

The background of the slide features a complex network of red lines connecting green data points, illustrating the DBSCAN algorithm's process of identifying clusters based on density. A semi-transparent white box with a light gray border is centered on the slide, containing the title text. To the left of the title box, there is a small inset image showing a cluster of orange and red points with a grid overlay.

# **DBSCAN: A Density-Based Clustering Algorithm**



# DBSCAN: A Density-Based Spatial Clustering Algorithm

DBSCAN (M. Ester, H.-P. Kriegel, J. Sander, and X. Xu, KDD'96)

Discovers clusters of arbitrary shape: Density-Based Spatial Clustering of Applications with Noise

A *density-based* notion of cluster

A *cluster* is defined as a maximal set of density-connected points

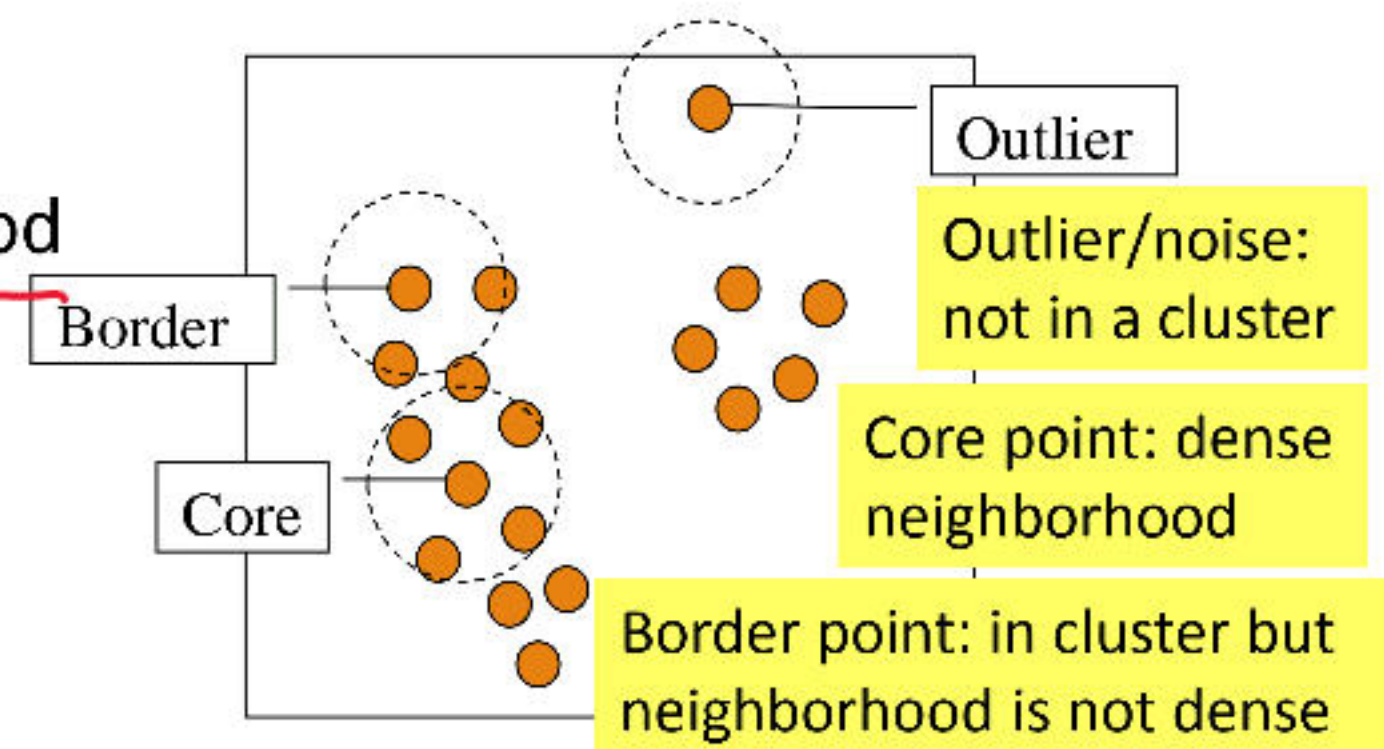
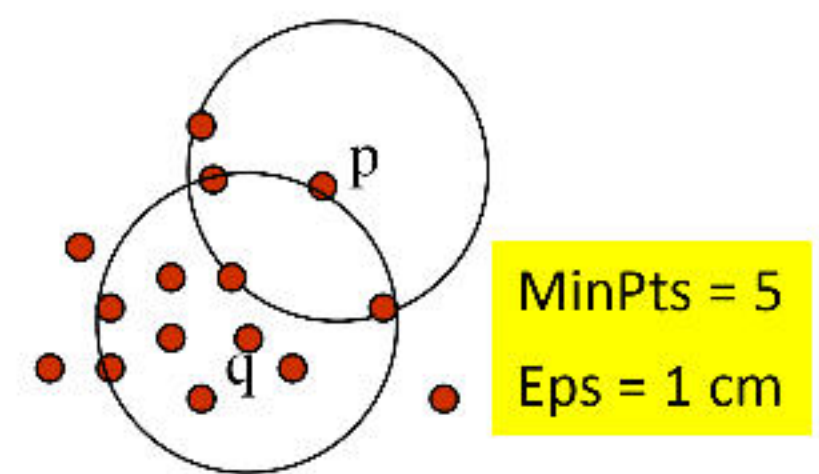
Two parameters:

**Eps** ( $\epsilon$ ): Maximum radius of the neighborhood

**MinPts**: (Minimum) number of points in the Eps-neighborhood of a point

The Eps( $\epsilon$ )-neighborhood of a point  $q$ :

$N_{Eps}(q): \{p \text{ belongs to } D \mid \text{dist}(p, q) \leq Eps\}$





# DBSCAN: Density-Reachable and Density-Connected

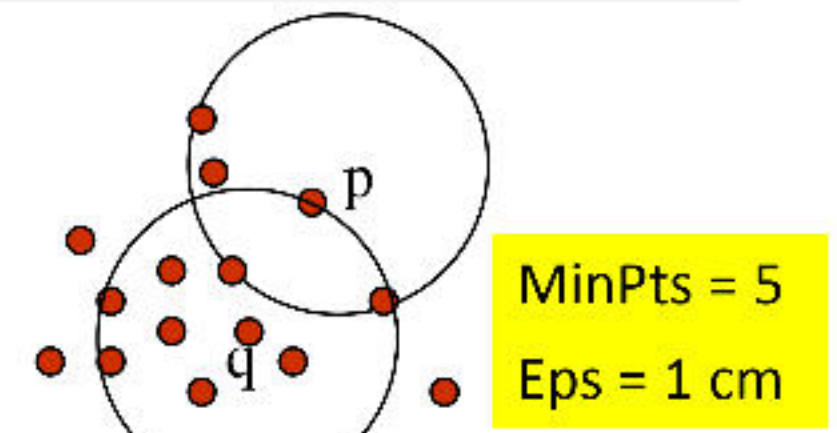
## ① Directly density-reachable:

□ A point  $p$  is **directly density-reachable** from a point  $q$  w.r.t.  $Eps$  ( $\epsilon$ ),  $MinPts$  if

□  $p$  belongs to  $N_{Eps}(q)$

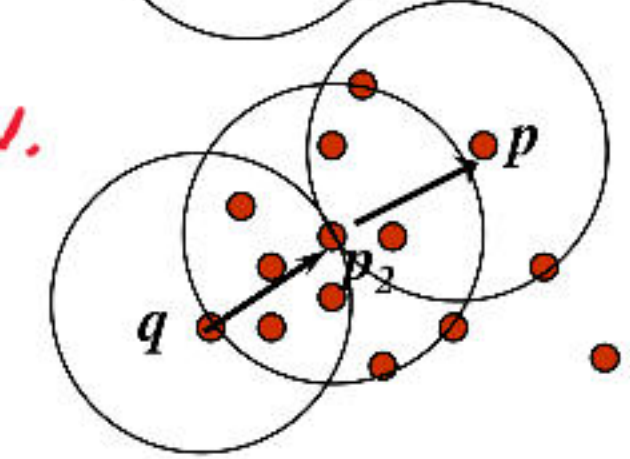
□ **core point** condition:  $|N_{Eps}(q)| \geq MinPts$

