward Cryptol port of the Salsa20 encryption function from the spec, named Salsa20\_encrypt. Because it takes a key and a nonce as an argument, it's not immediately clear how we'd tie this back to apply\_keystream. But no matter: we can do what we did before and define our own Cryptol version of apply\_keystream in Salsa20Extras.cry:

This implementation builds on top of the Cryptol counter\_setup and Salsa20\_rounds functions, which we used as the reference implementations for the Rust counter\_setup and rounds functions, respectively. We also make sure to define a BLOCK\_SIZE type alias at the top of the file:

```
type BLOCK_SIZE = 64
```

Now let's write a SAW spec for apply\_keystream. Once again, we will need to reference BLOCK\_SIZE when talking about the output-related parts of the spec, so make sure to define BLOCK\_SIZE at the top of the .saw file:

```
let BLOCK_SIZE = 64;
```

First, we need to declare all of our arguments, which proceeds as you would expect:

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```
output_ref <- mir_alloc_mut (mir_array BLOCK_SIZE mir_u8);
mir_points_to output_ref (mir_term output_arr);
let output = mir_slice_value output_ref;
mir_execute_func [self_ref, mir_term counter, output];</pre>
```

What about the postconditions? We have two mutable references to contend with: self\_ref and output\_ref. The postcondition for self\_ref is fairly straightforward: the only time it is ever modified is when counter\_setup is called. This means that after the apply\_keystream function has returned, self\_ref will point to the results of calling the counter\_setup Cryptol function:

output\_ref is where the interesting work happenings. After the Rust apply\_keystream function has returned, it will point to the results of calling the Cryptol apply\_keystream function that we just defined:

Finally, we can put this all together and verify apply\_keystream against apply\_keystream\_spec at lengths 8 and 20:

SAW will successfully verify these. We've achieved victory... or have we? Recall that we had to tailor the Cryptol apply\_keystream function to specifically match the behavior of the corresponding Rust code. This makes the proof somewhat underwhelming, since the low-level implementation is nearly identical to the high-level spec.

A more impressive proof would require linking apply\_keystream to a Cryptol function in the Salsa20.cry file, which was developed independently of the Rust code. As we mentioned before, however, doing so will force us to reconcile the differences in the sorts of arguments that each function takes, as apply\_keystream doesn't take a key or nonce argument. Time to think for a bit.

## 9.11 Verifying the new\_raw function

At this point, we should ask ourselves: why doesn't apply\_keystream take a key or nonce argument? The reason lies in the fact that the salsa20 crate implements Salsa20 in a stateful way. Specifically, the Core struct stores internal state that is used to compute the keystream to apply when hashing. In order to use this internal state, however, we must first initialize it. The new function that is responsible for this initialization:

```
/// Initialize core function with the given key and IV
pub fn new(key: &Key, iv: &Nonce) -> Self {
    Self::new_raw(key.as_ref(), iv.as_ref())
}
```

Sure enough, this function takes a key and a nonce as an argument! This is a critical point that we overlooked. When using the salsa20 crate, you wouldn't use the apply\_keystream function in isolation. Instead, you would create an initial Core value using new, and *then* you would invoke apply\_keystream. The Salsa20 spec effectively combines both of these operations in is encryption function, whereas the salsa20 splits these two operations into separate functions altogether.

Strictly speaking, we don't need to verify new in order to verify apply\_keystream, as the latter never invokes the former. Still, it will be a useful exercise to verify new, as the insights we gain when doing so will help us write a better version of apply\_keystream\_spec.

All that being said, we probably to verify new\_raw (a lower-level helper function) rather than new itself. This is because the definitions of Key and Nonce are somewhat involved. For instance, Key is defined as:

```
pub type Key = cipher::generic_array::GenericArray<u8, U32>;
```

GenericArray (https://docs.rs/generic-array/latest/generic\_array/struct.GenericArray.html) is a somewhat complicated abstraction. Luckily, we don't really *need* to deal with it, since new\_raw deals with simple array references rather than GenericArrays:

