

RAPPORT DE STAGE D'OPTION SCIENTIFIQUE

Titre

NON CONFIDENTIEL

Option : INFORMATIQUE
Champ de l'option : Math-Informatique
Directeur de l'option : Olivier Bournez
Directeur de stage : Olivier Bournez
Dates du stage : 7 avril - 22 aout 2014

Nom et adresse de l'organisme : RI International

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Abstract

PVS (standing for Prototype Verification System), is an Open Source project developed by CSL at SRI International and aiming to be both a semi-automated theorem prover and a programming language.

Acknowledgements

I would like to thank my supervisor, Natarajan Shankar, for his help, explanations and suggestions as well as for the many enlightening discussions we had during this internship. I also thank Sam Owre for his explanations of the PVS API and Common Lisp in general, Robin Larrieu, from Polytechnique who shared an office and a lot of good ideas with me. I thank all of my teachers from LIX who made this internship possible, with a special mention to Stéphane Graham-Lengrand and Benjamin Doerr who recommended me. Finally, I thank all the people at the CSL, for their welcome, the interesting discussions I had with them, and for creating an exciting and inspiring environment for work. A special thank Lori Truitt for all the help she provided with administrative paperwork.

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1 Introduction

1.1 PVS Overview

PVS (Prototype Verification System) is an environment for specification and proving. The main purpose of PVS is to provide formal support for conceptualization and debugging in the early stages of the lifecycle of the design of hardware or software systems. In these stages, both the requirements and designs are expressed in abstract terms that are not necessarily executable. The best way to analyze such an abstract specification is by attempting proofs of desirable consequences of the specification. Subtle errors revealed by trying to prove the properties are costly to detect and correct at later stages of the design lifecycle. he specification language of PVS is built on higher-order logic (functions can be treated like primitive types: functions can take functions as arguments and return them as values, quantification can be applied to function variables. Specifications can be constructed using definitions and axioms

1.2 The HACMS Project

1.3 Translating PVS

1.3.1 Parsing and typechecking PVS

These two task we leave to PVS native parser and typechecker.

The parser generates objects representing the expressions of the theory.

We only convert a subset of PVS. This subset is defined by a subset of expression objects we can translate. The objective is, of course, to be able to translate the maximum of (if not all) PVS expression objects.

1.3.2 Other translator

- Common Lisp (native) - Clean - Yices

2 PVS syntax

2.1 PVS Syntax

In Figure 12, we describe the subset of PVS we translate to C.

In Figure 13, we describe the object system used to represent them in Common Lisp. Some classes and some slots in the classes are voluntarily ommitted. For a full description of PVS parser representation, refer to [5].

2.2 Translator architecture

Describe here the Lisp functions and data structures

Skeleton

Expected input

Output objects

Assertions that we (try to) maintain

The translation from PVS to C is made following five main steps:

• Typechecking: The PVS typechecker perform a type analysis on the PVS code to associate a PVS type to each expression. This might generates some proof obligations (TCC).

- Lexical and syntactic analysis: The PVS parser transforms PVS code into a CLOS internal representation.
- Translation: The translator generates a different representation from PVS expressions and functions declarations. Typically, an expression e is translated into a tuple of four elements (t, n, i, d), where t represents a C type used to describe the expression, n is a string representing the expression, i is a list of instructions supposed to be executed prior to using n (initialisation of n) and d is a list of instructions to be executed when n isn't needed anymore (destruction of n).
- Analysis and optimizations: We run several analysis on the code representation. In particular, we determine the adapted C types, we try to avoid unnecessary copies and non destructive updates when possible using flow analysis and an enriched type system.
- Code generation: C code is generated (.c and .h files) and can be compiled using gcc and executed when linked with the garbage collector and the GMP library.

We first define a function T to translate an expression e.

```
T(e) = (T^{t}(e), T^{n}(e), T^{i}(e), T^{d}(e))
```

```
T(2) = ( int, "2", [], [])
T(4294967296) = ( mpz_t, ?, 
[mpz_init(?); | 
mpz_set_str(?, "4294967296"); ], 
[mpz_clear(?); ])
T(lambda(x:below(10)):x) = ( int*, ?, 
[? = malloc(10 * sizeof(int)); | 
int i; | 
for(i = 0; i < 10; i++) 
?[i] = i; ]
[free(?); ])
```

Figure 1: Translation examples: number expressions

It may occur that $T^n(e) = ?$. In that case, the symbol ? appearing in $T^i(e)$ and $T^d(e)$ needs to be replaced by a proper variable name.

We then define two other operators:

- R wich take an expression and a type and may add an extra conversion in the instructions to make sure its result has the expected type. Also the result of this function has a proper name.
- S which take an expression, a type and a name. It makes sure that the given variable (type + name) is set to a value representing the expression.

2.3 A few translation rules

Translation rules:

3 PVS type system

3.1 PVS Types

A PVS theory can be typechecked using the emacs interface M-x typecheck or calling the Lisp function (tc name-theory). This first runs the PVS parser on the code and generates CLOS objects to represent it. Then, the PVS typechecker is run on this internal representation of the theory and tries to give a type to all expressions generating TCC when needed.

Here we describe how PVS types are represented in Lisp.

Figure 14 Figure 15

3.2 Translating types

PVS types:boolean, number_field, real, rational, integer, $A \to B$, restricted types below(10) := $\{x : \text{int} | 0 \le x < 10\}$) enum datatype

This requires a type analysis to decide on the type of a PVS expression. For example the PVS int type can be represented by the int, unsigned long or mpz_t C types. In that case, we study the range of the expression to decide which types are allowed to represent it. Then we take the context in which the expression appears to decide. For instance in

```
incr(x:below(10)):int = x+1
```

the x expression, result of the function incr can always be represented by an int or unsigned long in C but we choose here to represent it using a mpz_t.

Intermediate type system: C-type with a flag: mutable (meaning that the expression it describes only has one pointer pointing to it.

```
int a = 2;    a : int[mutable]
int* a = malloc( 10 * sizeof(int*) );
    destructive addition:
d_add(*mpz_t res, mpz_t[mutable] a, long b) {
    mpz_add(a, a, b);
    (*res) = a;
}
```

Rq: d_add is given a mutable <code>mpz_t</code>, meaning that it can modify it and is responsible for freeing it. It is also responsible for allocating memory for the result. Here it uses the memory to assign res.

