

# **Input output**

**Polling vs interrupt, Interrupt controllers, interrupt descriptor table, interrupt handlers, Direct memory access, hard disks**

**Abhilash Jindal**

# Agenda

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- Overview of IO devices (OSTEP Ch. 36): Polling, Interrupts, Direct memory access

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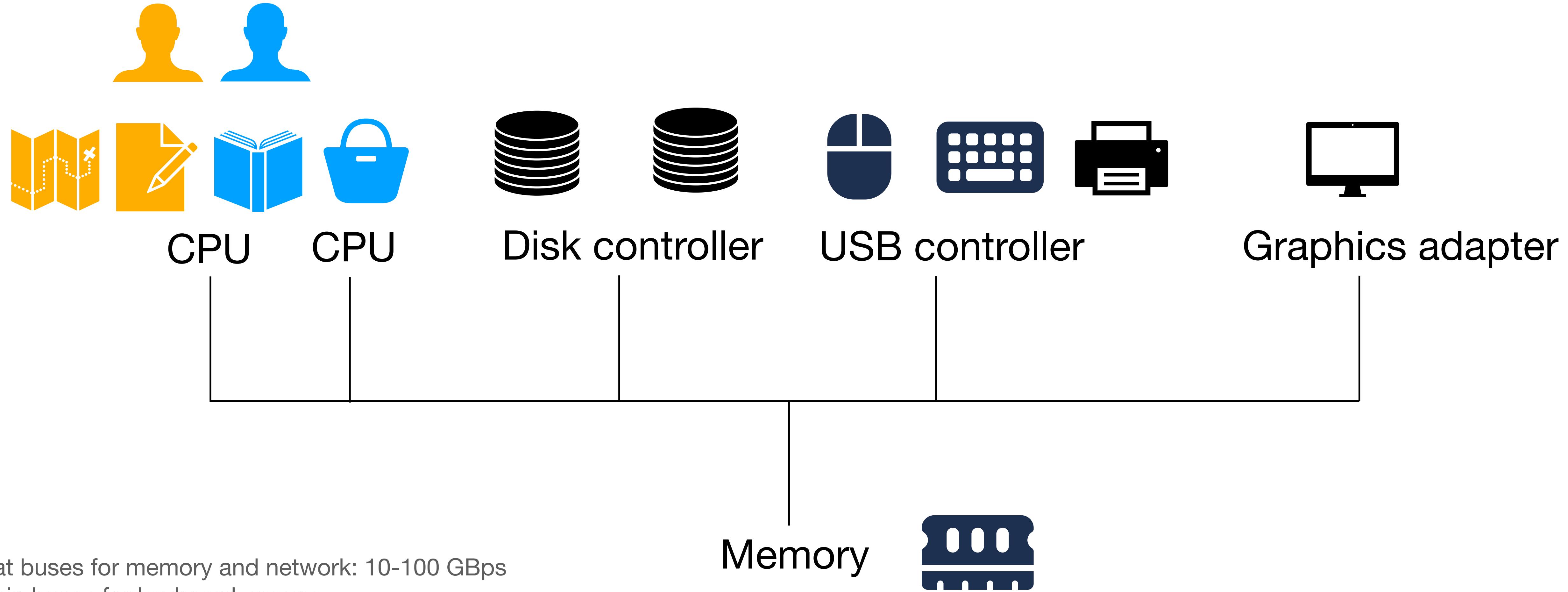
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- Redundant Array of Inexpensive Disks (OSTEP Ch. 38): improve capacity, throughput, fault tolerance
- Disk driver, Buffer cache (xv6 Ch. 6)

# **Overview of IO devices**

**OSTEP Ch. 36**

# Computer organization



# **Fitting into the OS**

**Hide device specific details in device driver**

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- Abstraction allows OS and applications to stay device-neutral

# **Fitting into the OS**

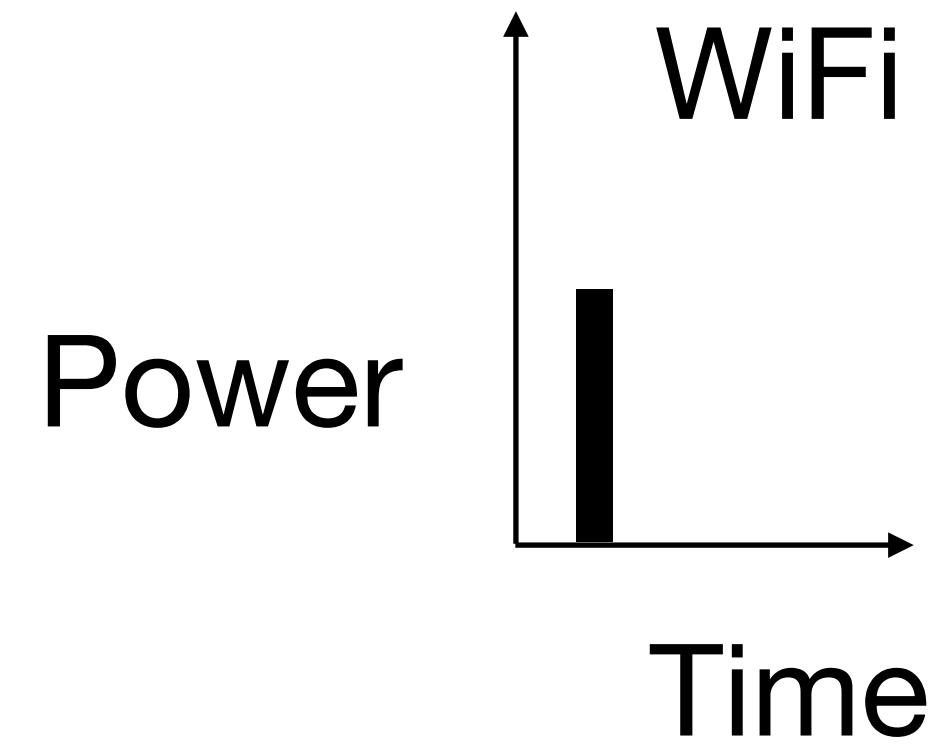
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- Abstraction can hurt.

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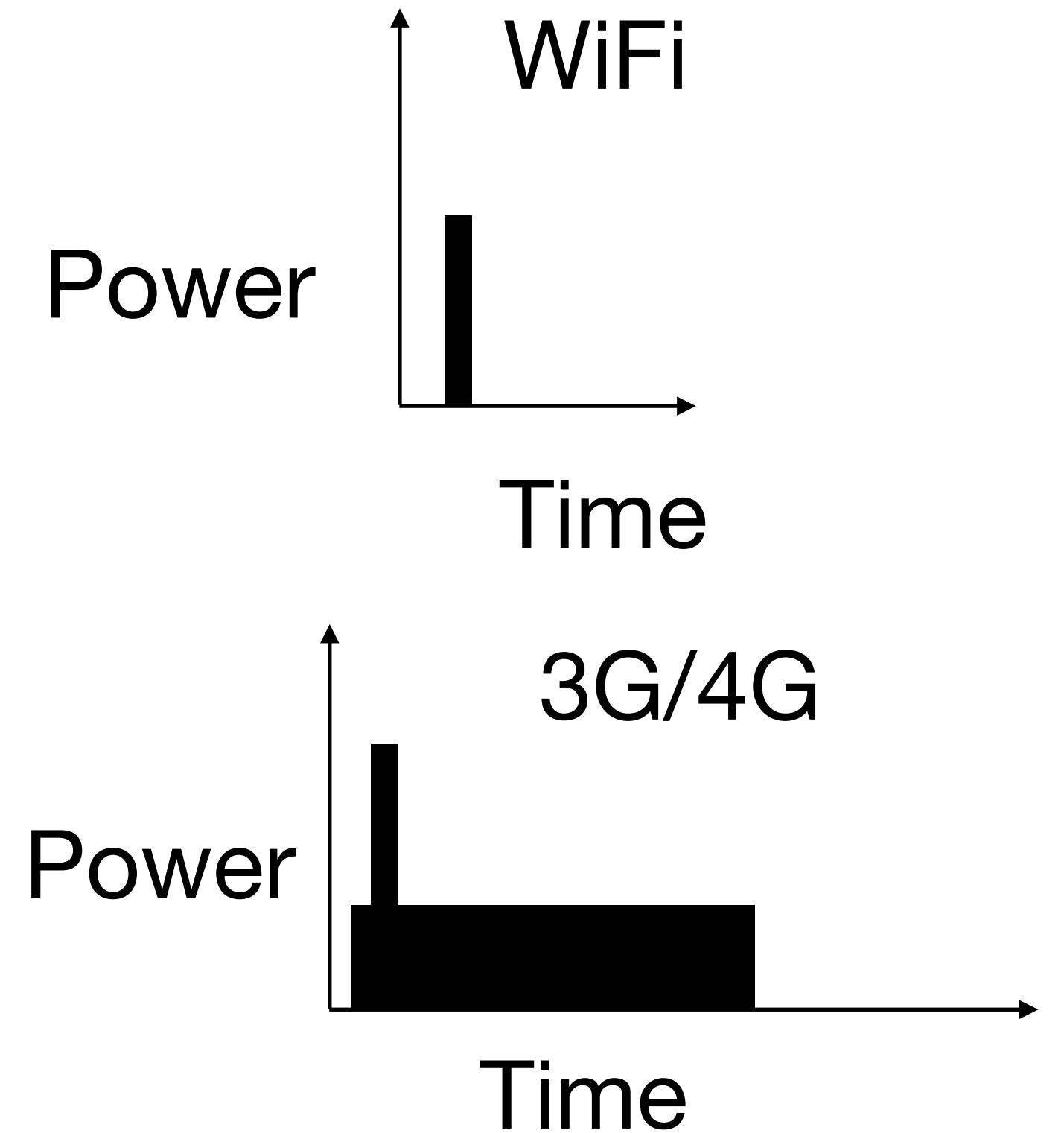
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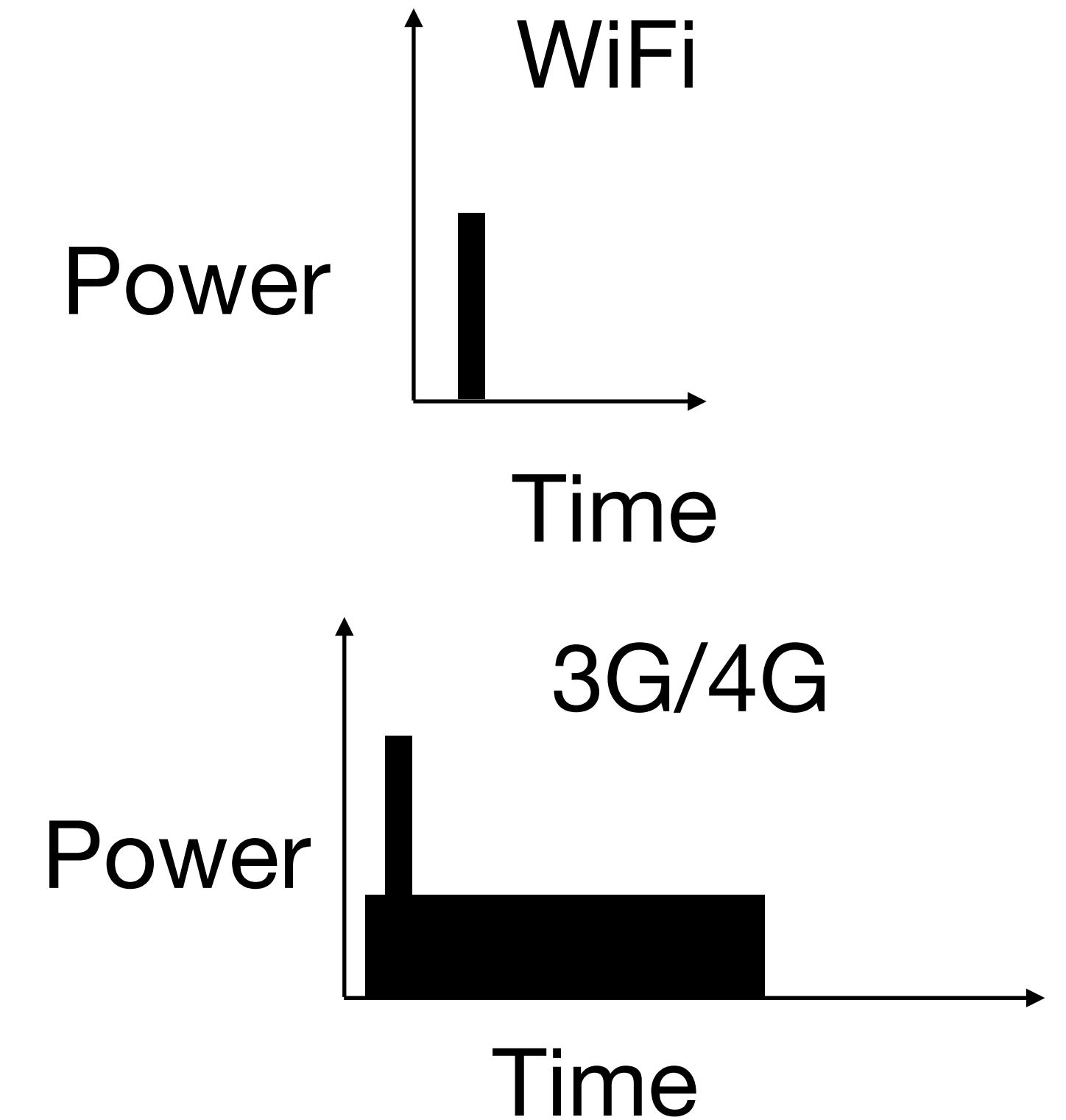
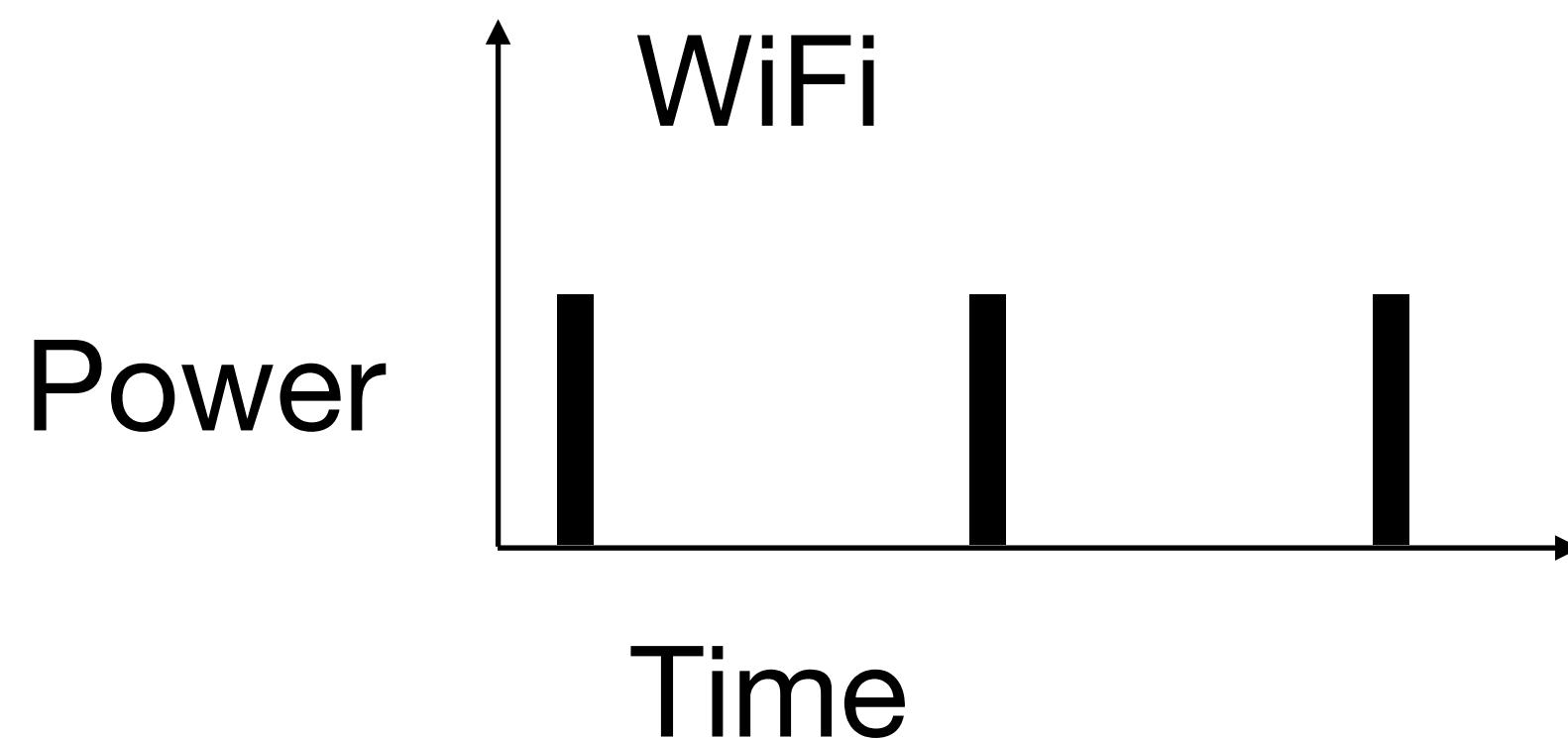
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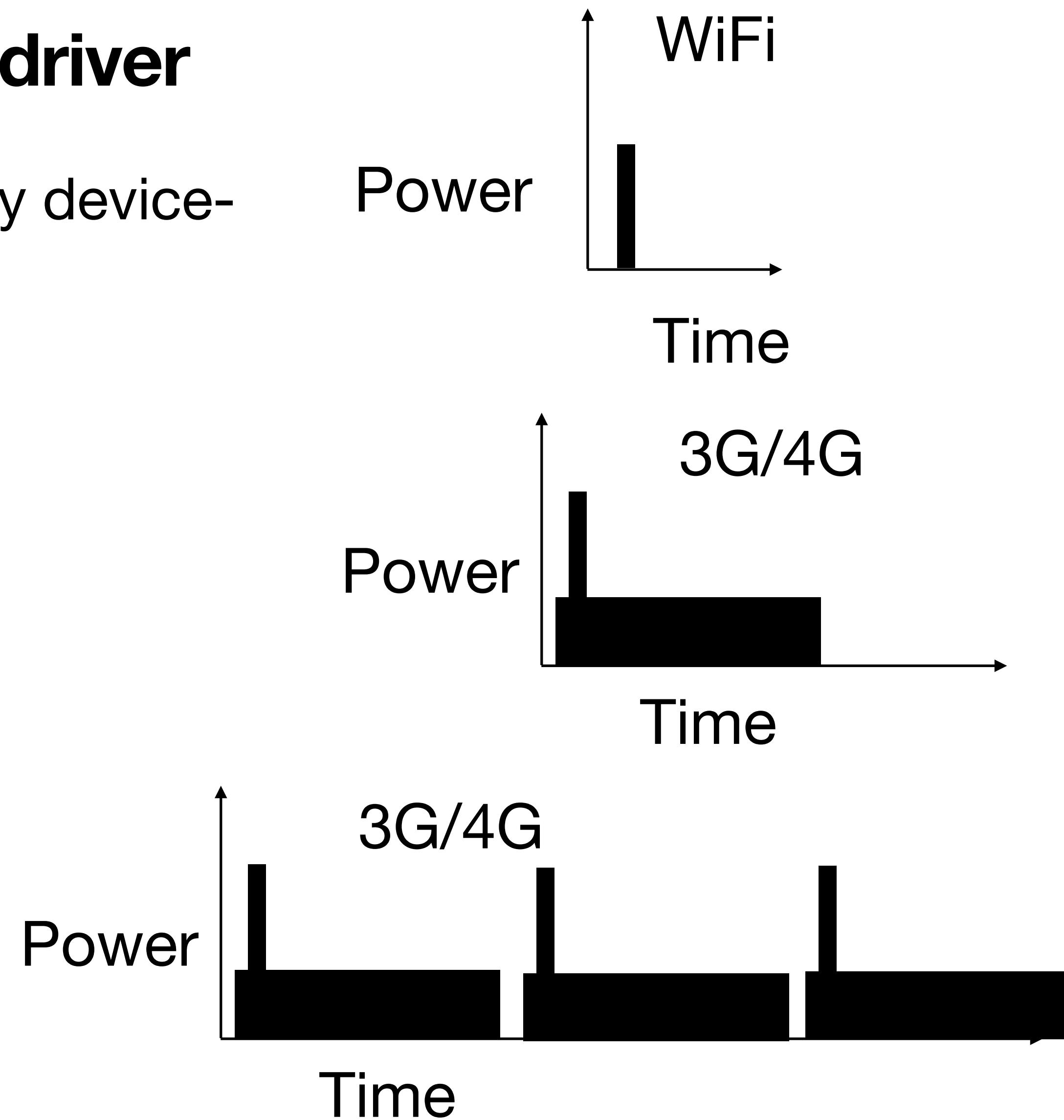
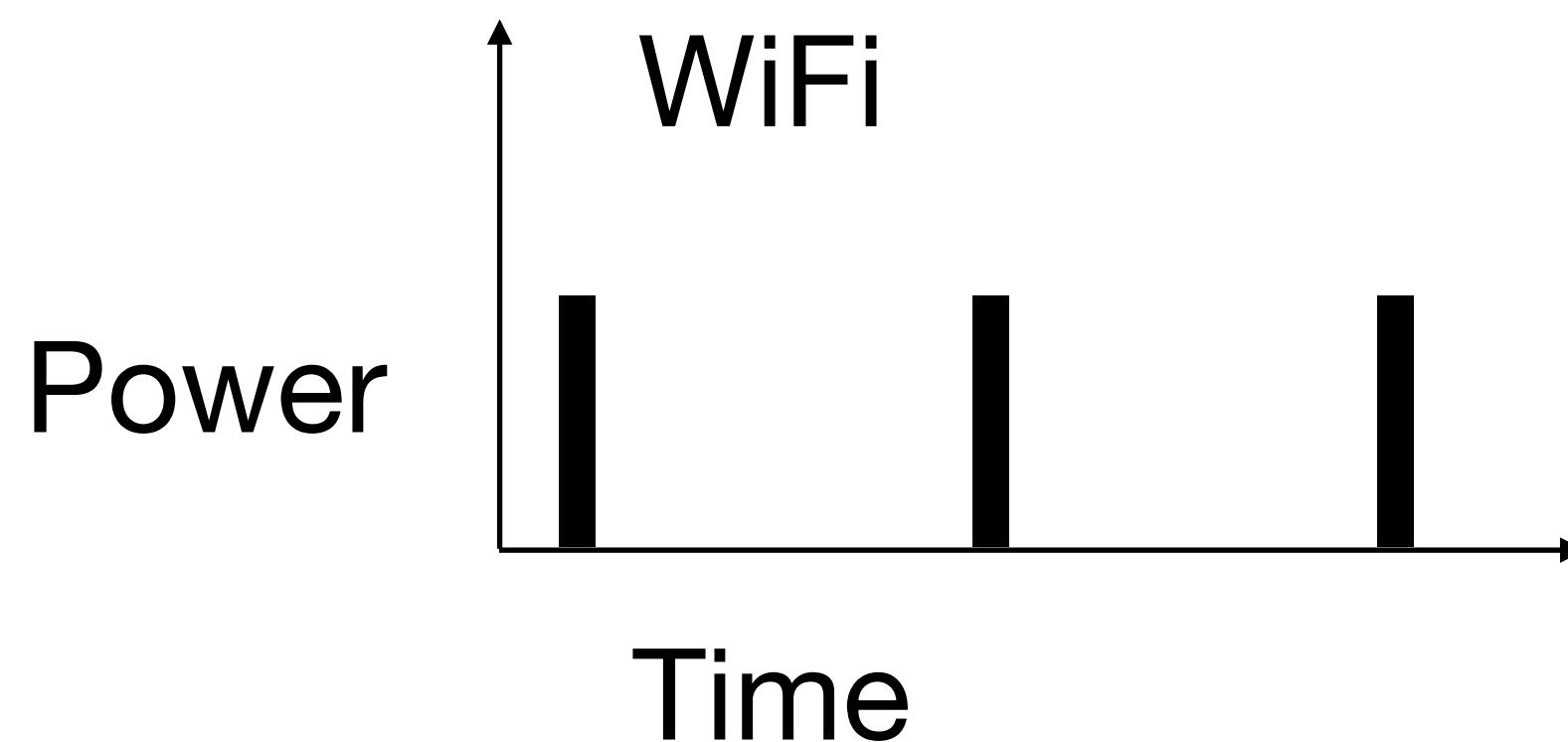
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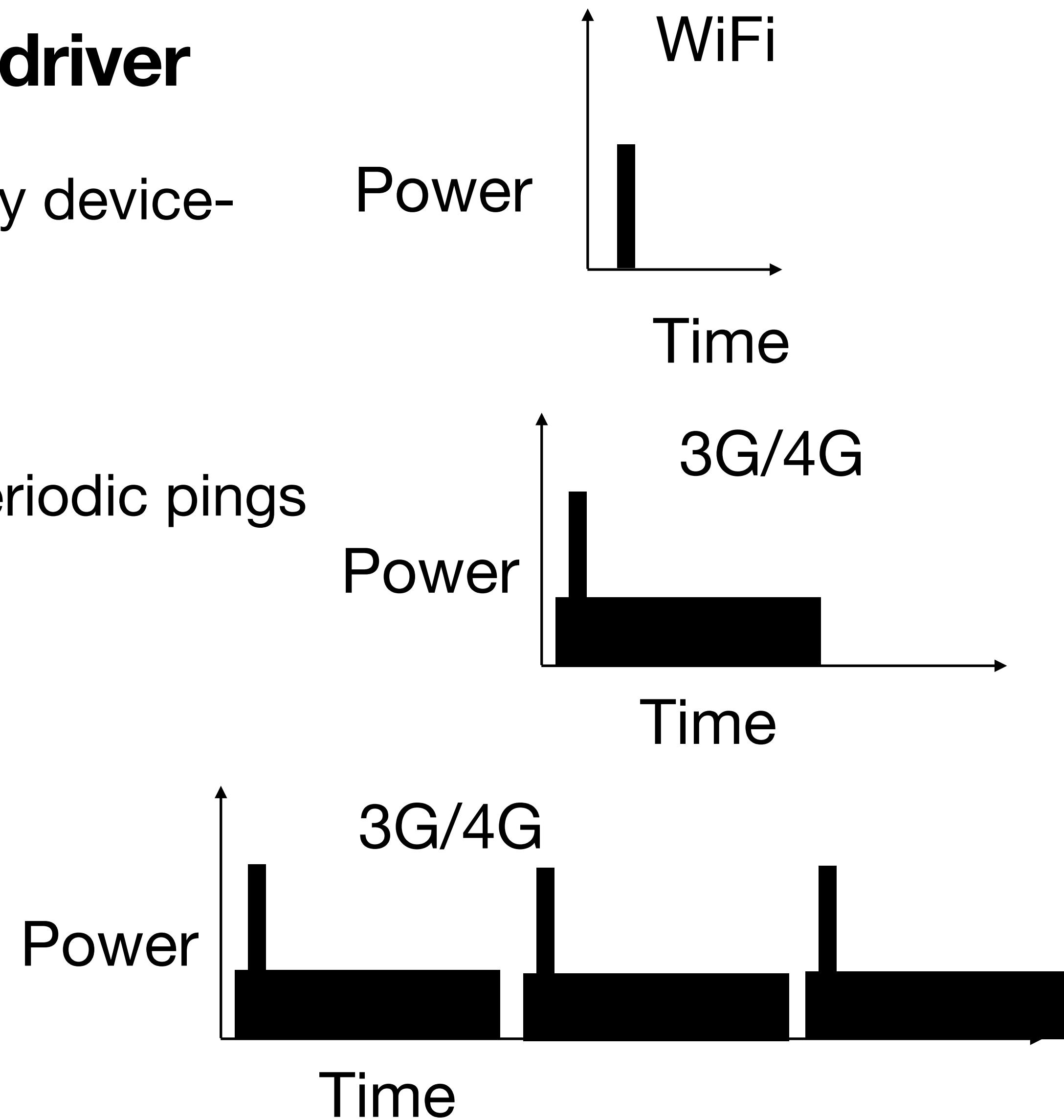
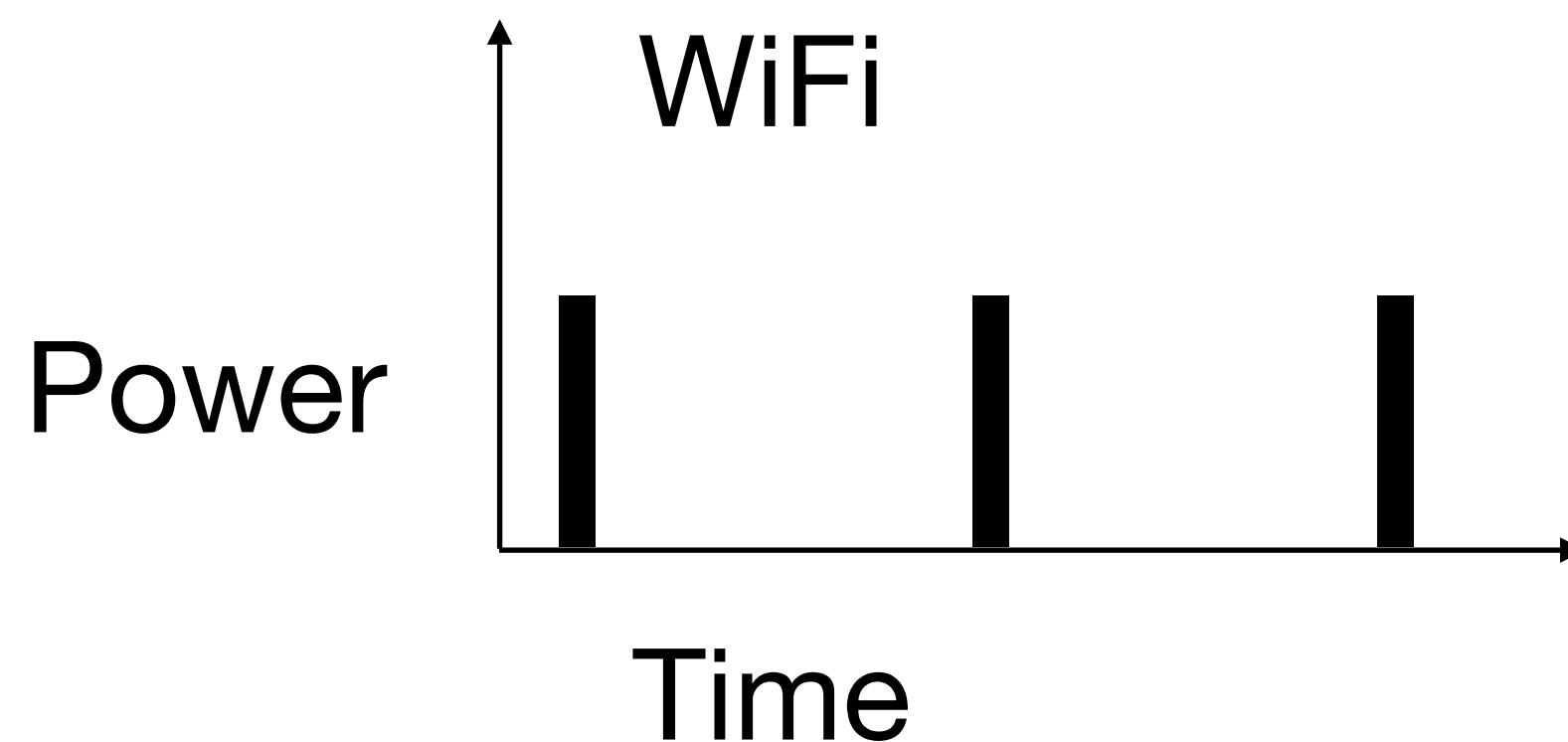
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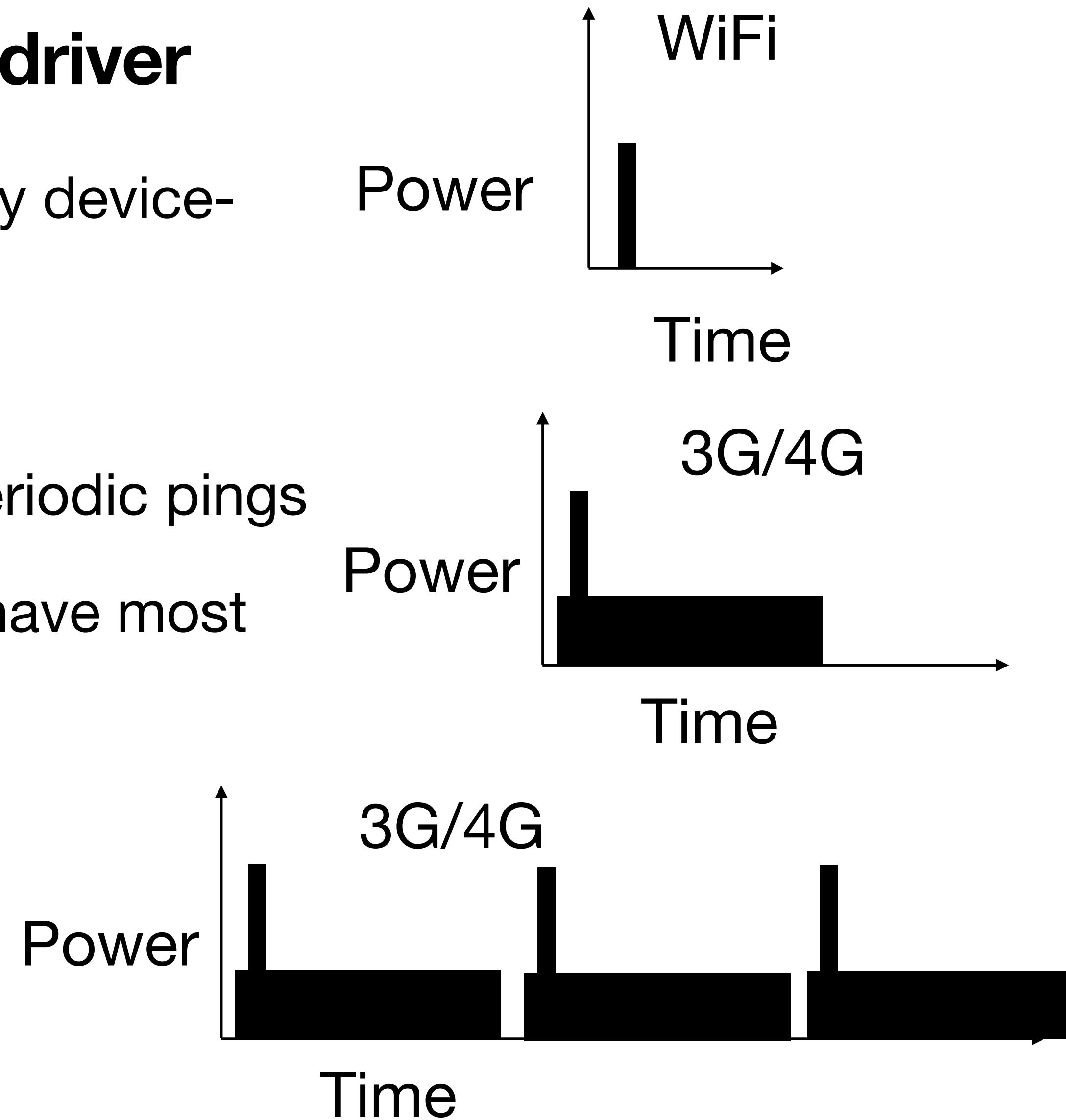
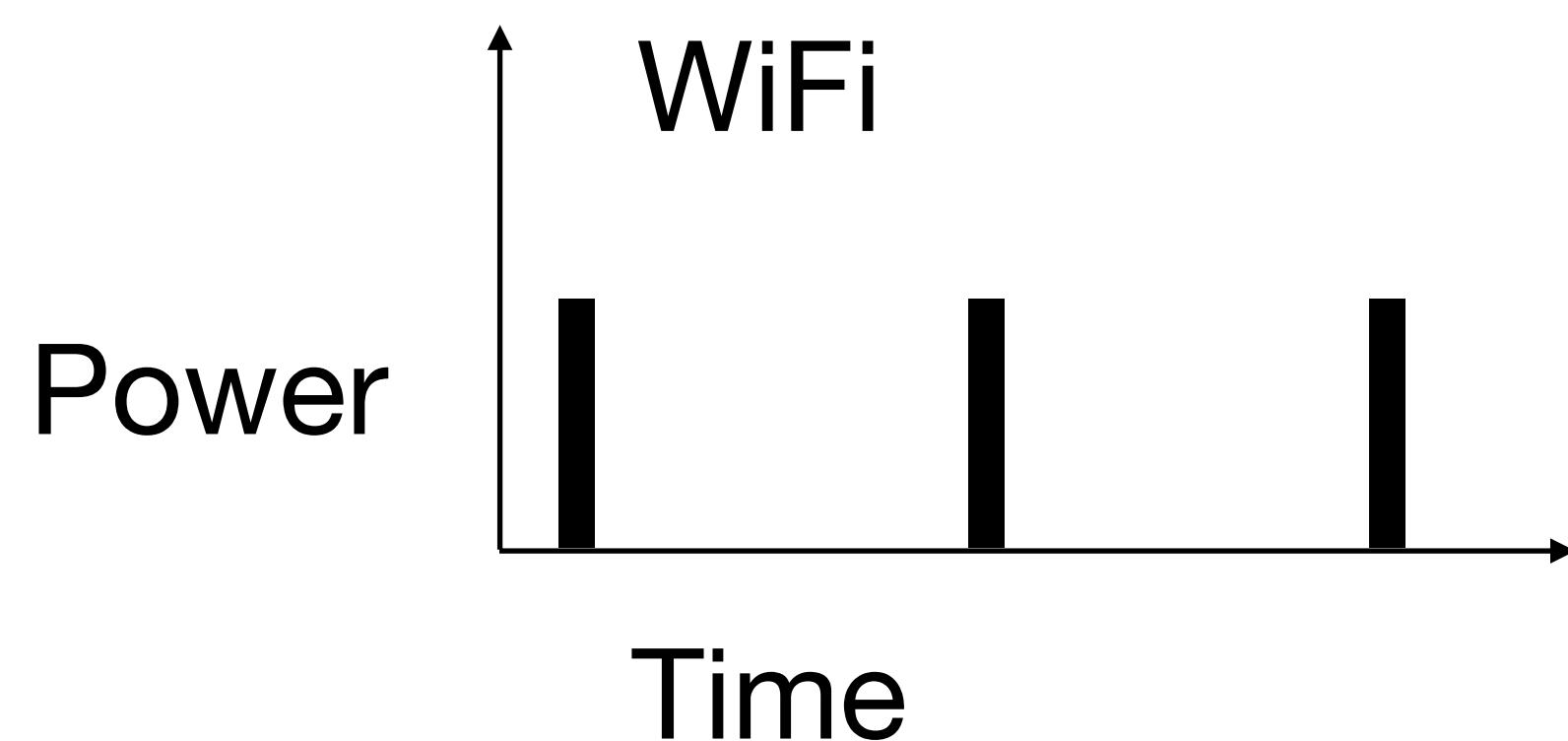
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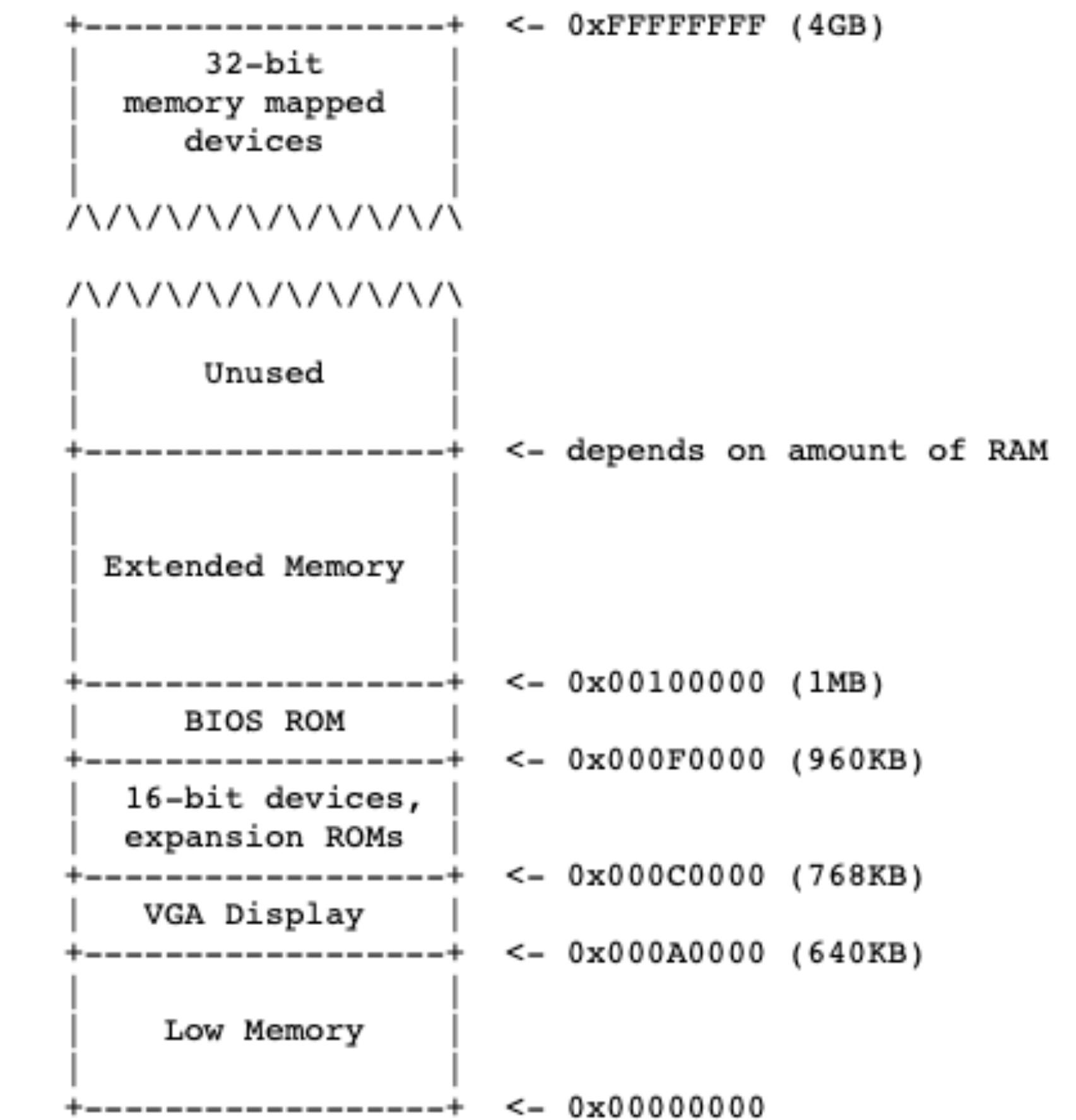
# Fitting into the OS

