

# COMP261 Lecture 21

Marcus Freen

Data Compression 3 (or Using Predictions 1) :  
**Arithmetic Coding**

**Victoria**  
UNIVERSITY OF WELLINGTON

*Te Whare Wānanga  
o te Ūpoko o te Ika a Māui*



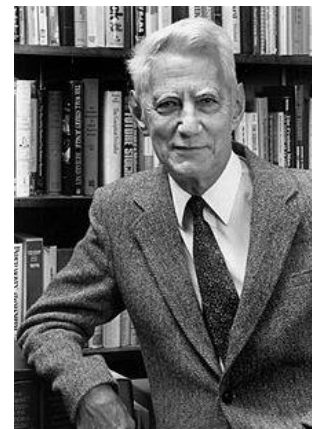
CAPITAL CITY UNIVERSITY

# the problem: encoding data succinctly

- Opportunity #1: some symbols are used more
- Claude Shannon proved (1940's) there's a way to transmit symbol strings from alphabet  $X$  with an average of  $H(X)$  bits/symbol, called the *entropy*:

$$H(X) = \sum_i P_i \log_2 \frac{1}{P_i}$$

- He showed it was possible, but not how to do it!
- Huffman Coding gets quite close

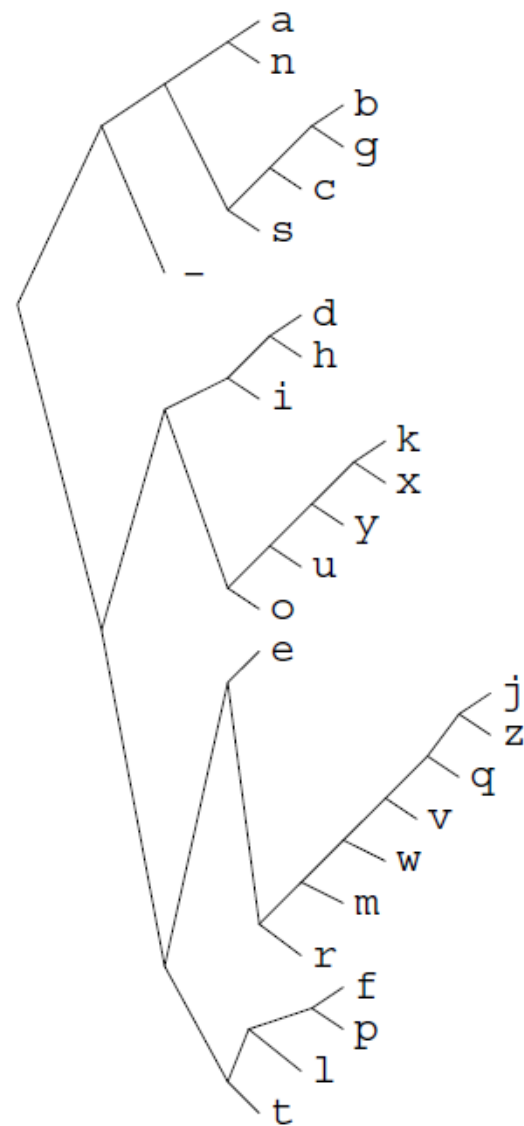


$x$		$P(x)$
a	■	0.0575
b	■	0.0128
c	■	0.0263
d	■	0.0285
e	■	0.0913
f	■	0.0173
g	■	0.0133
h	■	0.0313
i	■	0.0599
j	■	0.0006
k	■	0.0084
l	■	0.0335
m	■	0.0235
n	■	0.0596
o	■	0.0689
p	■	0.0192
q	■	0.0008
r	■	0.0508
s	■	0.0567
t	■	0.0706
u	■	0.0334
v	■	0.0069
w	■	0.0119
x	■	0.0073
y	■	0.0164
z	■	0.0007
—	■	0.1928

# Huffman recap

- send each symbol as soon as it occurs (*symbol* code)
- optimal, given this restriction
- but wastes bits
- drop the restriction? ( $\rightarrow$  *stream* codes)