Use an intermediate language:

Translation rules:

subrange(a, b)	int // if small enough unsigned long // if too big or needed for function call mpz_t // else	
int	mpz_t	
rat	mpq_t	
[below(a) -> Type]	(Ctype)*	
T : TYPE = [# x_i : t_i #]	<pre>struct CT { Ct_i x_i; }; // These types must be declared</pre>	
[Range -> Domain]	C closure parameterized by the Domain return type.	

Figure 2: Translation rules for PVS types

We can only translate a subset of all PVS types. What's missing?

3.3 Translating PVS syntax

We can only translate a subset of PVS syntax. What's missing?

3.4 Using a representation of the C language

Figure 17

4 Update expressions

It is a complicated problem to decide while compiling a functionnal language whether an update expression should be translated into a destructive or non destructive update in the target imperative language.

Update expressions are represented by PVS as update- expr objects.

```
E:= t with [ e1 := e2 ]
```

Problem: t is an expression typed as a function. Therefore it might be represented in C as an array (if domain type is below(n)). We want to know if we can update t in place to obtain a C object representing E or if we have to make a copy of t.

We consider a few solutions to this problem.

4.1 Pointer counting

Several systems rely on a reference couting garbage collectors. This family of garbage collectors has many advantages [4]. Along with its simplicity and the instantaneity of garbage identification, the one we are interested in is the possibility to determine when a local variable is the only pointer to a complex data structure. In that case, at the cost of a simple test, we can avoid copies and perform destructive updates.

The idea is to keep track of the number of pointers pointing to an array or a struct. If an array is referenced in several portions of the code (nested reference in other data structure, local variable in calling function, ...) then we mus be able, using the pointer counter, to perform all updates non destructively to avoid inconsistency.

We implement a very simple "Reference Counting Garbage Collector" as described in [4] and integrate it to the C code generated.

The GC consists on a hashtable of pointer counters that we maintain during the execution of the code. Each pointer to data allocated on the heap is a key in the hastable to which we associate an int counter as value. We then make sure that all memory allocations in the code make a call to the GC to "declare" the new memory.

	V
<pre>T* a = malloc(10 * sizeof(int));</pre>	T* a = (T*) GC_malloc(10 * sizeof(int)); All memory allocation are handled by the GC to make sure every new reference on the heap is in the reference table and has a pointer counter associated to it.
free(a);	<pre>GC_free(a);</pre>
	This will decrement the reference counter on a and might free it if this counter is now 0.
T* a = b;	T* a = (T*) GC(b);
	The reference count on b is incremented to represent that the local variable a now also points to the structure b points to.
t[0] = b;	<pre>GC_free(t[0]); t[0] = (T*) GC(b);</pre>
	This time, we also make sure the reference counter of t[0] is decremented and t[0] has a chance to be freed if nothing else points to it.

This requires to build our own C garbage collector 3.

4.1.1 How to use it

The garbage collector mus be used for every manipulation of pointers to memory allocated on the heap. This occurs typically when representing PVS arrays or data structure. These rrays are created in the code.

When A points to an array (or struct) we want to update destructively, we first check if the pointer counter on A is 1. If so, we can update in place because only the local variable A points to the array.

```
struct entry_s {
   void* pointer;
   int
         counter;
   struct entry_s *tl;
typedef struct entry_s* entry;
struct hashtable_s {
         size;
   int
   entry* table;
};
typedef struct hashtable_s* hashtable;
hashtable ht_create
                    ( int size );
          ht_hashfunc( hashtable hashtable, void* pointer );
entry
          ht_newentry( void* pointer );
hashtable GC_hashtable;
          GC_start();
void
          GC_quit();
          GC_get_entry( void* pointer );
entry
          GC_add_entry( entry e);
void
          GC_new( void* pointer );
void
void*
          GC( void* pointer );
          GC_count( void* pointer );
int
void*
          GC_malloc( int length, int size );
          GC_free(void* pointer);
int
```

Figure 3: Garbage collector C header file: GC.h

However, we need to be carefull.

```
g(A:Array) : int = f(A, A WITH [(0) := 3] )
should not be translated to
g(int* A) {
    A[0] = 3;
    return f(A, A);
}
```

- The variable A is updated destructively but it is later used as a reference to the previous value of the array.
- f is given twice a pointer to the same data structure. Its reference counter should be incremented.

Instead we could flatten the expression 4.

But again, we are lucky here that A is the first argument of f. If the updated A were the first arguments, the update would have been done destructively. This is why the GC alone is not enoug. We need an analysis of the C code to determine whether a variable is going to be used later in the code or not. cf 4.4 Analysis of the C code.

4.1.2 Pros and cons

for (at least) two reasons:

The use of a garbage collector integrated in the C code seems like a good idea when translating a functionnal language to C. Using a pointer counting GC allows to

```
void main() {
   GC_start();
   int* A = GC_malloc(10, size of (int)); // Pointer counter of A = 1
   int i;
   for (i = 0; i < 10; i++) // Initialisation of A
                            // Here A = lambda(x):x
      A[i] = i;
   int* B = g(GC(A));
                            // We need A further, we make sure that g knows
   int*C = A;
                            // main still has a pointer to A
   printf("Pointers to C = %d", GC_count(C) ); // equal to 2
   GC_free(B); // Frees B
   GC_free(C); // Only decrement the counter of C
   GC_free(A); // Frees A (and C)
   GC_quit();
}
g(int* A) {
  int* arg1 = GC(A);
                              // A and arg1 now both point to the array
  int* arg2;
  if (GC(A) == 1)
                              // This is false
     arg2 = GC(A);
  else {
                              // The update must be done non destructively
     arg2 = GC_malloc( 10, sizeof(int) );
     int i;
     for(i = 0; i < 10; i++)
         arg2[i] = A[i];
  arg2[0] = 3;
  GC_free(A);
                              // A is never used afterwards, we free it here
                              //(this requires an analysis of the C code)
  int* result = f(arg1, arg2); // A function is responsible for freeing its arguments
                              // (this is why we don't free arg1 and arg2)
  return result;
}
```

Figure 4: Example of the use of the GC

We need an analysis of the C code for two reasons:

• To GC_free variable as soon as they are not needed anymore. Otherwise copies that could be avoided are performed because an other (useless) pointer still points to the structure we're interested in.

```
int* B = GC( A );
update(B, 0, 1); // Can't be done destructively because A also points to
GC_free(A); // the same data as B
f( GC(B) ); // f is given a variable with a reference counter of 2.
GC_free( B ); // It might not be able to perform some update destructively

Should be
int* B = A;
update(B, 0, 1); // Can be done destructively
f( B ); // f is given a variable with a reference counter of 1.
```

Every update require now tests and calls to hashtable functions. This is a small cost compared to the copying it may allow to avoid but no so small compared to a single in place update that could be decided by a code analysis.

