

# File system

**Abhilash Jindal**

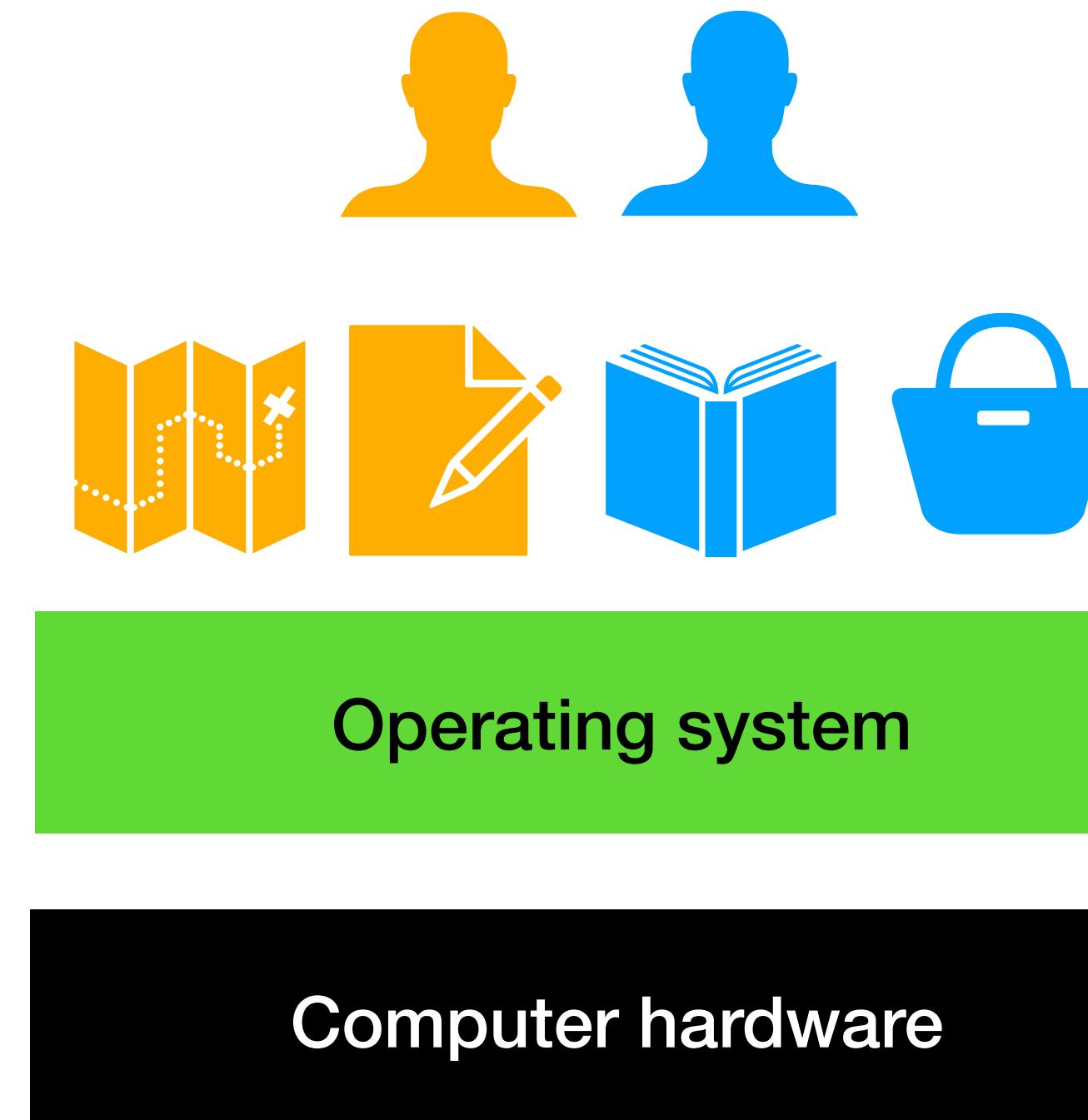
# File system

*Example: io.c*

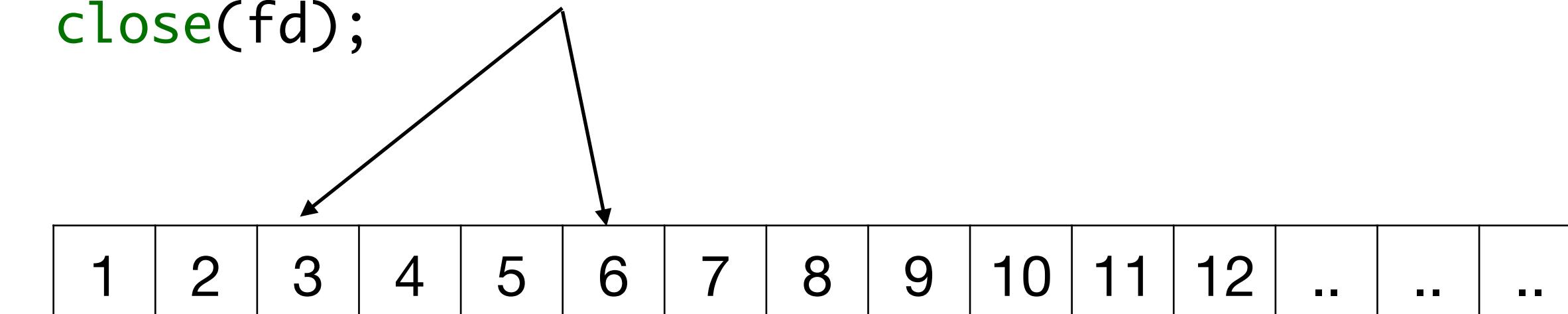
Disk interface: List of blocks

File system OS interface: Folders and files.

open, write, read, lseek, close, append,  
permissions, truncation, file descriptor offset



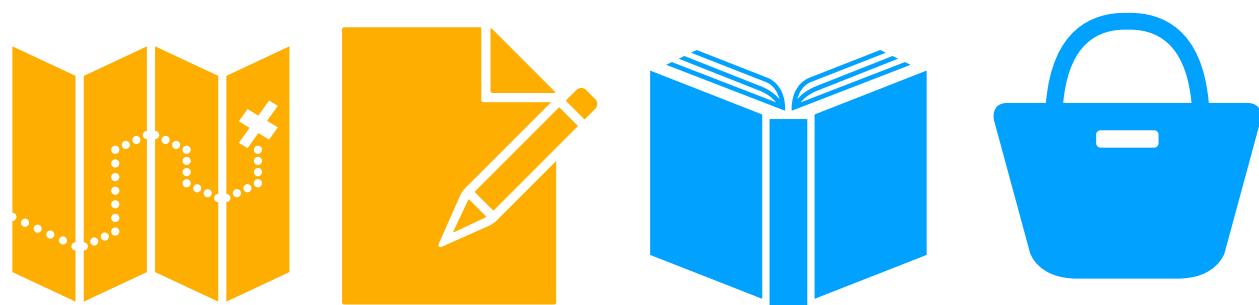
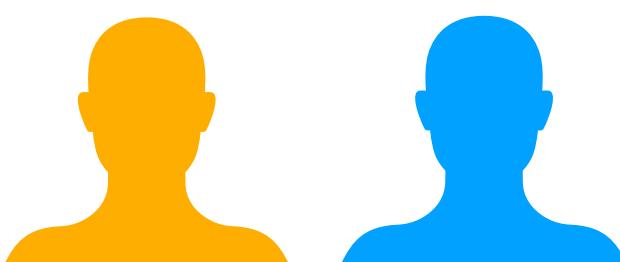
```
int fd = open("/tmp/file", O_WRONLY | O_CREAT);  
int rc = write(fd, "hello world\n", 12);  
close(fd);
```



# Why file system?

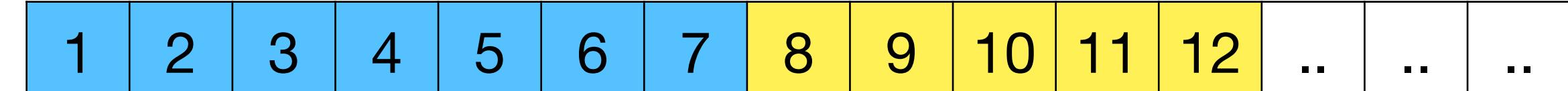
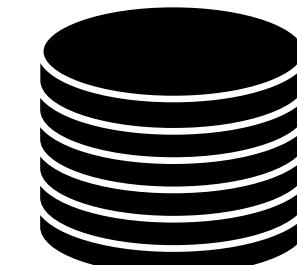
Why not just multiplex disk blocks like memory?

- Disk blocks live after programs exits, computer restarts
- Different programs read / write same file
  - vim writes io.c
  - gcc reads io.c, write io
  - We finally run io



Operating system

Computer hardware



# Abstraction: file is a sequence of bytes

- Other options: Files have structured records
  - Can build structure on top
  - But may not optimise disk accesses
- Also expose raw disk blocks
  - Databases
  - File system checker (fsck)
  - Disk defragmenter

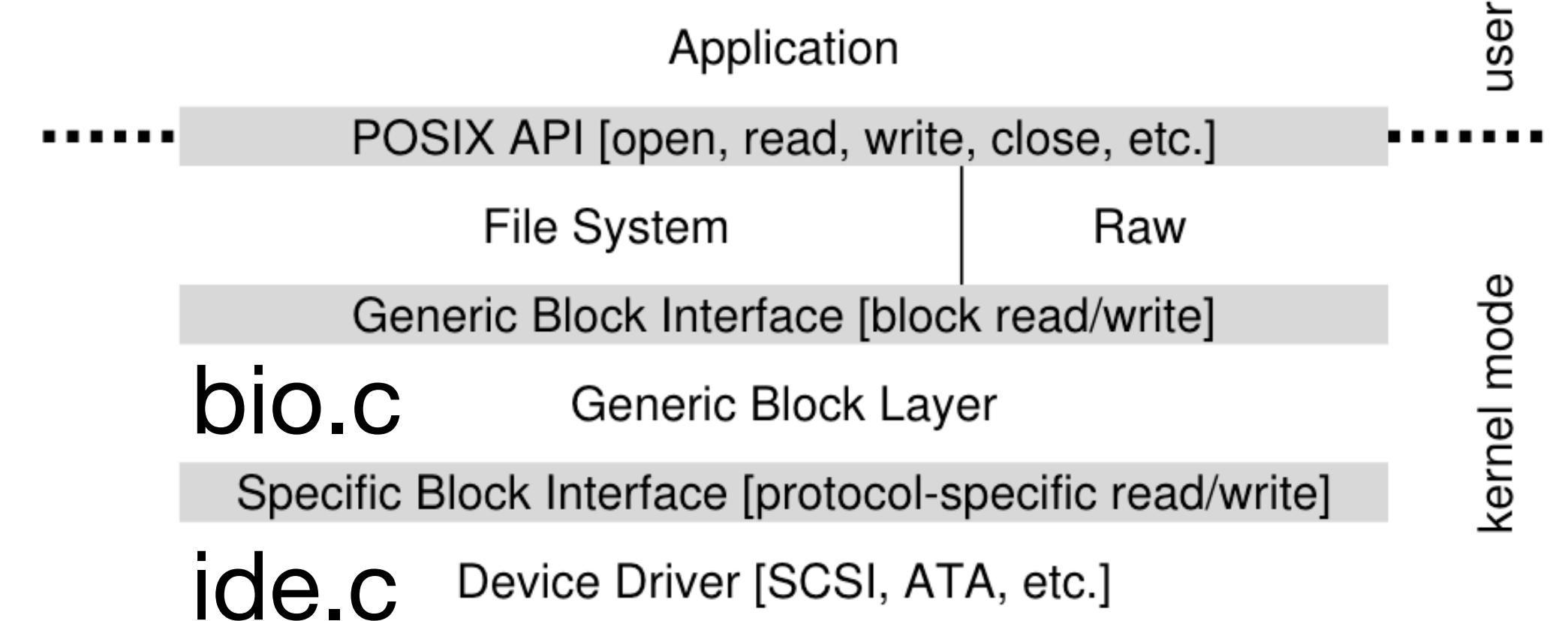


Figure 36.4: The File System Stack

# Flexible abstraction

- Stitch multiple file systems into a common directory tree
  - `mount -t ext3 /dev/sda1 /home/abhilash/photos/`
  - `mount -t ext2 /dev/sdb1 /home/abhilash/docs/`
- `/proc`
- Run `tty. cat <filename>`
- `/sys`

# Agenda

- Build a file system (OSTEP Ch. 40, xv6 Ch. 6)
  - On-disk data structure. Organize disk blocks to expose files and directories
- Optimizations (OSTEP Ch. 41)
- Crash consistency: Don't lose data when computer restarts (OSTEP Ch. 42)

# File system characteristics

- File system contains lots of files ~100K
- Most files are small ~2KB
- A few big files use most of the disk space
- Directories have typically < 20 files and directories

# **xv6 file system**

**File system implementation , OSTEP Ch.40, xv6 Ch. 6**

# How to store files?

## Contiguous allocation



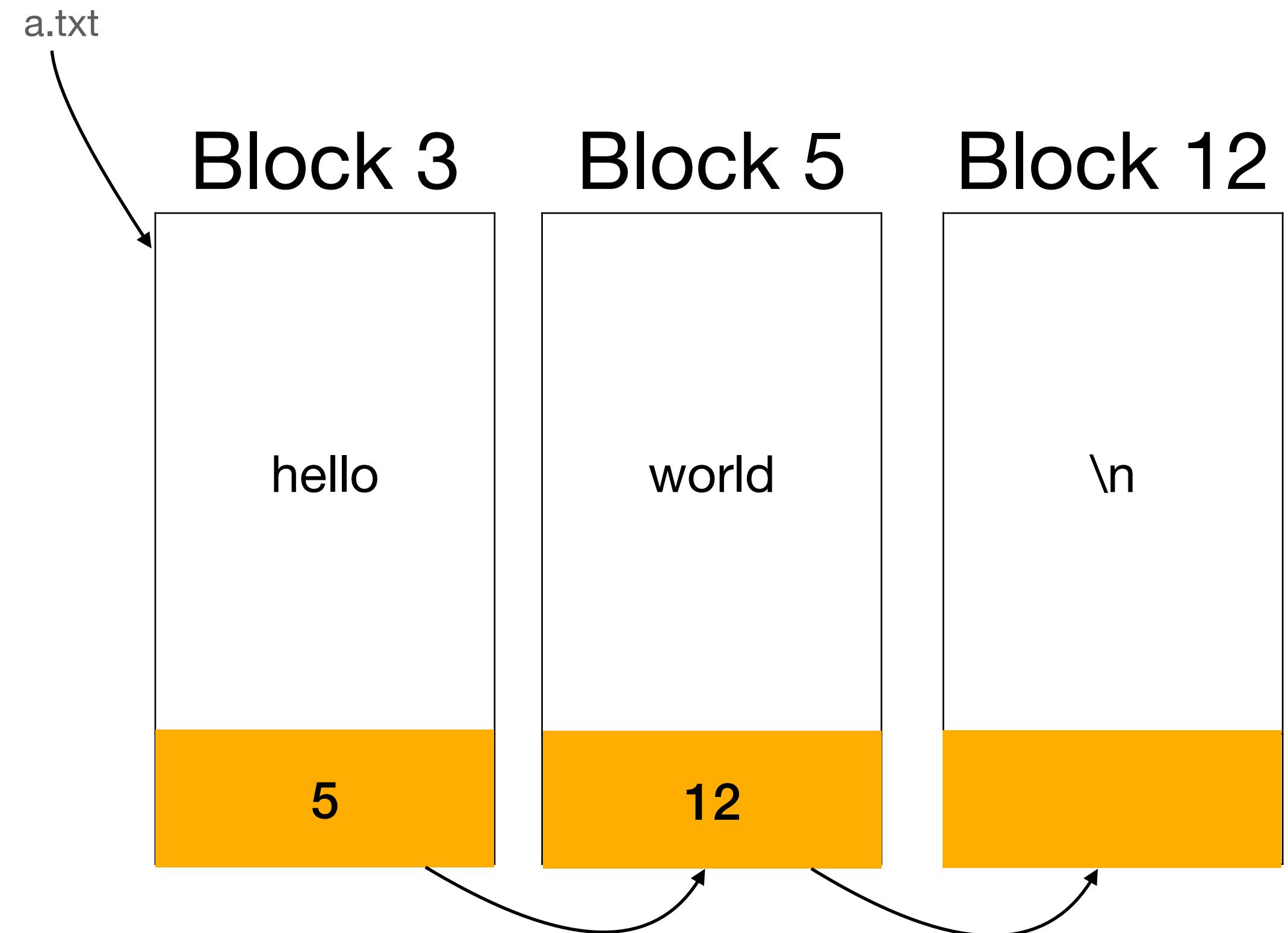
- “a.txt” -> (base = 1, size = 2)
  - “b.txt” -> (base = 8, size = 2)
- 
- Growth. “b.txt” wants to use 6 blocks. Need to copy to a new location.
  - Fragmentation. Want to create a file “c.txt” with 6 blocks.
- ✓ Sequential file rw is sequential disk rw

# How to store files?

## Linked list of blocks

✓ Files can grow easily

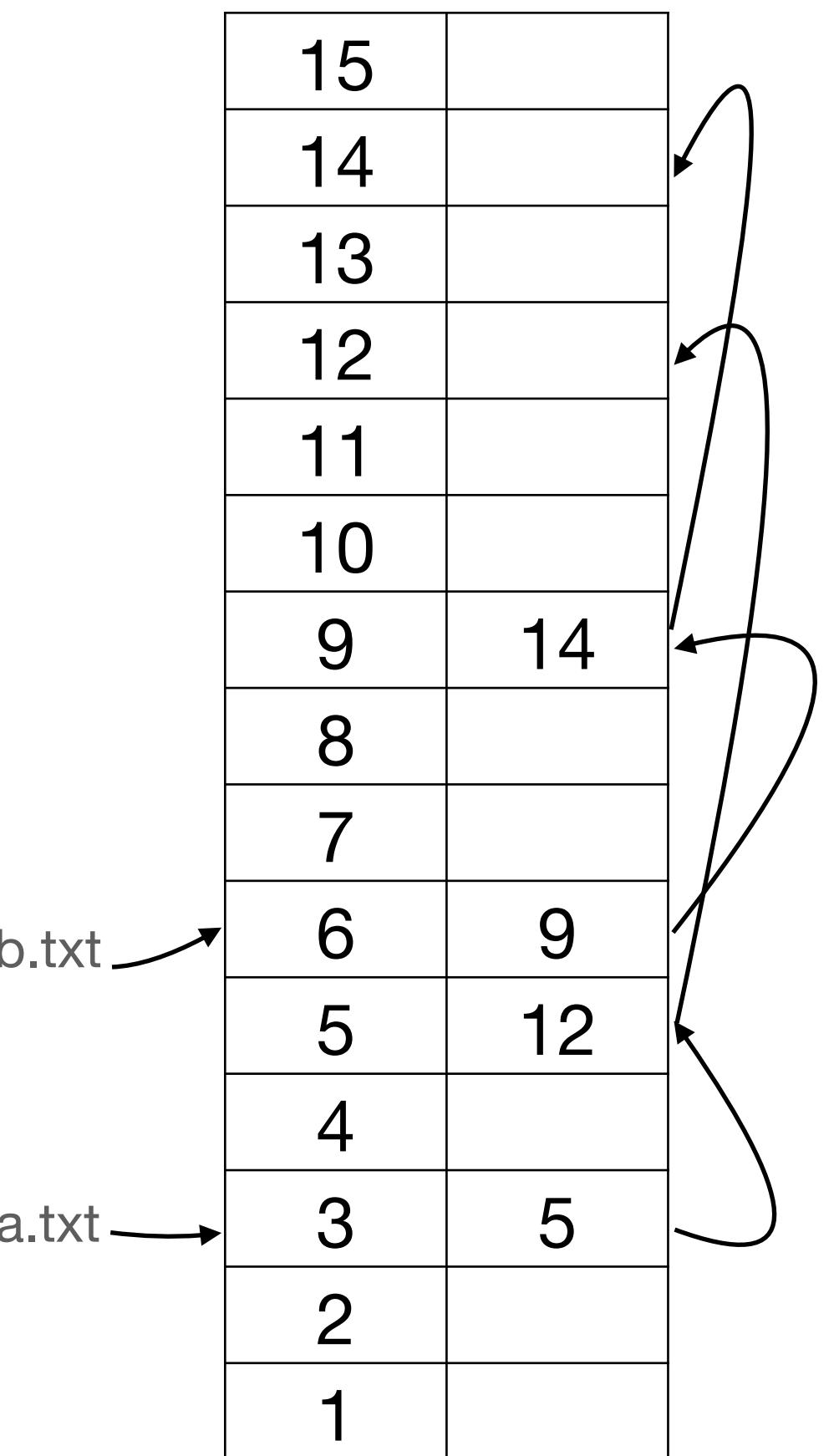
- Seek / appends are terrible:
  - Need to read the whole file
- Sequential rws become random disk rws
  - Cannot send >1 in-flight IO requests.  
Lose disk scheduling potential.
- If one block gets corrupted, parts of the file is lost



# How to store files?

## File Allocation Table (FAT filesystem)

- Fast seeks/appends
  - Bring table into memory, do pointer chasing in memory
- Size of block: 2KB to 32KB.
  - **FAT16**
    - $2^{16}$  entries. Maximum disk size:  $2^{16} * 2\text{KB} = 128\text{ MB}$
    - Size of table =  $2^{16} * (2\text{ bytes}) = 128\text{KB}$
  - **FAT32**
    - $2^{28}$  entries. Maximum disk size:  $2^{28} * 2\text{KB} = 512\text{ GB}$
    - Size of table =  $2^{28} * (4\text{ bytes}) = 1\text{GB}$
- Reliability:
  - Lose file system if we lose FAT table. Keep two copies.

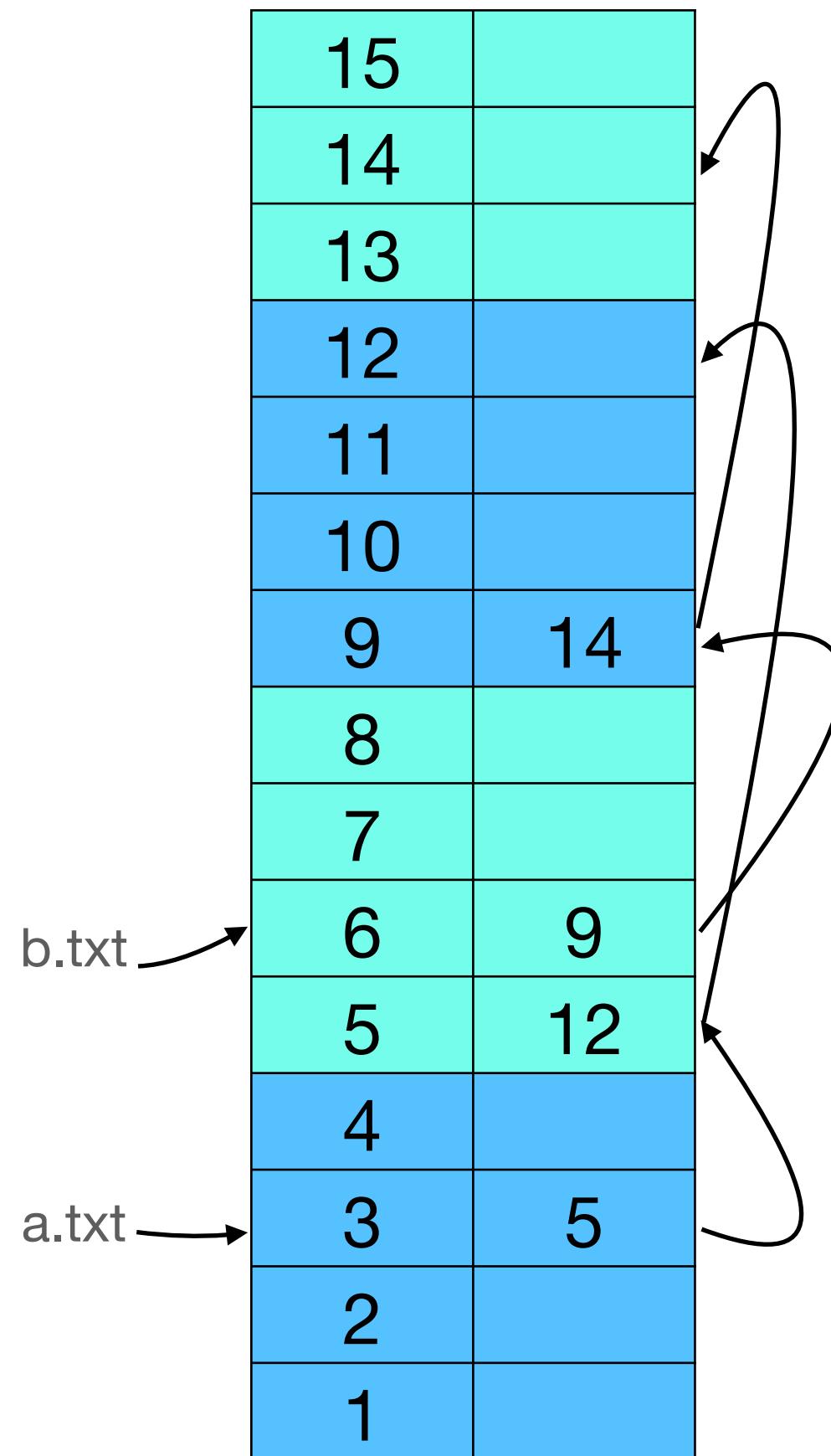


# Block size in FAT

- Large block size:
  - ✓ Support larger disks
  - ✓ Reduced random IO
  - ✓ Reduced Metadata overhead. FAT32 overhead: 4 bytes / 2KB ~ 0.2%;  
4 bytes / 32KB ~ 0.01%
- Increased internal fragmentation: minimum file size is block size
- Increase buffer cache pressure: lesser number of blocks can be cached

# Performance

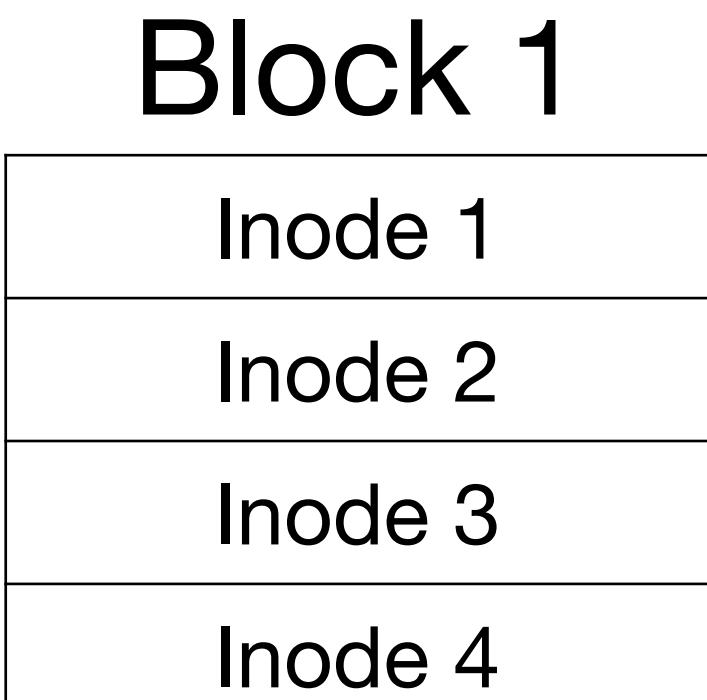
- Sequential IO
  - Better than linked list. Can find the list of blocks apriori and send requests. Disk controller can schedule them.
  - Worse than contiguous allocation since it did only 1 seek.
- Random IO
  - As fast as it can be. Find the block in memory and send disk request
- Use buffer cache for FAT table when it does not fit in memory (1GB for FAT-32)
  - To locate file's blocks, we might have to read many metadata blocks



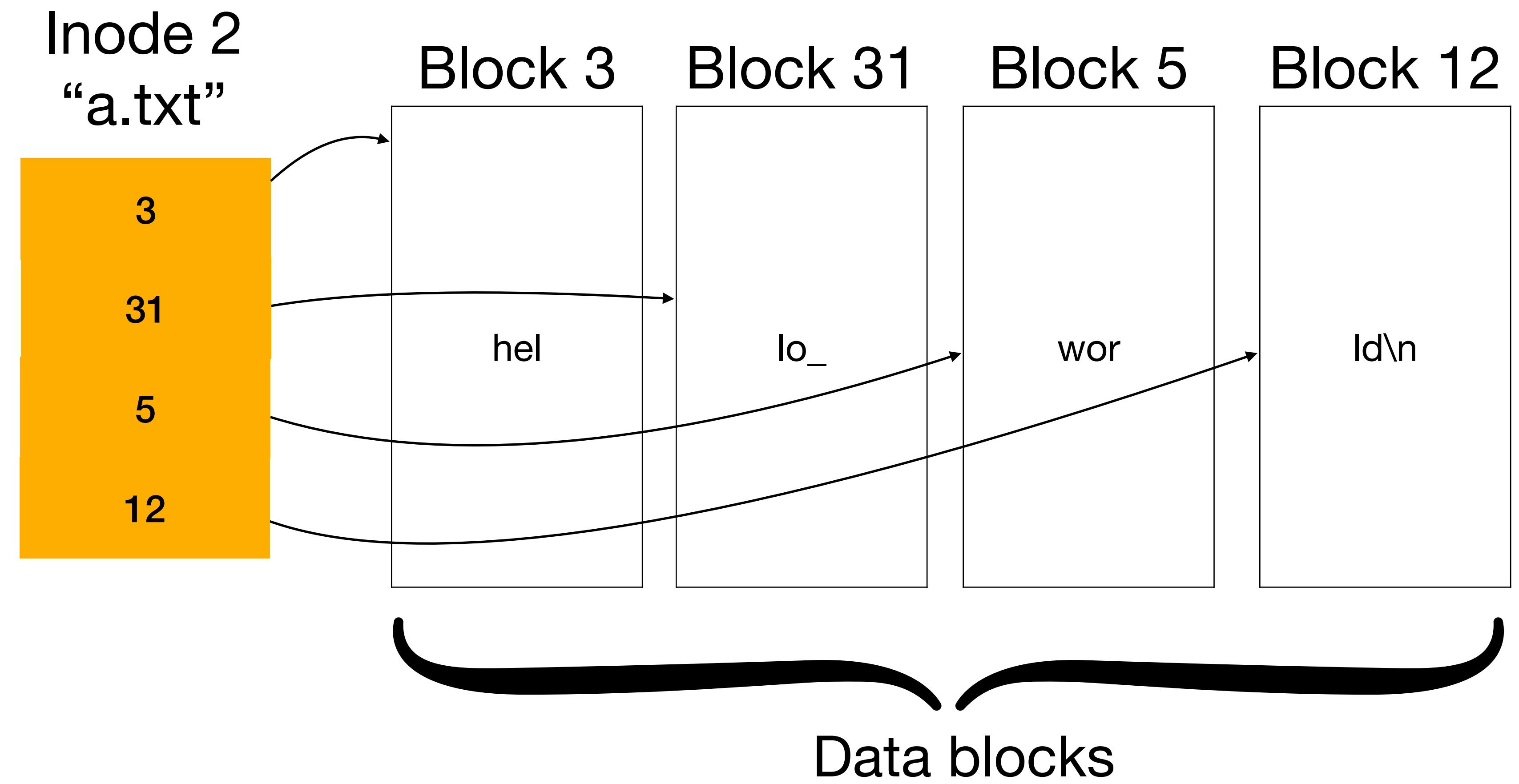
# How to store files?

