

# **ASAP-UCT:TO DO**

Bachelor Thesis

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# **Abstract**

A planner tries to produce a set of actions that leads to a desired goal given the available range of actions and an initial state. A traditional approach for an algorithm is to use abstraction. In this thesis we implement the algorithm described in the ASAP-UCT paper: Abstraction of State-Action Pairs in UCT by Ankit Anand, Aditya Grover, Mausam and Parag Singla [1].

The algorithm combines state and state-action abstraction with a UCT-algorithm. We come to the conclusion that the algorithm needs to be improved because the abstraction of action-state often cannot detect a similarity that an unreasonable action abstraction could find.

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# Introduction

The idea of robots in everyday life has been a dream for quite some time in our society. Be it autonomous cars or just the robotic vacuum cleaner. However, not only the hardware is problematic, but also the intelligence behind these robots. A robot vacuum cleaner, for example, which only cleans a room that is already clean, is just as effective as one which permanently switches rooms and never cleans a room completely. Thus it needs to learn which actions are good in its current state. Furthermore, our environment is dynamic and the robot has not the power to determine the outcomes without doubt or has not all the information. For this kind of problem planner exists. A planner tries to produce a set of actions that leads to a desired goal given the available range of actions and an initial state.

An example for a planning under uncertainty problem is the academic advising domain. Let us assume we have a student who wants to graduate. In order to accomplished this, he needs to pass several courses. These courses can have other courses as a precondition or overlap in content. Furthermore the student can only take one course at a given time. Therefore, the student has several paths to reach his goal and the question now is, how to determine an optimal solution. The problem for our student is to manage the cost respectively the reward and also the probability to pass the courses. It would be very profitable for the student to write his state examination in his first semester but his probability to pass would be nearly zero. Hence the student needs to accumulate first costs, before he can get to the point where he gets his reward (his graduation).

For a planner this task is difficult to simulate because there is no immediate reward to gain from taking a course Therefore it is harder to know if the current path is promising. If we calculate all the possible combination, we get n! + 2 states for  $1 < n \in \mathbb{N}$ . Thus it is not advisable to use a brute force algorithm.

A traditional approach for an algorithm is to use abstraction [2]. The theory behind abstraction is that we calculate equivalence classes, so that each class in an equivalence class has the same value and thus reduces the state space.

Another approach are Dynamic Programming algorithms [3], where we break our problem

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in subproblems and save the intermediate results. On one hand those algorithm have the advantage of leading to optimal solution. On the other hand they require a lot of memory. An alternative approach is to use a Monte-Carlo-Tree Search(MCTS)[4] algorithm where we have only a part of the tree and try to find promising action and expand the tree in this direction. They have the advantage that they can be stopped anytime and can give a promising action back. A well-known algorithm is for example the UCT algorithm [5].

In this paper, we combine a UCT-algorithm with abstraction of states. Furthermore we also compute the equivalence classes of state-action pairs similar to the paper [1]. First of all we define the theoretical background needed like the Markov decision process [7], the search tree and UCT. We then implement ASAP-UCT (Abstraction-State-Action-Pair) within the UCT-based MDP solver PROST [6] as another approach for an algorithm. Afterwards we compare our result with the-algorithm [5] and with the original PROST. We conclude the paper with a short summary.

A problem like the academic problem example can be modeled by a Markov decision process (MDP)[7]. The useful property of a MDP is that a new state s' is only dependent of its previous state s and an action a, it is independent of the predecessor of s. In addition we require that MDPs are dead-end free.

**Definition 1.** A Markov decision process (MDP) is defined as a 6-tuple  $\langle S, A, T, R, s_0, H \rangle$  where:

- S is a finite set of states
- A is a finite set of actions
- $T: S \times A \times S \rightarrow [0,1]$  is a probability distribution that gives the probability T(s,a,s') that applying action a in s leads to s'
- $R: S \times A \rightarrow \mathbb{R}$  is the reward function
- $s_0 \in S$  is the initial state
- $H \in \mathbb{N}$  is the finite horizon

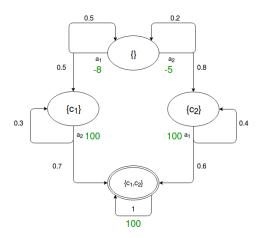


Figure 2.1: MDP for an academic problem with two courses. Black labels show the transition probability and the reward labels are indicated in green;  $a_i$  is the action to take course  $c_i$ 

The constructed graph is a sufficient model to illustrate the problem and to find a solution, but since most algorithms work better with a tree, we flatten the graph and break cycles if necessary.

