

A finite set  $S$  can be identified with a subset of positive numbers by numbering its elements. "linear order" = a bijection from  $S$  to  $\{1, 2, \dots, n\}$

$$S = \{a_1, a_2, \dots, a_n\} \xrightarrow{\sim} \{1, 2, \dots, n\}$$

e.g.:  $a_i \mapsto i$

Defn. Let  $f$  be a permutation of  $S$  (identified with  $\{1, 2, \dots, n\}$ )

An **inversion** of  $f$  is a pair  $(a, b)$  in  $S$  s.t.

$$a < b \quad \text{and} \quad f(a) > f(b).$$

Then  $\text{inv}(f) := \# \text{ inversions of } f$ .

E.g.  $S = \{\pi, \mathbb{C}, \text{today}\}$     $f: \begin{matrix} \pi & \xrightarrow{\text{today}} \\ \mathbb{C} & \xleftarrow{\text{today}} \end{matrix}$

$\begin{matrix} \pi, \mathbb{C} & \pi < \mathbb{C} \\ 1 & 2 & 1 < 2 \\ (\text{today}, \mathbb{C}) & \text{today} > \mathbb{C} \\ 3 & 2 & 3 > 2 \end{matrix}$

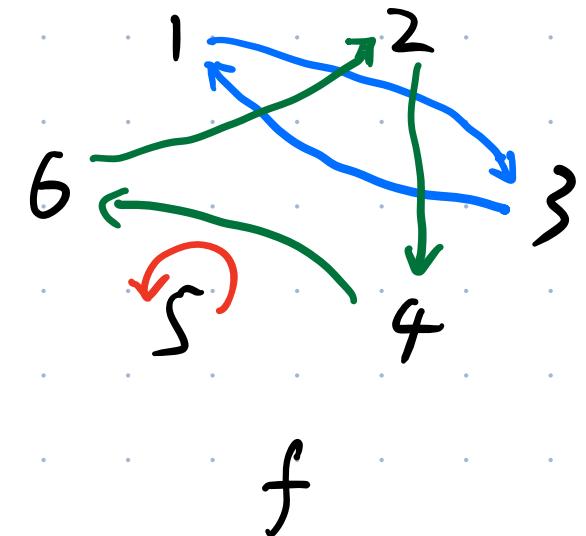
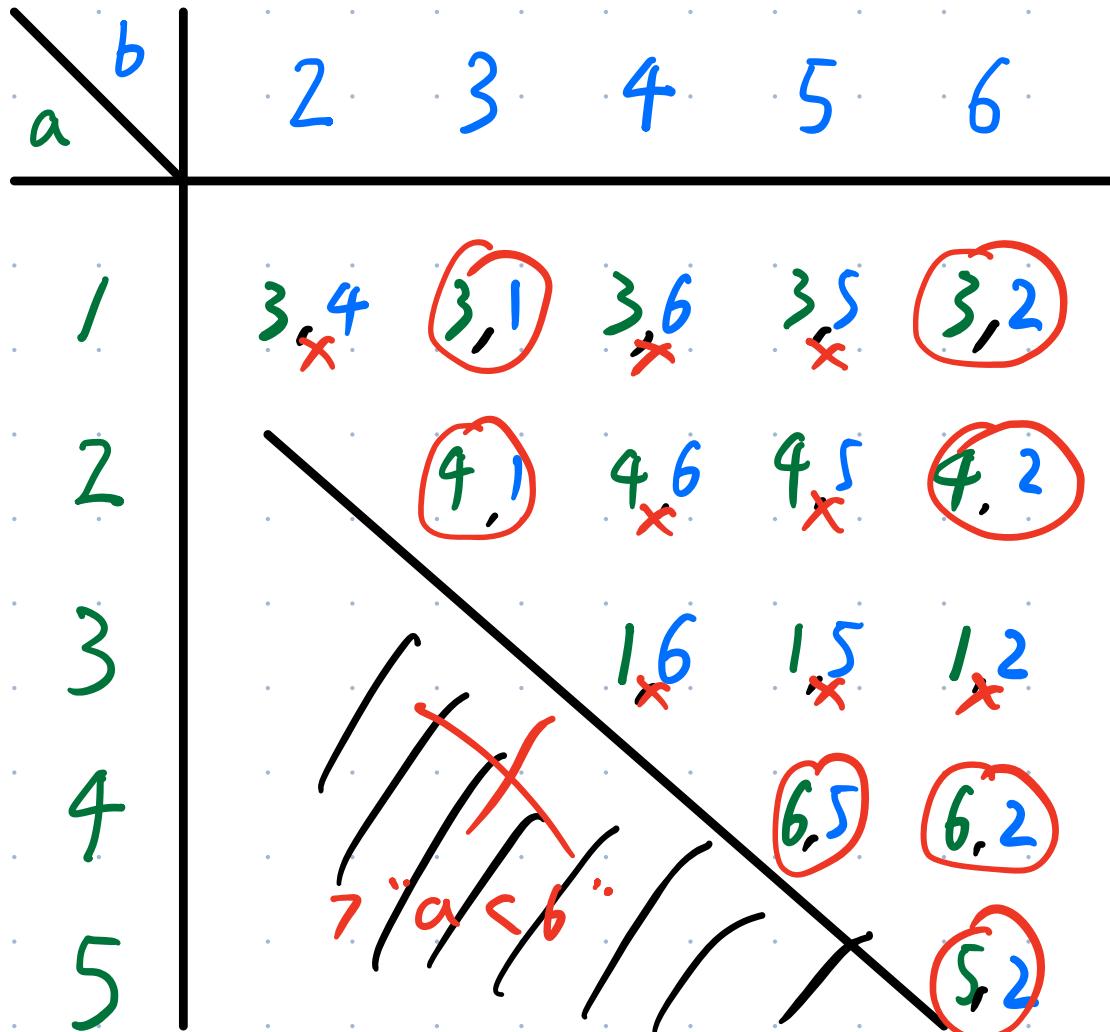
$\downarrow \downarrow \downarrow$