## Index and data blocks

- One metadata block overhead for locating file's data blocks

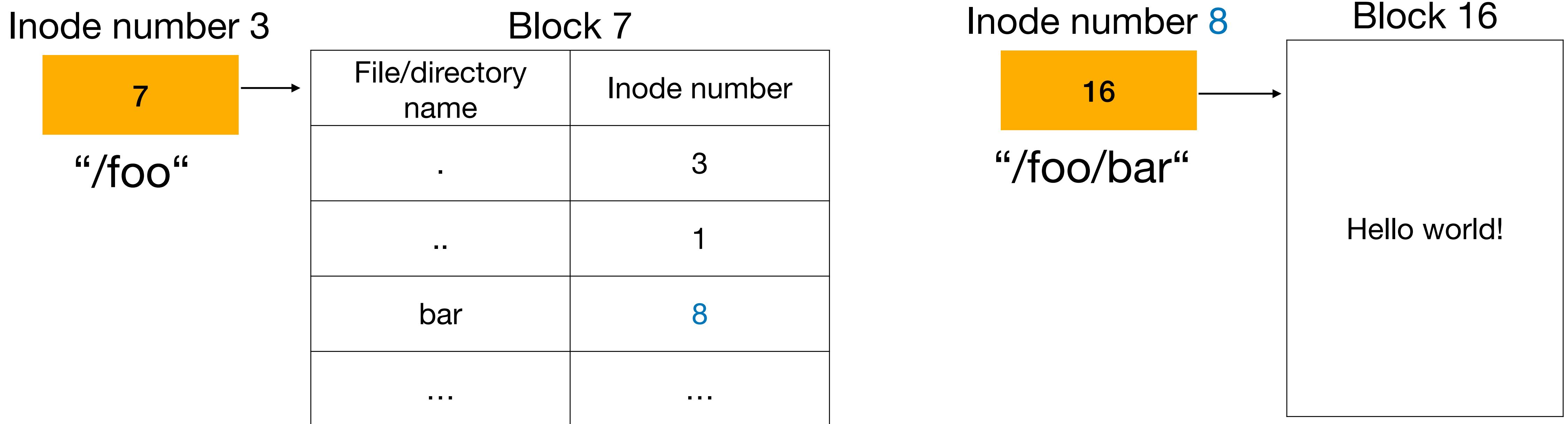


Index block



# How to store directories?

/foo/bar



- In xv6, each directory entry is 16 bytes. 32 (=512/16) directory entries in one data block
- Directories have typically < 20 files and directories

# Other things in inode

```
stat /tmp/file
```

```
File: /tmp/file
Size: 14          Blocks: 8          IO Block: 4096   regular file
Device: 803h/2051d  Inode: 22414820  Links: 1
Access: (0600/-rw-----)  Uid: ( 1000/    dell)  Gid: ( 1000/    dell)
Access: 2024-01-24 06:29:51.395609006 +0530
Modify: 2024-01-24 06:29:51.395609006 +0530
Change: 2024-01-24 06:29:51.395609006 +0530
Birth: -
```

Modify time: last time when data nodes were changed

Change time: last time when inode was changed

Type = directory
Size
Accessed Time
Created time
Modified time
Owner user ID
Owner group ID
rwx mode
nlinks
3

# File system layout

## Example: /foo/bar

Inode = 1 “/”

Type = directory
Size
2

Block 2

File/directory name	Inode number
.	1
foo	8

Inode = 8 “/foo”

Type = directory
Size
16

Block 16

File/directory name	Inode number
.	8
..	1
bar	9

Inode = 9 “/foo/bar”

Type = file
Size
3
31
5
12

Block 3

hel

Block 31

lo

Block 5

wor

Block 12

ld

# Reading a file

## Example: /foo/bar

Inode = 1 “/”	
Type = directory	
Size	
Access time	2

Block 2	
File/directory name	Inode number
.	1

2	foo	8
---	-----	---

Inode = 8 “/foo”	
Type = directory	
Size	
Access time	16

Block 16	
File/directory name	Inode number
.	8

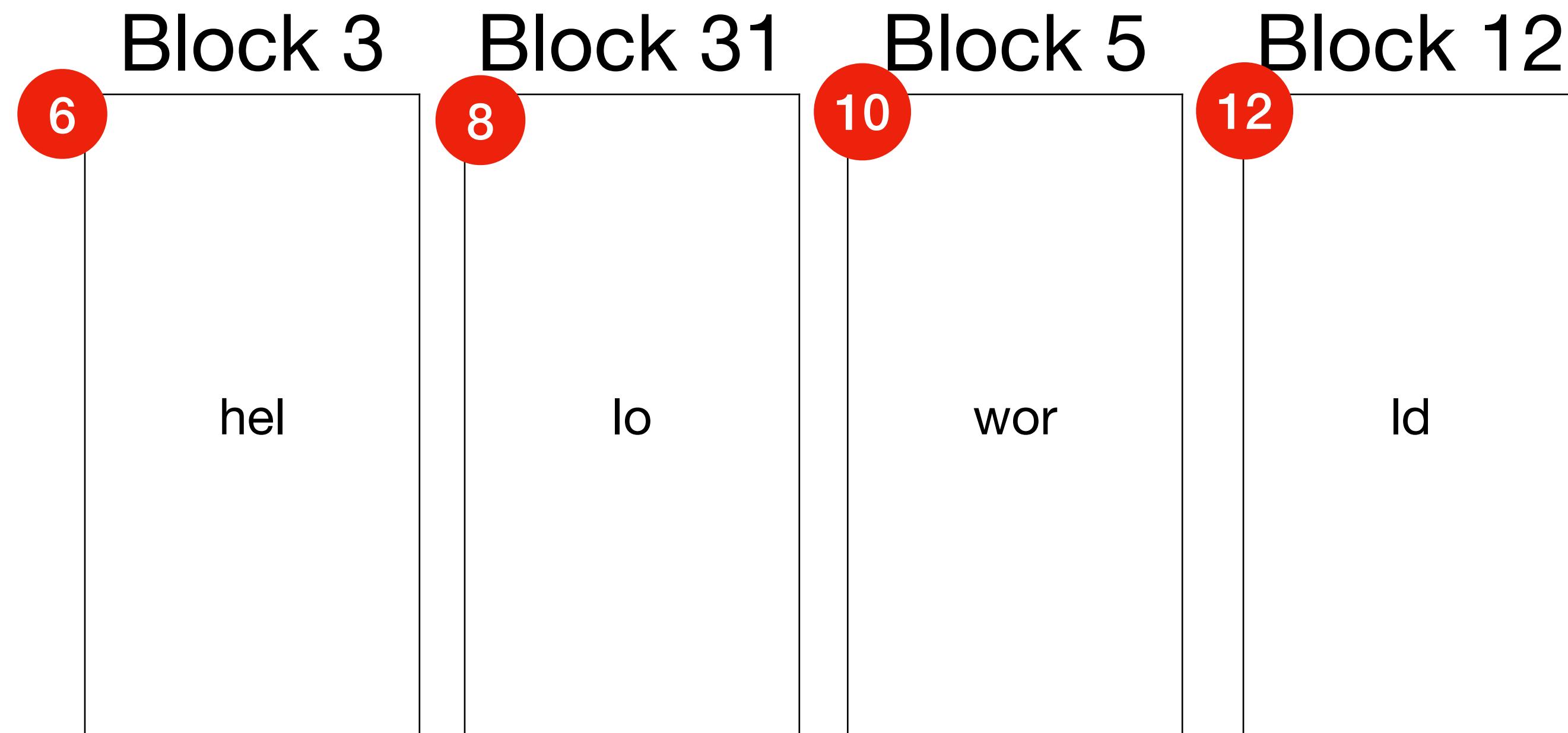
  

4	bar	9
---	-----	---

Inode = 9 “/foo/bar”	
Type = file	
Size	
Access time	13

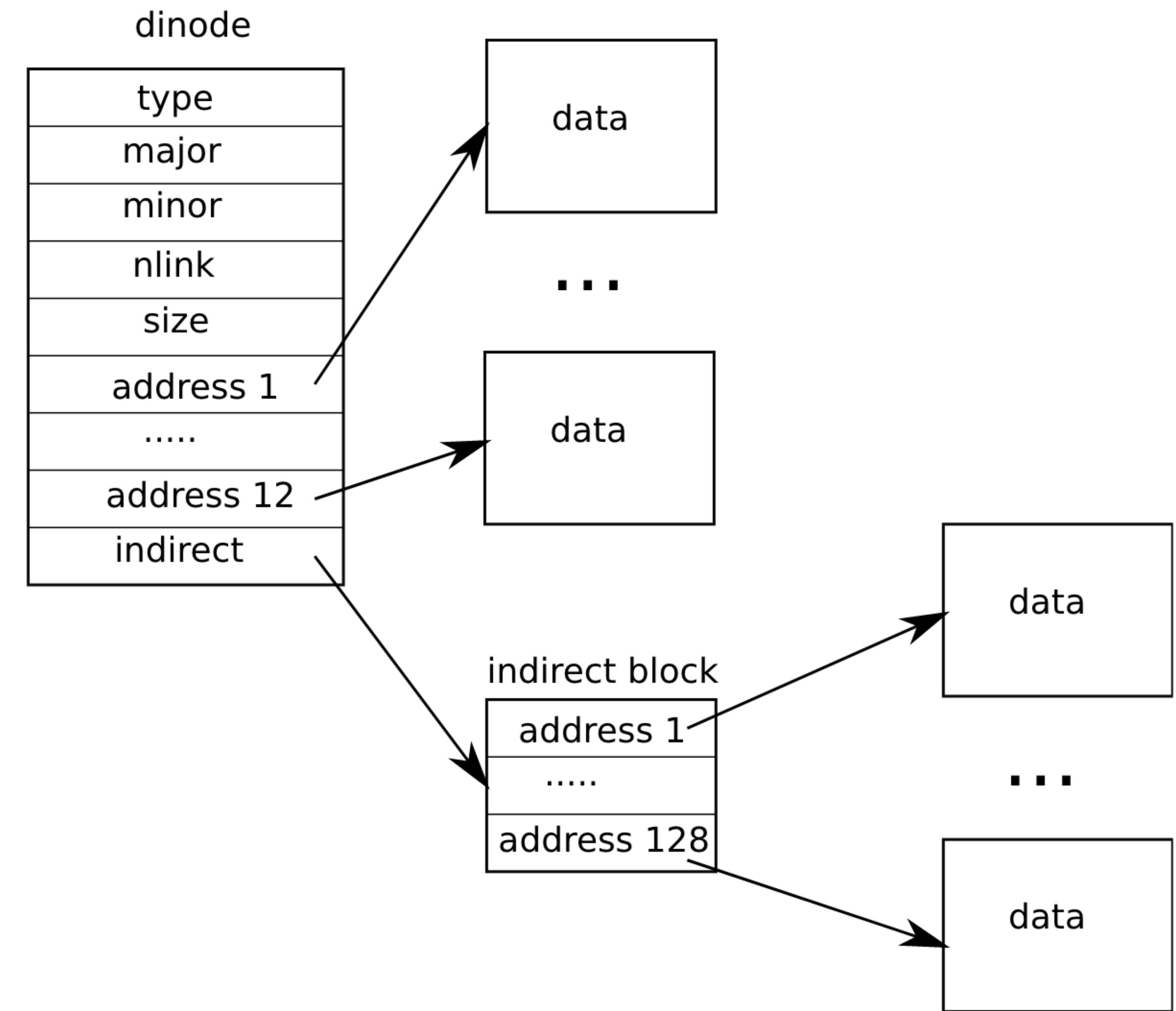
5	3
9	5
12	11



```
char buf[10];
fd = open("/foo/bar", O_RDONLY)
while(read(fd, &buf, 10) > 0) {
    // print buf etc.
}
close(fd);
```

# Storing large files

- Keep inodes of fixed size (64 bytes) for simplicity
- Xv6 inode stores 12 direct block addresses and an *indirect block* for large files
- Most files are < 2KB
- $12 \times 512 \text{ bytes} = 6\text{KB}$
- Most files do not need indirect block



# How to track free blocks?

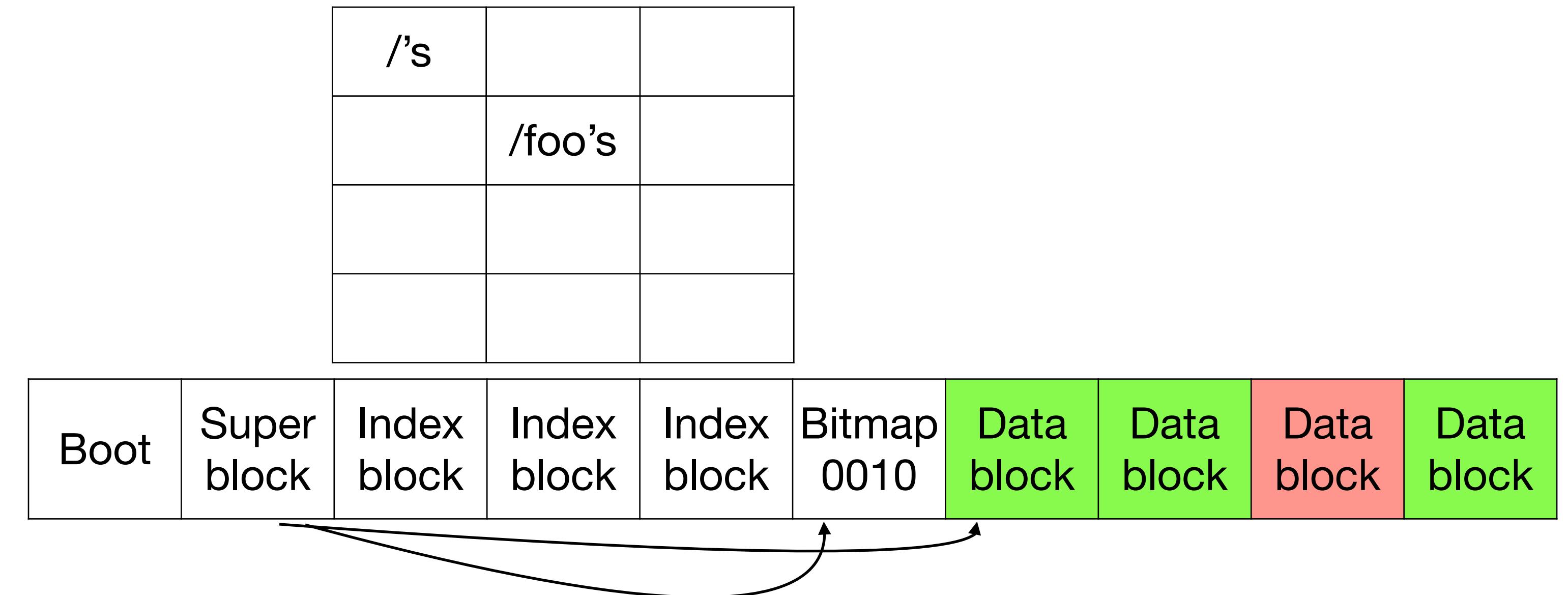
- Keep bitmap in another block

10010111



# Putting it all together: xv6 FS organisation

- Data region contains actual file and directory data
- File system structure is maintained via inodes stored in index blocks
- Superblock contains file system metadata:
  - how many inodes are in system, etc



# Writing a file

## Example: /foo/bar

Inode = 1 “/”	
Type = directory	
Size	2

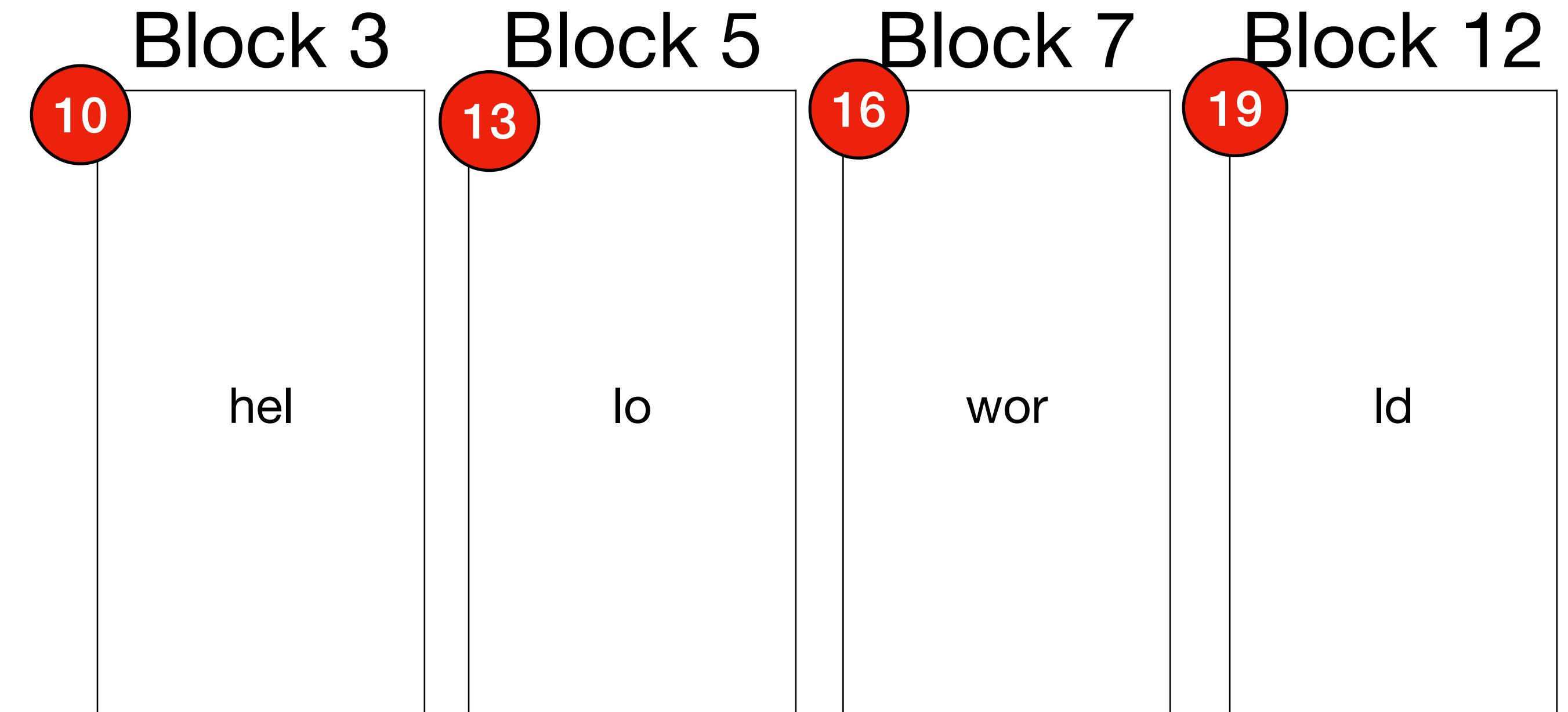
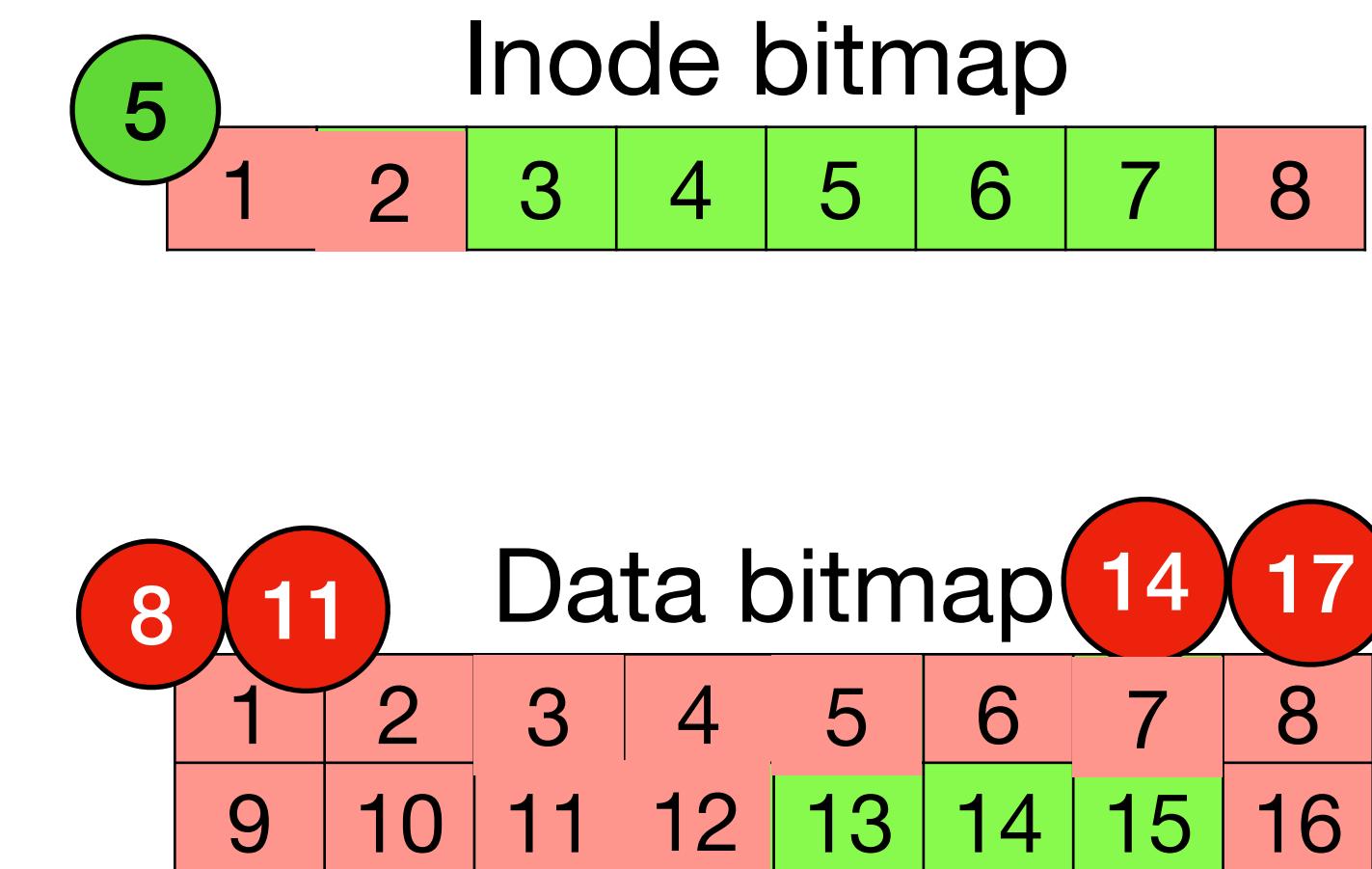
Block 2	
File/directory name	Inode number
.	1
foo	8

Inode = 8 “/foo”	
Type = directory	
Size	16

Block 16	
File/directory name	Inode number
.	8
..	1
bar	2

6	Inode = 2 “/foo/bar”
	Type = file
	Size
9	Modified time
3	
5	
12	
15	
7	
12	
18	

```
fd = open("/foo/bar", O_CREATE)
write(fd, "hello world\n", 12);
close(fd);
```



# Xv6 code walkthrough

p8-read-fs

- fs.h
  - ROOTINO=1: root folder is at the first inode
  - struct superblock
  - NDIRECT: 12 direct pointers. NINDIRECT: Number of pointers that can fit in the second-level pointer node (128).
  - MAXFILE: maximum number of data blocks (140). Max file size is 70 KB
  - struct dinode (64 bytes). IPB = 8.
  - struct dirent. 16 bytes. 32 directory entries in one data block of directory
- Makefile, mkfs.c creates a disk image with the file system containing one “/welcome.txt” file
- main.c reads and prints contents from welcome.txt