**Definition 2.** Given an MDP  $M = \langle S, A, T, R, s_0, H \rangle$  a decision node is a 2-tuple  $\langle s, h \rangle$  where

- $s \in S$  is a state
- $h \in [0, H]$  is the steps-to-go to reach a leaf

and a chance node is a 3-Tuple  $\langle s, a, h \rangle$  where

- $s \in S$  is a state
- $a \in A$  is an action
- $h \in [1, H]$  is the steps-to-go to reach a leaf

The tree of M is a 7-tuple  $T(M) = \langle D, C, E_c, E_d, n_0, L_c, L_d \rangle$  where:

- D is a finite set of decision nodes
- C is a finite set of chance nodes
- $E_c \subseteq D \times C$  are the edges which connect a decision node with a chance node
- $E_d \subseteq C \times D$  are the edges which connect a chance node with decision node
- $n_0 \in D$  is the root node
- $L_c \subseteq C \times A$  is the action of the following chance node
- $L_d \in [0,1]$  is the probability to get to the following decision node

 $T(M) = \langle D, C, E_c, E_d, n_0, L_c, L_d \rangle$  is recursively defined by the following rules:

- the root node is  $n_0 = \langle s_0, H \rangle$  and  $n_0 \in D$
- For each decision node  $d = \langle s, h \rangle \in D$  and each action  $a \in A$ , there is a chance node  $c \in C$  with  $c = \langle s, a, h \rangle$ , an edge  $\langle d, c \rangle \in E$  and  $L_c(\langle d, c \rangle) = a$

• For each  $\langle s, a, h \rangle \in \mathcal{C}$  and  $s' \in S$  with  $\mathcal{T}(s,a,s') > 0$  if h > 0 then there is a  $d = \langle s', h-1 \rangle \in D$ 

The tree also has some invariant properties. The root node  $n_0 \in D$  is a decision node, also decision nodes and chance nodes alternate. Finally, all leaves of this tree are decision nodes.

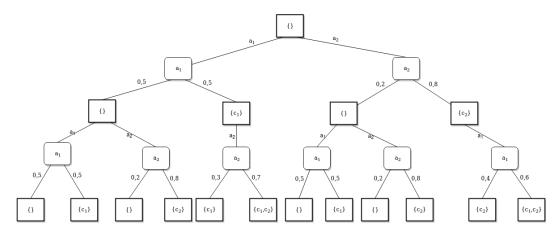


Figure 2.2: The corresponding tree to the MDP 2.1, chance nodes have rounded edges,  $a_i$  is the action to take course  $c_i$ , for easier understandability we remove the state notation in chance nodes and we omit redundant actions

With these definitions we can now represent our planning problem, but it would be time consuming and resource intensive to generate and evaluate the whole tree for each problem. Therefore we use a Monte-Carlo Tree Search algorithm like UCT, that constructs this tree iteratively as long as provided resources (time and memory) allow, to evaluate actions which are promising and expand the tree in these directions. In order to do so, we need to update our definition of decision and chance nodes. A decision node is now a 4-tuple  $\langle s, \hat{V}^t, N^t, h \rangle$  and a chance node a 5-tuple  $\langle s, a, \hat{Q}^t, N^t, h \rangle$ , where

- $\hat{V}^t \in \mathbb{R}$  is the state-value-estimate after t trials
- $\hat{Q}^t \in \mathbb{R}$  is the action-value-estimate after t trials
- $N^t \in \mathbb{N}$  is the number of visit of this node after t trials

We further add a trial dependency to our tree, so the tree is now  $T^t = \langle D^t, C^t, E_c^t, E_d^t, n_0, L_c^t, L_d^t \rangle$ , we also change the property of our tree so that leaves are chance nodes and not decision nodes anymore. With these changes we can use the UCT algorithm to construct our tree efficiently.

## Upper Confidence Bounds to Tree (UCT [5])

The idea behind a UCT algorithm [5] is to iteratively add nodes to a tree. This step-wise expansion happens in trials t. Now the question is how to get from our current tree  $T^t$  to the next one  $T^{t+1}$ . UCT achieves this transit in three phases: a *selection*, an *expansion* and a *backup* phase.

