## 1. The-Axiom-of-Completeness

- 1.1. Initial Definition for R
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- 1.3. Upper and Lower Bounds
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- References:
  - Abbott, S., Understanding Analysis

## **Main Content**

### Main Idea

R is an ordered field and contains Q as a subfield.

### Explanation

R is a field, meaning that addition and multiplication of real numbers are commutative, associative, and the distributive property holds. R also has an order, meaning the following two properties hold:

1. If  $x \in R$  and  $y \in R$ , then one and only one of the statements

$$x < y,$$
  $x = y,$   $y < x$ 

is true.

2. If  $x, y, z \in R$ , if x < y and y < z, then x < z.

Finally, R is a set containing Q. The operations of addition and multiplication on Q extend to all of R in such a way that every element of R has an additive inverse and every nonzero element of R has a multiplicative inverse.

# Review

1. Define the set of real numbers.

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  - Abbott, S., Understanding Analysis

# Main Content

### Main Idea

Every nonempty set of real numbers that is bounded above has a least upper bound.

## Explanation

# Review

1. Define the Axiom of Completeness.

# Links to Other Notes

• Initial Definition for R

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  - Abbott, S., Understanding Analysis

## **Main Content**

### Main Idea

A set  $A \subset R$  is bounded above if there exists a number  $b \in R$  such that  $a \leq b$  for all  $a \in A$ . The number b is called an upper bound for A. Likewise, the set A is bounded below if there exists a lower bound  $l \in R$  such that  $l \leq a$  for every  $a \in A$ .

## Explanation

# Review

1. Define upper and lower bounds.

# Links to Other Notes

- Initial Definition for R
- Axiom of Completeness

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- References:
  - Abbott, S., Understanding Analysis

## **Main Content**

### Main Idea

A real number s is the least upper bound for a set  $A \subset R$  if it meets the following two criteria:

- 1. s is an upper bound for A;
- 2. if b is any upper bound for A, then  $s \leq b$ .

### Explanation

The least upper bound is frequently called the supremum of the set A, denoted  $s = \sup A$ .

# Review

- 1. Define the supremum of a set.
- 2. Define the infimum, or the greatest lower bound, of a set.
- 3. Are least upper bounds unique? Explain.
- 4. Let

$$A = \{\frac{1}{n} : n \in N\} = \{1, \frac{1}{2}, \frac{1}{3}, \dots\}.$$

What is  $\sup A$  and  $\inf A$ ?

- Initial Definition for R
- Axiom of Completeness
- Upper and Lower Bounds

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- References:
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## **Main Content**

### Main Idea

A real number  $a_0$  is a maximum of the set A if  $a_0$  is an element of A and  $a_0 \ge a$  for all  $a \in A$ .

### Explanation

The supremum can exist and not be a maximum, but when a maximum exists, then it is also the supremum.

## Review

- 1. Define maximum.
- 2. Define minimum.
- 3. Consider the open interval

$$(0,2) = \{x \in R : 0 < x < 2\},\$$

and the closed interval

$$[0,2] = \{x \in R : 0 \le x \le 2\}.$$

What are the maximums of the two sets? What are the supremums?

- Initial Definition for R
- Axiom of Completeness
- Upper and Lower Bounds
- Supremum and Infimum

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- References:
  - Abbott, S., Understanding Analysis

## **Main Content**

### Main Idea

The Axiom of Completeness is not a valid statement about Q.

### Explanation

Consider the set

$$S = \{ r \in Q : r^2 < 2 \}.$$

This set is certainly bounded above, however, when we search for the least upper bound, we can always find a smaller supremum. For example, we might try b = 2, b = 3/2, b = 142/100, b = 1415/1000, and so on.

# Review

- 1. Is the Axiom of Completeness a valid statement about Q? Explain.
- 2. Does the set

$$S = \{ r \in Q : r^2 < 2 \}$$

have a supremum under R?

- Initial Definition for R
- Axiom of Completeness
- Upper and Lower Bounds
- Supremum and Infimum
- Maximum and Minimum

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• References:

- Abbott, S., Understanding Analysis

## Main Content

### Main Idea

Let  $A \subset R$  be nonempty and bounded above, and let  $c \in R$ . Define the set c + A by

$$c + A = \{c + a : a \in A\}.$$

Then  $\sup(c+A) = c + \sup A$ .

### **Explanation**

Let  $s = \sup A$ . We see that  $a \leq s$  for all  $a \in A$ , which implies  $c + a \leq c + s$  for all  $a \in A$ . Thus c + s is an upper bound for c + A and condition (1) of Supremum and Infimum is verified. For (2), let b be an arbitrary upper bound for c+A, thus  $c+a \leq b$  for all  $a \in A$ . This is equivalent to  $a \leq b - c$  for all  $a \in A$ , from which we conclude that b - c is an upper bound for A. Because s is the least upper bound of A,  $s \leq b - c$ , which can be rewritten as c+s < b. This verifies part (2) of Supremum and Infimum, and we conclude  $\sup(c+A) = c + \sup A.$ 

# Review

1. Prove  $\sup(c+A) = c + \sup A$ .

- Initial Definition for R
- Axiom of Completeness
- Upper and Lower Bounds

- Supremum and Infimum
- Maximum and Minimum
- $\bullet\,$  Q and the Axiom of Completeness

 $\bullet$  TOC

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- References:
  - Abbott, S., Understanding Analysis

## **Main Content**

#### Main Idea

Assume  $s \in R$  is an upper bound for a set  $A \subset R$ . Then,  $s = \sup A$  if and only if, for every choice of  $\epsilon > 0$ , there exists and element  $a \in A$  satisfying  $s - \epsilon < a$ .

