

# Algorithm Design and Analysis

**Computational Geometry (Incremental Algorithms)**

# Goals for today

- Apply **randomized incremental algorithms** to geometry
- Give randomized incremental algorithms for two key problems:
  - The **closest pair** problem
  - The **smallest enclosing circle** problem

# Model and assumptions

- Points are real-valued pairs  $(x, y)$
- Arithmetic on reals is  $O(1)$  again
- We can take the floor function of a real in  $O(1)$  time
- Hashing is  $O(1)$  time in expectation (see universal hashing)

# Closest Pair

# The closest pair problem

**Problem (closest pair):** Given  $n$  points  $P$ , define  $CP(P)$  to be the closest distance, i.e.

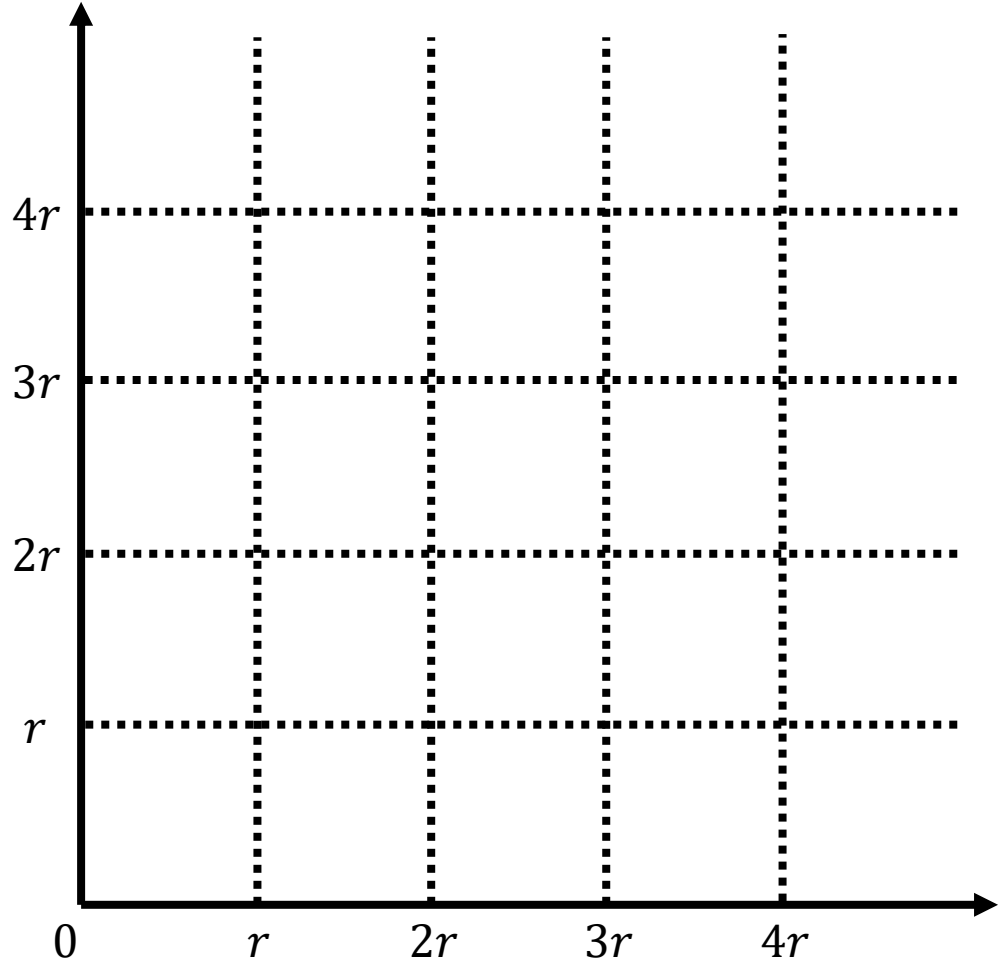
$$CP(P) = \min_{p,q \in P} \|p - q\|$$

Goal is to compute  $CP(P)$

**Brute force:**

# A grid data structure

Let's define a grid with size  $r$



# How does this help?

- If the grid size is sufficiently large, closest pair will be in same cell, or in neighboring cells
- If the grid size is too large, there will be too many points per cell...

