

Theorem (Chinese Remainder Theorem) Abstract Version.

Let m_i ($i \in I$) be some moduli and assume they are coprime.

Then there is an "isomorphism"

(bijection + preserving operations)

$$M = \prod_{i \in I} m_i$$

$$\mathbb{Z}/M \xrightarrow{\rho_0} \prod_{i \in I} \mathbb{Z}_{m_i} = \left\{ (\alpha_i)_{i \in I} \mid \alpha_i \in \mathbb{Z}_{m_i} \right\}$$

operations are defined componentwise

$$[a]_M \longmapsto ([a]_{m_i})_{i \in I}$$

$$(\alpha_i)_{i \in I} + (\beta_i)_{i \in I} := (\alpha_i + \beta_i)_{i \in I}$$

$$(\alpha_i)_{i \in I} \cdot (\beta_i)_{i \in I} := (\alpha_i \beta_i)_{i \in I}$$

$$0 := (0)_{i \in I} \text{ neutral}$$

$$1 := (1)_{i \in I} \text{ identity}$$

Translate questions in \mathbb{Z}/M to systems of questions in each \mathbb{Z}_{m_i}

(Coro.) φ is multiplicative

$$\varphi(p^e) = p^{e-1}(p-1)$$

Proof: The isomorphism $\mathbb{Z}/mn \xrightarrow{\rho} \mathbb{Z}_m \times \mathbb{Z}_n$ (where m, n are coprime)

induces an isomorphism $\mathbb{F}(mn) \longrightarrow \mathbb{F}(m) \times \mathbb{F}(n)$.

Why? Only need to verify:

For any $a \in \mathbb{F}(mn)$, the natural rep of a modulo m (resp. modulo n) is multiplicatively invertible, i.e. $\in \mathbb{F}(m)$ (resp. $\mathbb{F}(n)$)

Proof. take $b \in \mathbb{F}(mn)$ s.t. $ab \equiv 1 \pmod{mn}$

Then $ab \equiv 1 \pmod{m}$ (and \pmod{n})

Hence a , as well as its nat. rep. is invertible mod m (and mod n)

"Invertibility modulo M " \Leftrightarrow "Invertibility modulo m_i for all $i \in I$ "

Solving equations: $f(T) \in \mathbb{Z}[T]$ "integer coefficients"

Say $[a] \in \mathbb{Z}/m$ is a root of $f(T)$ if $f(a) \equiv 0 \pmod{m}$.

Note that, this property doesn't depend on the choice of rep. of $[a]$.

For m_i ($i \in I$) moduli coprime to each other, and $M = \prod_{i \in I} m_i$,

the isomorphism in CRT induces the following bijection:

$$\left\{ \text{roots of } f(T) \text{ in } \mathbb{Z}/M \right\} \xrightarrow[\sim]{P_0} \prod_{i \in I} \left\{ \text{roots of } f(T) \text{ in } \mathbb{Z}/m_i \right\}$$

proof: Suppose $f(T) = a_n T^n + \dots + a_1 T + a_0$, then for any $x \in \mathbb{Z}/M$,
 $[f(x)]_M$

$$P_0([a_n]_M x^n + \dots + [a_1]_M x + [a_0]_M) = ([a_n]_{m_i} P_i^{(n)} + \dots + [a_1]_{m_i} P_i^{(1)} + [a_0]_{m_i})_{i \in I}$$

Since P_0 is bijective, \downarrow is zero \Leftrightarrow \downarrow is zero for all $i \in I$
 $\Leftrightarrow x$ is a root in \mathbb{Z}/M $\Leftrightarrow P_i(x)$ is a root in \mathbb{Z}/m_i for all $i \in I$

e.g. Solve $T^2 \equiv 29 \pmod{35}$ $T^2 - 29$

Step 1: $35 = 5 \times 7$

Step 2: " $T^2 \equiv 29 \pmod{35}$ " can be interpreted (via CRT) as

$$“T^2 \equiv 29 \pmod{5}” \wedge “T^2 \equiv 29 \pmod{7}”$$

Step 3a: " $T^2 \equiv 29 \pmod{5}$ " \Leftrightarrow " $T^2 \equiv 4 \pmod{5}$ " $\leftarrow \deg 2 \Rightarrow \# \text{roots} \leq 2$