# File System Optimizations

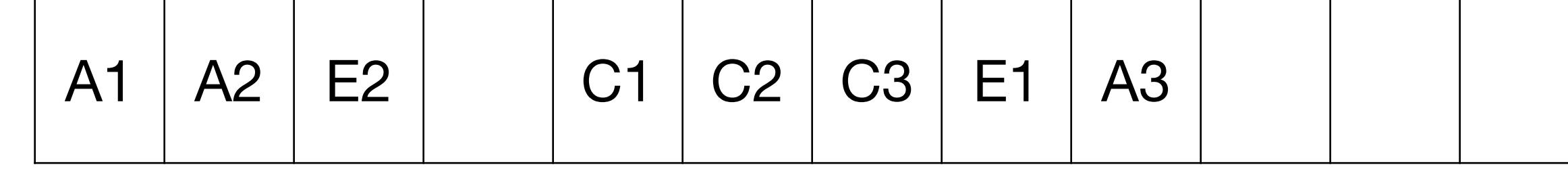
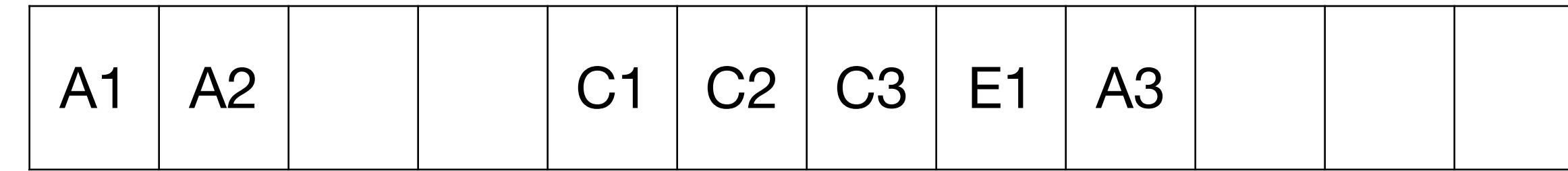
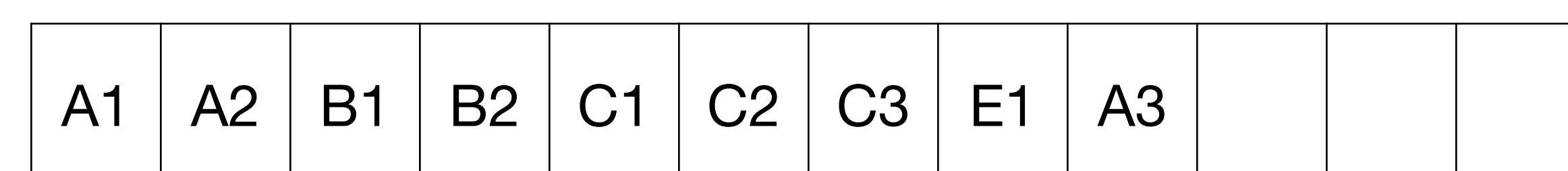
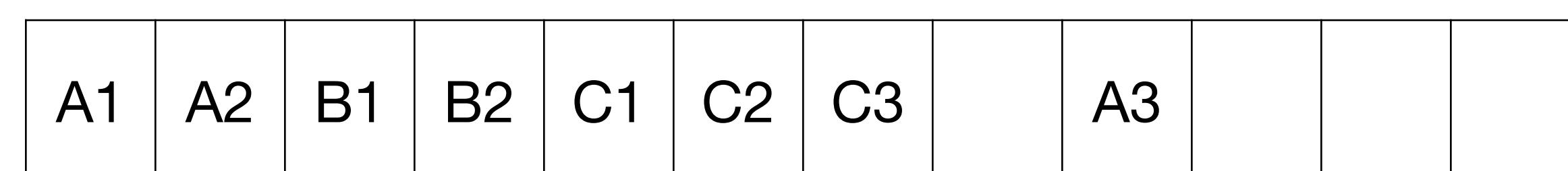
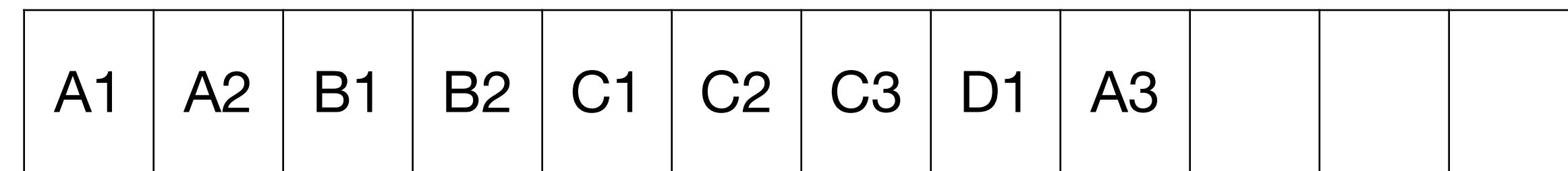
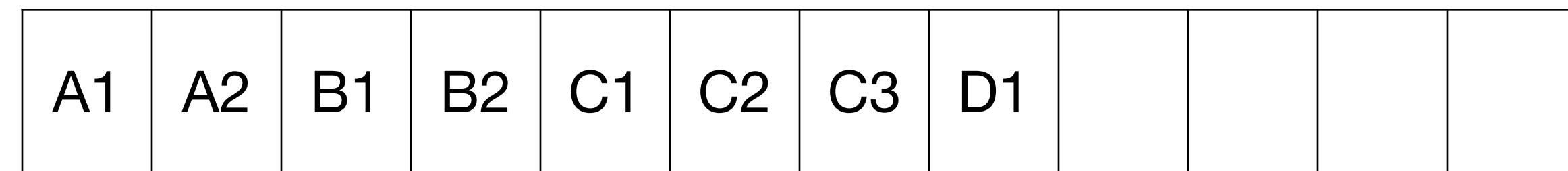
OSTEP Ch.41

# Performance problems

- Fragmentation
- Poor locality
- Poor use of the buffer cache
- Minimal disk scheduling opportunities

# Fragmentation problem

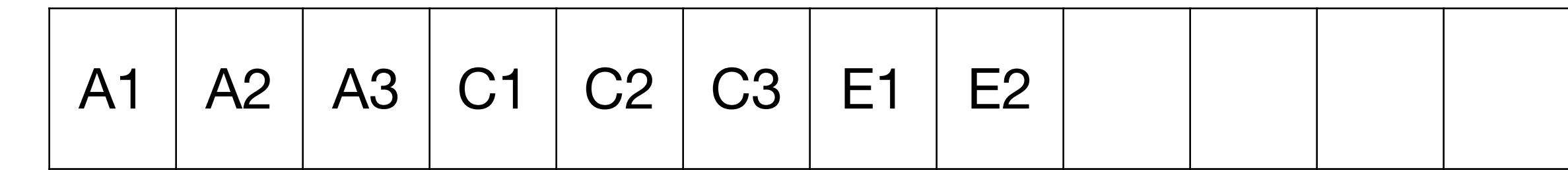
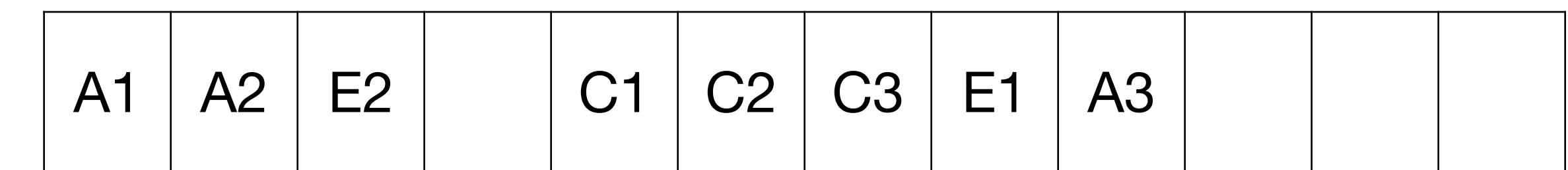
- Over time, a file's data blocks get spread all over the disk
  - Disk head(s) need to go back and forth to read files sequentially



# Fragmentation problem

## Defragmentation

- Defragmenter rearranges data blocks
  - Also updates data block pointers in file's inode
- Modern FS such as ext4 do defragmentation in background: without making FS unavailable



# Fragmentation problem

## Pre-allocate blocks

- Disks have grown bigger
  - Ext3 pre-allocates 8 blocks at file creation
- Reduce metadata lookup overhead by keeping extents

A
1
2
3
4

A
1, 4

A1	A2		B1	B2		C1	C2		D1		
----	----	--	----	----	--	----	----	--	----	--	--

A1	A2	A3	B1	B2		C1	C2		D1		
----	----	----	----	----	--	----	----	--	----	--	--

A1	A2	A3	B1	B2		C1	C2				
----	----	----	----	----	--	----	----	--	--	--	--

A1	A2	A3	B1	B2		C1	C2		E1		
----	----	----	----	----	--	----	----	--	----	--	--

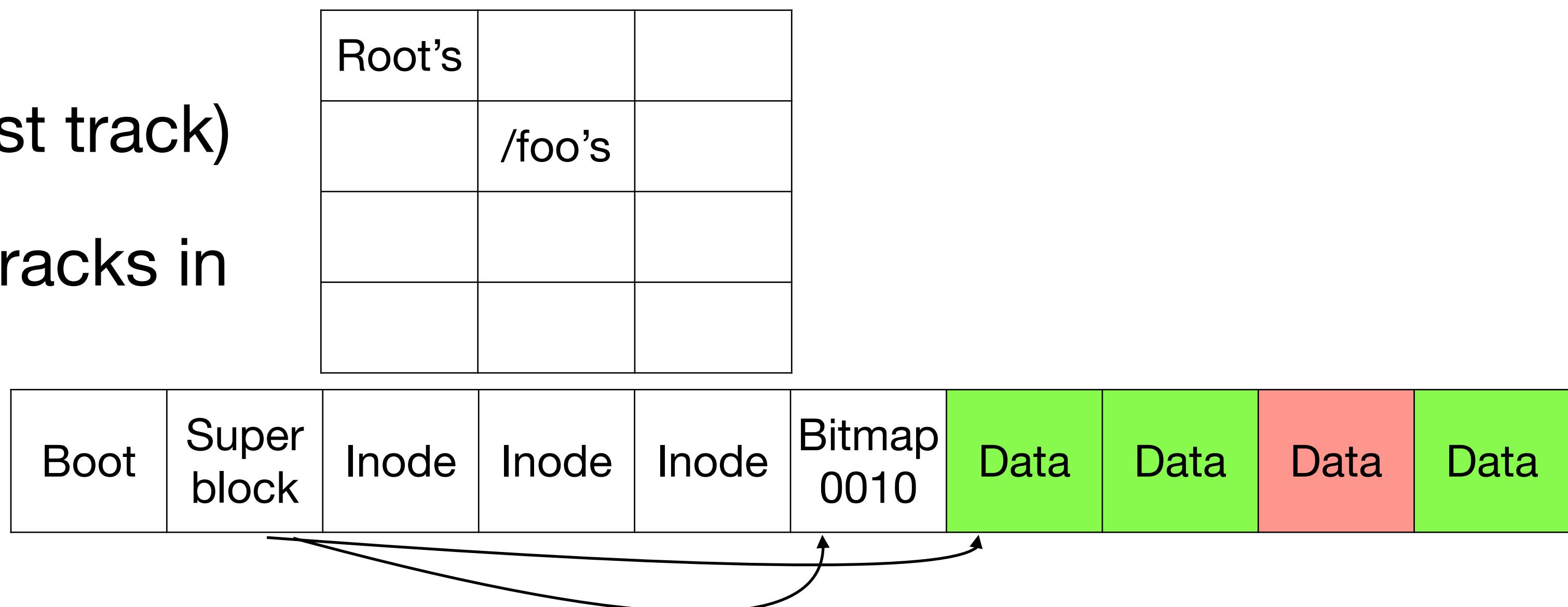
A1	A2	A3				C1	C2		E1		
----	----	----	--	--	--	----	----	--	----	--	--

A1	A2	A3				C1	C2		E1	E2	
----	----	----	--	--	--	----	----	--	----	----	--

A1	A2	A3	A4			C1	C2		E1	E2	
----	----	----	----	--	--	----	----	--	----	----	--

# Locality problem

- Fast `stat`: just lookup inode
- inodes and data blocks are far apart: slow file reads
- Back and forth disk head movement at write time:
  - inodes, bitmap (inner most track)
  - data blocks (outer most tracks in worst case)

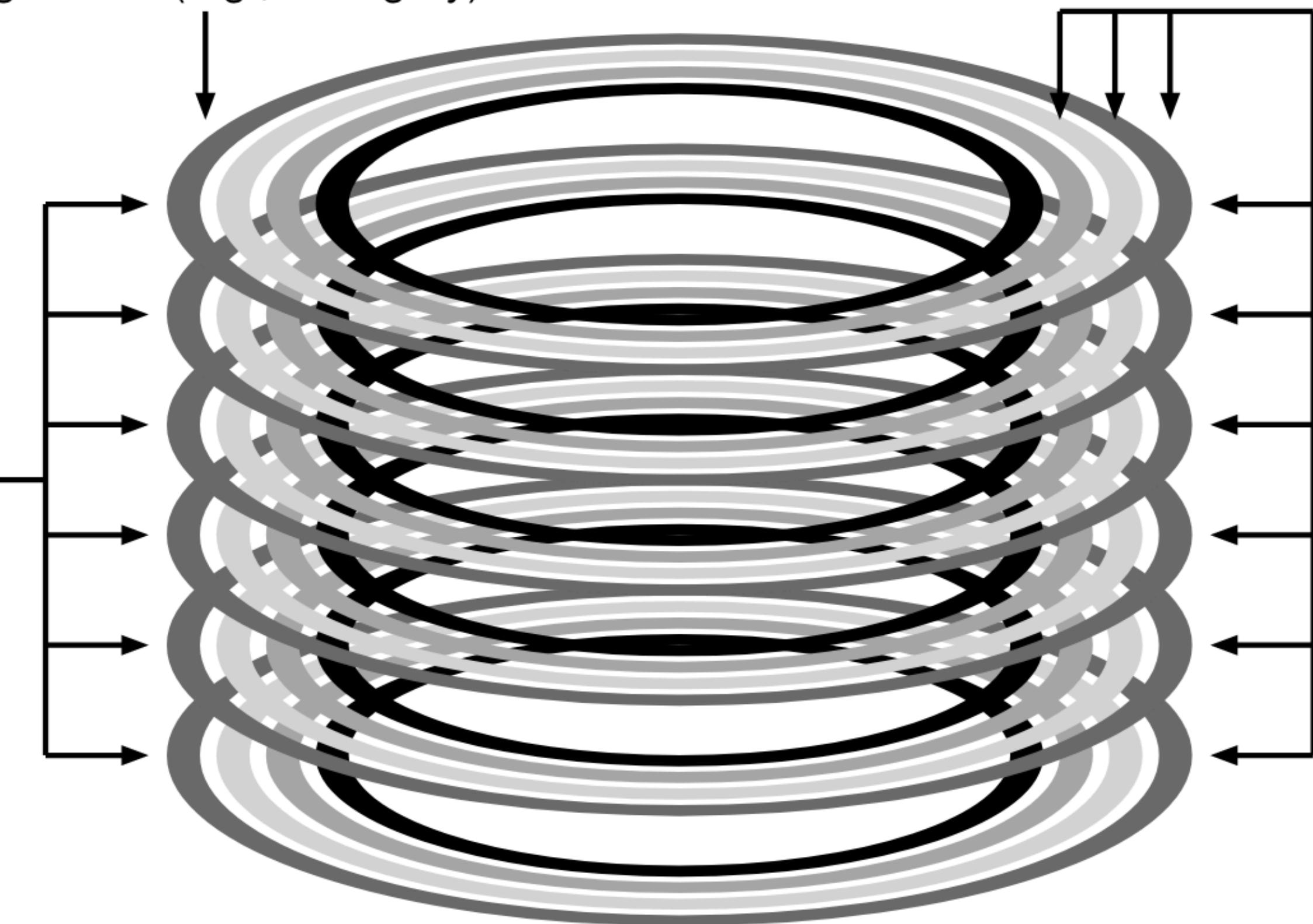


# Break disk into locality groups

- Data on the same cylinder require no seek
  - Break disk into “cylinder groups”
  - Try to keep accesses limited within a group

Cylinder:  
Tracks at same distance from center  
of drive across different surfaces  
(all tracks with same color)

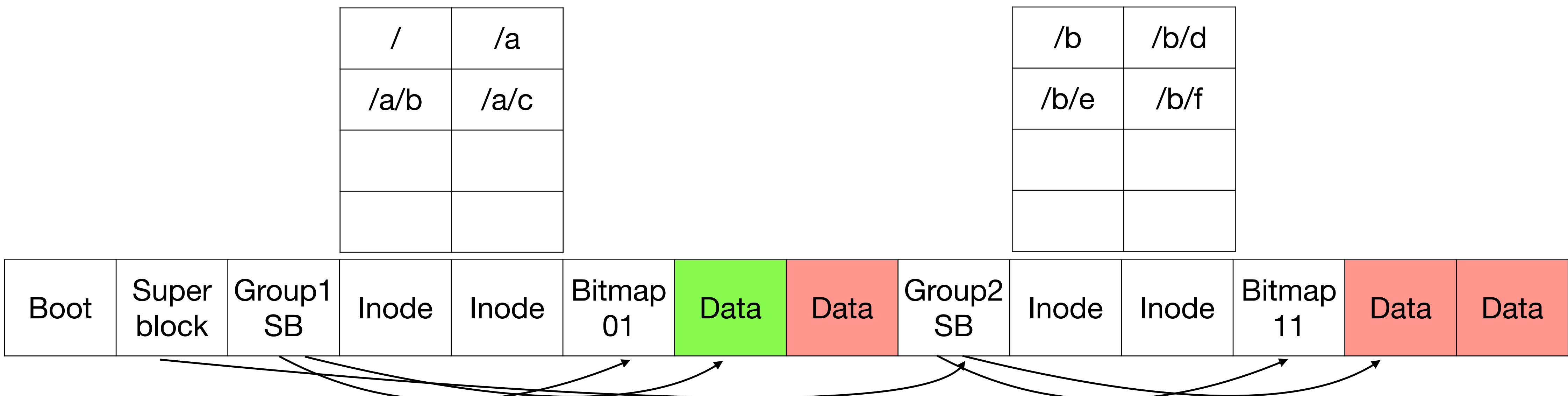
Single track (e.g., dark gray)



Cylinder Group:  
Set of N consecutive cylinders  
(if N=3, first group does not include black track)

# Locality-aware file system

- Principle: Keep related files and directories together



# Greedy allocation

- Keep inode and data blocks of each file/directory in the same group.
- Allocate new files and directories on the most empty group
- `/a, /a/c, /a/d, /a/e, /b, /b/f`
- Very slow
  - `ls -l /a`
  - Linking `/a/c, /a/d, /a/e` to create an executable

Group	inodes	Data blocks
1	/_____	/_____
2	a_____	a_____
3	b_____	b_____
4	c_____	cc_____
5	d_____	dd_____
6	e_____	ee_____
7	f_____	ff_____
8	_____	_____

# Keeping related stuff together

- /a, /a/c, /a/d, /a/e
- /b, /b/f

Group	inodes	Data blocks
1	/_____	/_____
2	acde_____	accddee_____
3	bf_____	bff_____
4	_____	_____
5	_____	_____
6	_____	_____
7	_____	_____
8	_____	_____

# What about large files?

- /a, /a/c, /a/d, /a/e
- /b, /b/f
- /a/d, /a/e got separated from /a

Group	inodes	Data blocks
1	/_____	/_____
2	ac_____	accccccccc
3	bf_____	bff_____
4	_____	cccccccccc_
5	de_____	ddee_____
6	_____	_____
7	_____	_____
8	_____	_____

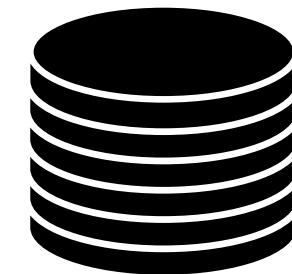
# What about large files?

- /a, /a/c, /a/d, /a/e
- /b, /b/f
- Keep the data block of direct pointers within the group

Group	inodes	Data blocks
1	/_____	/_____
2	acde_____	accddee_____
3	bf_____	bff_____
4	_____	cccccccccccc
5	_____	cccccc_____
6	_____	_____
7	_____	_____
8	_____	_____

# Buffer cache

## Write-through cache

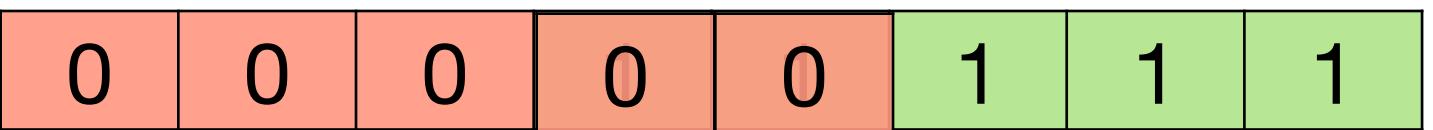


- Example: untar create and write 100 files

Directory data block

.	3
a.txt	4
b.txt	5

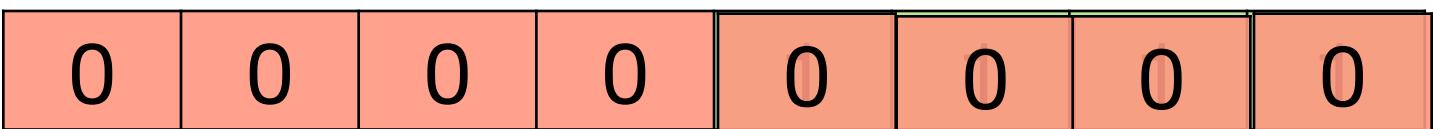
Inode bitmap



aaaa

aaaa

Data bitmap



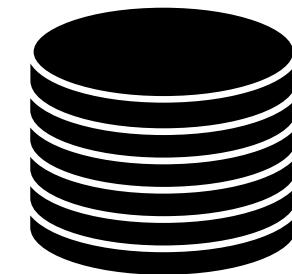
bbbb

bbbb

# Buffer cache

## Write-back cache

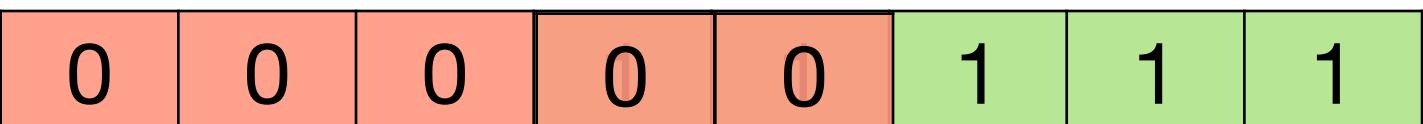
- Absorb multiple writes into single write
- Better disk scheduling opportunity



Directory data block

.	3
a.txt	4
b.txt	5

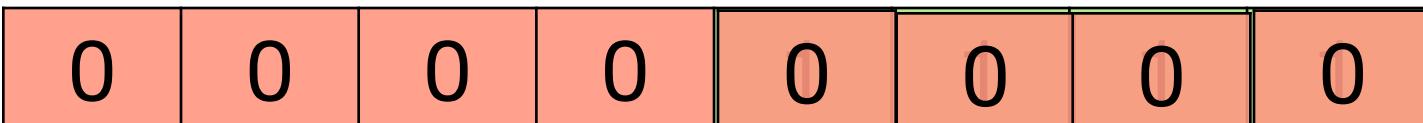
Inode bitmap



aaaa

aaaa

Data bitmap



bbbb

bbbb

# **Crash consistency**

**OSTEP Ch.42**

# Writing a file

## Example: /foo/bar

Inode = 1 “/”	
Type = directory	
Size	2

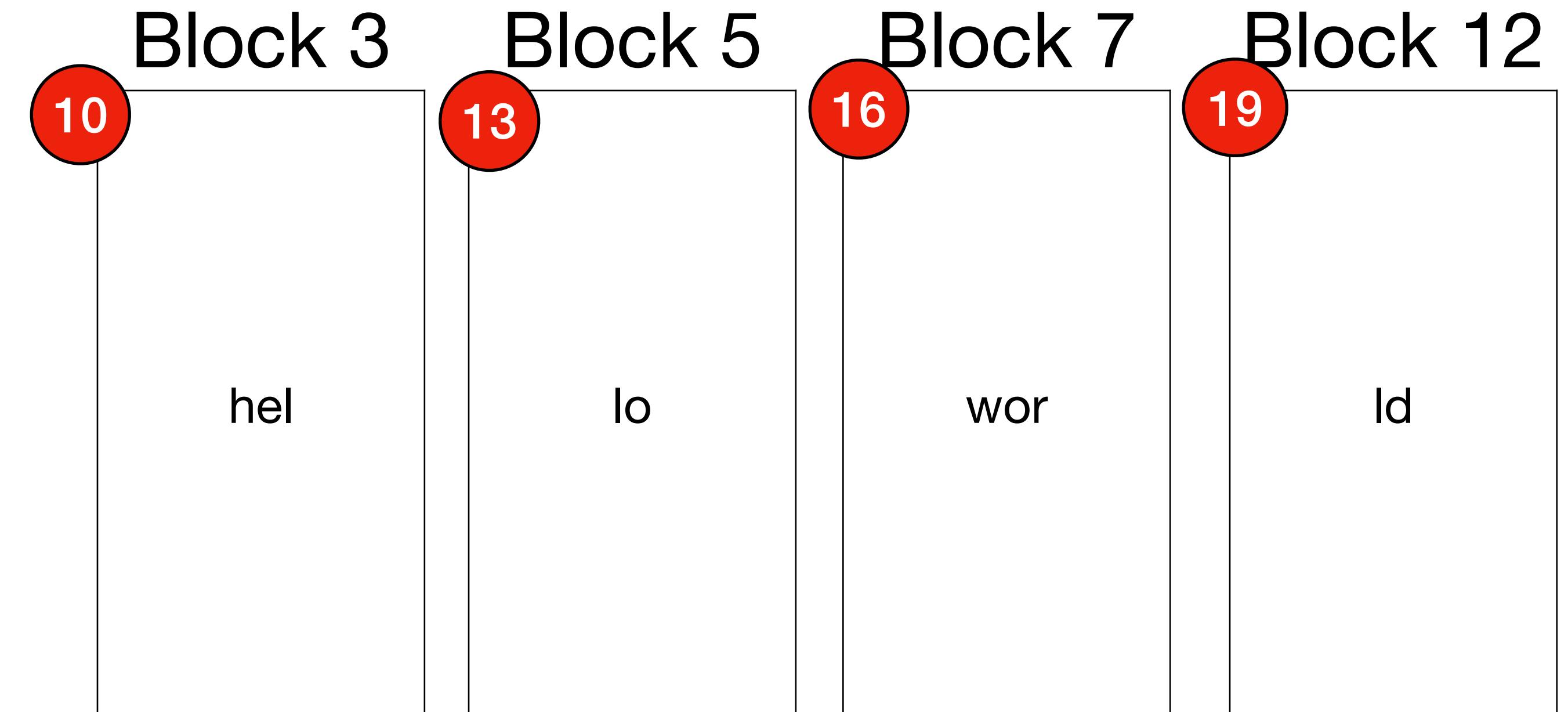
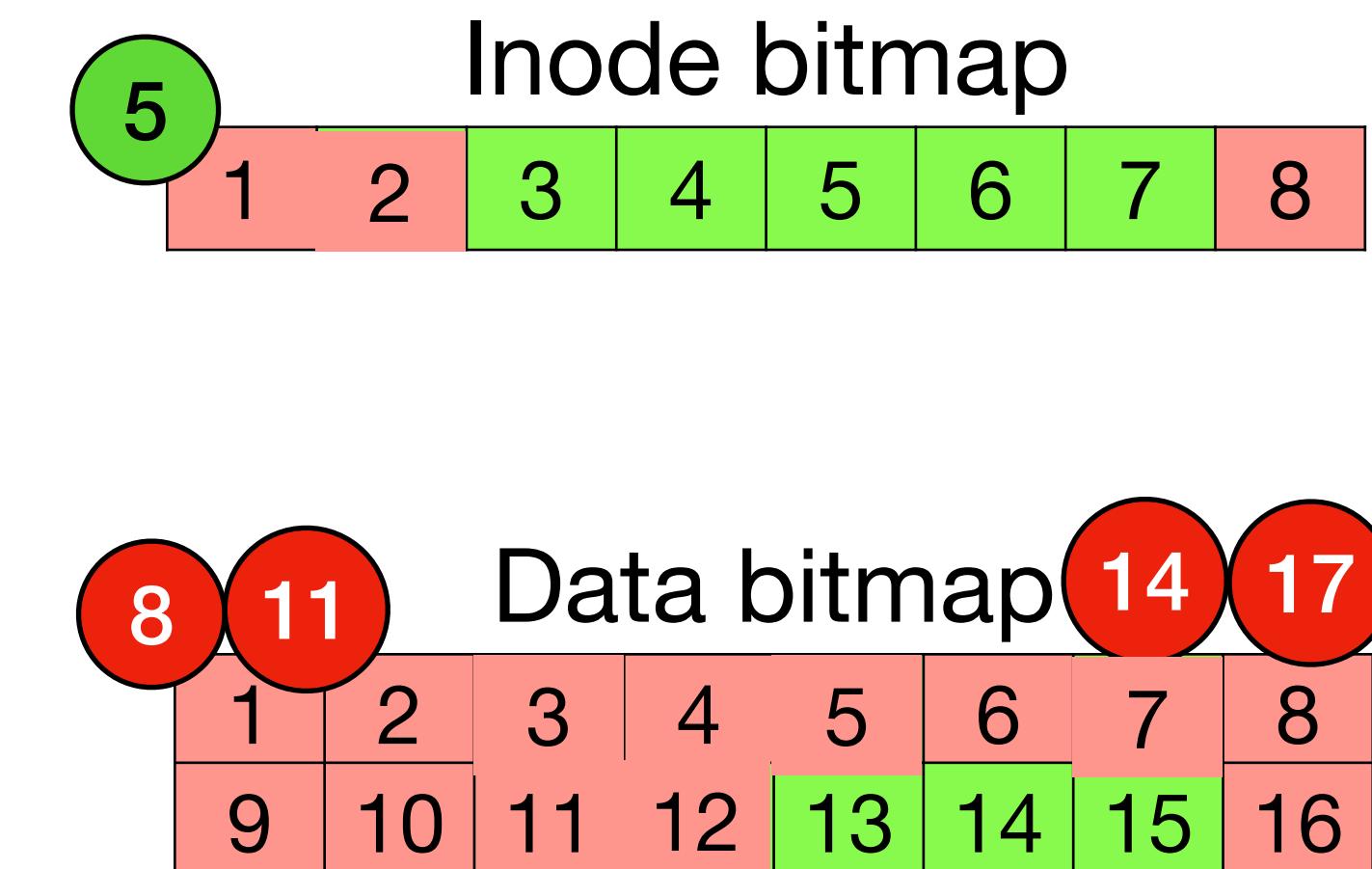
Block 2	
File/directory name	Inode number
.	1
foo	8

