

# Measures of Political Behavior

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## 1 Introduction

The very objective of the *SciencesPo* package is to provide methods for computing some of the popular measures in political science literature. Apart from their usefulness for categorization, empirical indices intended to capture political abstractions and provide data for causal reasoning. Examples at the core of the discipline include measures of political concentration and fragmentation, political competition, and apportionment methods to transform election results into seats.

This vignette is intended to illustrate some of the applications available in the R library *SciencesPo*, which can be used to compute those measures of political behavior. The rest of this vignette is organized as follows. Section 2 provides an overview of the technical details and examples of seat apportionment methods. Section 3 illustrates the use of political diversity functions to obtain empirical indices of a political system environment, such as the effective number of parties, the nationalization of political parties, and political competition. Section 4 provides examples of computing proportionality statistics, and section 5 does so for inequality indices.

## 2 Seat Apportionment Methods

Most democratic countries use apportionment methods to transform election results into whole numbers, which indicate the number of seats that each party obtained in a legislative body. Which apportionment method does this best is not a trivial topic in political science and that several methods have been proposed. The following sections briefly present some of these apportionment methods.

### 2.1 Highest Averages Methods of Allocating Seats Proportionally

Highest averages methods allocate seats proportionally to the number of votes by assigning seats in a way that assures the highest quotient by seat for each party. Other methods divide the votes by a mathematically derived quota, such as Droop and Hare quotas. In what follows are illustrative examples taken from Lijphart (1994) of the operation of some highest averages formulas in a six member district with four parties.

```
# Table A.1  
lijphart <- c("A"=41000, "B"=29000, "C"=17000, "D"=13000)
```

The basic inputs for this class of functions are: 1) a list of parties, 2) a list of positive votes, and 3) a constant value for the number of seats to be returned. A numeric value (0~1) for the threshold is optional.

By default, the function prints the apportionment method being used, a snippet of the divisors, the effective number of parties index, and the least squares index—or Gallagher index.

#### 2.1.1 d'Hondt

Under the D'Hondt formula, seats are allocated using divisors of 1, 2, 3, 4, etc. Thus, suggesting that the divisors are simply one more than the number of seats that party already has.

```
library("SciencesPo")

# The d'Hondt will give the same results as Jefferson's method
highestAverages(parties=names(lijphart),
                 votes=lijphart,
                 seats = 6,
                 method = "dh")
```

```
Method: d'Hondt
Divisors: 1 2 3 4 ...
ENP: 3.36 (After): 2.57
Gallagher Index: 11.6
```

	Party	Seats	%Seats
1	A	3	0.500
2	B	2	0.333
3	C	1	0.167
4	D	0	0.000

The d'Hondt is only one way of allocating seats in party list systems. Other methods include the Saint-Laguë, the modified Saint-Laguë, the Danish version, Imperiali (do not to confuse with the Imperiali quota, which is a Largest remainder method), Hungtinton-Hill, Webster, etc.

### 2.1.2 Saint-Laguë

Named after its founder, the pure Sainte-Laguë formula, also known as Schepers method, uses the odd-integer divisor series (1, 3, 5, 7, ...) to elect candidates from political parties in approximate proportion as the proportion of votes won by the party. The outcome would be the same as the outcome produced by the Webster's divisors method.

```
# The Sainte-Laguë will give the same results as the Webster's method (wb)
highestAverages(parties=names(lijphart),
                 votes=lijphart,
                 seats = 6,
                 method = "sl")
```

```
Method: Sainte-Laguë
Divisors: 1 3 5 7 ...
ENP: 3.36 (After): 3.6
Gallagher Index: 6.75
```

	Party	Seats	%Seats
1	A	2	0.333
2	B	2	0.333
3	C	1	0.167
4	D	1	0.167

### 2.1.3 Modified Saint-Laguë

The modified Sainte-Laguë use divisors of 1.4, 3, 5, 7, etc. How does the d'Hondt divisor compare to the Modified Sainte-Laguë one? Which divisor method tend to favor larger parties and which one smaller parties?

```
highestAverages(parties=names(lijphart),
                votes=lijphart,
                seats = 6,
                method = "msl")
```