The selection phase consists of an action and an outcome selection. The action selection is used when we are at a decision node d, here we select the successor node

$$\mathbf{c} = \underset{\langle d, c \rangle \in E_c}{argmax}(Q^t(c) + V^t(d) \sqrt{\frac{N^t(d)}{N^t(c)}})$$

where we have two main components in the formula, either the action-value-estimate  $\hat{Q}^t$  dominates the function then we exploit the promising candidate, or else we explore a less well examined candidate.

For a chance node we use the outcome selection. Either its probability or a probability biased by solved siblings determines in which state we will end.

When the selection phase reaches a decision node which has not previous been visited and therefore has no children the expansion phase begins. We now expand all the children of the node and then initialize the reward with a heuristic function. After the expansion phase, we have two options. Either we continue the selection phase or we initialize the backup phase. In the backup phase the tree gets updated. Beginning in the previous expanded node we backtrack our path to the root node. On the way up we update the action-value-estimate  $\hat{Q}^t$  for chance nodes and the state-value-estimate  $\hat{V}^t$  for decision nodes. After we reach the root node we have  $T^{t+1}$ .

With this framework we can introduce ASAP (Abstraction of State-Action Pairs) in a UCT environment.

# 3 ASAP-UCT

The basic idea of ASAP is to use abstraction within the partially generated search tree of UCT. In a previous algorithm [8] only state pairs were compared for abstraction, here we go one step further and also compare state-action pairs. To save the abstraction we use equivalence classes. In order to do so we introduce two equivalence relations  $\sim_d \subseteq D \times D$  and  $\sim_c \subseteq C \times C$ .

**Definition 3.** For two decision nodes  $d_1 = \langle s_1, \hat{V}_1^t, N_1^t, h_1 \rangle$  and  $d_2 = \langle s_2, \hat{V}_2^t, N_2^t, h_2 \rangle$ , we have  $d_1 \sim_d d_2$  if and only if  $h_1 = h_2$  and for all  $\langle d_1, c_1 \rangle \in E_c^t$  there is a  $\langle d_2, c_2 \rangle \in E_c^t$  with  $c_1 \sim_c c_2$  and vice versa.

**Definition 4.** For two chance nodes  $c_1 = \langle s_1, a_1, \hat{Q}_1^t, N_1^t, h_1 \rangle$  and  $c_2 = \langle s_2, a_1, \hat{Q}_1^t, N_1^t, h_2 \rangle$  the relation  $c_1 \sim_c c_2$  is true if and only if  $h_1 = h_2$  and for all  $\langle c_1, d_1 \rangle \in E_c^t$  there is a  $\langle c_2, d_2 \rangle \in E_d^t$  with  $d_1 \sim_d d_2$  where the transition probabilities are equal  $(L_{d_1} = L_{d_2})$  and vice versa.

We denote X as the set of equivalence classes under the relation  $\sim_d$  and Y as the set of equivalence classes under relation  $\sim_c$ , we name  $\bar{d} \in X$  the equivalence class for a decision node d and  $\bar{c} \in Y$  respectively for a chance node c.

We assign each equivalence class a Q-value-mean M, this is for  $\bar{d}$  the mean of all the state-value-estimates

$$M(\bar{d}) = \frac{1}{|\bar{d}|} \sum_{d \in \bar{d}} \hat{Q}(d) \tag{3.1}$$

and for  $\bar{c}$  the mean of all the action-value-estimates

$$M(\bar{c}) = \frac{1}{|\bar{c}|} \sum_{c \in \bar{c}} \hat{V}(c)$$
(3.2)

With the previously declared UCT we expand our tree for a given time-interval  $\tau$ . After  $\tau$  we calculate the equivalence classes. We recursively go from the lowest steps-to-go to the root node. The equivalence classes cannot be reused in general, therefore they have to be recomputed every interval.