Inode = 8 “/foo”	
Type = directory	
Size	16

Block 16	
File/directory name	Inode number
.	8
..	1
bar	2

6	Inode = 2 “/foo/bar”
	Type = file
	Size
9	Modified time
3	
5	
12	
15	
7	
12	
18	

```
fd = open("/foo/bar", O_CREATE)
write(fd, "hello world\n", 12);
close(fd);
```



# Crash problem

- Sending all the requests in parallel for better write throughput (disk scheduling)
- Crash can happen at any time => only a subset of blocks may get written

Inode = 2 “/foo/bar”

Type = file
Size
Modified time
3
5
7
12

```
fd = open("/foo/bar", O_CREATE);
write(fd, "hello world\n", 12);
close(fd);
```

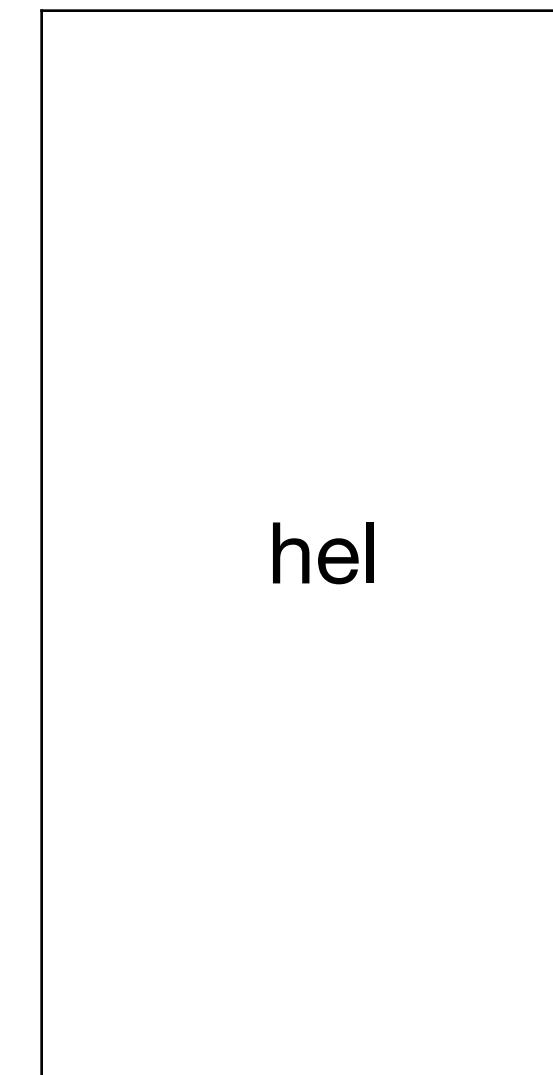
Inode bitmap

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

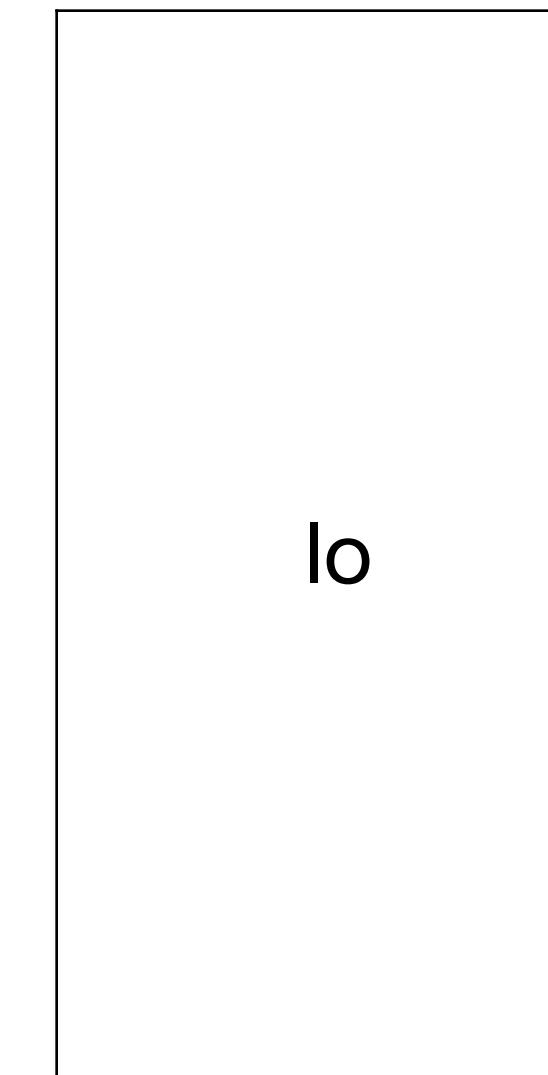
Data bitmap

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16

Block 3



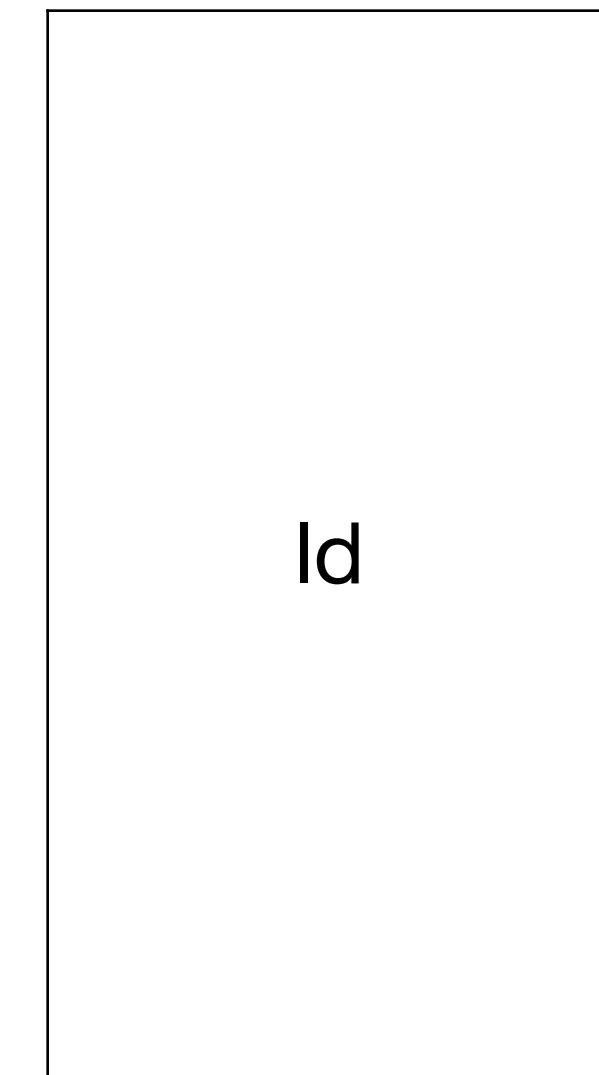
Block 5



Block 7



Block 12



Block 16

File/directory name	Inode number
.	8
..	1
bar	2

# What can go wrong?

## Missed data blocks

- File now contains garbage data

Inode = 2 “/foo/bar”

Type = file
Size
Modified time
3
5
7
12

```
fd = open("/foo/bar", O_CREATE);
write(fd, "hello world\n", 12);
close(fd);
```

Inode bitmap

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

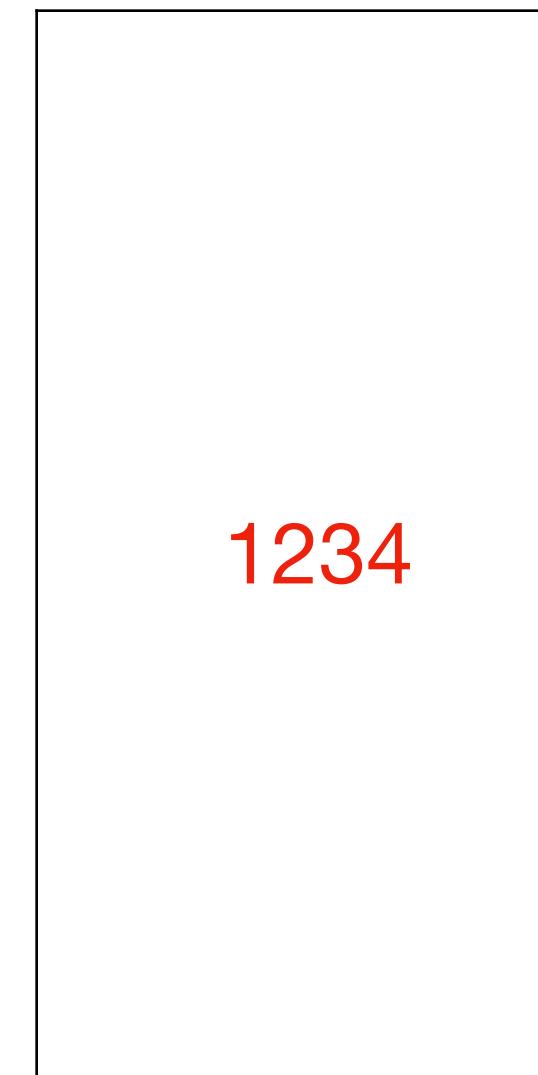
Data bitmap

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16

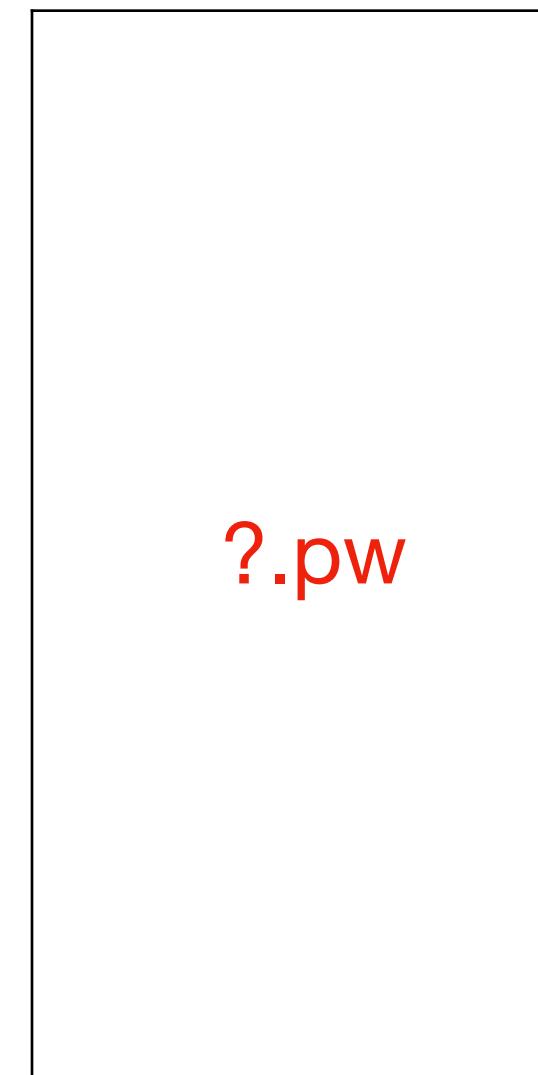
Block 3



Block 5



Block 7



Block 12



Block 16

File/directory name	Inode number
.	8
..	1
bar	2

# What can go wrong?

## Missed data bitmap

- File initially looks ok
- Data blocks can get overwritten later by contents of another file

Inode = 2 “/foo/bar”

Type = file
Size
Modified time
3
5
7
12

```
fd = open("/foo/bar", O_CREATE)  
write(fd, "hello world\n", 12);  
close(fd);
```

Inode bitmap

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

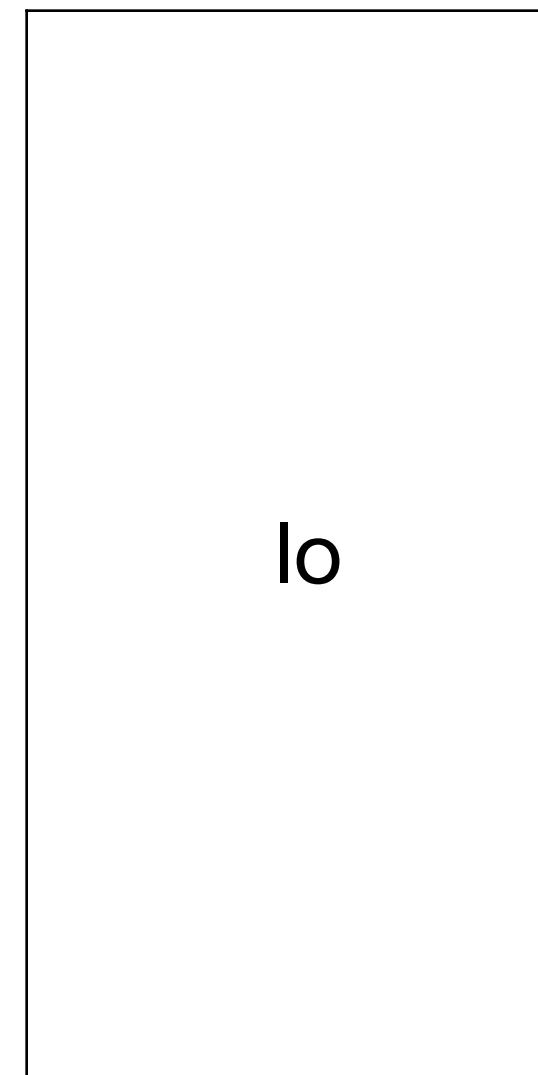
Data bitmap

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16

Block 3



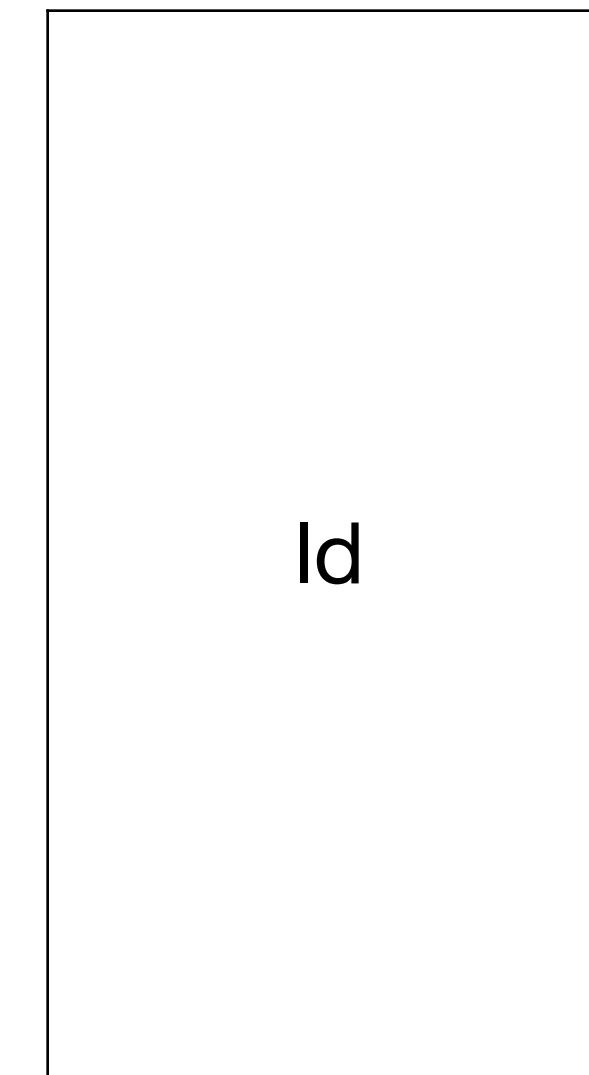
Block 5



Block 7



Block 12



Block 16

File/directory name	Inode number
.	8
..	1
bar	2

# What can go wrong?

## Missed file's inode block

- File data is present but not accessible via any file
- Leaked data blocks

Inode = 2

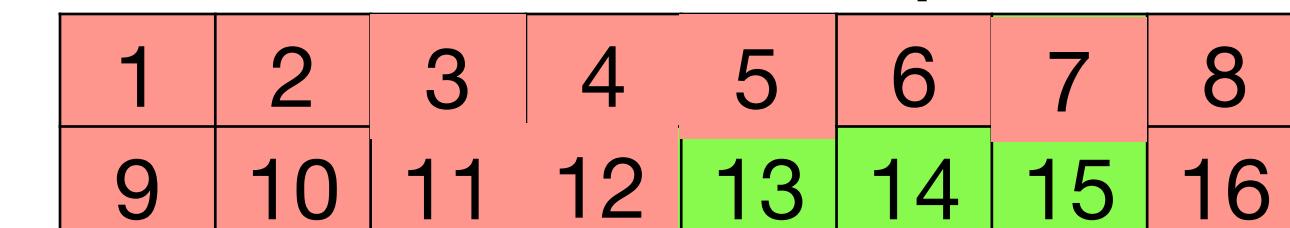


```
fd = open("/foo/bar", O_CREATE);
write(fd, "hello world\n", 12);
close(fd);
```

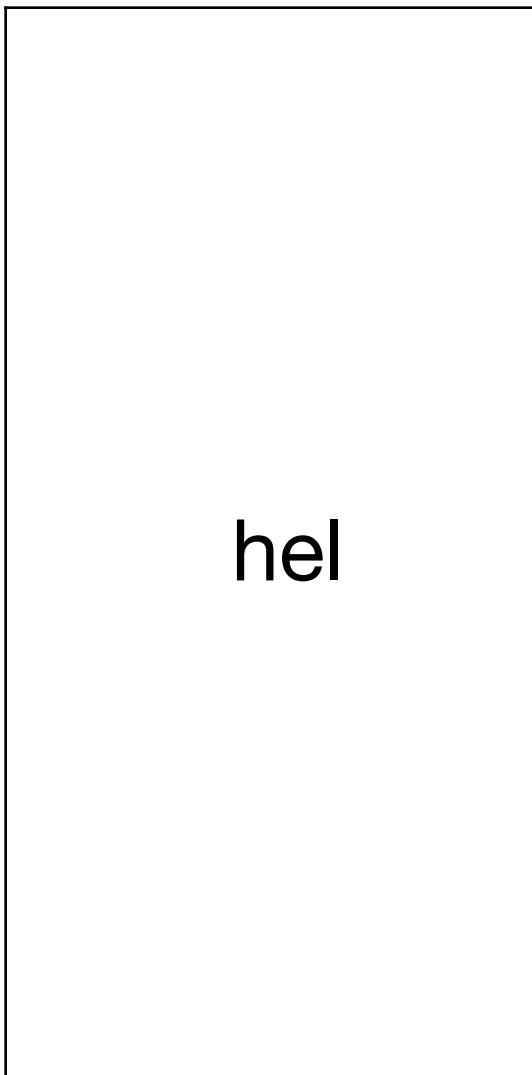
Inode bitmap



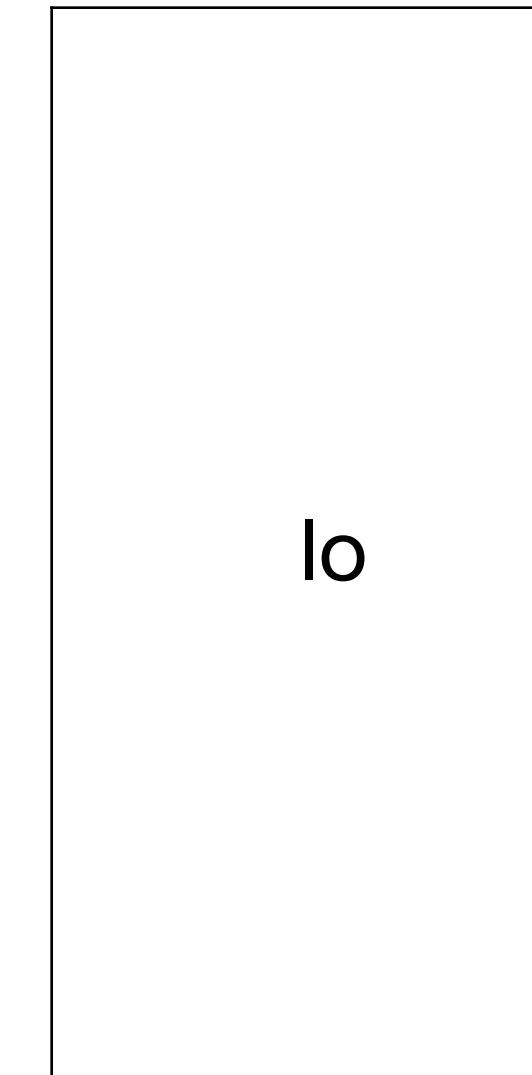
Data bitmap



Block 3



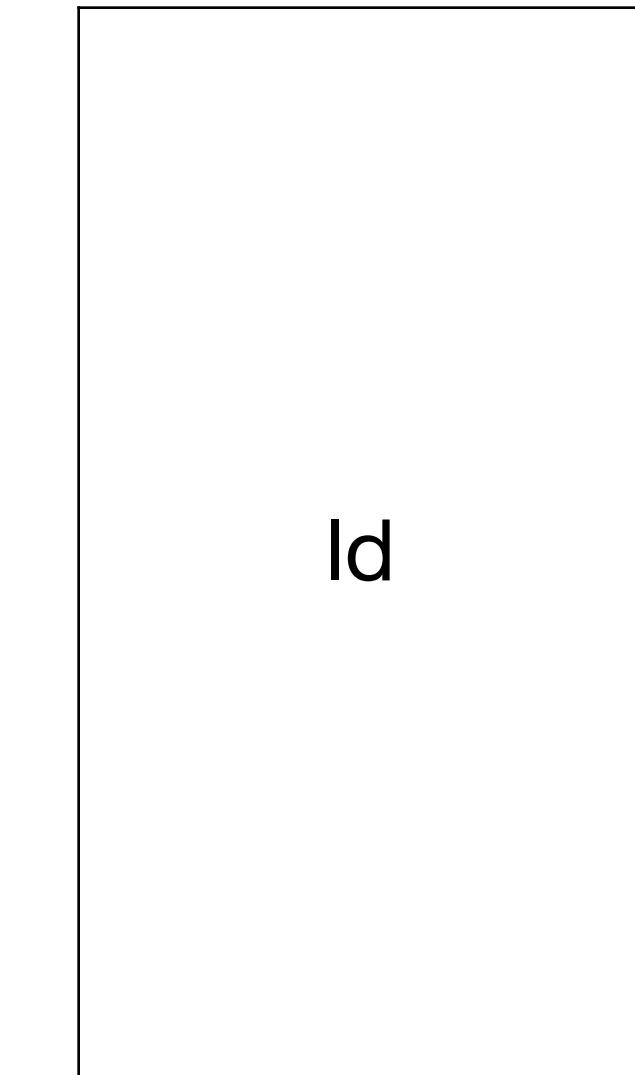
Block 5



Block 7



Block 12



Block 16

File/directory name	Inode number
.	8
..	1
bar	2

# What can go wrong?

## Missed file's inode block

- Directory points to a deleted file. Leaked sensitive information

Inode = 2 “/pass”

Type = file
Size
Modified time
14

```
fd = open("/foo/bar", O_CREATE)  
write(fd, "hello world\n", 12);  
close(fd);
```