$a_i$	$p_i$	$\log_2 \frac{1}{p_i}$	$l_i$	$c(a_i)$
a	0.0575	4.1	4	0000
b	0.0128	6.3	6	001000
c	0.0263	5.2	5	00101
d	0.0285	5.1	5	10000
e	0.0913	3.5	4	1100
f	0.0173	5.9	6	111000
g	0.0133	6.2	6	001001
h	0.0313	5.0	5	10001
i	0.0599	4.1	4	1001
j	0.0006	10.7	10	1101000000
k	0.0084	6.9	7	1010000
l	0.0335	4.9	5	11101
m	0.0235	5.4	6	110101
n	0.0596	4.1	4	0001
o	0.0689	3.9	4	1011
p	0.0192	5.7	6	111001
q	0.0008	10.3	9	110100001
r	0.0508	4.3	5	11011
s	0.0567	4.1	4	0011
t	0.0706	3.8	4	1111
u	0.0334	4.9	5	10101
v	0.0069	7.2	8	11010001
w	0.0119	6.4	7	1101001
x	0.0073	7.1	7	1010001
y	0.0164	5.9	6	101001
z	0.0007	10.4	10	1101000001
-	0.1928	2.4	2	01



# the problem: encoding data succinctly

- Opportunity #1: some symbols are used more
- Opportunity #2: the sequence isn't random
  - → Lempel-Ziv
  - → **Arithmetic Coding**, based on rather different ideas
- *reaches* the Shannon limit, for random ordered symbols, and
- *in conjunction with a predictive language model*, it does better still

$x$		$P(x)$
a	■	0.0575
b	■	0.0128
c	■	0.0263
d	■	0.0285
e	■	0.0913
f	■	0.0173
g	■	0.0133
h	■	0.0313
i	■	0.0599
j	■	0.0006
k	■	0.0084
l	■	0.0335
m	■	0.0235
n	■	0.0596
o	■	0.0689
p	■	0.0192
q	■	0.0008
r	■	0.0508
s	■	0.0567
t	■	0.0706
u	■	0.0334
v	■	0.0069
w	■	0.0119
x	■	0.0073
y	■	0.0164
z	■	0.0007
—	■	0.1928

think of bit strings as intervals

0.00

0.25

0.50

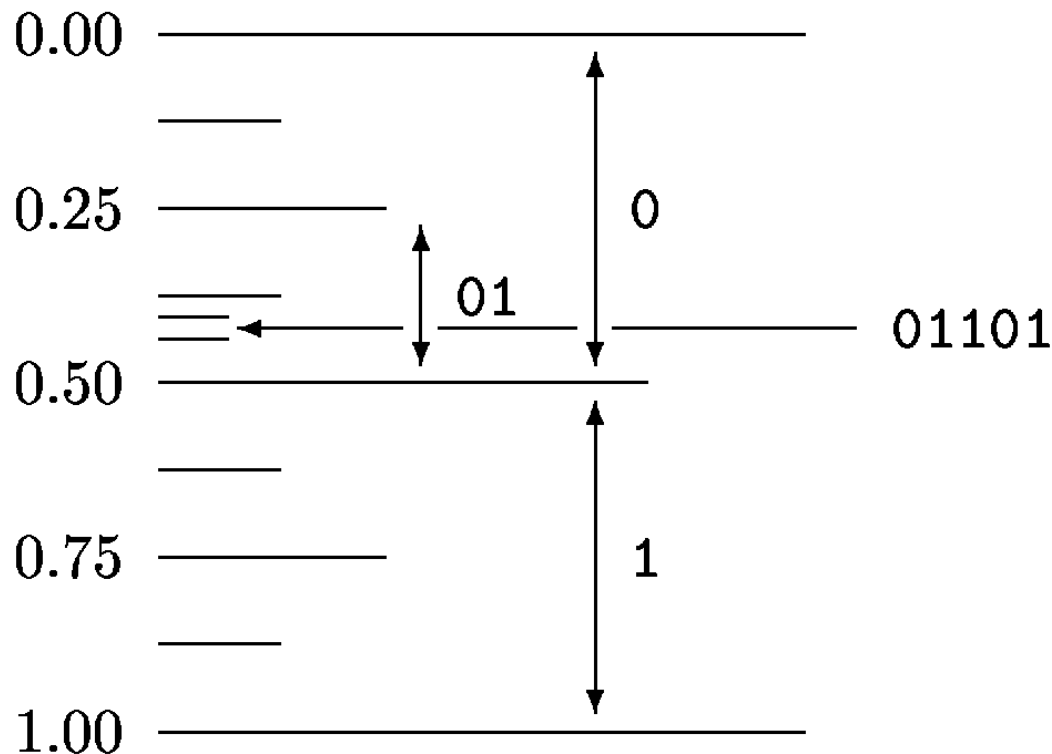
0.75

1.00

0	00	000	0000	The total symbol code budget
			0001	
		001	0010	
			0011	
	01	010	0100	
			0101	
		011	0110	
			0111	
1	10	100	1000	
			1001	
		101	1010	
			1011	
	11	110	1100	
			1101	
		111	1110	
			1111	