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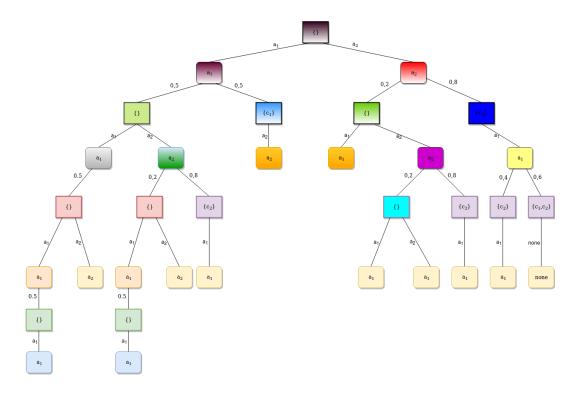


Figure 3.1: After calculating the equivalence classes, each color denotes a different equivalence class. Since we are in an incomplete tree, the sum of the probabilities does not need to be one

In Algorithm 1 we can see how ASAP-UCT could be implemented. Due to the structure of the search tree we alternate between abstraction of decision nodes (Algorithm 2) and abstraction of chance nodes (Algorithm 3).

### Algorithm 1 ASAP-UCT

```
\begin{array}{l} \textbf{function} \ \text{GenerateEquivalenceClasses} & (\text{SearchTree} \ T^t \ ) \\ \textbf{steps} = 0 \\ \textbf{while} \ \ \text{steps} \neq \textbf{H} \ \textbf{do} \\ \textbf{for all} \ \ \textbf{n} \in D \cup C \ \text{where} \ h = steps \ \textbf{do} \\ \textbf{if} \ \ \textbf{n} \in D \ \ \textbf{then} \\ \textbf{SetEQofDecisionNodes} \\ \textbf{else} \\ \textbf{SetEQofChanceNodes} \\ \textbf{end if} \\ \textbf{end for} \\ \textbf{CreateNewEquivalenceClass} & (\bar{d_1}) \\ \textbf{steps} + + \\ \textbf{end while} \\ \textbf{end function} \end{array}
```

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### Algorithm 2 Abstraction of decision nodes

```
function SetEQofDecisionNodes( d_1 = \langle s_1, \hat{V}_1^t, N_1^t, h_1 \rangle) for all \mathbf{d} = \langle s_2, \hat{V}_2^t, N_2^t, h_2 \rangle \in \mathbf{X} where h_1 = h_2 do if d_1 \sim_d d then \bar{d_1} = \bar{d} return end if end for CreateNewEquivalenceClass(\bar{d_1}) end function
```

### Algorithm 3 Abstraction of chance nodes

```
function SetEQofChancenNodes( c_1 = \langle s_1, a_1, \hat{Q}_1^t, N_1^t, h_1 \rangle)
for all \mathbf{c} = \langle s_2, a_2, \hat{V}_2^t, N_2^t, h_2 \rangle \in \mathbf{Y} where h_1 = h_2 do
if c_1 \sim_c c then
\bar{c_1} = \bar{c} \quad \mathbf{return}
end if
end for
CreateNewEquivalenceClass(\bar{c_1})
end function
```

After reaching the root node, each node of the expanded tree has an equivalence class. This ends the phase of generating the equivalence-classes and the UCT algorithm proceeds again to the selection phase. If the node is in an equivalence class, the Q-value mean M is used in the action selection and more importantly in the backup phase.

The only change in the action selection is, that we use the Q-value-mean instead of the action-value-estimate respectively the state-value-estimate. In the backup phase the Q-value-mean needs a recalculation as well.

When we are in the backup phase and without a equivalence class, we update only the value-estimate  $\hat{V}^t$  or the action-value-estimate  $\hat{Q}^t$  of the node. However, if the node is in a equivalence class we need to recalculate the Q-value-mean with equation (3.1) or equation (3.2) respectively, because the reward of the node and thus of the whole equivalence class has changed.

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# **Experiment Evaluation**

#### Environment

The ASAP was programmed in C++ and uses the UCT-based MDP solver PROST [6] with the improvements introduced in the THTS [9] as a framework. Since the 2014 version makes use of the Partial Bellman Backups [9] as a backup function, it is not trivial to implement our abstractions with these kind of backups. Therefore we use the PROST algorithm of 2011 which uses a Monte Carlo backup.

We use the computer cluster of the University Basel, which contains Intel Xeon E5-2660 CPUs running at 2.2 GHz.

#### Setting

The setting for the solver includes a short time limit (1/2 sec) to choose an action. This is interrupted by the generation of the abstraction every time interval  $\tau$ . Based on the expenditure of time we excluded the time for the abstraction. After half a second the server receives the action, estimates the new state and sends the new state back to the solver. This exchange happens 40 times and then we receive a reward. For accuracy we do this exchange 30 times and then take the average.