**Goal:** Choose the right grid size.

- Want few points per cell, so that looking in a cell is fast
- Want the closest pair to be in neighboring cells so we find them fast

# The right grid size

***Claim (the right grid size):*** Given a grid with points  $P$  and grid size  $r = CP(P)$ , no cell contains more than four points

*Proof:*



# An incremental approach

*Key idea (incremental):* Add the points one at a time

- Check neighboring cells to see if there's a new closest pair
- If so, rebuild the grid with the new size
- Otherwise keep going

# A grid data structure

***Invariant (grid size):*** Given a grid containing a set of points  $P$ , we want the grid size  $r$  to always equal  $CP(P)$

- $\text{MakeGrid}(p, q)$ : Make a grid containing  $p$  and  $q$ , with  $r = \|p - q\|$
- $\text{Lookup}(G, p)$ : Given a grid  $G$  and point  $p$  (not currently in the grid), we want to know whether  $p$  is part of a new closest pair
- $\text{Insert}(G, p)$ : Given a grid  $G$  and point  $p$ , inserts  $p$  and returns the grid size (which may have changed because of  $p$ )

# Implementing the grid

*Issue:* The number of grid cells could be unbounded...

# Implementing the grid

*Implement  $\text{MakeGrid}(p, q)$ :*

# Implementing the grid

*Implement Lookup( $G, q$ ):*

# Implementing the grid

*Implement  $\text{Insert}(G, q)$ :*

# Runtime

***Claim (runtime):*** The worst-case runtime of the incremental grid algorithm is  $O(n^2)$

*Proof:*

***Randomization to the rescue!!!***

# Randomized runtime

***Claim (randomized incremental is fast):*** Randomly shuffle the points, then run the incremental algorithm, it takes  $O(n)$  time in expectation

*Proof:*



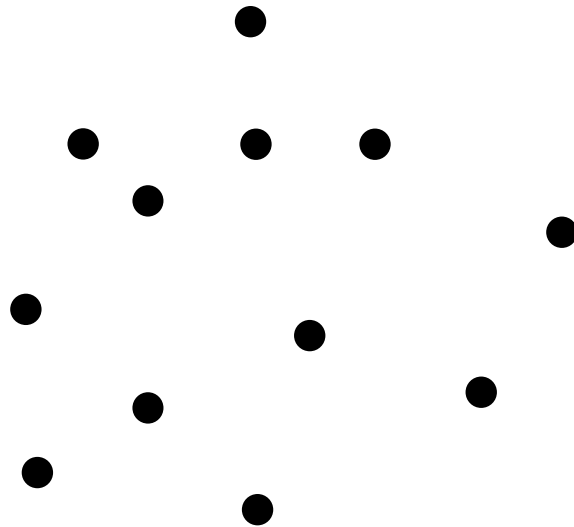
# Randomized runtime (continued)

*We need to bound  $\Pr[X_i = 1] \dots$  (i.e.,  $\Pr[CP(P_i) \neq CP(P_{i-1})]$ )*

# Smallest enclosing circle

# The smallest enclosing circle

***Problem (Smallest enclosing circle):*** Given  $n \geq 2$  points in two dimensions, find the smallest circle that contains all of them