```
/// Initialize core function with the given key and IV
pub fn new_raw(key: &[u8; 32], iv: &[u8; 8]) -> Self {
```

The full implementation of new\_raw is rather long, so we won't inline the whole thing here. At a high level, it initializes the state array of a Core value by populating each element of the array with various things. Some elements of the array are populated with key, some parts are populated with iv (i.e., the nonce), and other parts are populated with an array named CONSTANTS:

```
/// State initialization constant ("expand 32-byte k")

const CONSTANTS: [u32; 4] = [0x6170_7865, 0x3320_646e, 0x7962_2d32, □

→0x6b20_6574];
```

The comment about "expand 32-byte k" is a strong hint that new\_raw is implementing a portion of the Salsa20 expansion function from the spec. (No really, the spec literally says to use the exact

string "expand 32-byte k"—look it up!) The Salsa20.cry Cryptol file has an implementation of this portion of the expansion function, which is named Salsa20 init:

Note that we were careful to say a *portion* of the Salsa20 expansion function. There is also a Cryptol implementation of the full expansion function, named Salsa20 expansion:

This calls Salsa20\_init followed by Salsa20, the latter of which performs hashing. Importantly, new\_raw does *not* do any hashing on its own, just initialization. For this reason, we want to use Salsa20\_init as the reference implementation of new\_raw, not Salsa20\_expansion.

Alright, time to write a SAW spec. The first part of the spec is straightforward:

```
let new_raw_spec num_rounds = do {
   key_ref <- mir_alloc (mir_array 32 mir_u8);
   key_arr <- mir_fresh_var "key_arr" (mir_array 32 mir_u8);
   mir_points_to key_ref (mir_term key_arr);

   nonce_ref <- mir_alloc (mir_array 8 mir_u8);
   nonce_arr <- mir_fresh_var "nonce" (mir_array 8 mir_u8);
   mir_points_to nonce_ref (mir_term nonce_arr);

   mir_execute_func [key_ref, nonce_ref];</pre>
```

As is usually the case, the postconditions are the tricky part. We know that the behavior of new\_raw will roughly coincide with the Salsa20\_init function, so let's try that first:

```
let r = r_adt num_rounds;
let self_state = {{ Salsa20_init(key_arr, nonce_arr) }};
let self_rounds = mir_struct_value (phantom_data_adt r) [];
let self_val = mir_struct_value (core_adt r) [mir_term self_state,
self_rounds];
mir_return self_val;
```

If we attempt to verify this using mir\_verify:

#### SAW complains thusly:

```
Cryptol error:

[error] at salsa20.saw:109:45--109:54:

Type mismatch:

Expected type: 16

Inferred type: 8

Context: [ERROR] _

When checking type of 2nd tuple field
```

Here, the 2nd tuple field is the nonce\_arr in Salsa20\_init (key\_arr, nonce\_arr). And sure enough, Salsa20\_init expects the 2nd tuple field to be a sequence of 16 elements, but nonce\_arr only has 8 elements. Where do we get the remaining 8 elements from?

The answer to this question can be found by looking at the implementation of new\_raw more closely. Let's start at this code:

This will chunk up iv (the nonce) into two 4-byte chunks and copies them over to the elements of state array at indices 6 and 7. This is immediately followed by two updates at indices 8 and 9, which are updated to be 0:

```
state[8] = 0;
state[9] = 0;
```

If you take the two 4-bytes chunks of iv and put two 4-byte 0 values after them, then you would have a total of 16 bytes. This suggests that the nonce value that Salsa20\_init expects is actually this:

```
nonce_arr # zero : [16][8]
```

Where zero: [8][8] is a Cryptol expression that returns all zeroes, and (#) is the Cryptol operator for concatenating two sequences together. Let's update new\_raw\_spec to reflect this:

```
let self_state = {{ Salsa20_init(key_arr, nonce_arr # zero) }};
```

This is closer to what we want, but not quite. SAW still complains:

```
could not match specified value with actual value:
...
type of actual value: [u32; 16]
type of specified value: [u8; 64]
```

This is because Salsa20\_init returns something of type [64][8], which corresponds to the Rust type [u8; 64]. self.state, on the other hand, is of type [u32; 16]. These types are very close, as they both contain the same number of bytes, but they are chunked up differently. Recall the code that copies the nonce value over to self.state:

In order to resolve the type differences between iv and state, this code needed to explicitly convert iv to little-endian form using the u32::from\_le\_bytes (https://doc.rust-lang.org/std/primitive.u32.html#method.from\_le\_bytes) function. There is a similar Cryptol function in Salsa20.cry named littleendian\_state:

```
littleendian_state : [64][8] -> [16][32]
littleendian_state b = [littleendian xi | xi <- split b]</pre>
```

Note that [64] [8] is the Cryptol equivalent of [u8; 64], and [16] [32] is the Cryptol equivalent of [u32; 16]. As such, this is exactly the function that we need to resolve the differences in types:

With that change, SAW is finally happy with new\_raw\_spec and successfully verifies it.

There is an interesting connection between the <code>new\_raw</code> and <code>counter\_setup</code> functions. Both functions perform in-place updates on <code>state</code> at indices 8 and 9. Whereas <code>new\_raw</code> always sets these elements of <code>state</code> to 0, <code>counter\_setup</code> will set them to the bits of the <code>counter</code> argument (after converting <code>counter</code> to little-endian form). This means that if you invoke <code>counter\_setup</code> right after <code>new\_raw</code>, then <code>counter\_setup</code> would overwrite the 0 values with the <code>counter</code> argument. In order words, it would be tantamount to initializing <code>state</code> like so:

```
littleendian_state (Salsa20_init(key, nonce # littleendian_inverse_
→counter))
```

Where littleendian\_inverse (a sibling of littleendian\_state) converts a [64] value to a [8][8] one. This pattern is a curious one...

### 9.12 Verifying the apply\_keystream function (second attempt)

Let's now return to the problem of linking apply\_keystream up to Salsa20\_encrypt. In particular, let's take a closer look at the definition of Salsa20\_encrypt itself:

Does anything about this definition strike you as interesting? Take a look at the v#(littleendian\_inverse i) part—we just saw a use of littleendian\_inverse earlier in our discussion about initializing the state! Moreover, v is the nonce argument, so it is becoming clearer that Sals20\_encrypt is creating an initial state is much the same way that new\_raw is.

A related question: what is the i value? The answer is somewhat technical: the Salsa20 encryption function is designed to work with messages with differing numbers of bytes (up to 2^^70 bytes, to be exact). Each 8-byte chunk in the message will be encrypted with a slightly difference nonce. For instance, the first 8-byte chunk's nonce will have its lower 32 bits set to 0, the second 8-byte chunk's nonce will have its lower 32 bits set to 1, and so on. In general, the ith 8-byte chunk's nonce will have its lower 32 bits set to i, and this corresponds exactly to the i in the expression littleendian\_inverse i.

Note, however, that apply\_keystream only ever uses a message that consists of exactly eight 8-byte chunks. This means that Salsa20\_encrypt will only ever invoke Salsa20\_expansion once with a nonce value where the lower 32 bits are set to 0. That is, it will perform encryption with an initial state derived from:

```
Salsa20_init(k, v#(littleendian_inverse zero))
```

Which can be further simplified to Salsa20\_init(k, v # zero). This is very nearly what we want, as this gives us the behavior of the Rust new\_raw function. There's just one problem though: it doesn't take the behavior of counter\_setup into account. How do we go from zero to littleendian inverse counter?

While Salsa20\_encrypt doesn't take counters into account at all, it is not too difficult to generalize Salsa20\_encrypt in this way. There is a variant of Salsa20\_encrypt in the same file named Salsa20\_encrypt\_with\_offset, where the offset argument o serves the same role that counter does in counter\_setup:

```
Salsa20_encrypt_with_offset : {a, 1} (a >= 1, 2 >= a, 1 <= 2^^70) =>
   ([32], [16*a][8], [8][8], [64], [1][8]) -> [1][8]
Salsa20_encrypt_with_offset(count, k, v, o, m) = c
   where
```

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```
salsa = take (join [ Salsa20_expansion(count, k, v#(littleendian_\rightarrowinverse (o + i))) | i <- [0, 1 ... ] ])

c = m ^ salsa
```

```
(Observe that Salsa20_encrypt(count, k, v, m) is equivalent to Salsa20_encrypt_with_offset(count, k, v, 0, m).)
```