## Hide device specific details in device driver

- Abstraction allows OS and applications to stay device-neutral
- Abstraction can hurt.
  - Example: 3G/4G are inefficient for small periodic pings
- > 70% of OS code is device drivers. Tend to have most number of bugs

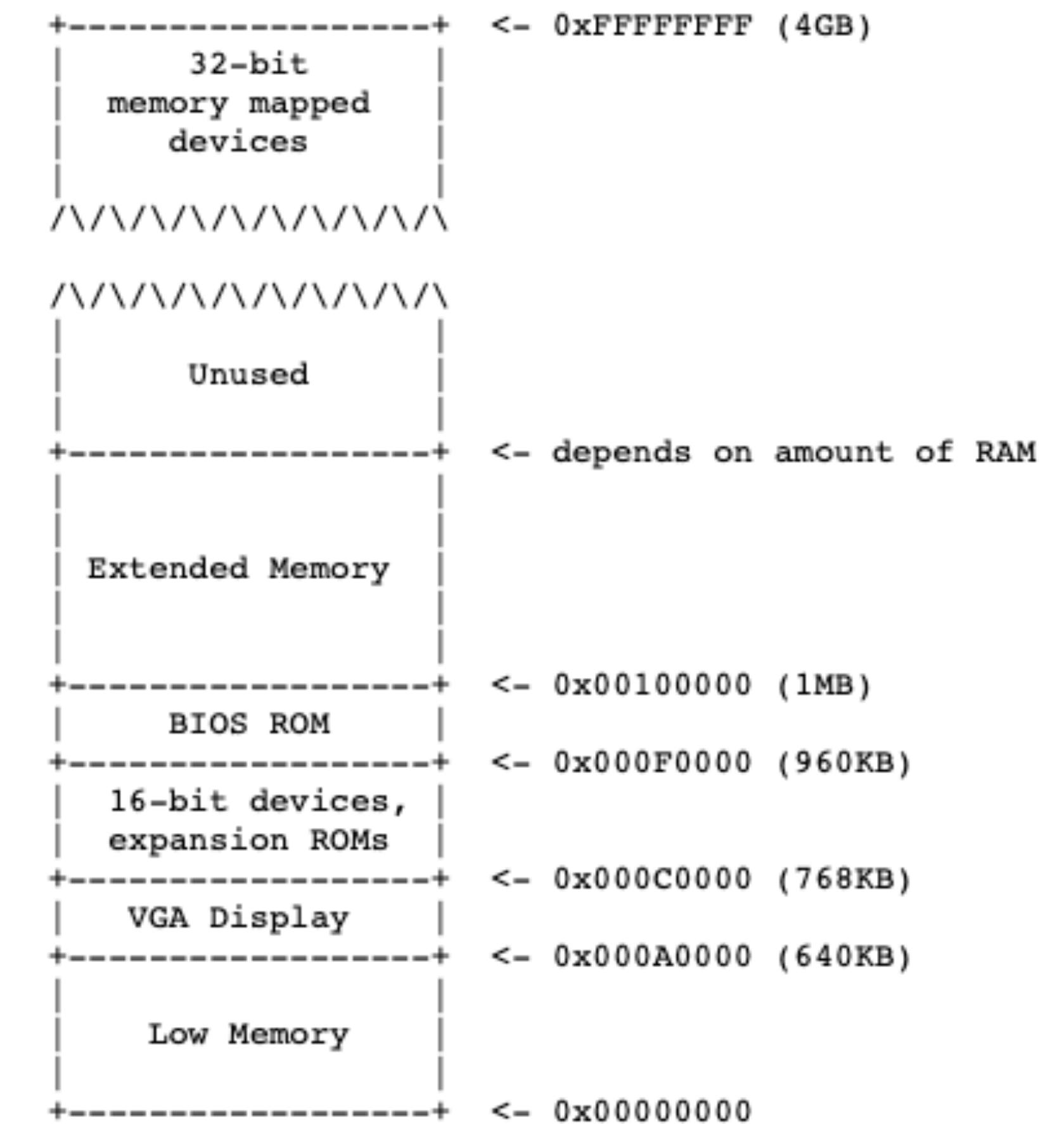


# Memory-mapped IO and Port-mapped IO



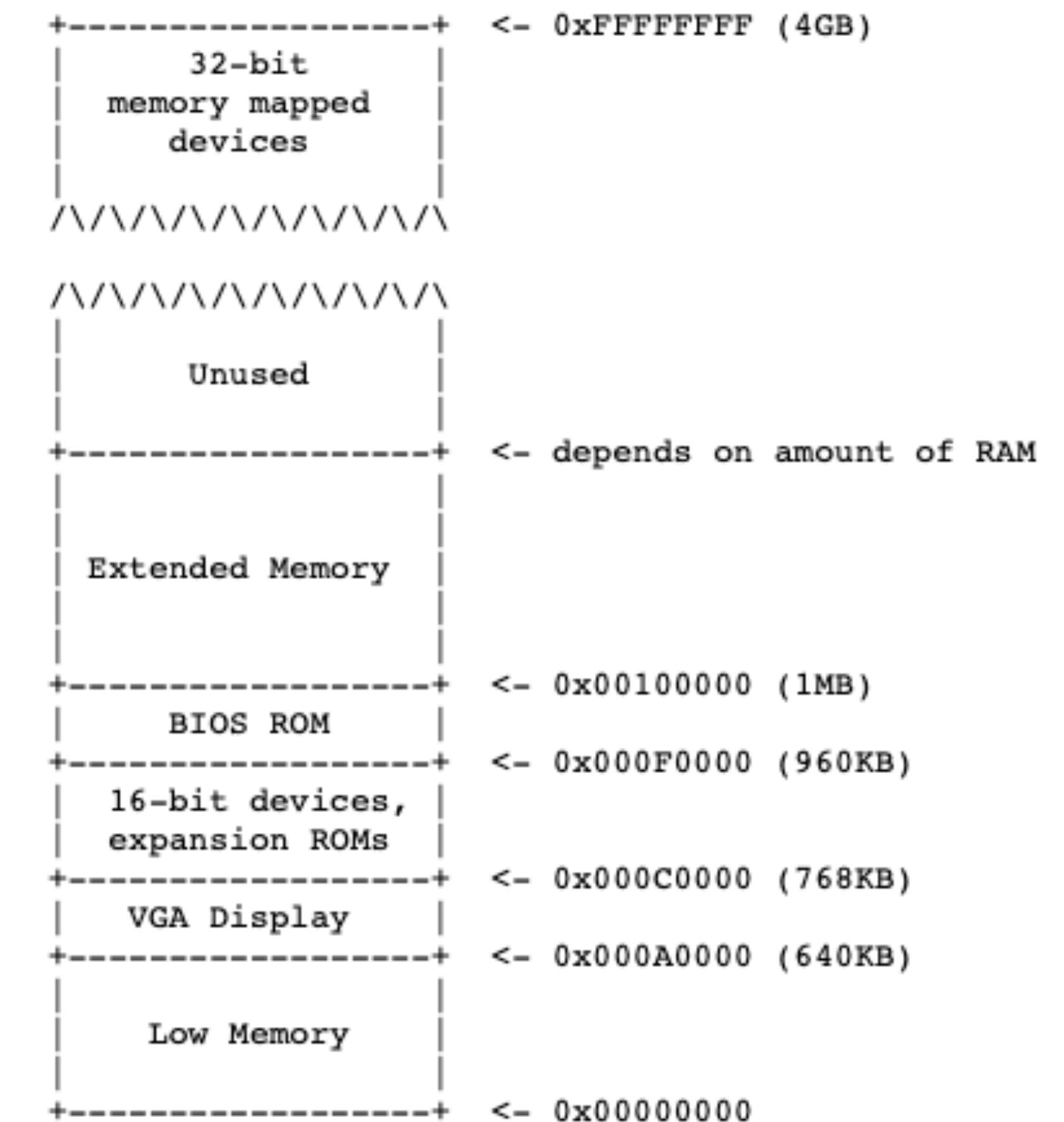
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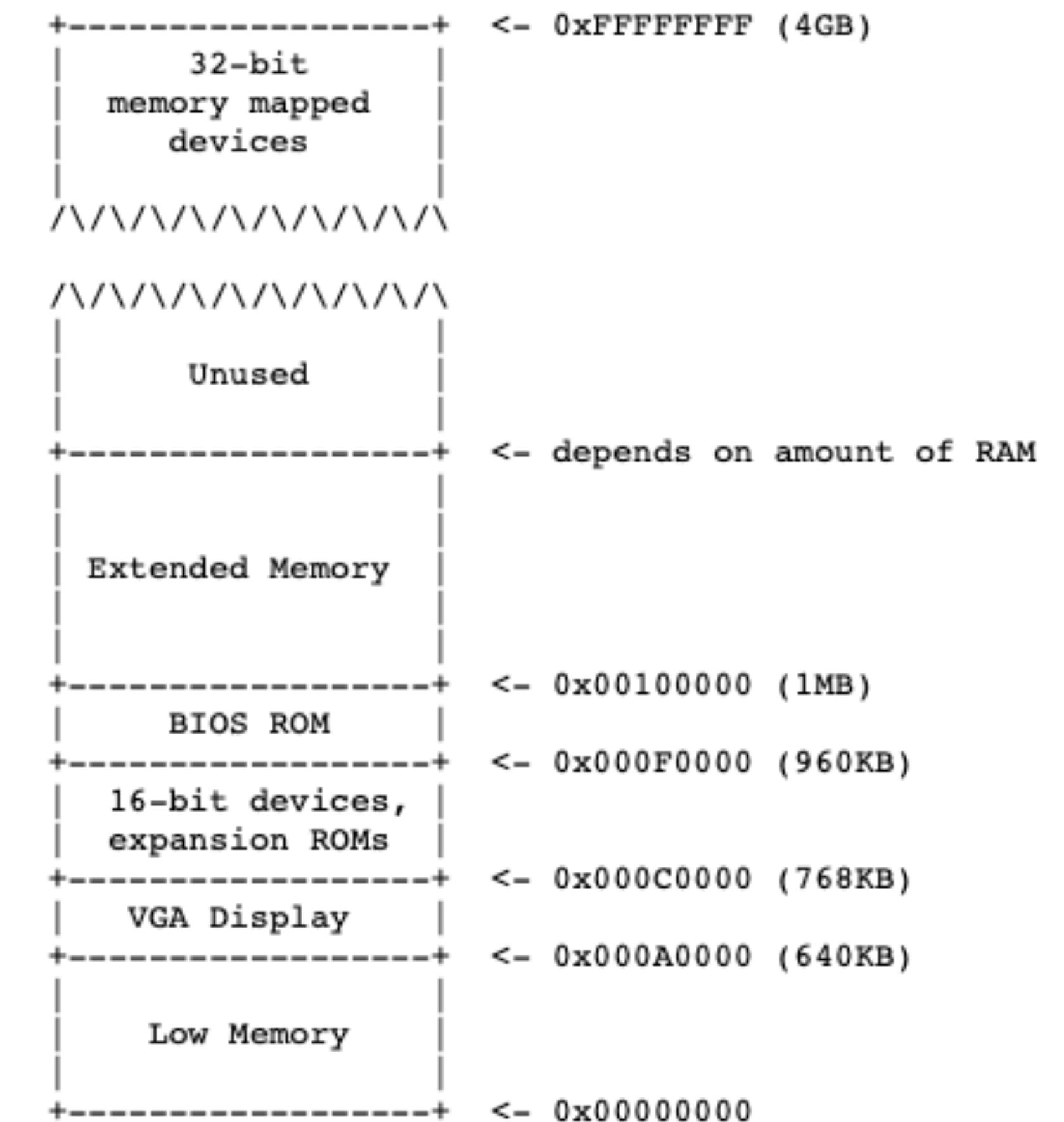
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- Memory mapped:
  - Regular memory access instructions



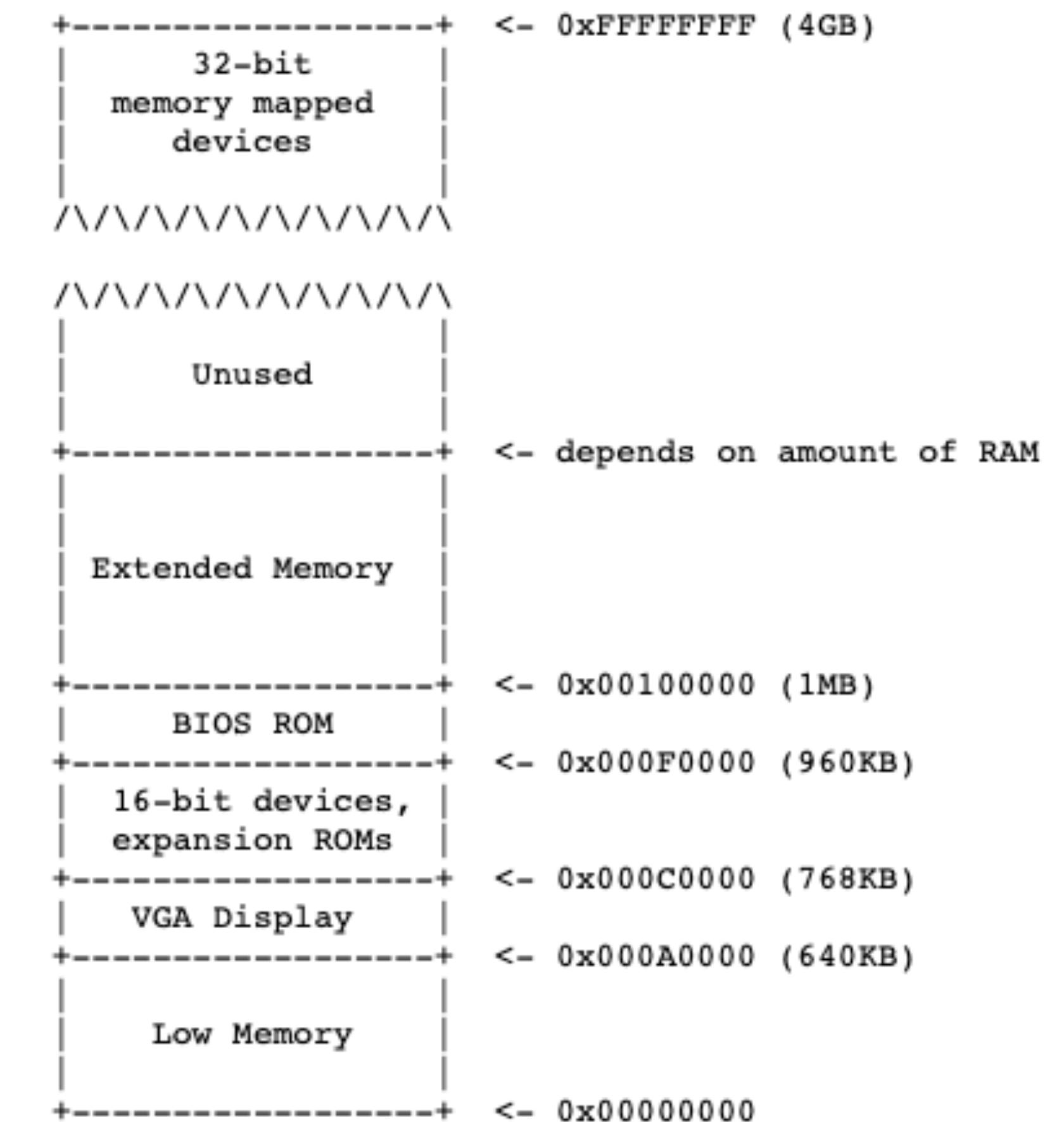
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  - Reads and writes are routed to appropriate device



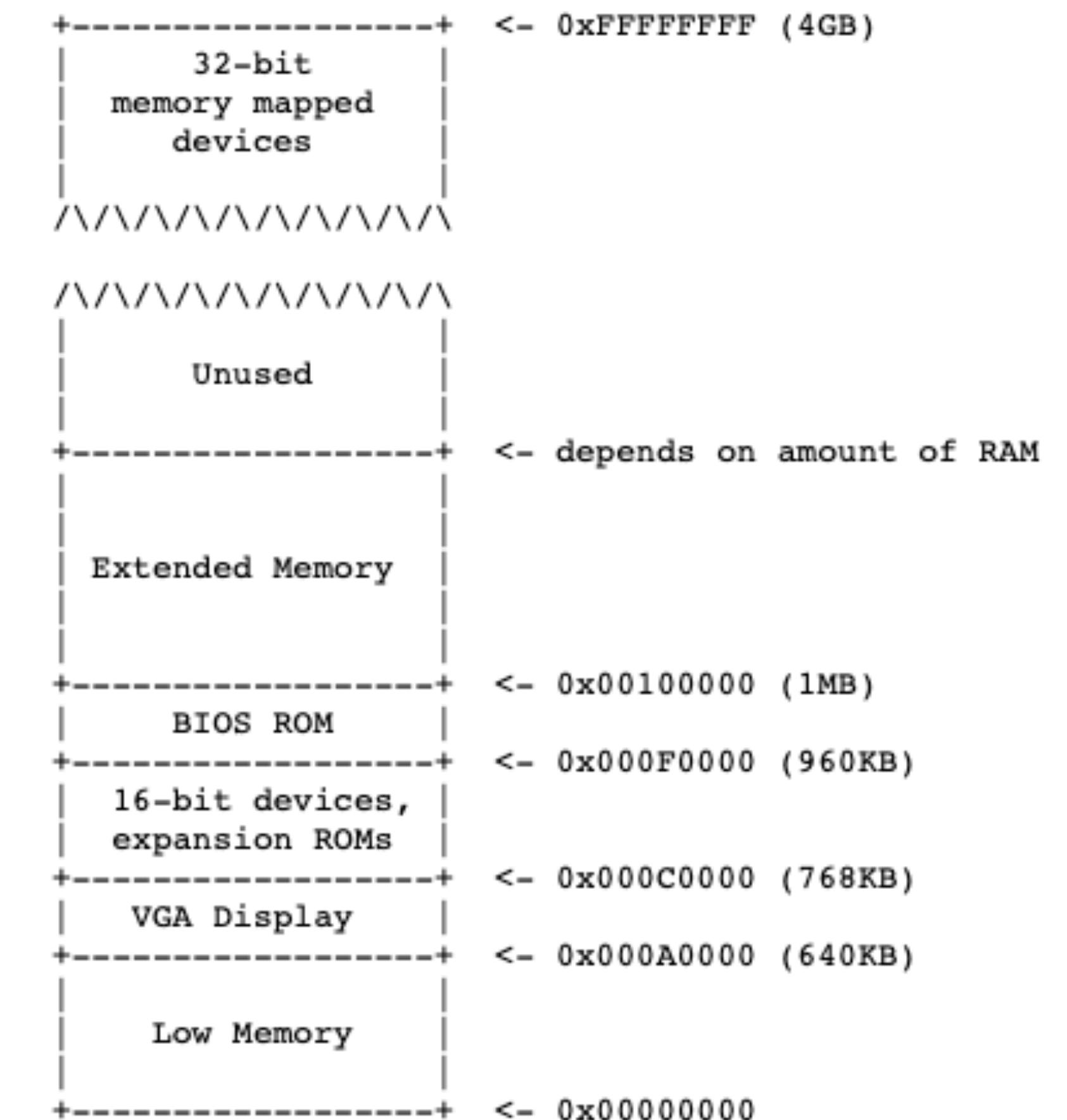
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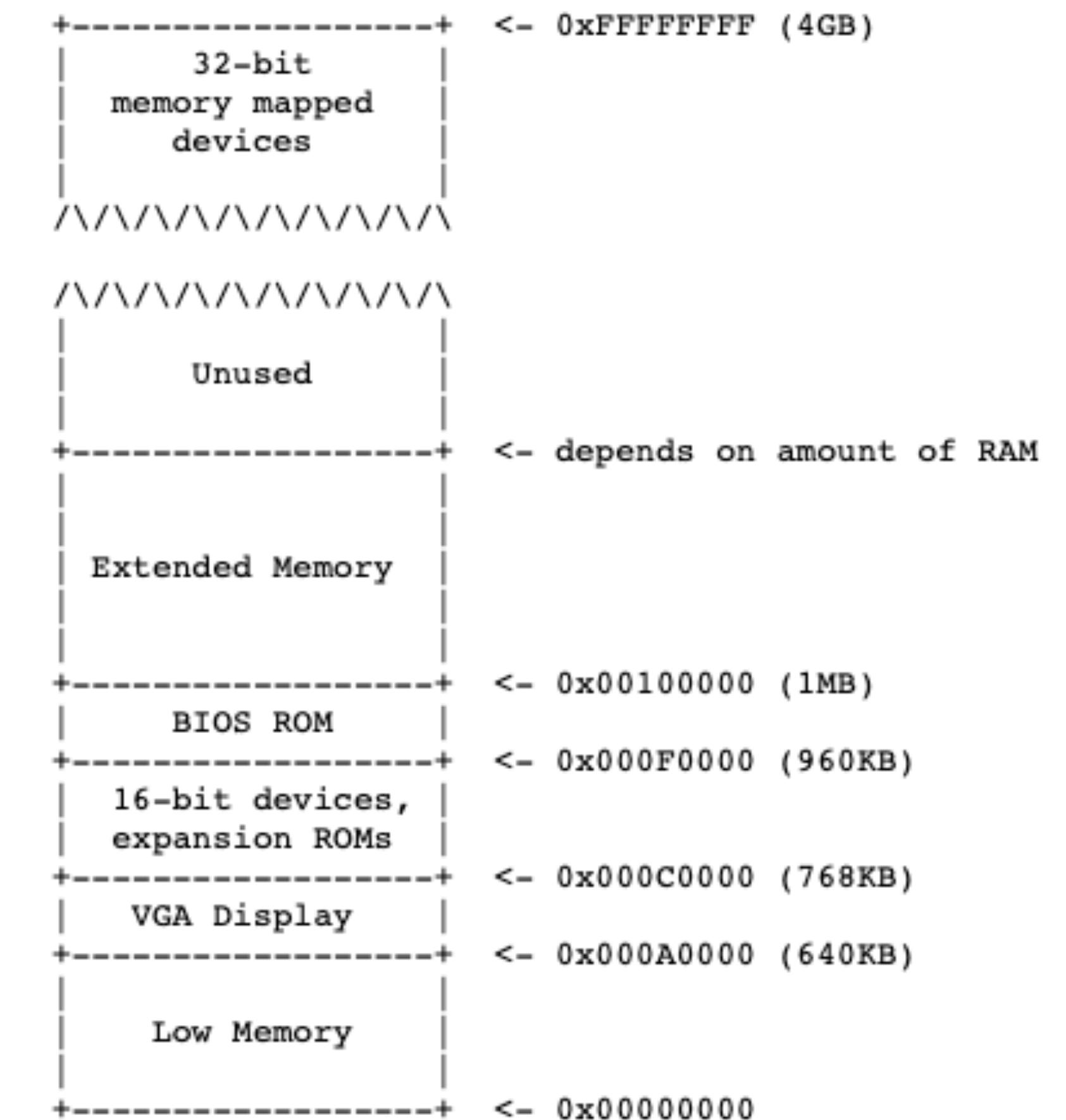
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- Memory mapped:
  - Regular memory access instructions
  - Reads and writes are routed to appropriate device
  - Does not behave like memory! Reading same location twice can change due to external events (declare volatile)
- Port mapped:
  - Special IN and OUT instructions