Method: Modified Sainte-Laguë  
Divisors: 1.4 3 5 7 ...  
ENP: 3.36 (After): 3.6  
Gallagher Index: 6.75

	Party	Seats	%Seats
1	A	2	0.333
2	B	2	0.333
3	C	1	0.167
4	D	1	0.167

### 2.1.4 Danish

The Danish divisors (1, 4, 7, 10, ...) increase so fast that large parties are quickly cut down, acting much to the benefit of smaller parties.

```
highestAverages(parties=names(lijphart),
                votes=lijphart,
                seats = 6,
                method = "danish")
```

Method: Danish Sainte-Laguë  
Divisors: 1 4 7 10 ...  
ENP: 3.36 (After): 3.6  
Gallagher Index: 6.75

	Party	Seats	%Seats
1	A	2	0.333
2	B	2	0.333
3	C	1	0.167
4	D	1	0.167

### 2.1.5 Hungarian

The Hungarian version of Sainte-Laguë uses divisors of (1.5, 3, 5, 7, 9, ...) increase so fast that large parties are quickly cut down, acting much to the benefit of smaller parties.

```
highestAverages(parties=names(lijphart),
                votes=lijphart,
                seats = 6,
                method = "hsl")
```

Method: Hungarian Sainte-Laguë  
 Divisors: 1.5 3 5 7 ...  
 ENP: 3.36 (After): 3.6  
 Gallagher Index: 6.75

	Party	Seats	%Seats
1	A	2	0.333
2	B	2	0.333
3	C	1	0.167
4	D	1	0.167

### 2.1.6 Webster

This approach does not use a standard divisor either. It uses instead a different divisor and modified quota such that rounding with cut-off point at *algebraic* mean of lower and upper quotas:  $wb = \frac{L+(L+1)}{2}$ .

```
highestAverages(parties=names(lijphart),
                votes=lijphart,
                seats = 6,
                method = "wb")
```

Method: Webster  
 Divisors: 0.5 2.5 4.5 6.5 ...  
 ENP: 3.36 (After): 3.6  
 Gallagher Index: 6.75

	Party	Seats	%Seats
1	A	2	0.333
2	B	2	0.333
3	C	1	0.167
4	D	1	0.167

### 2.1.7 Imperiali

The Italian Imperiali divisor system has a slowly-increasing sequence (1, 1.5, 2, 2.5, 3, ...), with 0.5 difference between consecutive divisors. This is tighter than the d'Hondt divisors for which this difference is 1. Like d'Hondt, the Imperiali system is designed to encourage coalitions and secure majority governments under a PR system, but the Imperiali will be the most favourable to large parties as they will gain many seats before their quotients are reduced below those of the smaller parties.

```
highestAverages(parties=names(lijphart),
                votes=lijphart,
                seats = 6,
                method = "imperiali")
```

```

Method: Imperiali
Divisors: 1 1.5 2 2.5 ...
ENP: 3.36 (After): 2.57
Gallagher Index: 11.6

```

	Party	Seats	%Seats
1	A	3	0.500
2	B	2	0.333
3	C	1	0.167
4	D	0	0.000

The Belgian municipal councils were elected by the Imperiali highest averages method. The following example comes from the 2006 election in Bruges, where 47 seats were upon.

```

Bruges=c("CD&V/N-VA"=32092, "SP.A/Spirit"=20028,
         "Flemish Interest"=13408, "Open VLD/Vivant"=9520,
         "Green!"=5328, "Other"=2207)

highestAverages(parties=names(Bruges),
               votes=Bruges,
               seats = 47,
               method = "imperiali")

```

```

Method: Imperiali
Divisors: 1 1.5 2 2.5 ...
ENP: 3.93 (After): 3.47
Gallagher Index: 3.78

```

	Party	Seats	%Seats
1	CD&V/N-VA	20	0.426
2	SP.A/Spirit	12	0.255
3	Flemish Interest	8	0.170
4	Open VLD/Vivant	5	0.106
5	Green!	2	0.043
6	Other	0	0.000

### 2.1.8 Hungtinton-Hill

The method proposed by Joseph Hill and Edward Huntington does not use a standard divisor, but a different one and also a modified quota such that rounding with cut-off point at *geometric* mean:  $hh = \sqrt{L(L+1)}$ . This method makes sense only if every party is guaranteed at least one seat. It has been used for allotting seats in the US House of Representatives to the states.

```

highestAverages(parties=names(lijphart),
               votes=lijphart,
               seats = 6, method = "hh")

```

```

Method: Hungtinton-Hill
Divisors: 0 1.41 2.45 3.46 ...