# ...and $\leftrightarrow$ think of intervals as bit-strings

- the interval corresponding to  $n$ -bits has width  $1/2^n$
- to specify interval of size  $\alpha$ , we will need about  $\log_2 1/\alpha$  bits



eg: if  $\alpha=1/8$   
we need  
 $\log_2 1/\alpha = 3$  bits

next slide  
considers sending  
symbols in a  
simple alphabet of  
just  $\{a, b, \square\}$

to send symbol string, send *interval* (as bit-string)

- To send a string, I recursively partition up the interval  $[0,1]$  into segments...  
(but don't worry about the partitioning scheme just yet!)
- I send you the binary string that corresponds to the **largest interval *enclosed by the string I want to send***.
- You should be able to *decode* this, provided you use the same scheme for partitioning as I did!

a

ba

bba

bbba

b

bb

bbb

bbbb

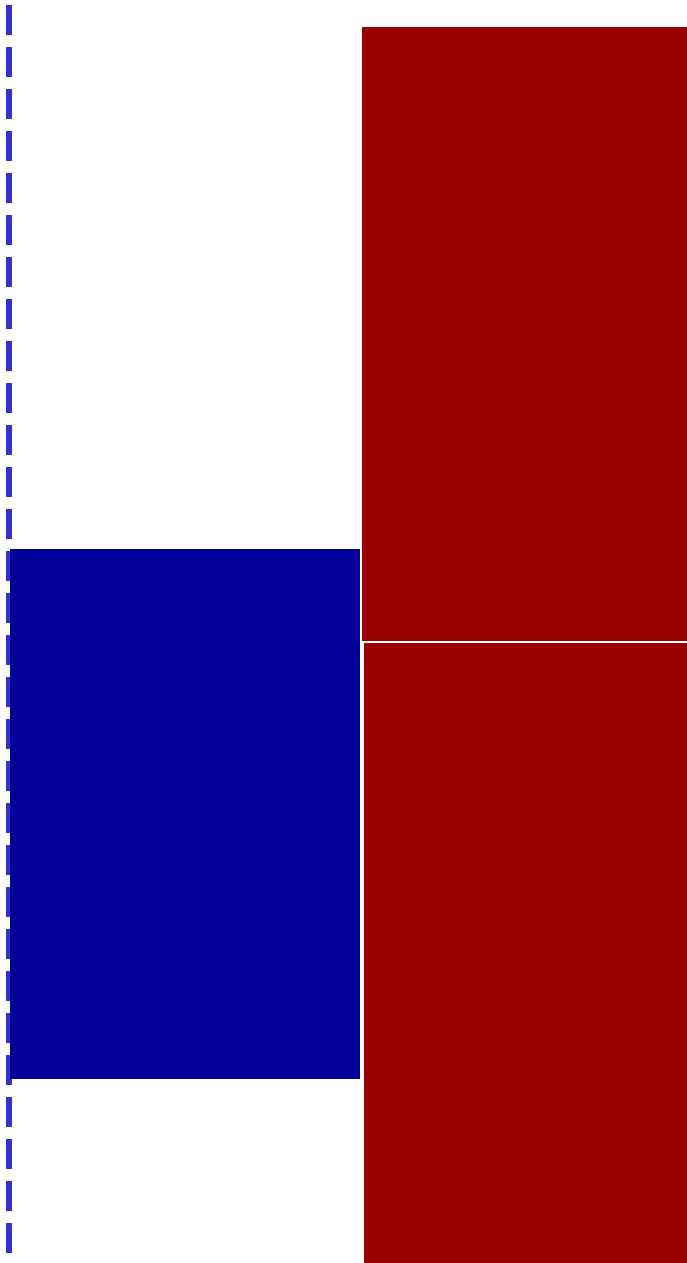
bbb□

bb□

b□

□

# on-the-fly encoding: transmitting bbbba□



	00000	
	00001	0000
	00010	000
	00011	0001
	00100	00
	00101	0010
	00110	001
	00111	0011
a	01000	0
	01001	0100
	01010	010
	01011	0101
	01100	01
	01101	0110
	01110	011
ba	01111	0111
	10000	
	10001	1000
	10010	100
bba	10011	1001
	10100	10
b	10101	1010
bb	10110	101
bbb	10111	1011
bbbb		
bbb□		

b : not wholly enclosed by 0 or 1

(i.e. could be 01, 10, or 11)