# Canonical protocol

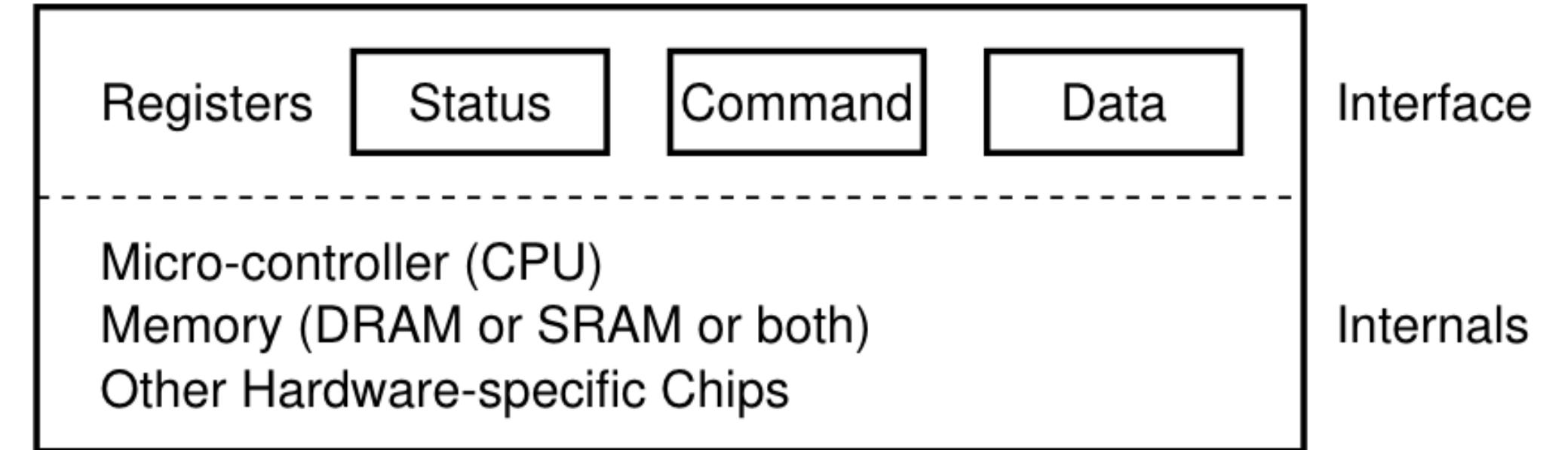


Figure 36.3: A Canonical Device

# Canonical protocol

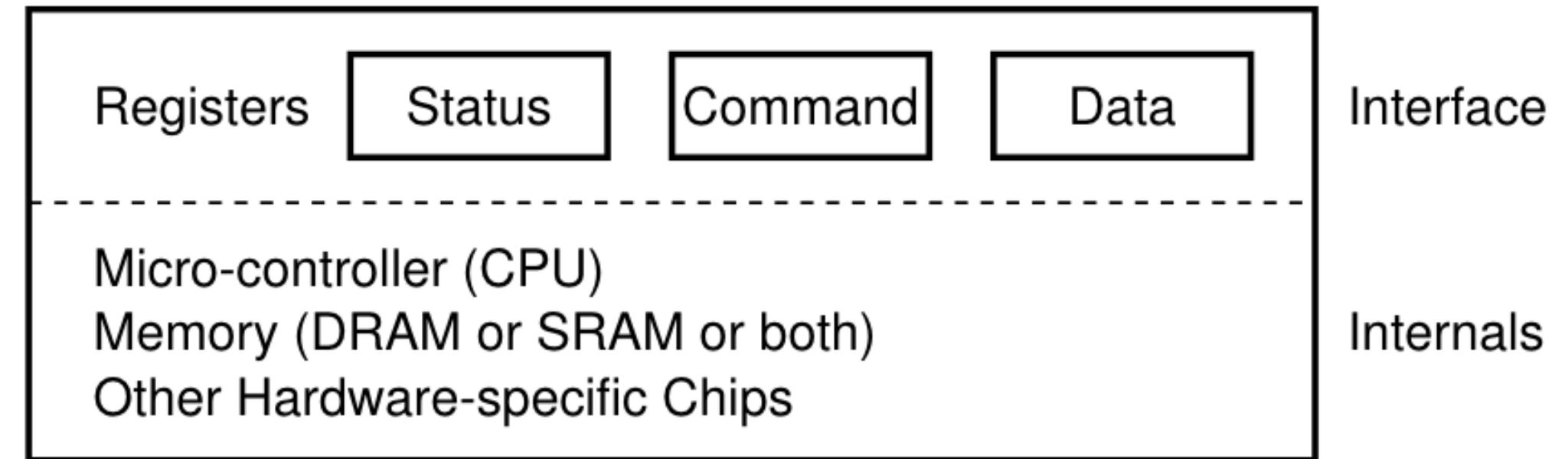


Figure 36.3: A Canonical Device

## bootmain.c

```
void waitdisk(void){  
    // Wait for disk ready.  
    while((inb(0x1F7) & 0xC0) != 0x40);  
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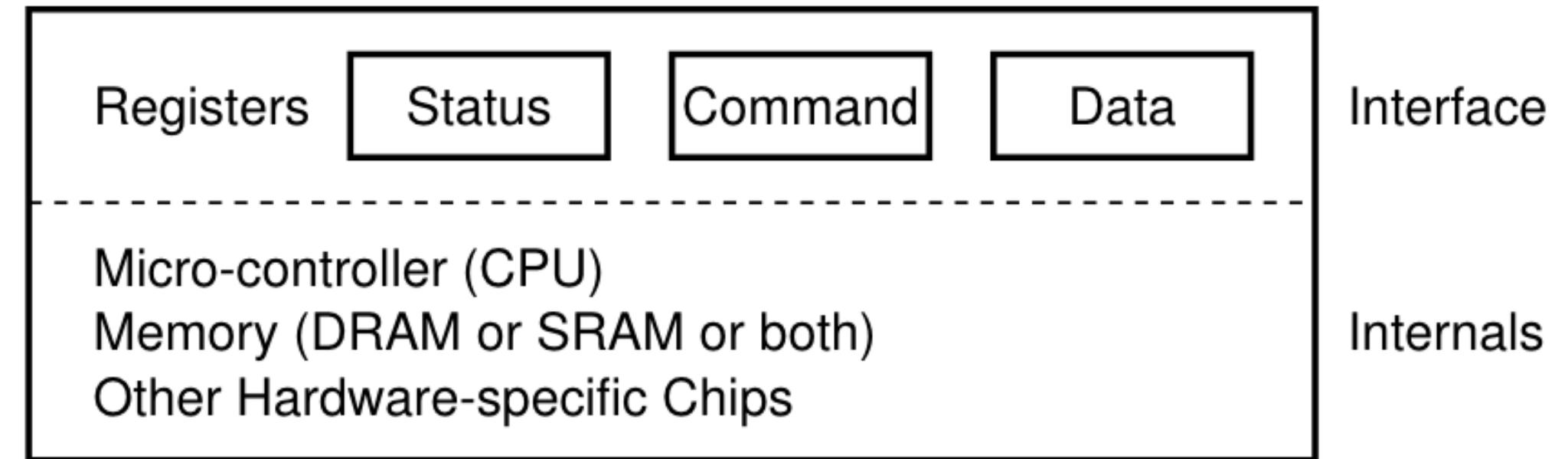


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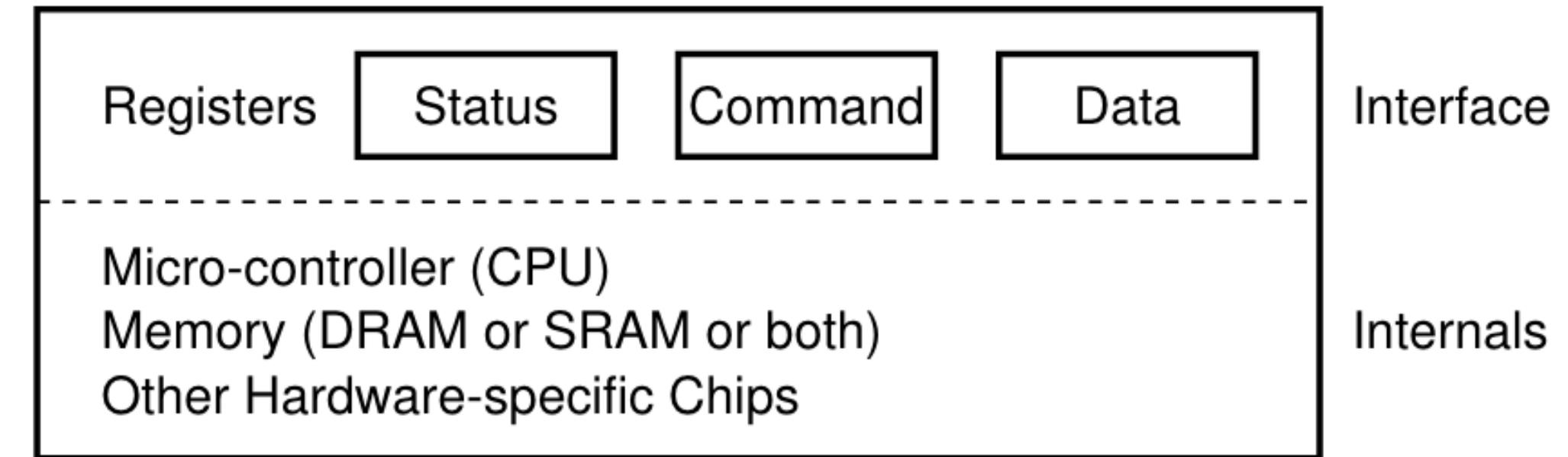


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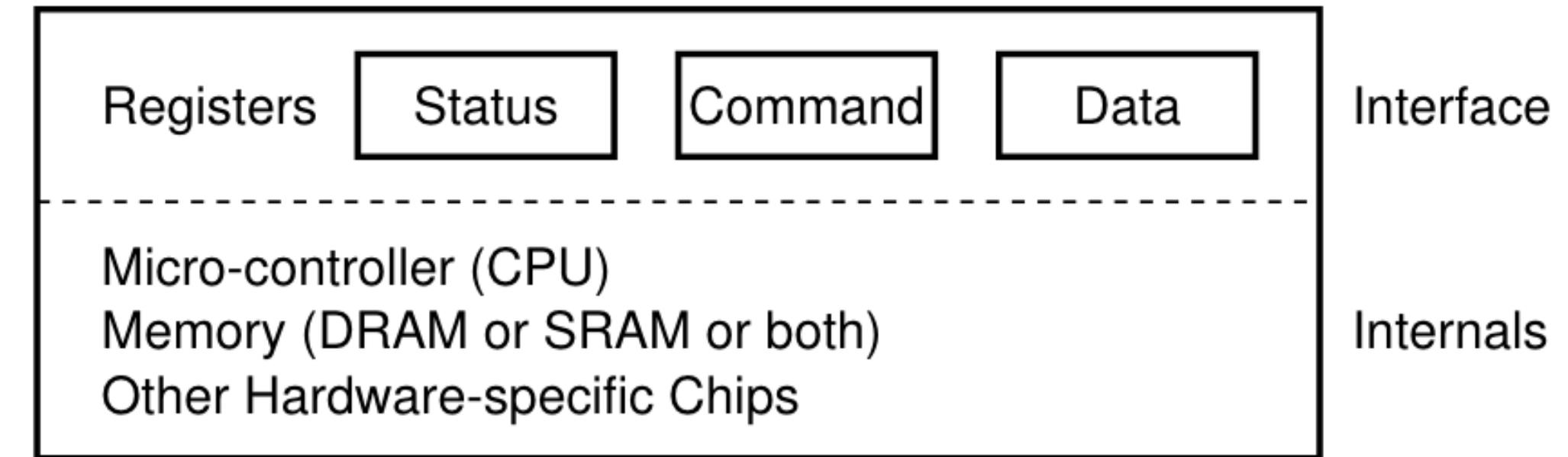


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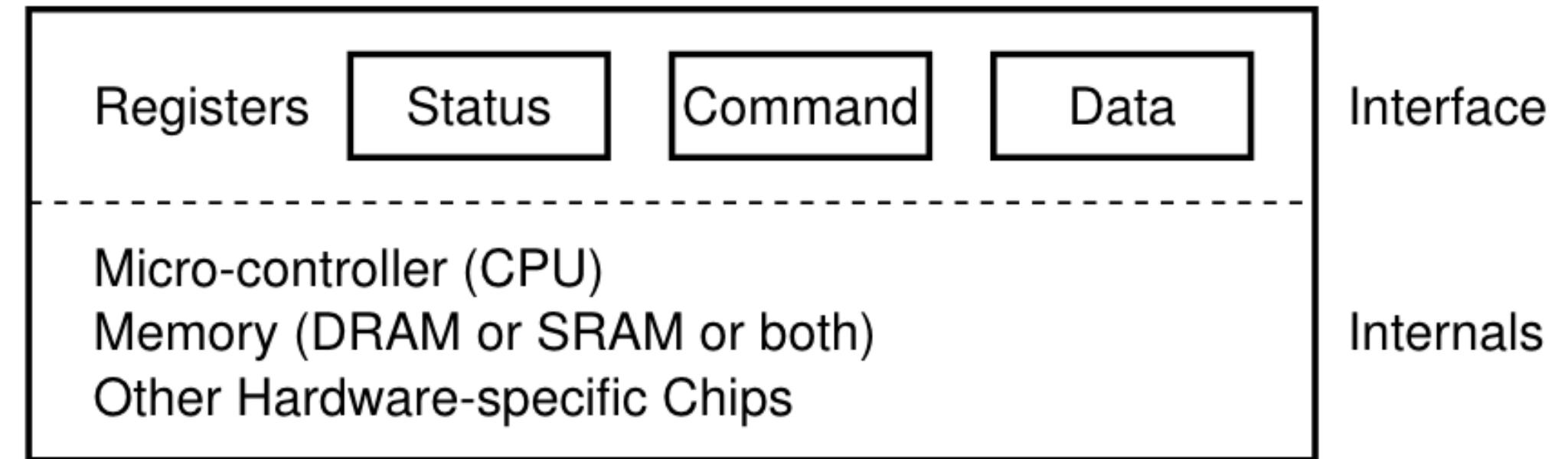


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- Example: CPU needs to spend ~1 million instructions waiting for disk
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- Not ok for OS. It can run other processes.

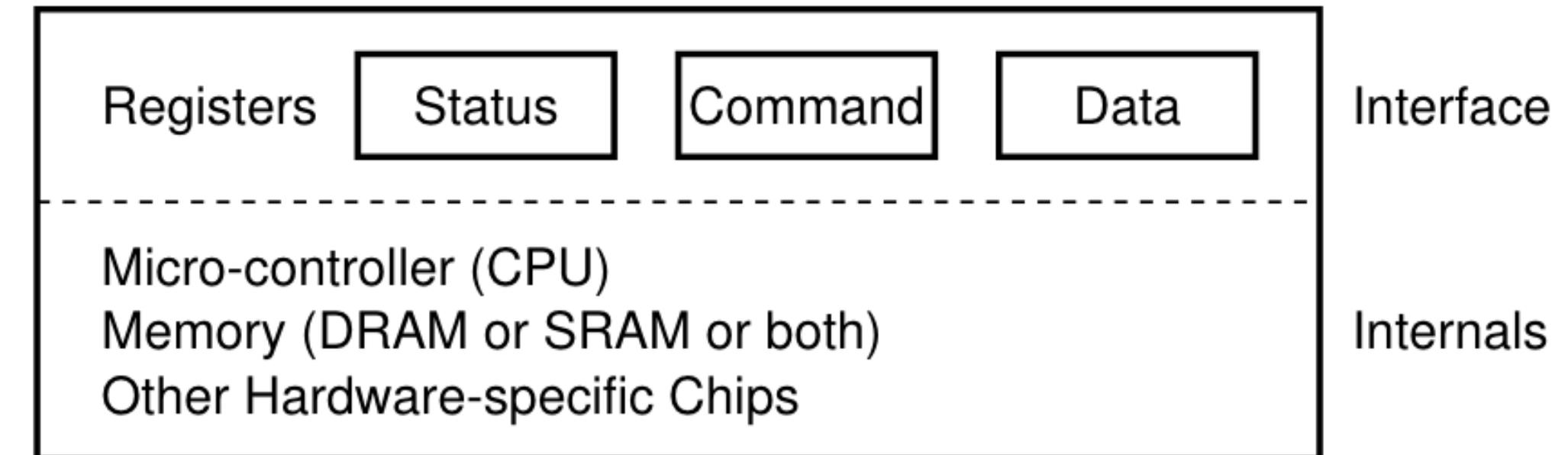
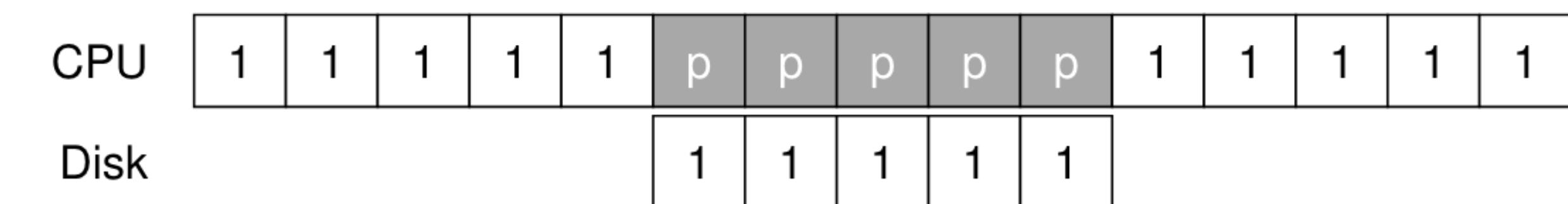


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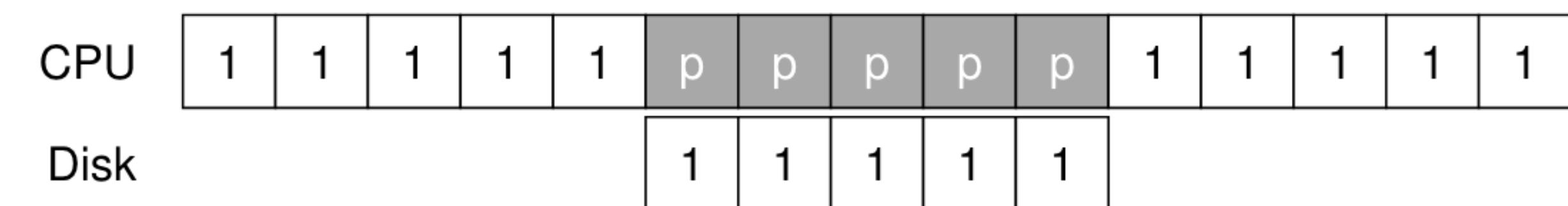
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# Lowering CPU overheads with interrupts



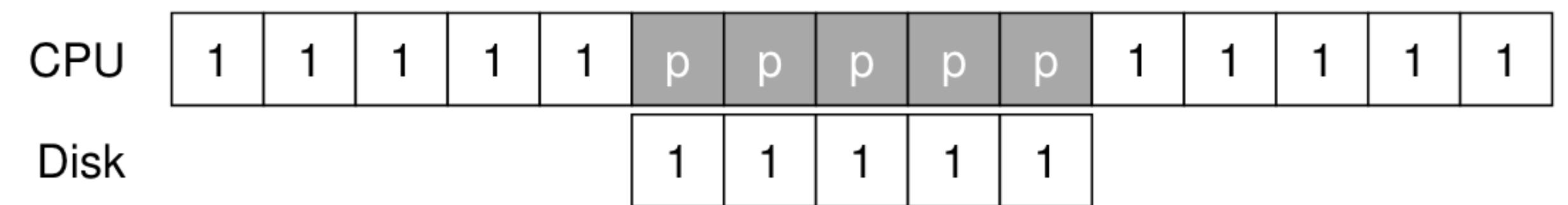
# Lowering CPU overheads with interrupts

- Device sends an interrupt that it is ready



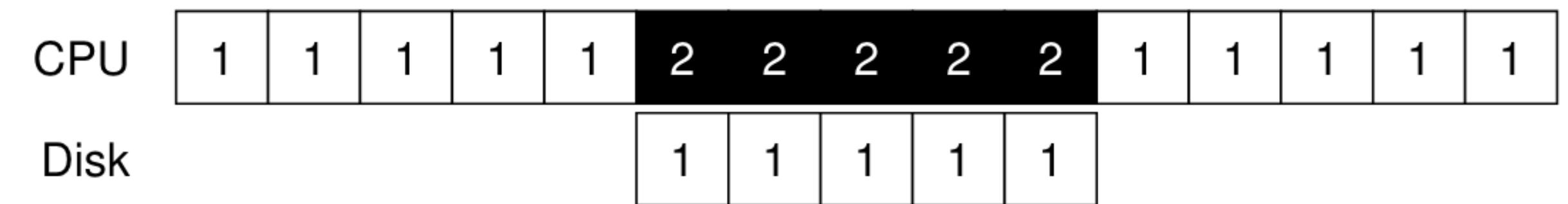
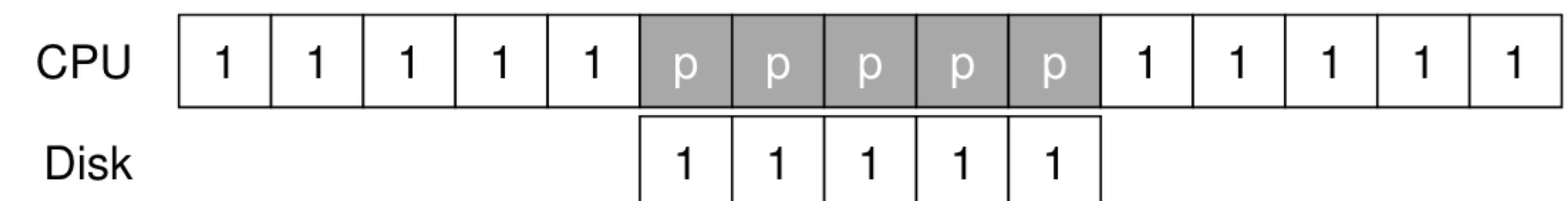
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- Device sends an interrupt that it is ready
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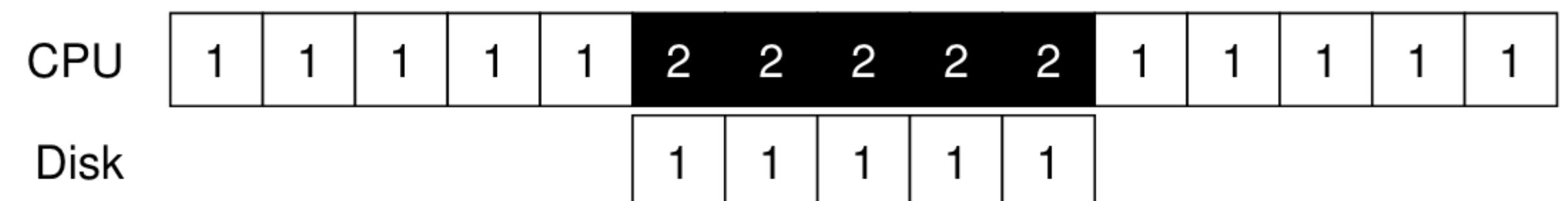
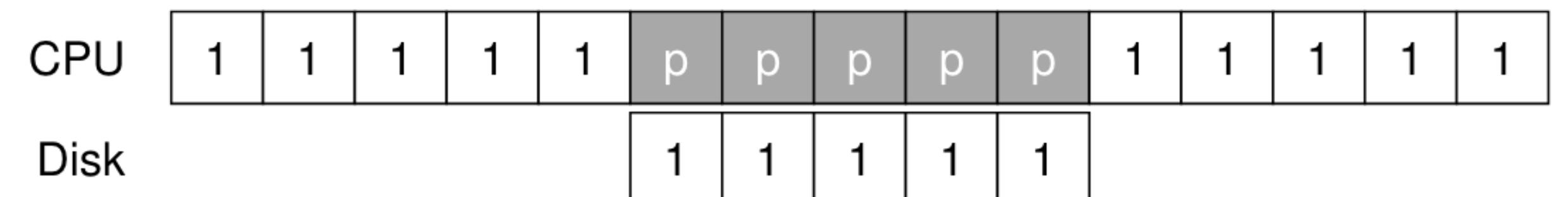
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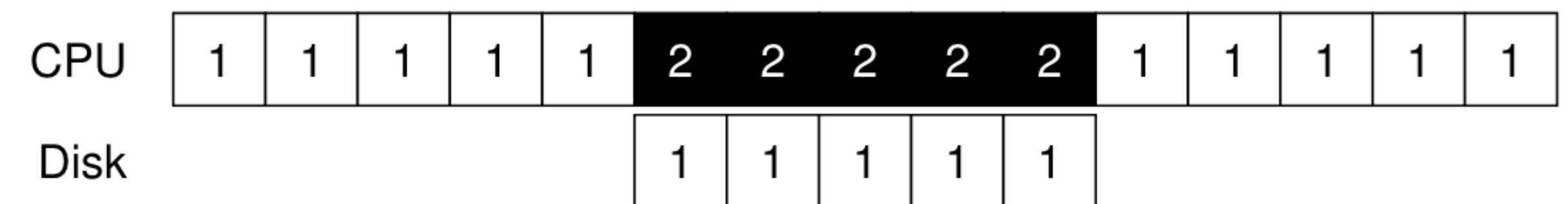
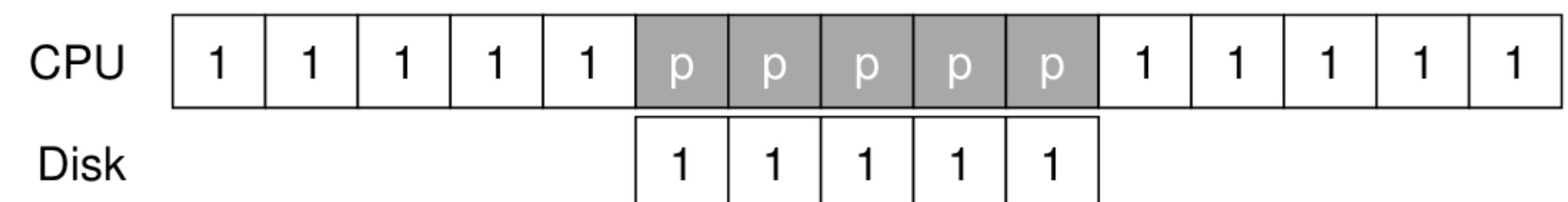
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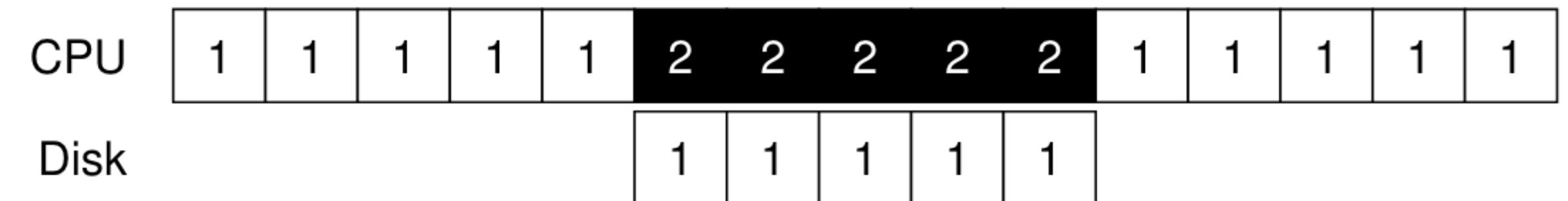
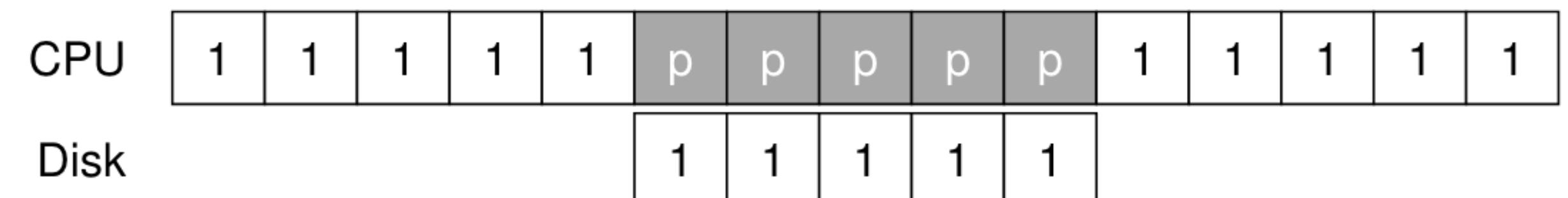
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# Lowering CPU overheads with interrupts

- Device sends an interrupt that it is ready
- CPU runs another process in the meantime
- Better CPU utilisation
- Not a good idea if device is fast.
  - If first poll finds that the device is ready, unnecessary overhead of switching processes



# More efficient data movement

## Direct Memory Access (DMA)

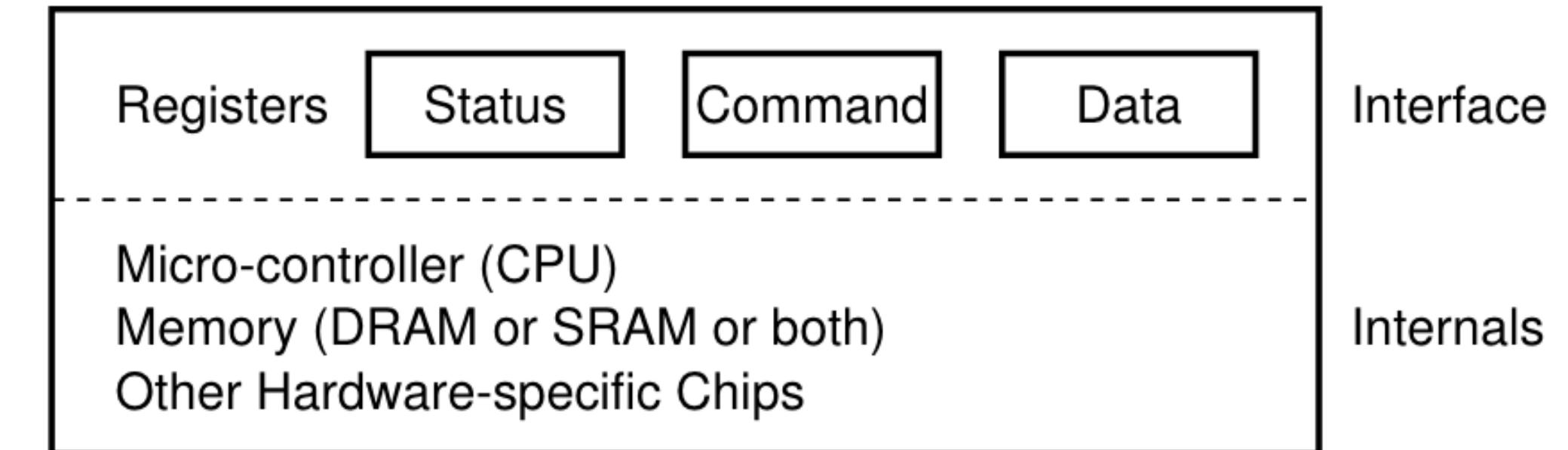


Figure 36.3: A Canonical Device

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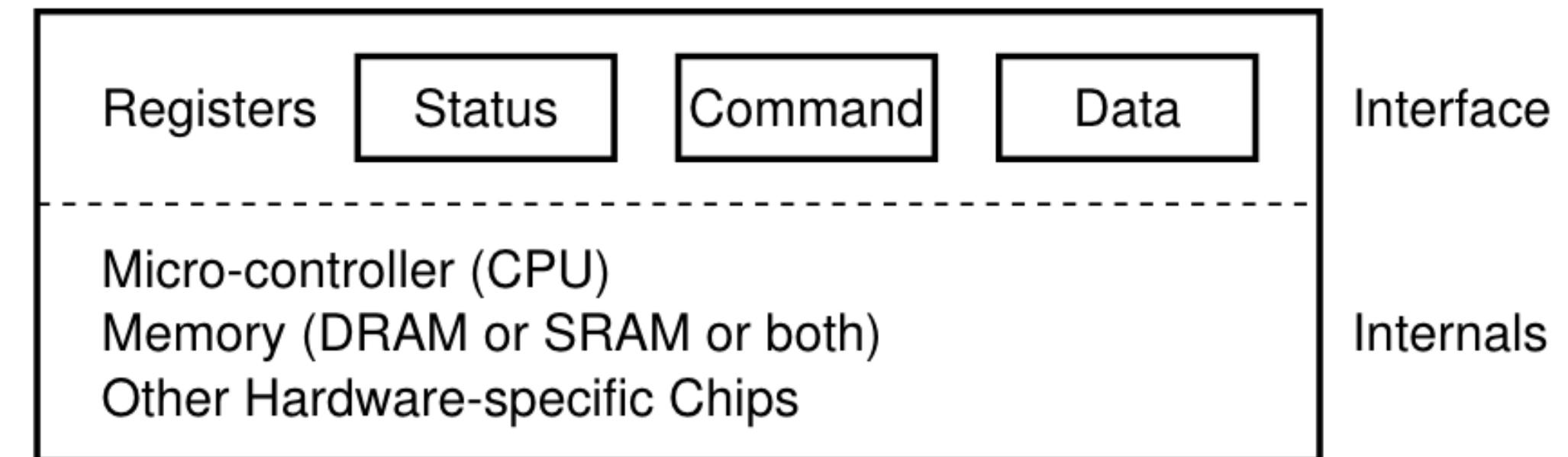


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# More efficient data movement

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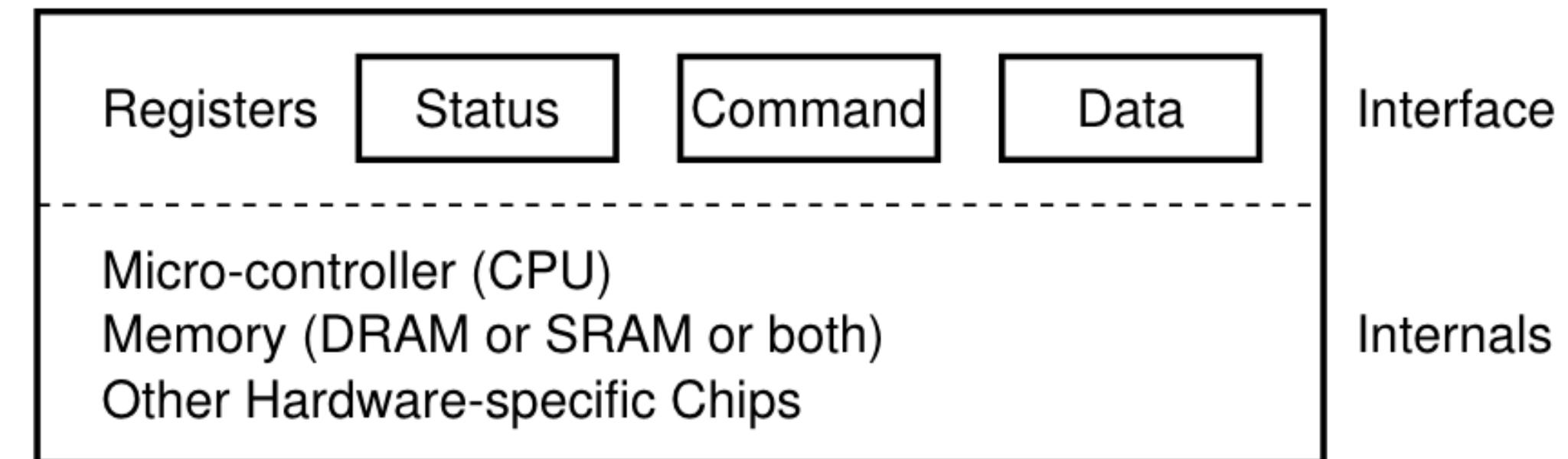


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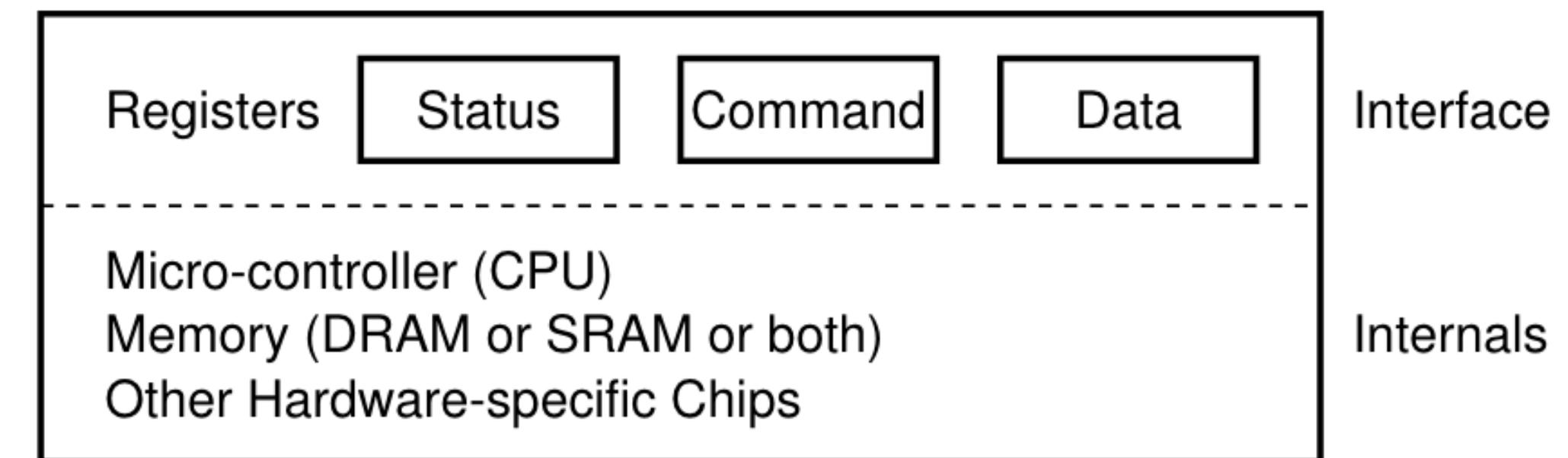


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# **Interrupt controllers, interrupt handling**

**xv6 Ch. 3 “Code: interrupts”**

# Calculator analogy



20
10
30
<b>50</b>
30
10
20
10



# Calculator analogy



20
10
30
<b>50</b>
30
10
20
10

- $2 \ 0 =$  (move pointer to 10)



# Calculator analogy



20
10
30
50
30
10
20
10

- $2 \ 0 =$  (move pointer to 10)
- $+ \ 1 \ 0 =$  (move pointer to 30)



# Calculator analogy



20
10
30
<b>50</b>
30
10
20
10

- $2 \ 0 =$  (move pointer to 10)
- $+ 1 \ 0 =$  (move pointer to 30)
- $+ 3 \ 0 =$  (move pointer to 50)

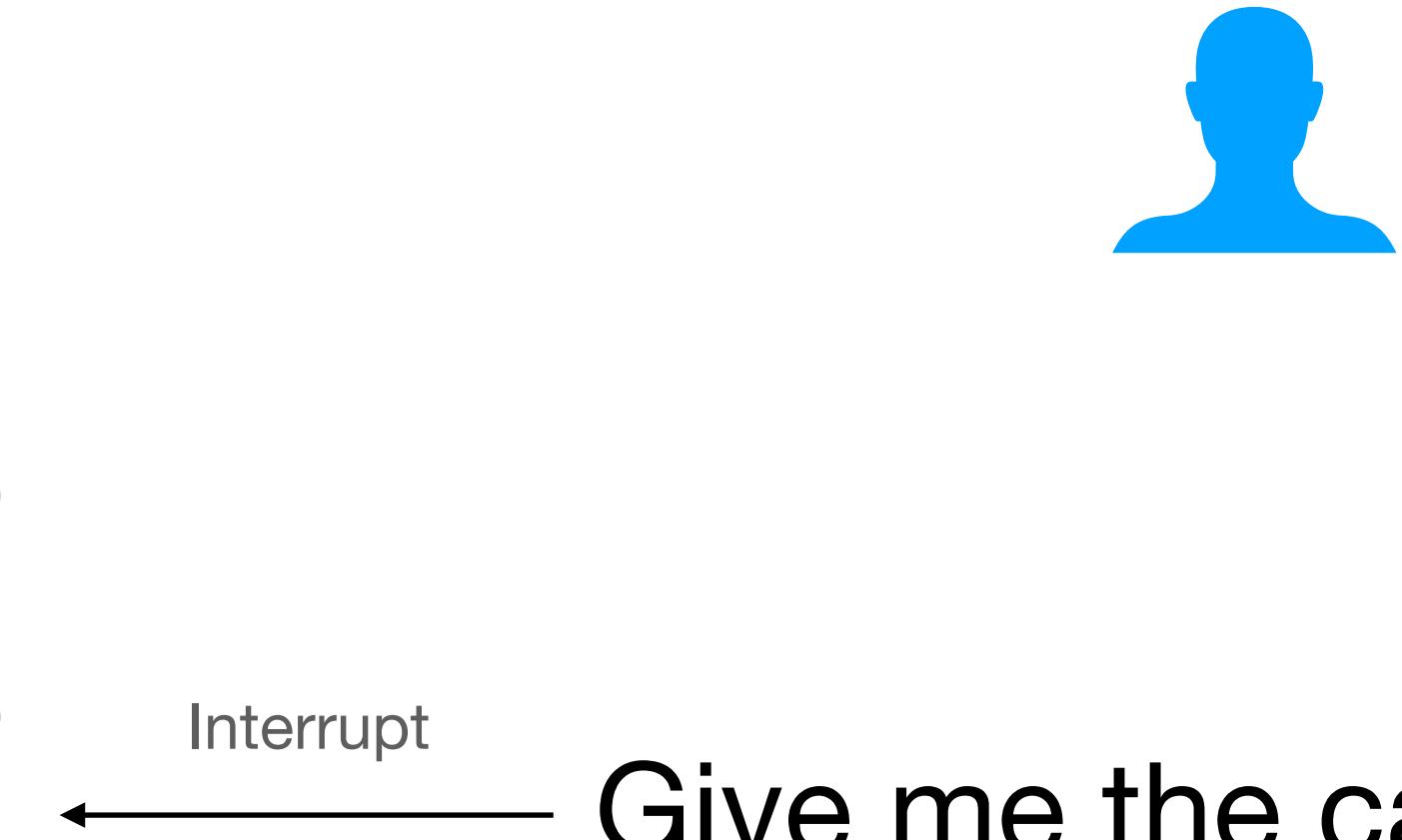


# Calculator analogy



20
10
30
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- 2 0 = (move pointer to 10)
- + 1 0 = (move pointer to 30)
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Give me the calculator!

# Calculator analogy



20
10
30
50
30
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- 2 0 = (move pointer to 10)
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Interrupt



Give me the calculator!

- $3^2 = 6$

# Calculator analogy



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- $2 \ 0 =$  (move pointer to 10)
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Interrupt

Give me the calculator!

- $3^2 = 6$

End of Interrupt

Ok, you can have it back

# Calculator analogy



20
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- $2 \ 0 =$  (move pointer to 10)
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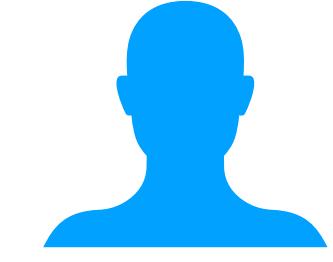
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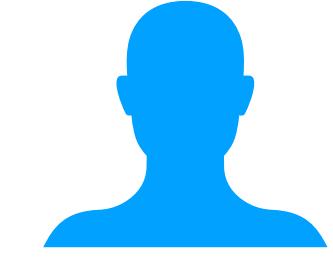
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- $+ 3 \ 0 =$  (move pointer to 50)
- $+ 5 \ 0 =$  (move pointer to 30)
- $+ 3 \ 0 =$  (move pointer to 10)
- $+ 1 \ 0 =$  (move pointer to 20)