```

ENP: 3.36 (After): 3.6  
Gallagher Index: 6.75

	Party	Seats	%Seats
1	A	2	0.333
2	B	2	0.333
3	C	1	0.167
4	D	1	0.167

### 2.1.9 Using Thresholds

Let's assume that we have an election with 1,000 total voters in which five parties (A, B, C, D, and E) have gained 100 (10%), 150 (15%), 300 (30%), 400 (40%), and 50 (5%) votes, respectively. In this electoral constituency, there are 3 seats up for election, and all votes cast are valid. The electoral system has a 7% vote threshold, meaning that parties must get at least 7% of the total unspoiled votes cast in order to participate in the distribution of seats. Party *E* would then be eliminated from competition at the outset.

If the d'Hondt method of seat allocation were employed in this hypothetical election, then party *C* would get 1 seat (or 33% of the number of seats), and party *D* 2 seats (or 67% of the seats).

```
const <- c("A"=100, "B"=150, "C"=300, "D"=400, "E"=50)

highestAverages(parties=names(const),
                votes=const,
                seats = 3, method = "dh",
                threshold = 7/100)
```

Method: d'Hondt  
Divisors: 1 2 3 NA ...  
ENP: 3.51 (After): 1.8  
Gallagher Index: 55.1

	Party	Seats	%Seats
1	D	2	0.667
2	C	1	0.333
3	A	0	0.000
4	B	0	0.000
5	E	0	0.000

The following example is taken from the 2015 general elections in Spain. The region of Valencia returned 15 seats allocated using the D'Hondt method in a closed list PR system. Only lists that poll at least 3% of the total vote (which includes expoiiled votes “en blanco”) can be considered.

	PP	Podemos	PSOE	C's	IU	PACMA	Others
	442005	395729	275680	221299	68759	14445	35943

```
# Valencia returned 15 members
highestAverages(parties=names(Valencia),
                votes=Valencia,
                seats=15, method = "dh",
                threshold = 3/100)
```

Method: d'Hondt  
 Divisors: 1 2 3 4 ...  
 ENP: 4.37 (After): 3.57  
 Gallagher Index: 6.33

	Party	Seats	%Seats
1	PP	5	0.333
2	Podemos	5	0.333
3	PSOE	3	0.200
4	C's	2	0.133
5	IU	0	0.000
6	Others	0	0.000
7	PACMA	0	0.000

Next, the methods that divide the votes by a mathematically derived quota, such as the Droop quota, the Hare quota (or Hamilton/Vinton), and the Imperiali quota.

## 2.2 Largest Remainder Methods of Allocating Seats Proportionally

Highest averages methods allocate seats proportionally to the number of votes by dividing the number of votes required for each party by a quota. The quota may vary because it is the result of dividing the number of unspoiled votes ( $v$ ) by some whole number close to the number of seats that would next be assigned ( $s$ ). In what follows are illustrative examples of the operation of some largest remainders formulas in an eight member district with the same four parties of the previous examples.

### 2.2.1 Hare quota

The Droop quota is obtained by  $\text{quota} = \frac{v}{(s)}$ .

```
largestRemainders(parties=names(lijphart),
                  votes=lijphart,
                  seats = 8, method = "hare")
```

### 2.2.2 Droop quota

The Droop quota is obtained by  $\text{quota} = \frac{v}{(s+1)} + 1$ .

```
largestRemainders(parties=names(lijphart),
                  votes=lijphart,
                  seats = 8, method = "droop")
```

### 2.2.3 Hagenbach-Bischoff

The Hagenbach-Bischoff quota is obtained by  $\frac{v}{(s+1)}$ .

```
largestRemainders(parties=names(lijphart),
                  votes=lijphart,
                  seats = 8, method = "hagb")
```