$\{1, 2, 3\}$

$(\pi, \mathbb{C})$  is an inversion!

$$\text{E.g. } S = \{1, 2, 3, 4, 5, 6\}$$

Fill in  $(f(a), f(b))$  for  $1 \leq a < b \leq 6$



Find all inversions of  $f$

$$\text{inv}(f) = 7$$

Goal : To show  $\text{sign}(f) = (-1)^{\text{inv}(f)}$ .

Defn. Let  $\tau$  be a transposition of  $S$  (identified with  $\{1, 2, \dots, n\}$ ).

Then  $\tau$  is called an *adjacent transposition* if it switches 2 consecutive numbers.

E.g.  $S = \{1, 2, 3, 4, 5, 6\}$

(1 2) switching 1 & 2 is an adjacent transposition.

(1 6) switching 1 & 6 is NOT an adjacent transposition.

Q: How the inversion changes when composite with an adjacent transposition.

Lemma: Let  $f$  be a permutation of  $\{1, 2, \dots, n\}$  and  $\tau = (a \ a+1)$ .

Then

$$\text{inv}(\tau \circ f) - \text{inv}(f) = \begin{cases} 1 & \text{if } f^{-1}(a) < f^{-1}(a+1) \\ -1 & \text{if } f^{-1}(a) > f^{-1}(a+1) \end{cases}$$

Proof. Let  $(s, t)$  be a pair s.t.  $1 \leq s < t \leq n$ .

Then  $(s, t)$  is an inversion of  $\tau \circ f \Leftrightarrow \tau(f(s)) > \tau(f(t))$ .

So we consider the set  $\{f(s), f(t)\}$ .  
 $\begin{cases} \{f(s), f(t)\} = \{a, a+1\} \\ \{f(s), f(t)\} \neq \{a, a+1\} \end{cases}$

two cases:

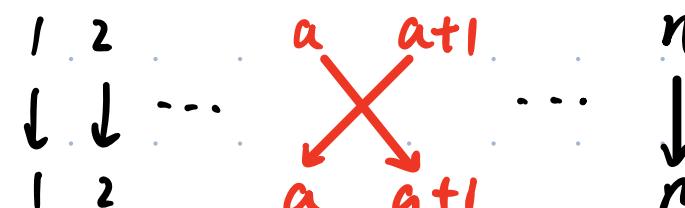
1)  $\{f(s), f(t)\} \neq \{a, a+1\}$ .