→ Don't transmit anything yet



# on-the-fly encoding

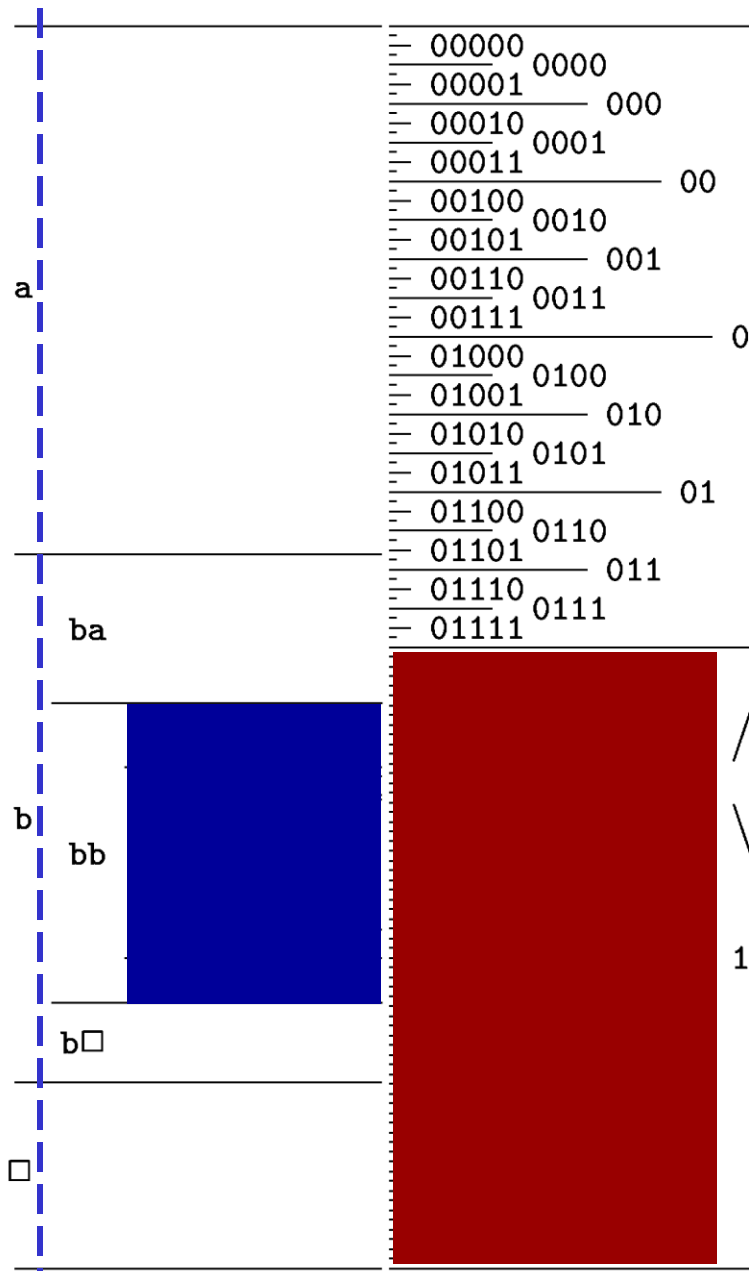
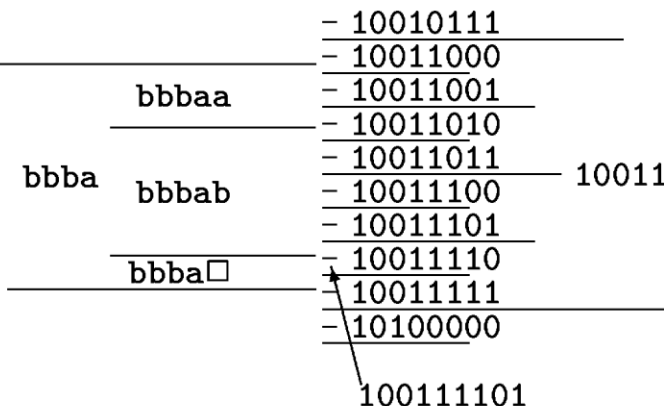