Interrupt

Give me the calculator!

•  $3^2 = 6$

End of Interrupt

Ok, you can have it back

# Calculator analogy



20
10
30
50
30
10
20
10

- $2 \ 0 =$  (move pointer to 10)
- $+ 1 \ 0 =$  (move pointer to 30)
- $+ 3 \ 0 =$  (move pointer to 50)
- $+ 5 \ 0 =$  (move pointer to 30)
- $+ 3 \ 0 =$  (move pointer to 10)
- $+ 1 \ 0 =$  (move pointer to 20)
- $+ 2 \ 0 =$  (move pointer to 10)



Interrupt

Give me the calculator!

•  $3^2 = 6$

End of Interrupt

Ok, you can have it back

# Programmable interrupt controllers (PIC)

Example: Intel 8259A

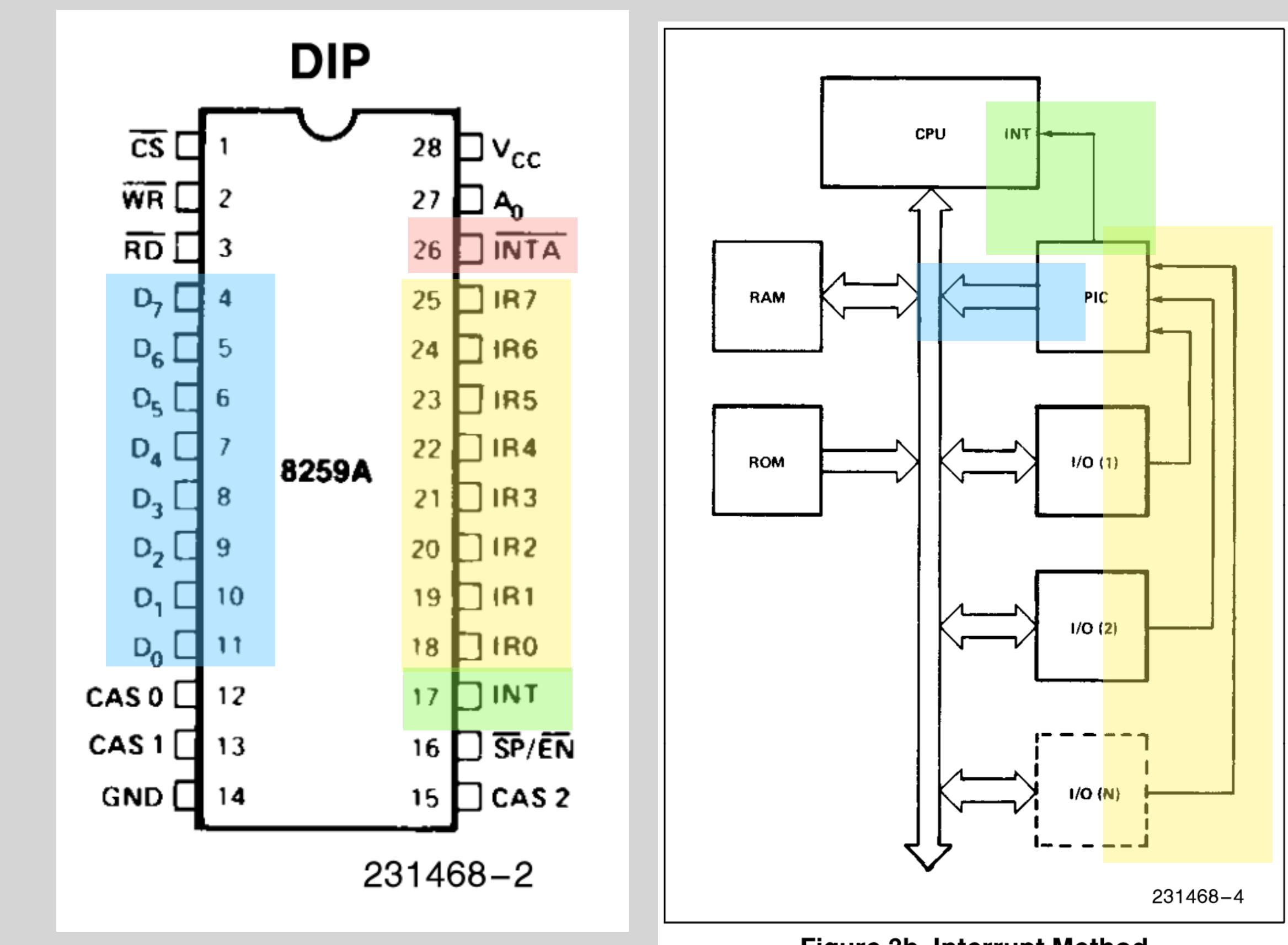


Figure 3b. Interrupt Method

# Programmable interrupt controllers (PIC)

## Example: Intel 8259A

- Devices connect to IR0-IR7 pins.  
Device enables its pin to raise interrupt

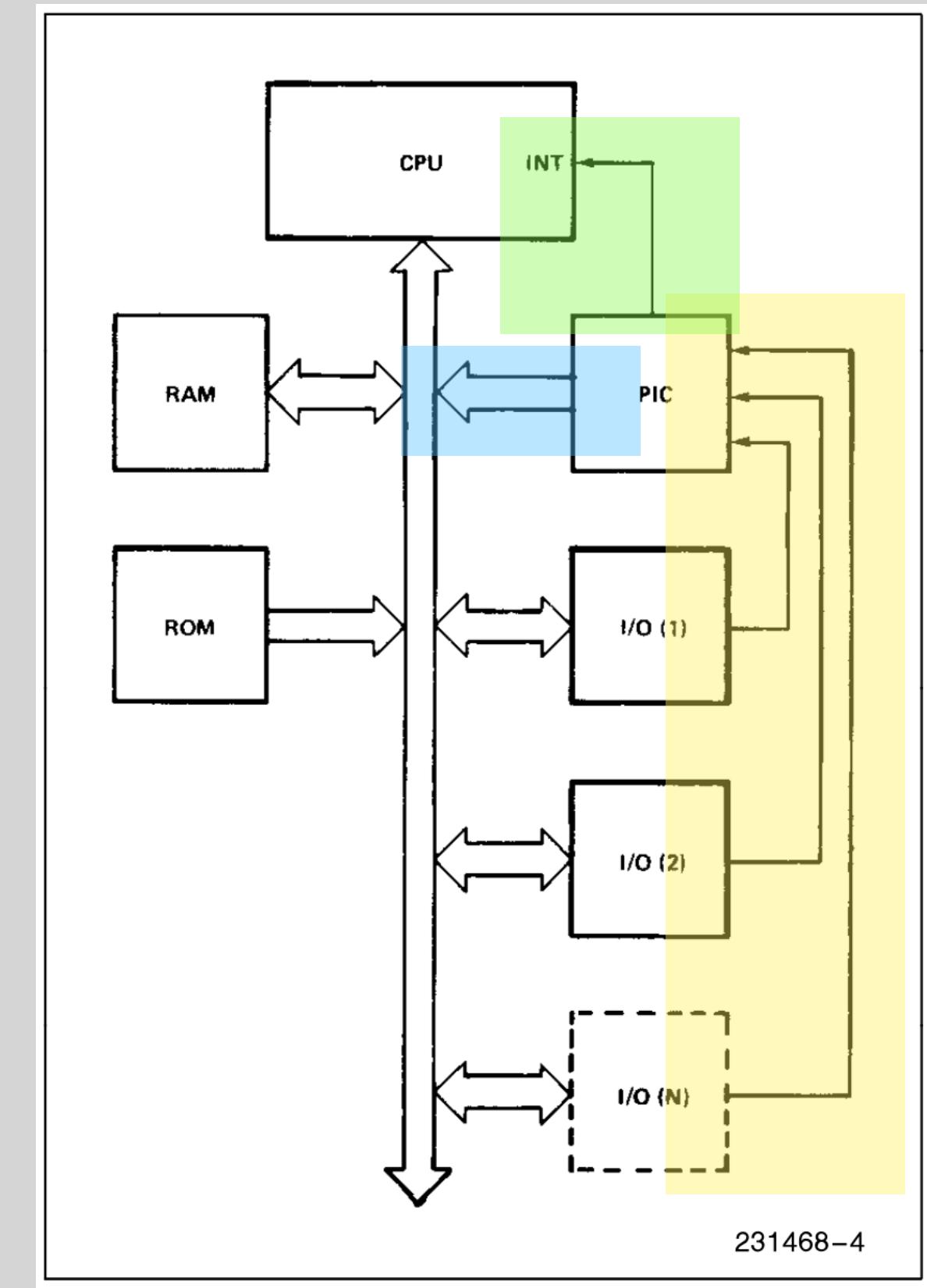
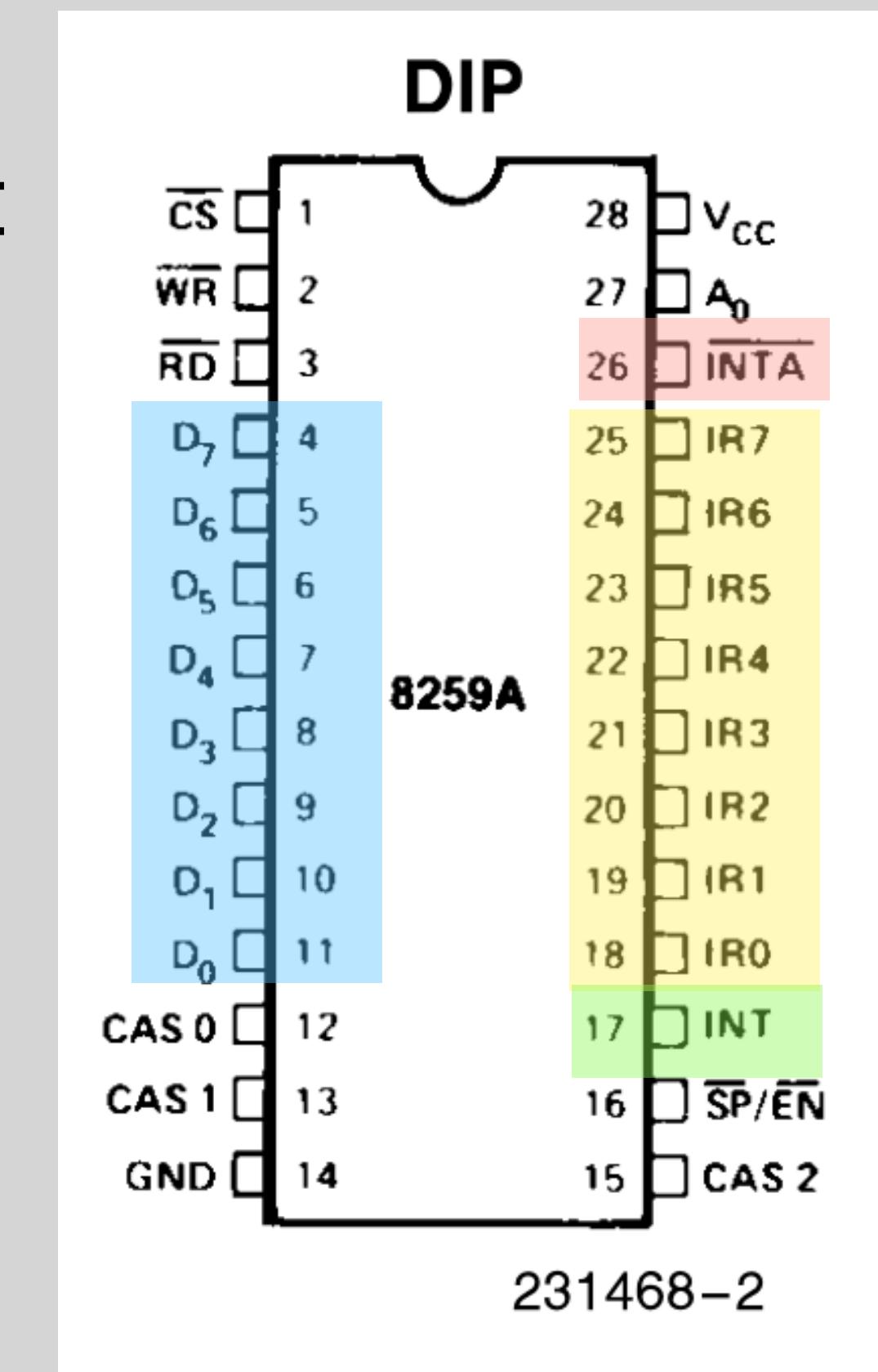


Figure 3b. Interrupt Method

# Programmable interrupt controllers (PIC)

## Example: Intel 8259A

- Devices connect to IR0-IR7 pins.  
Device enables its pin to raise interrupt
- INT pin connects to CPU.

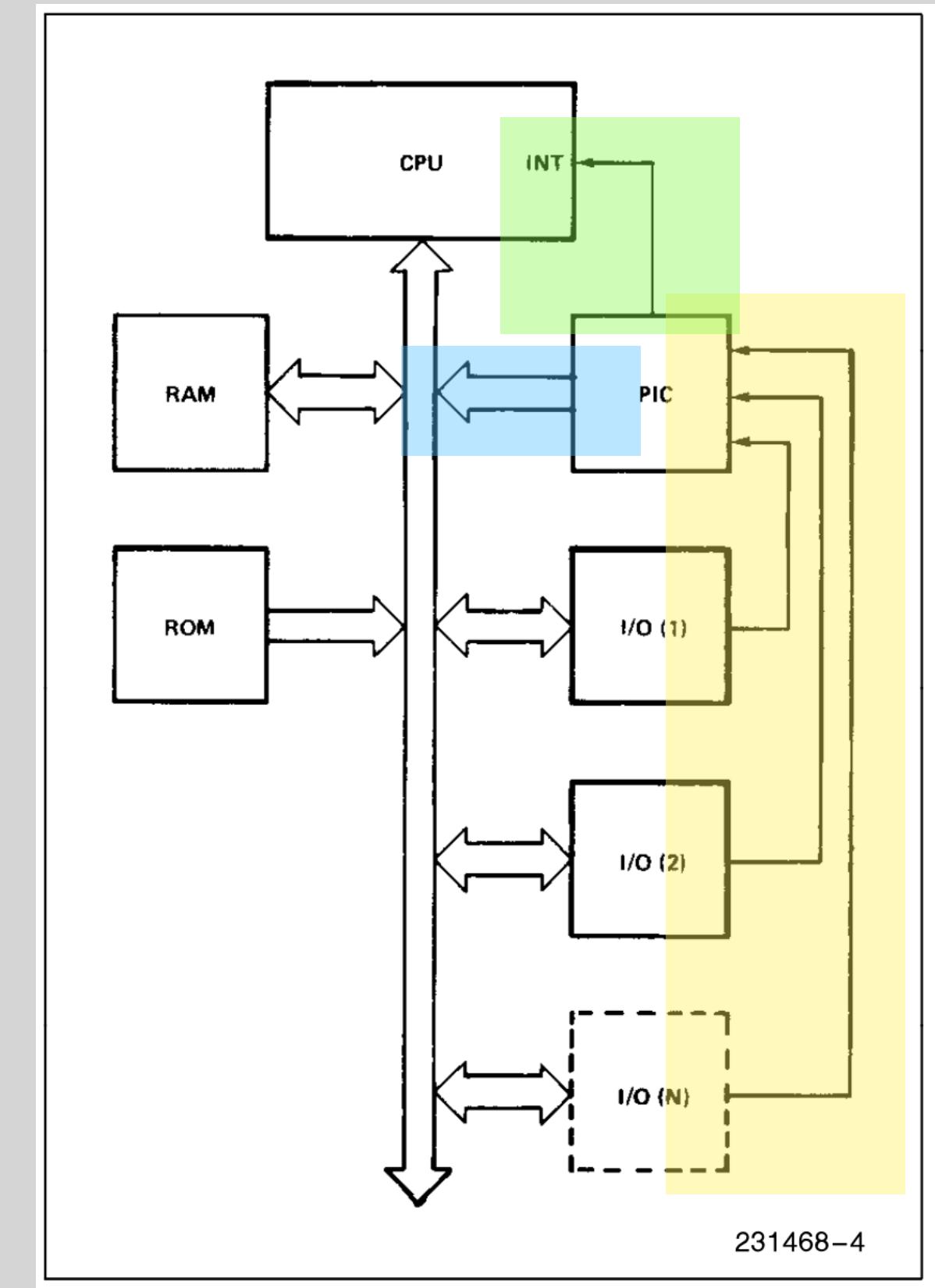
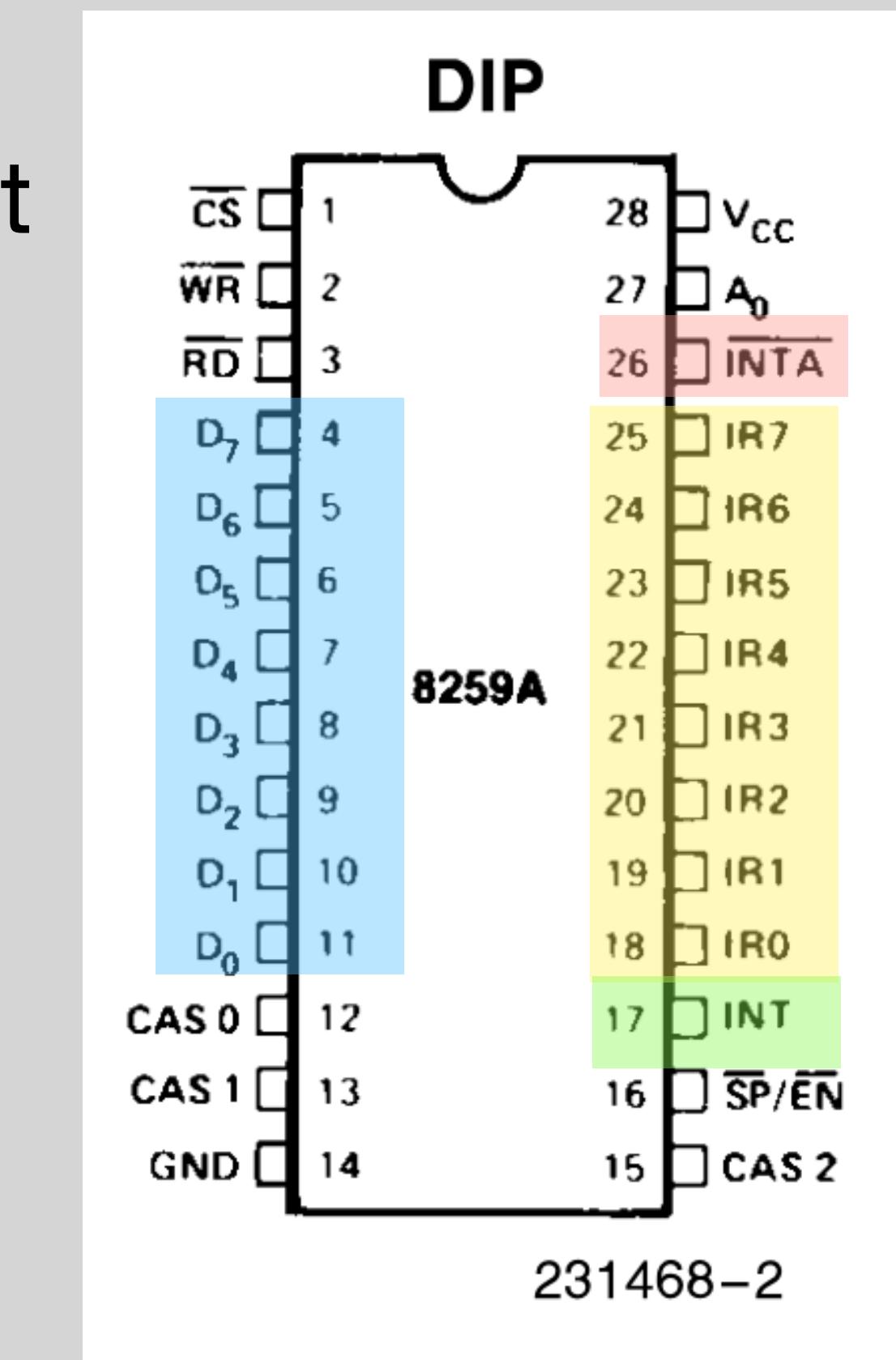


Figure 3b. Interrupt Method

# Programmable interrupt controllers (PIC)

## Example: Intel 8259A

- Devices connect to **IR0-IR7 pins**.  
Device enables its pin to raise interrupt
- **INT pin** connects to CPU.
- PIC sends an 8-bit “interrupt vector” to CPU via **D0-D7 pins**

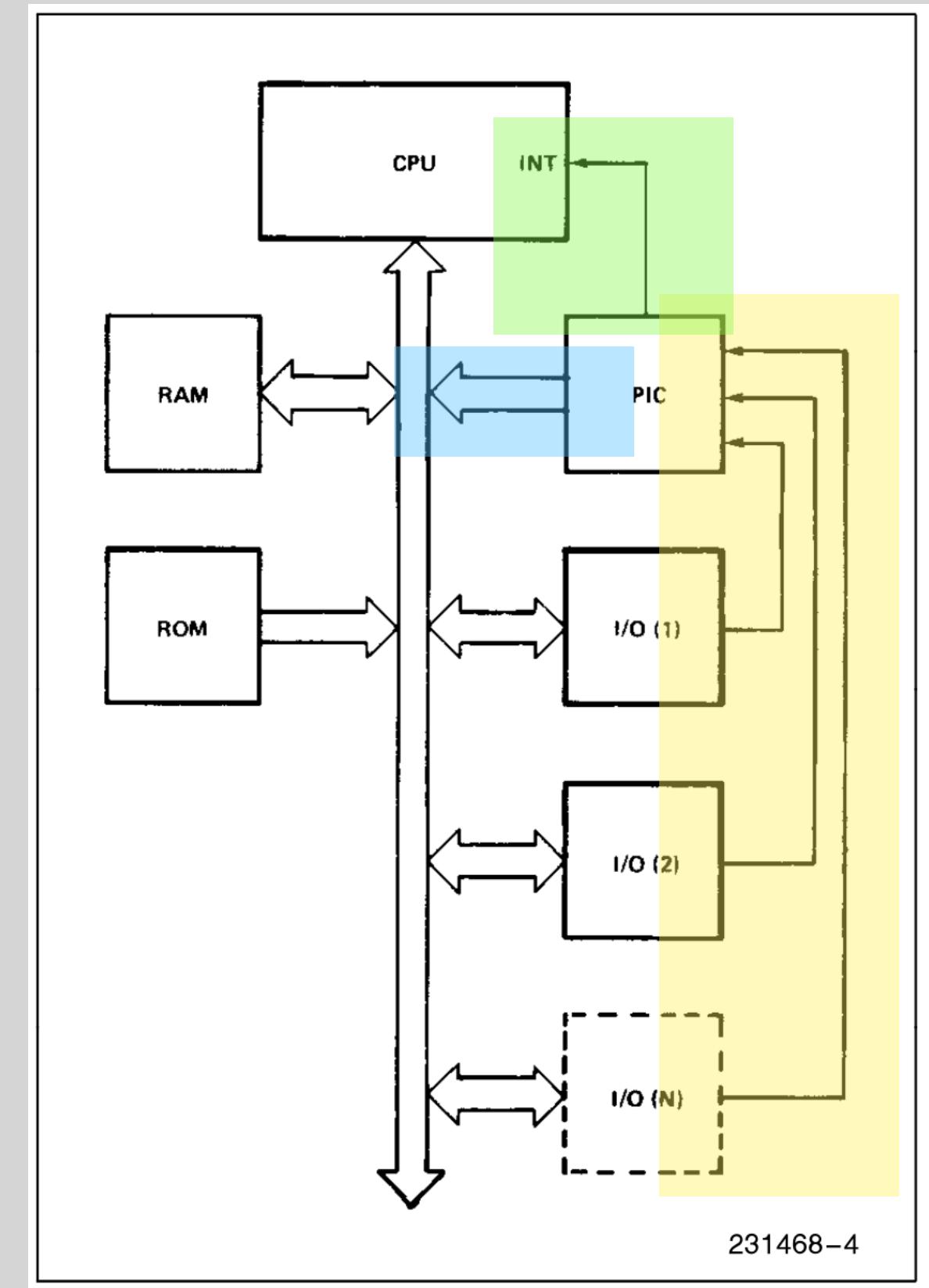
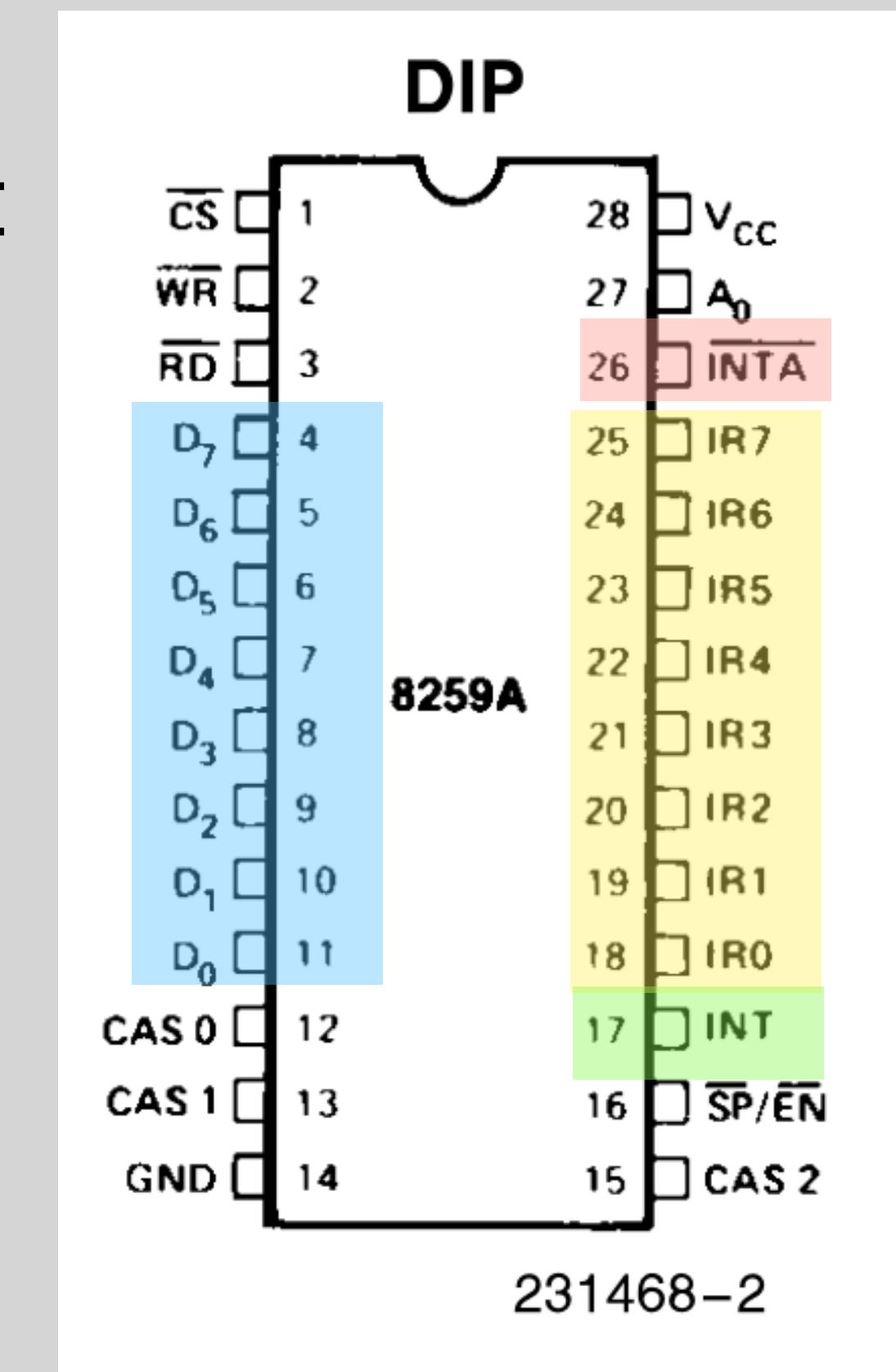


Figure 3b. Interrupt Method

# Programmable interrupt controllers (PIC)

## Example: Intel 8259A

- Devices connect to IR0-IR7 pins.  
Device enables its pin to raise interrupt
- INT pin connects to CPU.
- PIC sends an 8-bit “interrupt vector” to CPU via D0-D7 pins
- CPU acknowledges that it is now working on interrupt on INTA pin

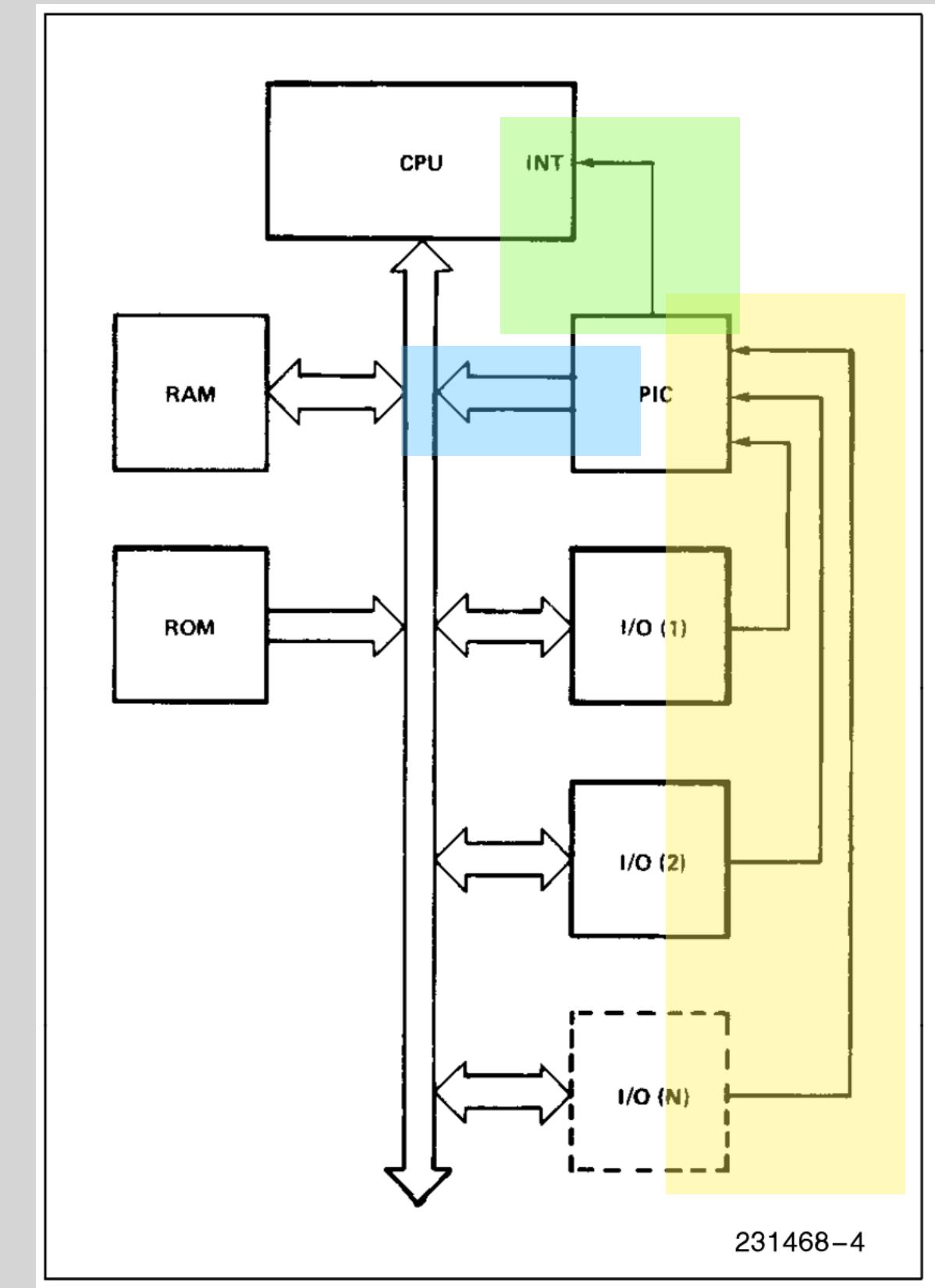
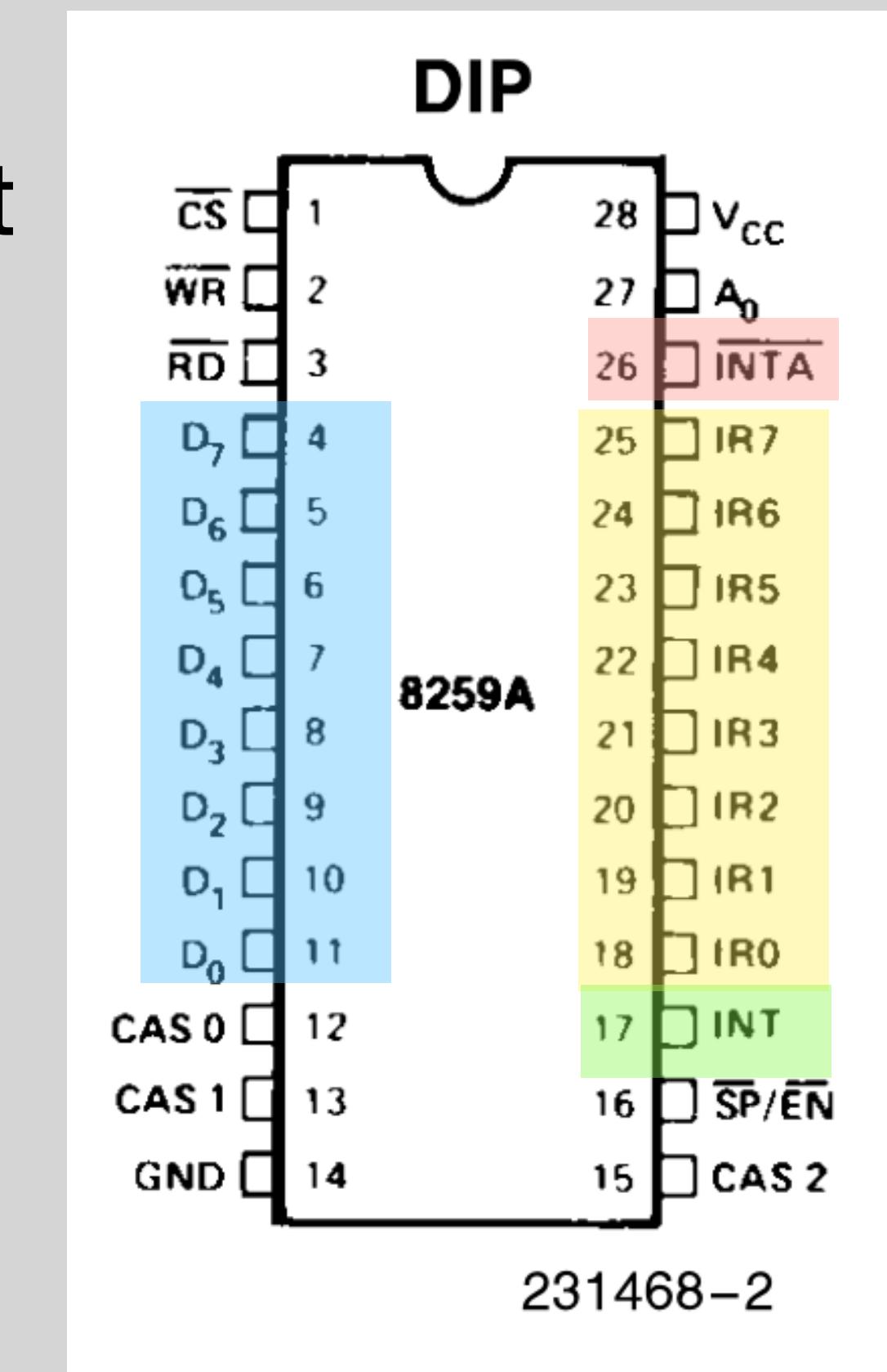


Figure 3b. Interrupt Method

# Programmable interrupt controllers (PIC)

## Example: Intel 8259A

- Devices connect to IR0-IR7 pins.  
Device enables its pin to raise interrupt
- INT pin connects to CPU.
- PIC sends an 8-bit “interrupt vector” to CPU via D0-D7 pins
- CPU acknowledges that it is now working on interrupt on INTA pin
- CPU acknowledges “end-of-interrupt” on INTA pin

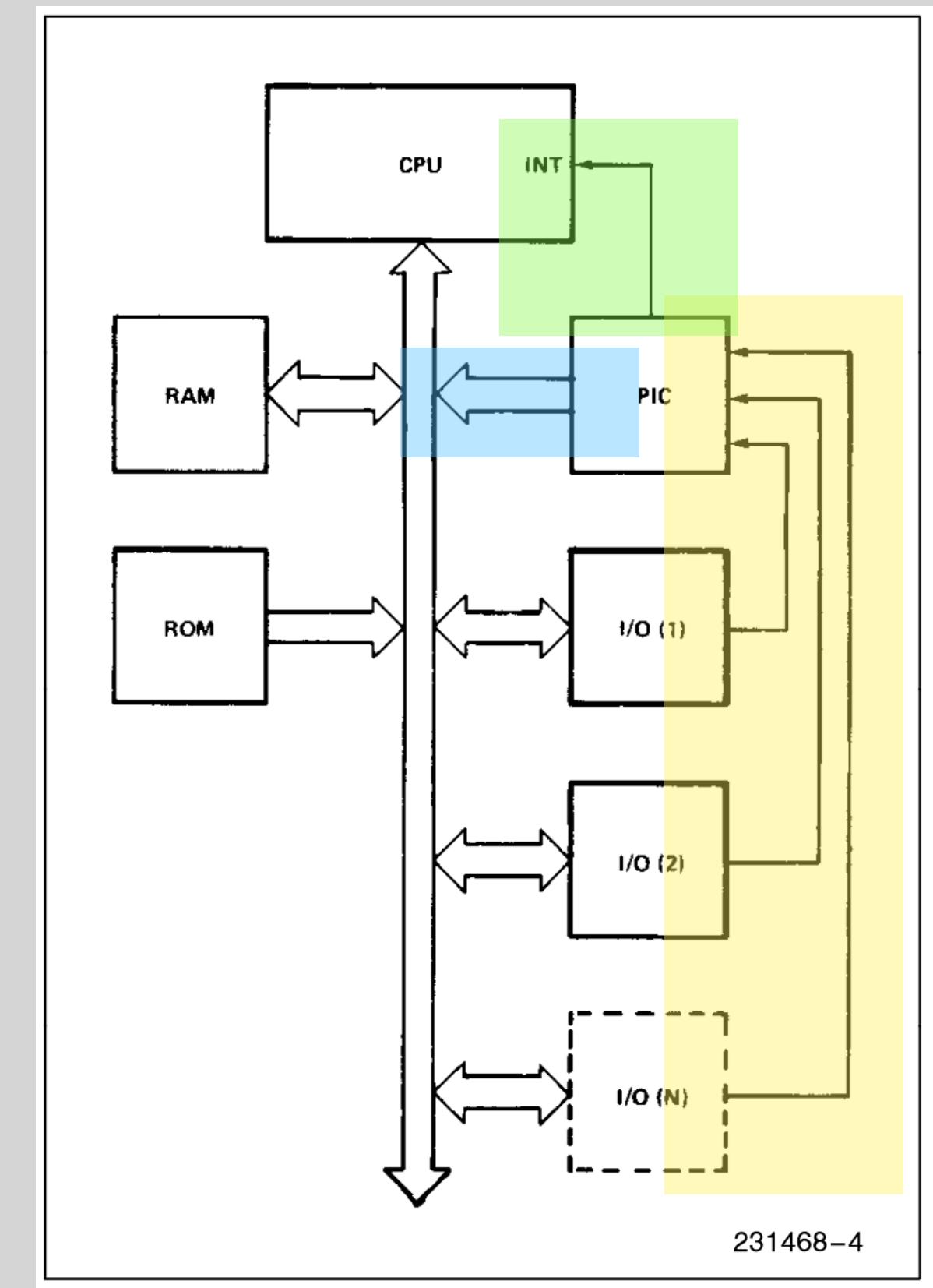
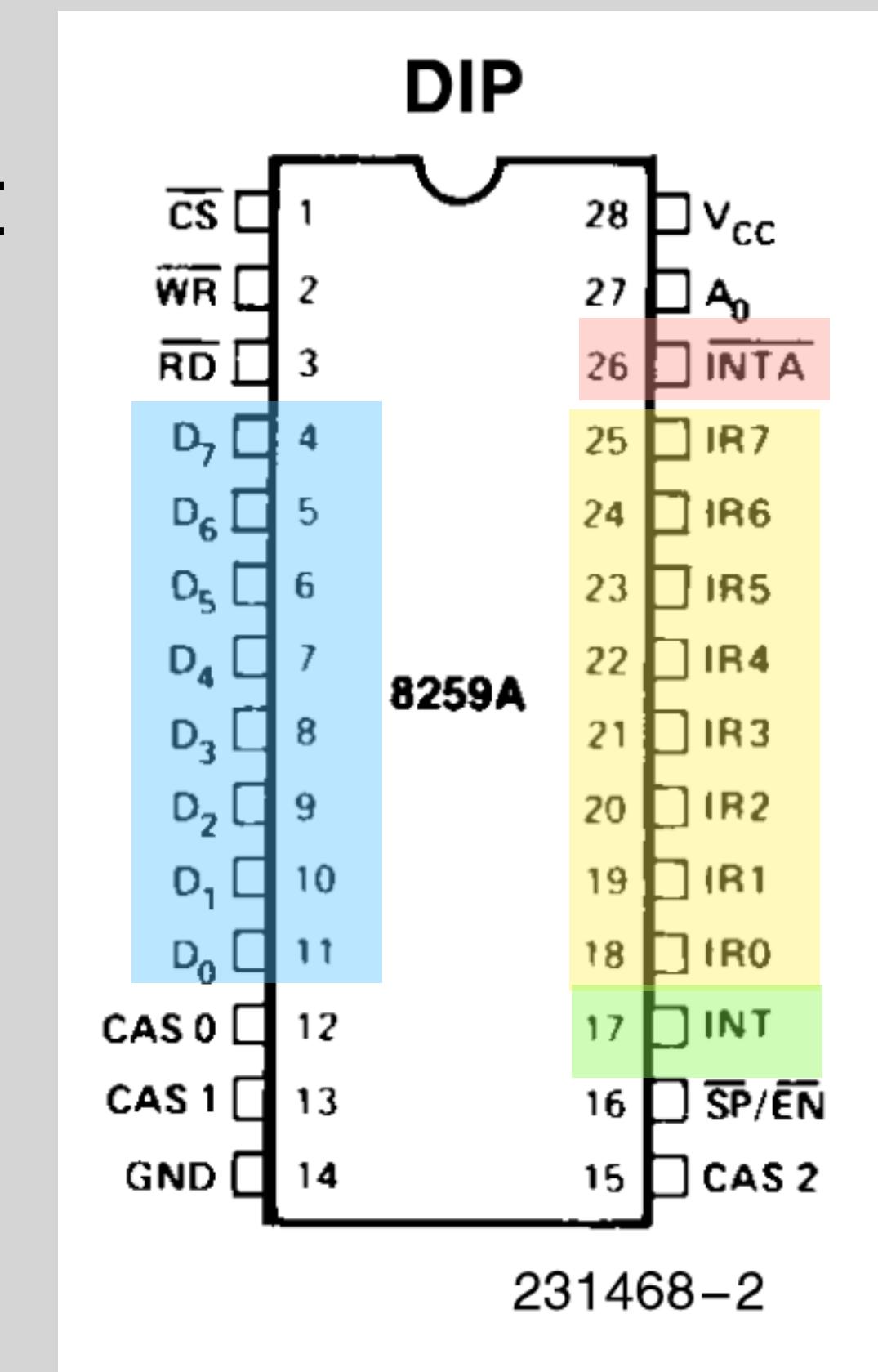


Figure 3b. Interrupt Method

# PIC Problems

- No support for multiple CPUs

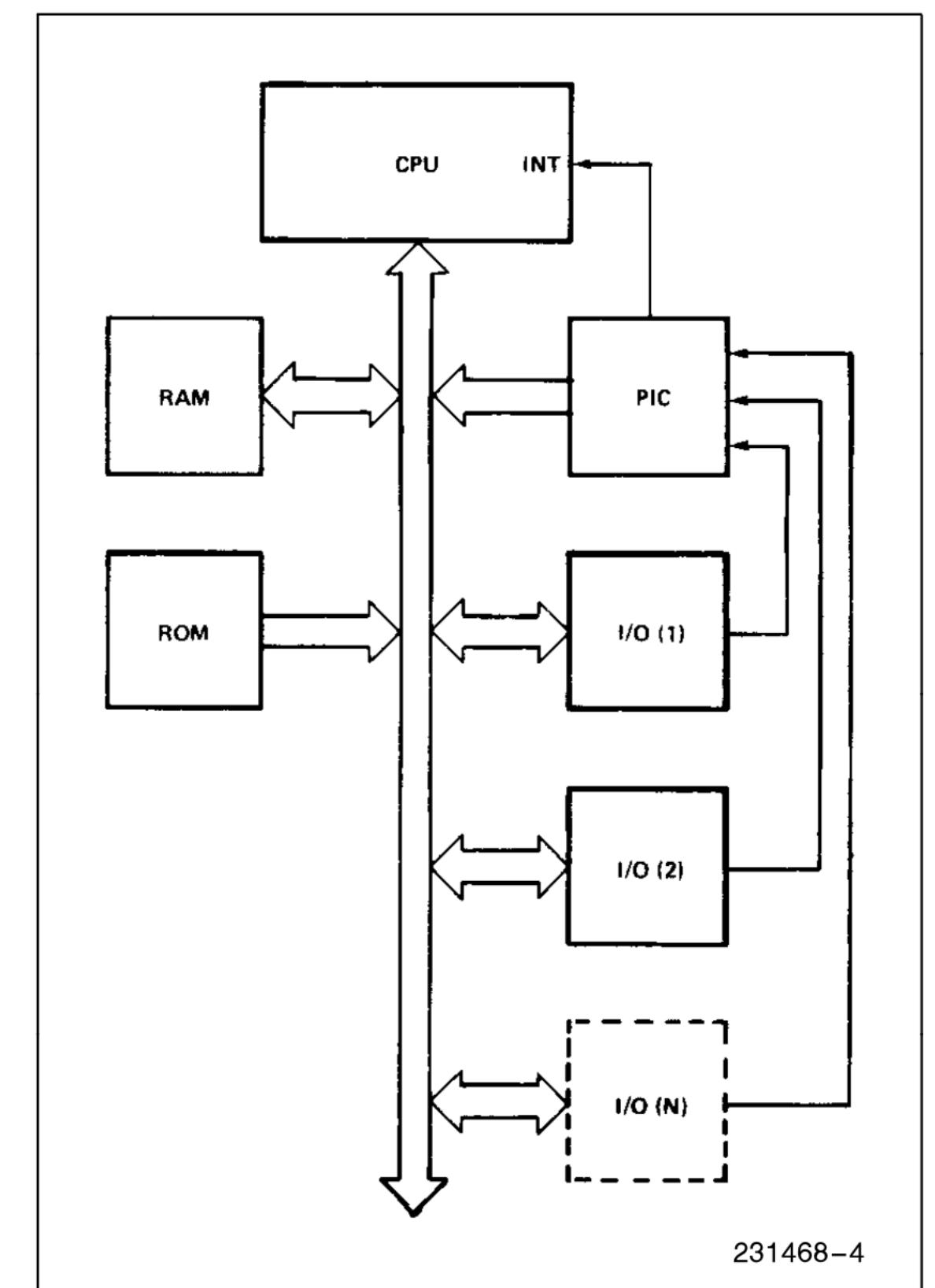
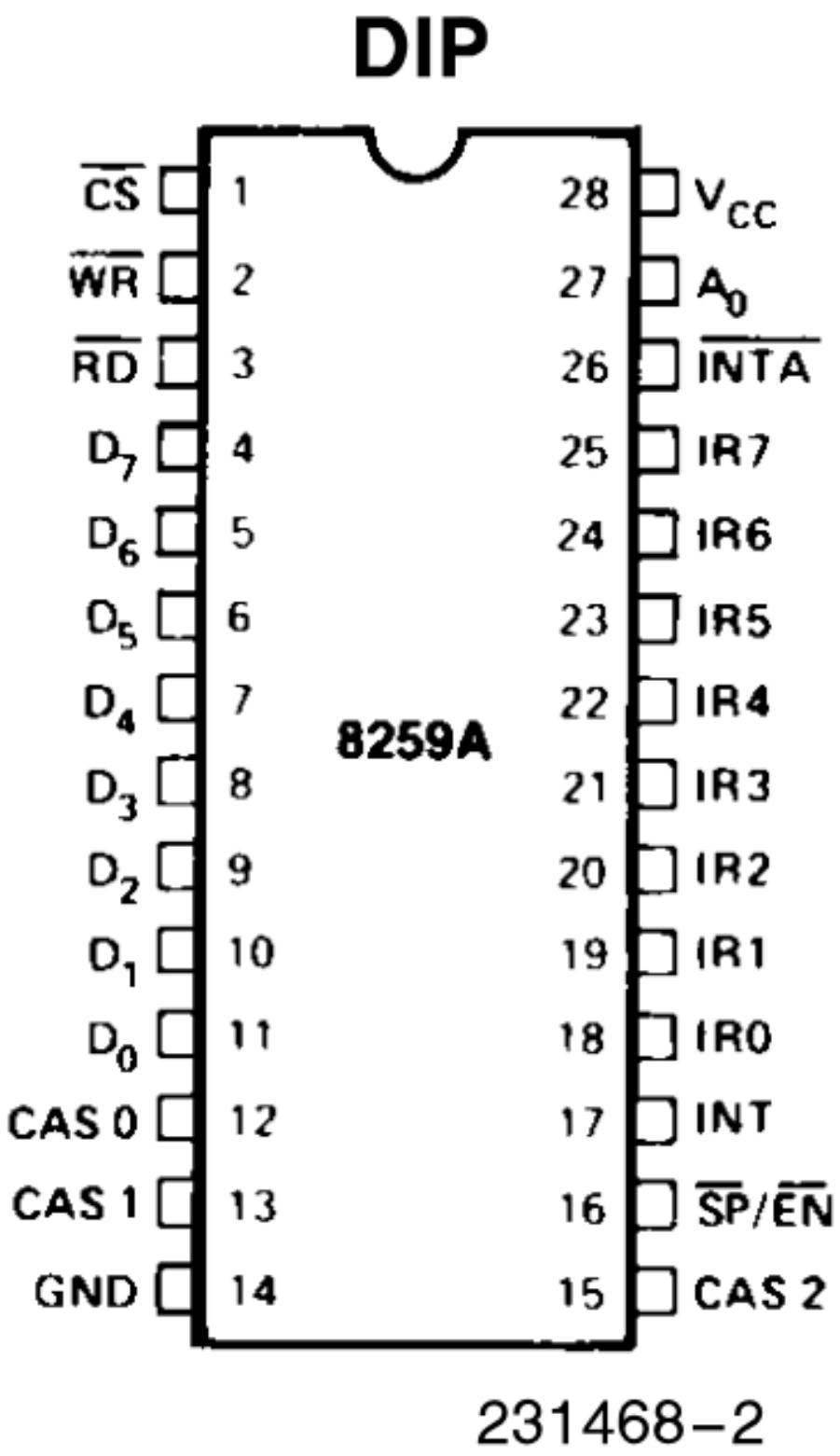


Figure 3b. Interrupt Method

# PIC Problems

- No support for multiple CPUs
  - Route disk interrupts to CPU-0, keyboard interrupts to CPU-1

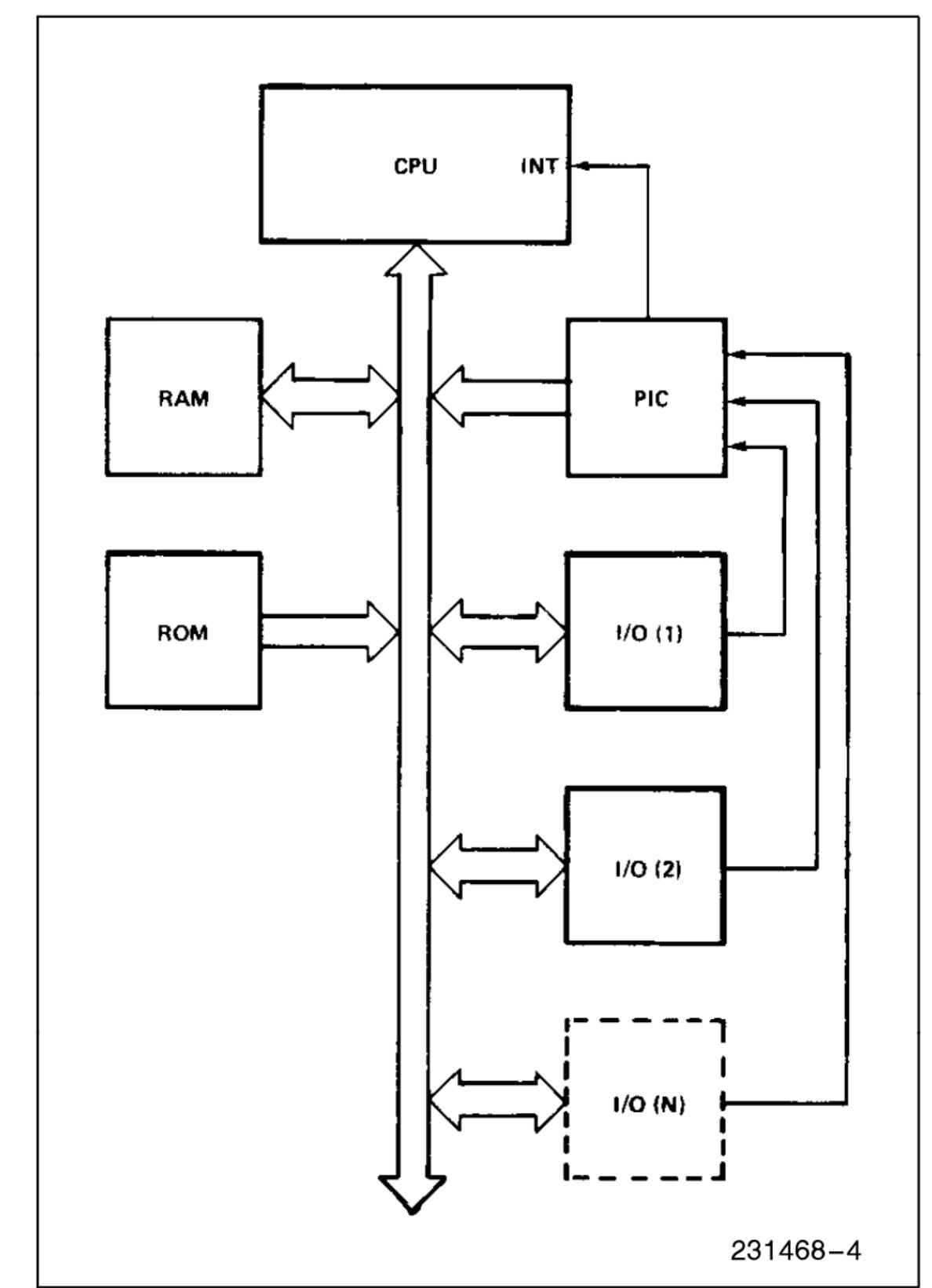
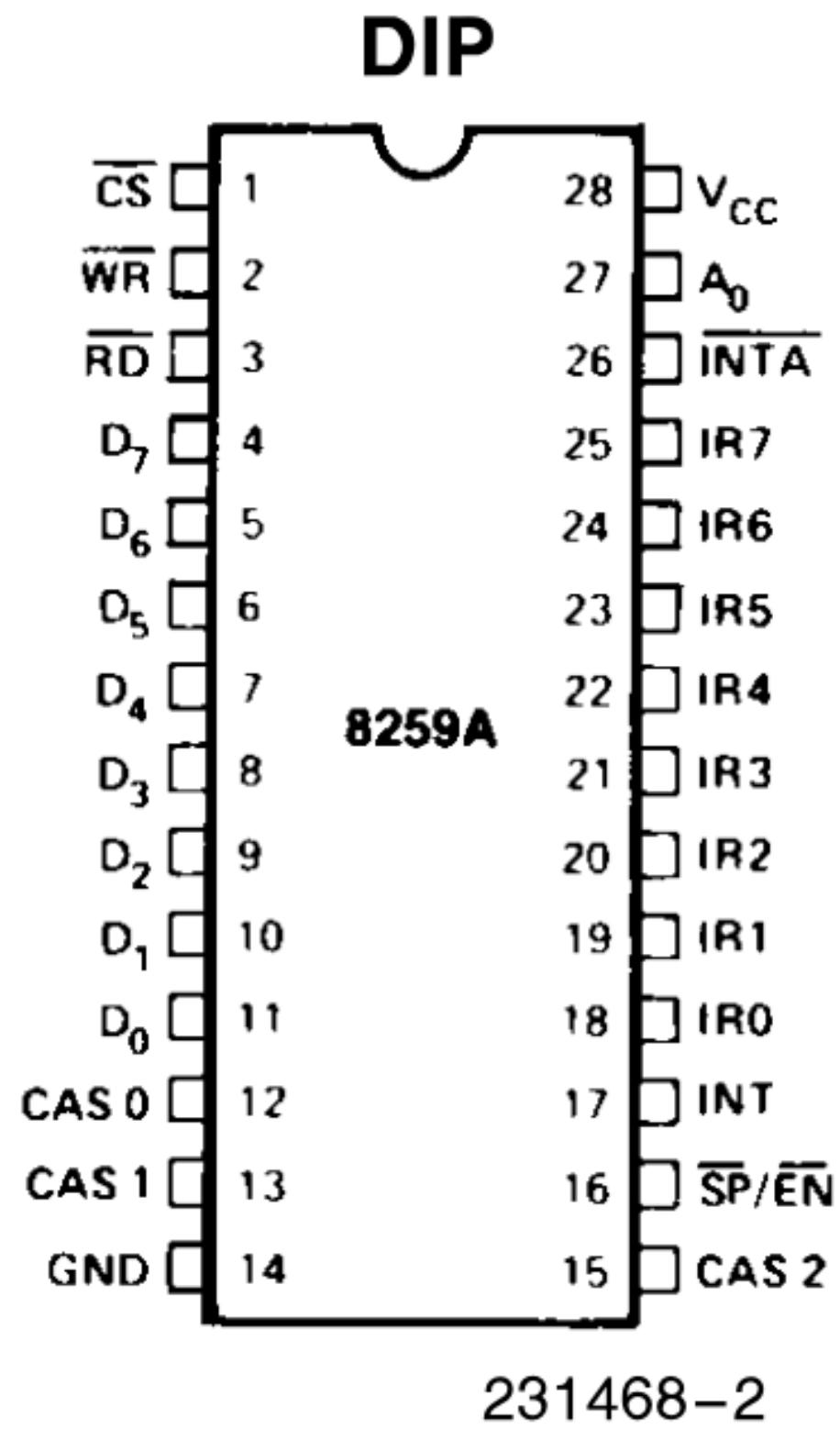


Figure 3b. Interrupt Method

# PIC Problems

- No support for multiple CPUs
  - Route disk interrupts to CPU-0, keyboard interrupts to CPU-1
  - Different CPUs are working on different interrupts

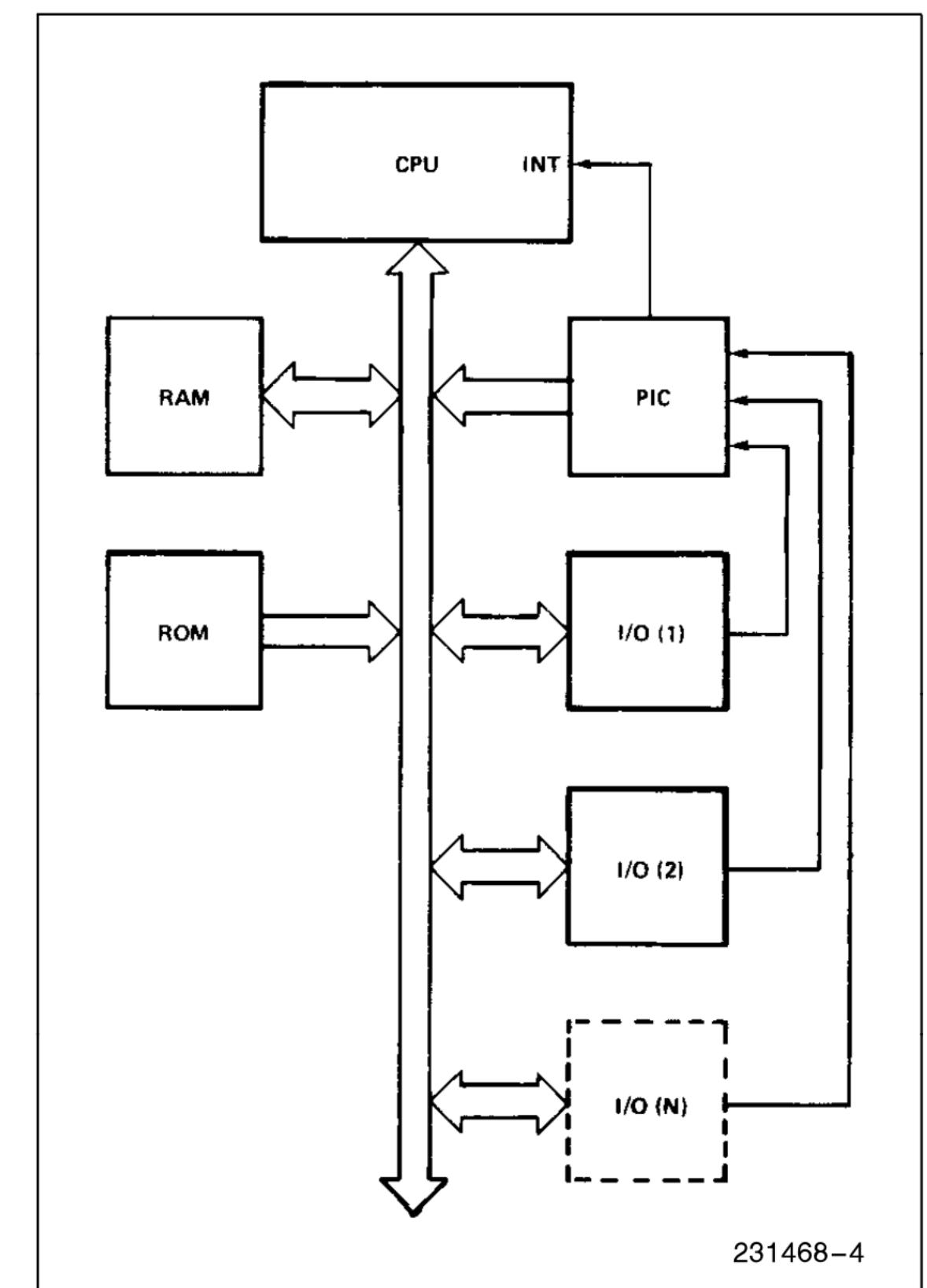
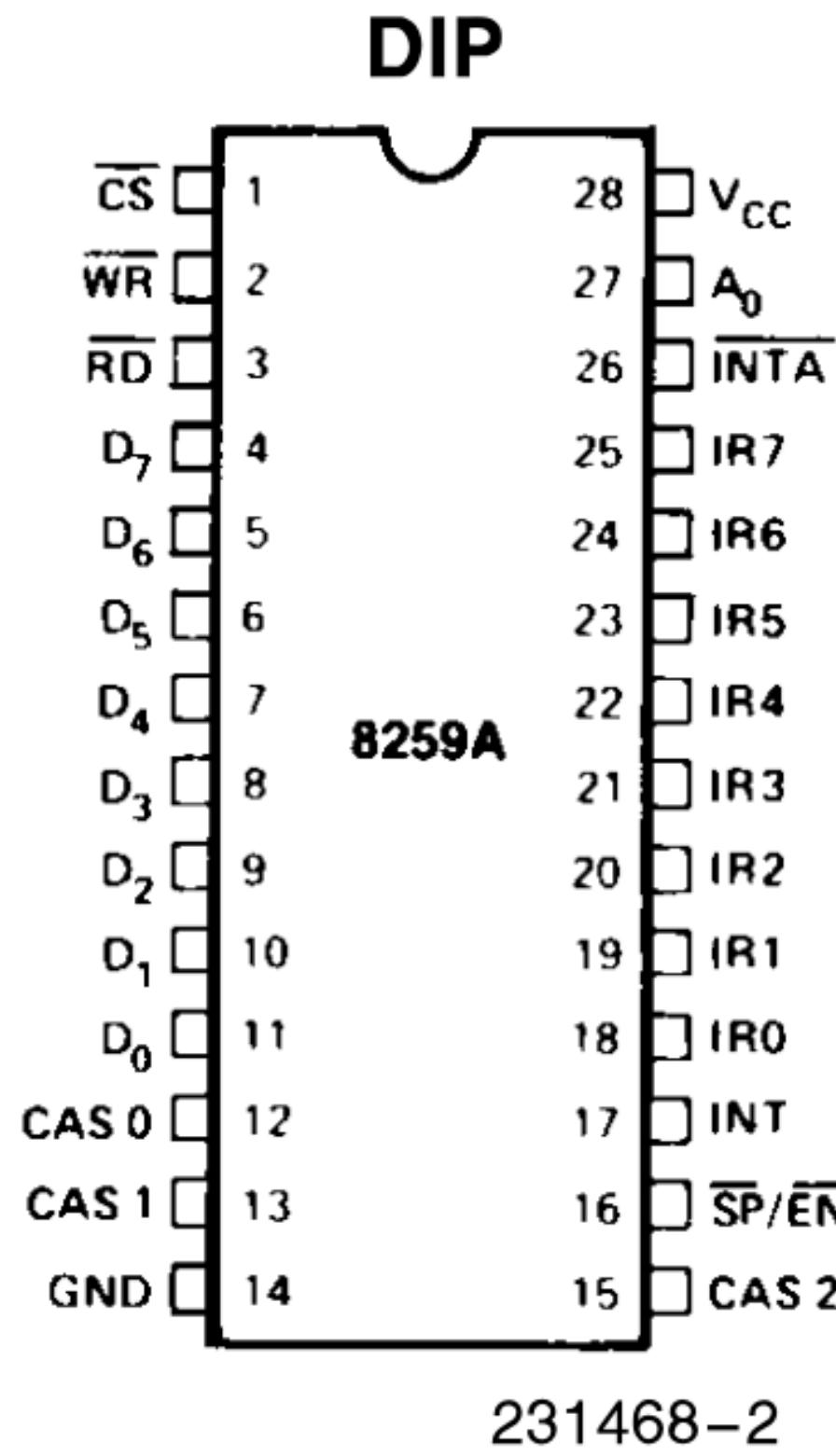
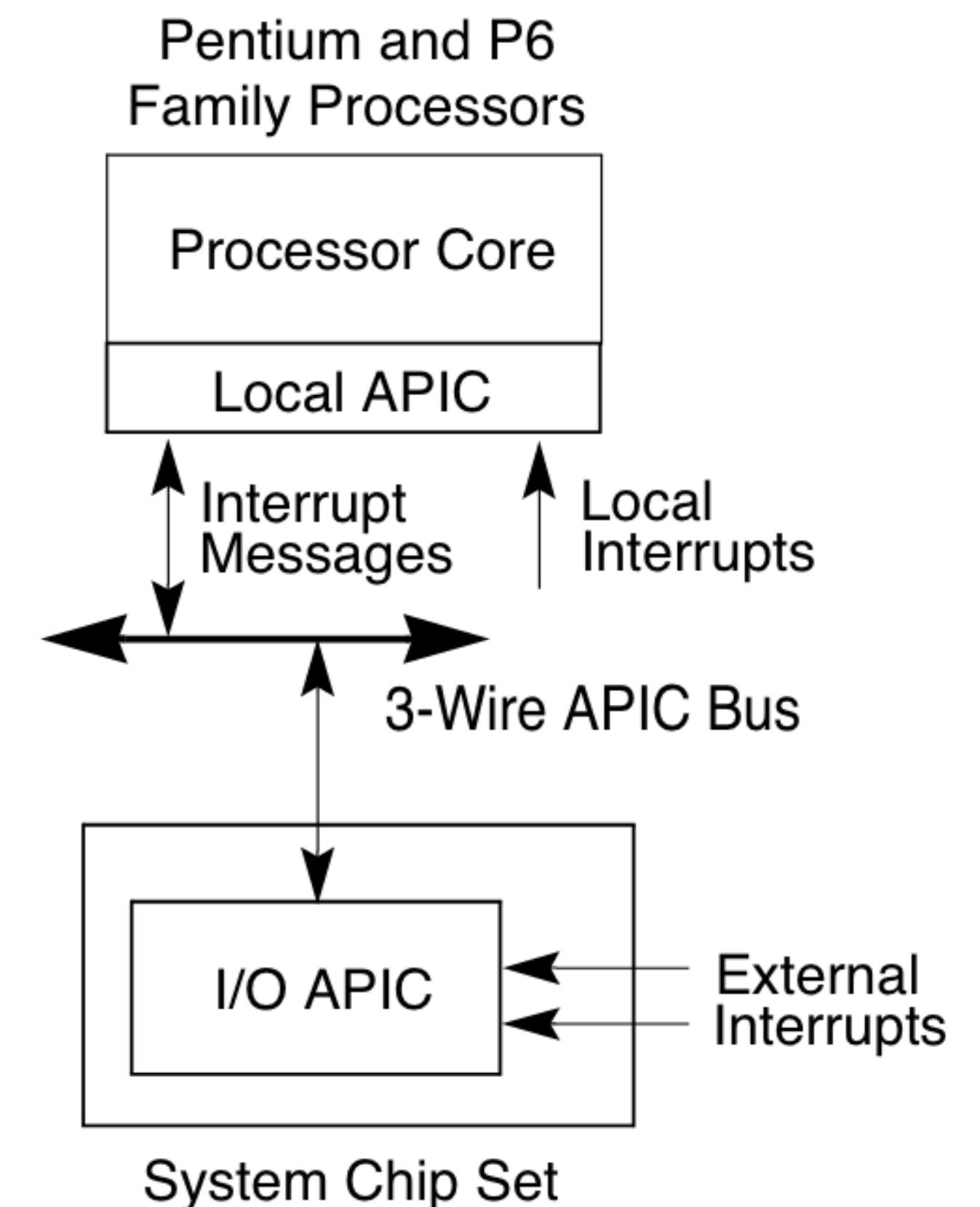


Figure 3b. Interrupt Method

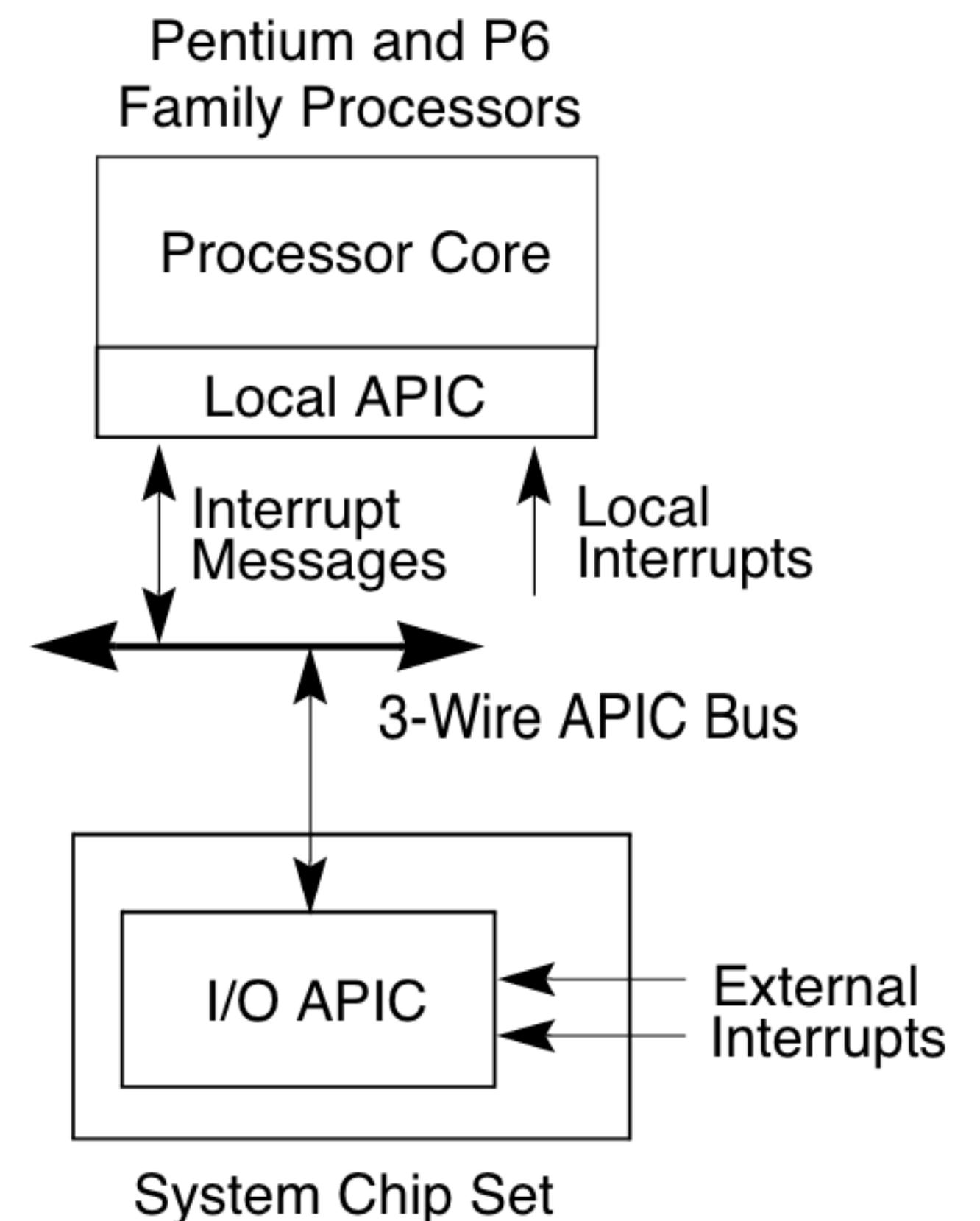
# Advanced programmable interrupt controllers (APIC)

- Each CPU can have local APICs for handling *local interrupts* like timer, thermal sensor, etc.



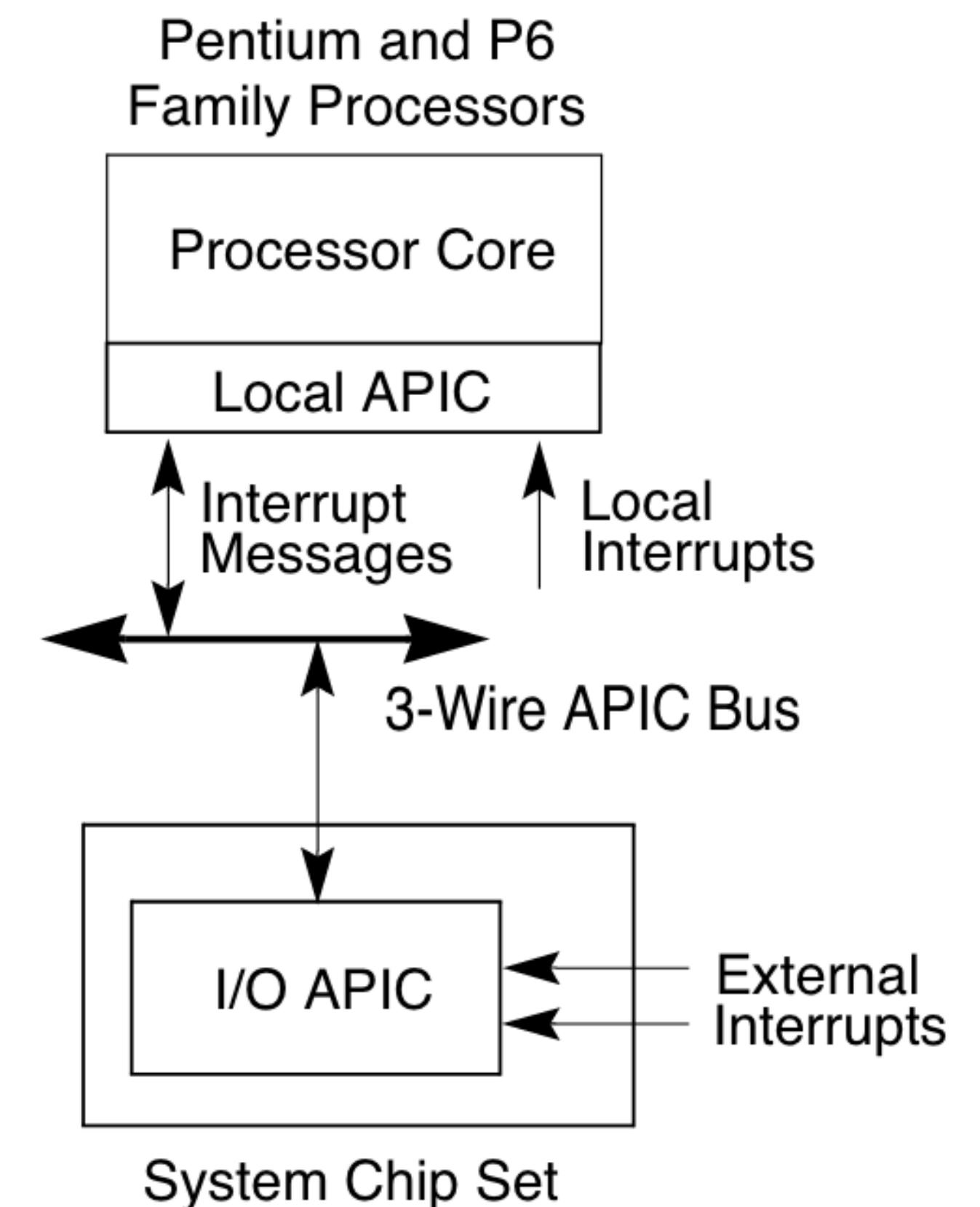
# Advanced programmable interrupt controllers (APIC)

- Each CPU can have local APICs for handling *local interrupts* like timer, thermal sensor, etc.
- A separate IO APIC receives external interrupts like keyboard, mouse, disk, etc and forwards it to a particular CPU



# Advanced programmable interrupt controllers (APIC)

- Each CPU can have local APICs for handling *local interrupts* like timer, thermal sensor, etc.
- A separate IO APIC receives external interrupts like keyboard, mouse, disk, etc and forwards it to a particular CPU
  - Example: Route keyboard interrupts to CPU-0, disk interrupts to CPU-1



# Code walkthrough

- main.c calls mpinit, lapicinit, picinit, ioapicinit
- mpinit initialises lapic and ioapic addresses
- lapicinit enables timer interrupt at every 10ms. lapicw is just writing to memory location (MMIO)
- picinit just disables PIC using outb instructions (PMIO)
- ioapicinit initialises IO APIC with MMIO
- Bootloader had disabled interrupt with cli. We will not receive interrupts yet.

# LAPIC/IOAPIC are MMIO

xv6 initializes these regions as volatile.

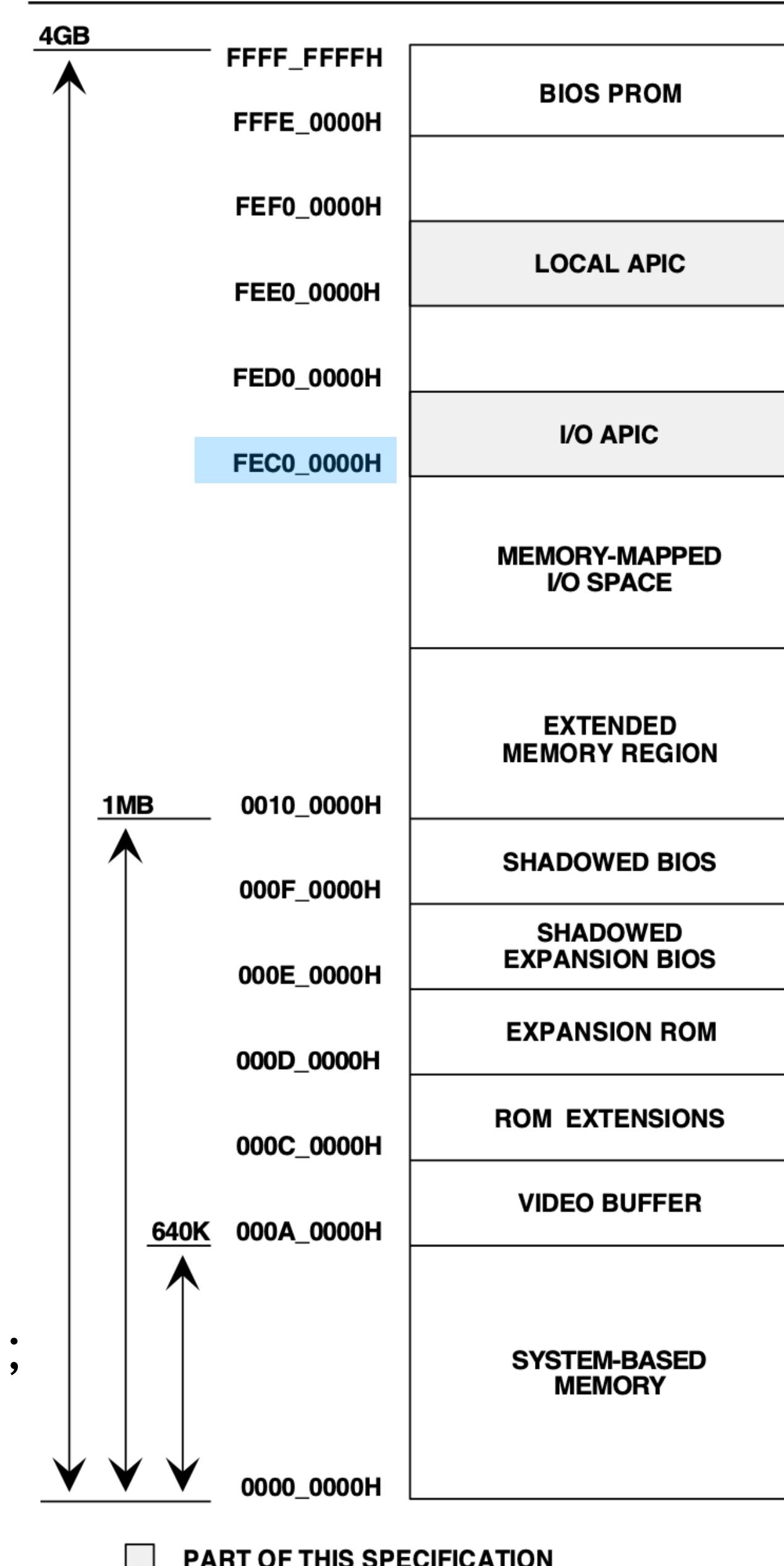
LAPIC base address can move within the region. It has to be *found* at bootup

