# The Sidelnikov-Shestakov's Attack applied to the Chor-Rivest Cryptosystem

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#### Abstract

In this article, we discuss about the Sidelnikov-Shestakov attack on cryptosystems based on Reed-Solomon codes. Then we describe how this algorithm can be used to improve the attack to the Chor-Rivest Cryptosystem proposed by Vaudenay [?].

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intro

1 Introduction

1.1 Our Work

## 2 Preliminaries

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## 2.1 A cryptosystem based on Reed-Solomon codes

We study here the public-key cryptosystem introduced by Sidelnikov and Shestakov [?] applied to the generalized Reed-Solomon codes. Let  $\mathbb{F}_q$  be a finite field with  $q=p^h$  elements and  $\mathbb{F}=\mathbb{F}_q \cup \{\infty\}$ , where  $\infty$  has usual properties ( $1/\infty=0$ , etc). We call  $\mathfrak{A}$  the following matrix:

$$\mathfrak{A}(\alpha_{1}, \ldots, \alpha_{n}, z_{1}, \ldots, z_{n}) := \begin{pmatrix} z_{1}\alpha_{1}^{0} & z_{2}\alpha_{2}^{0} & \cdots & z_{n}\alpha_{n}^{0} \\ z_{1}\alpha_{1}^{1} & z_{2}\alpha_{2}^{1} & \cdots & z_{n}\alpha_{n}^{1} \\ & & \ddots & \\ z_{1}\alpha_{1}^{k-1} & z_{2}\alpha_{2}^{k-1} & \cdots & z_{n}\alpha_{n}^{k-1} \end{pmatrix} \in \mathcal{M}_{\mathbb{F}_{q}}(k, n)$$

where  $\alpha_i \in \mathbb{F}$  and  $z_i \in \mathbb{F}_q \setminus \{0\}$  for all  $i \in \{1,...,n\}$ . Note that, if  $\alpha_i = \infty$ , we replace the  $i^{th}$  column by the vector  $z_i(0,...,0,1)^T$ , so that all the coefficients of the matrix are finite.

In the considered cryptosystem, the secret key consists of

- The set  $\{\alpha_1, ..., \alpha_n\}$ ;
- The set  $\{z_1, ..., z_n\}$ ;
- A random nonsingular  $k \times k$ -matrix H over  $\mathbb{F}_q$ .

The public key is

- The representation of the field  $\mathbb{F}_q$ , that is the polynomial used to define  $\mathbb{F}_q$  over  $\mathbb{F}_p$ ;
- The two integers k and n such that  $0 < k < n \le q$ .
- $\bullet M := H \cdot \mathfrak{A}(\alpha_1, \ldots, \alpha_n, z_1, \ldots, z_n).$

The codewords are then the vectors c = b.M where  $b \in \mathbb{F}_q^k$ . So, the different codewords have necessarily the following form:

$$c = (z_i f_c(\alpha_i))_{1 \le i \le n}$$

where  $f_c$  is a polynomial whose degree is at most k-1.

Thus, given a message to send, which is actually a vector b of  $\mathbb{F}_q^k$ , one will have to transmit the vector b.M + e where e is a random vector of  $\mathbb{F}_q^n$  with Hamming weight at most  $t = \lfloor \frac{n-k}{2} \rfloor$ . So, since a GRS code correct at most  $t = \lfloor \frac{n-k}{2} \rfloor$  error, the original message can be recovered by computing b' = b.M, finding the closest codeword from the received message, and then computing  $b'M^{-1}$ . However, the original message can not be easily recovered when not knowing the GRS code used in the secret key.