Illustration of the arithmetic coding process as the sequence **bbba** is transmitted



**bb**: wholly enclosed by '1' range,  
→ transmit '1'

# on-the-fly encoding

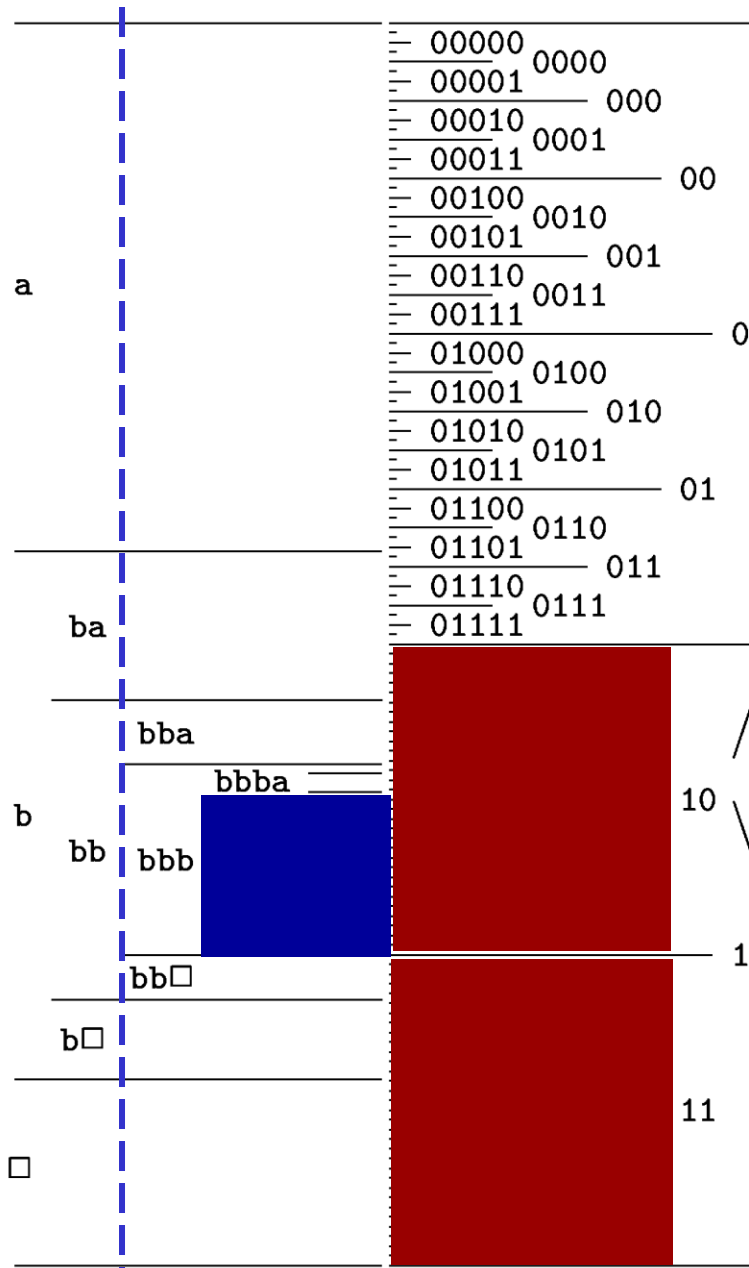
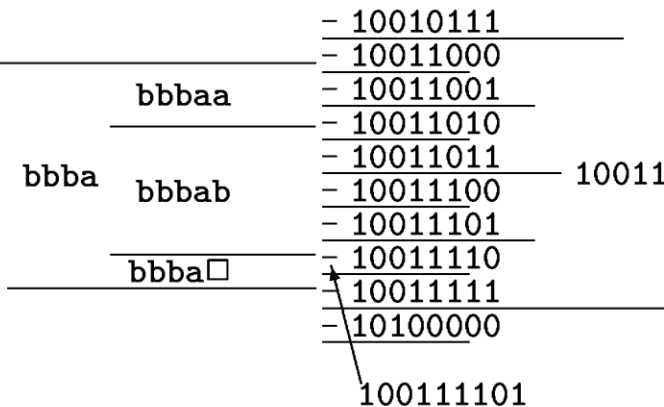