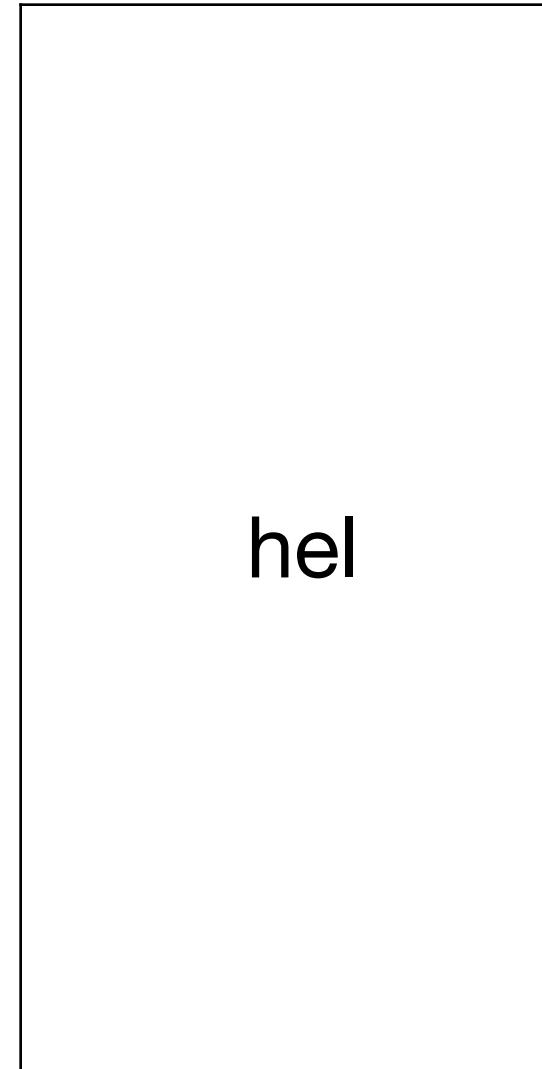
Inode bitmap

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

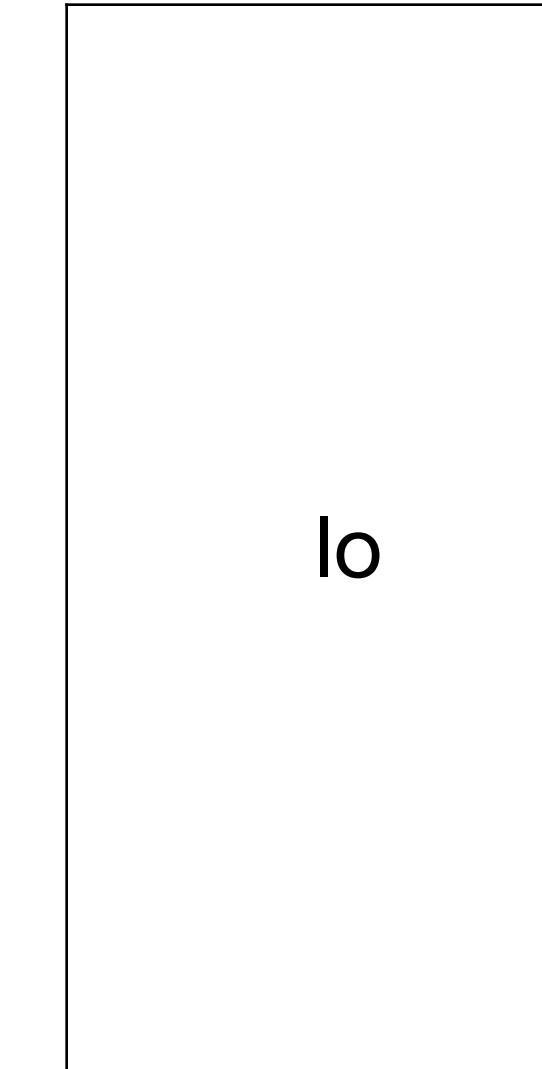
Data bitmap

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16

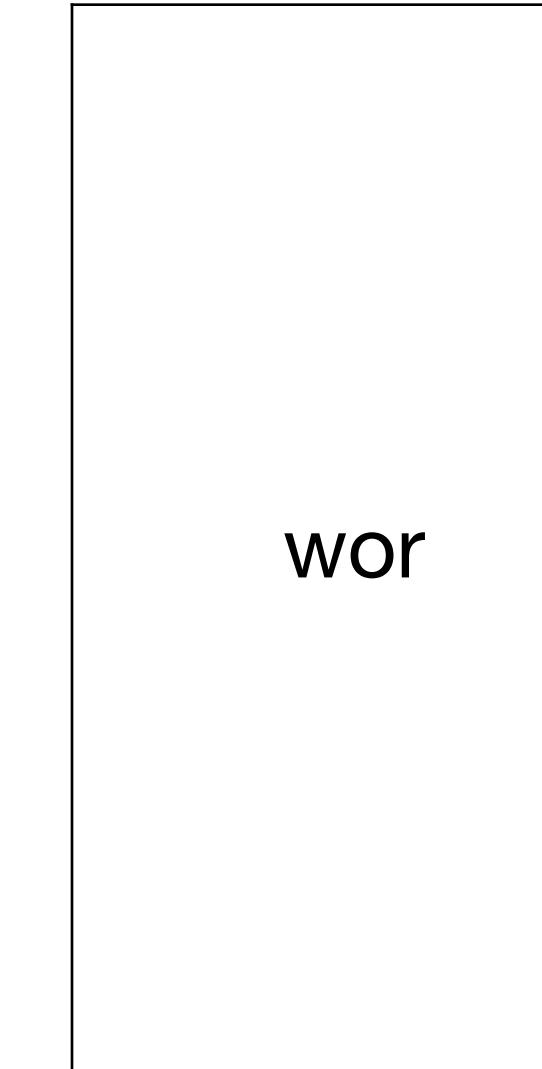
Block 3



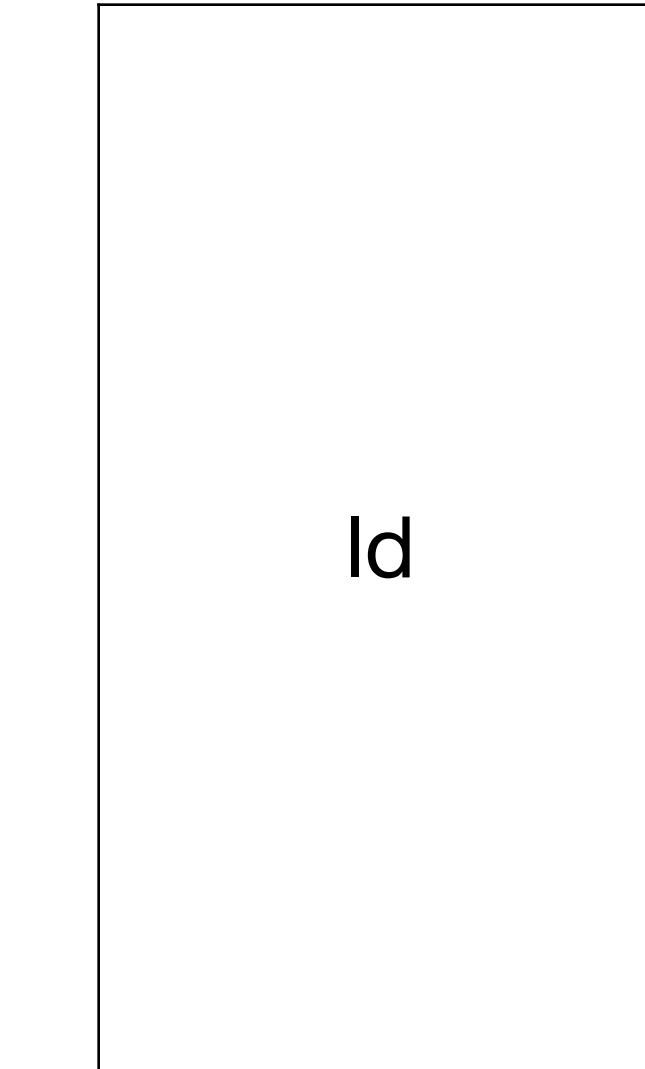
Block 5



Block 7



Block 12



Block 16

File/directory name	Inode number
.	8
..	1
bar	2

# What can go wrong?

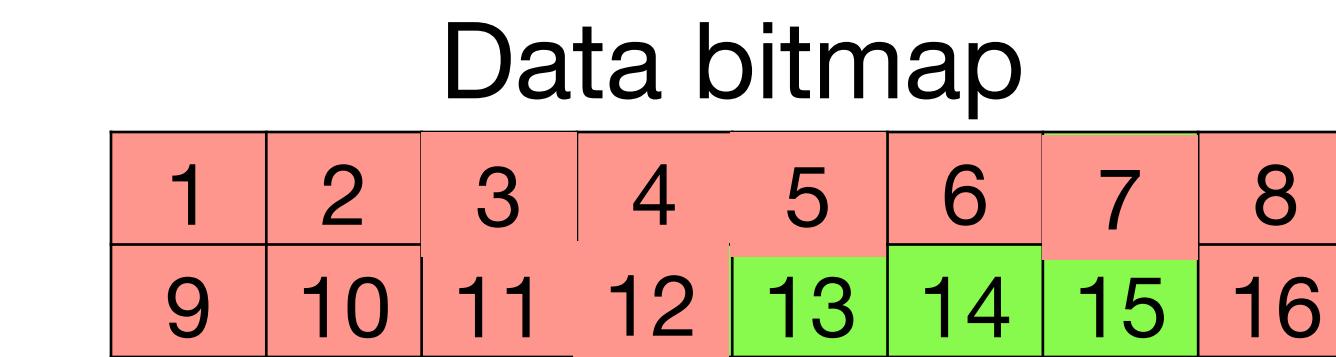
## Missed parent's inode block

- File exists but cannot be reached
- Leaks file inode and data blocks

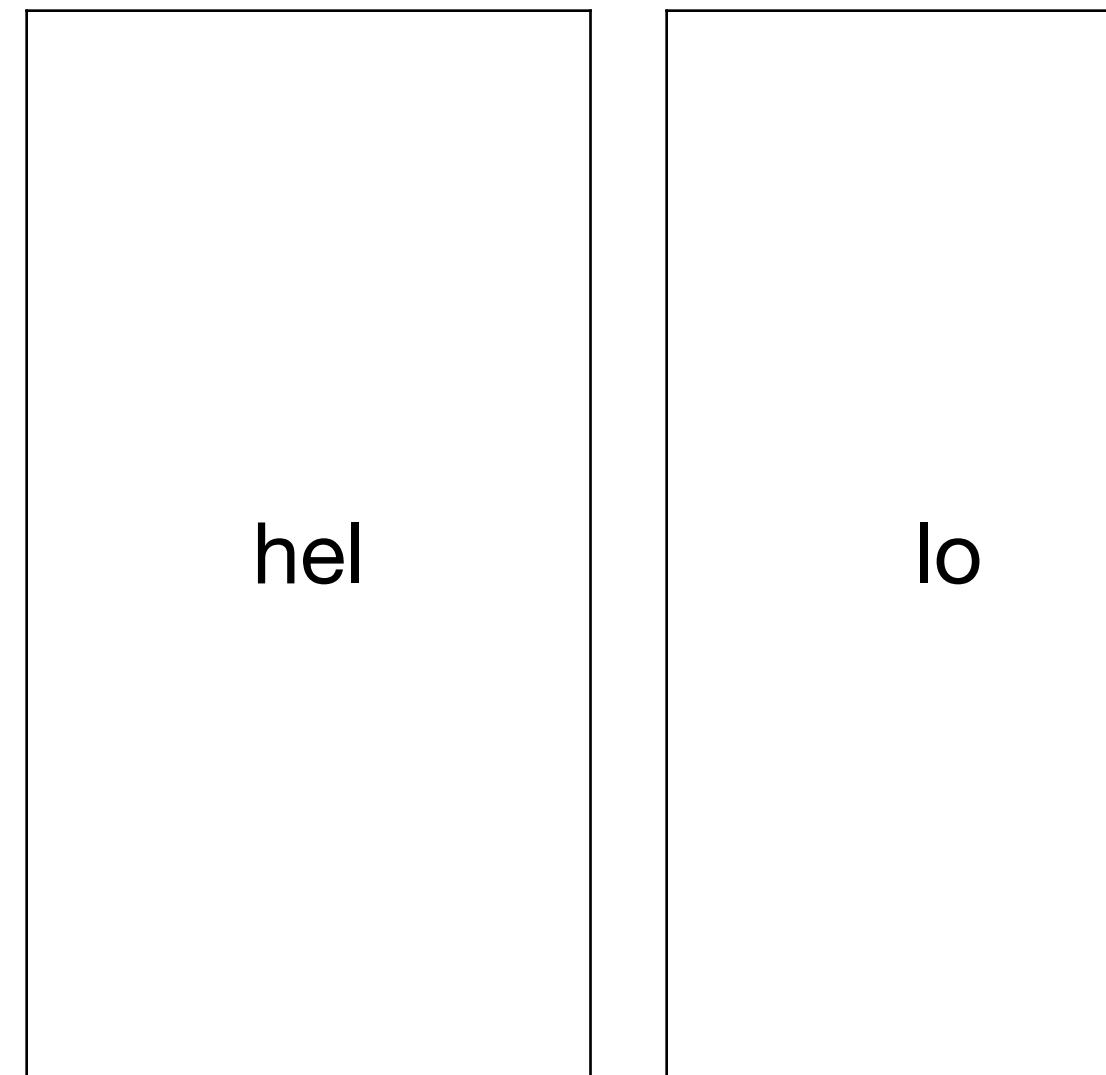
Block 16	
File/directory name	Inode number
.	8
..	1

Inode = 2 "/foo/bar"
Type = file
Size
Modified time
3
5
7
12

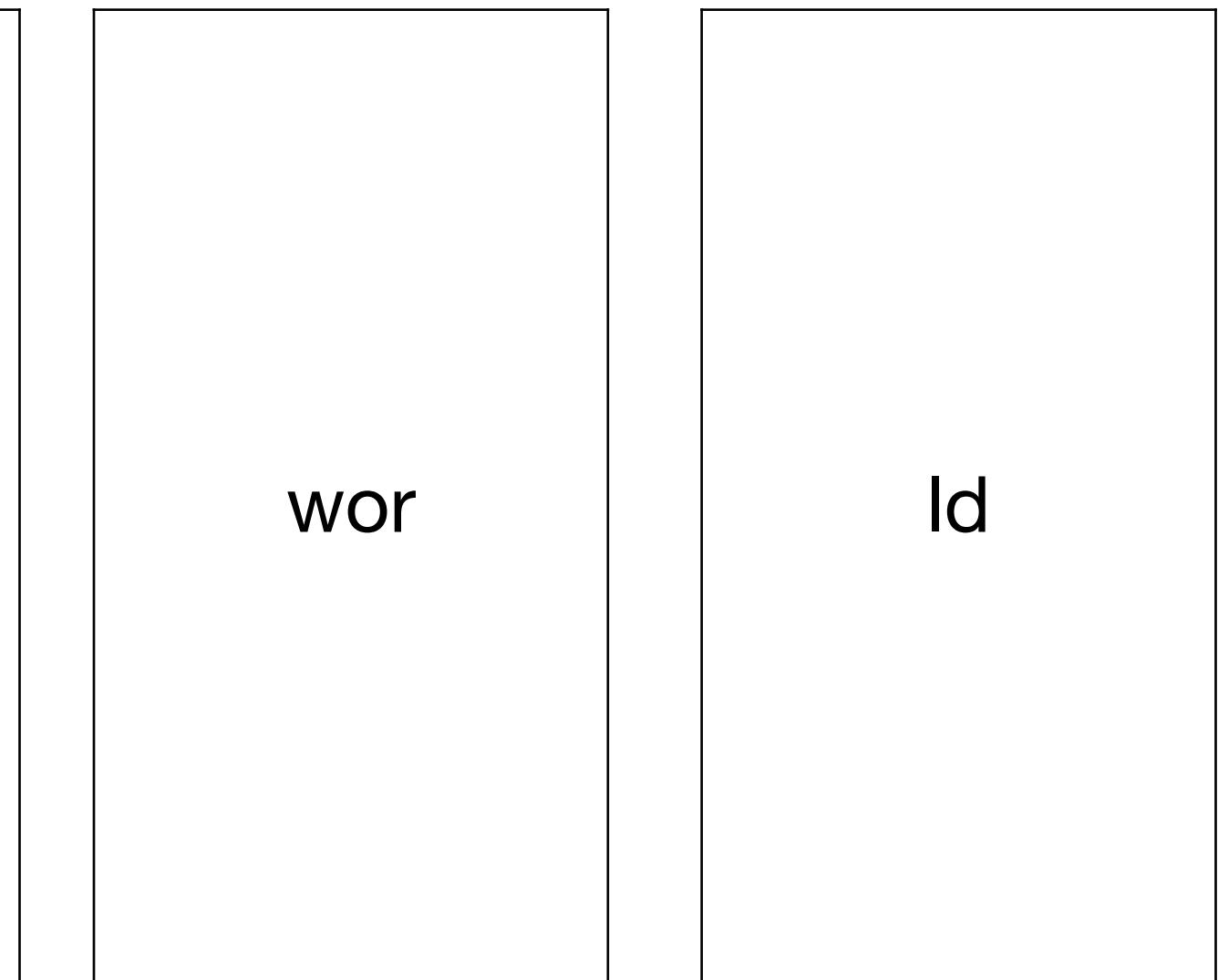
```
fd = open("/foo/bar", O_CREATE);
write(fd, "hello world\n", 12);
close(fd);
```



Block 3      Block 5



Block 7      Block 12



# What can go wrong?

## Missing inode bitmap

- Inode gets overwritten by another file => Lose file.
- Parent points to another file.
- Leaked data blocks.

Block 16	
File/directory name	Inode number
.	8
..	1
bar	2

Inode = 2 “/foo/bar”

Type = file
Size
Modified time
3
5
7
12

```
fd = open("/foo/bar", O_CREATE)  
write(fd, "hello world\n", 12);  
close(fd);
```

Inode bitmap

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

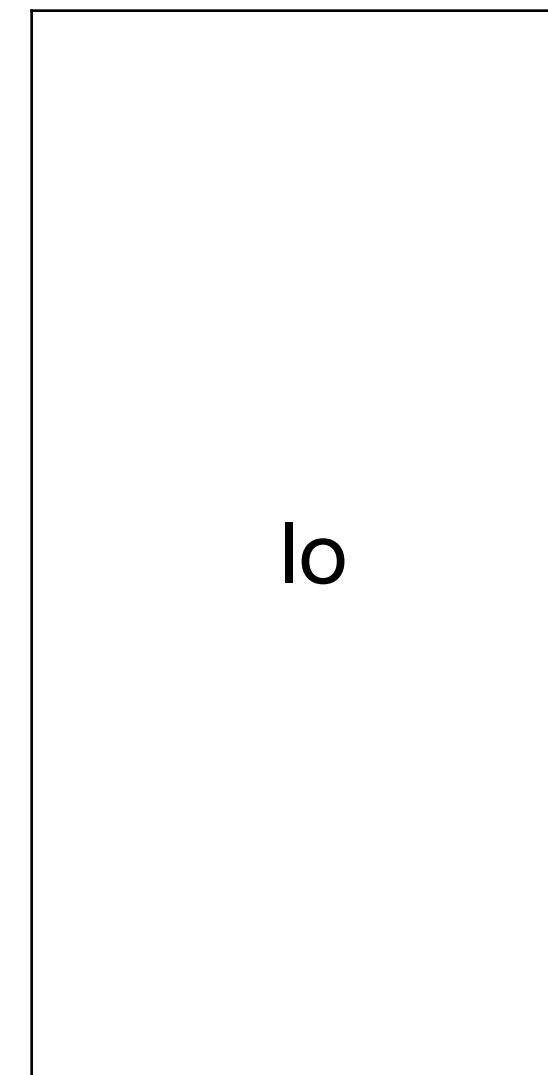
Data bitmap

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16

Block 3



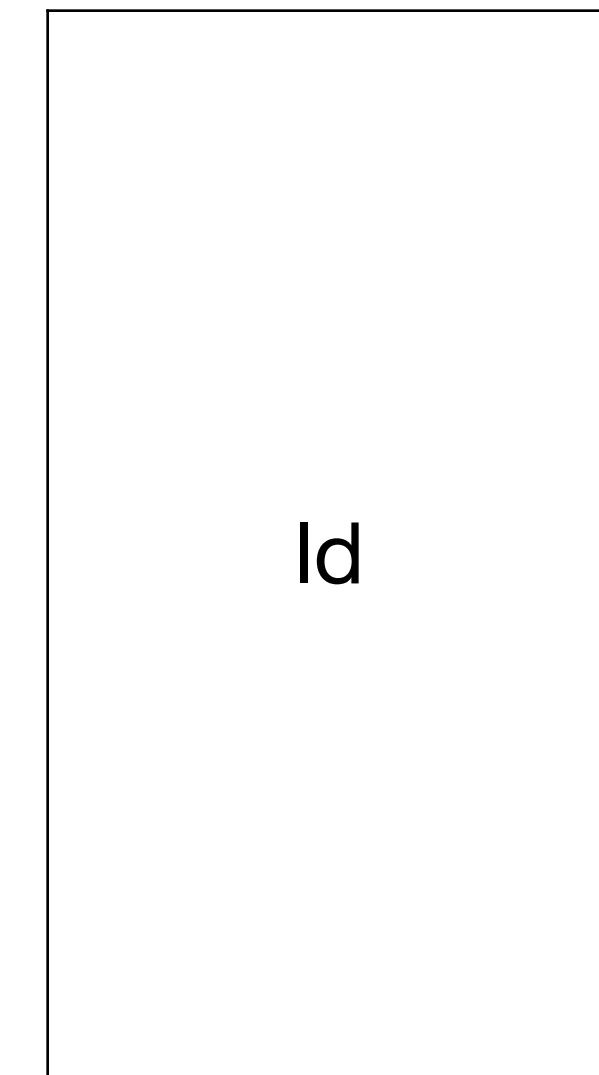
Block 5



Block 7



Block 12



# Crash consistency

- File system stays “consistent” across power failures/kernel crashes
- Challenge: Disk only writes one block at a time

Inode = 2 “/foo/bar”

Type = file
Size
Modified time
3
5
7
12

```
fd = open("/foo/bar", O_CREATE)  
write(fd, "hello world\n", 12);  
close(fd);
```

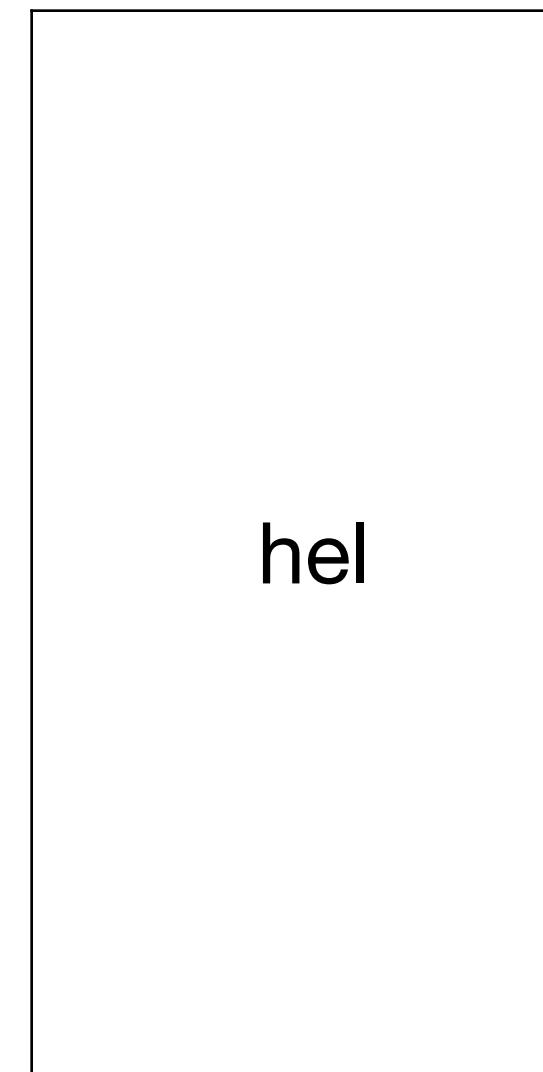
Inode bitmap

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

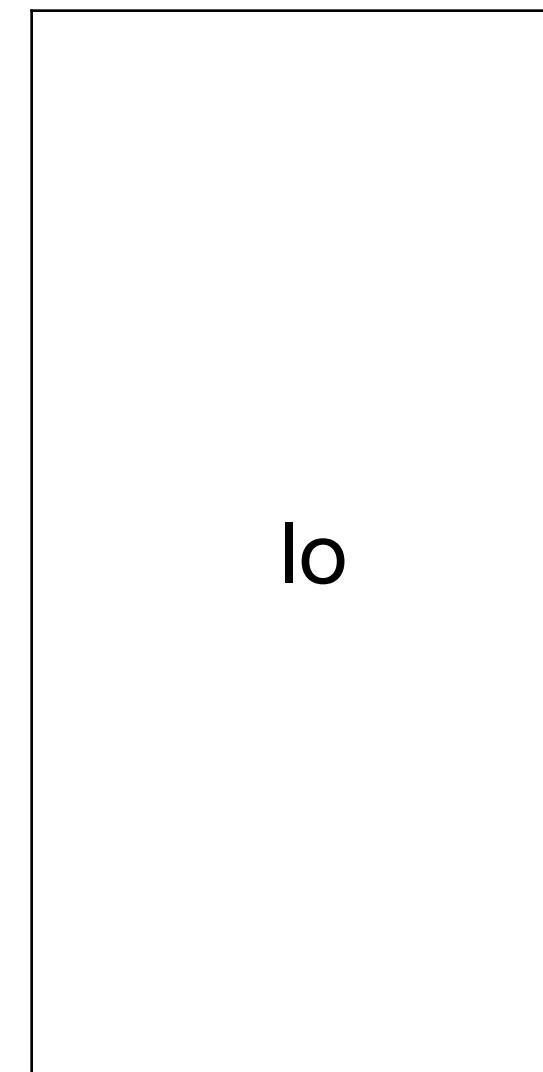
Data bitmap

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16

Block 3



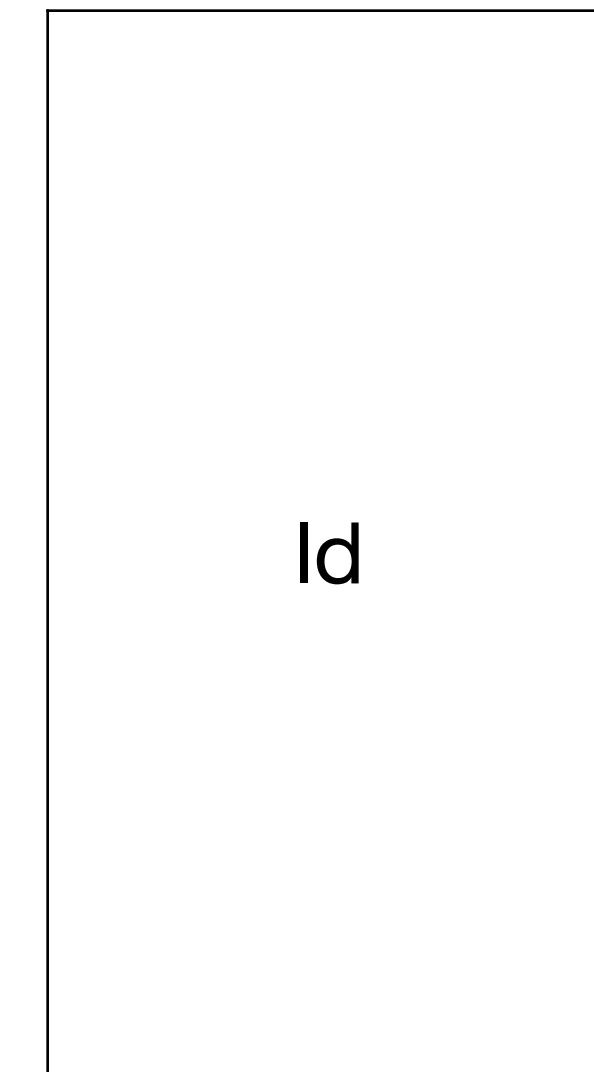
Block 5



Block 7



Block 12



Block 16

File/directory name	Inode number
.	8
..	1
bar	2

# Durability guarantees

- At every write call. Most conservative.
  - Buffer cache is write-through. Terrible performance
- At close. Example: network file systems.
  - Long file operations increase risk of loss. Writing many small files is slow.
- At some point in the future (within 5 to 30 seconds)
  - Most performant. Buffer cache is write-back.
  - One transaction contains several operations
  - `fsync` to ensure that write is on disk. `time ./fsync`

```
write(fd, ...) {  
    begin_txn(..);  
    bwrite( .. );  
    bwrite( .. );  
    bwrite( .. );  
    end_txn(..);  
}
```

```
fd = open("/foo/bar", O_CREATE)  
write(fd, ...);  
write(fd, ...);  
write(fd, ...);  
close(fd);
```

```
write(fd, ...) {  
    begin_op(..);  
    bwrite( .. );  
    bwrite( .. );  
    bwrite( .. );  
    end_op(..);  
}
```

# Order writes

- Dangling pointers are very bad!  
Can live with space leaks.
- Avoid dangling pointers by ordering writes. First write child, then parent
- Modern disks allow specifying ordering.

Inode = 2 “/foo/bar”
Type = file
Size
Modified time
3
5
7
12

```
fd = open("/foo/bar", O_CREATE)  
write(fd, "hello world\n", 12);  
close(fd);
```

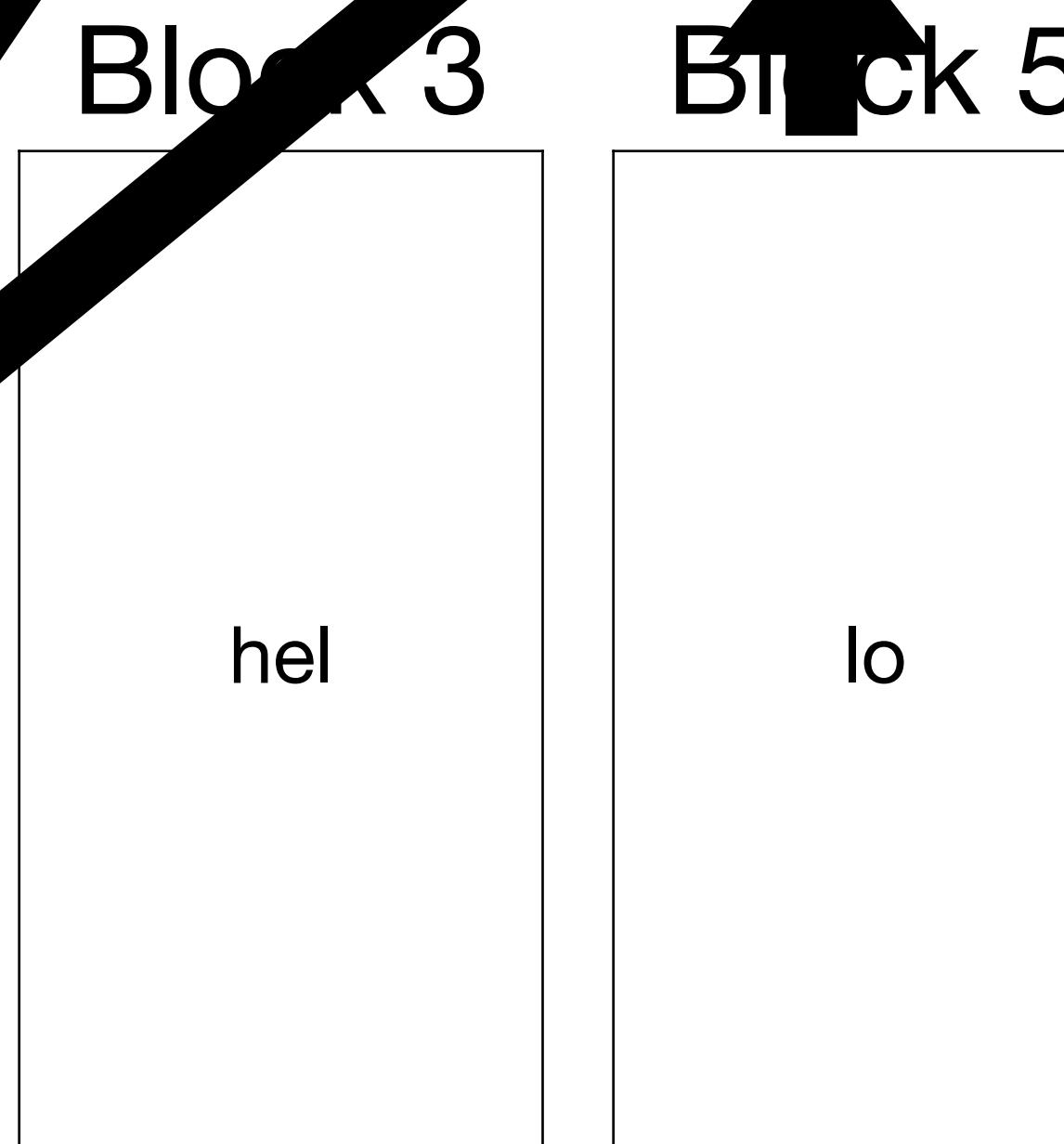
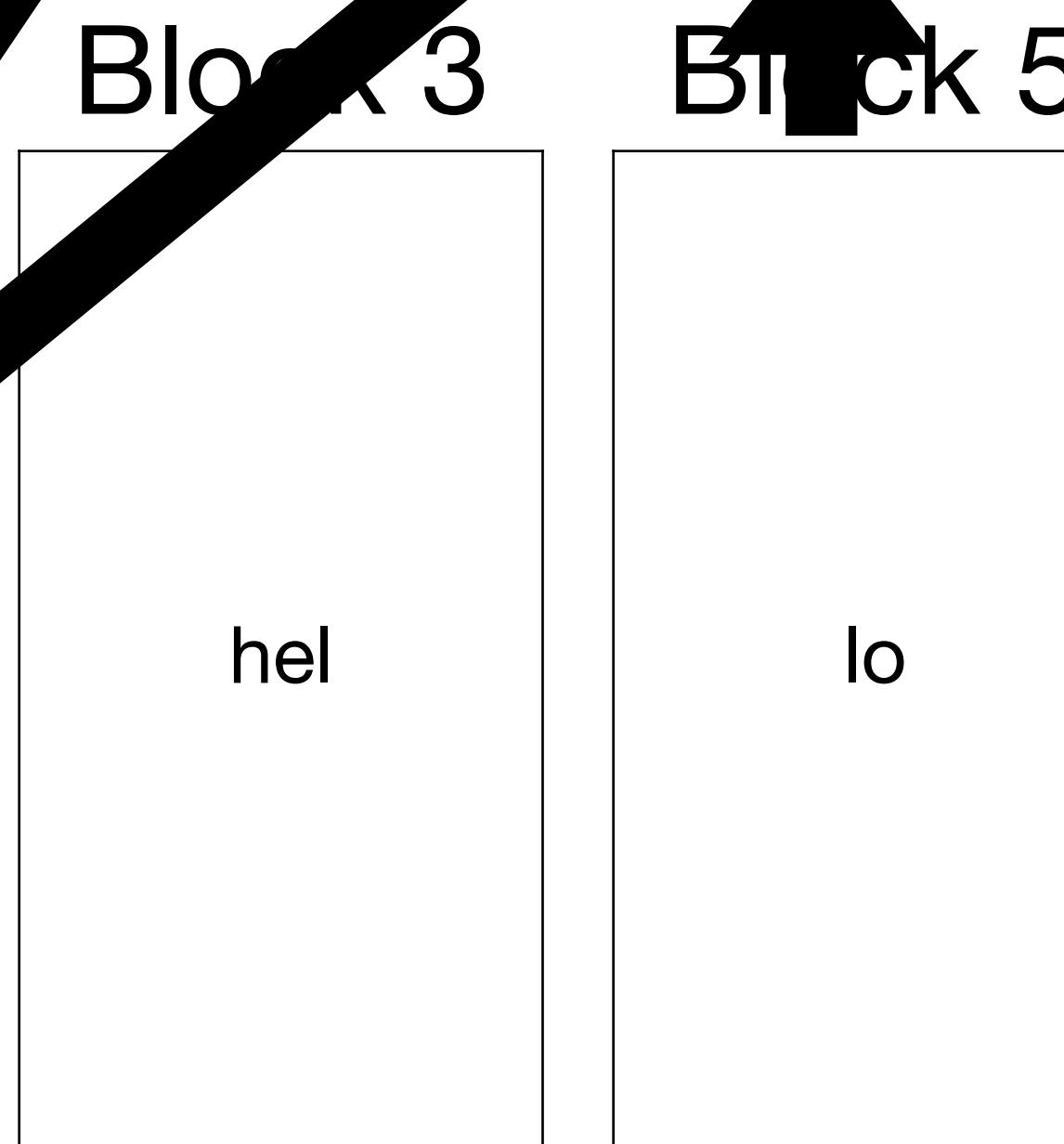
Inode bitmap