## 2.2 Equivalence between Reed-Solomon codes

Sidelnikov and Shestakov show [?] that for all  $a \in \mathbb{F}_q \setminus \{0\}$  and  $b \in \mathbb{F}_q$ , there exists  $H_1, H_2, H_3 \in \mathcal{M}_{F_q}(k, k)$  invertible such that

$$\begin{array}{rcl} H_1 \mathfrak{A}(a \cdot \alpha_1 + b, \ \dots, a \cdot \alpha_n + b, c_1 z_1, \ \dots, c_n z_n) & = & \mathfrak{A}(\alpha_1, \ \dots, \alpha_n, z_1, \ \dots, z_n) \\ H_2 \mathfrak{A}\left(\frac{1}{\alpha_1}, \ \dots, \frac{1}{\alpha_n}, d_1 z_1, \ \dots, d_n z_n\right) & = & \mathfrak{A}(\alpha_1, \ \dots, \alpha_n, z_1, \ \dots, z_n) \\ H_3 \mathfrak{A}\left(\alpha_1, \ \dots, \alpha_n, a \cdot z_1, \ \dots, a \cdot z_n\right) & = & \mathfrak{A}(\alpha_1, \ \dots, \alpha_n, z_1, \ \dots, z_n) \end{array}$$

This means that for any cryptosystem  $M = H\mathfrak{A}(\alpha_1, \ldots, \alpha_n, z_1, \ldots, z_n)$ , for any birationnal transformation

$$\phi: x \mapsto \frac{ax+b}{cx+d}$$

 $M = H_{\phi}\mathfrak{A}(\phi(\alpha_1), \ldots, \phi(\alpha_n), z_1', \ldots, z_n')$  and by using the unique transformation  $\phi$  that maps  $(\alpha_1, \alpha_2, \alpha_3)$  to  $(0, 1, \infty)$ , we get that for any cryptosystem  $M = H\mathfrak{A}(\alpha_1, \ldots, \alpha_n, z_1, \ldots, z_n)$ , M can be uniquely written

$$M = H'\mathfrak{A}(0, 1, \infty, \alpha'_4, \dots, \alpha'_n, z'_1, \dots, z'_n)$$

with H' nonsingular,  $z'_i \neq 0$  and  $\alpha_i$  distincts elements of  $\mathbb{F}_q - \{0, 1, \infty\}$ .

So, when M is given, it is impossible to compute the original matrices  $\mathfrak A$  and H since many pairs of such matrices lead to the same public matrix M. However, computing an equivalent pair is sufficient since it will allow to decipher the messages as well as the original secret pair of matrices. So, the attack will consist of finding H and  $\mathfrak A(0,1,\infty,\alpha_4',\ldots,\alpha_n',z_1',z_2',\ldots,z_n')$ , equivalent to the original pair. We can also assume that  $z_1'=1$ . Indeed, if we multiply all the elements  $z_i'$  by a factor  $a \in \mathbb{F}_q$  and all the elements of the matrix H by  $a^{-1}$ , the resulting matrix M will be the same.

## 3 The Sidelnikov-Shestakov Attack

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The attack of Sidelnikov-Shestakov consists of the following steps.

First we assume that the public key is as described in the previous section:

$$M = H'\mathfrak{A}(0, 1, \infty, \alpha'_4, \dots, \alpha'_n, 1, z'_2, \dots, z'_n)$$

We compute then the echelon form of M.

$$E(M) = \begin{pmatrix} 1 & 0 & \cdots & 0 & b_{1,k+1} & \cdots & b_{1,n} \\ 0 & 1 & \cdots & 0 & b_{2,k+1} & \cdots & b_{2,n} \\ & & \ddots & & \vdots & & \vdots \\ 0 & \cdots & 0 & 1 & b_{k,k+1} & \cdots & b_{k,n} \end{pmatrix} = H'' \cdot M$$

Since the echelon form can be computed only with left multiplication of the matrix M, the k lines of E(M) are codewords. As a consequence, if we call  $f_i$  the polynomial associated to the  $i^{th}$  line, we have :

- $\forall 1 \leq i \leq k, f_i(\alpha_i) = 1$
- $\forall 1 \leq i \neq j \leq k, f_i(\alpha_i) = 0$
- $\forall 1 \leq i \leq k \ \forall \ k+1 \leq j \leq n, \ f_i(\alpha_j) = b_{i,j}$

So, since all the  $\alpha_i$  are different, the polynomial  $f_i$  has k-1 simple roots. As a consequence,  $b_{i,j} \neq 0$  for all  $1 \leq i \leq k$  and  $k+1 \leq j \leq n$ . Moreover, we know the general form of the polynomial  $f_i$ :

$$f_i(X) = c_i \cdot \prod_{1 \le j \le k, i \ne j} (X - \alpha_j)$$

where  $c_i \in \mathbb{F} \setminus 0$ .