Besides, the code gets much bigger since every update or copy requires the code to both destructive and non destructive operation and the if statement to decide which one to use.

Passing argument to function:

```
int* f(int* arg) {
   int* result;
   if ( GC_count(arg) == 1)
      result = GC( arg );
      result = GC_malloc(10, sizeof(int));
      int i;
      for(i = 0; i < 10; i++)
         result[i] = GC( arg[i] );
   }
   GC_free(arg);
   result[0] = 3;
   return result;
}
   This add quite some code compared to the simple:
int* f(int* arg) {
   arg[0] = 3;
   return arg;
```

4.2 Using a different data structure

The Lisp code generated by PVS and used for example by the ground evaluator to compute PVS expressions represents PVS arrays with a more complex data structure than a simple array. It basically consists in an array and a replacement list. Every time an update on (A, 1) is performed, the result is a pointer to the same array A and a replacement list with an extra term: (A, (0:=0):: 1). When the length of the list becomes too big, we create a new array A' by applying the replacement terms to a copy of A and we return (A', nil).

We could represent C data structure with a similar C structure. For example:

```
struct r_list {
   int key;
   int value;
   r_list* tl;
};
struct array_int {
   int *data;
   r_list* replacement_list;
};
```

Each structure represent the array data with the modifications contained in the linked list r_list_int.

As in the previous solution, we have the following issues:

- This adds some extra code
- This adds some extra computation. We need runtime tests for updates, and access to an element not requires reading the whole replacement list.
- This relies on lot on the GC.

4.3 Flow analysis on the PVS code

An other optimization would be to perform a analysis on the PVS variables to make sure an udate Pavol [1] suggests three analysis...

4.4 Analysis of the C code

This solution consist in performing an analysis on the C code internal representation before generating the actual output C code.

We use flags and two different version of the translated functions to translate update expressions (or dangerous function calls) into a destructive update as often as possible.

We define three flags:

• mutable means that the variable is the only pointer to the structure or array it points to. For instance if we have f(A:Arr):Arr = A WITH [(0) := 0] then when f is called in

```
let A = lambda(x:int):x in let B = f(A) in B(0)
```

we know that f can update A in place. We call the following version of f.

```
int* f(int* A) {
   A[0] = 0;
   return A;
}
```

• safe means that an occurrence of a variable is the last occurrence of that variable in the code. We need this flag to avoid updating destructively variables that appears later in the code. In the previous example, if we encounter

```
let A = lambda(x:int):x in let B = f(A) in B(0) + A(0)
```

we know we can't update A destructively and we call instead a non-destructive version of f:

```
int* f(int* A) {
  int* res = malloc(...);
  for( i ...) res[i] = A[i];
  res[0] = 0;
  return res;
}
```

• duplicated means that this expression may find itself nested in the result of the current function. For instance the identity function, id(A:Arr):Arr = A, has its argument flagged duplicated. Therefore when id is called we know that the result contains a pointer to its argument.

```
int* A = malloc(...);
[ init A somehow ]
int* B = id(A);
\\ From now on B and A point to the same array
\\ For instance, A should probably not be modified in place
...
```

We want to ensure the following properties:

- Only function declarations and variables with type struct or array can be flagged **mutable**
- Only a single occurrence of a variable may be flagged safe .
- Only expressions and arguments can be flagged duplicated .
- The last and only the last occurrence of a variable is flagged safe .
- Arguments of a non destructive function are never flagged **mutable**.
- A function is flagged **mutable** iif its return variable is flagged **mutable**.
- A variable may be flagged bang if it is created with a copy, init_array, init_record or is the result of a call to a function flagged mutable .

 It may not be flagged mutable if it is the result of a call to a function not flagged mutable .
- A call to a destructive function $f_{-d}(a_i, b_j, c_k)$ (where a_i are flagged **mutable** and b_j are flagged **duplicated** and c_k are not flagged) may only occurs if the following conditions on the arguments passed (A_i, B_j, C_k) are met:
 - \circ All A_i are either calls to functions flagged **mutable** or variables flagged **mutable** and **safe**.
 - \circ All B_j are either calls to functions or variables flagged safe or not flagged mutable .
 - \circ If the function call is flagged **duplicated**, then all B_j are also flagged **duplicated**.
- If a variable is once flagged **duplicated**, then if it is an argument, this argument is also flagged **duplicated**.

Figure 5: Propeties of the flags

```
f(int* A, int* B) {
                            // A and B are both flagged duplicated
   if (A[0] == 0) {
      return B;
   } else {
      int* arg1 = copy(B); // arg1 is flagged mutable and duplicated
      arg1[0] = arg1[0] - 1;
      f(arg1, A); // Both these occurrences of arg1 and A are flagged safe
}
f_d(int* A, int* B) { // A and B are both flagged mutable and duplicated
   if (A[0] == 0) {
      return B;
   } else {
      int* arg1 = B;
                        // No need to copy since B is mutable
                        // and never occurs afterwards
      arg1[0] = arg1[0] - 1;
                        // we can call f_d since the requirements are met:
      f_d(arg1, A);
   }
                               both arg1 and A are flagged mutable
}
```

Figure 6: Example of the two different versions of a C function generated (stripped from GC instructions)

- Create the two versions of a function
- Flag all arguments **mutable** in destructive version
- Perform several passes and move flags to make sure the properties Figure 5 are verified.
- Modify the code if the flags allow it according to the rules defined in the Annex D.
- Redo the two previous steps until stabilization.

Figure 7: Algorithm

4.4.1 Algorithm

Each PVS function is translated into two different C functions:

- A "cautious" non destructive version whose arguments are never **mutable** and therefore never modifies the arguments in place, always making copies when necessary. This doesn't mean this function can't make destructive update. For instance locally created arrays (using init_array) will be flagged **mutable** and might be destructively updated, should the conditions be met.
- A destructive version which requires as many arguments as possible to be **mutable** and tries to do destructive updates as often as possible. This function only requires **mutable** arguments if it uses it destructively though.