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

Data bitmap

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16

Block 3



# What about deletes?

- Truncate

Inode = 2 “/foo/bar”

Type = file
Size
Modified time
3
5
7
12

```
fd = open("/foo/bar", O_CREATE);
write(fd, "hello world\n", 12);
close(fd);
```

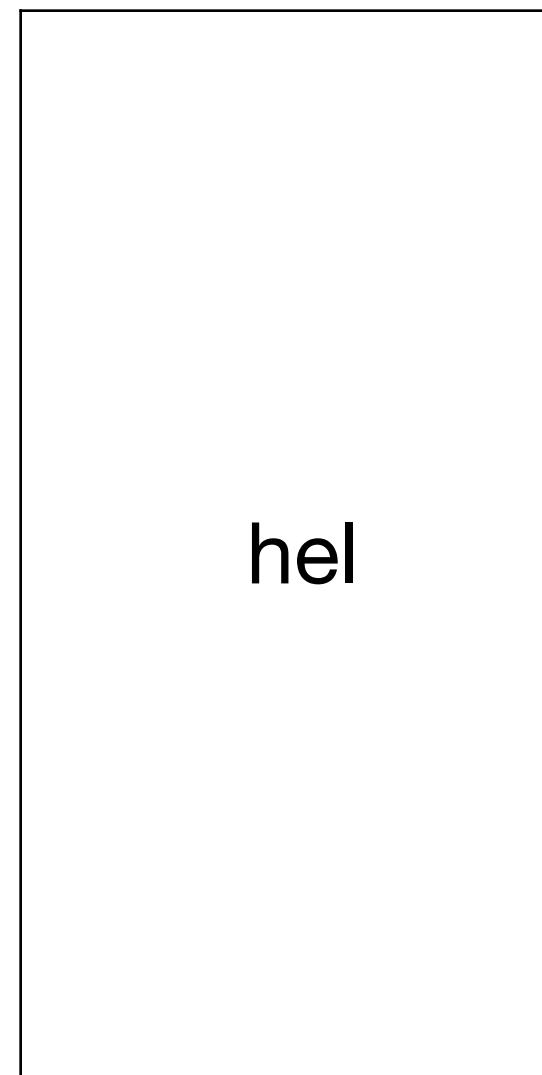
Inode bitmap

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

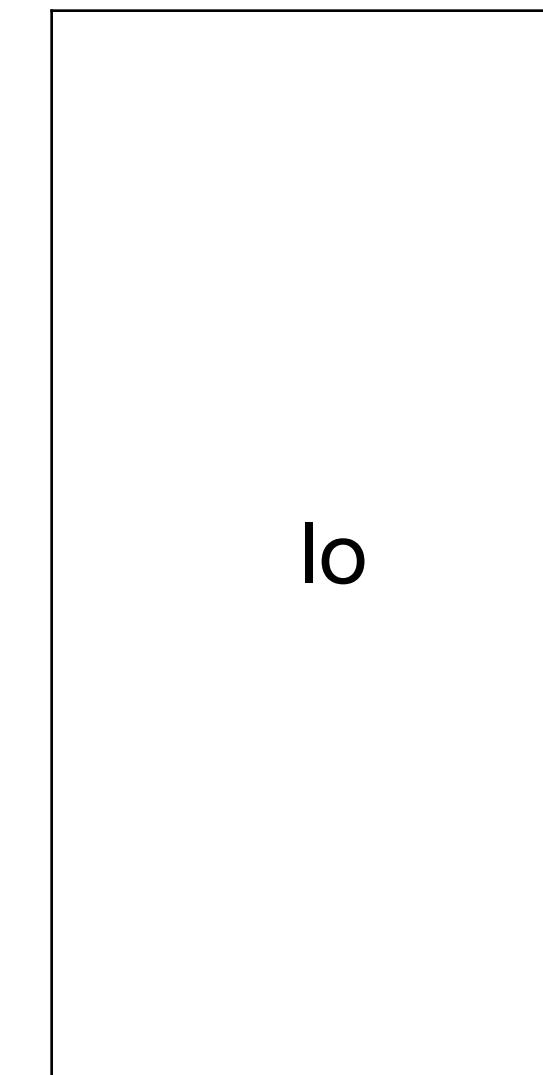
Data bitmap

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16

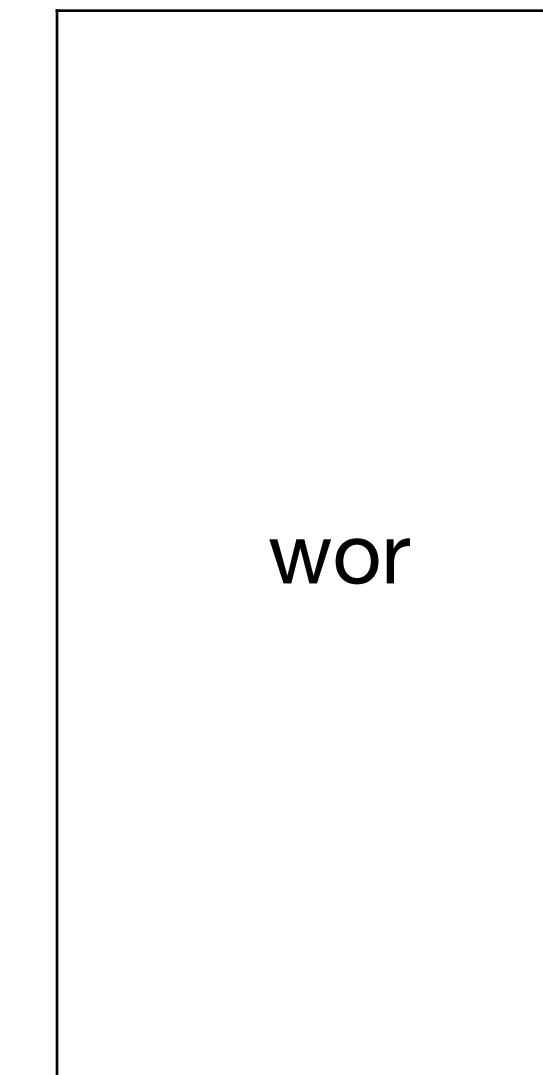
Block 3



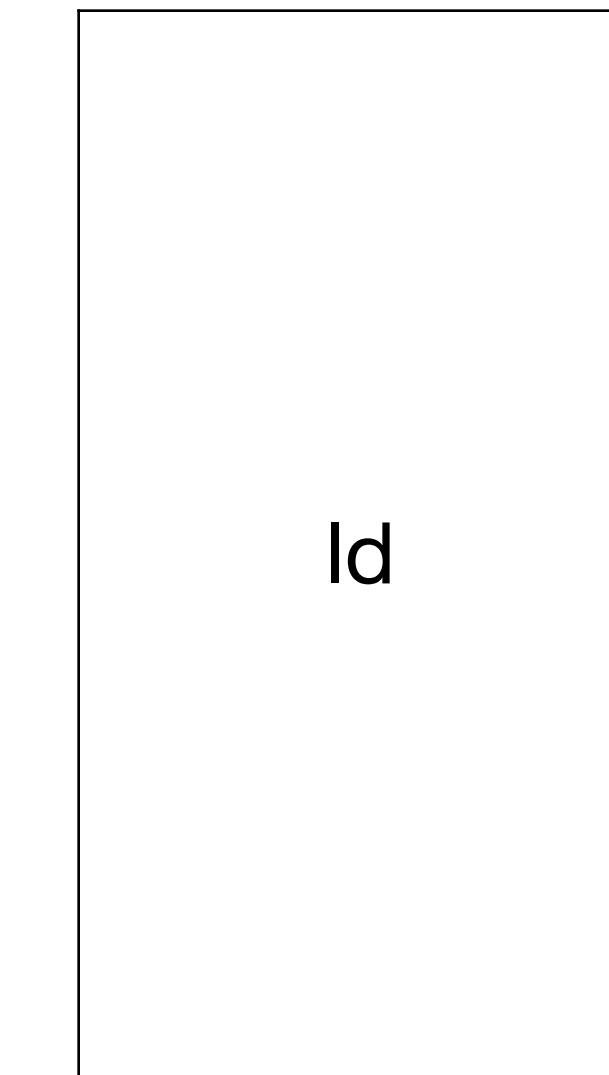
Block 5



Block 7



Block 12



Block 16

File/directory name	Inode number
.	8
..	1
bar	2

# What about deletes?

- Unlink

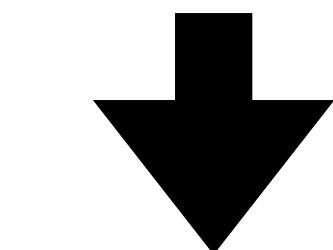
Inode = 2 “/foo/bar”

Type = file
Size
Modified time
3
5
7
12

```
fd = open("/foo/bar", O_CREATE)  
write(fd, "hello world\n", 12);  
close(fd);
```

Inode bitmap

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---



Data bitmap

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16

Block 3

Block 5

Block 7

Block 12

Block 16

File/directory name	Inode number
.	8
..	1
bar	2

hel

lo

wor

ld

# What about moves?

`mv a/foo b/`

“a” data block-1

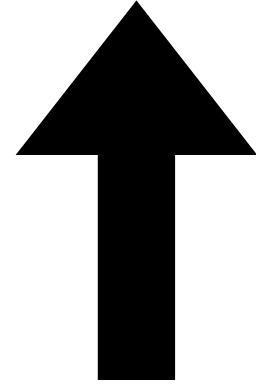
File/directory name	Inode number
foo	2

“a” data block

1	File/directory name	Inode number

“a” data block-2

2	File/directory name	Inode number
	foo	2



“b” data block-1

File/directory name	Inode number
bar	36
foo	2

“b” data block

2	File/directory name	Inode number
	bar	36

Have two pointers to foo

“b” data block-2

1	File/directory name	Inode number
	bar	36
	foo	2

Might lose file ‘foo’

# Operations in a transaction can create circular dependencies

`mv a/foo b/; mv b/bar a/;`

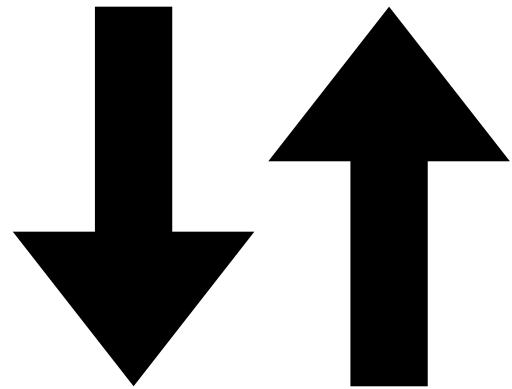
- Detect cycles before happening.
- Close the transaction. Flush to disk.

“a” data block

File/directory name	Inode number
foo	2

“b” data block

File/directory name	Inode number
bar	36



# Fixing space leaks

fsck scans entire disk

Operations	Order	Problem	Fix
Writing to file	Data bitmaps, data blocks, inode block	Leak data blocks	Mark data blocks free in data bitmap
Creating a file	Inode bitmap, file's inode, parent's inode	Leak inodes	Move to lost and found
Truncate	inode, data bitmap	Leak data blocks	Mark data blocks free in data bitmap
Unlink	Parent's inode, inode bitmap	Leak inodes	Move to lost and found
mv a/foo b/	b's inode, a's inode	Multiple links to foo	Set foo's nlinks=2 (hard link)

# Problems

- Need to carefully decide ordering
- Proactively detect cycles. Cycles in ordering force a commit
- Ordering reduces write throughput (reduced disk scheduling opportunities)
- File system code needs to co-evolve with fsck. Wrong assumptions, bugs in fsck can destroy the file system
- Fsck scans the entire disk. 70GB disk with 2 million inodes takes 10 minutes. Impractical for large disks.

# Crash consistency

- Durability guarantee: at some point in the future (5 to 30 seconds)
  - Most performant. Buffer cache is write-back.
  - One transaction contains several operations
- Make writes atomic with respect to power failures / kernel crashes: either all the blocks are written or none of the blocks are written
- Challenge: Disk only writes one block at a time

/foo Block 16	
File/directory name	Inode number
.	8
..	1
bar	2

Inode = 2 “/foo/bar”

Type = file
Size
Modified time
3
5
7
12

```
fd = open("/foo/bar", O_CREATE);
write(fd, "hello world\n", 12);
close(fd);
```

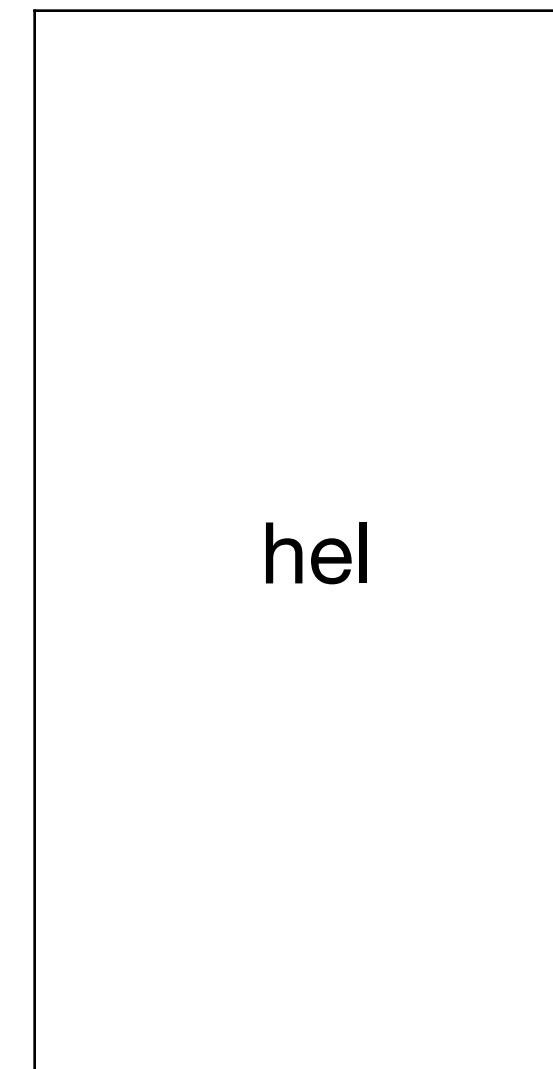
Inode bitmap

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

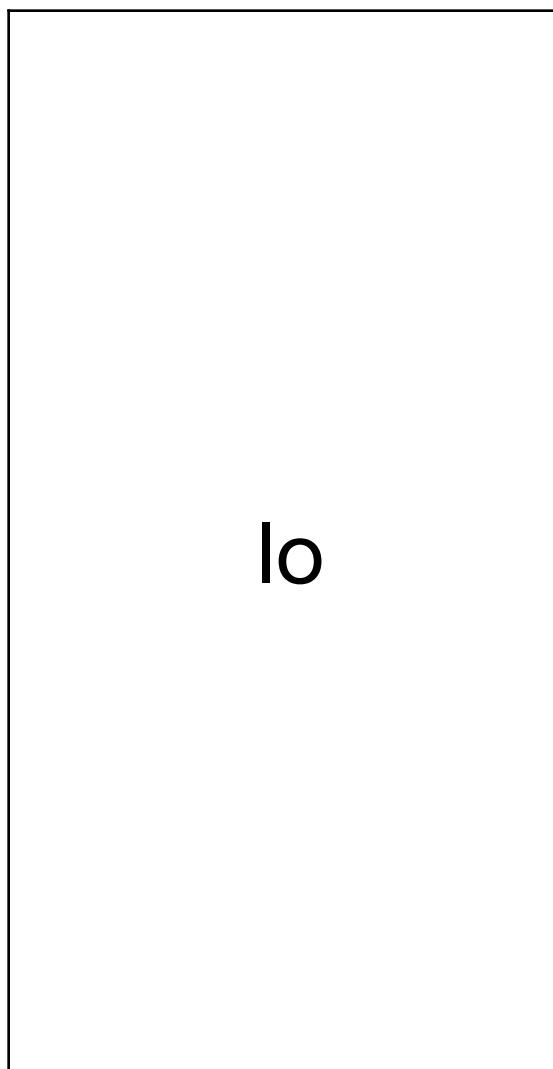
Data bitmap

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16

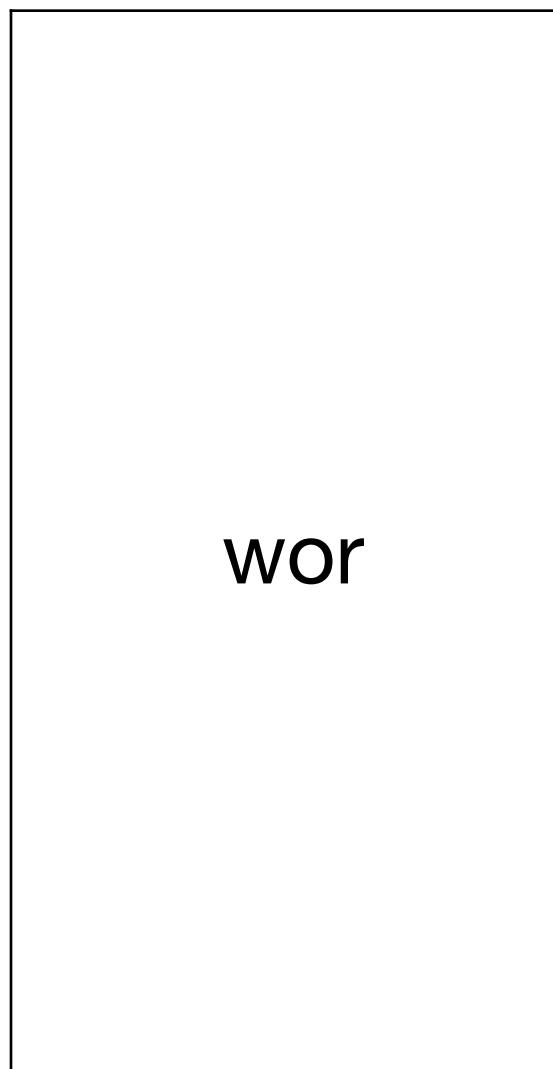
Block 3



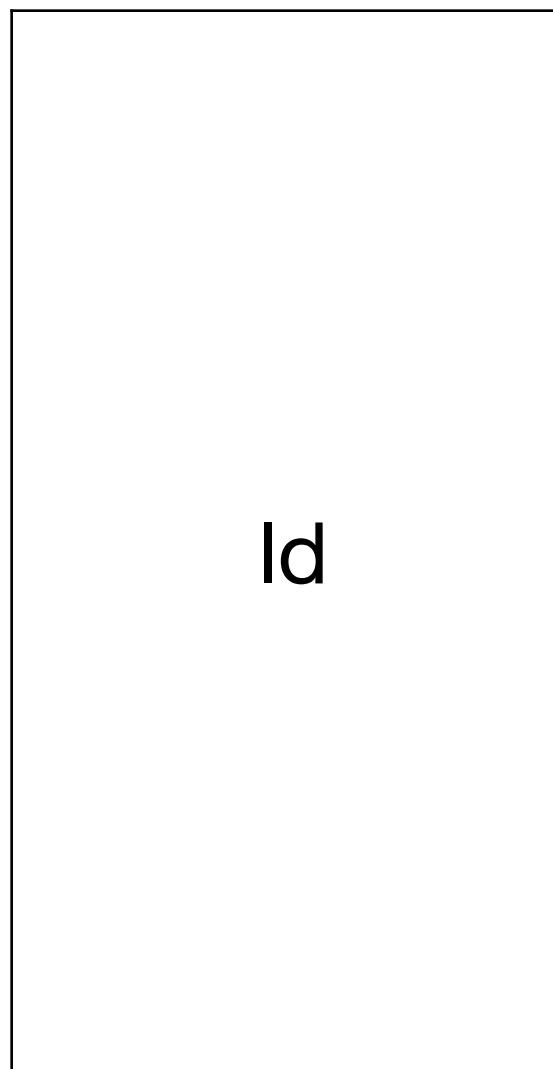
Block 5



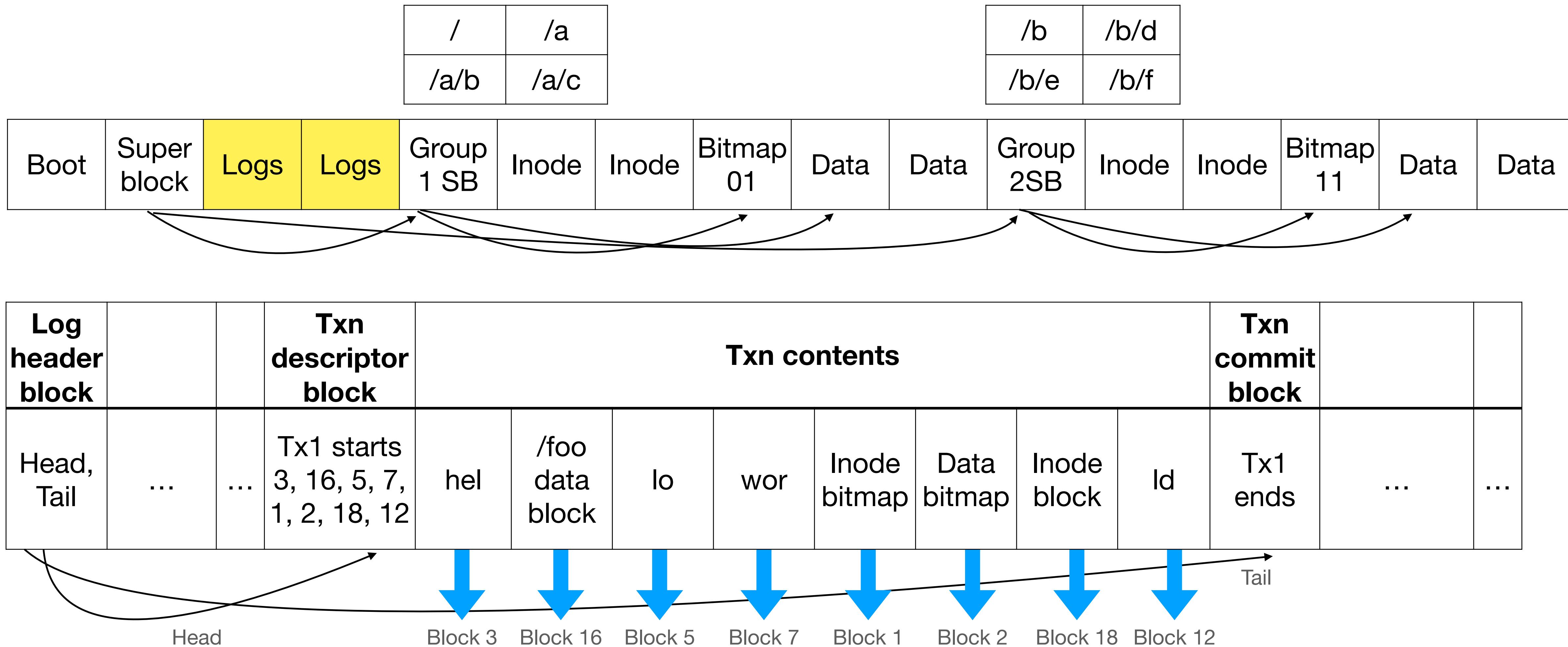
Block 7



Block 12

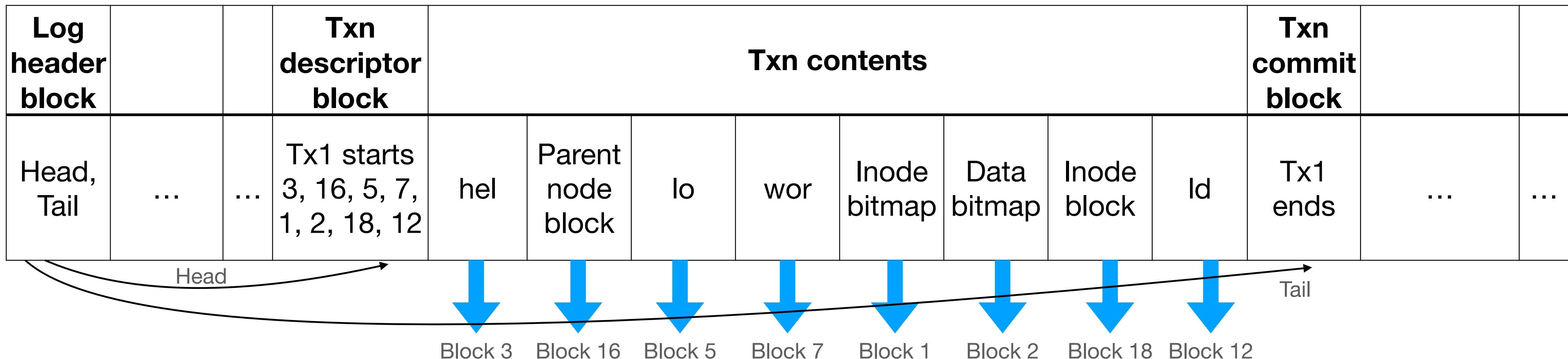


# Write-ahead log



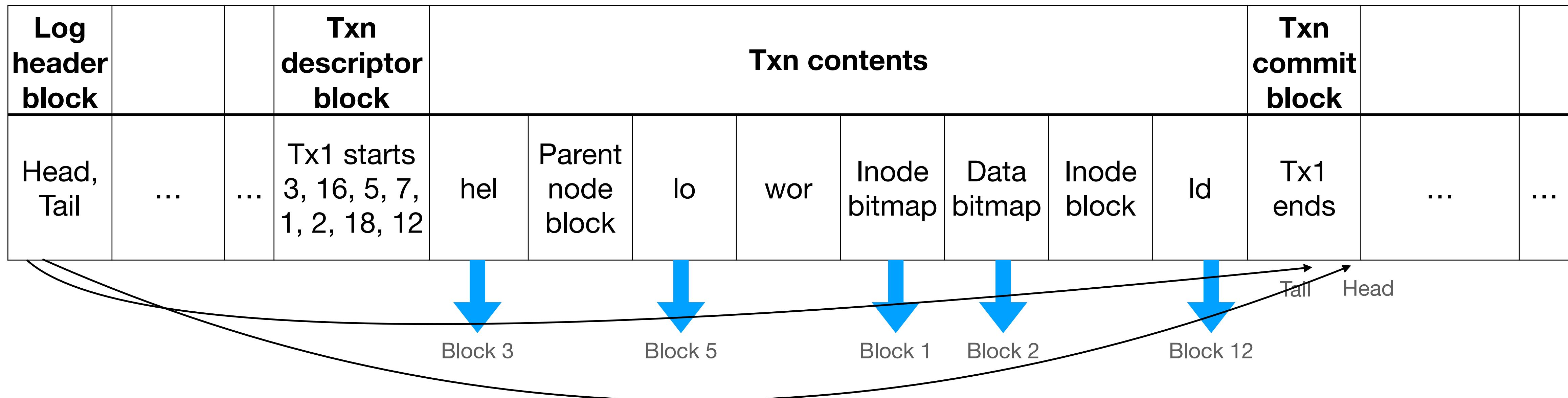
# Recovery procedure

- At reboot:
  - read from head to tail
  - “Redo” transaction contents to home locations
  - Update head of log header block
  - Atomic: All blocks are written for committed transactions. None for uncommitted transactions.