Then  $\tau$  does not change the

order relation between

$f(s)$  and  $f(t)$ .

$$f(s) < f(t) \Rightarrow \tau f(s) < \tau f(t) \quad | \quad f(s) > f(t) \Rightarrow \tau f(s) > \tau f(t)$$



$$2) \{f(s), f(t)\} = \{a, a+1\}$$

$$\cancel{s < t}$$

$$2.1) \begin{cases} f(s) = a \\ f(t) = a+1 \end{cases} \text{ Then } \begin{cases} \tau(f(s)) = a+1 \\ \tau(f(t)) = a \end{cases}$$

$$f(s) < f(t)$$

$$\tau \circ f(s) > \tau \circ f(t)$$

$(s, t)$  is NOT an inversion of  $f$

$(s, t)$  is an inversion of  $\tau \circ f$

$$2.2) \begin{cases} f(s) = a+1 \\ f(t) = a \end{cases} \text{ Then } \begin{cases} \tau(f(s)) = a \\ \tau(f(t)) = a+1 \end{cases}$$

$$f(s) > f(t)$$

$$\tau \circ f(s) < \tau \circ f(t)$$

$(s, t)$  is an inversion of  $f$

$(s, t)$  is NOT an inversion of  $\tau \circ f$

Conclusion:

$$\text{inv}(\tau \circ f) - \text{inv}(f) = \begin{cases} 1 & \text{if } f^{-1}(a) < f^{-1}(a+1) \\ -1 & \text{if } f^{-1}(a) > f^{-1}(a+1) \end{cases}$$



## Decompose ordered sets & permutations

- Suppose we have a finite set  $S$  and a decomposition:

$$S = S_1 \cup S_2 \cup \dots \cup S_t$$

- Suppose we have a permutation  $f$  and a decomposition:

$$f = f_1 \circ f_2 \circ \dots \circ f_t$$

- Suppose each  $f_i$  fixes all elements NOT in  $S_i$ .

We fix an identification  $S \xrightarrow{\sim} \{1, 2, \dots, n\}$

For each  $S_i \subseteq S$ , saying its image is  $\{a_1, a_2, \dots, a_{m_i}\}$

and suppose  $a_1 < a_2 < \dots < a_{m_i}$ . Then further identify  $S_i$  with  $\{1, 2, \dots, m_i\}$ .

Now, we have two versions of  $\text{inv}(f_i)$ :

1) The one view  $f_i$  as a permutation of  $S$  and use the identification  $S \xrightarrow{\sim} \{1, 2, \dots, n\}$ .

2) The one view  $f_i$  as a permutation of  $S_i$  and use the identification  $S_i \xrightarrow{\sim} \{1, 2, \dots, m_i\}$ .

They coincide!

Indeed, since  $f_i$  fixes all elements NOT in  $S_i$ ,  $(s, t)$  is an inversion of  $f_i$  in the first sense  $\Leftrightarrow s, t \in S_i$  and  $\{f_i(s) > f_i(t)\} \cap \{s < t\}$  is an inversion of  $f_i$  in the second sense.

Lemma. Any permutation  $f$  of a finite set  $S$  (identified with  $\{1, 2, \dots, n\}$ ) can be written as the composition of  $\text{inv}(f)$  adjacent transpositions.

$$\text{Recall: } (12\dots n) = (12)(23)\dots(n-1n)$$

Proof: Induction on  $\text{inv}(f)$ :

Base:  $\text{inv}(f) = 0$ , namely  $f$  preserves the order. Then  $f$  has to be  $\text{id}_S$ .

And  $\text{id}_S$  is the composition of 0 adjacent transpositions.

Now, suppose  $\text{inv}(f) > 0$ . Then  $f \neq \text{id}_S$  and  $\exists a \in S$  s.t.

$$f^{-1}(a) > f^{-1}(a+1)$$

Then by the previous lemma,

$$\text{inv}((a\ a+1) \circ f) = \text{inv}(f) - 1.$$

By induction hypothesis,  $(a\ a+1) \circ f$  is the composition of  $\text{inv}(f) - 1$  adjacent transpositions.

Then  $f = (\alpha \text{ adj}) \circ (\alpha \text{ adj}) \circ f$

$$= (\alpha \text{ adj}) \circ ((\alpha \text{ adj}) \circ f)$$

↓                      ↓  
one adj. transp. composition of  $\text{inv}(f)$  - / adj. transp.s

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Thm. (3rd Defn of sign)

Let  $f$  be a permutation of a finite set  $S$  (identified with  $\{1, 2, \dots, n\}$ ).

Then

$$\text{Sign}(f) = (-1)^{\text{inv}(f)}$$

Proof: By the previous lemma,

$f$  is the composition of  $\text{inv}(f)$  adjacent transpositions.

By 2nd defn of sign,  $\text{Sign}(f) = (-1)^{\text{inv}(f)}$ .