In destructive versions of all functions: Flag all array arguments to "mutable". Then for each of these arguments: - If it never occurs destructively, then remove flag (function just read the arg) - If it occurs destructively, it can never occur at all AFTER. -; Need to define the order of evaluation of expression (easy rules on simple expressions) -; Need to be able to detect occurences of a name-expr -; Otherwise, unflag the arg

A variable V of type array is created in these cases:

- $V = \lambda x.e(x) : V$ has bang type
- update(V, T, key, value) : V has bang type because this is basically a copy and a destructive update.
- f(V,...) = ... : type of V depends on f.

In these case, it has always bang type. Or it can be set to an other referenced object.

- $V = T \rightarrow V$ (should have bang type iff T has !type too and never occurs afterwards). Happens in
- $V = T[i] \rightarrow \text{depends on the target type of } T.$
- $V = T. field \rightarrow depends on the type of the field.$

At first all updates are non destructive.

First pass: All array variables (actuals and local variables) found in the code are flagged. Local variables are flagged according to the previous rules and actuals are flagged **mutable** in destructive version and **not mutable** in non-destructive versions. In functions returning an array (or record type), the variable result is also flagged.

Other passes: Reading the code backwards, for every occurrence T of a variable flagged **mutable**:

- If it is found in a V = T instruction, then we give the bang type to V and remove bang type from T so that previous occurrences of T won't assume the uniqueness of the reference. This adds a new variable to the set of bang variables, hence the need to make several passes.
- If it is used in a V = copy(T) instruction, then we replace it with a V = T instruction and do as previous.
- If it is found in an update(V, T, i, e, then turn that into V = T; destr_update(V, i, e).
- If it is a function call
- If we reach the declaration of a variable that is marked **mutable**, this means this variable is never read. In that case, we actually don't need it (unflag it I guess...).

At the end, when we have reached the transitive closure of this definition, if we reach the beginning of the function and some arguments are still bang, this means their bangness is never used, put the flag on that argument to **non mutable** and remove the instructions freeing that variable (reminder: mutable arguments of a functions are freed inside the function or are used in a mutable way and appear somewhere in the result (trapped in closures) or are freed in other function calls.

4.4.2 Algorithm

All variables have three flags: M (mutable), D (duplicated) and T (treated).

Init:All arguments of a destructive function are flagged (M = true, D = false, T = true).All arguments of a non destructive function are flagged (M = false, D = false, T = true).All other variables are flagged (M = false, D = false, T = false).

Rules: When M is changed, T is set to true. When T is true, the flag M can only be set to false. This prevent infinite change of the flag M. The flag D can only be set to true.

Initialization:

We initialize a set M of mutable variables to all array arguments of a function f. We also initialize a set F of variables to free to M since f has the responsability to free all variables flagged as mutable arguments.

We read the code backwards. T_i refer to variables that are in the set $M.S_i$ refer to variables

that are not in the set M

at are not in the set M.	
S = T	$M \leftarrow M \cup \{S\} - \{T\}$
	$F \leftarrow F \cup \{S\} - \{T\}$
$S = \text{update}(S_2, \text{key, value})$	$M \leftarrow M \cup \{S\}$
	$F \leftarrow F \cup \{S\}$
S = update(T, key, value)	$M \leftarrow M \cup \{S\} - \{T\}$
	$F \leftarrow F \cup \{S\}$
$S = g(T_i, S_i)$	If the arguments of g don't allow g to be called
	destructively:
	$M \leftarrow M - \{T_i\}$
	$M \leftarrow M \cup \{S\}$ if return type of g is mutable
	$F \leftarrow F \cup \{S\}$
$S = g(T_i, S_i)$	Otherwise:
	$ ightarrow S = g_d(T_i, S_i)$
	$M \leftarrow M \cup \{S\} - \{T\}$
	$F \leftarrow F \cup \{S\}$
$S = S_2[i]$	$M \leftarrow M \cup \{S\} - \{T\}$
	$F \leftarrow F \cup \{S\}$
A 11 C + 1 C + 1	

All arguments of the function are flagged mutable

What is a destructive occurrence:

```
E := f(t with [e1 := e2], t(0))
```

order of eval: e1 and e2 (t can occur non destr) t (expression of an update: destr) t(0) (occurence of t (even non destr))

f(x:Arr):int = g(h(t), t) is destructively translated to

Listing 2: Example

if g has type [Array! -> ?] then t can't be destructive if g has type [Array -> ?] then t can be destructive

First algorithm:

Need multiple passes as the flags disappear

4.5 Combination of solutions

We use the C code analysis to write some updates as destructive. However a few updates remain non destructive. For example:

If a function is called but requires its two argument to be $\mbox{mutable}$ and only the first is $\mbox{mutable}$. Then the non-destructive version is called and the first argument gets copied even though it was $\mbox{mutable}$.

If we perform an update on T[i], our analysis doesn't tell if T[i] is **mutable** or **non-mutable**.

update(A, key, value)	A[key] = value;	A must be mutable
set(A, expr)	A = expr;	
declare(A, expr(i))		
	A = malloc(1 * sizeof(T));	
	int i;	
	for(i = 0; i < 1; i++) A[i] = i + 1;	
	HILL - I , I,	
copy(A, B)		
	A = malloc(l * sizeof(T));	
	int i;	
	for(i = 0; i < 1; i++) A[i] = B[i];	
	ALIJ - BLIJ,	
init(A)	int* A;	
free(A)	free(A);	
base(str, (A, B,))	int aux = A[0] + B[1];	A and B are only read.
return	return result;	

Figure 8: C instructions

value(cste)	42	
variable(type, name)	name	
call(f, exprs)	f($expr_1$,, $expr_n$)	

Figure 9: C expressions

To prevent that, we also perform a GC check. An update is actually a test wether an object is **mutable** or not and the appropriate update.

5 Static analysis of the intermediate language

We describe here the static analysis of the intermediate language (which syntax is defined in Figure 16) implemented in the translator.

```
Number | Variable
Expr
                    Variable [ Variable ]
                   if (Variable) Expr
                                         else \mathit{Expr}
                   array( Variable )
                    Variable [ (Variable) := Variable ]
                    Variable [ ( Variable ) <- Variable ]</pre>
                    Function ( Variables )
                   set( Variable , Expr ); Expr
Variable
                   Id
Function
                  PrimOp \mid Id
              ::=
                  + | - | * | / | %
PrimOp
              ::=
                   < | <= | > | >= | =
                   not | and | or | iff
                  Id ( Variable^* ) = Expr
FunctionDecl
Program
              ::=
                   FunctionDecl* Expr
```

Figure 10: Syntax of the intermediate language

We first define the semantics of the language using a small-steps operational semantics. Then we define a few operators on the language and exhibit some properties. Finally we describe an algorithm to replace non destructive updates with destructive updates under certain conditions and prove that there is a bisimulation between programs before and after applying this algorithm. This proves that the execution of the program is not disturbed by the replacements and thus the correctness of the algorithm.