*q 包含的簇个数  $\geq$  minThreshold.*



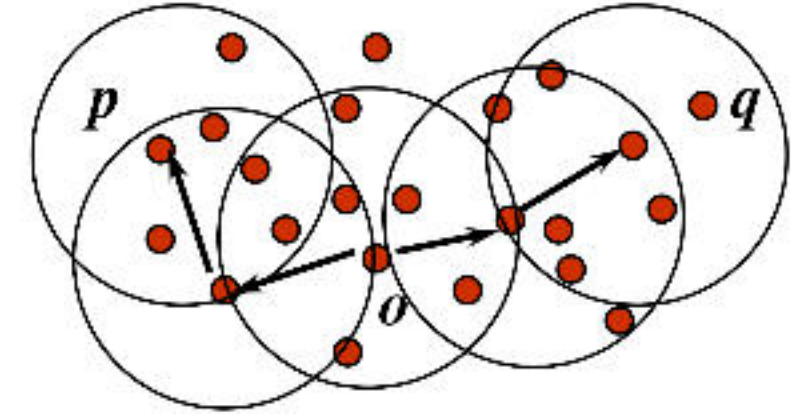
## ② Density-reachable:

□ A point  $p$  is **density-reachable** from a point  $q$  w.r.t.  $Eps$ ,  $MinPts$  if there is a chain of points  $p_1, \dots, p_n$ ,  $p_1 = q$ ,  $p_n = p$  such that  $p_{i+1}$  is directly density-reachable from  $p_i$



## ③ Density-connected:

□ A point  $p$  is **density-connected** to a point  $q$  w.r.t.  $Eps$ ,  $MinPts$  if there is a point  $o$  such that both  $p$  and  $q$  are density-reachable from  $o$  w.r.t.  $Eps$  and  $MinPts$