At long last, we have discovered the connection between apply\_keystream and the Salsa20 spec. If you assume that you invoke new\_raw beforehand, then the behavior of apply\_keystream corresponds exactly to that of Salsa20\_encrypt\_with\_offset. This insight will inform us how to write an alternative SAW spec for apply\_keystream:

Observe the following differences between apply\_keystream\_alt\_spec and our earlier apply\_keystream\_spec:

- 1. In apply\_keystream\_alt\_spec, we declare fresh key and nonce values, which weren't present at all in apply\_keystream\_spec.
- 2. In apply\_keystream\_alt\_spec, we no longer make self\_state a fresh, unconstrained value. Instead, we declare that it must be the result of calling Salsa20\_init on the key, nonce, and counter values. This is the part that encodes the assumption that new\_raw was invoked beforehand.

The parts of the spec relating to output remain unchanged:

```
output_arr <- mir_fresh_var "output_arr" (mir_array BLOCK_SIZE mir_
→u8);
output_ref <- mir_alloc_mut (mir_array BLOCK_SIZE mir_u8);
mir_points_to output_ref (mir_term output_arr);
let output = mir_slice_value output_ref;</pre>
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```

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```
mir_execute_func [self_ref, mir_term counter, output];
```

The postconditions are slightly different in apply\_keystream\_alt\_spec. While the parts relating to self\_ref remain unchanged, we now have output\_ref point to the results of calling Salsa20\_encrypt\_with\_offset:

Tying this all together, we call mir\_verify, making sure to use compositional overrides involving counter\_setup and rounds:

At long last, it is time to run SAW on this. When we do, we see this:

```
[15:11:44.576] Checking proof obligations salsa20/10e438b3::core#1[0]::

→{impl#0}[0]::apply_keystream[0]::_inst6e4a2d7250998ef7[0] ...
```

After this, SAW loops forever. Oh no! While somewhat disheartening, this is a reality of SMT-based verification that we must content with. SMT solvers are extremely powerful, but their performance can sometimes be unpredictable. The task of verifying <code>apply\_keystream\_alt\_spec</code> is *just* complicated enough that Z3 cannot immediately figure out that the proof is valid, so it resorts to much slower algorithms to solve proof goals.

We could try waiting for Z3 to complete, but we'd be waiting for a long time. It's not unheard of for SMT solvers to take many hours on especially hard problems, but we don't have that many hours to spare. We should try a slightly different approach instead.

When confronted with an infinite loop in SAW, there isn't a one-size-fits-all solution that will cure the problem. Sometimes, it is worth stating your SAW spec in a slightly different way such that the SMT solver can spot patterns that it couldn't before. Other times, it can be useful to try and break the problem up into smaller functions and use compositional verification to handle the more complicated

subfunctions. As we mentioned before, the performance of SMT solvers in unpredictable, and it's not always obvious what the best solution is.

In this example, however, the problem lies with Z3 itself. As it turns out, Yices (a different SMT solver) *can* spot the patterns needed to prove <code>apply\_keystream\_alt\_spec</code> immediately. Fortunately, SAW includes support for both Z3 and Yices. In order to switch from Z3 to Yices, swap out the z3 proof script with yices:

After doing this, SAW is leverage Yices to solve the proof goals almost immediately:

```
[15:22:00.745] Proof succeeded! salsa20/10e438b3::core#1[0]::{impl#0}

→[0]::apply_keystream[0]::_instfa33e77d840484a0[0]
```

And with that, we're finally done! You've successfully completed a non-trivial SAW exercise in writing some interesting proofs. Give yourself a well-deserved pat on the back.

The process of developing these proofs was bumpy at times, but that is to be expected. You very rarely get a proof correct on the very first try, and when SAW doesn't accept your proof, it is important to be able to figure out what went wrong and how to fix it. This is a skill that takes some time to grow, but with enough time and experience, you will be able to recognize common pitfalls. This case study showed off some of these pitfalls, but there are likely others.

# 10 A final word

Like everything else in the saw-script repo, this tutorial is being maintained and developed. If you see something in the tutorial that is wrong, misleading, or confusing, please file an issue about it here (https://github.com/GaloisInc/saw-script/issues).