Illustration of the arithmetic coding process as the sequence bbbao is transmitted



bbb : not wholly within either 10, or 11: → don't transmit yet

# on-the-fly encoding

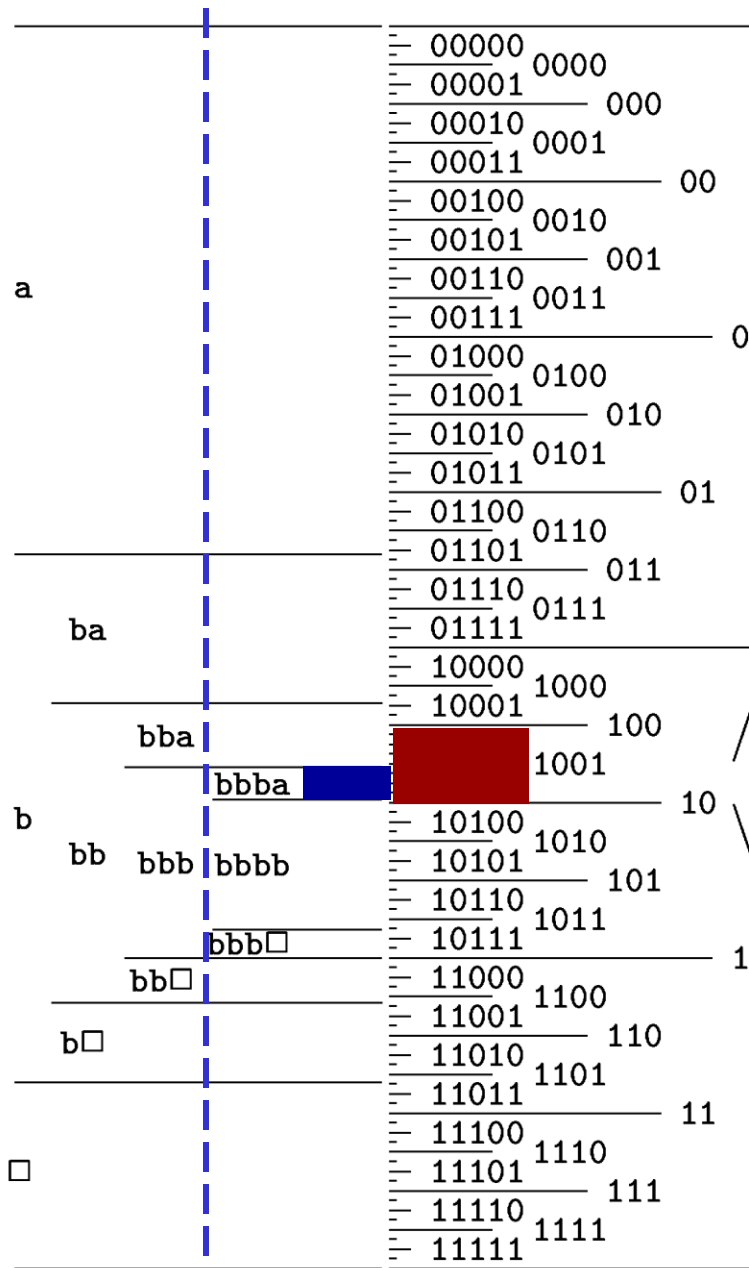
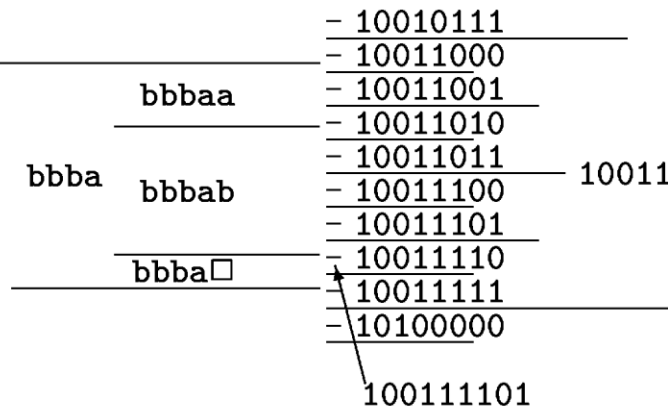