5.1 Operational semantic

5. X[(x) := y]

A value is either an integer $n \in \mathbb{N}$ or a reference $ref(i) \in R$. The metavariable v ranges over the set of all values: $V := \mathbb{N} \cup R$.

An evaluation context (sometimes simply called context) E is an expression with an occurrence of a hole []. A context and is of one of the forms

```
    []
    set(x, []); e
    pop([])
    E1[E2] , where E1 and E2 are evaluation contexts.
    A redex is an expression of the following form
    x
    X[y]
    if (x) a else b
    array(x)
```

```
6. X[(x) \leftarrow y]
```

7.
$$p(x_1, ..., x_n)$$

8.
$$f(x_1, ..., x_n)$$

9.
$$set(x, v)$$
; e

- 10. push; e
- 11. pop(v)

We define a *local environment*, s_i , as a function ranging over the set N of all variable names with values in V. The *stack state*, s, is a serie of local environments: $s = (s_0, ..., s_n)$. We call [] the empty function and if s_i is a local environment ranging over the variables U, we write $s_i \uplus (x \mapsto v)$ the function ranging over $U \cup \{x\}$ mapping x to v and y to $s_i(y)$ for $y \neq x$. For $s = (s_0, ...s_n)$ a stack state, we write $s \uplus (x \mapsto v) := (s_0 \uplus (x \mapsto v), s_1, ..., s_n)$. We also define s(x) as $s_i(x)$ where $\forall j < i, s_i(x)$ is not defined.

The heap state function, h is mapping references ref(i) to arrays of values, V*.

The *store* (or *state*) function, S, describing the state of the memory at a certain point in the execution is defined as the couple (s, h). We define S(x) := s(x) and S(ref(i)) := h(ref(i)).

A program is list of function declarations followed by a closed expression. For each function with id f declared before the evaluation of the expression, we call f_i the arguments of this function (variables) and [f] its body (expression).

The metavariable conventions are that x and y range over variables, X ranges over variables typed as arrays n ranges over numbers, p ranges over primitive function symbols, f ranges over defined function symbols, a, b and e range over expressions.

A reduction transforms a pair consisting of a redex and a store. The reductions corresponding to the redexes above are

```
1. < x, S > \longrightarrow < s(x), S >
```

$$2. < x[y], S > \longrightarrow < h(s(x))(s(y)), S >$$

3. if (x)
$$a$$
 else $b, S > \longrightarrow \begin{cases} < \text{push}; & \text{pop}(a), S > \text{ if } s(x) = 0 \\ < \text{push}; & \text{pop}(b), S > \end{cases}$ otherwise

4.
$$\langle \operatorname{array}(x), S \rangle \longrightarrow \langle \operatorname{ref}(m), (s, h \uplus (\operatorname{ref}(m) \mapsto (0)_{0 \leq i \leq s(x)})) \rangle$$

where h(ref(m)) was not defined (meaning that ref(m) is a "fresh pointer").

5.
$$\langle X[(x) := y], S \rangle \longrightarrow \langle ref(m), (s, h') \rangle$$
 where

$$h(ref(m))$$
 is not defined $(ref(m))$ is a fresh pointer)
 $h' = h \uplus (ref(m) \mapsto h(s(X)) \uplus (s(x) \mapsto s(y)))$

6.
$$< X \text{ [(}x\text{) } \leftarrow y\text{]}, S > \longrightarrow < X, (s, h') > \text{where}$$

$$h' \ = \ h \uplus (s(X) \mapsto h(s(X)) \uplus (s(x) \mapsto s(y)))$$

7.
$$\langle p(x, y), S \rangle \longrightarrow \langle p(s(x), s(y)), S \rangle$$
 for binary operators.

8.
$$\langle p(x), S \rangle \longrightarrow \langle p(s(x)), S \rangle$$
 for the **not** operator.

$$9. < f(x_1, ..., x_n), S > \longrightarrow < \text{push}; \text{ pop}(\text{set}(f_1, x_1); ... \text{set}(f_n, x_n); [f]), S >$$

10.
$$\langle \operatorname{set}(x, v); e, S \rangle \longrightarrow \langle e, (s \uplus (x \mapsto v), h) \rangle$$

11.
$$\langle push; e, ((s_0, ..., s_n), h) \rangle \longrightarrow \langle e, (([], s_0, ..., s_n), h) \rangle$$

12.
$$< pop(v), ((s_0, ..., s_n), h) > \longrightarrow < v, ((s_1, ..., s_n), h) >$$

An evaluation step operates on a pair $\langle e, S \rangle$ consisting of a closed expression and a store, and is represented as $\langle e, S \rangle \longrightarrow \langle e', S' \rangle$. If e can be decomposed as a E[a] for an evaluation context E and a redex a, then a step $\langle E[a], S \rangle \longrightarrow \langle E[a'], S' \rangle$ holds if $\langle a, s \rangle \longrightarrow \langle a', s' \rangle$. This is represented by the following rule.

$$\frac{\langle a, s \rangle \longrightarrow \langle a', s' \rangle}{\langle E[a], s \rangle \longrightarrow \langle E[a'], s' \rangle}$$

One of the greatest advantage of using evaluation contexts is that we define the semantics of this language using only this one small-step rule.

The reflexive-transitive closure of \longrightarrow is represented \longrightarrow^* . The computation of a program is defined as the evaluation of its expression $\langle e, S_0 \rangle$ on an empty store: $S_0 := (([]), [])$. If $\langle e, S_0 \rangle > \longrightarrow^* \langle e', S' \rangle$ then we can prove that $e' \in V$ and the result of the computation is then defined as $eval_{h'}(e')$ where $eval_h$ is defined as follow:

$$eval_h: \begin{vmatrix} V & \longrightarrow & E \\ n & \mapsto & n \in \mathbb{N} \\ rem(k) & \mapsto & (eval_h(u_i))_{0 \le i \le n} \text{ with } (u_i)_{0 \le i < n} := h(rem(k)) \end{vmatrix}$$

Theorem 1. For all $\langle e, S_0 \rangle \longrightarrow \langle e', (s, h) \rangle$, h is defined on $R \cap Im(s)$. All references pointed to by a variable is defined in the heap stack.