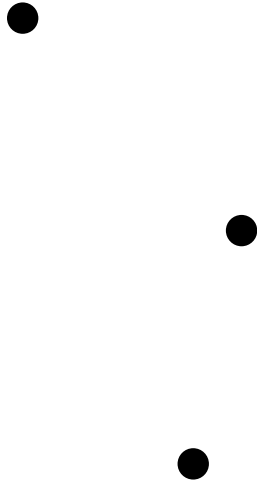
# Base cases

*Base case (two points):*

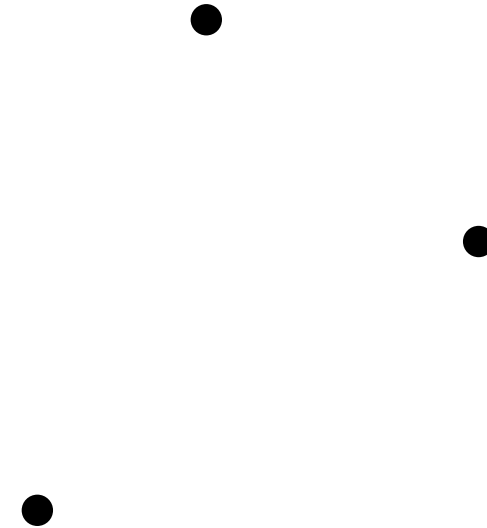


# Base cases

*Base case (three points):*



**Case 1: Obtuse angle**



**Case 2: Acute angle**

# Three points and a circle

***Fact (unique circle):*** Given three non-collinear points, there is a unique circle that goes through them

# The general case

Given  $n > 3$  points, how many circles do we need to consider?

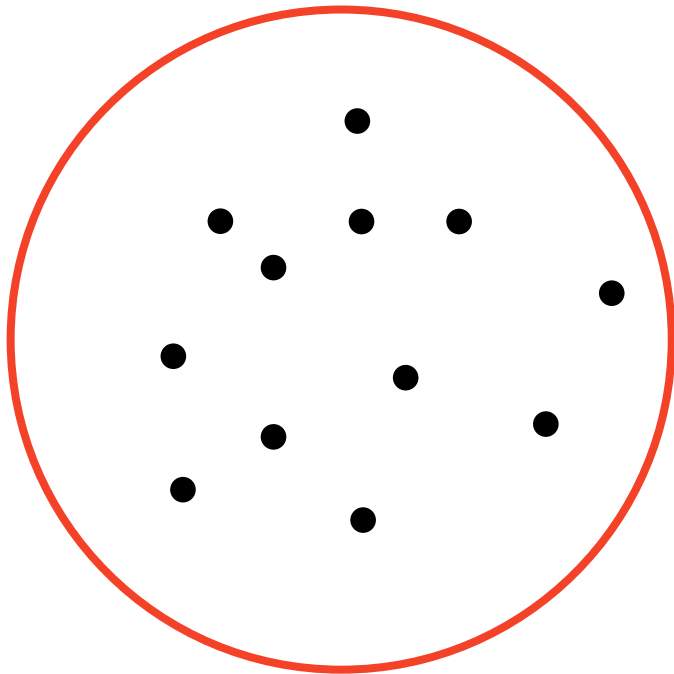
***Theorem (three points is always enough):*** For any set of points, the smallest enclosing circle either touches two points  $p_i, p_j$  at a diameter, or touches three points  $p_i, p_j, p_k$  forming an ***acute*** triangle