### Explanation

For the forward direction, assume  $s = \sup A$  and consider  $s - \epsilon$ , where  $\epsilon > 0$  has been arbitrarily chosen. Because  $s - \epsilon < s$ , part (2) of Supremum and Infimum implies that  $s - \epsilon$  is not an upper bound for A. If this is the case, then there must be some element  $a \in A$  for which  $s - \epsilon < a$ .

Conversely, assume s is an upper bound with the property that no matter how  $\epsilon > 0$  is chosen,  $s - \epsilon$  is no longer an upper bound for A. Notice that what this implies is that if b is any number less than s, then b is not an upper bound. To prove that  $s = \sup A$ , we must verify part (2) of Supremum and Infimum. Because we have just argued that any number smaller than s cannot be an upper bound, it follows that if b is some other upper bound for A, then s < b.

# Review

1. What is an alternative phrasing for part (2) in Supremum and Infimum? Explain.

- Initial Definition for R
- Axiom of Completeness
- Upper and Lower Bounds
- Supremum and Infimum

- Maximum and Minimum
- $\bullet\,$  Q and the Axiom of Completeness
- $\sup(c + A) = c + \sup A$

 $\bullet$  TOC

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- References:
  - Abbott, S., Understanding Analysis

# **Main Content**

### Questions

- 1. (a) Write a formal definition in the style of Supremum and Infimum for the infimum or greatest lower bound of a set.
  - (b) Now, state and prove a version of Alternative Phrasing for Supremum for greatest lower bounds.
- 2. Give an example of each of the following, or state that the request is impossible.
  - (a) A set B with inf  $B \ge \sup B$ .
  - (b) A finite set that contains its infimum but not its supremum.
  - (c) A bounded subset Q that contains its supremum but not its infimum.
- 3. (a) Let A be nonempty and bounded below, and define  $B = \{b \in R : b \text{ is a lower bound for } A\}$ . Show that sup  $B = \inf A$ .
  - (b) Use (a) to explain why there is no need to assert that greatest lower bounds exist as part of the Axiom of Completeness.
- 4. As in  $\sup(c + A) = c + \sup A$ , let  $A \subset R$  be nonempty and bounded above, and let  $c \in R$ . This time define the set  $cA = \{ca : a \in A\}$ .
  - (a) If  $c \ge 0$ , show that  $\sup(cA) = c \sup A$ .
  - (b) Postulate a similar type of statement for  $\sup(cA)$  for the case c < 0.

### **Solutions**

1. (a) A real number n is the greatest lower bound for a set  $A \subset R$  if it meets the following two criteria:

- 1. n is a lower bound for A;
- 2. if b is any lower bound for A, then b < n.
- (b) Assume  $n \in R$  is a lower bound for a set  $A \subset R$ . Then,  $n = \inf A$  if and only if, for every choice of  $\epsilon > 0$ , there exists an element  $a \in A$  satisfying  $a < n + \epsilon$ .

*Proof.* Assume  $n = \inf A$  and consider  $n + \epsilon$ , where  $\epsilon > 0$  has been chosen arbitrarily. Because  $n < n + \epsilon$ , the definition for infimum implies that  $n + \epsilon$  is not a lower bound for A. Thus, there must be some element  $a \in A$  such that  $a < n + \epsilon$ .

Conversely, assume there exists an element  $a \in A$  that satisfies  $a < n + \epsilon$ . In other words, for any number b that is greater than n, b is not a lower bound. Thus, according to the definition, n is the greatest lower bound for A.

- 2. (a) Consider  $B = \{0\}$ ; sup  $B = \inf B = 0$ , thus,  $\inf B \ge \sup B$ .
  - (b) Impossible, finite sets must have both a maximum and minimum, and thus, must contain their infimum and supremum.
  - (c) Consider  $B = \{b \in Q : 0 < b \le 1\}$ ; sup  $B = 1 \in B$  and inf  $B = 0 \notin B$ .
- 3. (a) Since every  $b \in B$  is a lower bound for A, we have  $b \leq a$  for all  $a \in A$ . In particular, inf A, being the greatest lower bound of A, satisfies  $b \leq \inf A$  for all  $b \in B$ . Thus, sup  $B \leq \inf A$ , since sup B is the least upper bound of B. Conversely, by definition of  $\inf A$ ,  $\inf A$  is a lower bound for A, so  $\inf A \in B$ . Since sup B is the least upper bound for B, it must satisfy sup  $B \geq \inf A$ . Therefore, sup  $B = \inf A$ .
  - (b) The existence of the infimum for a bounded below set A can always be derived from the Axiom of Completeness as follows:
    - Define B to be the set of all lower bounds of A.
    - The Axiom of Completeness guarantees that B has a supremum sup B.
    - By definition and part (a), sup  $B = \inf A$ .

Thus, the existence of greatest lower bounds (infima) is already implicit in the Axiom of Completeness, as every bounded below set can be "reduced" to a problem of finding the supremum of its set of lower bounds.

4. (a)

# Review

1.

# Links to Other Notes

- Initial Definition for R
- Axiom of Completeness
- Upper and Lower Bounds
- Supremum and Infimum
- Maximum and Minimum
- $\bullet\,$  Q and the Axiom of Completeness
- $\sup(c + A) = c + \sup A$
- Alternative Phrasing for Supremum

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