The later can be solved by trying: $\text{perfect squares} = 2^2 = (-2)^2$

$$0^2 \equiv 0, 1^2 \equiv 1, 2^2 \equiv 4, 3^2 \equiv 4, 4^2 \equiv 1 \pmod{5} \quad T \equiv 2, 3 \pmod{5}$$

Step 3a: " $T^2 \equiv 29 \pmod{7}$ " \Leftrightarrow " $T^2 \equiv 1 \pmod{7}$ " $\leftarrow \deg 2 \Rightarrow \# \text{roots} \leq 2$

The later can be solved by trying: $1 = 1^2 = (-1)^2$

$$0^2 \equiv 0, 1^2 \equiv 1, 2^2 \equiv 4, 3^2 \equiv 2, 4^2 \equiv 2, 5^2 \equiv 4, 6^2 \equiv 1 \pmod{7}$$

$$T \equiv 1, 6 \pmod{7}$$

Step 4: Combine " $T \equiv 2 \text{ or } 3 \pmod{5}$ " and " $T \equiv 1 \text{ or } 6 \pmod{7}$ " using the algorithm in CRT.

$$3 \cdot 5 - 2 \cdot 7 = 1.$$

$$m_1 = 5, M_1 = 7, N_1 = -2, a_1 = 2 \text{ or } 3$$

$$m_2 = 7, M_2 = 5, N_2 = 3, a_2 = 1 \text{ or } 6 \quad M = 35$$

$$T \equiv a_1 M_1 N_1 + a_2 M_2 N_2 \pmod{M}$$

(a_1, a_2)	$T \pmod{35}$
2, 1	$2 \cdot 7 \cdot (-2) + 1 \cdot 5 \cdot 3 = -13 \equiv 22$
2, 6	$2 \cdot 7 \cdot (-2) + 6 \cdot 5 \cdot 3 = 62 \equiv 27$
3, 1	$3 \cdot 7 \cdot (-2) + 1 \cdot 5 \cdot 3 = -27 \equiv 8$
3, 6	$3 \cdot 7 \cdot (-2) + 6 \cdot 5 \cdot 3 = 48 \equiv 13$

Summarizing :

We can reduce polynomials mod M to polynomial mod m_i ($i \in I$)

By Prime factorization of positive integers, ($n > 0$ integer)
we have a bijection:

$$\left\{ \text{Roots of } f(T) \text{ in } \mathbb{Z}_n \right\} \xleftrightarrow[\sim]{\text{CRT}} \prod_{p \text{ is a prime divisor of } n} \left\{ \text{Roots of } f(T) \text{ in } \mathbb{Z}_{p^{\nu_p(n)}} \right\}$$

We have studied polynomials mod p , how about mod p^e ?

"Henselian lifting".

$$\text{e.g. } 28 = 2^2 \cdot 7$$

"roots of f in \mathbb{Z}_{28} "

\hookrightarrow "roots of f in \mathbb{Z}_4 " \times "roots of f in \mathbb{Z}_7 ".

Reduction & Lifting

$$\begin{array}{ccc} \mathbb{Z}_{35} & \xrightarrow{\quad} & \mathbb{Z}_7 \\ \overline{6} \times \cancel{\overline{21}} & \longrightarrow & \overline{6} \\ \overline{21} & \cancel{\longrightarrow} & \end{array} \quad T^L - 29$$

$n|m$

$$\mathbb{Z}_m \longrightarrow \mathbb{Z}_n$$

We say the class α descents to β

$$\alpha \longmapsto \beta$$

or α is a lifting of β .

Q: $f(T) \in \mathbb{Z}[T]$. Suppose $a \pmod n$ is a root of $f(T)$ in \mathbb{Z}/n .

How to lift $a \pmod n$ to a root of $f(T)$ in \mathbb{Z}/m .