For  $2 \le k \le n-2$ , this attack works with a complexity of ...

## 4 Application to the Chor-Rivest Cryptosystem

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## 4.1 The Chor-Rivest Cryptosystem

Secret keys consist of

- $\bullet\,$  an element  $t\in\mathbb{F}_q$  with algebraic degree h
- a generator g of  $\mathbb{F}_q^*$
- an integer  $d \in \mathbb{Z}_{q-1}$
- a permutation  $\pi$  of  $\{0, ..., p-1\}$ .

Public keys consist of all

$$c_i = d + \log_q(t + \alpha_{\pi(i)}) \mod q - 1$$

The message consists in a bitstring  $m = [m_0...m_{p-1}]$  of length p such that  $\sum_i m_i = h$ . The ciphertext is

$$E(M) := \sum_{i=0}^{p-1} m_i c_i$$

To decipher this message, we compute

$$g^{E(M)-hd} = \prod_{i} (t + \alpha_{pi(i)})^{c_i}$$

When we attack this cryptosystem, we can consider a generator  $g_0 = g^u$  with u unknown and gcd(u, q - 1) = 1 we then have

$$g_0^{c_i} = (g^d (t + \alpha_{\pi(i)}))^u = (A + \alpha_{\pi(i)} \cdot B)^u$$

We can then consider that the secret key is

- $A \in \mathbb{F}_q$ .
- $B \in \mathbb{F}_q$  such that  $t = A \cdot B^{-1}$  has algebraic degree h.
- 0 < u < q 1 prime with q 1.
- the permutation  $\pi$  of  $\{0,...,p-1\}$ .

and public key consists in all the

$$d_i := (A + \alpha_{\pi(i)} \cdot B)^u \in \mathbb{F}_q$$

The ciphertext becomes

$$E'(M) := \prod_{i=0}^{p-1} d_i^{m_i} = g^{uE(M)} = B^{uh} \left( \prod_i \left( t + \alpha_{pi(i)} \right)^{c_i} \right)^{u}$$

Knowing u, B and h, it is easy to compute from E'(M), the following quantity

$$\prod_{i} \left( t + \alpha_{pi(i)} \right)^{c_i}$$

which allow us to retrieve all the  $c_i$ .

#### 4.2 Link with Reed-Solomon codes

Trying to attack this cryptosystem show some relations between this problem and the previous one studied in section 2. In particular we have the following theorem.

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**Theorem 1.** Let  $2 \le k \le p-2$ . Suppose there exists  $(Q_i)_{0 \le i \le k-1}$  k polynomials of  $\mathbb{F}_p[X]$  linearly independent with degree smaller than k-1. Suppose the evaluations  $m_{i,j} := Q_i(\alpha_{\pi(j)})$  is known for all i and j. Then the permutation  $\pi$  can be recovered in polynomial time using a Sidelnikov-Shestakov attack on the matrix  $M = (m_{i,j})_{i,j} \in \mathcal{M}_{k,p}(\mathbb{F}_p)$ .

*Proof.* We suppose here that one of the  $Q_i$  has a degree exactly k. Then we write the square non singular matrix  $H = (h_{i,j}) \in \mathcal{M}_k(\mathbb{F}_p)$  of the coefficients of the  $Q_i$ 

$$Q_i(X) = \sum_{j=0}^{k-1} h_{i,j} X^j$$

If we still consider

$$\mathfrak{A}_k := \left(\alpha^i_{\pi(j)}\right)_{0 < i < k, 0 < j < p-1} \in \mathcal{M}_{k,p}(\mathbb{F}_p)$$

We have the equality

$$H \cdot \mathfrak{A}_k = M$$

with H non singular and since  $k \leq p-2$ , this is exactly the public key of a cryptosystem based on the Reed-Solomon codes described in section 2.

So a possible way to attack the Chor-Rivest cryptosystem would be to find the evaluations of enough small degree polynomials in the  $\alpha_{\pi(i)}$ .