## 2.2.4 Imperiali quota

The Imperiali quota is obtained by  $\frac{v}{(s+2)}$ . For Italian elections during the 1950s, the quota used was a reinforced version of the quota:  $\frac{v}{(s+3)}$ .

```
# The 1946 Italian Constituent Assembly election results: parties and unspoilt votes
```

```
Italy = data.frame(party=c("DC", "PSIUP", "PCI", "UDN", "UQ", "PRI",  
                           "BNL", "PdA", "MIS", "PCd'I", "CDR",  
                           "PSd'Az", "MUI", "PCS", "PDL", "FDPR"),  
                  votes=c(8101004, 4758129, 4356686, 1560638, 1211956,  
                          1003007, 637328, 334748, 171201, 102393,  
                          97690, 78554, 71021, 51088, 40633, 21853))
```

```
with(Italy, largestRemainders(parties=party,  
                              votes=votes, seats = 556,  
                              method = "imperiali.q") )
```

## 2.3 Suitable output for recycling within RMarkdown documents

The output produced by `highestAveragesof()` and `largestRemainders()` functions is of class `data.frame`. Which R users are already familiar with, and that makes the usage with other applications very straightforward too. For instance, one may want to produce publishable-quality table of the output with the **knitr** package or graphs with **ggplot2**.

Let's take the data from 2014 Brazilian legislative elections, specifically from one electoral district—the state of Ceará to compare how that caucus would look like among different apportionment methods.

```
# Results for the state legislative house of Ceará (2014):  
Ceara <- c("PCdoB"=187906, "PDT"=326841, "PEN"=132531, "PMDB"=981096,  
           "PRB"=2043217, "PSB"=15061, "PSC"=103679, "PSTU"=109830,  
           "PTdoB"=213988, "PTC"=67145, "PTN"=278267)
```

Once the data is loaded, to produce a table with seat assignment results follows effortless, for example with `kable()`, `pandoc.table()`, `xtable()`, etc.

```
mytable = highestAverages(parties=names(Ceara),  
                          votes=Ceara,  
                          seats = 42, method = "dh")
```

```
Method: d'Hondt  
Divisors: 1 2 3 4 ...  
ENP: 3.65 (After): 3.14  
Gallagher Index: 47.01
```

```
library(knitr)
```

```
kable(mytable, align=c("l","c","c"), caption="Outcome under d'Hondt")
```



Table 1: Outcome under d'Hondt

Party	Seats	%Seats
PRB	21	0.500
PMDB	10	0.238
PDT	3	0.071
PTN	2	0.048
PTdoB	2	0.048
PCdoB	1	0.024
PEN	1	0.024
PSC	1	0.024
PSTU	1	0.024
PSB	0	0.000
PTC	0	0.000

Next, we produce a graph comparing three highest-averages formulas we have just computed.

```
out1 = highestAverages(parties=names(Ceara), votes=Ceara,
                        seats = 42, method = "dh")
```

```
## Method: d'Hondt
## Divisors: 1 2 3 4 ...
## ENP: 3.65 (After): 3.14
## Gallagher Index: 47.01
##
```

```
out2 = highestAverages(parties=names(Ceara), votes=Ceara,
                        seats = 42, method = "imperiali")
```

```
## Method: Imperiali
## Divisors: 1 1.5 2 2.5 ...
## ENP: 3.65 (After): 2.48
## Gallagher Index: 52.15
##
```

```
out3 = highestAverages(parties=names(Ceara), votes=Ceara,
                        seats = 42, method = "sl")
```

```
## Method: Sainte-Laguë
## Divisors: 1 3 5 7 ...
## ENP: 3.65 (After): 3.74
## Gallagher Index: 43.99
##
```

```
# add the method:
out1$Method = "d'Hondt"
out2$Method = "imperiali"
out3$Method = "Saint-Laguë"
```