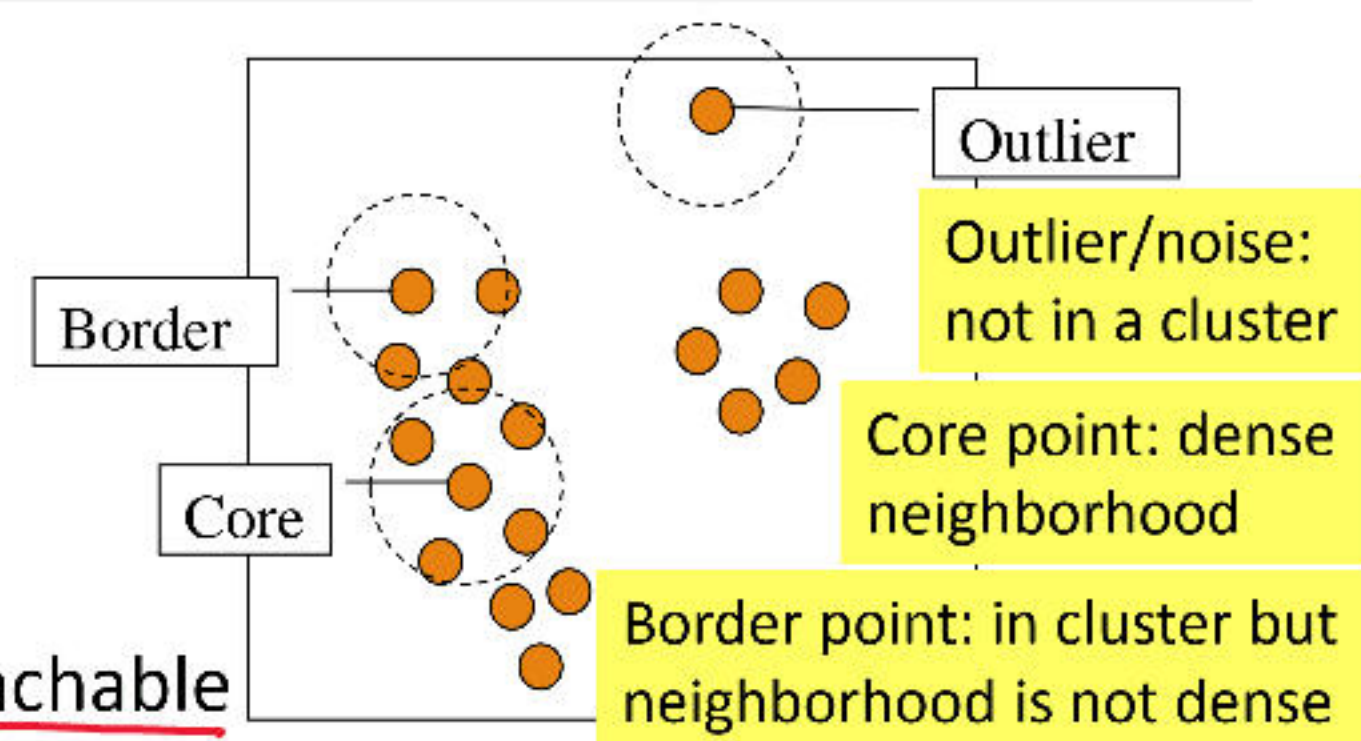


# DBSCAN: The Algorithm

## Algorithm

- Arbitrarily select a point  $p$
- Retrieve all points density-reachable from  $p$  w.r.t.  $Eps$  and  $MinPts$ 
  - If  $p$  is a core point, a cluster is formed
  - If  $p$  is a border point, no points are density-reachable from  $p$ , and DBSCAN visits the next point of the database
- Continue the process until all of the points have been processed

then  $p$  is outlier



## Computational complexity

- If a spatial index is used, the computational complexity of DBSCAN is  $O(n \log n)$ , where  $n$  is the number of database objects
- Otherwise, the complexity is  $O(n^2)$



# DBSCAN Is Sensitive to the Setting of Parameters

Figure 8. DBScan results for DS1 with MinPts at 4 and Eps at (a) 0.5 and (b) 0.4.