***In other words:*** For any set of points, there exists  $i, j, k$ , such that

$$SEC(p_1, \dots, p_n) = SEC(p_i, p_j, p_k)$$

# Proof of theorem

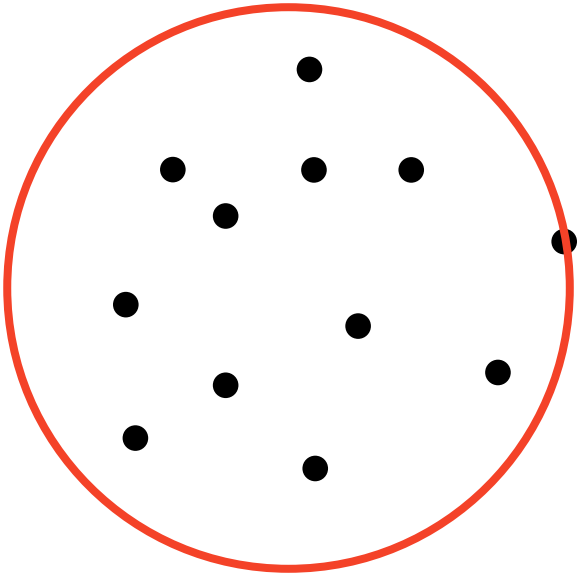
*Case 1 (no points):*





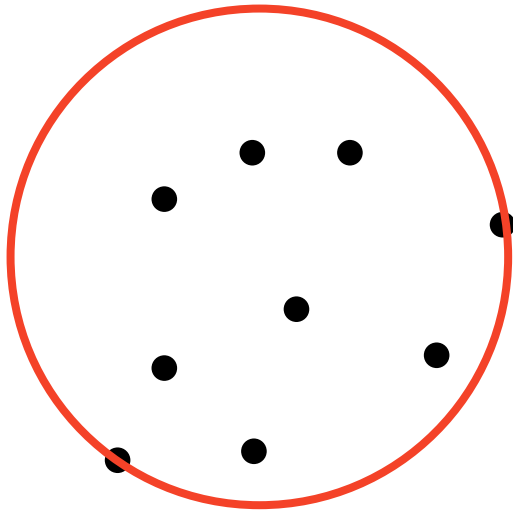
# Proof of theorem

*Case 2 (one point):*



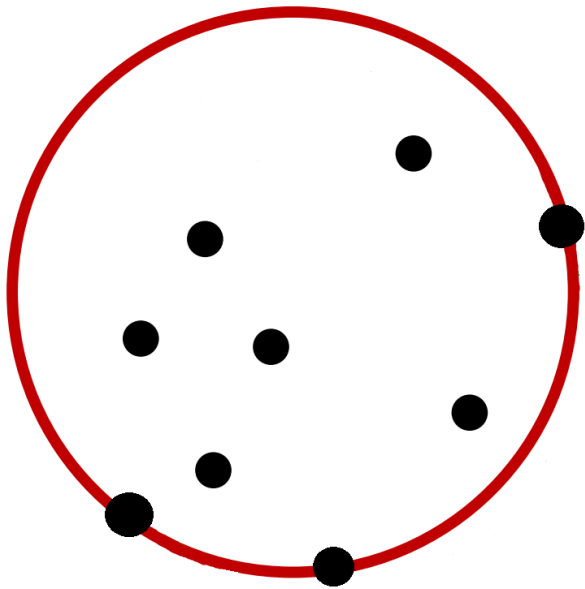
# Proof of theorem

*Case 3 (two points, not on a diameter):*



# Proof of theorem

*Case 4 (three points, no acute angle):*



# We just proved

**Theorem:** For any set of points, there exists  $i, j, k$ , such that

$$SEC(p_1, \dots, p_n) = SEC(p_i, p_j, p_k)$$

- Either two points at a diameter, or
- Three points forming an acute triangle

# Brute force algorithms

***Algorithm 1 (brute force):*** Try all triples of points and find their smallest enclosing circle. Check whether this circle contains every point. Returns the smallest such circle.

***Algorithm 2 (better brute force):*** Try all triples of points and find their smallest enclosing circle. Return the **largest** such circle.