⚠: Although $f([a]_m) = [0]_m \Rightarrow f([a]_n) = [0]_n$, ($n|m$)

the inverse is NOT TRUE!

$$\text{e.g. } m=6, n=2 \quad f(T) = T+2 \quad a=0$$

Then

$$f(0) = 2 \equiv 0 \pmod 2 \quad \text{but} \quad f(0) = 2 \equiv 2 \pmod 6$$

Theorem. (lifting multiplicative inverse)

Let p be a prime and $e > 0$ be an integer.

Suppose x is a multiplicative inverse of $a \pmod{p^e}$.

Then

$$\tilde{x} := -ax^2 + 2x$$

is a multiplicative inverse of $a \pmod{p^{2e}}$

Proof: $ax = 1 + p^e \cdot r$

$$\begin{aligned}\tilde{ax} &= -a^2x^2 + 2ax = -(1 + p^e r)^2 + 2(1 + p^e r) \\ &= 1 - p^{2e} \cdot r^2 \equiv 1 \pmod{p^{2e}}.\end{aligned}$$

Remark: $\tilde{x} = x - \underbrace{x p^e \cdot r}_{\text{modification.}}$

Lift solution of $aX + b \equiv 0 \pmod{p^e}$ to $\pmod{p^{2e}}$

1) If $b=0$, there is nothing to do

2) Suppose $b \neq 0$. Let x be a solution of

$$aX + b \equiv 0 \pmod{p^e}$$

We use a_1, a_2
not a^{-1} to distinguish
multiplicative inverse
 $\pmod{p^e}$ & $\pmod{p^{2e}}$

Let a_1 be the multiplicative inverse of $a \pmod{p^e}$

Then $x = -a_1 b$.

Lift a_1 to a_2 , the multiplicative inverse of $a \pmod{p^{2e}}$

Then $\tilde{x} := -a_2 b = x - \underbrace{x p^e r}_{\text{modification.}}$

where $a a_1 = 1 + p^e \cdot r$

Theorem (Hensel's lemma)

Let $f(T) \in \mathbb{Z}[T]$, p be a prime and $e > 0$ be an integer.

Suppose x is a root of $f(T) \pmod{p^e}$ and $f'(x) \not\equiv 0 \pmod{p}$
as congruence class in derivative.

Then there is a unique lifting \tilde{x} of x (Namely $\tilde{x} \equiv x \pmod{p^e}$)

such that \tilde{x} is a root of $f(T) \pmod{p^{2e}}$

Rmk: We may replace p^{2e} by p^{ef} as long as $f \leq e$

Reduction: $\mathbb{Z}_{p^{2e}} \rightarrow \mathbb{Z}_{p^{ef}}$

$$\begin{array}{c} \tilde{x} \pmod{p^{2e}} \\ \uparrow \\ x \pmod{p^e} \end{array}$$