Illustration of the arithmetic coding process as the sequence bbbao is transmitted



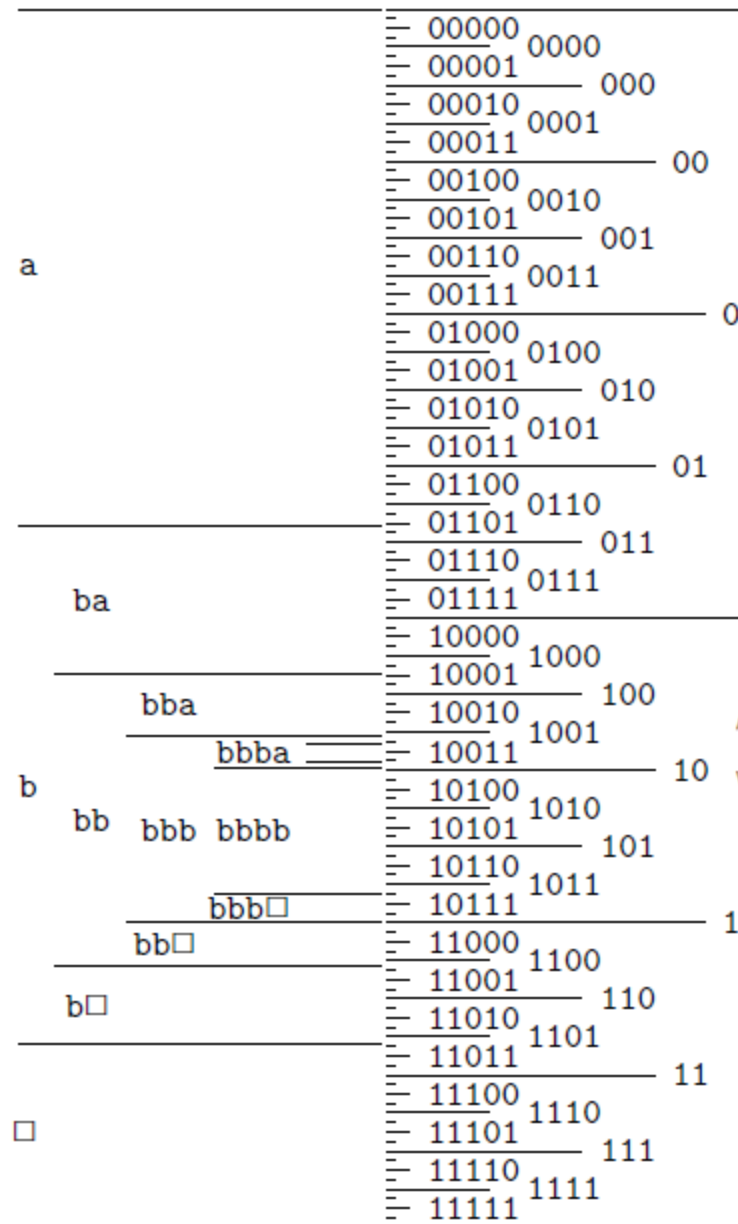
bbba : yes! is within 1001, so  
add '001' to the transmission

# on-the-fly decoding

The first '1' arrives.

Could be b, or □.