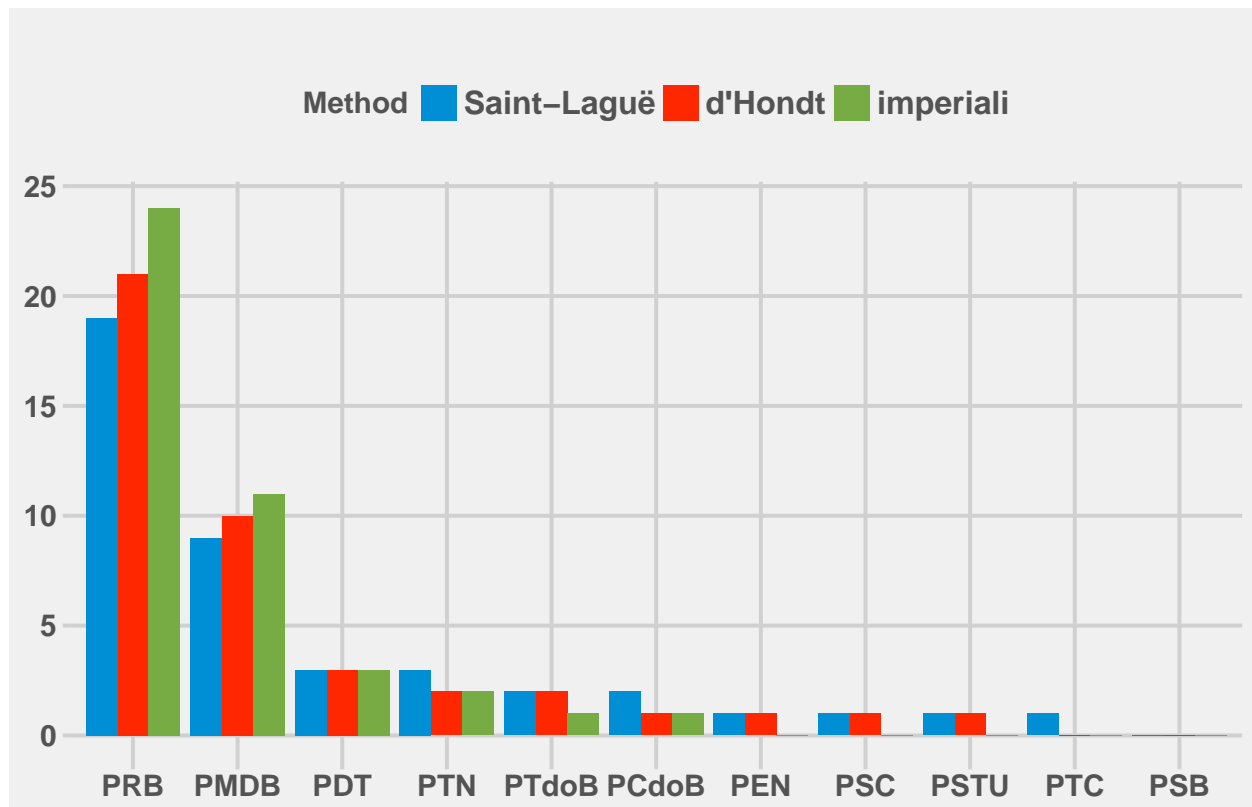


Figure 1: 2014 Legislative Election in Ceará (M=42)

```
data <- rbind(out1, out2, out3)
```

```
p = ggplot(data=data, aes(x=reorder(Party, -Seats), y=Seats, fill=Method)) +
  geom_bar(stat="identity", position=position_dodge()) +
  labs(x="", y="Seats")
p + scale_fill_fte() +
  theme_fte(legend = "top")
```

*#2014 Federal elections, 30 seats to be returned in the state of Parana, Brazil.*

```
PR=c("PSDB/DEM/PR/PSC/PTdoB/PP/SD/PSD/PPS"=2601709,
     "PT/PDT/PRB/PTN/PCdoB"=1109905,
     "PSDC/PEN/PTB/PHS/PMN/PROS"=501148,
     "PV/PPL"=280767)
```

*2014 Federal elections, 70 seats to be returned in the state of Sao Paulo, Brazil.*

```
SP=c("PSDB/DEM/PPS"=5537630, "PT/PCdoB"=3170003,
     "PMDB/PROS/PP/PSD"=2384740, "PSOL/PSTU"=462992,
     "PSL/PTN/PMN/PTC/PTdoB"=350186, "PHS/PRP"=252205)
```

### 3 Indices of Political Diversity

```
# The 2004 presidential election in the US (vote share):
```

```
US2004 <- c("Democratic"=0.481, "Republican"=0.509,  
            "Independent"=0.0038, "Libertarian"=0.0032,  
            "Constitution"=0.0012, "Green"=0.00096,  
            "Others"=0.00084)
```

```
print(US2004)
```