## 4.3 A First Attack using Reed-Solomon codes

We have for all j

$$g^{c_j} = g^d \cdot (t + \alpha_{\pi(j)}) = A + \alpha_{\pi(j)} \cdot B$$

where  $\alpha_{\pi(j)} \in \mathbb{F}_p$  and A and B are elements of  $\mathbb{F}_{p^h}$ .

A naive attack would be then to try to guess at random the generator g. We will see that although finding the precise g is very unlikely, there is a family of generators that can still allow us to retrieve  $\pi$ .

## 4.4 Small power of g

As an attempt to guess g, we can choose a random generator  $g_0$  of  $\mathbb{F}_q^*$ . We have  $g_0 = g^u$  and

$$g_0^{c_j} = (A + \alpha_{\pi(j)} \cdot B)^u$$

if we write this quantity in a certain base  $(e_i)_{1 \leq i \leq h}$  of  $\mathbb{F}_{p^h}$ , we notice that each coordinate is a polynomial  $Q_i$  in the  $\alpha_{\pi(j)}$ .

$$g_0^{c_j} = \sum_{i=1}^h Q_i(\alpha_{\pi(j)}) e_i$$

where  $Q_i$  has its coefficients in  $\mathbb{F}_p$ .  $Q_i$  depends on A, B, u and obviously on i. However,  $Q_i$  does not depend on j.

Besides, we have

•  $\deg Q_i \leq u$ 

• deg  $Q_i < p$  since  $\alpha_{\pi(j)}^p = \alpha_{\pi(j)}$ .

This means that we have access to the evaluations in the  $\alpha_{\pi(j)}$  of h polynomials of degree smaller than u. According to Theorem I, a sufficient condition for this attack to work is  $u \leq h-1 \leq p-3$ . The last inequality is most likely true since h is chosen close to  $p/\log p$ . However there are only h-1 different elements of  $\mathbb{F}_{p^h}$  fulfilling the first one. This only slightly improves the exhaustive research of g.

#### 4.5 Wider set of generators

We can notice that if u = u'p,

$$g_0^{c_j} = (A + \alpha_{\pi(j)} \cdot B)^{u'p} = (A^p + \alpha_{\pi(j)} \cdot B^p)^{u'}$$

and the coordinates of this quantity are polynomials of degree u' in  $\alpha_{\pi(j)}$ . This also means that if u is written  $u = \sum_{i=0}^{h-1} u_i p^i$  in base p, then

$$g_0^{c_j} = \prod_{i=0}^{h-1} (A^{p^i} + \alpha_{\pi(j)} \cdot B^{p^i})^{u_i}$$

whose coordinates are a polynomial of degree  $w_p(u) := \sum_{i=0}^{h-1} u_i$  in the  $\alpha_{\pi(j)}$ .

This mean that all u such that  $w_p(u) < h$  allow to retrieve the permutation and break the cryptosystem. The number of such u is

$$\left( \binom{h-1}{h} \right) = \binom{2h-1}{h} = \Theta\left( \frac{4^h}{\sqrt{h}} \right)$$

This is a drastic improvement in the exhaustive research of g. However this remains quite small compared to the number  $\phi(p^h - 1)$  of different generators in  $\mathbb{F}_{p^h}$  which is comparable to  $p^h$ .

## 5 Vaudenay attack

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We can see that the previous attack requires to find a generator of  $\mathbb{F}_q$  among the elements that can be written  $g^u$  with  $w_p(u) \leq h$ . Since there are very few of such elements compared to the  $\phi(p^h)$  different generators of  $\mathbb{F}_q$ , Vaudenay suggests [?] to consider a generator  $g_{p_r}$  of the sub-field  $\mathbb{F}_{p^r}$  of  $\mathbb{F}_{p^h}$ . He introduces the following theorem

**Theorem 2.** For any factor r of h, there exists a generator  $g_{p^r}$  of the multiplicative group of the subfield  $\mathbb{F}_{p^r}$  of  $\mathbb{F}_q$  and a polynomial Q with degree h/r whose coefficients are in  $\mathbb{F}_{p^r}$  and such that -t is a root and that, for any i, we have  $Q(\alpha_{\pi(i)} = g_{p^r}^{c_i})$ .