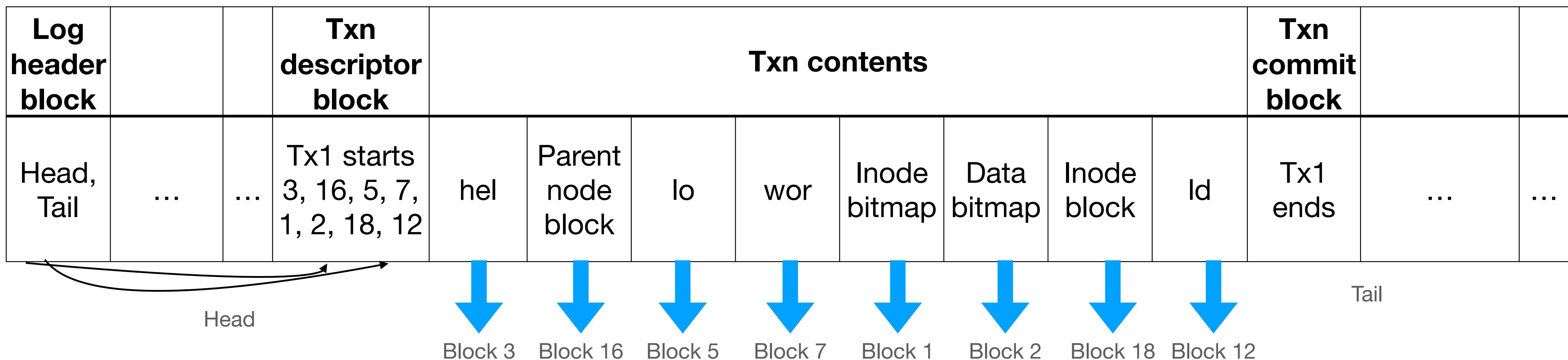
# Why do we need ordering?

- At reboot:
  - read from head to tail
  - “Redo” transaction contents to home locations
  - Update head of log header block
  - Atomic: All blocks are written for committed transactions. None for uncommitted transactions.



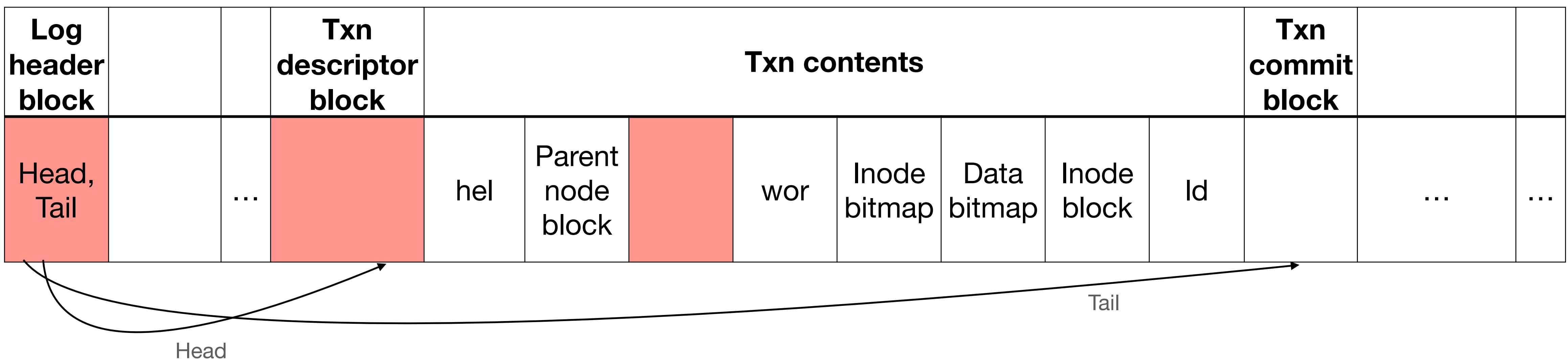
# Transaction end

- Update tail in log header block
- Write transaction descriptor block
- Write transaction contents
- Write transaction commit block
- Write transaction contents to home locations
- Update head in log header block



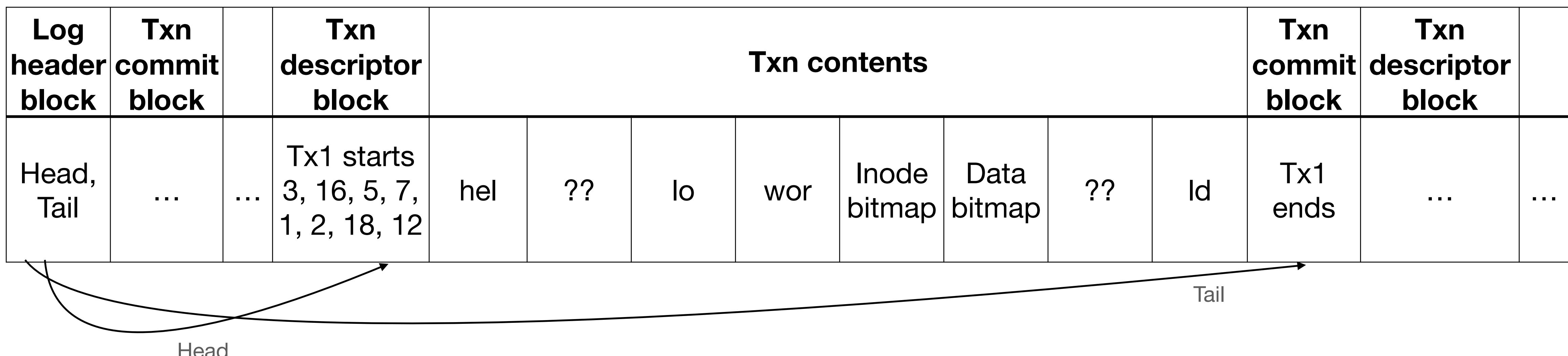
# Three writes can be unordered

- Update tail in log header block
- Write transaction descriptor block
- Write transaction contents
- Recovery scans for “Tx end” backwards



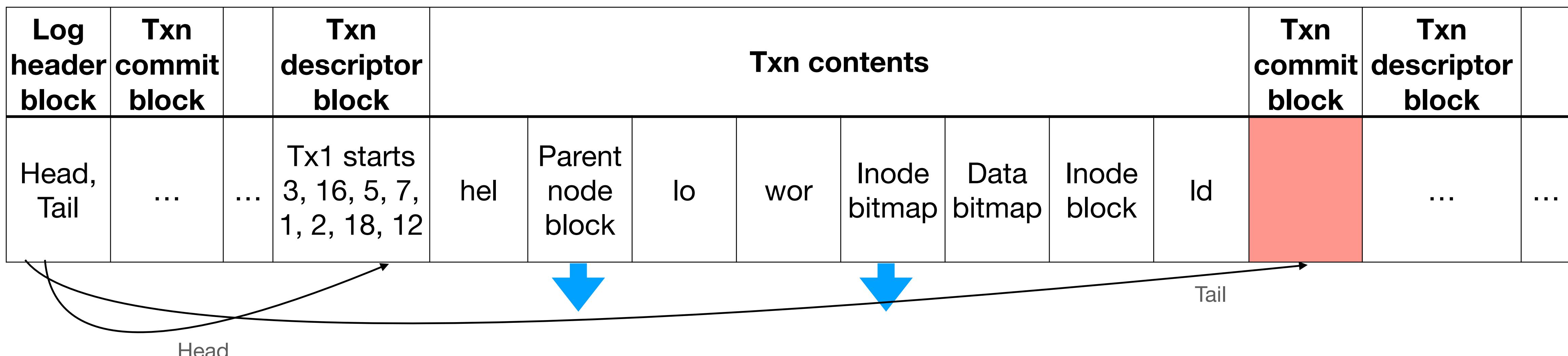
# Why transaction commit needs to be ordered?

- Update tail in log header block
- Write transaction descriptor block
- Write transaction contents
- Write transaction commit block
- Write transaction contents to home locations
- Update head in log header block



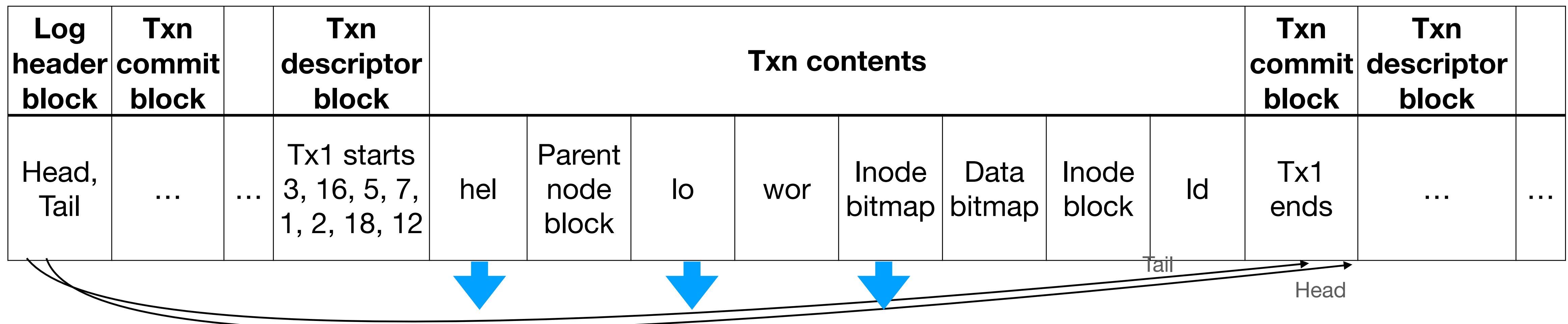
# Writing to home locations need to be ordered

- Update tail in log header block
- Write transaction descriptor block
- Write transaction contents
- Write transaction commit block
- Write transaction contents to home locations
- Update head in log header block



# Transaction end

- Update tail in log header block
- Write transaction descriptor block
- Write transaction contents
- Write transaction commit block
- Write transaction contents to home locations
- Update head in log header block



# xv6 code walkthrough

p12-log

- Only single transaction in the log. Only single operation in transaction (in this branch).
- file.c: begin\_op, end\_op
- log.c:
  - struct logheader is the “descriptor block” containing number of blocks and their home locations
  - end\_op calls commit
  - commit calls
    - write\_log to write all dirty blocks to log
    - write\_head writes the transaction descriptor block. Same as commit block for xv6.
    - install\_trans copies from log to disk
    - write\_head marks transaction as applied by change number of blocks in log header to zero
- main.c calls initlog which calls recover\_from\_log.
  - recover\_from\_log does last two steps of commit

# Log structure

## log.c

- Log holds only one transaction at a time.
- Log header records number of blocks and their positions

```
struct logheader {  
    int n;  
    int block[LOGSIZE];  
};
```

Log header block	Txn contents						
n = 7 block= [3, 16, 5, 7, 2, 18, 12]	hel	Parent inode block	lo	wor	Data bitmap	Inode block	ld

# File operations

- Mark buffers to be written to log
- Call `end_op` to commit the operation

```
int filewrite(struct file *f, char *addr, int n) {  
    begin_op();  
    iread(f->ip);  
    if ((r = writei(f->ip, addr + i, f->off, n1)) > 0)  
        f->off += r;  
    end_op();  
}
```

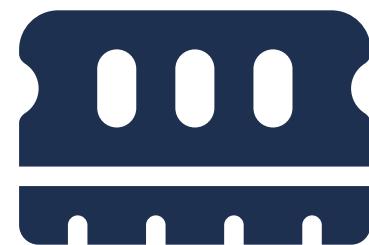
**file.c**

```
int writei(struct inode *ip, char *src, uint off, uint n) {  
    for(...) {  
        bp = bread(ip->dev, bmap(ip, off/BSIZE));  
        m = min(n - tot, BSIZE - off%BSIZE);  
        memmove(bp->data + off%BSIZE, src, m);  
        log_write(bp);  
        brelse(bp);  
    }  
}
```

**fs.c**

# log\_write updates in-memory log header

## log.c

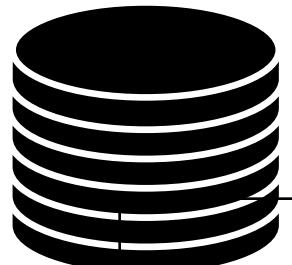


- Change are **in buffer-cache**, not yet in disk!
- Writes to the same buffer will not be written twice to the log
- Remember block number in log header
- Mark buffer as dirty.

```
void log_write(struct buf *b) {  
    for (i = 0; i < log.lh.n; i++) {  
        if (log.lh.block[i] == b->blockno) // log absorption  
            break;  
    }  
    log.lh.block[i] = b->blockno;  
    if (i == log.lh.n)  
        log.lh.n++;  
    b->flags |= B_DIRTY; // prevent eviction  
}
```

# Commit log.c

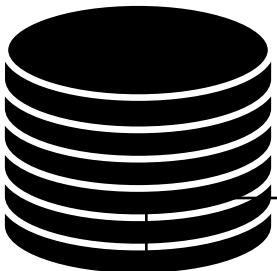
```
static void commit() {  
    → write_log();      // Write modified blocks from cache to log  
    write_head();       // Write header to disk -- the real commit  
    install_trans();   // Now install writes to home locations  
    log.lh.n = 0;  
    write_head();       // Erase the transaction from the log  
    ...}  
}
```



Log header block		Txn contents					
$n = 0$ block= []	hel	Parent inode block	lo	wor	Data bitmap	Inode block	Id

# Commit log.c

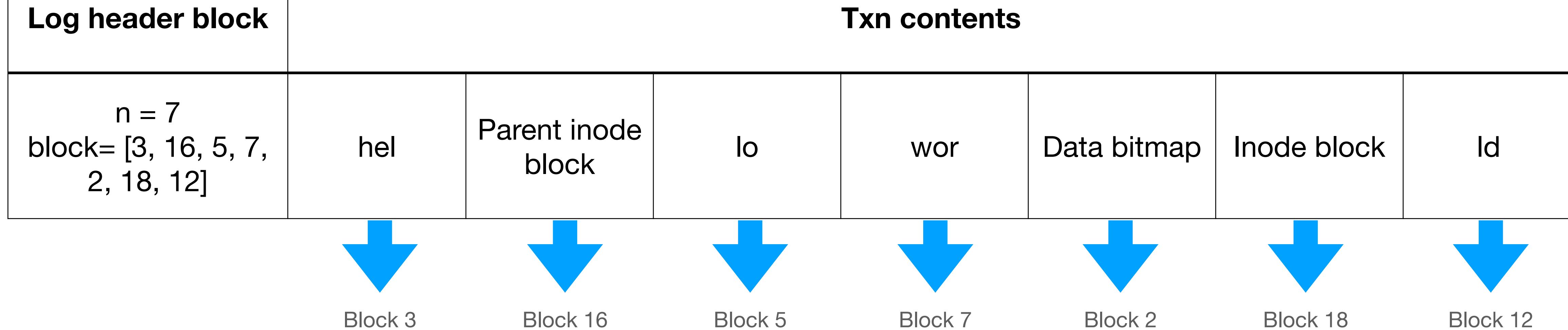
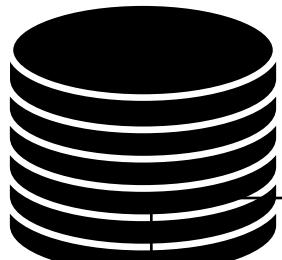
```
static void commit() {  
    write_log();      // Write modified blocks from cache to log  
    write_head();    // Write header to disk -- the real commit  
    install_trans(); // Now install writes to home locations  
    log.lh.n = 0;  
    write_head();    // Erase the transaction from the log  
    ...}  
}
```



<b>Log header block</b>		<b>Txn contents</b>					
$n = 7$ block= [3, 16, 5, 7, 2, 18, 12]	hel	Parent inode block	lo	wor	Data bitmap	Inode block	Id

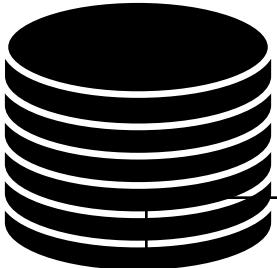
# Commit log.c

```
static void commit() {  
  
    write_log();      // Write modified blocks from cache to log  
  
    write_head();    // Write header to disk -- the real commit  
  
    → install_trans(); // Now install writes to home locations  
  
    log.lh.n = 0;  
  
    write_head();    // Erase the transaction from the log  
  
    ...}  
}
```



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    write_log();      // Write modified blocks from cache to log  
  
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    → write_head(); // Erase the transaction from the log  
  
    ...}  
}
```

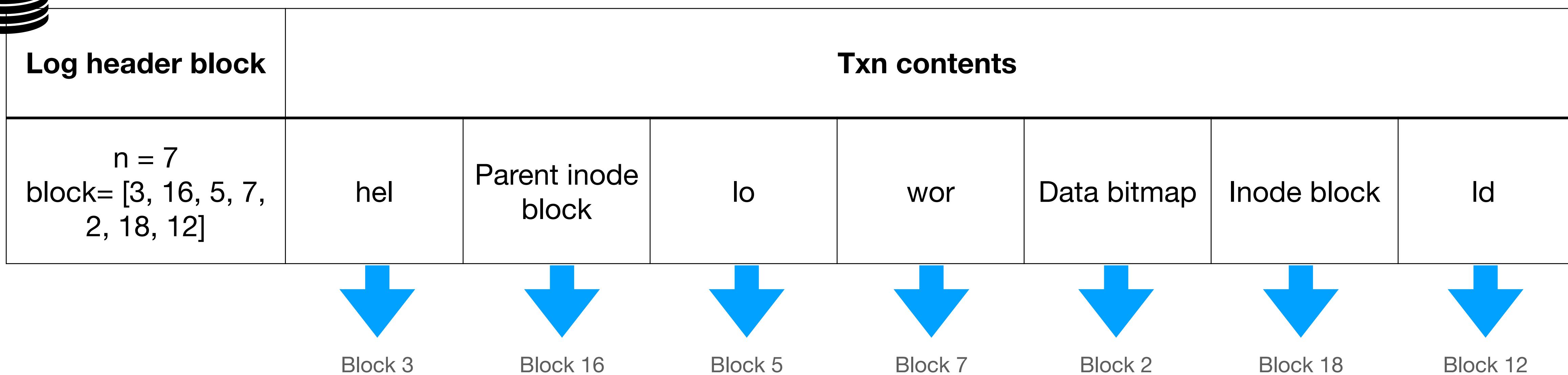


<b>Txn contents</b>							
<b>Log header block</b>							
$n = 0$ block= []	hel	Parent inode block	lo	wor	Data bitmap	Inode block	ld

# Crash recovery

## log.c

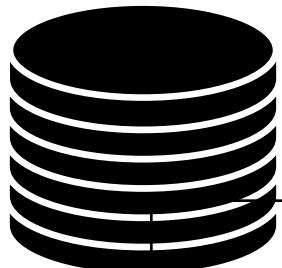
```
static void recover_from_log(void) {  
    read_head();  
    → install_trans(); // if committed, copy from log to disk  
    log.lh.n = 0;  
    write_head(); // clear the log  
}
```



# Crash recovery

## log.c

```
static void recover_from_log(void) {  
    read_head();  
  
    install_trans(); // if committed, copy from log to disk  
  
    log.lh.n = 0;  
  
    → write_head(); // clear the log  
}
```



<b>Txn contents</b>							
<b>Log header block</b>							
n = 0 block= []	hel	Parent inode block	lo	wor	Data bitmap	Inode block	ld

# In general, log can have multiple transactions

- Close the transaction after 30 seconds
- At close, send disk write requests to write the transaction's dirty buffers
- Need not wait for the writes to be on disk! Start a new transaction. (xv6 waits)
- When old transaction's dirty buffers are written to their home locations, mark them as valid. They may now be evicted.

```
begin_txn(26);  
  
    write(fd, ...){  
        begin_op(..);  
        bwrite(..);  
        bwrite(..);  
        bwrite(..);  
        end_op(..);  
    }  
  
    write(fd, ...){  
        begin_op(..);  
        bwrite(..);  
        bwrite(..);  
        bwrite(..);  
        end_op(..);  
    }  
  
    end_txn(..);  


---


```

```
begin_txn(27);  
    write(fd, ...){  
        begin_op(..);  
        bwrite(..);  
        bwrite(..);  
        bwrite(..);  
        end_op(..);  
    }  
  
    begin_txn(28);  
        write(fd, ...){  
            begin_op(..);  
            bwrite(..);  
            bwrite(..);  
            bwrite(..);  
            end_op(..);  
        }  
  
        end_txn(..);  

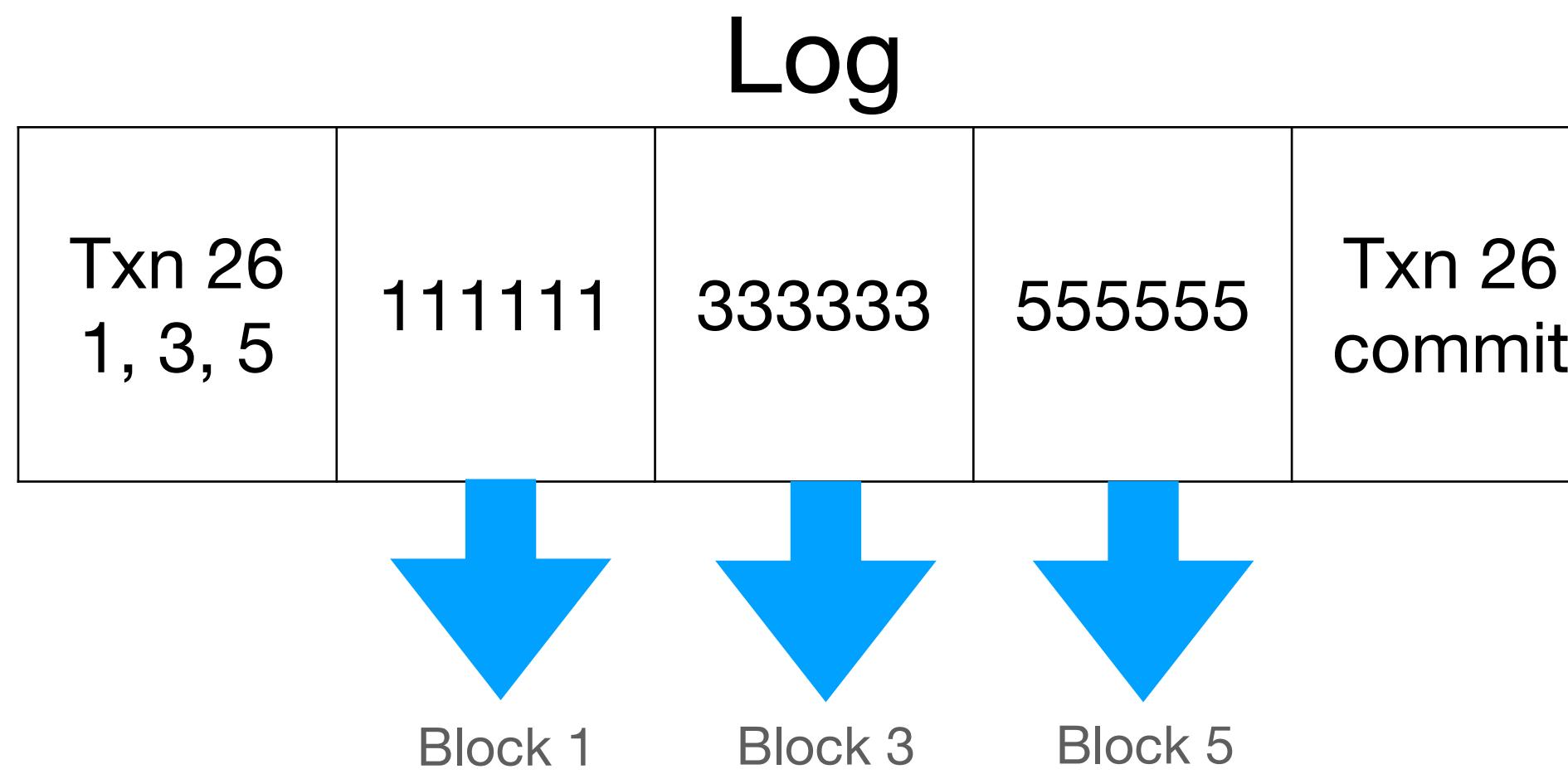

---


```

# Log can have multiple transactions!

## Buffer cache

Block 1	111111	VALID
Block 3	333333	VALID
Block 5	555555	VALID
Block 2	222222	DIRTY
Block 4	444444	DIRTY



```
begin_txn(26);
```

```
write(fd, ...){  
begin_op(...);  
bwrite( .. );  
bwrite( .. );  
bwrite( .. );  
end_op(..);  
}
```

```
write(fd, ...){  
begin_op(...);  
bwrite( .. );  
bwrite( .. );  
bwrite( .. );  
end_op(..);  
}  
end_txn(..);
```

---

```
begin_txn(27);
```

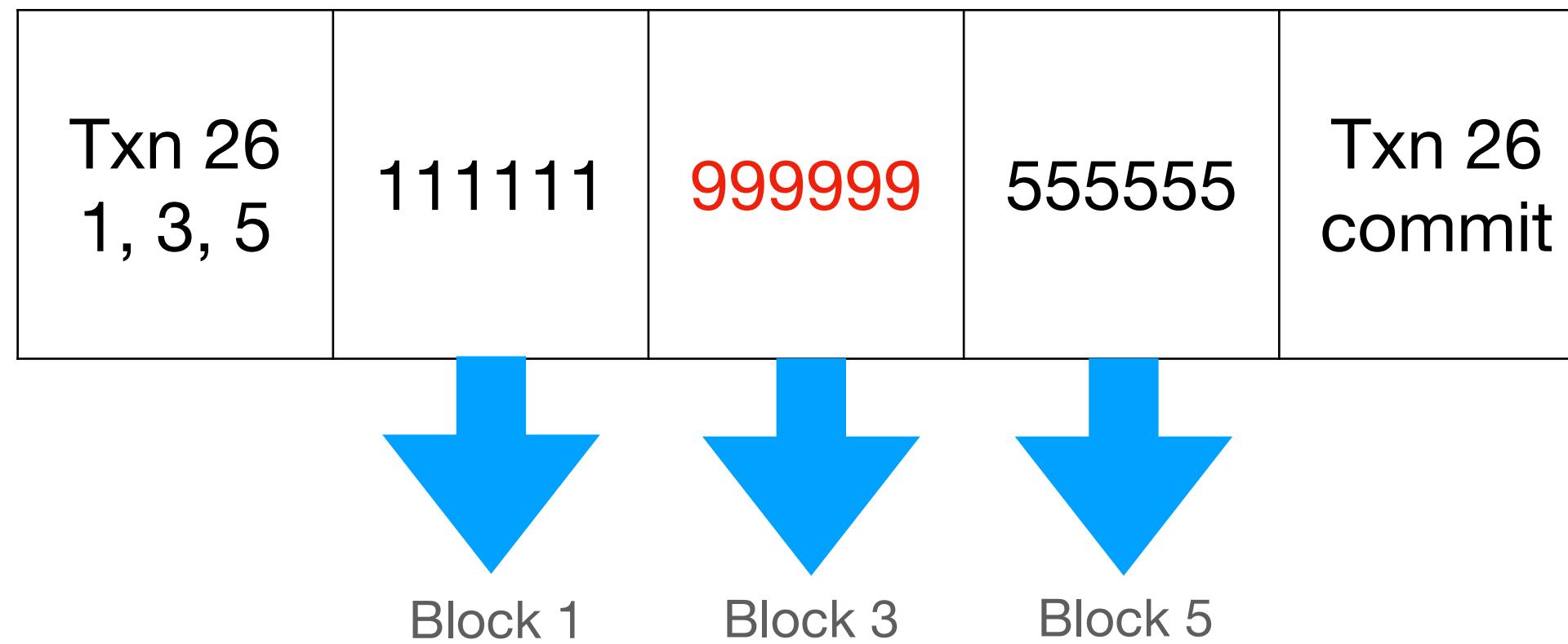
```
write(fd, ...){  
begin_op(...);  
bwrite( .. );  
bwrite( .. );  
bwrite( .. );  
end_op(..);  
}
```

# Buffer conflicts

Buffer cache (memory)

Block 1	111111	VALID
Block 3	999999	VALID
Block 5	555555	VALID
Block 2	222222	DIRTY
Block 4	444444	DIRTY

Log (disk)



- Uncommitted txn 27 wrote a block!