Democratic	Republican	Independent	Libertarian	Constitution
0.48100	0.50900	0.00380	0.00320	0.00120
Green	Others			
0.00096	0.00084			

```
politicalDiversity(US2004); # ENEP (laakso/taagepera) method
```

```
[1] 2.039
```

```
politicalDiversity(US2004, index= "golosov");
```

```
[1] 2.1
```

```
politicalDiversity(US2004, index= "herfindahl");
```

```
[1] 0.51
```

Considers the following `data.frame` with electoral results for the 1999 election in Helsinki, the seats were allocated using both Saint-Laguë and D'Hondt methods so we can compare the effect of the allocation formulas on the effective number of parties.

```
# Helsinki's 1999
```

```
Helsinki <- data.frame(  
  votes = c(68885, 18343, 86448, 21982, 51587,  
            27227, 8482, 7250, 365, 2734, 1925,  
            475, 1693, 693, 308, 980, 560, 590, 185),  
  seats.SL=c(5, 1, 6, 1, 4, 2, 1, 0, 0, 0, 0, 0, 0, 0,  
            0, 0, 0, 0, 0),  
  seats.dH=c(5, 1, 7, 1, 4, 2, 0, 0, 0, 0, 0, 0, 0, 0,  
            0, 0, 0, 0, 0))
```

```
# politicalDiversity(Helsinki$votes); #ENEP Votes
```

```
politicalDiversity(Helsinki$seats.SL); #ENP for Saint-Lague
```

```
[1] 4.762
```

```
politicalDiversity(Helsinki$seats.dH); #ENP for D'Hondt
```

```
[1] 4.167
```

## 4 Measures of Proportionality

Let's consider the following two real-data examples taken from the Wikipedia. These two elections refer to subnational elections, one from Queensland (AUS) and the other from Quebec (CAN).

```
# 2012 Queensland state election:
Queensland <- data.frame(party = c("LNP", "ALP", "Katter", "Greens", "Ind", "Others"),
  votes = c(1214553, 652092, 282098, 184147, 77282, 35794),
  pvotes = c(49.65, 26.66, 11.5, 7.53, 3.16, 1.47),
  seats = c(78, 7, 2, 0, 2, 0),
  pseats = c(87.64, 7.87, 2.25, 0.00, 2.25, 0.00))
```

```
# 2012 Quebec provincial election:
Quebec <- data.frame(party = c("PQ", "Lib", "CAQ", "QS", "Option", "Other"),
  pvotes = c(31.95, 31.20, 27.05, 6.03, 1.89, 1.88),
  pseats = c(54, 50, 19, 2, 0, 0))
```

### 4.1 The Gallagher Index

```
with(Queensland, gallagher(pvotes, pseats))
```

```
[1] 31.16
```

```
with(Quebec, gallagher(pvotes, pseats))
```

```
[1] 21.54
```

### 4.2 The Lijphart's Index of Proportionality

```
with(Queensland, lijphart(pvotes, pseats))
```

```
[1] 37.99
```

```
with(Quebec, lijphart(pvotes, pseats))
```

```
[1] 22.05
```

### 4.3 The Grofman Index

```
with(Queensland, grofman(pvotes, pseats))
```

```
[1] 29.43
```

```
with(Quebec, grofman(pvotes, pseats))
```

```
[1] 10.49
```

#### 4.4 Farina Index

```
with(Queensland, farina(pvotes, pseats))
```

```
[1] 0.4559
```

```
with(Quebec, farina(pvotes, pseats))
```

```
[1] 0.3094
```

#### 4.5 The Cox-Shugart measure of proportionality

```
with(Queensland, cox.shugart(pvotes, pseats))
```

```
[1] 1.711
```

```
with(Quebec, cox.shugart(pvotes, pseats))
```

```
[1] 1.567
```

#### 4.6 The inverse Cox-Shugart measure of proportionality

```
with(Queensland, inv.cox.shugart(pvotes, pseats))
```

```
[1] 0.4811
```

```
with(Quebec, inv.cox.shugart(pvotes, pseats))
```

```
[1] 0.5439
```

### 5 Measures of Inequality and Concentration

I will write soon. . .

## 6 References

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