If we chose a base  $(e_i)_{1 \leq i \leq r}$  of  $\mathbb{F}_{p^r}$ , we can write the coefficients of  $g_{p^r}^{c_i}$  in this base as polynomials  $Q_i$  in  $\alpha_{\pi(i)}$ . We get

$$g_{p^r}^{c_i} = \sum_{j=1}^r Q_j(\alpha_{\pi(i)})e_j$$

with deg  $Q_i \leq h/r$ . We get the evaluation of r polynomials of degree smaller than h/r.

This means that instead of searching a generator among the approximately  $p^h$  generators of  $\mathbb{F}_q$ , we could search only within  $\mathbb{F}_{p^r}$  with r as small as possible. We notice that to be able to apply Theorem n, we must have h/r < r.

**Theorem 3.** When  $r > \sqrt{h}$ , there exists a polynomial "known  $g_{p^r}$ " attack on the Chor-Rivest cryptosystem.

## 5.1 Generating more row...

When we find  $g_{p^r}$  such that  $g_{p^r}^{c_j}$  is a small degree polynomials in the  $\alpha_{\pi(j)}$ , we only have r row corresponding to the r different polynomials of the coordinates of  $g_{p^r}^{c_j}$  in a certain base. Being able to generate more row would allow to chose a lower r and improve drastically the attack.

However, we could consider now the coordinates of  $g_{p^r}^{uc_j}$  for u such that  $w_p(u)$  is not too big. This should yield up to  $\Theta\left(\frac{4^r}{\sqrt{r}}\right)$  rows. Unfortunately, it seems probable that these are strongly linearly dependent...

For example, the coordinates of  $g_{p^r}^{pc_j}$  are linearly dependent on the coordinates of  $g_{p^r}^{c_j}$ .

#### 5.2 Simulation

We run a simulation with the following parameters

- $p = 197, h = 24, r = 3 < \sqrt{h}$ .
- We define  $\mathbb{F}_q$  as the quotient of  $\mathbb{F}_p[X]$  by the polynomial

$$X^{24} + 192X^{23} + 152X^{22} + 25X^{21} + 75X^{20} + 67X^{19} + 92X^{18} + 23X^{17} + 45X^{16} + 97X^{15} + 2X^{14} + 21X^{13} + 106X^{12} + 130X^{11} + 128X^{10} + 136X^{9} + 195X^{8} + 95X^{7} + 155X^{6} + 34X^{5} + 51X^{4} + 180X^{3} + 97X^{2} + 23X + 87$$

• We choose g := X + 2 the private multiplicative generator.

• We compute

$$g_{p^r} = g^{\frac{p^h - 1}{p^r - 1}}$$

$$= 153X^{23} + 168X^{22} + 167X^{21} + 45X^{20} + 128X^{19} + 68X^{18} + 103X^{17} + 11X^{16}$$

$$+ 139X^{15} + 190X^{14} + 75X^{13} + 73X^{12} + 190X^{11} + 64X^{10} + 173X^{9} + 34X^{8}$$

$$+ 88X^7 + 30X^6 + 139X^5 + 146X^4 + 111X^3 + 80X^2 + 136X + 48$$

- We choose different values for d, t and  $\pi$ , the results remain the same.
- We choose the base  $(g_{p^r}, g_{p^r}^p, g_{p^r}^{p^2})$  for the  $\mathbb{F}_p$ -vector space  $\mathbb{F}_{p^r}$  (but this is of no influence on the results).
- We choose  $(u_i)_{1 \leq i \leq 11} = (1, 2, p+1, 3, 2p+1, p+2, 4, p+3, 3p+1, 2p+2, 2p^2+p+1)$ We have  $w_p(u_i) \leq 4$  so the coordinates of  $g_{p^r}^{u_i c_j}$  are polynomials of degree smaller than 4h/r = 32 in the  $\alpha_{\pi(j)}$ .

As a result, we obtain  $11 \times 3 = 33$  lines of coordinates linearly independent. This would allow the attack to retrieve the permutation  $\pi$  using the attack on the cryptosystem based on Reed-Solomon codes.

This proves that it is possible to duplicate the number of lines at the expense of the degree of the polynomials considered. Therefore the condition  $r \ge \sqrt{h}$  is not absolutely required and we can hope to get a very weaker condition (the minimum r possible could even be a constant).

## 6 Conclusions