```
volatile uint *lapic;
```

```
volatile struct ioapic *ioapic;
```

```
#define IOAPIC 0xFEC00000
```

```
ioapic = (volatile struct ioapic*)IOAPIC;
```



PART OF THIS SPECIFICATION

UNSHADE ADDRESS REGIONS ARE FOR REFERENCE ONLY AND SHOULD NOT BE CONSTRUED AS THE SOLE DEFINITION OF A PC/AT-COMPATIBLE ADDRESS SPACE.

From Intel Multiprocessor Specification

Figure 3-1. System Memory Address Map

# Discovering Multiprocessor configuration table

- main() calls mpinit()
- mpinit() scans different memory regions to search for the “MP floating structure”

```
if(memcmp(p, "_MP_", 4) == 0 ...)  
    return (struct mp*)p;  
  
...  
conf = (struct mpconf*) (uint) mp->physaddr;  
  
...  
conf = mpconfig(&mp)
```

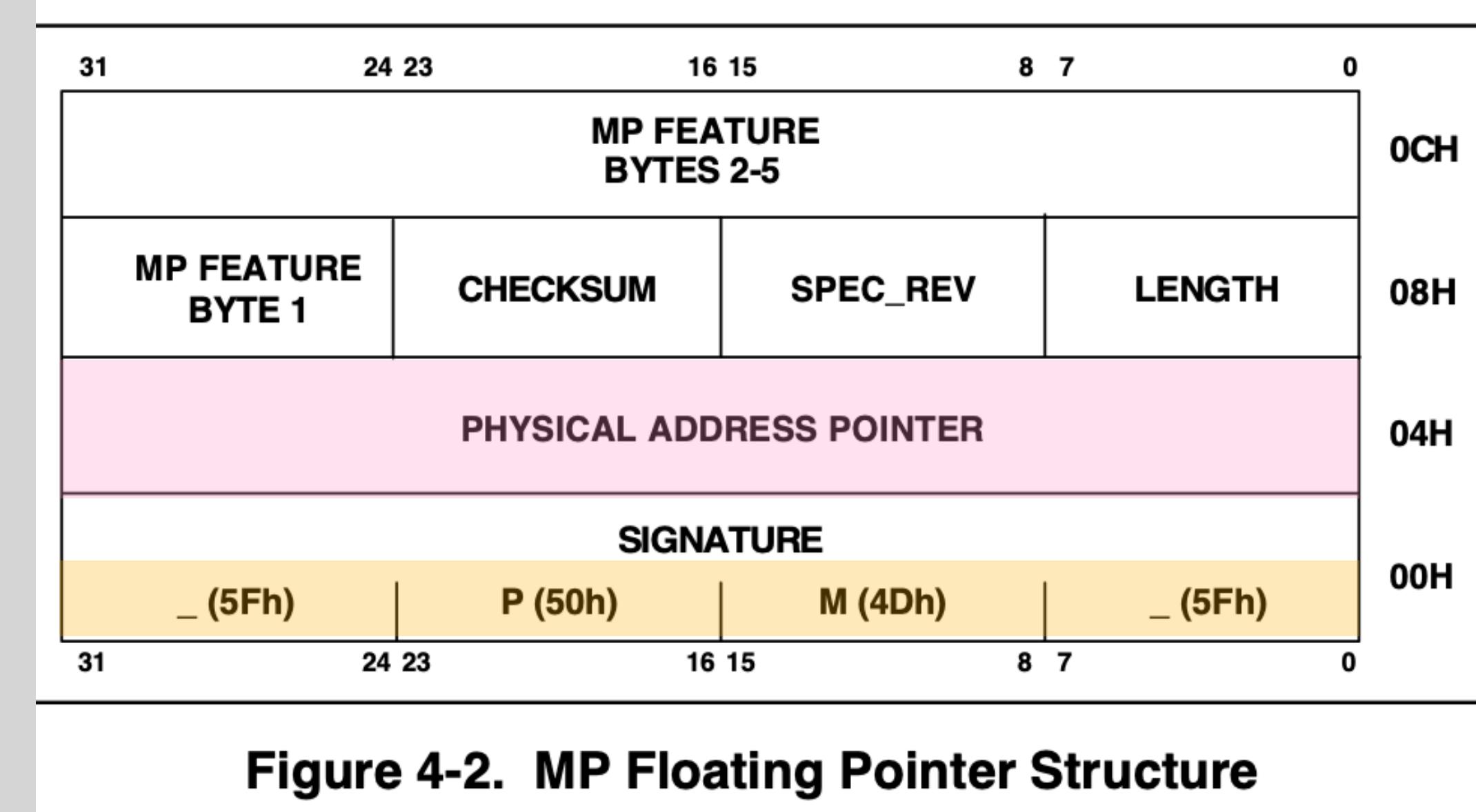


Figure 4-2. MP Floating Pointer Structure

# Discovering processors

- Header mentions how many entries are there
- Entries in Multiprocessor Configuration table describes each processor, IOAPIC

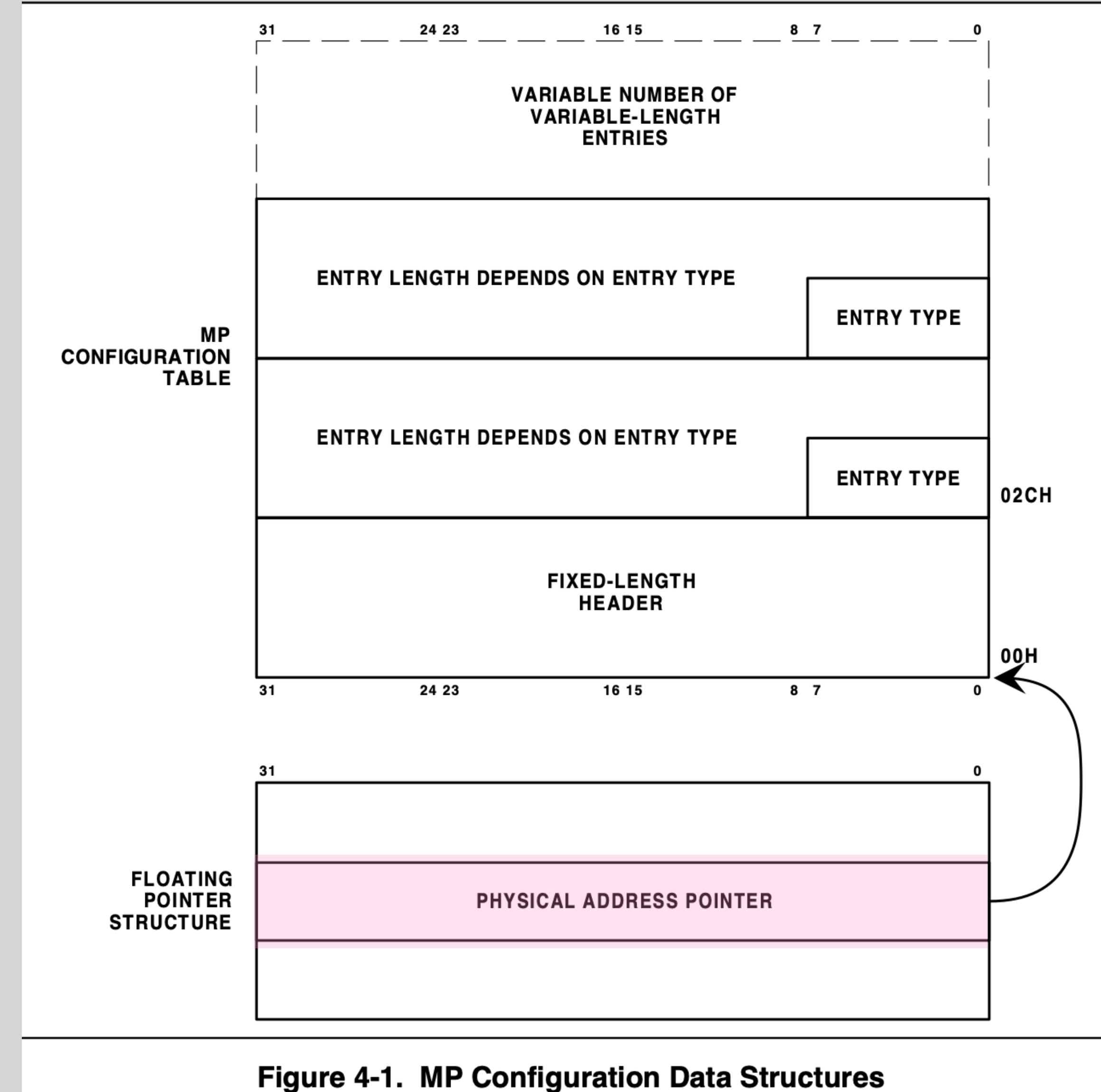


Figure 4-1. MP Configuration Data Structures

# How many entries?

- mpinit() iterates over MP configuration table entries

```
for(p=(uchar*)(conf+1),  
    e=(uchar*)conf+conf->length; p<e; ) {
```

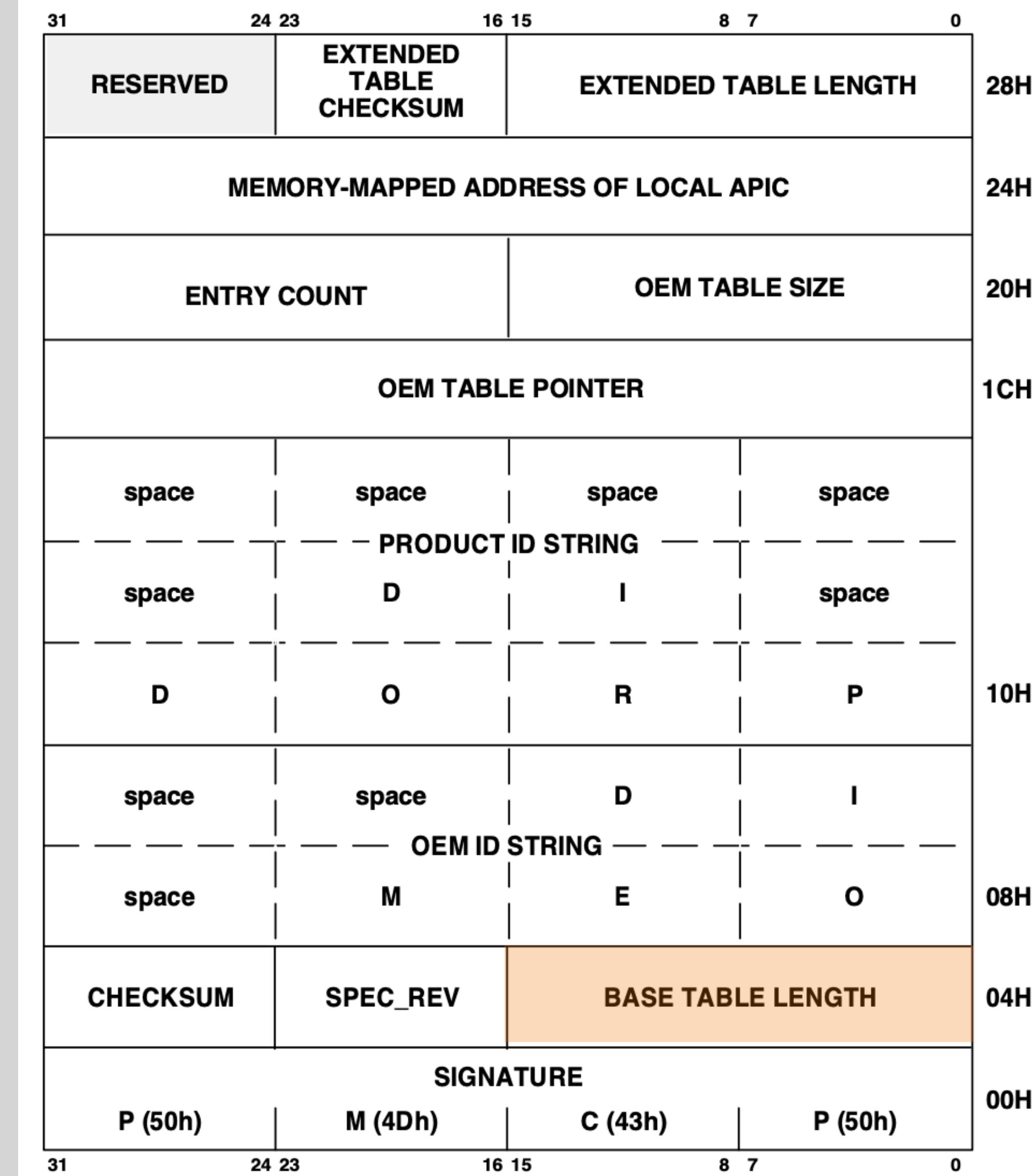


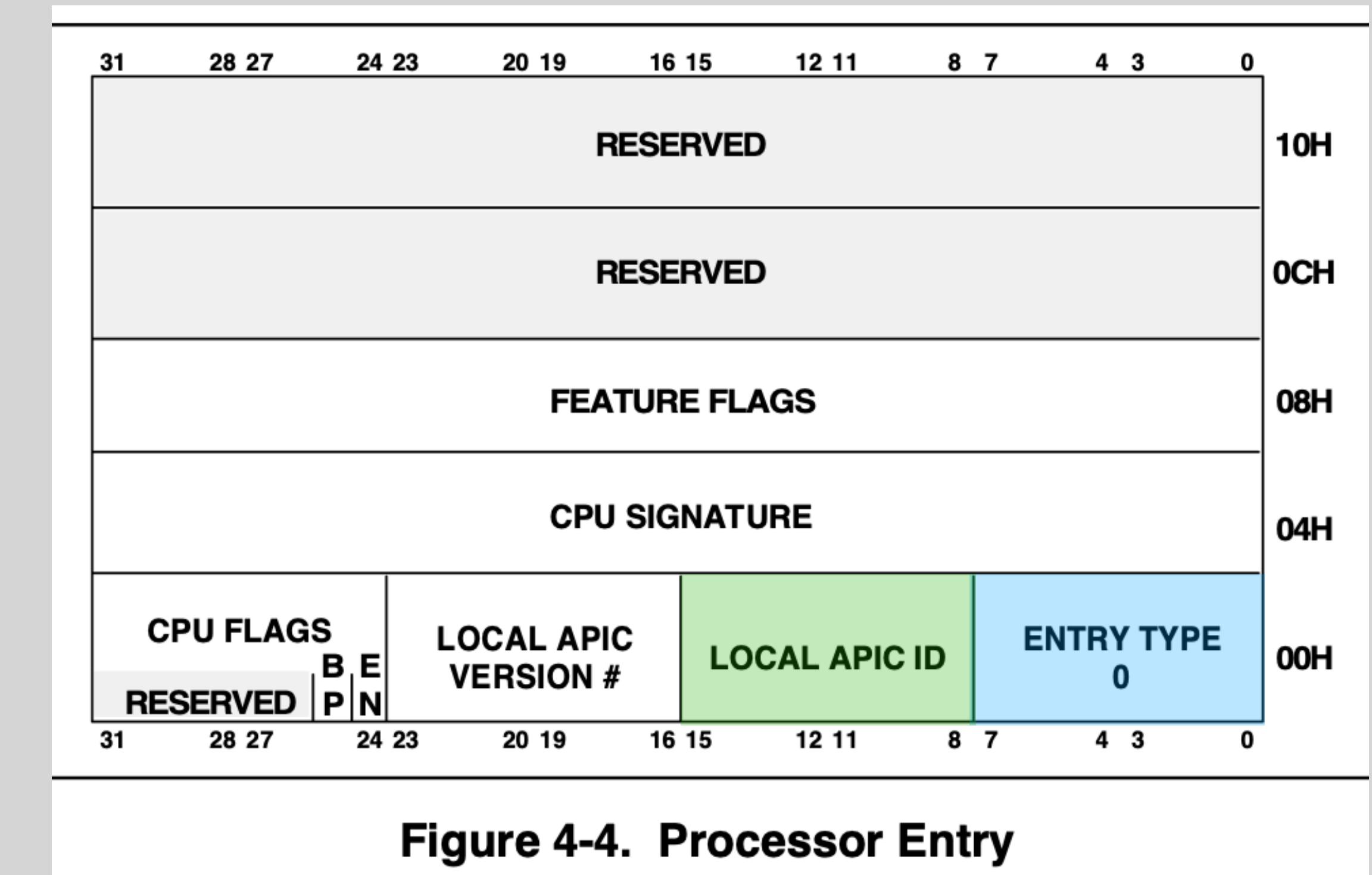
Figure 4-3. MP Configuration Table Header

# Discovering processors

```
#define MPPROC      0x00 // One per processor

for(p=(uchar*)(conf+1), e=(uchar*)conf+conf->length; p<e; ) {
switch(*p) {
    case MPPROC:
        proc = (struct mpproc*)p;
        if(ncpu < NCPU) {
            // Each CPU has a unique APICID
            cpus[ncpu].apicid = proc->apicid;
            ncpu++;
        }
        p += sizeof(struct mpproc);
        continue;
}
```

From Intel Multiprocessor Specification



# Where is LAPIC mapped?

- mpinit() initializes lpic address from MP configuration header