```
begin_txn(26);
```

```
write(fd, ...) {  
    begin_op(...);  
    bwrite( .. );  
    bwrite( .. );  
    bwrite( .. );  
    end_op(...);  
}
```

```
write(fd, ...) {  
    begin_op(...);  
    bwrite( .. );  
    bwrite( .. );  
    bwrite( .. );  
    end_op(...);  
}  
end_txn();
```

---

```
begin_txn(27);
```

```
write(fd, ...) {  
    begin_op(...);  
    bwrite( .. );  
    bwrite( .. );  
    bwrite( .. );  
    end_op(...);  
}
```

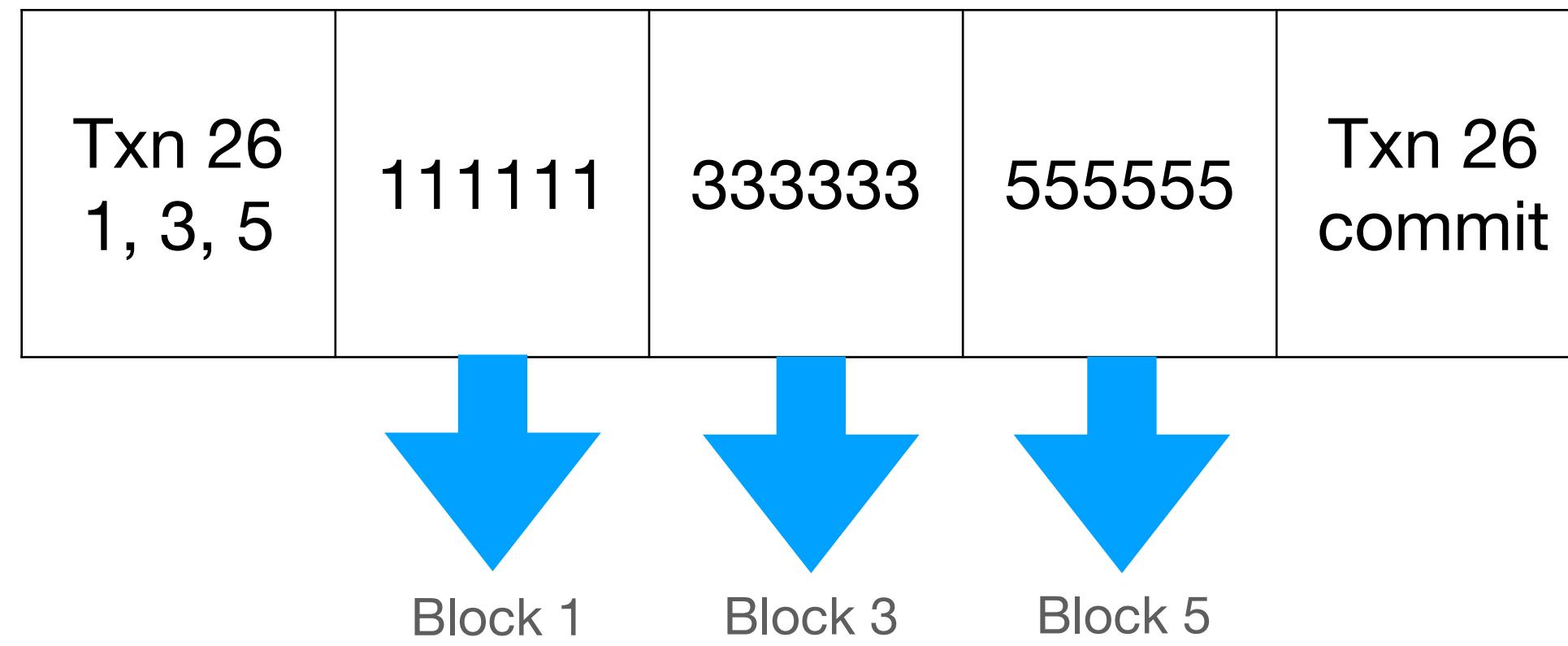
```
}
```

# Buffer conflicts: Copy on write

Buffer cache

Block 1	111111	VALID
Block 3	333333	DELETE
Block 5	555555	VALID
Block 3	999999	DIRTY
Block 2	222222	DIRTY
Block 4	444444	DIRTY

Log



- If new transaction writes to an old transaction's buffer, write to a new copy!
- Old copy is written to the log and disk. After it is written to home location, delete.

begin\_txn(26);

```
write(fd, ...) {  
    begin_op(..);  
    bwrite( .. );  
    bwrite( .. );  
    bwrite( .. );  
    end_op(..);  
}
```

```
write(fd, ...) {  
    begin_op(..);  
    bwrite( .. );  
    bwrite( .. );  
    bwrite( .. );  
    end_op(..);  
}
```

---

end\_txn(..);

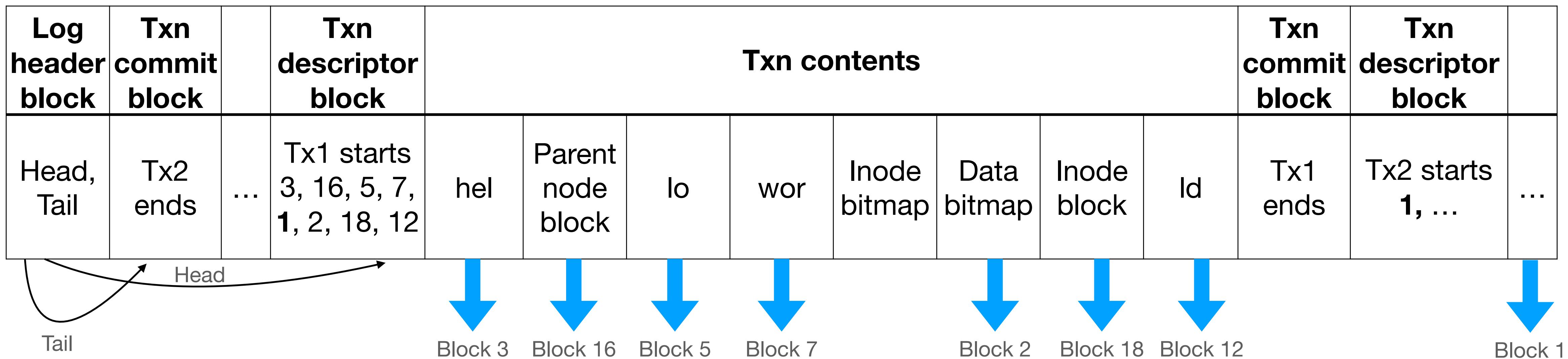
begin\_txn(27);

```
write(fd, ...) {  
    begin_op(..);  
    bwrite( .. );  
    bwrite( .. );  
    bwrite( .. );  
    end_op(..);  
}
```

}

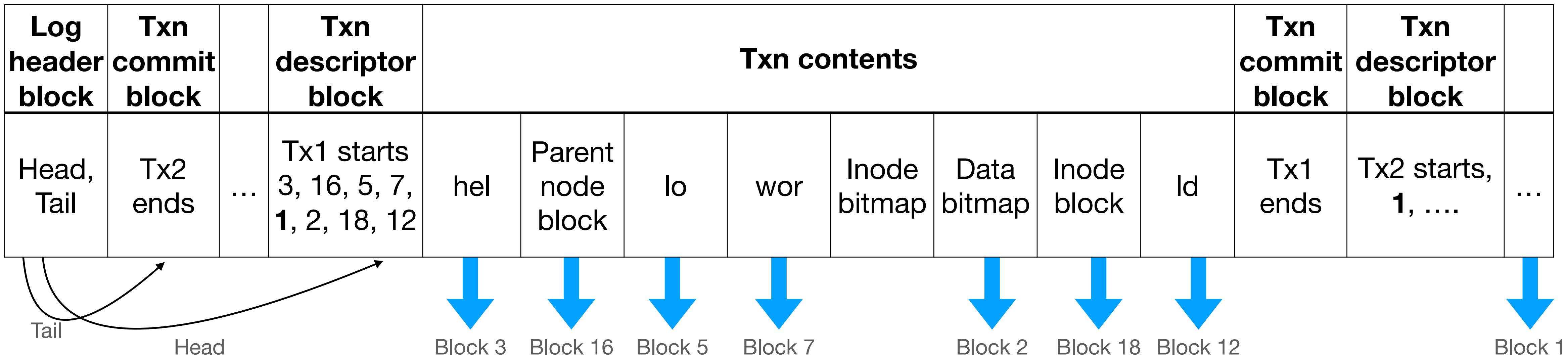
# Recovery procedure with multiple transactions

- At reboot:
  - read from head to tail
  - “Redo” Txn contents to home locations
  - Update head of log header block
  - Don’t write overwritten blocks



# Performance

- Writing to log is one large sequential write. Durable as soon as commit block is written.
- Send bulk writes to home locations => good disk scheduling opportunity.
- All writes are off the “critical path”. New transactions are started while old one is applying writes.
- Recovery only reads the log and not the whole disk. Unlike fsck, scales well with disk sizes.
- Need not carefully reason about ordering! Can evolve file system data structures easily!
- Writes each block twice



# Log size constraints

- Transactions cannot write more blocks than the space available in the log
  - When the log is full => File system operations need to wait for old transactions to free the log
- A large file write might write lots of data blocks
  - Only partially make the write atomic
  - Do not write data blocks

# Reducing log size

## Metadata journaling

- Only write metadata to log:
  - Inode blocks
  - Data and inode bitmaps
  - Directory's data blocks containing directory entries

Block 16	
File/directory name	Inode number
.	8
..	1
bar	2

Inode = 2 “/foo/bar”

Type = file
Size
Modified time
3
5
7
12

```
fd = open("/foo/bar", O_CREATE)  
write(fd, "hello world\n", 12);  
close(fd);
```

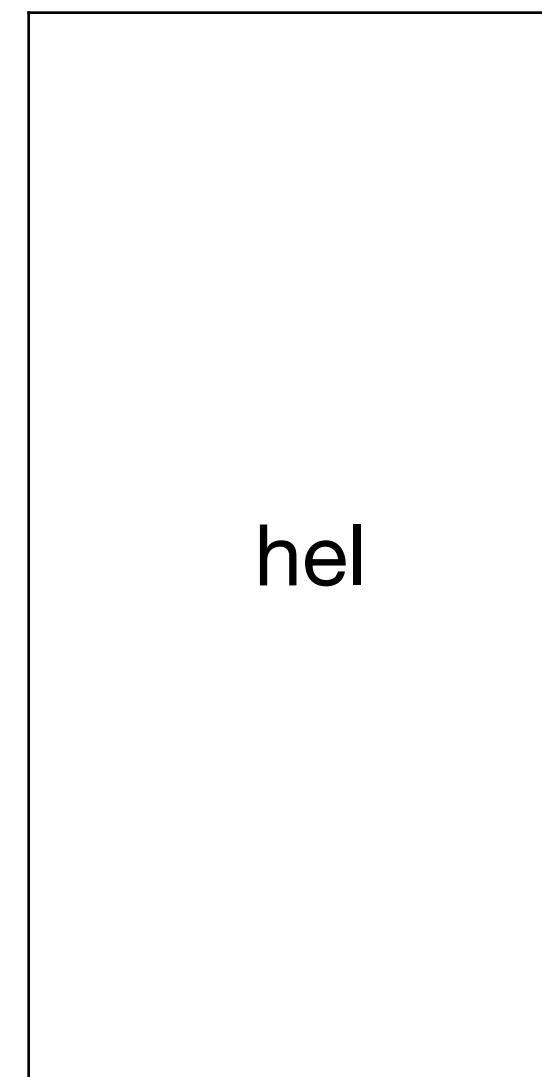
Inode bitmap

1	2	3	4	5	6	7	8
---	---	---	---	---	---	---	---

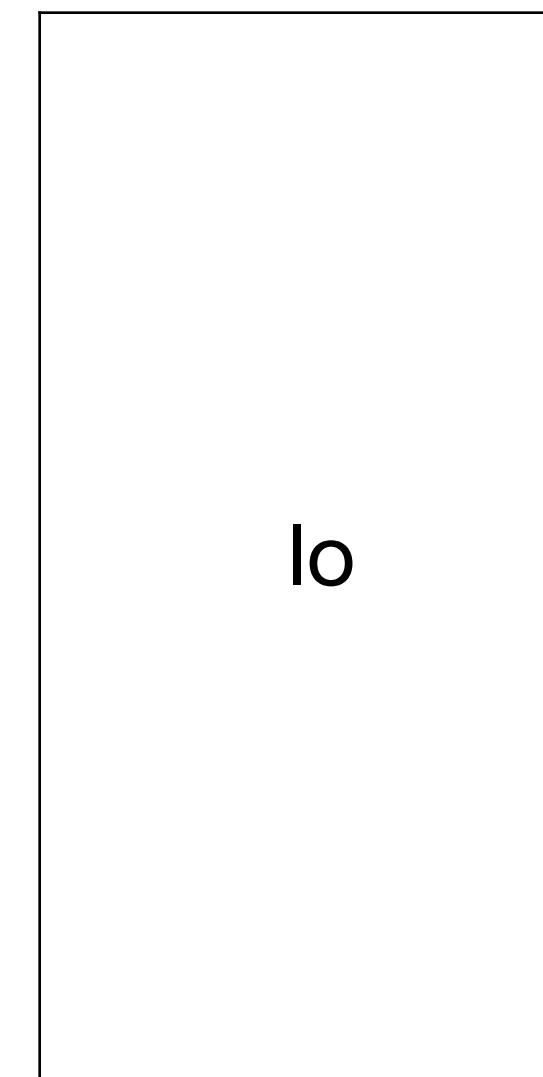
Data bitmap

1	2	3	4	5	6	7	8
9	10	11	12	13	14	15	16

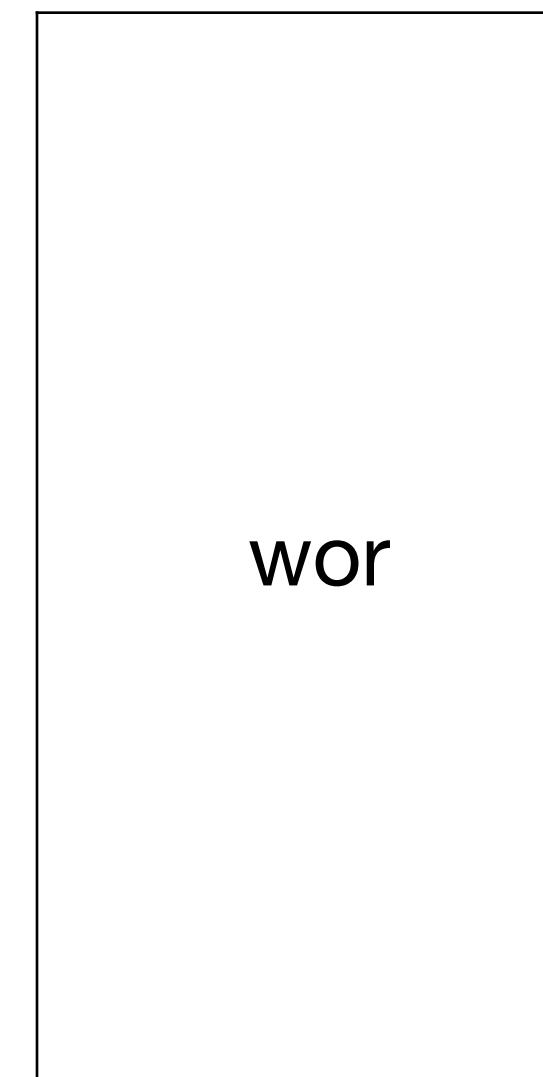
Block 3



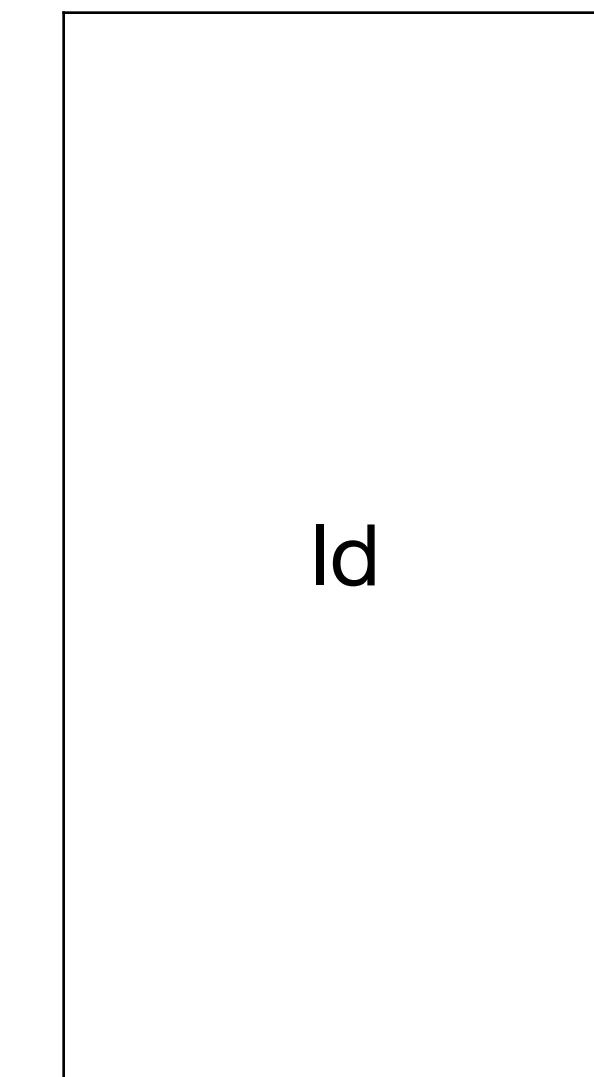
Block 5



Block 7

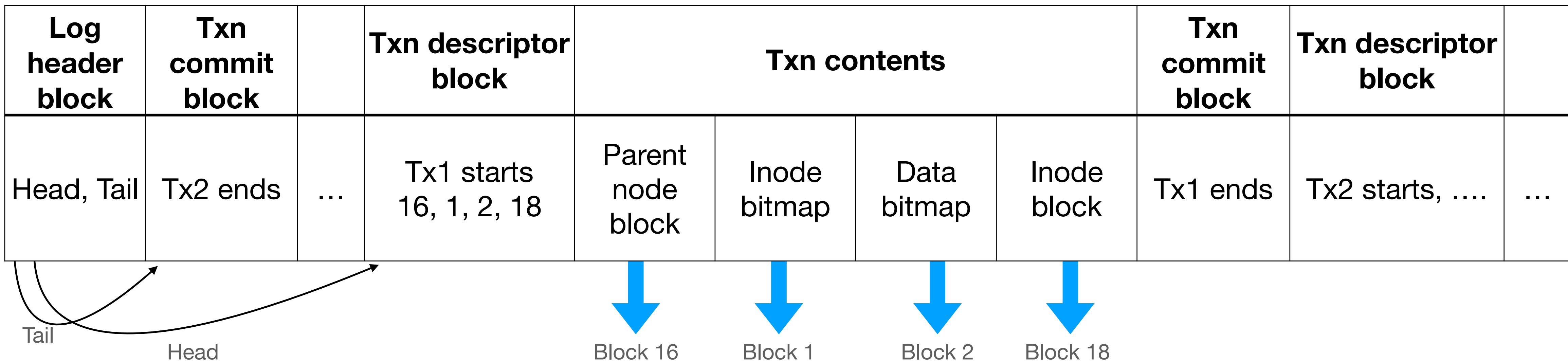


Block 12



# Metadata journalling

- Write data blocks
- Update tail in log header block
- Write transaction descriptor block
- Write transaction contents
- Write transaction commit block
- Write transaction contents to home locations
- Update head in log header block

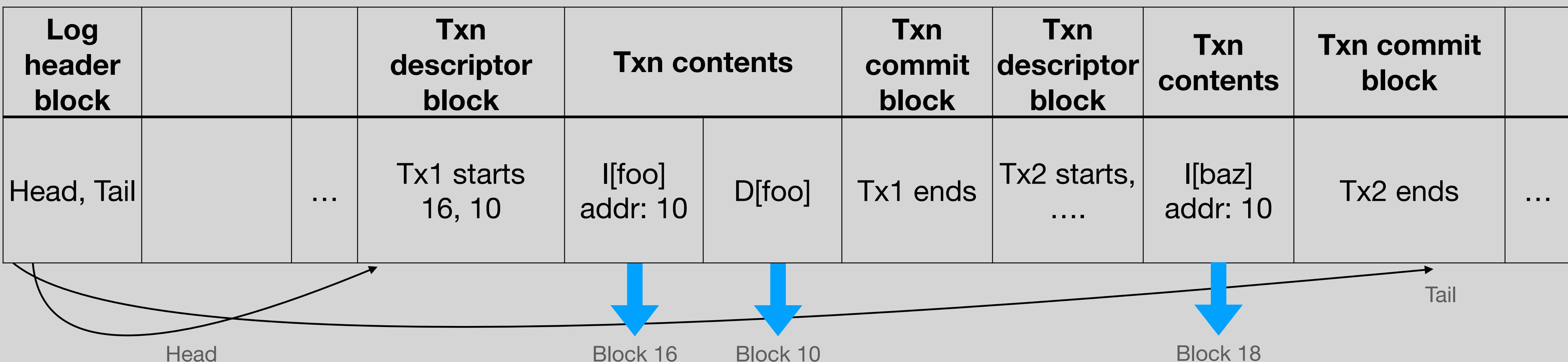
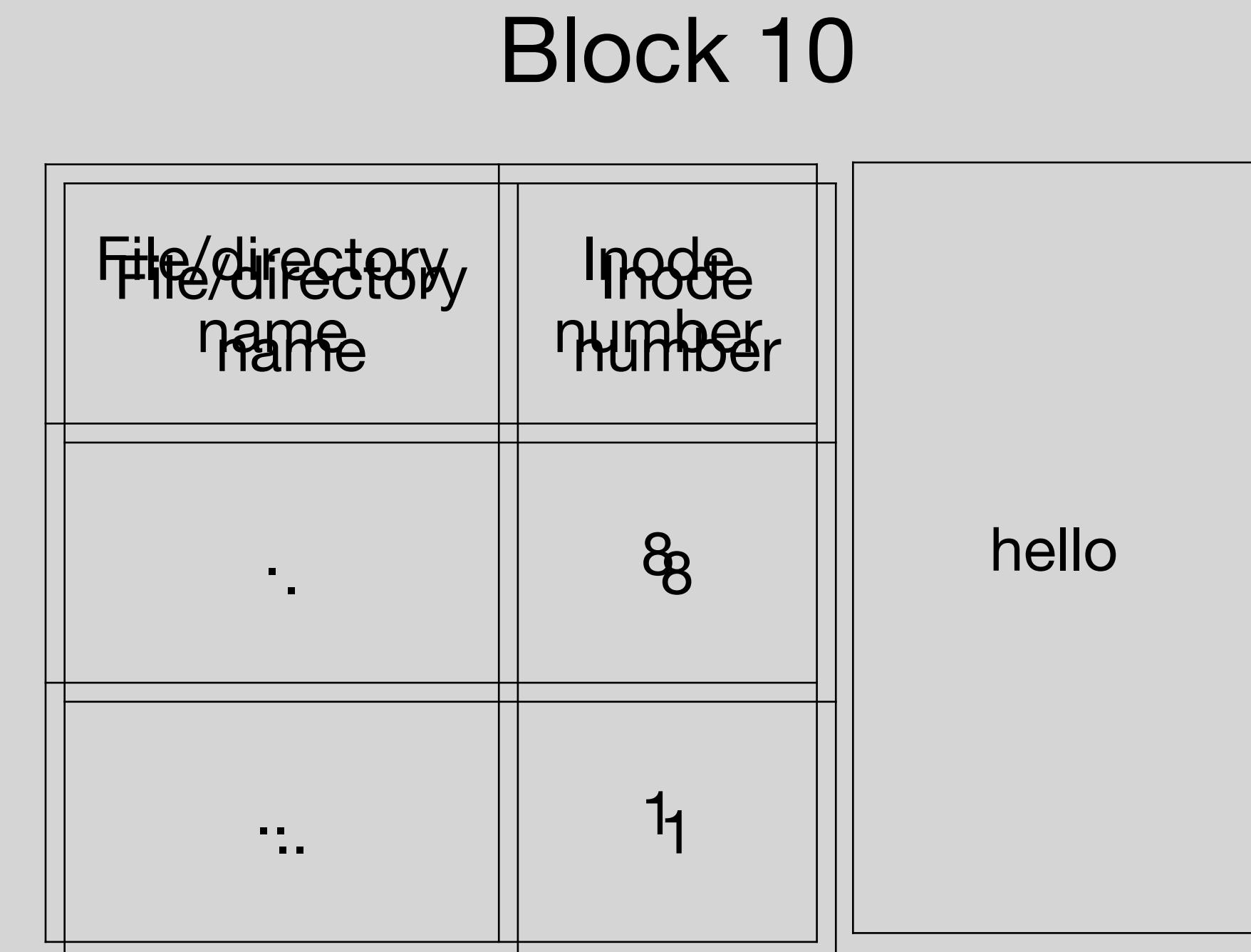


# Data block reuse

T1: `mkdir /foo`

T2: `rmdir /foo; echo hello > /baz`

- Directory data block is “FS metadata” and is in the log
- File data block is not in the log



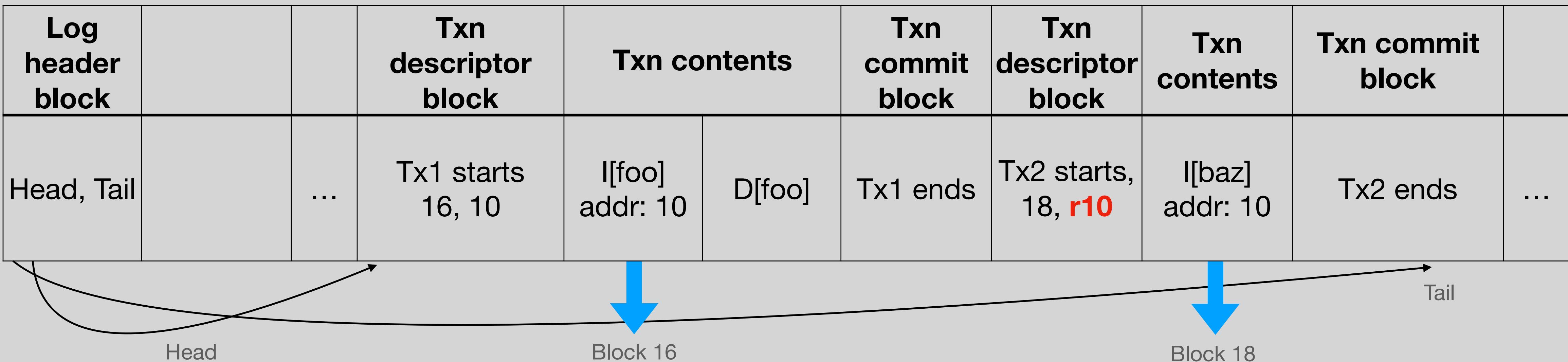
# Data block reuse

T1: mkdir /foo

T2: rm /foo; echo hello > /baz

- Directory data block is “FS metadata” and is in the log
- File data block is not in the log

Block 10



# Conclusion

- File system is an on-disk data structure to expose file and directories over disk blocks
  - Contiguous allocation, linked list, FAT. Indexed FS: Inodes, direct and indirect pointers to data blocks. Directory entries in directory data blocks.
  - Optimizations: break disk into groups (locality awareness), defragmentation, extents, disk cache, buffer cache
  - Crash consistency: disk can only write one block at a time. Ensure FS is consistent across power failures/ kernel crashes. Have garbage data, loss of files, loss of security, block leaks
    - Ordering: No dangling pointers. Cycles force commit. Fix leaks with fsck. Does not scale to large disks.
    - Write ahead logging: No dangling pointers, no leaks. Recovery will “redo log”. 5-30s durability guarantees. Overlap computation with disk writes (new Txn starts immediately). CoW for buffer conflicts.
    - WAL: need not carefully reason about ordering. Durable as soon as Txn commit is written to log. Log write is sequential. Writing to home locations provide good disk scheduling opportunity.
    - Txn needs to block if log is full. Metadata WAL