Our testing domains were the benchmarks of the International Planning Competition (IPC) 2011[10] and 2014[11]. They include 12 domains in total, like for example the academic advising domain.

### Configurations

We have made several configurations to see different and possible improvement. As  $\tau$  we use the update frequency (uf), for additional configuration we also have the trial length as a parameter. This changes how many decision nodes are expanded in the expansion phase. Note that these decision nodes have not the same steps-to-go, they are successors from each other. Additionally we deactivate the reasonable action of the PROST planner to see if there are any differences. The reasonable action is an abstraction by itself, where actions are excluded if there is an obviously better solution[6]. In a last step we compare

the differences between making the abstraction within PROST or with the UCT algorithm [5] in the PROST framework.

#### Parameter

For the representations of the parameter we use as format:

```
UCT_{trial-legth}^{updatefrequency} - reasonable\ action(on/off).
```

The difference between DP-UCT and UCT is how they expand the successor in the expansion phase and how they evaluate the state-value-estimate or the action-value-estimate respectively.

In the DP-UCT we expand H children in the expansion phase, in contrast to the UCT where we only expand one child. For the evaluation the UCT uses a random-walk and the DP-UCT utilizes a heuristic function.

#### Results

For reading purposes we split the table and compare them among each other, the entire table can be found in the appendix A. The delimited pair is the reference data, where we use the UCT or DP-UCT without our abstraction.

	wildfire	triangle	recon	elevators	tamarisk	sysadmin	academic	game	traffic	crossing	skill	navigation	Total
$DP - UCT_1^{2.0}$ -ua 1	0.9	0.94	0.98	0.89	0.91	0.9	0.5	0.95	0.98	0.81	0.91	0.31	0.83
$UCT_1^{2.0}$ -ua 1	0.24	0.52	0.3	0.22	0.34	0.05	0.03	0.27	0.2	0.29	0.52	0.19	0.26
$DP - UCT_1^{0.126}$ -ua 1	0.85	0.84	0.96	0.65	0.88	0.94	0.39	0.92	0.97	0.74	0.92	0.3	0.78
$DP - UCT_1^{0.251}$ -ua 1	0.81	0.83	0.98	0.58	0.9	0.87	0.39	0.95	0.98	0.81	0.91	0.34	0.78
$DP - UCT_1^{0.375}$ -ua 1	0.85	0.85	0.98	0.76	0.89	0.91	0.39	0.96	0.97	0.79	0.93	0.32	0.8
$UCT_1^{0.11}$ -ua 1	0.28	0.59	0.3	0.27	0.3	0.08	0.02	0.31	0.1	0.29	0.55	0.23	0.28
$UCT_1^{0.21}$ -ua 1	0.28	0.56	0.3	0.19	0.32	0.05	0.04	0.28	0.07	0.3	0.52	0.23	0.26
$UCT_1^{0.41}$ -ua 1	0.25	0.57	0.32	0.41	0.32	0.05	0.04	0.27	0.12	0.28	0.52	0.18	0.28

Table 4.1: Results with activated reasonable action and the trial length of one

We can see that the DP-UCT is significant better than the UCT algorithm in all the domains. In both cases the abstraction of ASAP has no real impact, therefore we increase the trial length, to try to increase the number of equivalence classes and to increase the impact of the abstraction.

	wildfire	triangle	recon	elevators	tamarisk	sysadmin	academic	game	traffic	crossing	skill	navigation	Total
$DP - UCT_H^{2.0}$ -ua 1	0.65	0.82	0.96	0.77	0.7	0.53	0.52	0.76	0.7	0.94	0.91	0.88	0.76
$UCT_H^{2.0}$ -ua 1	0.62	0.62	0.31	0.02	0.47	0.49	0.64	0.66	0.82	0.48	0.84	0.18	0.51
$DP - UCT_{H}^{0.126}$ -ua 1	0.77	0.86	0.94	0.72	0.68	0.57	0.49	0.73	0.74	0.92	0.92	0.88	0.77
$DP - UCT_{H}^{0.251}$ -ua 1	0.7	0.81	0.95	0.76	0.69	0.56	0.48	0.76	0.7	0.92	0.9	0.77	0.75
$DP - UCT_H^{0.375}$ -ua 1	0.66	0.83	0.96	0.78	0.69	0.57	0.5	0.78	0.71	0.91	0.91	0.8	0.76
$UCT_H^{0.11}$ -ua 1	0.64	0.63	0.32	0.02	0.49	0.47	0.65	0.6	0.81	0.43	0.85	0.17	0.51
$UCT_H^{0.21}$ -ua 1	0.62	0.62	0.31	0.03	0.45	0.49	0.64	0.63	0.8	0.46	0.87	0.15	0.51
$UCT_H^{0.41}$ -ua 1	0.62	0.61	0.32	0.02	0.45	0.46	0.64	0.64	0.81	0.43	0.87	0.18	0.5