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# Back to Number Theory (from Algebra)

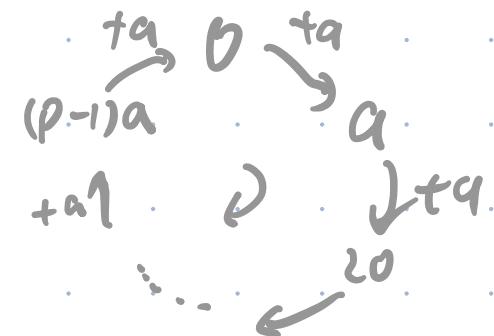
Let  $p$  be an odd prime number.

$$+ a \bmod p : \mathbb{Z}_p \longrightarrow \mathbb{Z}_p$$

$$\bar{x} \longmapsto \bar{x+a}$$

This is a permutation. (why?)

Prop.  $\text{Sign} (+ a \bmod p) = 1.$



Proof: If  $a \equiv 0 \bmod p$ , then  $f$  is id. Thus  $\text{sign}(f) = 1$

If  $a \not\equiv 0 \bmod p$ , then  $a$  is mult. invertible and thus

$$\{\bar{0}, \bar{a}, \bar{2a}, \dots, \bar{(p-1)a}\}$$

must be the entire  $\mathbb{Z}_p$ . Hence,  $f$  is a cyclic permutation of length  $p$ .

$$\Rightarrow \text{sign}(f) = (-1)^{\frac{p-1}{2}} = 1$$

even.

Let  $f: S \rightarrow S$  be one of the following :

1)  $\boxed{\cdot a \text{ mod } p}: \mathbb{F}(p) \longrightarrow \mathbb{F}(p)$

$$\bar{x} \longmapsto \bar{x \cdot a}$$

2)  $\boxed{\cdot a \text{ mod } p}: \mathbb{Z}_p \longrightarrow \mathbb{Z}_p$

$$\bar{x} \longmapsto \bar{x \cdot a}$$

Then we know that  $f$  is a permutation.

Since  $\boxed{\cdot a \text{ mod } p}$  is bijective when  $a$  is mult. invertible.

## Zolotarev's Lemma.

$$\text{Sign}(\boxed{\bullet a \bmod p}) = \left( \frac{a}{p} \right)$$

Proof: First, we may only consider 1) since in 2),  $\bar{0} \mapsto \bar{0}$  contributes factor 1 to the sign and hence  $f$  in 1) & 2) have the same sign.

recall:

$\ell(a) = \text{length of each cycle in the dynamics of } \boxed{\bullet a \bmod p}$

$c(a) = \text{number of cycles in the dynamics of } \boxed{\bullet a \bmod p} = f$

Then,  $\ell \cdot c = p - 1$ . (which is even)

By the 1st defn of sign,

$$(p-1) \cdot c = \underbrace{\ell \cdot c}_{\text{even}} - c \equiv c \pmod{2}$$

$$\text{Sign}(f) = ((-1)^{\ell-1})^c = (-1)^c.$$

Divide into two cases :  $c$  even or odd.

a) If  $c$  is even, then  $\text{sign}(f) = 1$ . and

$$\left(\frac{a}{p}\right) \equiv a^{\frac{p-1}{2}} = a^{\ell \cdot \frac{c}{2}} = (a^\ell)^{\frac{c}{2}} \equiv 1^{\frac{c}{2}} = 1 \pmod{p}$$

Euler's Thm                      b/c.  $c$  is even

b) If  $c$  is odd, then  $\text{sign}(f) = -1$ .

Since  $\ell \cdot c = p-1$  is even and  $c$  is odd,  $\ell$  has to be even.

Then let  $b = \text{nat. repn. of } a^{\ell/2} \pmod{p}$

$$\text{Now, } b^2 \equiv a^\ell \equiv 1 \pmod{p} \Rightarrow b \equiv \pm 1 \pmod{p} \quad \} \Rightarrow b \equiv -1 \pmod{p}.$$

But the defn of  $\ell \Rightarrow b \not\equiv 1 \pmod{p}$

$$\text{Now, } \left(\frac{a}{p}\right) \equiv a^{\frac{p-1}{2}} \equiv b^c \equiv (-1)^c = -1 \pmod{p}.$$

In either case,  $\text{sign}(f) = \left(\frac{a}{p}\right)$

Back to Quadratic Reciprocity law:

$$\left(\frac{q}{p}\right) = \left(\frac{p^*}{q}\right) \text{ where } p^* := (-1)^{\frac{p-1}{2}} \cdot p$$
$$= (-1)^{\frac{p-1}{2} \frac{q-1}{2}} \left(\frac{p}{q}\right)$$