5.2 Update context

We now define an *update context* as an expression with a single occurrence of a hole:

- 1. {}
- 2. set(x, U); e
- 3. set(x, a); U
- 4. if (x) U else b
- 5. if (x) a else U

We define the live variables, Lv, of an expression as

$$Lv(n) := \emptyset$$

$$Lv(x) := \{x\}$$

$$Lv(X[x]) := \{X, x\}$$

$$Lv(\text{if } (x) \ a \ \text{else } b) := \{x\} \cup Lv(a) \cup Lv(b)$$

$$Lv(\text{array}(x)) := \{x\}$$

$$Lv(X[(x) := y]) := \{X, x, y\}$$

$$Lv(X[(x) <- y]) := \{X, x, y\}$$

$$Lv(f(x_1, ..., x_n)) := \{x_1, ..., x_n\}$$

$$Lv(p(x_1, ..., x_n)) := \emptyset$$

$$Lv(\text{set}(x, a); e) := Lv(a) \cup (Lv(e) - \{x\})$$

The live variables in a context are:

$$Lv(\{\}) := \emptyset$$

$$Lv(\mathsf{set}(x,\ U);\ e) := Lv(U) \cup (Lv(e) - \{x\}) \text{ where } U \text{ is an update context.}$$

$$Lv(\mathsf{set}(x,\ a);\ U) := Lv(U)$$
 if $(x)\ U \text{ else } b := Lv(U)$ if $(x)\ a \text{ else } U := Lv(U)$

We also define the live cells, Lc, in an expression for a given store.

$$Lc_0(S)(e) := Lv(e)$$

$$Lc_{k+1}(S)(e) := R \cap (Lc_k(S)(e) \cup S(Lc_k(S)(e)))$$

$$Lc(S)(e) := \lim_k Lc_k(S)(e) \subset R$$

The live cells are the references that are accessible in a certain expression given a certain store S. All references that are not in this set do not have any influence on the evaluation of the expression.

Theorem 2. If
$$\langle e_1, (s_1, h_1) \rangle \longrightarrow \langle e_2, (s_2, h_2) \rangle$$
 then $\langle e_1, (s_1, h'_1) \rangle \longrightarrow \langle e_2, (s_2, h'_2) \rangle$ where $h'_i = h_i|_{Lc(S_1)(e_1)}$.

5.3 Output variables

We define the *output* variables, Ov, of an expression:

$$\begin{array}{rcl} Ov(n) &:= & \emptyset \\ Ov(x) &:= & \{x\} \\ Ov(X[x]) &:= & \{X\} \\ Ov(\text{if } (x) \ a \ \text{else } b) &:= & Ov(a) \cup Ov(b) \\ Ov(\text{array}(x)) &:= & \emptyset \\ Ov(X[(x) \ := \ y]) &:= & \emptyset \\ Ov(X[(x) \ <- \ y]) &:= & \{X\} \\ Ov(f(x_1, \ ..., \ x_n)) &:= & \{x_i | f_i \in Ov([f]) \} \\ Ov(p(x_1, \ ..., \ x_n)) &:= & \emptyset \\ Ov(\text{set}(x, \ a); \ e) &:= & Ov(e) - \{x\} \cup \left\{ \begin{array}{ll} Ov(a) & \text{if } x \in Ov(e). \\ \emptyset & \text{otherwise.} \end{array} \right. \end{array}$$

This is the set of all variables which may have their value "trapped" into the expression.

Theorem 3. $Ov(e) \subset Lv(e)$. The output variables of an expression are live in that expression.

Proof. Simple induction proof on the expression form.

We define the set Dv(U)(z) of all variables that may contain a reference to z in a certain context U:

$$Dv(\{\})(z) := \{z\}$$

$$Dv(\mathsf{set}(x,\ U)\,;\ e) := Dv(U)$$

$$Dv(\mathsf{set}(x,\ a)\,;\ U)(z) := Dv(U)(z) \cup \left\{ \begin{array}{l} \emptyset & \text{if } (Ov(a) \cup \{x\}) \cap Dv(U)(z) = \emptyset \\ (Ov(a) \cup \{x\}) & \text{otherwise.} \end{array} \right.$$

$$Dv(\mathsf{if}\ (x)\ U\ \mathsf{else}\ b) := Dv(U)$$

$$Dv(\mathsf{if}\ (x)\ a\ \mathsf{else}\ U) := Dv(U)$$

We modify $f(f_1, ..., f_n) = e$ to get f^d by turning all safe updates into destructive updates. An update U := X[(x) := y] is safe if it appears in e in a context C ($e = C\{U\}$) such that

$$Dv(U)(X) \cap Lv(U) = \emptyset$$

5.4 Draft

The translator from PVS to that intermediate language guarantees the following properties

- ullet All arguments passed to a function are $\mathit{different}$ variables.
- Before the analysis, no destructive updates are used.

For the need of the analysis, we enrich the state function with a reference counter $c: V \to \mathbb{N}$.

 $\textbf{Theorem 4.} \ \textit{If} < \textit{a}, \textit{S} > \textit{is} \quad \textit{mutable} \ \ , \ \textit{and} < \textit{a}, \textit{S} > \longrightarrow < \textit{a'}, \textit{S'} > \textit{then} < \textit{a'}, \textit{S'} > \textit{is} \quad \textit{mutable}$

.

6 Conclusion

6.1 Difficulties and successes

This project was a great challenge and an opportunity for me to conduct my own research on a subject I chose. The development of the working translator was also

6.1.1 Working with new languages and tools

To be able to translate PVS, I had to fully understand not only the syntax and semantics of the PVS language but also the structure of the PVS API written in Common Lisp. This means I also had to learn Common Lisp which I decided then to use to write the translator mostly because it made the integration of the native PVS parser and typechecker easier. Finally I had to discover the C language which I only had a basic knowledge of.

6.1.2 Integrating the GMP library

In PVS (and in other languages such as Common Lisp or Python), the integer type represent the whole set \mathbb{Z} of all relative numbers (and rational also describe \mathbb{Q}). To implement that in C, we need more than the finite types int, long, etc.

The translator uses the GMP library which introduces the types <code>mpz_t</code> and <code>mpq_t</code>. These types are pointers (technically arrays) to structures and they had to be used with caution (allocation, freeing, ...).