Table 4.2: Results with activated reasonable action and the trial length of H

For the UCT we increase the domain scores with the higher trial length drastically. In the DP-UCT we do not see such an improvement. Once again the abstraction has no real impact on the outcome.

Hence we deactivate the reasonable actions to see if the additional abstractions have changed the results and reset the trial length to 1.

	wildfire	triangle	recon	elevators	tamarisk	sysadmin	academic	game	traffic	crossing	skill	navigation	Total
$DP - UCT_1^{2.0}$ -ua 0	0.81	0.53	0.98	0.89	0.91	0.94	0.39	0.95	0.92	0.57	0.8	0.29	0.75
$UCT_1^{2.0}$ -ua $0$	0.13	0.28	0.0	0.17	0.36	0.05	0.07	0.3	0.53	0.2	0.64	0.1	0.23
$DP - UCT_1^{0.126}$ -ua 0	0.81	0.52	0.94	0.49	0.91	0.91	0.39	0.92	0.9	0.46	0.76	0.18	0.68
$DP - UCT_1^{0.251}$ -ua 0	0.81	0.47	0.97	0.72	0.91	0.88	0.39	0.93	0.91	0.6	0.85	0.18	0.72
$DP - UCT_1^{0.375}$ -ua 0	0.81	0.51	0.97	0.8	0.88	0.9	0.49	0.96	0.91	0.59	0.74	0.26	0.73
$UCT_1^{0.11}$ -ua 0	0.13	0.32	0.0	0.07	0.36	0.08	0.08	0.29	0.21	0.19	0.63	0.12	0.21
$UCT_1^{0.21}$ -ua 0	0.08	0.31	0.0	0.09	0.34	0.07	0.08	0.25	0.2	0.19	0.64	0.12	0.2
$UCT_1^{0.41}$ -ua 0	0.11	0.3	0.0	0.17	0.34	0.05	0.09	0.3	0.45	0.2	0.65	0.09	0.23

Table 4.3: Results with deactivated reasonable action and the trial length of one

Without the reasonable actions the UCT scores worse, except for the traffic and the skill domain. Overall we can see that without the reasonable action the DP-UCT decrease its effectiveness. Our abstraction has here only minor effects and does not appear to be efficient.

Finally our last data without the reasonable action and with a increased trial length:

	wildfire	triangle	recon	elevators	tamarisk	sysadmin	academic	game	traffic	crossing	skill	navigation	Total
$DP - UCT_H^{2.0}$ -ua 0	0.62	0.6	0.91	0.75	0.77	0.53	0.58	0.69	0.71	0.86	0.88	0.72	0.72
$UCT_H^{2.0}$ -ua 0	0.59	0.28	0.07	0.02	0.63	0.51	0.38	0.6	0.89	0.39	0.84	0.12	0.44
$DP - UCT_H^{0.126}$ -ua 0	0.7	0.6	0.9	0.58	0.77	0.55	0.5	0.69	0.75	0.89	0.89	0.68	0.71
$DP - UCT_H^{0.251}$ -ua 0	0.64	0.6	0.91	0.61	0.77	0.51	0.47	0.68	0.73	0.89	0.91	0.66	0.7
$DP - UCT_H^{0.375}$ -ua 0	0.59	0.6	0.93	0.67	0.74	0.56	0.38	0.71	0.74	0.92	0.91	0.63	0.7
$UCT_H^{0.11}$ -ua 0	0.59	0.27	0.15	0.02	0.58	0.47	0.38	0.64	0.85	0.39	0.85	0.11	0.44
$UCT_H^{0.21}$ -ua 0	0.65	0.31	0.12	0.01	0.63	0.43	0.39	0.61	0.85	0.37	0.85	0.13	0.44
$UCT_H^{0.41}$ -ua 0	0.61	0.28	0.1	0.02	0.64	0.48	0.42	0.64	0.88	0.41	0.85	0.12	0.45

Table 4.4: Results with deactivated reasonable action and the trial length of H

As seen before we have an increased output in UCT and a stable output in DP-UCT if we increase the trial length, thus the deactivation of the reasonable action has only a reduces impact. The abstraction appears to be as effective as before, but we have a small improvement in the recon domain.