Plan: Find permutations  $\alpha, \beta, \gamma$  s.t.

$$\text{Sign}(\alpha) = \left(\frac{q}{p}\right)$$

$$\text{Sign}(\beta) = \left(\frac{p}{q}\right)$$

$$\text{and } \alpha = \gamma \circ \beta$$

$$\text{Sign}(\alpha) = \text{Sign}(\gamma) \cdot \text{Sign}(\beta)$$

$$\text{Sign}(\gamma) = (-1)^{\frac{p-1}{2} \frac{q-1}{2}}$$

Let  $S = \{0, 1, \dots, pq-1\}$  the nat. repns of  $\mathbb{Z}_{pq}$

$[a,b] :=$  the unique inter in  $S$  congruent to  $a \pmod p$  and  $b \pmod q$ .

$$\langle a, b \rangle := aq + b, \quad [a, b] \equiv [a, b] \pmod q$$

$$[a, b\rangle := a + bp, \quad [a, b\rangle \equiv [a, b] \pmod p$$

Every element in  $S$  can be uniquely expressed in each notation. (why?)

$\alpha$ : send each  $[a, b]$  to  $\langle a, b \rangle$

$\beta$ : send each  $[a, b]$  to  $[a, b\rangle$

$\gamma$ : send each  $[a, b\rangle$  to  $\langle a, b \rangle$

Permutations of  $S$  satisfying  $\alpha = \gamma \circ \beta$

E.g.  $p=5$ ,  $q=3$

$[0,0]$ 0 $[0,0]$	$[0,0]$ 6 $[1,0]$	$[1,1]$ 12 $[2,0]$	$[2,2]$ 3 $[3,0]$	$[3,0]$ 9 $[4,0]$	$[4,1]$ $\gamma$ $[4,0]$	$[3,0]$ $\beta$
$[0,2]$ 10 $[0,1]$	$[3,1]$ 1 $[1,1]$	$[1,0]$ 0 $[0,1]$	$[0,1]$ 7 $[2,1]$	$[2,1]$ 13 $[3,1]$	$[2,1]$ $\alpha$ $[4,1]$	$[4,0]$ 4 $[1,1]$
$[0,1]$ 5 $[0,2]$	$[1,2]$ 11 $[1,2]$	$[1,2]$ 2 $[2,2]$	$[2,0]$ 8 $[3,2]$	$[0,2]$ 14 $[4,2]$	$[3,1]$ 9 $[2,2]$	$[2,2]$ 14 $[4,2]$

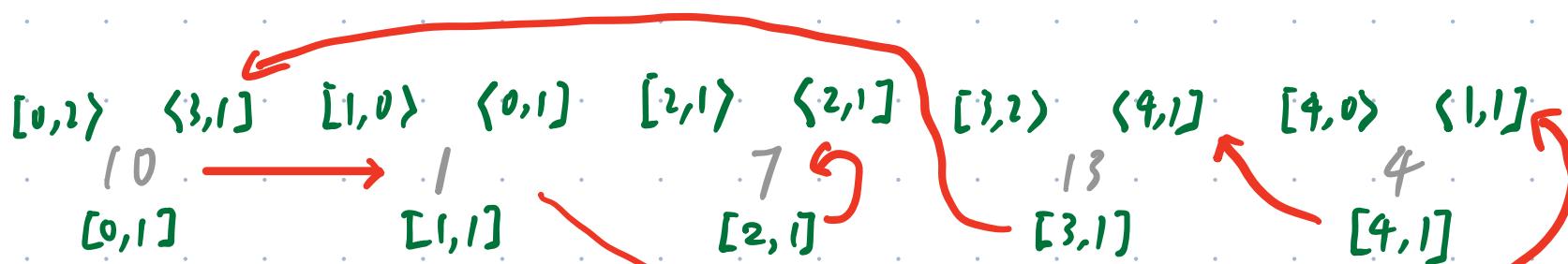
arranged into  $p$  columns and  $q$  rows, as determined by CRT.

Lemma.  $\text{sign}(\alpha) = \left( \frac{q}{p} \right)$      $\text{sign}(\beta) = \left( \frac{p}{q} \right)$

Proof: We will prove the first, the second follows in similar argument.

Rearrange elements of  $S$  into  $p$  columns and  $q$  rows according to  $[r, t]$ .