```
laptic = (uint*)conf->lapticaddr;
```

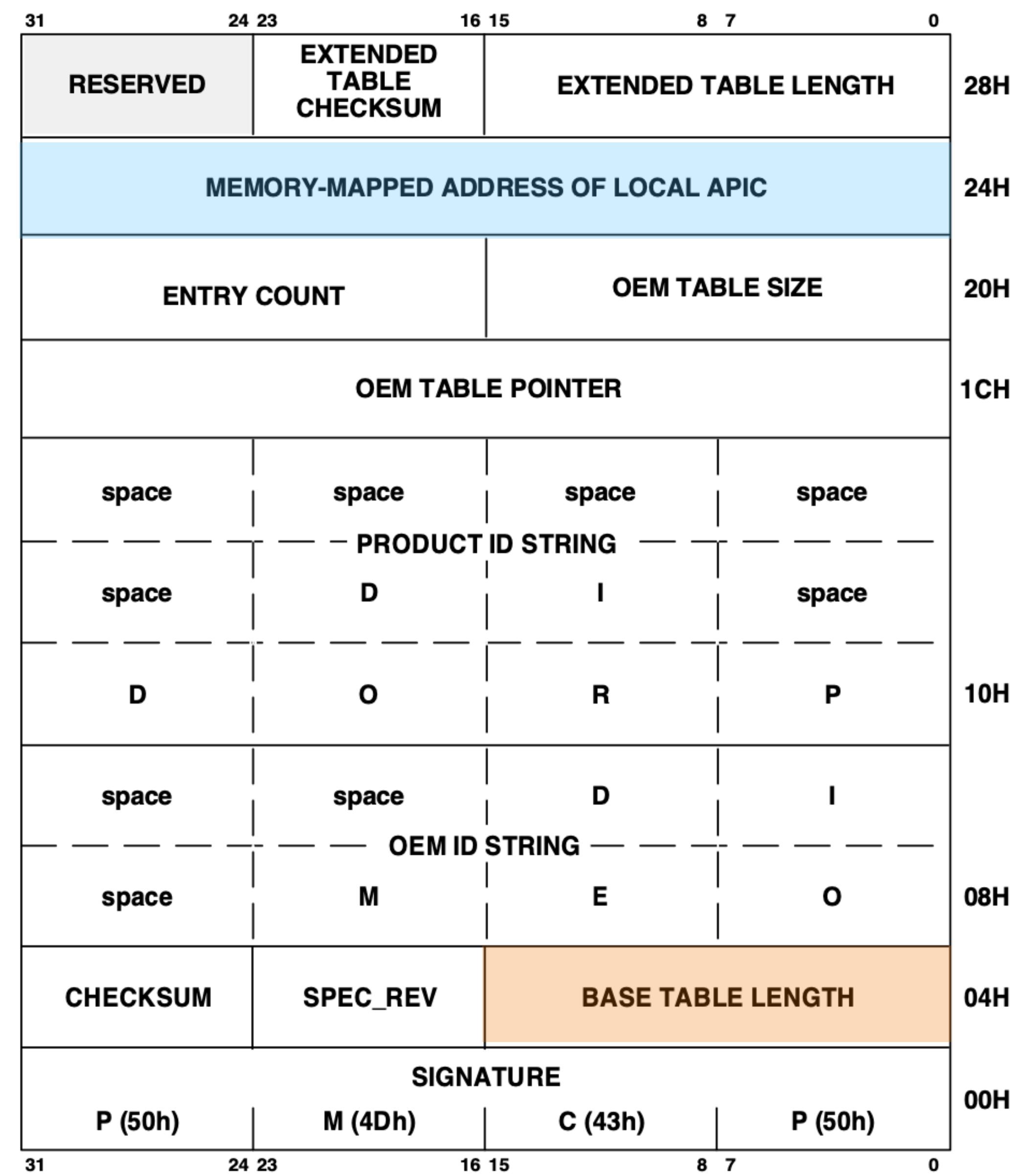


Figure 4-3. MP Configuration Table Header

# Set periodic timers in LAPIC

```
volatile uint *lapic;

static void lapicw(int index, int value){
    lapic[index] = value;
    lapic[ID]; // wait for write to finish, by reading
}

void lapicinit(void) {
    ...
    lapicw(TDCR, X1);
    lapicw(TIMER, PERIODIC | (T_IRQ0 + IRQ_TIMER));
    lapicw(TICR, 10000000);
}
```

LAPIC registers are described in Intel SDM Volume 3A, Table 10-1 in Section 10.4

# Interrupt enable flag

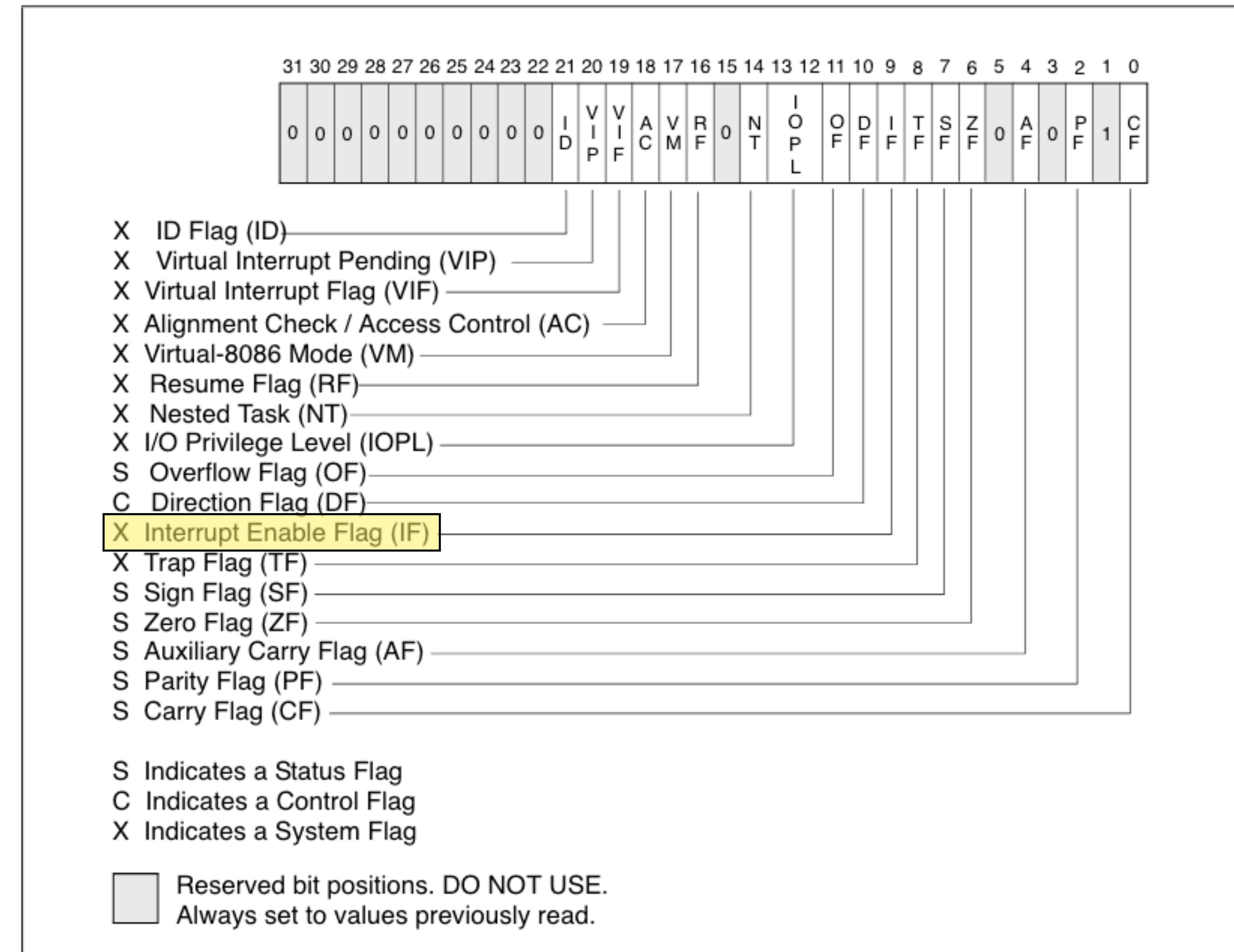


Figure 3-8. EFLAGS Register

# Interrupt enable flag

- cli: Clear interrupt flag

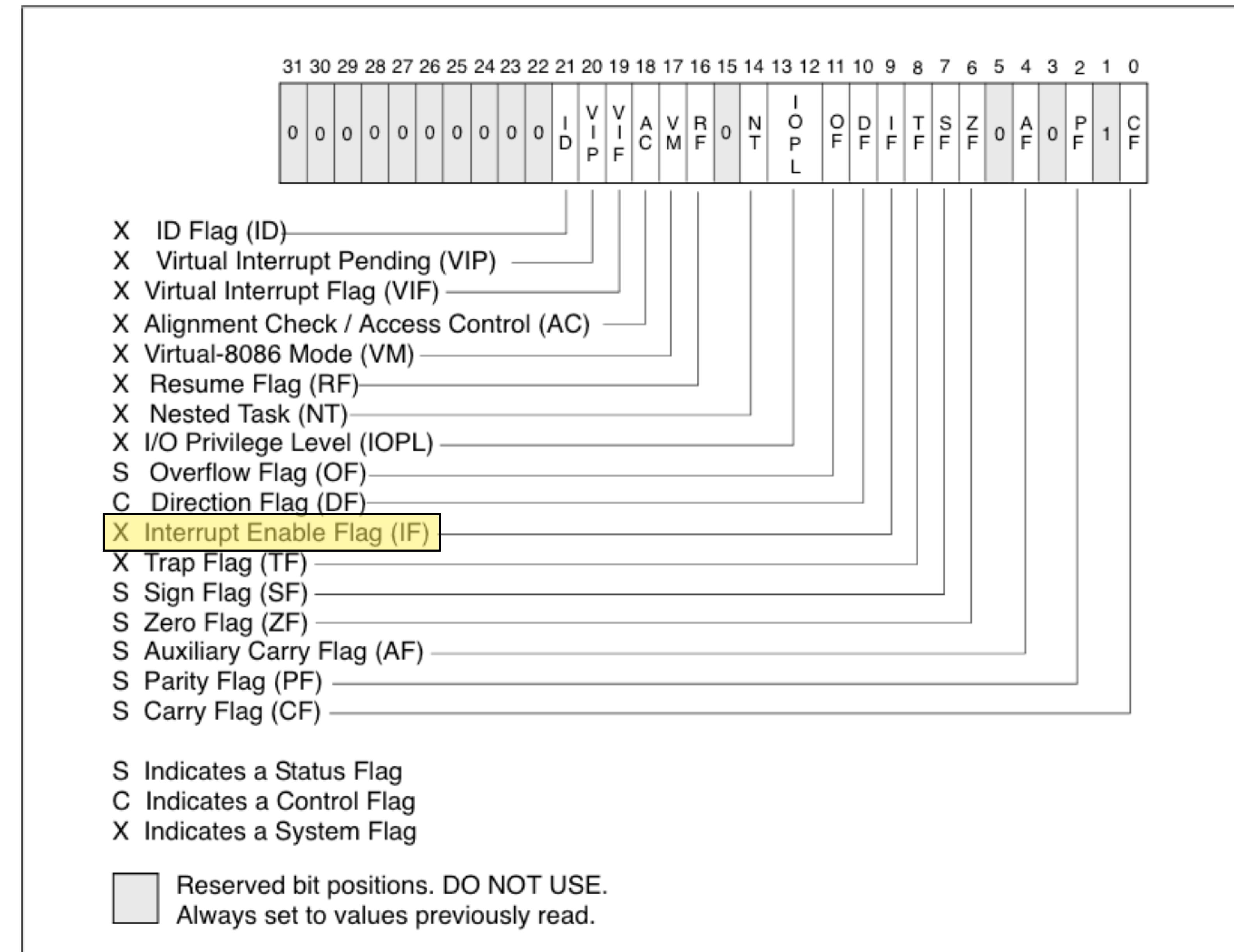


Figure 3-8. EFLAGS Register

# Interrupt enable flag

- cli: Clear interrupt flag
  - PICs are not allowed to interrupt

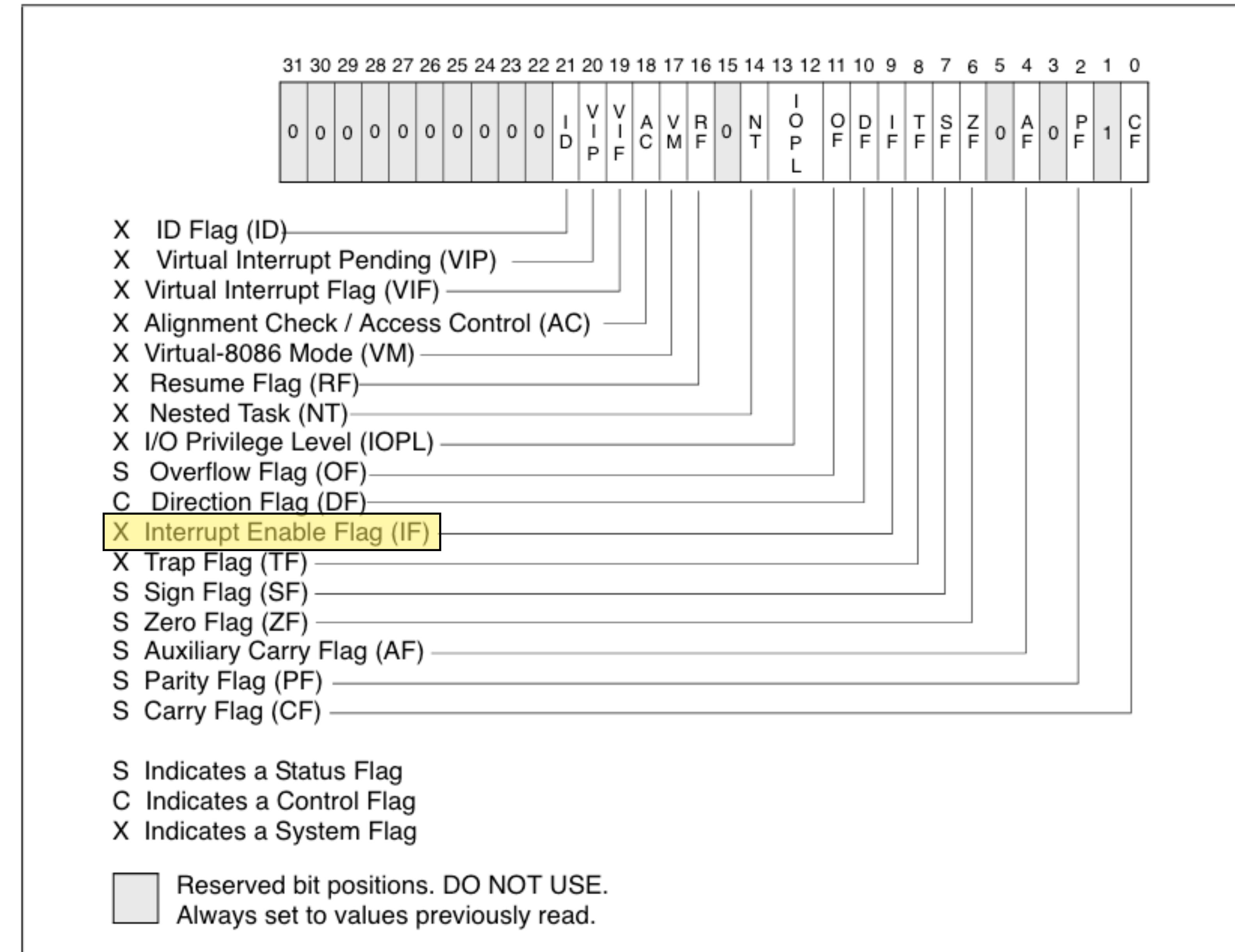


Figure 3-8. EFLAGS Register

# Interrupt enable flag

- cli: Clear interrupt flag
  - PICs are not allowed to interrupt
- sti: Set interrupt flag

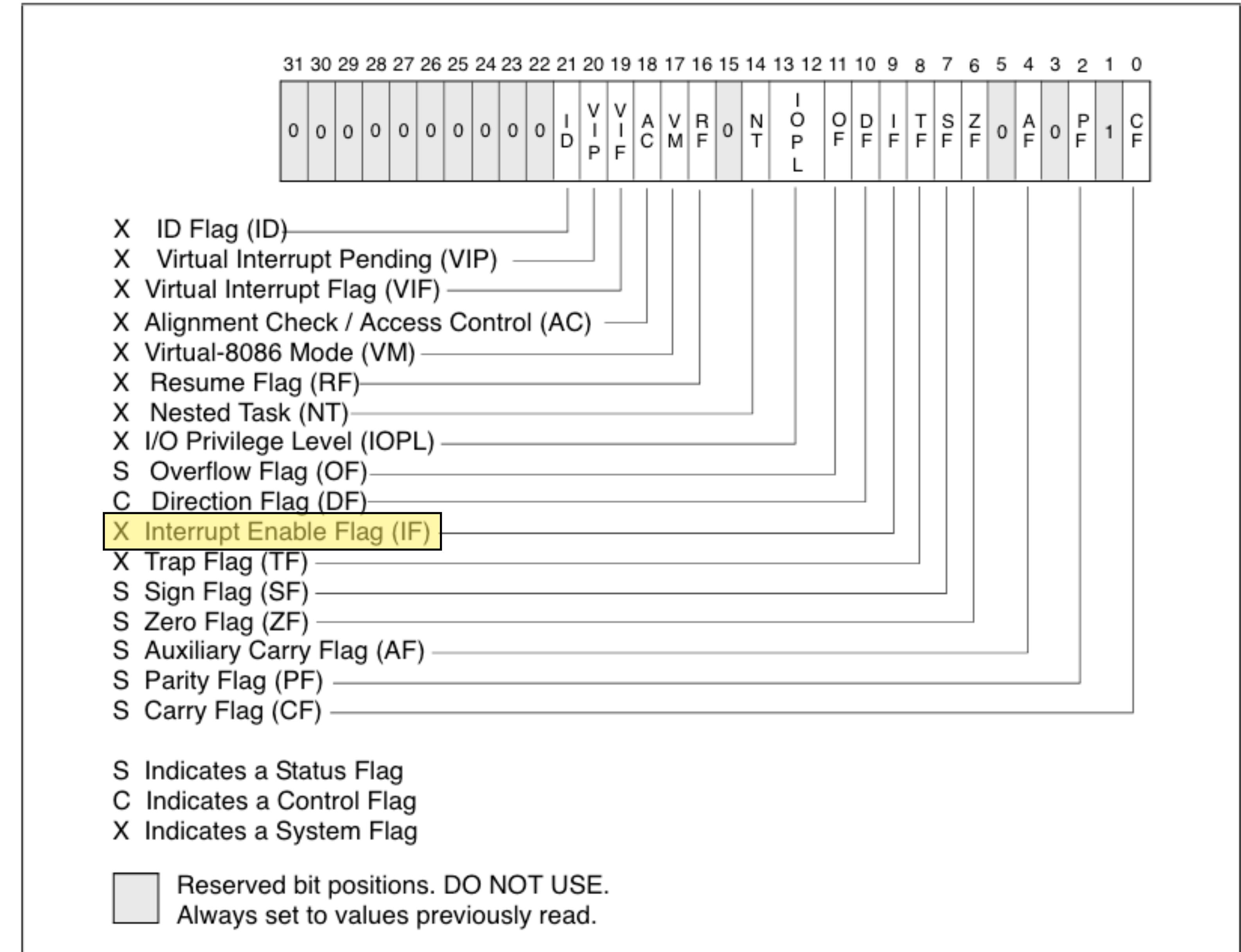


Figure 3-8. EFLAGS Register

# Interrupt handling in a nutshell

# Interrupt handling in a nutshell

- OS sets up “interrupt descriptor table” (IDT)

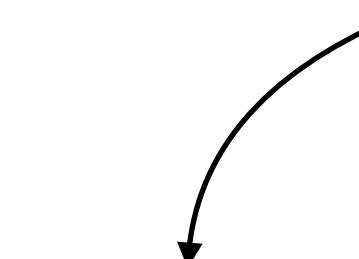
# Interrupt handling in a nutshell

- OS sets up “interrupt descriptor table” (IDT)
- Points IDTR to IDT using LIDT instruction

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IDTR: Interrupt descriptor table register

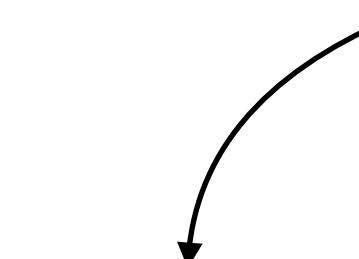


S.No.*	cs	eip
0x01	...	...
0x02	0x8	0xFF
...	...	...

# Interrupt handling in a nutshell

- OS sets up “interrupt descriptor table” (IDT)
- Points IDTR to IDT using LIDT instruction
- When interrupt occurs, jump %eip to interrupt handler, handle interrupt, tell LAPIC about end of interrupt, resume what we were doing

IDTR: Interrupt descriptor table register



S.No.*	cs	eip
0x01	...	...
0x02	0x8	0xFF
...	...	...

# Interrupt handling in a nutshell

- OS sets up “interrupt descriptor table” (IDT)
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IDTR: Interrupt descriptor table register

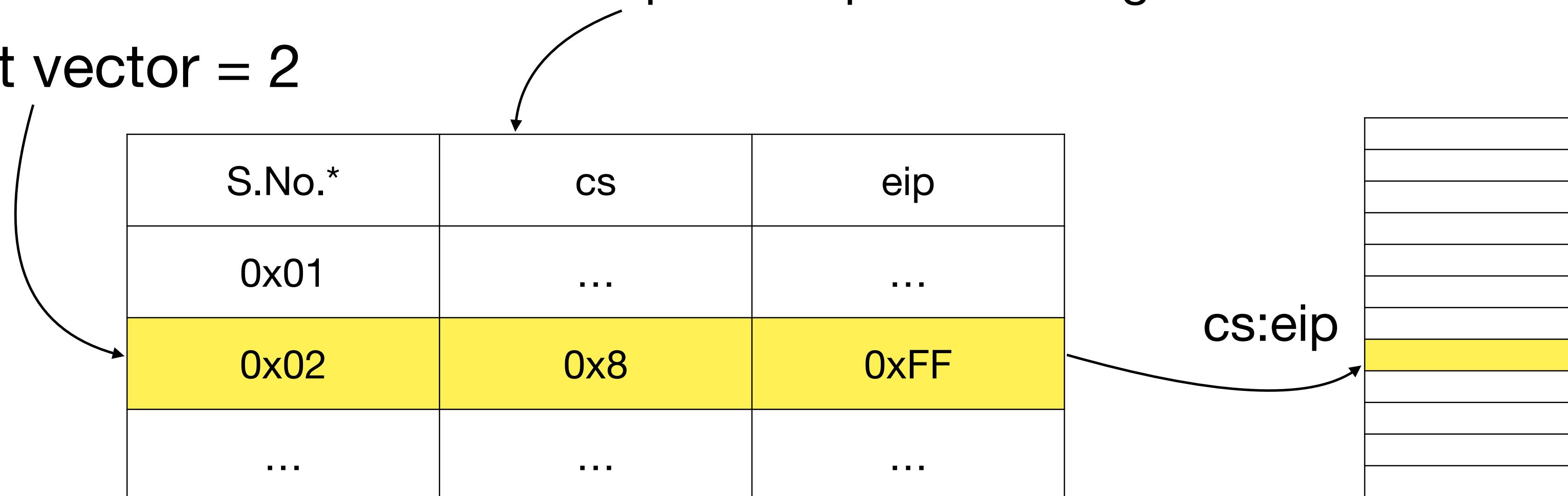
Interrupt vector = 2

S.No.*	cs	eip
0x01	...	...
0x02	0x8	0xFF
...	...	...

# Interrupt handling in a nutshell

- OS sets up “interrupt descriptor table” (IDT)
- Points IDTR to IDT using LIDT instruction
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IDTR: Interrupt descriptor table register  
Interrupt vector = 2



# Interrupt descriptor table

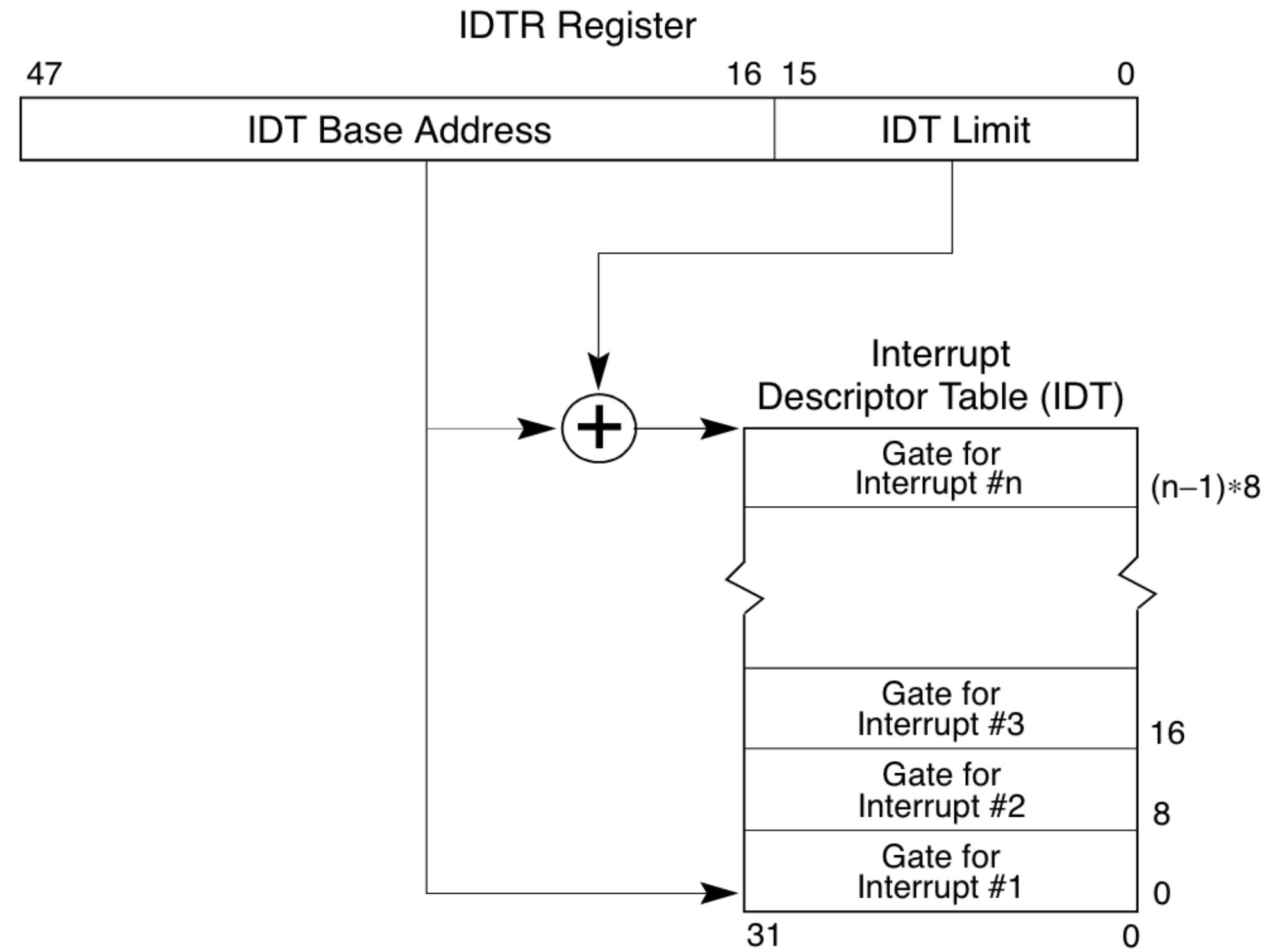


Figure 6-1. Relationship of the IDTR and IDT

# Interrupt descriptor table

- Interrupt descriptor table register (IDTR) points to interrupt descriptor table in memory

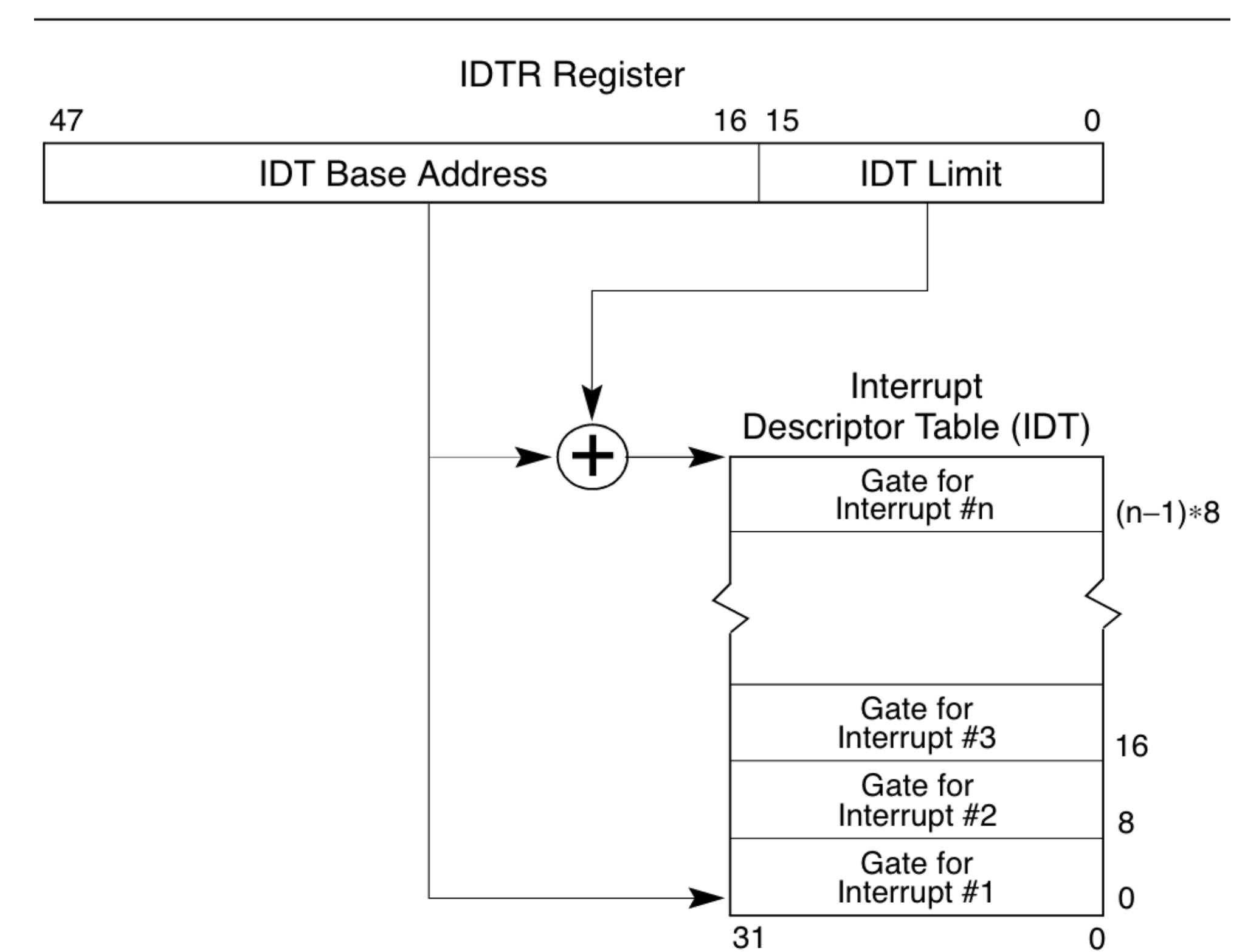


Figure 6-1. Relationship of the IDTR and IDT

# Interrupt descriptor table

- Interrupt descriptor table register (IDTR) points to interrupt descriptor table in memory
- OS sets up IDT and initialises IDTR using LIDT instruction

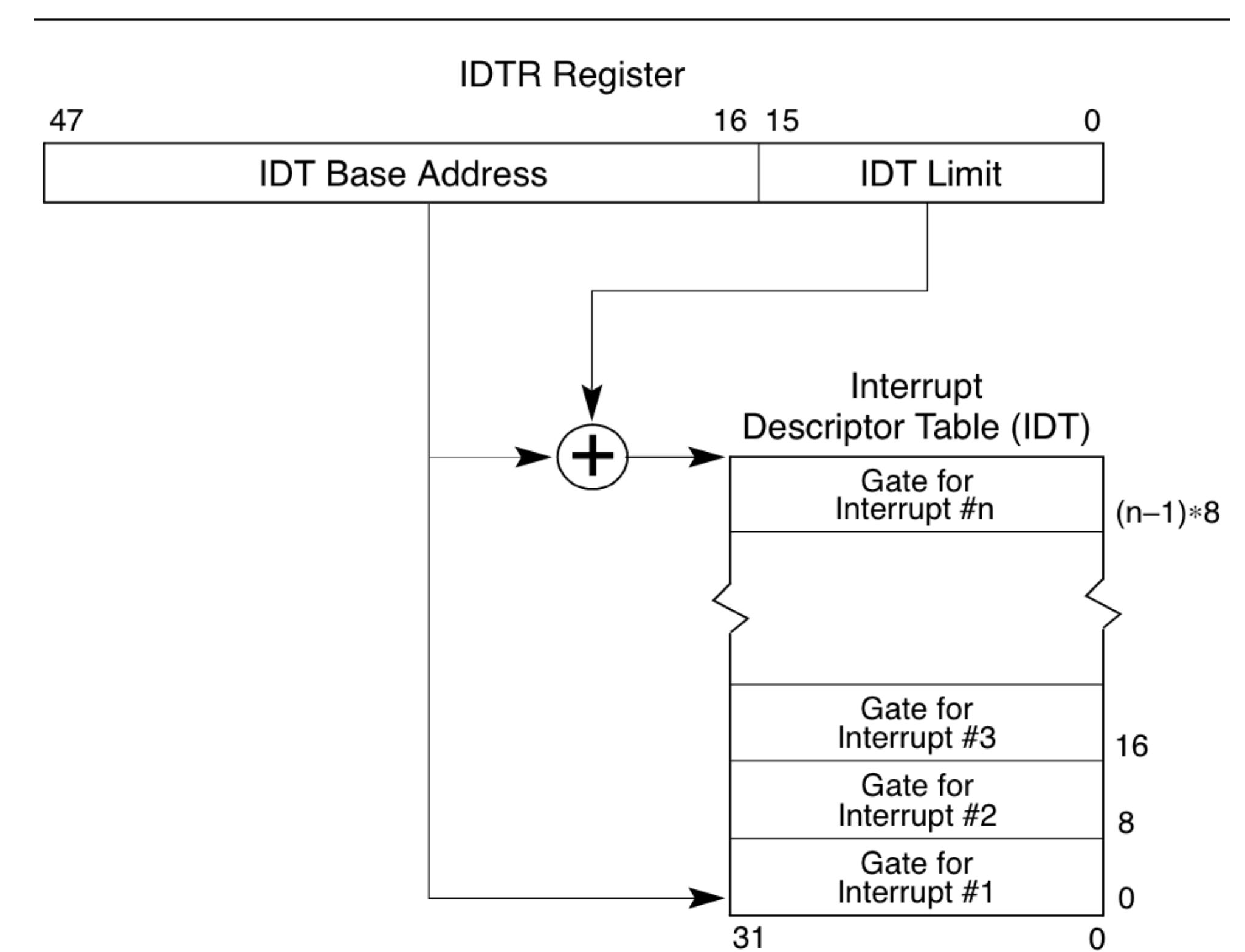


Figure 6-1. Relationship of the IDTR and IDT

# Interrupt descriptor table

- Interrupt descriptor table register (IDTR) points to interrupt descriptor table in memory
- OS sets up IDT and initialises IDTR using LIDT instruction
- Interrupt descriptor table has one entry for each interrupt vector (upto  $2^8=256$ )

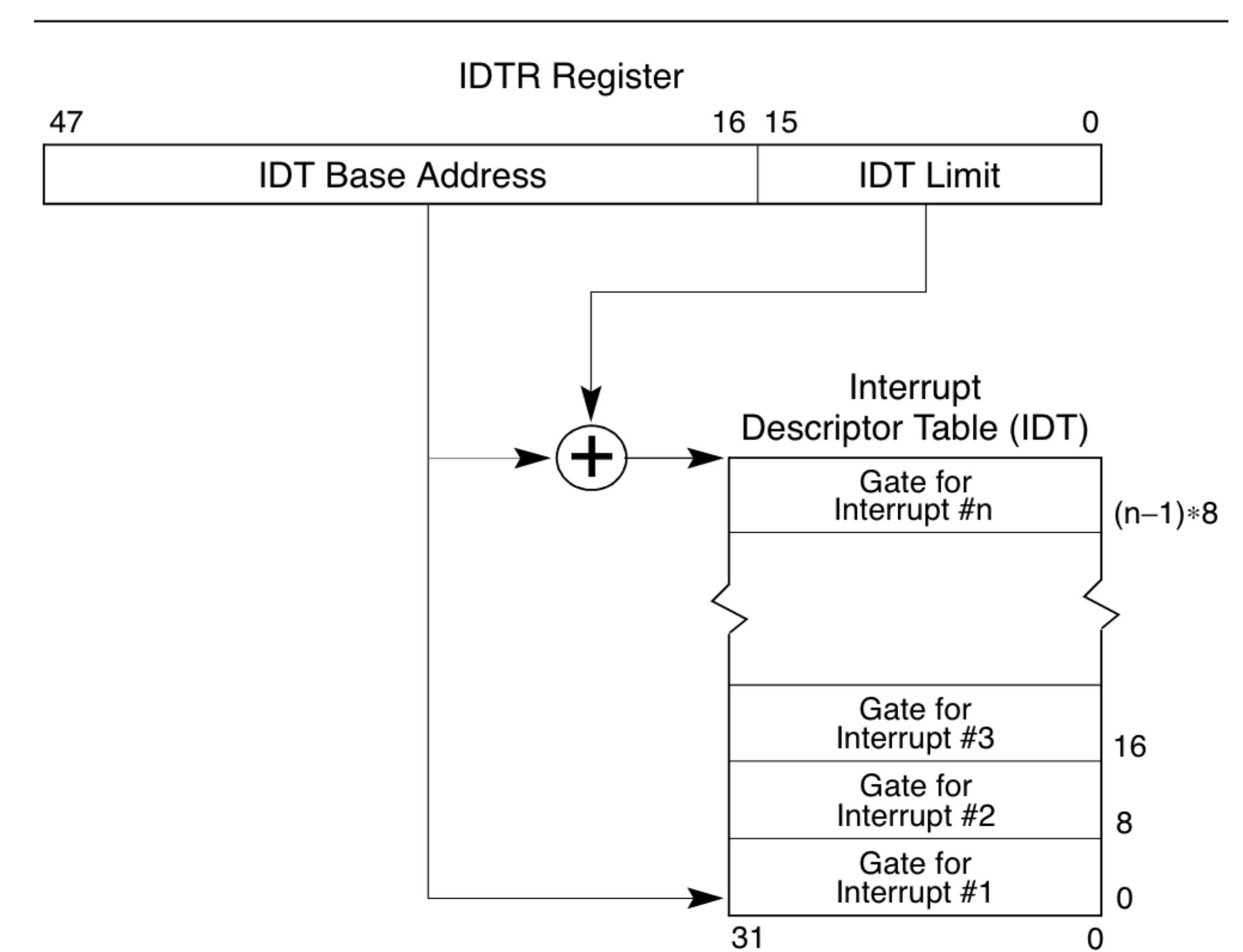
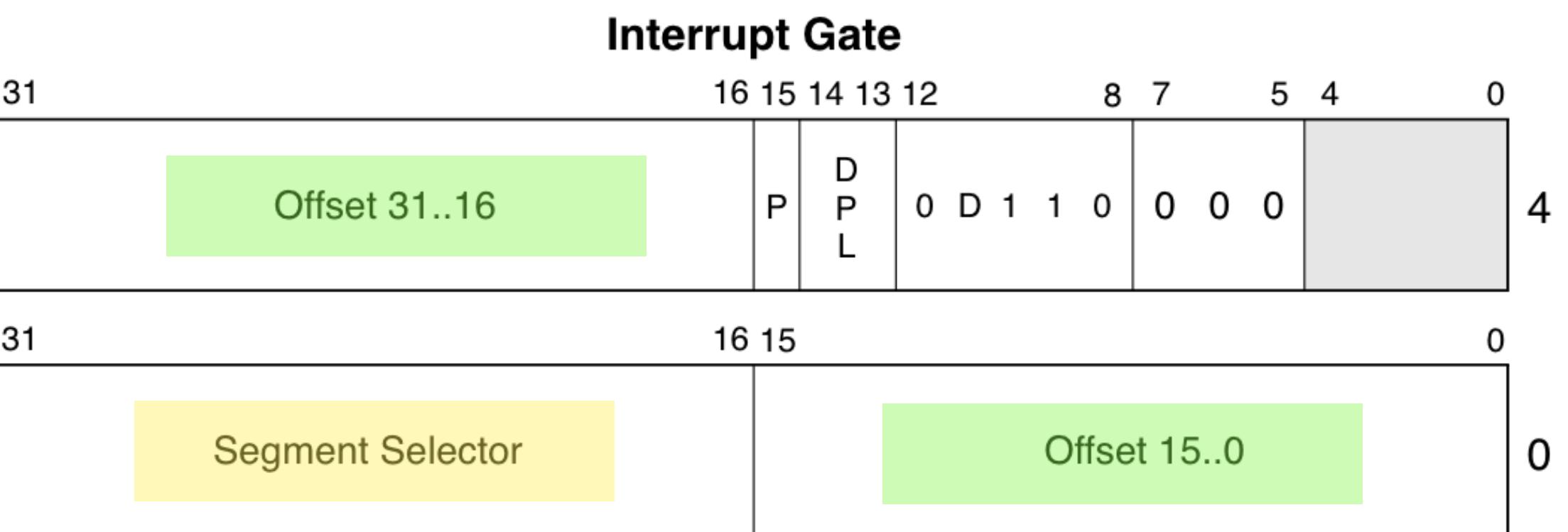
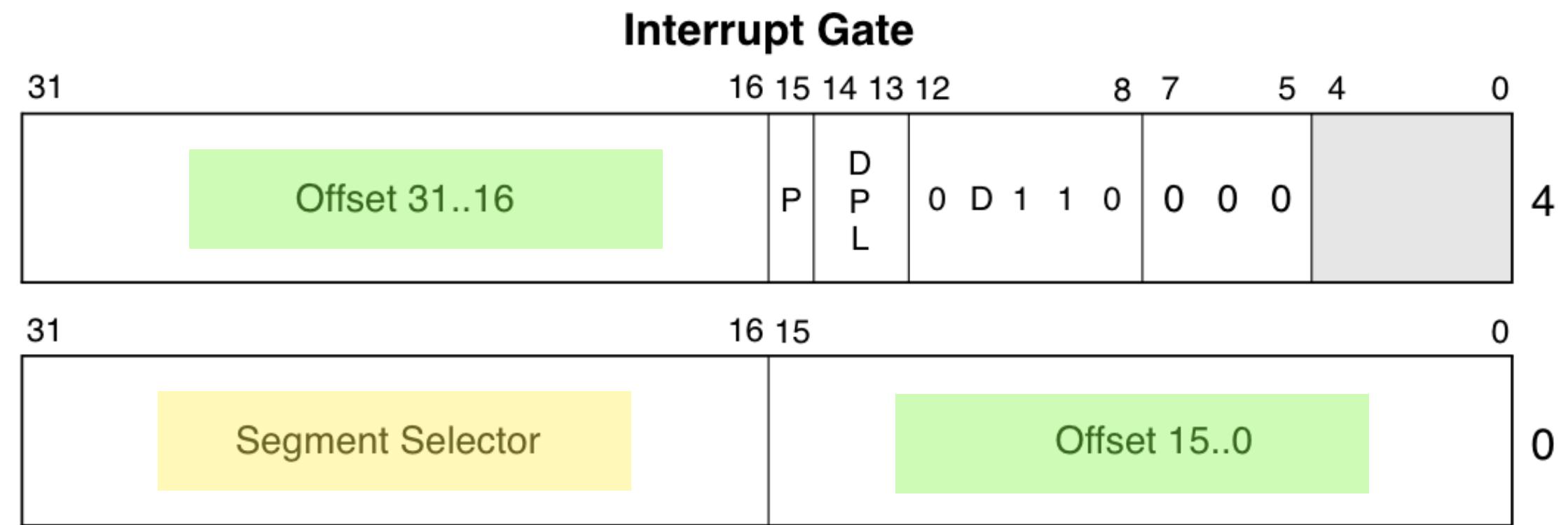


Figure 6-1. Relationship of the IDTR and IDT

# Interrupt descriptor table (2)

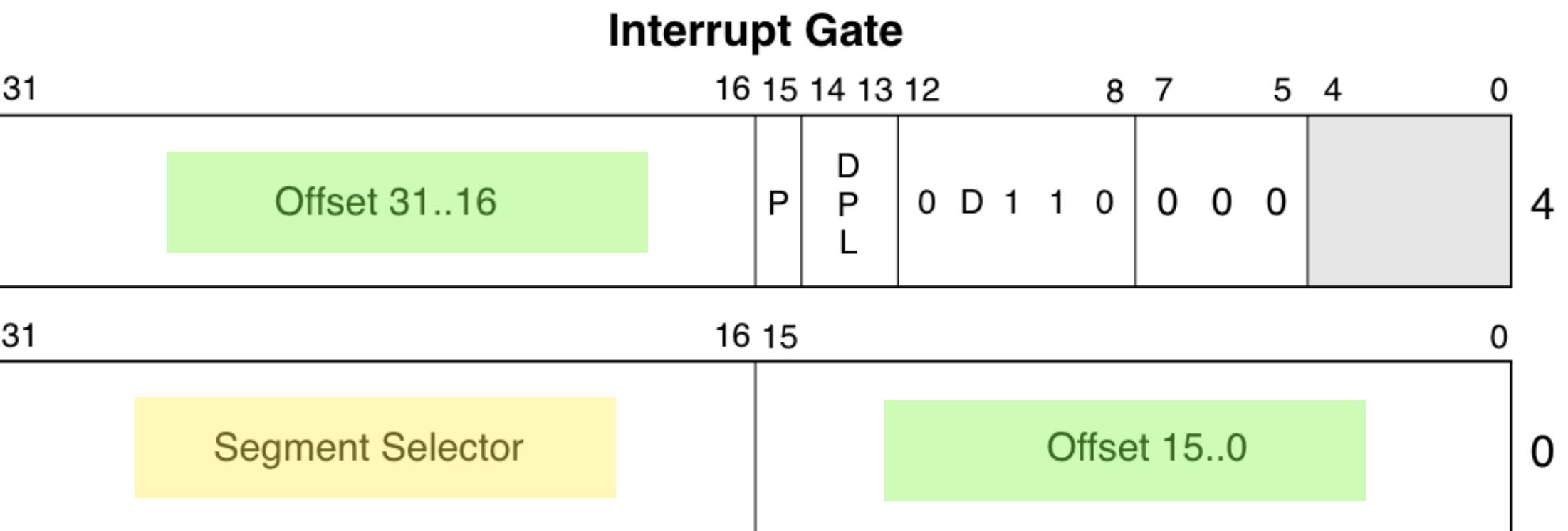


# Interrupt descriptor table (2)



- Each IDT entry is 64-bits. Contains code segment and eip

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- When interrupt appears, hardware changes CS and EIP to the one pointed by IDT entry

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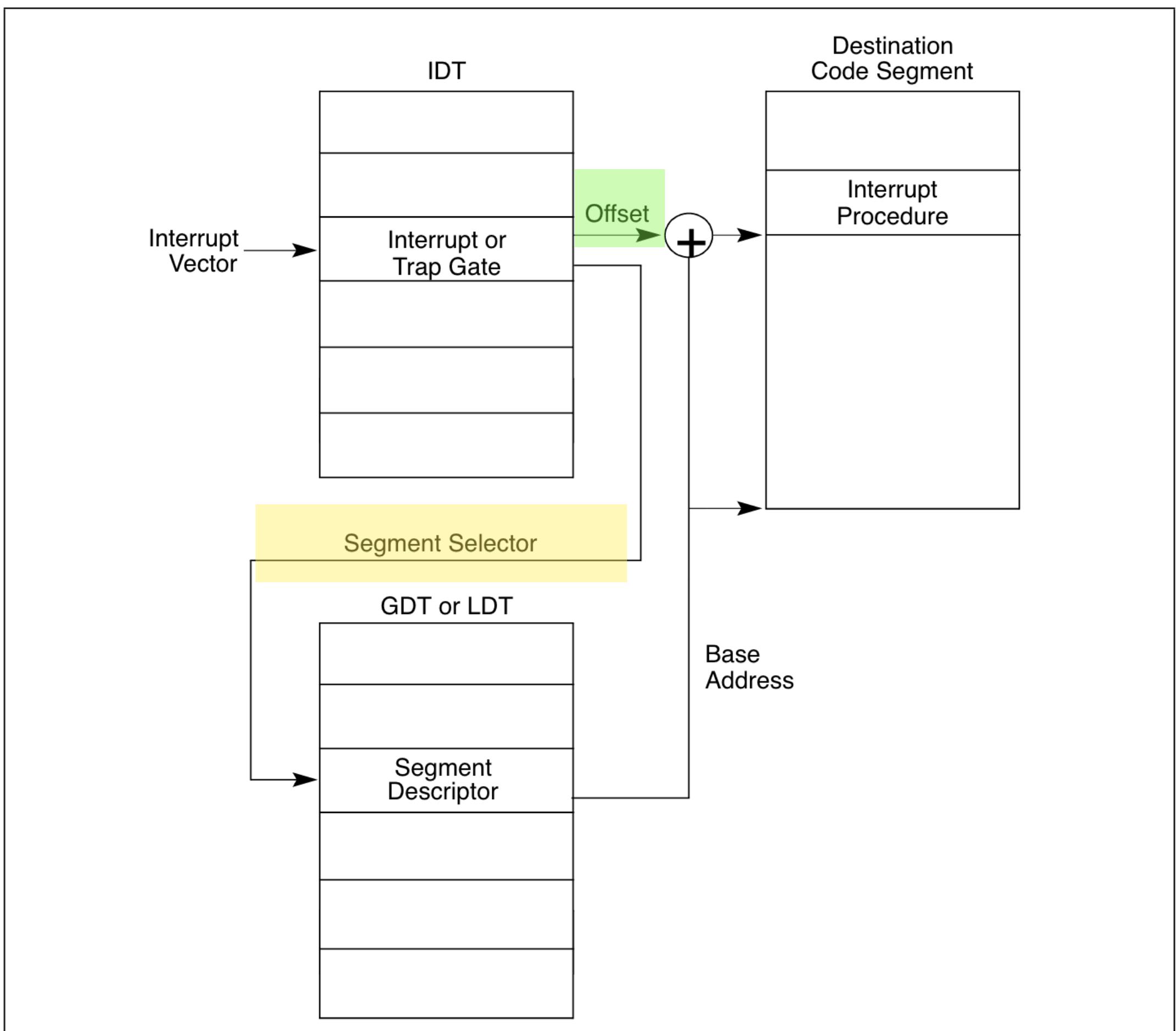
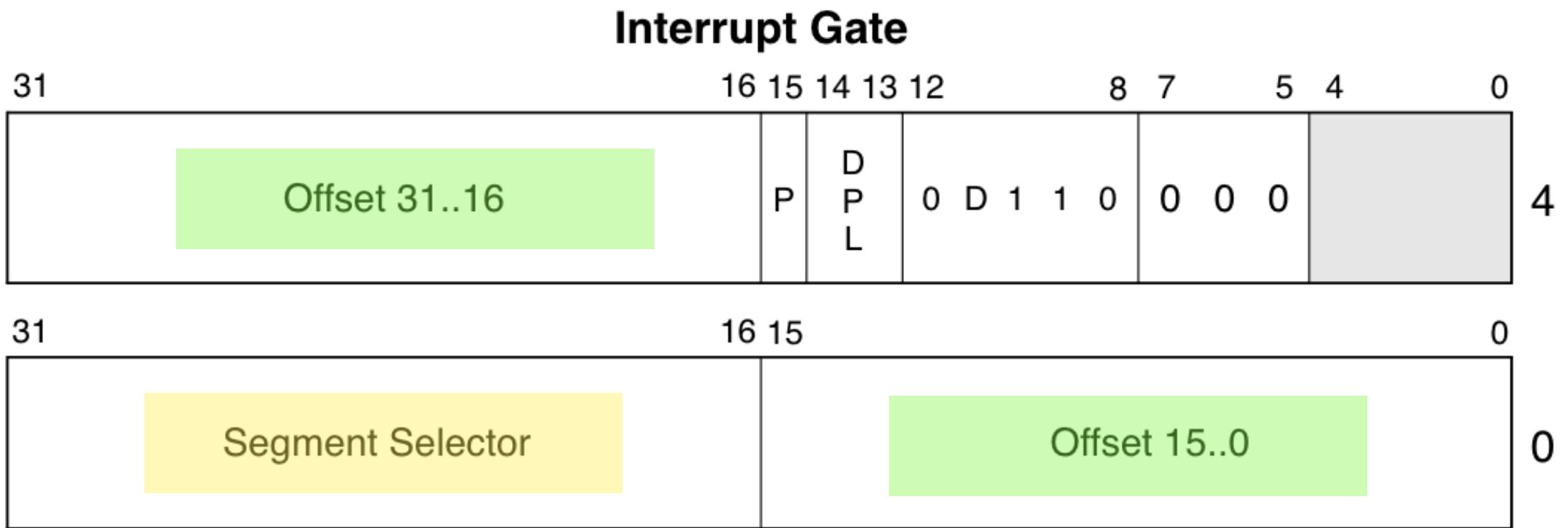
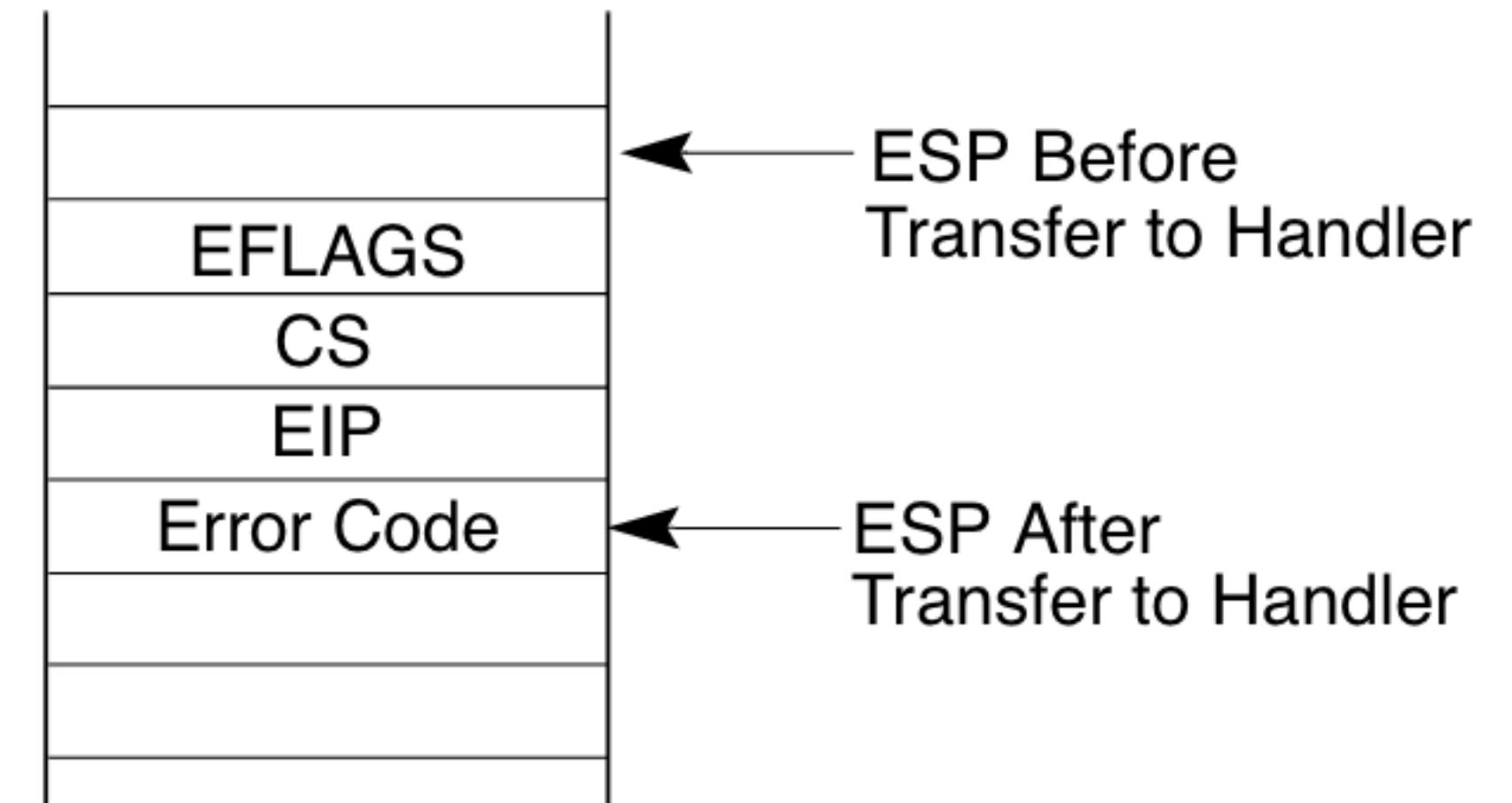


Figure 6-3. Interrupt Procedure Call

# Interrupt handling

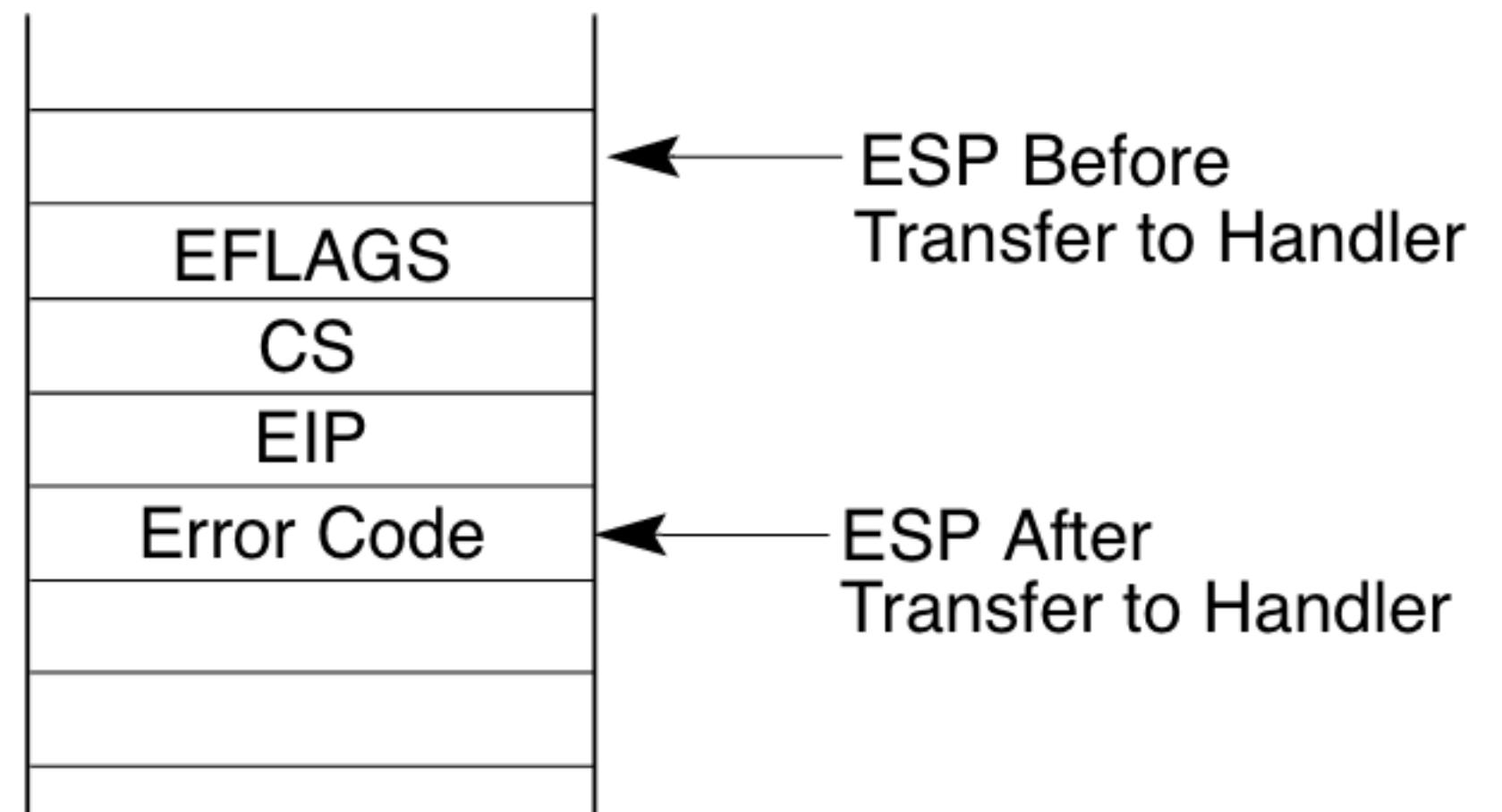
Interrupted Procedure's  
and Handler's Stack



# Interrupt handling

- On an interrupt, hardware pushes old EFLAGS, CS and EIP on the stack

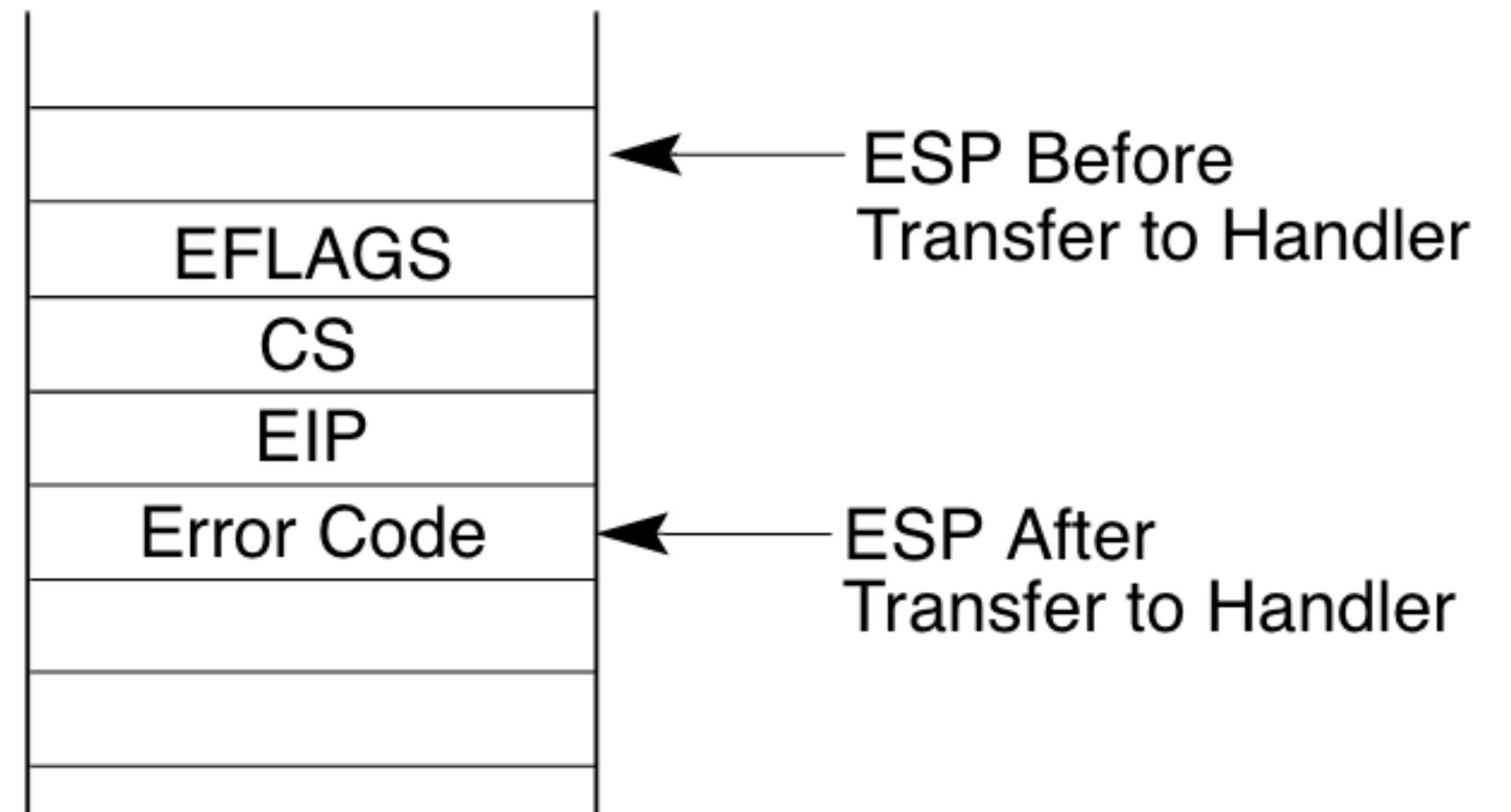
Interrupted Procedure's  
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# Interrupt handling

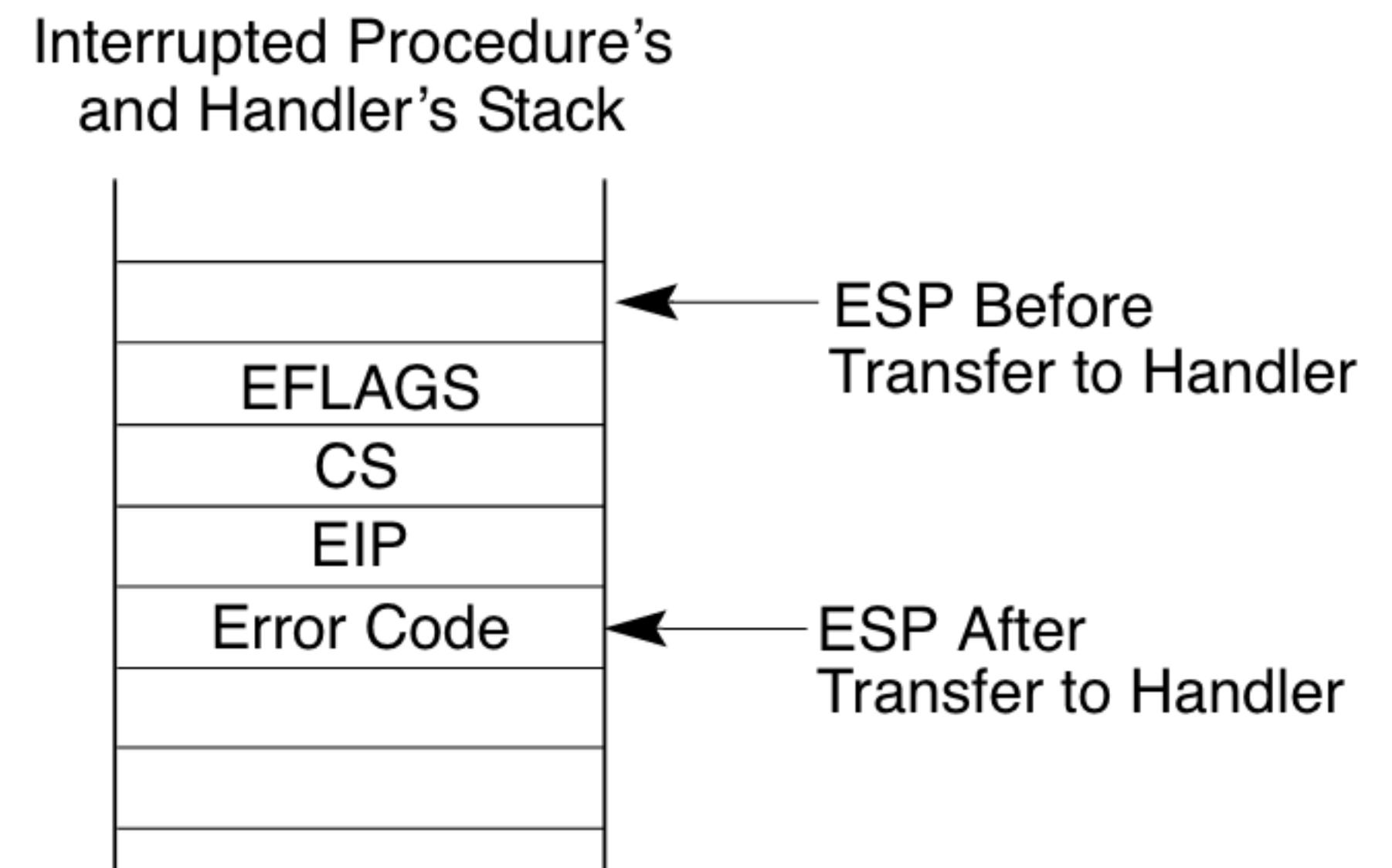
- On an interrupt, hardware pushes old EFLAGS, CS and EIP on the stack
- Jumps CS and EIP according to IDT

Interrupted Procedure's  
and Handler's Stack



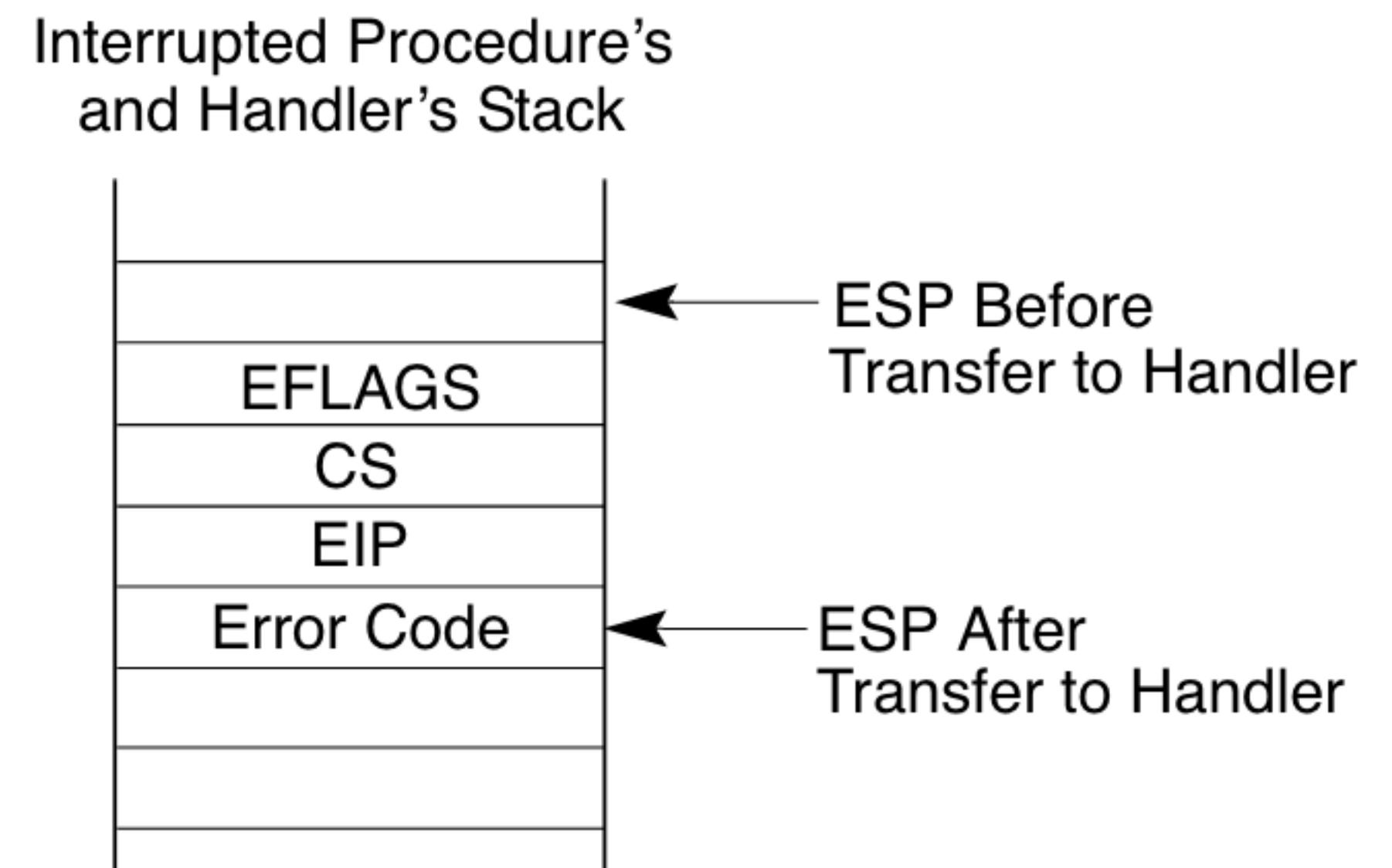
# Interrupt handling

- On an interrupt, hardware pushes old EFLAGS, CS and EIP on the stack
- Jumps CS and EIP according to IDT
- IRET instruction (similar to RET instruction) restores CS, EIP, EFLAGS, ESP



# Interrupt handling

- On an interrupt, hardware pushes old EFLAGS, CS and EIP on the stack
- Jumps CS and EIP according to IDT
- IRET instruction (similar to RET instruction) restores CS, EIP, EFLAGS, ESP
- Interrupt handler may push more registers, like eax etc. on the stack.



# Code walkthrough

- Setting up IDT:
  - vectors.pl creates 256 IDT entries. ‘i’th entry write ‘i’ on top of the stack and jumps to ‘alltraps’
  - main.c calls tvinit and idtinit to setup interrupt descriptor table to populate the 256 entries and point IDTR to IDT. It calls sti to receive interrupts.
- Handling interrupts
  - ‘alltraps’ in trapasm.S runs ‘pushal’ to save general purpose registers. Then it calls ‘trap’ with the trapframe.
  - ‘trap’ in ‘trapasm.S’ reads trapno saved by vectors.S to find out which interrupt occurred. It handles timer and spurious interrupts. It signals EOI to LAPIC when it is done with interrupt.
  - trapasm recovers registers with popal, backs up esp above err code and trap number, executes IRET to jump back to whatever OS was doing earlier

# Setting up IDT

**vectors.S**