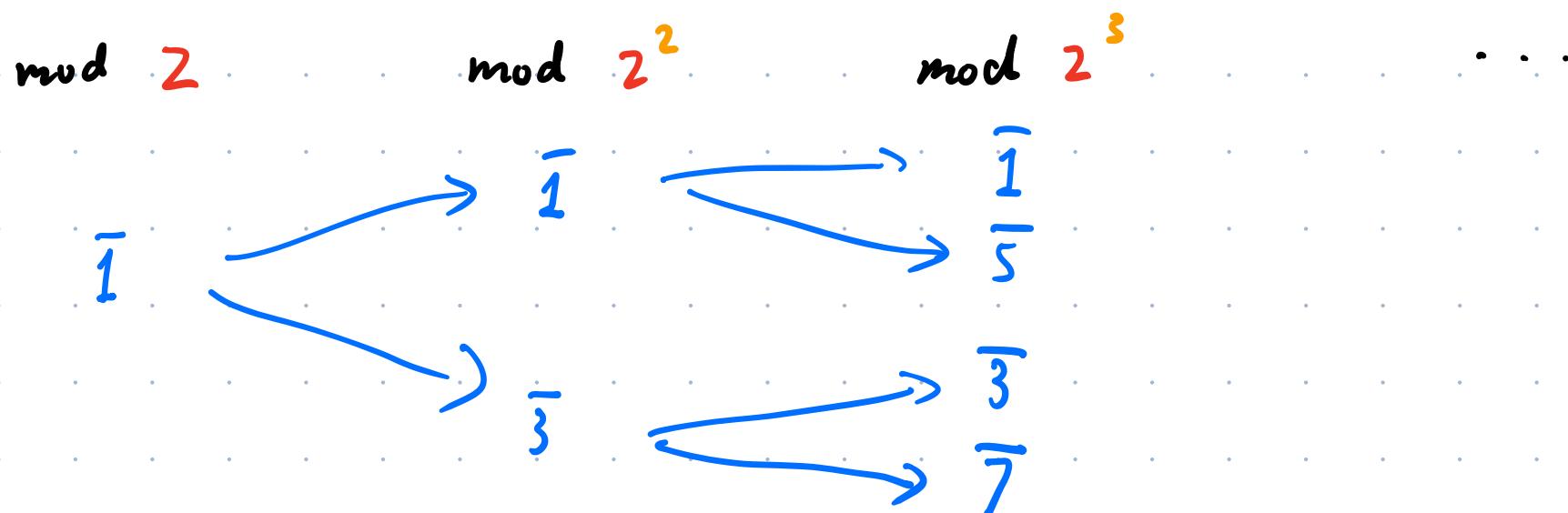
Rmk: \tilde{x} is of the form $\tilde{x} = x + c \cdot p^e$ ($0 \leq c < p^f$)

Rmk (derivative, formally definition) $T^d \mapsto d \cdot T^{d-1}$, $1 \mapsto 0$.

E.g. $p=2$ $f(T) = T^2 - 1$

Then $f'(T) = 2T$, which is zero polynomial mod 2

Hence, none roots of f mod 2^e has a unique lifting.



Not unique!

Proof (Sketch) : x is a root of $f \pmod{p^e}$

Expand $f(x + p^e t)$ to its Taylor series
variable

$$f(x + p^e t) = f(x) + f'(x)p^e t + \frac{f''(x)}{2!} p^{2e} t^2 + \dots$$

Note that: 1) this is a finite sum

2) $\frac{f^{(d)}(x)}{d!}$ is always an integer.

Hence, $f(x + p^e t) \equiv f(x) + f'(x)p^e t \pmod{p^{2e}}$

We want $\tilde{x} = x + t \cdot p^e$ be a root of $f(T) \pmod{p^{2e}}$.

This amounts to $f(x) + f'(x)p^e t \equiv 0 \pmod{p^{2e}}$.

Solved by lifting multiplicative inverse.

Coro: Let $f(T) \in \mathbb{Z}[T]$ and P be a prime.

Suppose x is a root of $f(T) \pmod{P}$ and $f'(x) \not\equiv 0 \pmod{P}$

Then there is a sequence $\vec{x} = (x_n)_{n \in \mathbb{N}}$ s.t.

1) $x_1 = x$

2) $x_n \equiv x_m \pmod{P^m}$ whenever $m < n$

3) x_n is a root of $f(T) \pmod{P^n}$

Proof: Apply Hensel's Lemma to $x_1 = x$, we get x_2 , then x_4 , x_8 , ...

Reduce x_{2^e} to x_f with $f < 2^e$, we covers all x_n .

$$x_1 \pmod{P} \xrightarrow{\text{lift}} x_2 \pmod{P^2} \xrightarrow{\text{lift}} x_4 \pmod{P^4} \xrightarrow{\text{lift}} x_8 \pmod{P^8} \dots$$
$$x_3 \pmod{P^3} \xrightarrow[\text{reduction lift}]{} x_6 \pmod{P^6} \dots$$

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modular reduction ↓ Hensel lifting

(Q) Solve polynomials in \mathbb{F}_p ?

- Linear ✓
- Quadratic ? next time-

$$\left\{ \text{Roots of } f(T) \text{ in } \mathbb{F}_p \right\}$$