$[0,0]$	$\langle 0,0 \rangle$	$[1,1]$	$\langle 2,0 \rangle$	$[2,2]$	$\langle 4,0 \rangle$	$[3,0]$	$\langle 1,0 \rangle$	$[4,1]$	$\langle 3,0 \rangle$
0		6		12		3		9	
$[0,0]$		$[1,0]$		$[2,0]$		$[3,0]$		$[4,0]$	



$[0,1]$	$\langle 1,2 \rangle$	$[1,2]$	$\langle 3,2 \rangle$	$[2,0]$	$\langle 0,2 \rangle$	$[3,1]$	$\langle 2,2 \rangle$	$[4,2]$	$\langle 9,2 \rangle$
5		11		2		8		14	
$[0,2]$		$[1,2]$		$[2,2]$		$[3,2]$		$[4,2]$	

More precisely, an element  $n \in S$  is

- in the column  $[a, -]$  iff  $n \equiv a \pmod{p}$
- in the row  $[-, b]$  iff  $n \equiv b \pmod{q}$

Note that:  $\langle a, b \rangle \equiv [a, b] \pmod{q}$

Hence,  $\alpha$ , which maps each  $[a, b]$  to  $\langle a, b \rangle$ , maps each element to one in the SAME row.

Namely, if we restrict  $\alpha$  to the row  $[-, b]$ , then it is also a permutation of that row. We can identify row  $b$  with  $\mathbb{Z}_p$  by  $[a, b] \mapsto \bar{a}$ .

In the row  $b$ , each column  $a$  is mapped to the column  $aq + b \pmod{p}$ .

Using words from  $\mathbb{Z}_p$ ,  $\alpha$  acts as  $\bar{a} \mapsto \bar{aq} + \bar{b}$ , which can be viewed as the composition of " $\bar{X}q$ " and " $+\bar{b}$ ".

We have  $\alpha|_{\text{row } b} = "+b \bmod p" \circ "x \bmod p"$

Then  $\text{Sign}(\alpha|_{\text{row } b}) = \text{Sign}(" + b \bmod p") \text{Sign}(" x \bmod p")$

$$\begin{aligned}&= 1 \cdot \left( \frac{q}{p} \right) \\&= \left( \frac{q}{p} \right).\end{aligned}$$

Since we have  $q$  rows,

$$\begin{aligned}\text{Sign}(\alpha) &= \text{Sign}(\alpha|_{\text{row } 0}) \cdots \text{Sign}(\alpha|_{\text{row } q-1}) \\&= \left( \frac{q}{p} \right)^q \\&= \left( \frac{q}{p} \right).\end{aligned}$$

Lemma.  $\text{Sign}(\gamma) = (-1)^{\frac{p-1}{2} \frac{q-1}{2}}$

Proof. We'll use the 3rd characterization of sign.

First, for any  $x, x' \in S$ , write  $x = aq + b$  &  $x' = a'q + b'$ .

Then  $x < x' \iff$  either  $a < a'$  (\*)  
or  $a = a'$  but  $b < b'$

Now, we want to compare  $\gamma(x)$  &  $\gamma(x')$ :

$$\gamma(x) = a + bp \quad \text{v.s.} \quad \gamma(x') = a' + b'p$$

Then  $\gamma(x) > \gamma(x') \iff$  either  $b > b'$  (\*)'  
or  $b = b'$  but  $a > a'$

Combine (\*) & (\*'),  $(x, x')$  is an inversion of  $\gamma \iff a < a' \& b > b'$   
 $x < x' \& \gamma(x) > \gamma(x')$

The # of  $(a, a', b, b')$  s.t.  $0 \leq a < a' \leq p-1$   
 and  $0 \leq b' < b \leq q-1$

$$\text{is } \frac{p-1}{2} \cdot \frac{q-1}{2}$$

Hence,  $\text{inv}(\gamma) = \frac{p-1}{2} \cdot \frac{q-1}{2}$ . Then

$$\text{Sign}(\gamma) = (-1)^{\text{inv}(\gamma)} = (-1)^{\frac{p-1}{2} \frac{q-1}{2}}$$

Proof of Quadratic Reciprocity Law:

$$\alpha = \gamma \circ \beta \Rightarrow \text{Sign}(\alpha) = \text{Sign}(\gamma) \text{Sign}(\beta)$$

$$\left( \frac{q}{p} \right) \quad \left( -1 \right)^{\frac{p-1}{2} \frac{q-1}{2}} \quad \left( \frac{p}{q} \right)$$