```
.globl vector0  
vector0:
```

```
    pushl $0
```

```
    pushl $0
```

```
    jmp alltraps
```

```
.globl vector1
```

```
vector1:
```

```
...
```

```
.data
```

```
.globl vectors
```

**vectors:**

```
    .long vector0
```

```
    .long vector1
```

# Setting up IDT

- vectors.S creates 256 interrupt handlers. All of them jump to `alltraps`

```
vectors.S  
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps  
.globl vector1  
vector1:  
...  
.data  
.globl vectors  
vectors:  
    .long vector0  
    .long vector1
```

# Setting up IDT

- vectors.S creates 256 interrupt handlers. All of them jump to `alltraps`

```
vectors.S  
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps  
.globl vector1  
vector1:  
...  
.data  
.globl vectors  
vectors:  
    .long vector0  
    .long vector1
```

# Setting up IDT

- vectors.S creates 256 interrupt handlers. All of them jump to `alltraps`
- vectors.S creates a `vectors` array containing the addresses of these 256 interrupt handlers

```
vectors.S  
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps  
.globl vector1  
vector1:  
    ...  
  
.data  
.globl vectors  
vectors:  
    .long vector0  
    .long vector1
```

# Setting up IDT

```
$ vim kernel.asm
$ vim kernel.sym
00100cb1 <vector0>
00100cba vector1
00102000 vectors
$ vim kernel.asm
00100cb1 <vector0>:
100cb1: 6a 00          push $0x0
100cb3: 6a 00          push $0x0
100cb5: e9 e6 fe ff ff jmp 100ba0 <alltraps>
$ objdump -s --start-address=0x00102000 --stop-address=0x00102100 kernel
kernel:      file format elf32-i386
```

Contents of section .data:

102000 b10c1000 ba0c1000 c30c1000 cc0c1000

# Setting up IDT

- vectors.S creates a **vectors** array containing the addresses of these 256 interrupt handlers

```
$ vim kernel.asm  
00100cb1 <vector0>:  
    100cb1: 6a 00          push $0x0  
    100cb3: 6a 00          push $0x0  
    100cb5: e9 e6 fe ff ff jmp  100ba0 <alltraps>  
  
$ vim kernel.sym  
00100cb1 vector0  
00100cba vector1  
00102000 vectors
```

```
$ objdump -s --start-address=0x00102000 --stop-address=0x00102100 kernel  
kernel:      file format elf32-i386
```

Contents of section .data:

```
102000 b10c1000 ba0c1000 c30c1000 cc0c1000
```

# Setting up IDT

```
struct gatedesc idt[256];  
// in vectors.S: array of 256 entry pointers  
extern uint vectors[];  
  
void tvinit(void) {  
    int i;  
    for(i = 0; i < 256; i++)  
        SETGATE(idt[i], 0, SEG_KCODE<<3, vectors[i], 0);  
}  
  
void idtinit(void) {  
    lidt(idt, sizeof(idt));  
}
```

```
int main(void) {  
    ...  
    tvinit();           // trap vectors  
    idtinit();         // load idt register  
    sti();
```

# Setting up IDT

- tvinit sets up **interrupt descriptor table**. In ith IDT entry,

```
struct gatedesc idt[256];  
// in vectors.S: array of 256 entry pointers  
extern uint vectors[];  
  
void tvinit(void) {  
    int i;  
    for(i = 0; i < 256; i++)  
        SETGATE(idt[i], 0, SEG_KCODE<<3, vectors[i], 0);  
}  
  
void idtinit(void) {  
    lidt(idt, sizeof(idt));  
}
```

```
int main(void) {  
    ...  
    tvinit();           // trap vectors  
    idtinit();         // load idt register  
    sti();
```

# Setting up IDT

- tvinit sets up **interrupt descriptor table**. In ith IDT entry,
  - set **cs=SEG\_KCODE<<3**

```
struct gatedesc idt[256];  
// in vectors.S: array of 256 entry pointers  
extern uint vectors[];  
  
void tvinit(void) {  
    int i;  
    for(i = 0; i < 256; i++)  
        SETGATE(idt[i], 0, SEG_KCODE<<3, vectors[i], 0);  
}  
  
void idtinit(void) {  
    lidt(idt, sizeof(idt));  
}
```

```
int main(void) {  
    ...  
    tvinit();           // trap vectors  
    idtinit();         // load idt register  
    sti();
```

# Setting up IDT

- tvinit sets up **interrupt descriptor table**. In ith IDT entry,
  - set **cs=SEG\_KCODE<<3**
  - **eip = vectors[i]**

```
struct gatedesc idt[256];  
// in vectors.S: array of 256 entry pointers  
extern uint vectors[];  
  
void tvinit(void) {  
    int i;  
    for(i = 0; i < 256; i++)  
        SETGATE(idt[i], 0, SEG_KCODE<<3, vectors[i], 0);  
}  
  
void idtinit(void) {  
    lidt(idt, sizeof(idt));  
}
```

```
int main(void) {  
    ...  
    tvinit();           // trap vectors  
    idtinit();         // load idt register  
    sti();
```

# Setting up IDT

- tvinit sets up **interrupt descriptor table**. In ith IDT entry,
  - set **cs=SEG\_KCODE<<3**
  - **eip = vectors[i]**
- idtinit sets **IDTR**

```
struct gatedesc idt[256];  
// in vectors.S: array of 256 entry pointers  
extern uint vectors[];  
  
void tvinit(void) {  
    int i;  
    for(i = 0; i < 256; i++)  
        SETGATE(idt[i], 0, SEG_KCODE<<3, vectors[i], 0);  
}  
  
void idtinit(void) {  
    lidt(idt, sizeof(idt));  
}
```

```
int main(void) {  
    ...  
    tvinit();           // trap vectors  
    idtinit();         // load idt register  
    sti();
```

# Setting up IDT

- tvinit sets up **interrupt descriptor table**. In ith IDT entry,
  - set **cs=SEG\_KCODE<<3**
  - **eip = vectors[i]**
- idtinit sets **IDTR**
- sti enables interrupts

```
struct gatedesc idt[256];  
// in vectors.S: array of 256 entry pointers  
extern uint vectors[];  
  
void tvinit(void) {  
    int i;  
    for(i = 0; i < 256; i++)  
        SETGATE(idt[i], 0, SEG_KCODE<<3, vectors[i], 0);  
}  
  
void idtinit(void) {  
    lidt(idt, sizeof(idt));  
}
```

trap.c

```
int main(void) {  
    ...  
    tvinit();           // trap vectors  
    idtinit();         // load idt register  
    sti();
```

main.c

# Visualizing interrupt handling

**main.c**

```
eip → main.c
for(;;)
    wfi();
```

**trap.c**

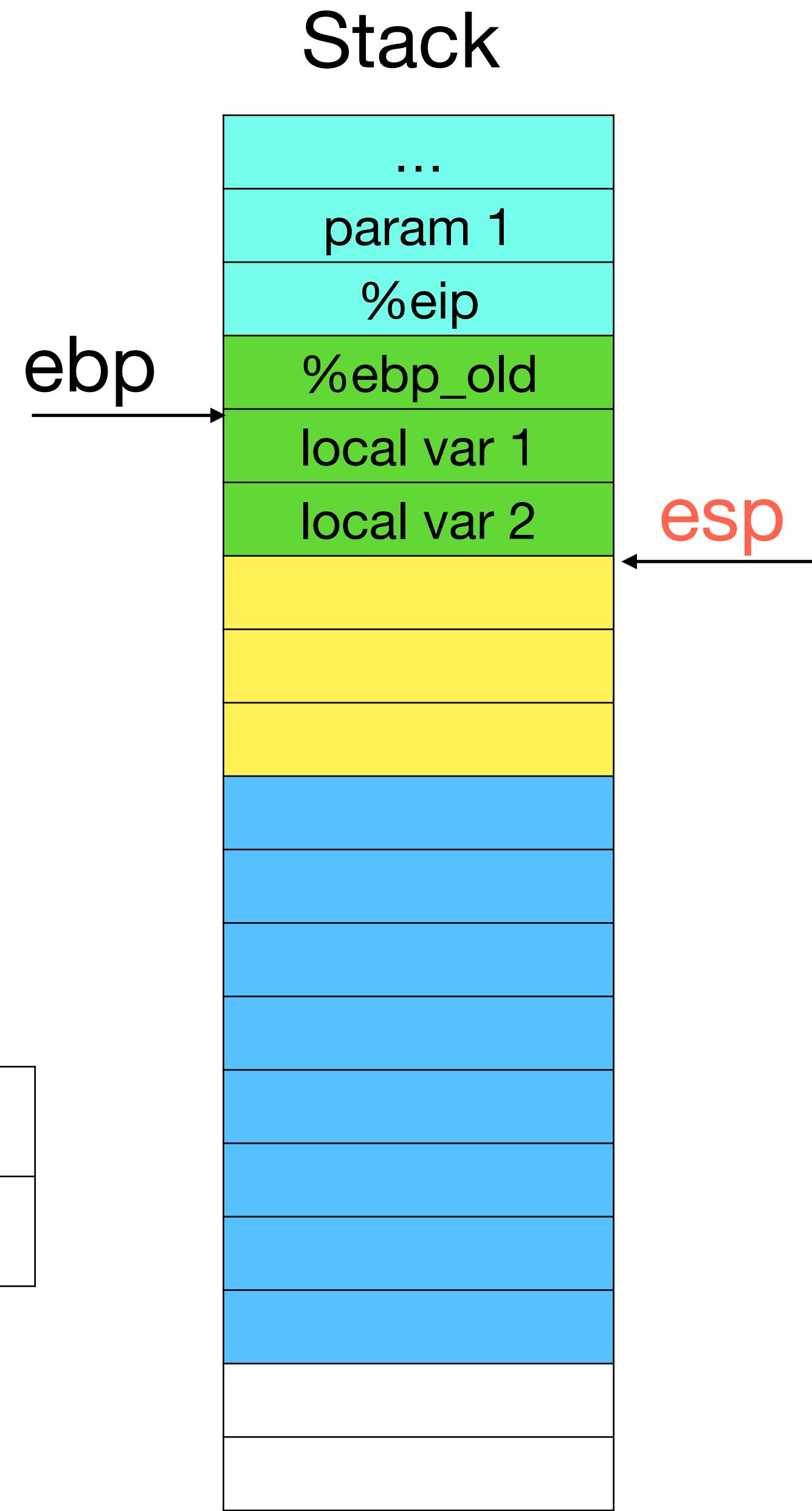
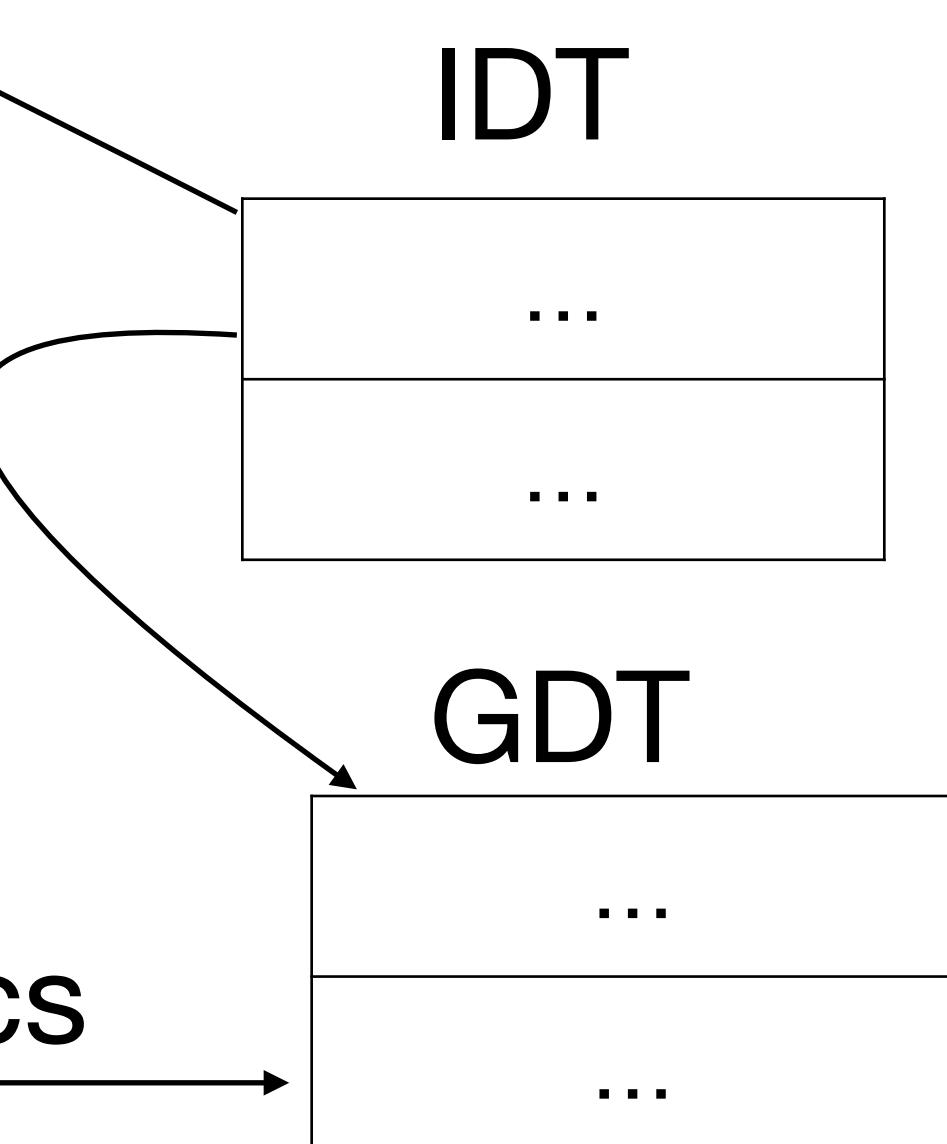
```
void
trap(struct trapframe *tf)
{
    switch(tf->trapno){
        case T_IRQ0 + IRQ_TIMER:
            ticks++;
            cprintf("Tick! %d\n", ticks);
            lapiceoi();
    }
    ...
return
```

**vectors.S**