For example (Figure 11), a function returning a mpz_t should actually take a first mpz_t argument and set it to the return value. Its return type being void.

```
norm(x:int, y:int):int = x*x + y*y
void norm(mpz_t result, mpz_t x, mpz_t y) {
   mpz_t aux1;
   mpz_init(aux1);
   mpz_mul(aux1, x, x);
   mpz_clear(x);
   mpz_t aux2;
   mpz_init(aux2);
   mpz_mul(aux2, y, y);
   mpz_clear(y);
   mpz_add(result, aux1, aux2);
   mpz_clear(aux1);
   mpz_clear(aux2);
}
```

Figure 11: Example of the GMP library use

6.2 What's left to be done?

One of my biggest regret was not having the time to finish the translator and fully implement closures. Some work is already done in that direction. We use a C structure to represent a closure:

6.3 My stay at SRI

Besides the conception and implementation of the PVS to C compiler, my stay at SRI International was rich in interesting events.

The first weeks of my stay were the occasion to discover PVS and Coq as I started working on a translator Coq to PVS. With Robin, we also wrote as an exercise a basic linear algebra library.

I discovered Lisp the hard way while discovering the middle- and back-end of the PVS API. Among other excerises, I decided to write a Common Lisp parser to help me understand the huge architecture of the PVS API code (classes definitions, inheritances and organization, function dependances, ...)

I also have had the chance to attend to the many interesting seminars SRI hosted every week. The "Crazy Ideas" seminar hosted every other week was a ...

The SRI also organized a Summer School to which we were allowed to attend and which was very interesting.

Shankar never hesitated to include us in many project

I've been included in the HACMS project which was very interesting. With other: Correcting translator PVS to SMT-LIB

Draft

Discovering PVS: Translating Coq proofs to PVS PVS library for basic linear algebra Robin project, HACMS Contest week-end 14-15 June Summer School Parsing Lisp code -; generate HTML architecture fileCorrecting translator PVS to SMT-LIB [1]

References

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A PVS Syntax and CLOS representation

```
Expr
                   Number \mid Name \mid Expr (Expr^+)
                   Expr Binop Expr
                    Unaryop Expr
                    Expr ' { Id \mid Number }
                    ( Expr^+ )
                    (# Assignment + #)
                    IfExpr
                   LET LetBinding + IN Expr
                    Expr WHERE LetBinding +
                   Expr WITH [ Assignment + ]
              ::= Digit^+
Number
              ::= Letter IdChar^+
Id
IdChar
              ::= Letter | Digit
Letter
              ::= A |\ldots| Z
              ::= 0 | ... | 9
Digit
IfExpr
              ::= IF Expr THEN Expr
                    \{ \text{ELSIF } \frac{Expr}{Expr} \text{ THEN } \frac{Expr}{Expr} \} * \text{ ELSE } \frac{Expr}{Expr} \text{ ENDIF}
Name
              ::= true | false | integer?
                   floor | ceiling | rem | ndiv | even? | odd?
                   cons | car | cdr | cons? | null | null?
                   restrict | length | member | nth | append | reverse
Binop
              ::= = | \= | OR | \/ | AND | & | /\
                   IMPLIES | => | WHEN | IFF | <=>
                   + | - | * | / | < | <= | > | >=
              ::= NOT | -
Unaryop
                   AssignArg^+ \{ := | | \rightarrow \} Expr
Assignment
             ::=
              ::= ( Expr^+ ) | ' Id | ' Number
AssignArg
              ::= \{LetBind \mid (LetBind^+)\} = Expr
LetBinding
LetBind
              ::= Id [: TypeExpr]
```

Figure 12: Syntax of the PVS subset of the translator

```
expr ⊂ syntax
                                                                [abstract class]
type the type of the expression
name \subset syntax
                                                                 |mixin\ class|
             the identifier
id \dots \dots
actuals....
             a list of actual parameters
resolutions singleton
This is a mixin for names, i.e., name-exprs, type-names, etc.
name-expr ⊂ name expr
                                                                       |class|
......
number-expr \subset expr
tuple-expr ⊂ expr
                                                                       [class]
exprs a list of expressions
......
application ⊂ expr
                                                                       |class|
operator an expr
argument an expr (maybe a tuple-expr)
field-application \subset expr
                                                                       [class]
id..... identifier
argument the argument
A field application is the internal representation for record extraction, e.g., r'a
lambda-expr ⊂ binding-expr
                                                                       |class|
This is the subclass of binding-expr used for LAMBDA expressions.
if-expr \subset application
                                                                       |class|
.......
record-expr ⊂ expr
                                                                       |class|
assignments a list of assignments
.....
update-expr ⊂ expr
                                                                       |class|
expression.
            an expr
assignments a list of assignments
An update expression of the form e WITH [x := 1, y := 2], maps to an update-expr instance,
where the expression is e, and the assignments slot is set to the list of generated assignment
instances.
assignment \subset syntax
                                                                       [class]
arguments. the list of arguments
expression the value expression
Assignments occur in both record-exprs and update-exprs.
The arguments form is a list of lists. For example, given the assignment 'a(x, y)'1 := 0, the
arguments are ((a) (x y) (1)) and the expression is 0.
```

Figure 13: (Partial) CLOS representation of PVS syntax

B PVS type system and CLOS representation

```
TypeExpr
                         Name
                         Enumeration \, Type
                         Subtype
                         TypeApplication
                         Function Type
                         Tuple Type
                         Cotuple Type
                         Record Type
Enumeration Type
                        { IdOps }
                   ::=
Subtype
                         \{ SetBindings \mid Expr \}
                         (Expr)
TypeApplication
                        Name Arguments
                   ::=
                         [FUNCTION | ARRAY]
Function Type
                   ::=
                         [-[IdOp:] TypeExpr"^+ \rightarrow TypeExpr]
                         [-[IdOp:] TypeExpr" ]
Tuple\,Type
                   ::=
                         [-[IdOp:] TypeExpr"^+_{\perp}]
Cotuple Type
                   ::=
                         [# FieldDecls+ #]
Record Type
                   ::=
FieldDecls
                   ::=
                         Ids: TypeExpr
```

Figure 14: Fragment of the PVS type system

```
type-expr ⊂ syntax
                                                       [abstract class]
type-name ⊂ type-expr name
                                                             [class]
adt
subtype ⊂ type-expr
                                                             [class]
supertype
predicate
funtype ⊂ type-expr
                                                             [class]
domain
range.
                                                             [class]
tupletype ⊂ type-expr
types
recordtype ⊂ type-expr
                                                             [class]
fields
```