# Beating brute force: incremental

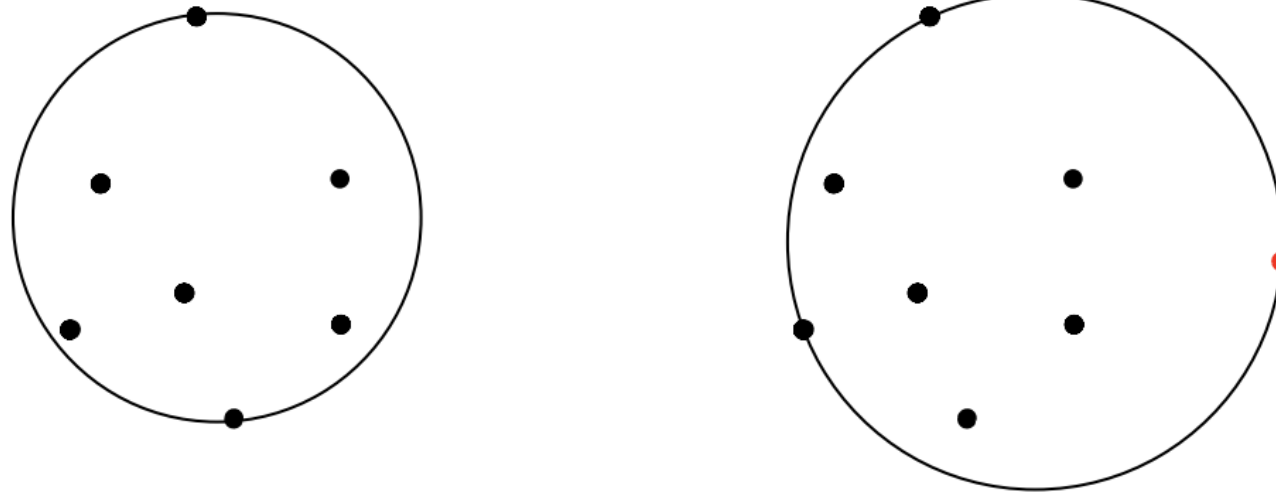
***Incremental approach:*** Insert points one by one and maintain the smallest enclosing circle

When inserting  $p_i$ :

- ***Case 1:***  $p_i$  is inside the current circle. Great, do nothing!
- ***Case 2:***  $p_i$  is outside the current circle. Need to find the new one

# Making incremental fast

**Observation:** When we add  $p_i$ , if it is not in the current circle, then it is on the boundary of the new circle



# Incremental algorithm

$\text{SEC}([p_1, p_2, \dots, p_n]) = \{$

Let  $C$  be the smallest circle enclosing  $p_1$  and  $p_2$

**for**  $i = 3$  to  $n$  **do** {

**if**  $p_i$  is not inside  $C$  **then**  $C =$  \_\_\_\_\_

}

return  $C$

}



# Incremental algorithm continued

$\text{SEC1}([p_1, p_2, \dots, p_k], q) = \{$

Let  $C$  be the smallest circle enclosing  $p_1$  and  $q$

**for**  $i = 2$  to  $k$  **do** {

**if**  $p_i$  is not inside  $C$  **then**  $C =$  \_\_\_\_\_

}

return  $C$

}

# Incremental algorithm deeper again

$\text{SEC2}([p_1, p_2, \dots, p_k], q_1, q_2) = \{$

Let  $C$  be the smallest circle enclosing  $q_1$  and  $q_2$

**for**  $i = 1$  to  $k$  **do** {

**if**  $p_i$  is not inside  $C$  **then**  $C =$  \_\_\_\_\_

}

return  $C$

}

# Runtime

***Runtime (SEC2):*** SEC2 runs in  $O(k)$  time

***Runtime (SEC1):*** In the worst case, SEC1 runs in  $O(k^2)$  time

***Runtime (SEC):*** In the worst case, SEC runs in  $O(n^3)$  time

# Randomization to the rescue!!!

***Claim (randomized SEC is fast):*** If we randomly shuffle the points in SEC and SEC1, then SEC1 runs in  $O(k)$  expected time and SEC runs in  $O(n)$  expected time

# Summary

- **Randomized incremental algorithms** are pretty great. We can turn slow brute force algorithms into expected linear-time algorithms!
- We got  $O(n)$  time for **closest pair** and **smallest enclosing circle**