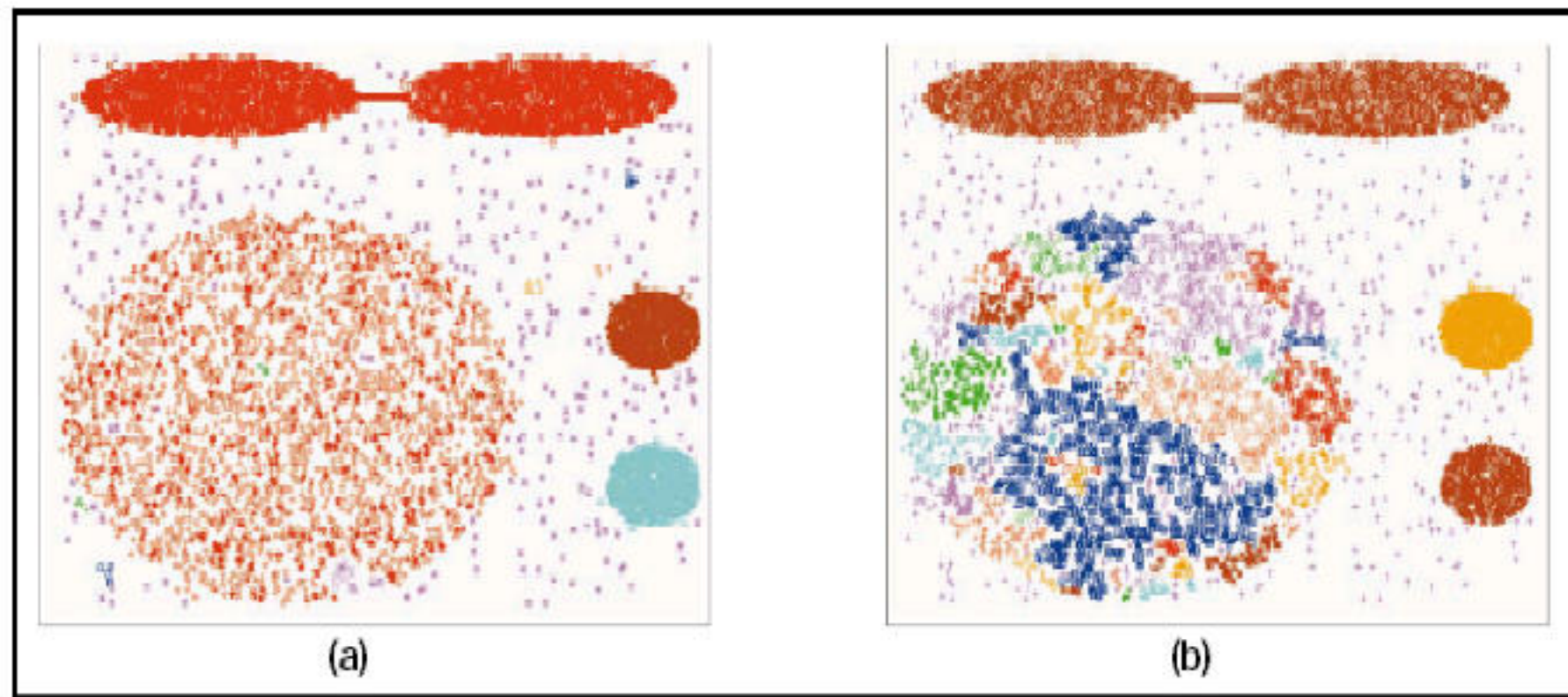
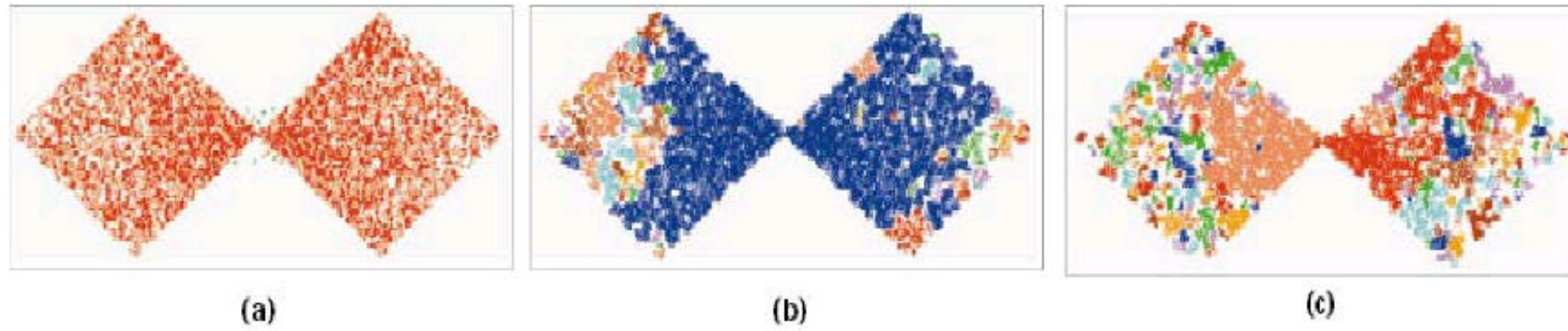


Figure 9. DBScan results for DS2 with MinPts at 4 and Eps at (a) 5.0, (b) 3.5, and (c) 3.0.



Ack. Figures from G. Karypis, E.-H. Han, and V. Kumar, *COMPUTER*, 32(8), 1999