Overall the abstraction could not improve our results. A major problem in the theory of the ASAP is the abstraction of action-states. For this we look at the elevator domain, where we have multiple floors and an elevator. The elevator can be open, closed, moving up or moving down. On each floor there can be passengers which are waiting for the elevator.

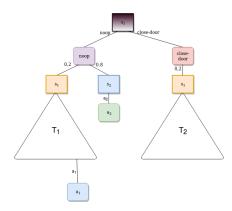


Figure 4.1: Elevator example, we create the special case where *close-door* is a redundant action, because in  $s_1$  the door is already closed.

At some point we would like to have the chance node noop and the close-door in the same equivalence class which is only the case when the successors are also all in the same equivalence classes. If we have a child which has only a relatively small property to be expanded in the  $T_1$  sub-tree, it is even more unlikely that the same node on the same level gets expanded in  $T_2$  and in other sub-trees. This inconsistency stretches through the tree, it is proportional to the size of the tree and will occur more often in the lower part of the tree. For the upper part it is not directly a problem, since it is expanded early. However it is indirectly a problem, because it uses the equivalence classes.

An additional possible problem for the ASAP are the equivalence classes of leaves. Here we can easily suppress a promising candidate. The opposite can happen as well, meaning that we boost an unpromising one.

# 5 Conclusion

In this paper we implement the ASAP-UCT, an algorithm which combines abstraction and a UCT-framework. The idea of the ASAP is to use state and state-action abstraction to make use of the advantages of the UCT-algorithm, like that they can be stopped anytime and the advantage of the abstraction is to reduce the complexity.

In Chapter 4 we evaluate our results, we tested the ASAP within the UCT-based MDP solver PROST and with the UCT-algorithm. We use different trial lengths and also observe the difference between the usage of reasonable action or without. The analysis of the various domains of the IPC leads to te result that the abstraction of state-action within a UCT is not a real improvement to a basic UCT.