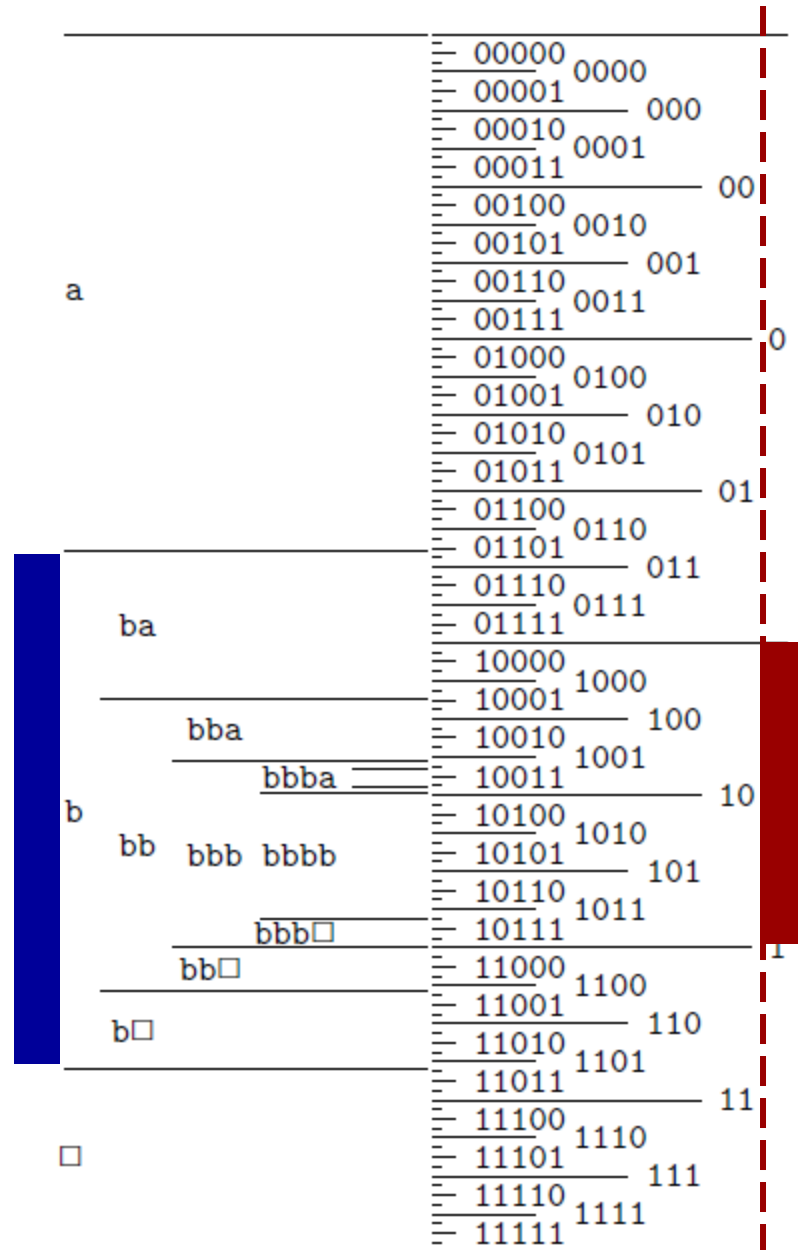
Don't emit anything yet



## on-the-fly decoding

# '10' has arrived

this is wholly enclosed  
by the 'b' interval, so  
now we can safely emit  
'b'



### 3. what's the *best* partitioning scheme?

- suppose our scheme gives string **S** an interval of size  $\alpha_s$
- this is going to require  $\log_2 1/\alpha_s$  bits
- expected message length will be  $\sum_s P_s \log_2 \frac{1}{\alpha_s}$
- If we set  $\alpha_s = P_s$  this matches the Shannon limit!  
(and any other scheme is worse)

*So this is the code that Shannon knew must exist!*

## 4. best partitioning for an entire string?

- thought: is there a recursive way to do the partitioning, which gives the right "real estate" to a whole **string**, not just individual symbols?
- remarkably, yes!
- based on the recursive "chain rule" of probabilities...

$$P(s_1, s_2) = P(s_1)P(s_2 | s_1)$$

$$P(s_1, s_2, s_3) = P(s_1)P(s_2 | s_1)P(s_3 | s_1, s_2)$$

$$\vdots$$

- to do it, we need to build a predictive model of the language - Machine Learning, 400 level.

← details *not* examinable

# dasher

- 'dasher' started out life as a demonstration program to illustrate the process of arithmetic coding...
- a brand new way of writing:
  1. scratching squiggly shapes
  2. punching keys
  3. dasher
- <http://en.wikipedia.org/wiki/Dasher> - David MacKay
- For more on Arithmetic Coding see chapter 6 of David's (free) book





# summary on Arithmetic Coding

- key insight is to make a *stream code*
- with a fixed partitioning, based on **fixed symbol probabilities** from a look-up table, we get to the Shannon limit for “random looking” text
- with partitioning based on **dynamic symbol probabilities** (via a learned *predictive model*) we get close to the entropy of the *strings in the language*, ie. the theoretical limit 😊