Figure 15: (Partial) CLOS representation of PVS types

C Intermediate languages

```
Number | Variable
Expr
                        Variable [ Variable ]
                       if ( Variable ) Expr else Expr
                       array( Variable )
                        Variable [ ( Variable ) := Variable ]
                        Variable [ ( Variable ) <- Variable ]</pre>
                       Function ( Variables )
                       \mathtt{set}( \underbrace{\mathit{Variable}}_{} , \underbrace{\mathit{Expr}}_{} ); \mathit{Expr}
Variable
                      Id
Function
                 ::= PrimOp \mid Id
                 ::= + | - | * | / | %
| < | <= | > | >= | =
PrimOp
                       not | and | or | iff
FunctionDecl ::= Id ( Variable^*_, ) = Expr
                 ::= FunctionDecl^*_{,} Expr
Program
```

Figure 16: Syntax of the intermediate language

```
Expr
                   Number | String
                    Function (Exprs)
                    Pointer
Pointer
                    Variable
               ::=
                    Variable . Id
                    Variable [ Expr ]
Variable
                    ( Type , Id )
               ::=
Type
                    int | unsigned long int
               ::=
                    mpz_t | mpq_t
                    array( Type , Number )
                    struct( Id )
                    decl( Variable )
Instruction
                    free( Variable )
                    if ( Expr ) {
                      Instructions
                     }else {
                      Instructions
                    MPZFunction ( Variable [ , Exprs] )
                    init_array( Variable , Instructions , Expr )
                    init_record( Variable , Instructions , Exprs )
                    set( Pointer , Expr )
                    + | * | ...
Function
                    Id
MPZFunction
                    mpz_set_str | mpz_add | ...
               ::=
                    Id ( Variables ) :
FunctionDecl
               ::=
                                           Type =
                    Instructions
                    [ return Expr];
                    struct Id : Types
StructDecl
               ::=
                    [ Type [ , Types]]
Types
               ::=
Exprs
                    [ Expr [ , Exprs]]
               ::=
Variables
                    [ Variable [ , Variables] ]
               ::=
Instructions \\
                    [Instruction; [Instructions]]
               ::=
```

Figure 17: Syntax of the representation language (representation of a subset of the C language)

D Rules

	A safe	A not safe
A mutable	Replace every occurence of the variable B by the variable A	<pre>B = GC_malloc(); for(i) { B[i] = A[i]; }</pre>
A non-mutable	<pre>if (GC_count(A) == 1) { B = A; } else { B = GC_malloc(); for(i) { B[i] = A[i]; } }</pre>	<pre>B = GC_malloc(); for(i) { B[i] = A[i]; }</pre>

Figure 18: Rules for copy(B, A)

	A safe	A not safe
A mutable	Replace every occurrence of the	
	variable B by the variable A	B = GC_malloc();
		for(i) {
		B[i] = A[i]
		,
A non-mutable	Replace every occurrence of the	
	variable B by the variable A	B = GC(A);
		If B is flagged duplicated then
		A must be too.

Figure 19: Rules for set(B, A)

	A safe	A not safe
A mutable		
	f_d(A)	f(A)
A not mutable		
	f(A)	f(A)

Figure 20: Rules for ${\tt f(A)}$ with A flagged ${\tt mutable}$ in the destructive version

	A safe	A not safe
A mutable		
	f(A)	copy(B, A) f(B)
A not mutable	f(A)	f(A)

Figure 21: Rules for f(A) with A flagged **duplicated**

E Examples

PVS code	Intermediate language code	C code generated
f(A:Arr):Arr = A	<pre>f: ((A, int*)) -> int* set(result, A) return(result)</pre>	<pre>int* f(int* A) { return A; }</pre>
f(A:Arr):Arr = let B = A in B	<pre>f: ((A, int*)) -> int* set(B, A) set(result, B) return(result)</pre>	<pre>int* f(int* A) { return A; }</pre>
f(A:Arr):Cint = let B = A in A(0) + B(0)	<pre>f: ((A, int*)) -> int set(B, A) set(result, +(A(0), B(0))) return(result)</pre>	<pre>int* f(int* A) { int* B = (int*) GC(A); int result = A[0] + B[0]; GC_free(B); GC_free(A); return result; }</pre>
f(A:Arr):Arr = let B = A in A WITH [(0) := B(0)]	<pre>f: ((A, int*)) -> int set(B, A) set(L, 0) set(R, B(0)) update(result, A, L, R) return(result)</pre>	<pre>int* f_d(int* A) { int* B = GC_malloc(); for(i) B[i] = A[i]; int L = 0; int R = B[0]; int* result = GC(A); result[L] = R; GC_free(B); GC_free(A); return result; }</pre>

Figure 22: Examples of setting variables

PVS code	Intermediate language code	C code generated
f(A:Arr):Arr = A WITH [(0) := 0]	<pre>f: ((A, int*)) -> int* set(L, 0) set(R, 0) copy(result, A) update(result, L, R) return(result)</pre>	<pre>int* f(int* A) { int L = 0, R = 0; int* result; if(GC_count(A) == 1) { result = GC(A); } else { result = GC_alloc(); for(i) result[i] = A[i]; } result[L] = R; GC_free(A); return result; } int* f_d(int* A) { int L = 0, R = 0; A[L] = R; return A; }</pre>
<pre>f(A:Arr):Arr = let B = A WITH[(0):=0] in A WITH[(0) := B(0)]</pre>	<pre>f: ((A, int*)) -> int* set(L1, 0) set(R1, 0) copy(B, A) update(B, L1, R1) set(L2, 0) set(R2, B(0)) copy(result, A) update(result, L1, R1) return(result)</pre>	<pre>int* f(int* A) { int R1 = 0, L1 = 0; B = GC_alloc(); for(i) B[i] = A[i]; B[L1] = R1; int R2 = 0, L2 = B[0]; result = GC_alloc(); for(i) result[i] = A[i]; result[L2] = R2; GC_free(A); GC_free(B); return result; } int* f_d(int* A) { int R1 = 0, L1 = 0; B = GC_alloc(); for(i) B[i] = A[i]; B[L1] = R1; int R2 = 0, L2 = B[0]; A[L2] = R2; GC_free(B); return A; }</pre>

Figure 23: Examples of copying variables