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IPPC Scores: Total

	wildfire	triangle	recon	elevators	tamarisk	sysadmin	academic	game	traffic	crossing	skill	navigation	Total
$DP - UCT_1^{2.0}$ -ua 0	0.81	0.53	0.98	0.89	0.91	0.94	0.39	0.95	0.92	0.57	0.8	0.29	0.75
$DP - UCT_1^{2.0}$ -ua 1	0.9	0.94	0.98	0.89	0.91	0.9	0.5	0.95	0.98	0.81	0.91	0.31	0.83
$DP - UCT_H^{2.0}$ -ua 0	0.62	0.6	0.91	0.75	0.77	0.53	0.58	0.69	0.71	0.86	0.88	0.72	0.72
$DP - UCT_H^{2.0}$ -ua 1	0.65	0.82	0.96	0.77	0.7	0.53	0.52	0.76	0.7	0.94	0.91	0.88	0.76
$UCT_1^{2.0}$ -ua $0$	0.13	0.28	0.0	0.17	0.36	0.05	0.07	0.3	0.53	0.2	0.64	0.1	0.23
$UCT_1^{2.0}$ -ua 1	0.24	0.52	0.3	0.22	0.34	0.05	0.03	0.27	0.2	0.29	0.52	0.19	0.26
$UCT_H^{2.0}$ -ua 0	0.59	0.28	0.07	0.02	0.63	0.51	0.38	0.6	0.89	0.39	0.84	0.12	0.44
$UCT_H^{2.0}$ -ua 1	0.62	0.62	0.31	0.02	0.47	0.49	0.64	0.66	0.82	0.48	0.84	0.18	0.51
min	0.0	0.2	0.0	0.0	0.0	0.0	0.3	0.0	0.0	0.0	0.0	0.0	0.04
$DP - UCT_1^{0.126}$ -ua 0	0.81	0.52	0.94	0.49	0.91	0.91	0.39	0.92	0.9	0.46	0.76	0.18	0.68
$DP - UCT_1^{0.126}$ -ua 1	0.85	0.84	0.96	0.65	0.88	0.94	0.39	0.92	0.97	0.74	0.92	0.3	0.78
$DP - UCT_1^{0.251}$ -ua 0	0.81	0.47	0.97	0.72	0.91	0.88	0.39	0.93	0.91	0.6	0.85	0.18	0.72
$DP - UCT_1^{0.251}$ -ua 1	0.81	0.83	0.98	0.58	0.9	0.87	0.39	0.95	0.98	0.81	0.91	0.34	0.78
$DP - UCT_1^{0.375}$ -ua 0	0.81	0.51	0.97	0.8	0.88	0.9	0.49	0.96	0.91	0.59	0.74	0.26	0.73
$DP - UCT_1^{0.375}$ -ua 1	0.85	0.85	0.98	0.76	0.89	0.91	0.39	0.96	0.97	0.79	0.93	0.32	0.8
$DP - UCT_H^{0.126} - ua1$	0.77	0.86	0.94	0.72	0.68	0.57	0.49	0.73	0.74	0.92	0.92	0.88	0.77
$DP - UCT_H^{0.126}$ -ua 0	0.7	0.6	0.9	0.58	0.77	0.55	0.5	0.69	0.75	0.89	0.89	0.68	0.71
$DP - UCT_H^{0.251}$ -ua 0	0.64	0.6	0.91	0.61	0.77	0.51	0.47	0.68	0.73	0.89	0.91	0.66	0.7
$DP - UCT_H^{0.251}$ -ua 1	0.7	0.81	0.95	0.76	0.69	0.56	0.48	0.76	0.7	0.92	0.9	0.77	0.75
$DP - UCT_H^{0.375}$ -ua 0	0.59	0.6	0.93	0.67	0.74	0.56	0.38	0.71	0.74	0.92	0.91	0.63	0.7
$DP - UCT_H^{0.375}$ -ua 1	0.66	0.83	0.96	0.78	0.69	0.57	0.5	0.78	0.71	0.91	0.91	0.8	0.76
$UCT_1^{0.11}$ -ua $0$	0.13	0.32	0.0	0.07	0.36	0.08	0.08	0.29	0.21	0.19	0.63	0.12	0.21
$UCT_1^{0.11}$ -ua 1	0.28	0.59	0.3	0.27	0.3	0.08	0.02	0.31	0.1	0.29	0.55	0.23	0.28
$UCT_1^{0.21}$ -ua $0$	0.08	0.31	0.0	0.09	0.34	0.07	0.08	0.25	0.2	0.19	0.64	0.12	0.2
$UCT_1^{0.21}$ -ua 1	0.28	0.56	0.3	0.19	0.32	0.05	0.04	0.28	0.07	0.3	0.52	0.23	0.26
$UCT_1^{0.41}$ -ua $0$	0.11	0.3	0.0	0.17	0.34	0.05	0.09	0.3	0.45	0.2	0.65	0.09	0.23
$UCT_1^{0.41}$ -ua 1	0.25	0.57	0.32	0.41	0.32	0.05	0.04	0.27	0.12	0.28	0.52	0.18	0.28
$UCT_H^{0.11}$ -ua 0	0.59	0.27	0.15	0.02	0.58	0.47	0.38	0.64	0.85	0.39	0.85	0.11	0.44
$UCT_H^{0.11}$ -ua 1	0.64	0.63	0.32	0.02	0.49	0.47	0.65	0.6	0.81	0.43	0.85	0.17	0.51
$UCT_H^{0.21}$ -ua 0	0.65	0.31	0.12	0.01	0.63	0.43	0.39	0.61	0.85	0.37	0.85	0.13	0.44
$UCT_H^{0.21}$ -ua 1	0.62	0.62	0.31	0.03	0.45	0.49	0.64	0.63	0.8	0.46	0.87	0.15	0.51
$UCT_H^{0.41}$ -ua 0	0.61	0.28	0.1	0.02	0.64	0.48	0.42	0.64	0.88	0.41	0.85	0.12	0.45
$UCT_H^{0.41}$ -ua 1	0.62	0.61	0.32	0.02	0.45	0.46	0.64	0.64	0.81	0.43	0.87	0.18	0.5

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ASAP-UCT:TO DO

Type of work — Typ der Arbeit

Bachelor Thesis

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I hereby declare that this submission is my own work and that I have fully acknowledged the assistance received in completing this work and that it contains no material that has not been formally acknowledged. I have mentioned all source materials used and have cited these in accordance with recognised scientific rules.

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