```
.globl vector0
vector0:
    pushl $0
    pushl $0
    jmp alltraps
```

**trapasm.S**

```
alltraps:
    pushal
    pushl %esp
    call trap
    addl $4, %esp
    popal
    addl $0x8, %esp
    iret
```



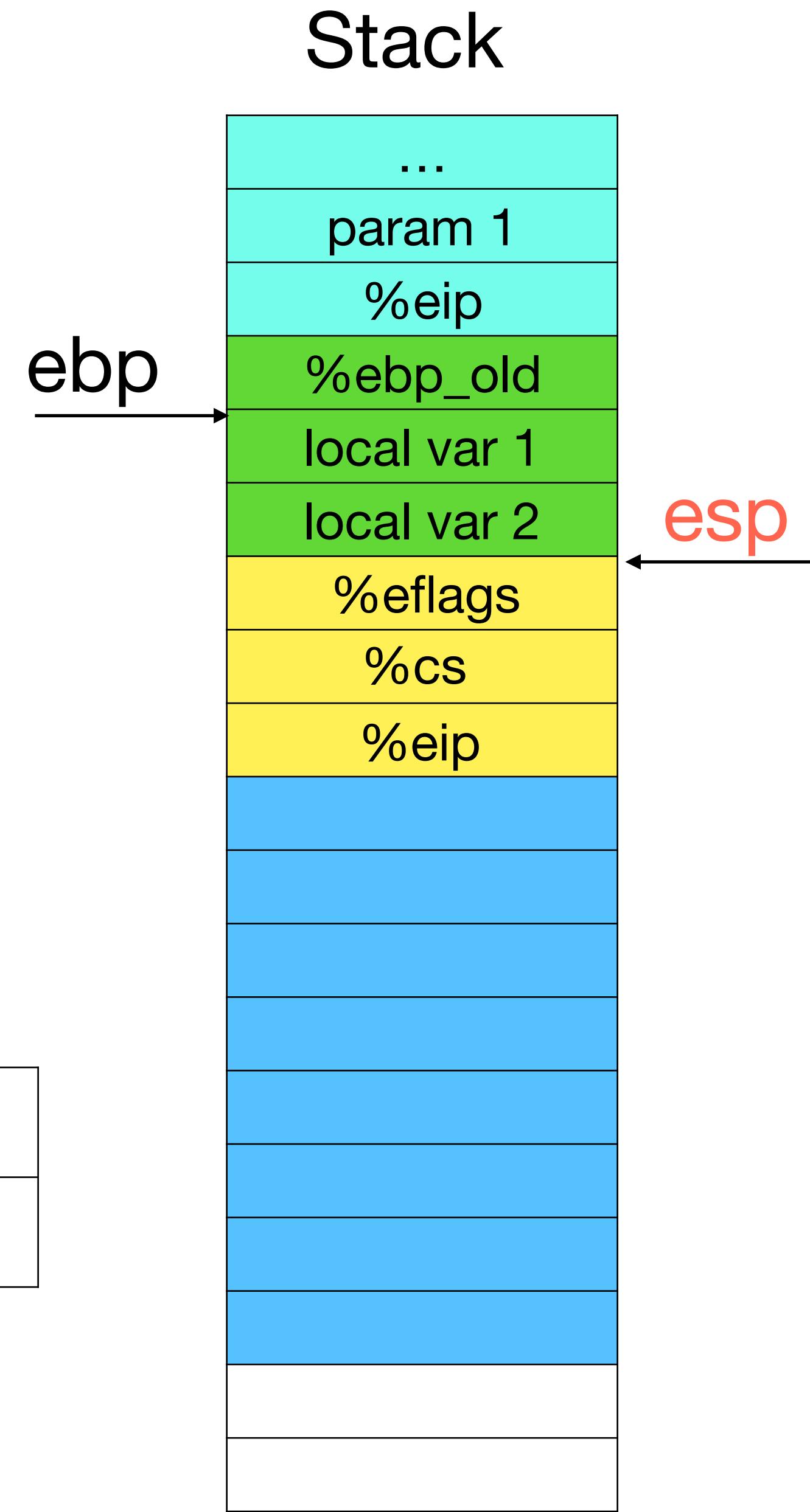
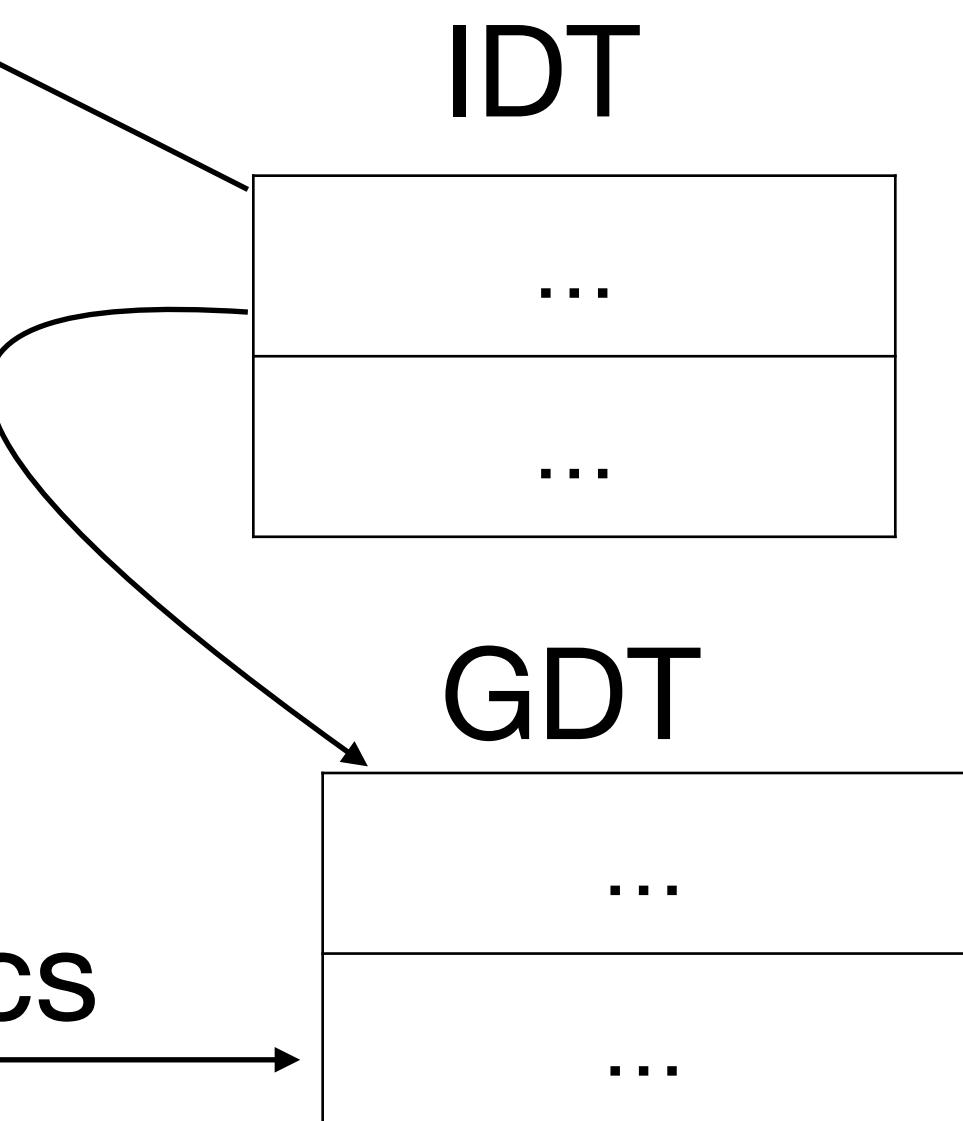
# Visualizing interrupt handling

```
main.c
eip → for(;;)
      wfi();

trap.c
void
trap(struct trapframe *tf)
{
    switch(tf->trapno){
    case T_IRQ0 + IRQ_TIMER:
        ticks++;
        cprintf("Tick! %d\n", ticks);
        lapiceoi();
    ...
return
```

```
vectors.S
.globl vector0
vector0:
    pushl $0
    pushl $0
    jmp alltraps
```

```
trapasm.S
alltraps:
    pushal
    pushl %esp
    call trap
    addl $4, %esp
    popal
    addl $0x8, %esp
    iret
```



# Visualizing interrupt handling

```
main.c
eip → for(;;)
      wfi();

trap.c
void
trap(struct trapframe *tf)
{
    switch(tf->trapno){
    case T_IRQ0 + IRQ_TIMER:
        ticks++;
        cprintf("Tick! %d\n", ticks);
        lapiceoi();
    ...
return
```

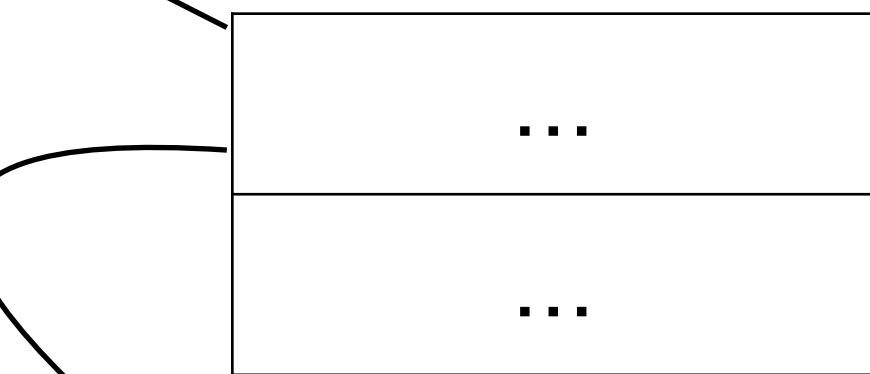
## vectors.S

```
.globl vector0
vector0:
    pushl $0
    pushl $0
    jmp alltraps
```

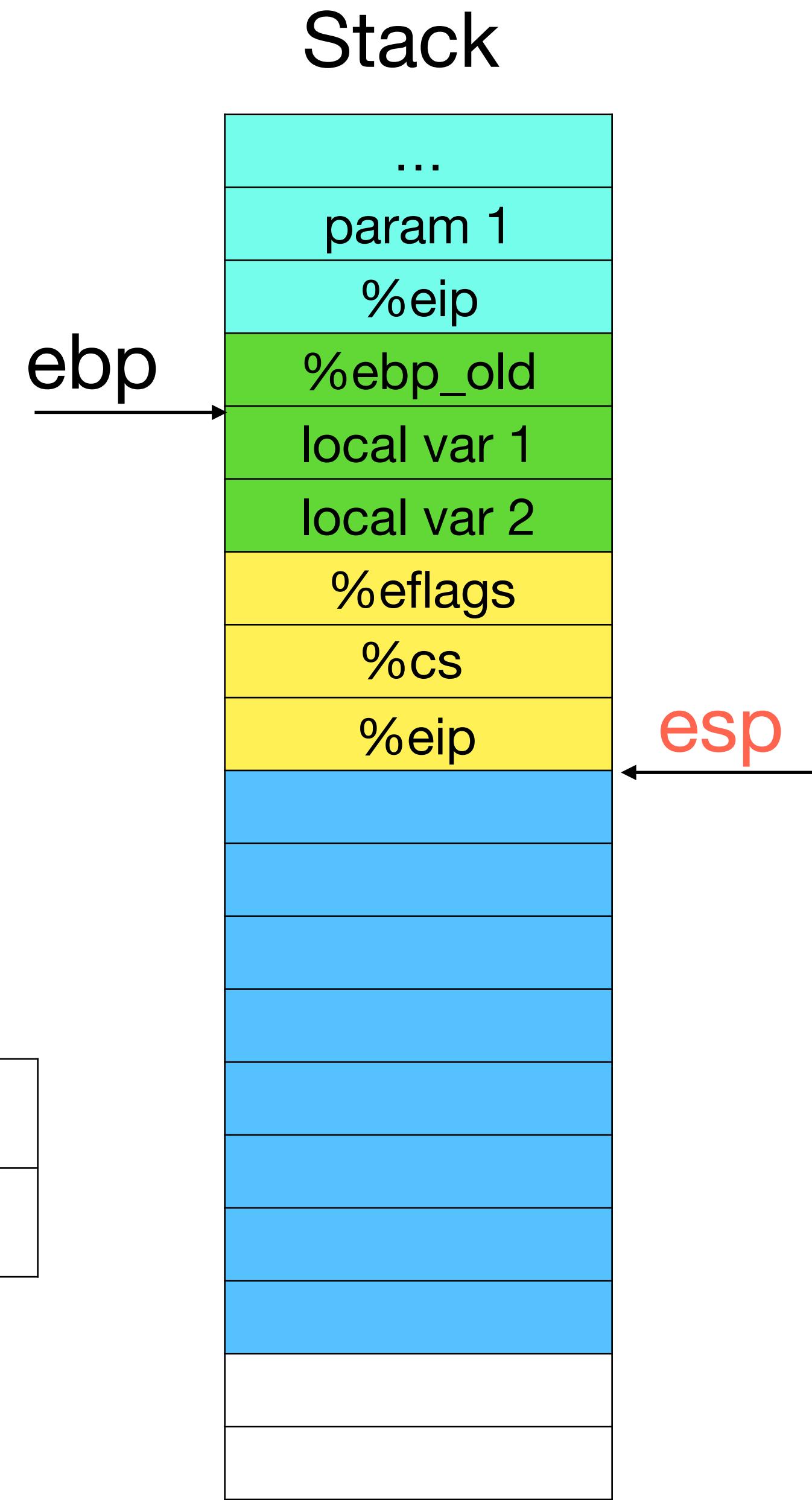
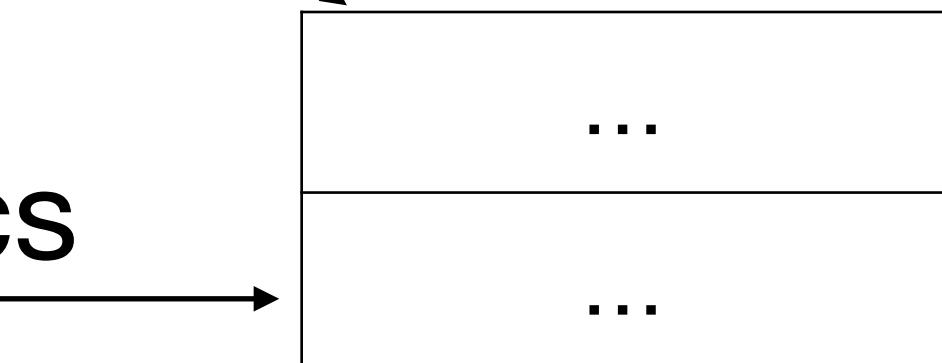
## trapasm.S

```
alltraps:
    pushal
    pushl %esp
    call trap
    addl $4, %esp
    popal
    addl $0x8, %esp
    iret
```

## IDT



## GDT



# Visualizing interrupt handling

```
main.c
eip → for(;;)
      wfi();

trap.c
void
trap(struct trapframe *tf)
{
    switch(tf->trapno){
    case T_IRQ0 + IRQ_TIMER:
        ticks++;
        cprintf("Tick! %d\n", ticks);
        lapiceoi();
    ...
return
```

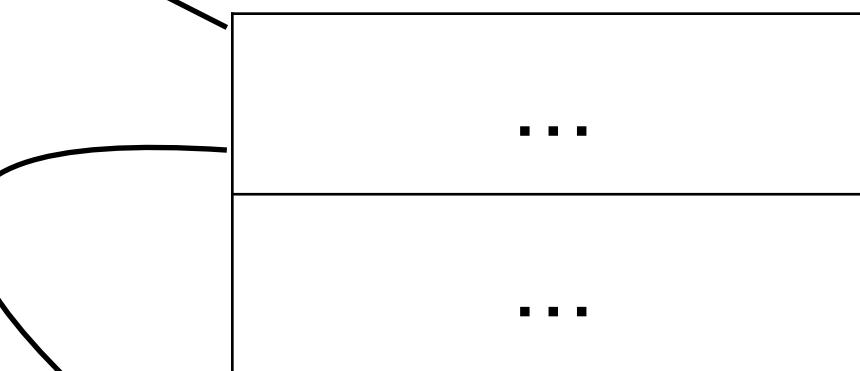
## vectors.S

```
.globl vector0
vector0:
    pushl $0
    pushl $0
    jmp alltraps
```

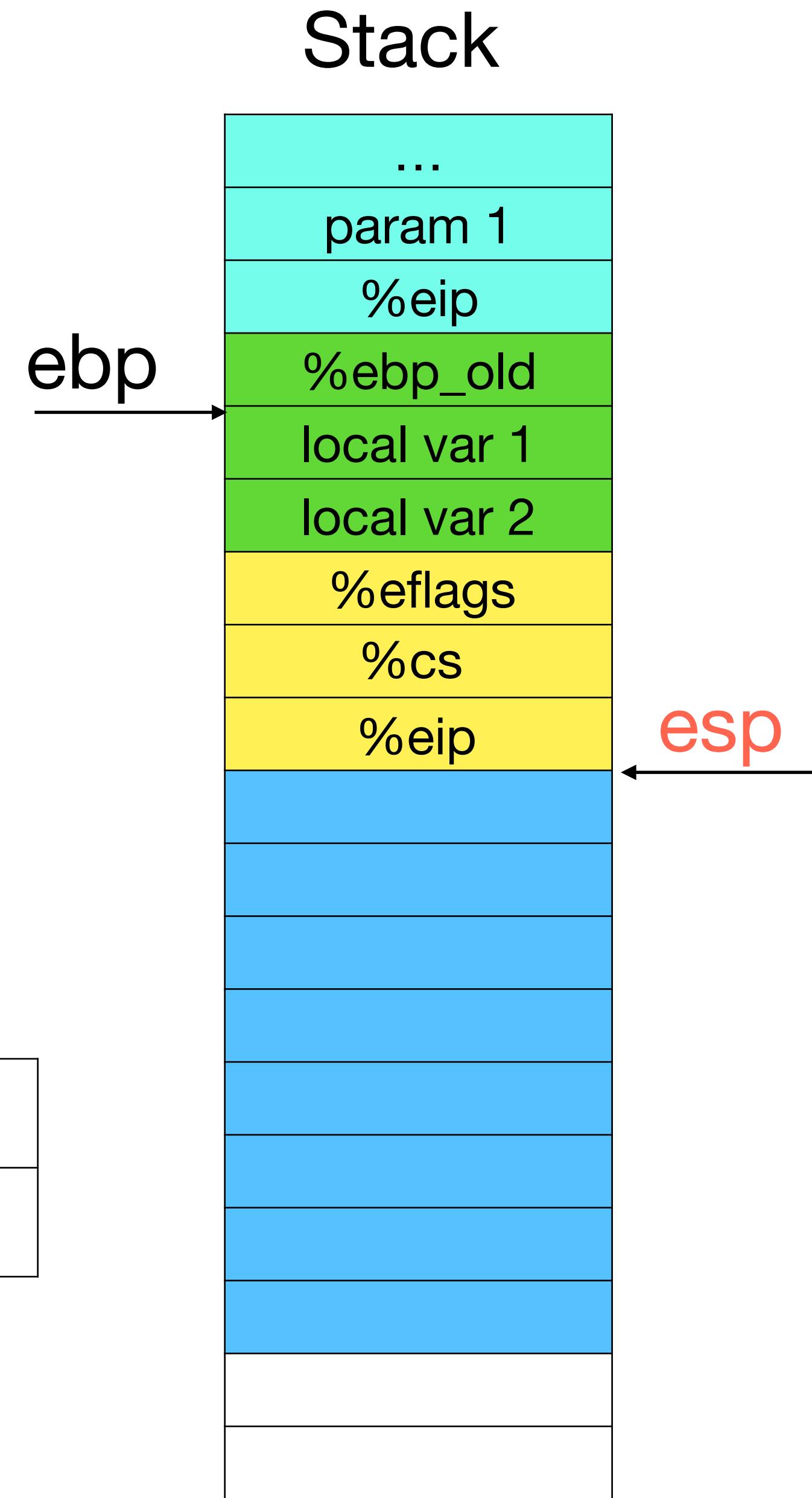
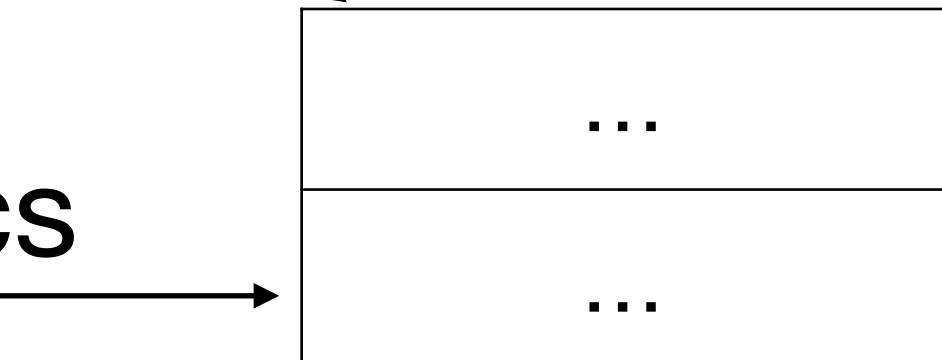
## trapasm.S

```
alltraps:
    pushal
    pushl %esp
    call trap
    addl $4, %esp
    popal
    addl $0x8, %esp
    iret
```

## IDT



## GDT



# Visualizing interrupt handling

```
main.c
eip → for(;;)
      wfi();

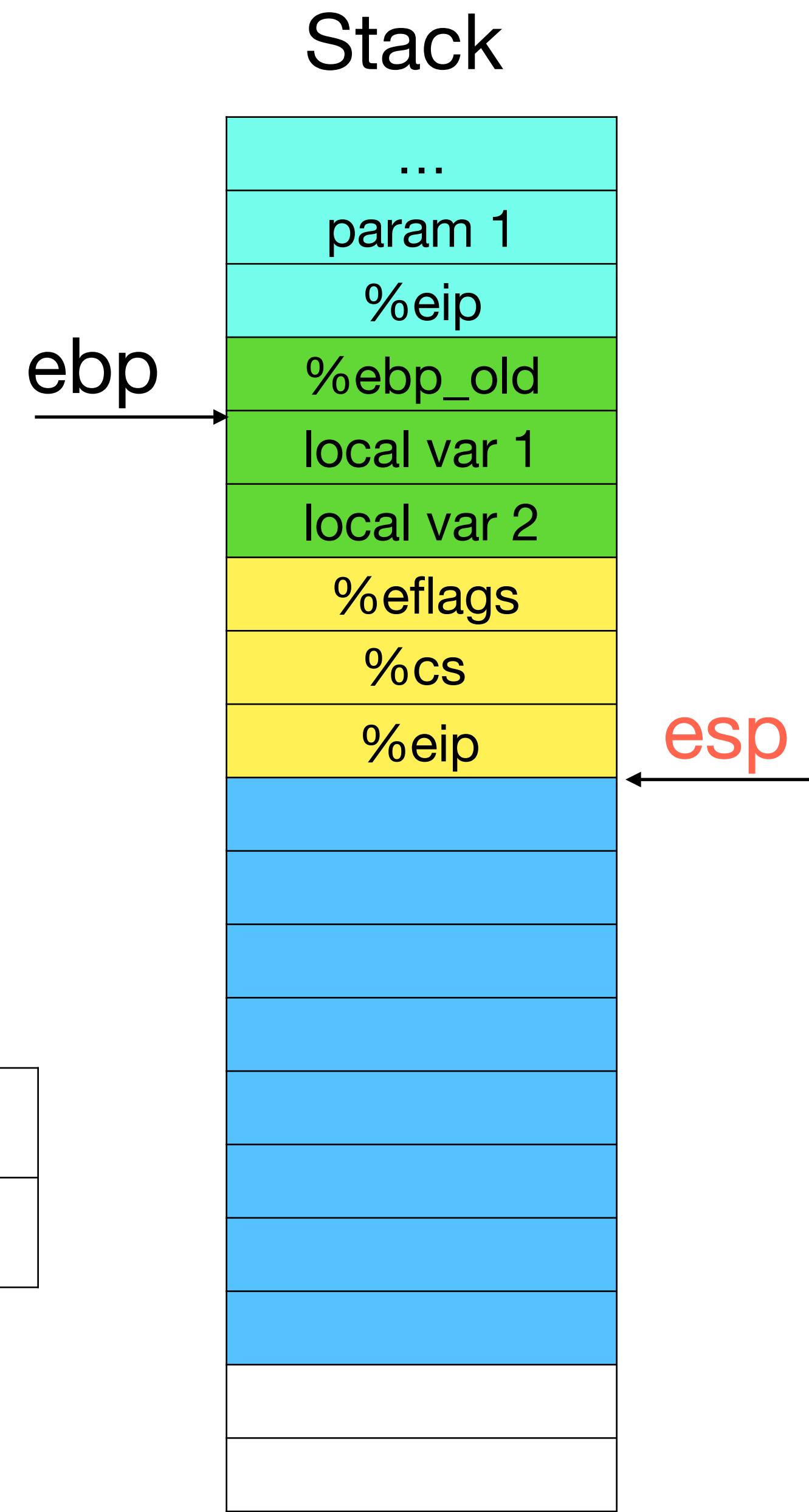
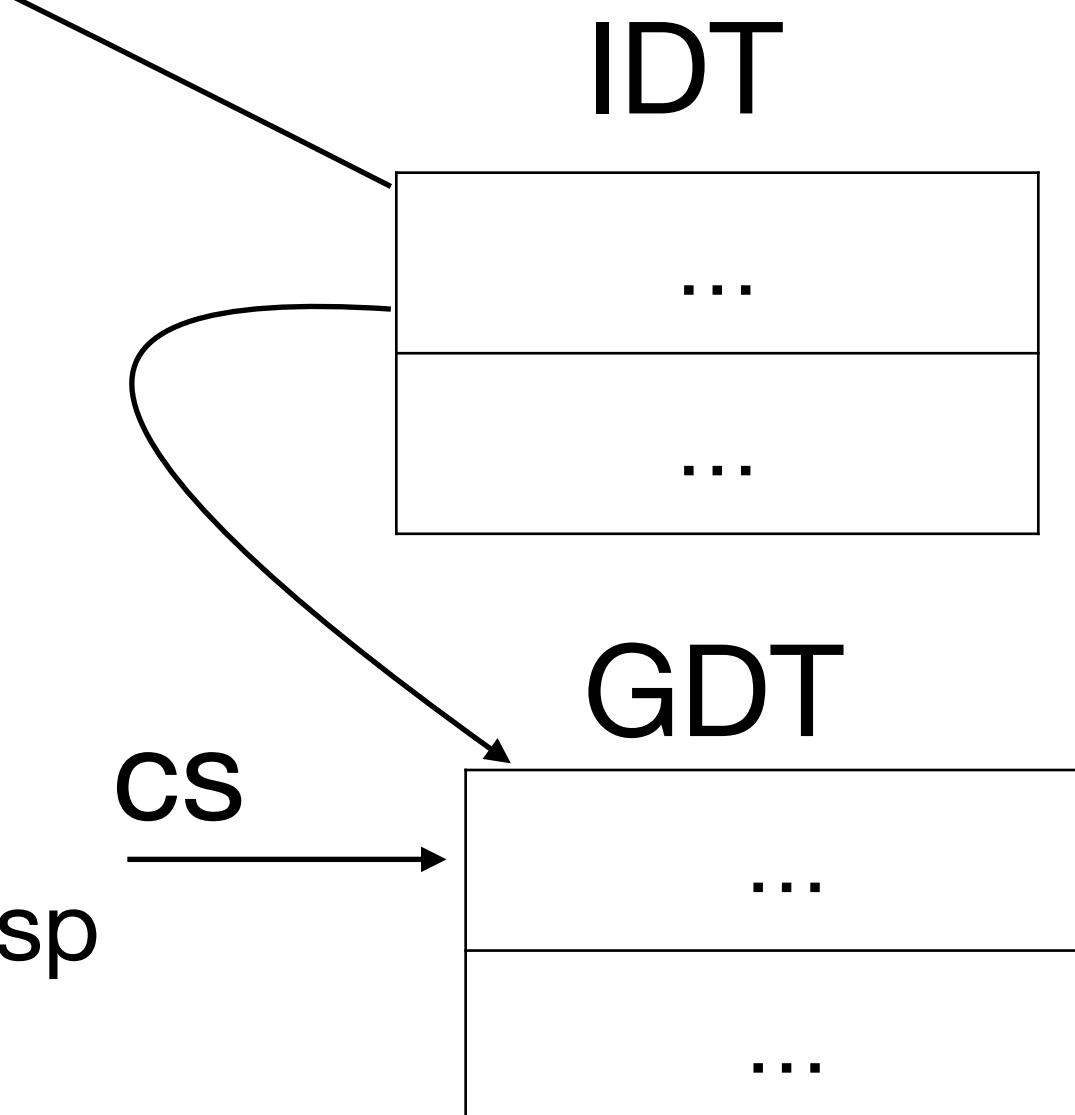
trap.c
void
trap(struct trapframe *tf)
{
    switch(tf->trapno){
    case T_IRQ0 + IRQ_TIMER:
        ticks++;
        cprintf("Tick! %d\n", ticks);
        lapiceoi();
    ...
return
```

## vectors.S

```
.globl vector0
vector0:
    pushl $0
    pushl $0
    jmp alltraps
```

## trapasm.S

```
alltraps:
    pushal
    pushl %esp
    call trap
    addl $4, %esp
    popal
    addl $0x8, %esp
    iret
```



# Visualizing interrupt handling

**main.c**

```
for(;;)  
    wfi();
```

**trap.c**

```
void  
trap(struct trapframe *tf)  
{  
    switch(tf->trapno){  
        case T_IRQ0 + IRQ_TIMER:  
            ticks++;  
            cprintf("Tick! %d\n", ticks);  
            lapiceoi();  
            ...  
    }  
    return;
```

**vectors.S**

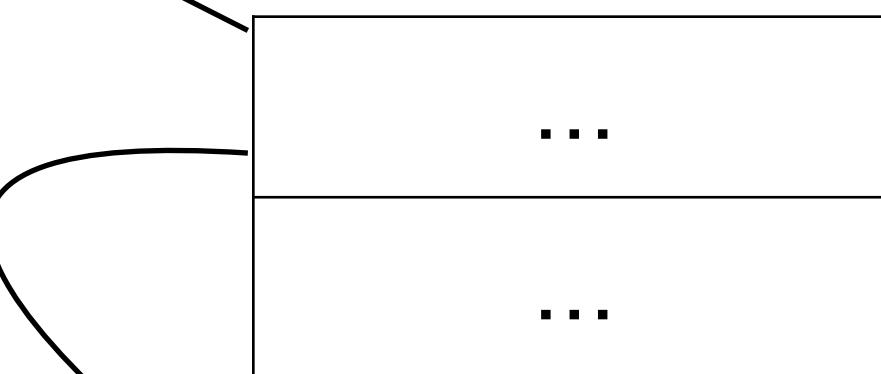
```
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps
```

eip

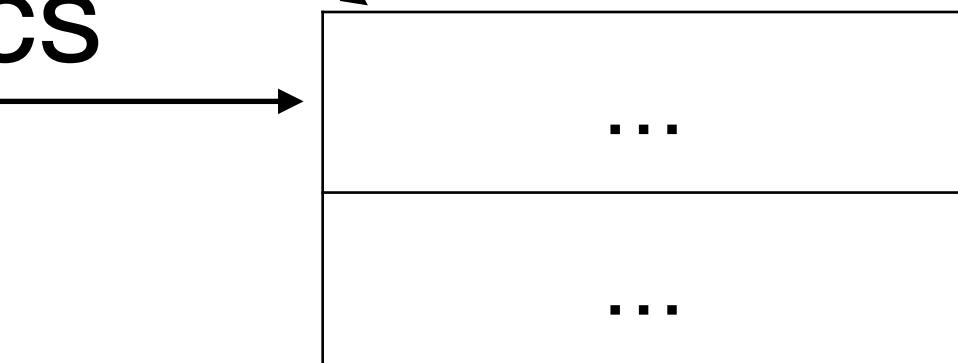
**trapasm.S**

```
alltraps:  
    pushal  
    pushl %esp  
    call trap  
    addl $4, %esp  
    popal  
    addl $0x8, %esp  
    iret
```

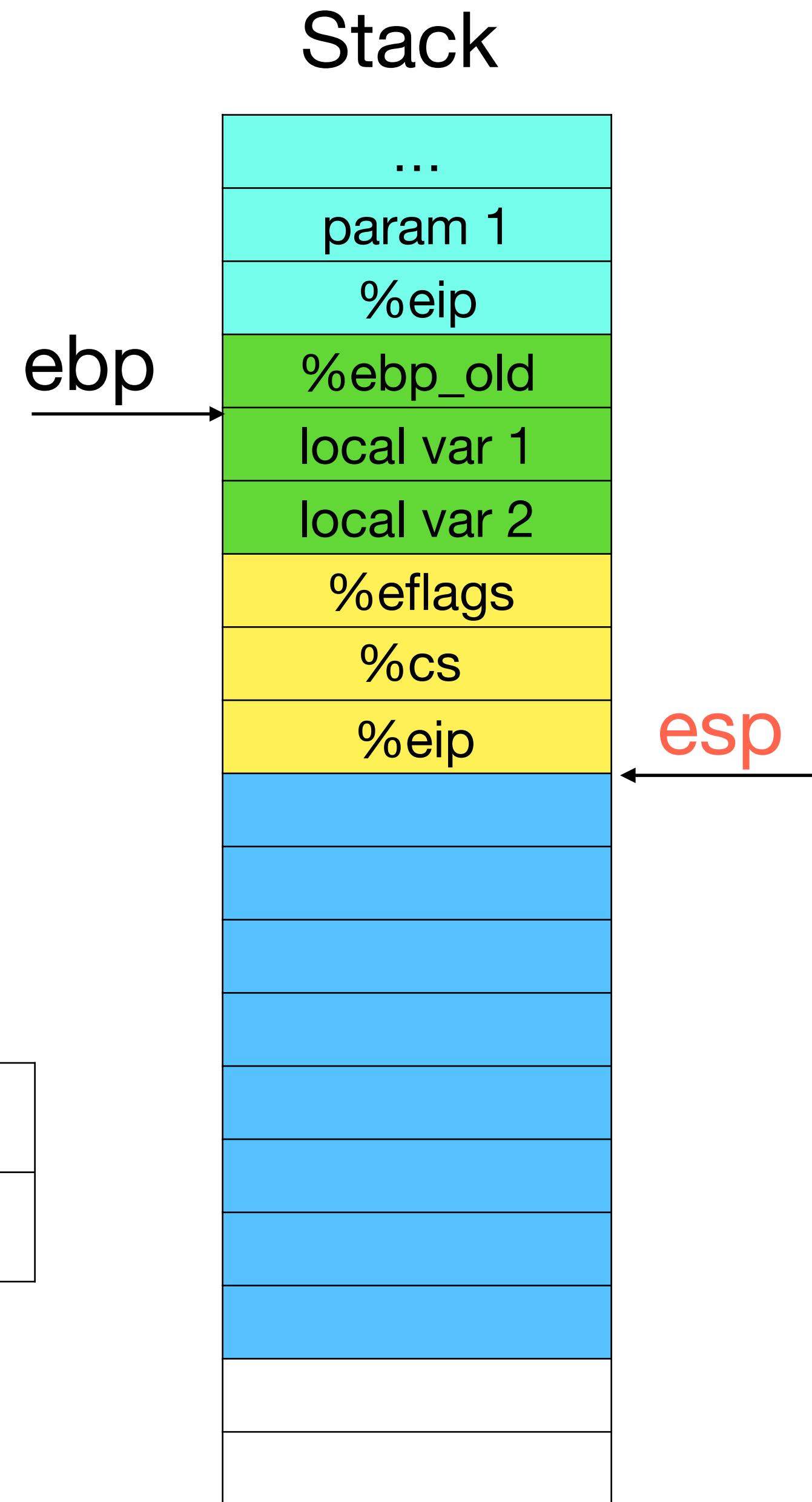
IDT



GDT



CS



# Visualizing interrupt handling

**main.c**

```
for(;;)  
    wfi();
```

**trap.c**

```
void  
trap(struct trapframe *tf)  
{  
    switch(tf->trapno){  
        case T_IRQ0 + IRQ_TIMER:  
            ticks++;  
            cprintf("Tick! %d\n", ticks);  
            lapiceoi();  
        ...  
    }  
    return
```

**vectors.S**

```
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps
```

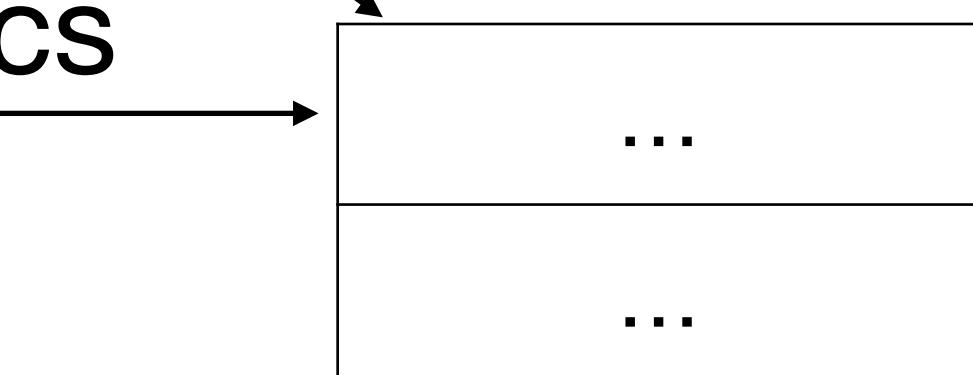
eip

**trapasm.S**

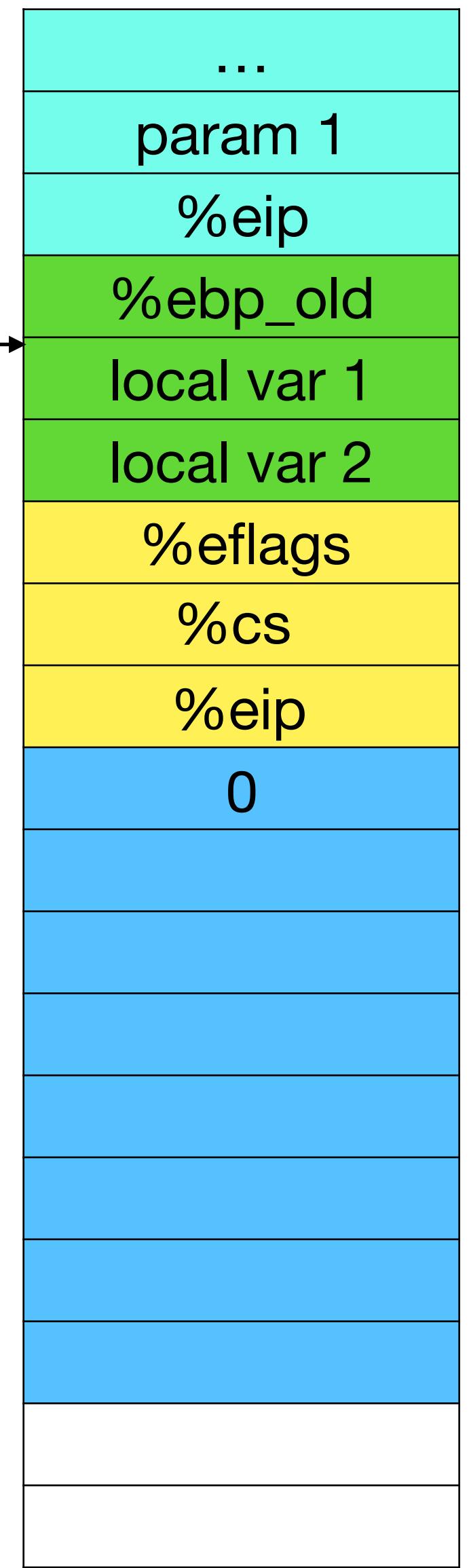
```
alltraps:  
    pushal  
    pushl %esp  
    call trap  
    addl $4, %esp  
    popal  
    addl $0x8, %esp  
    iret
```

IDT

GDT



Stack



# Visualizing interrupt handling

**main.c**

```
for(;;)  
    wfi();
```

**trap.c**

```
void  
trap(struct trapframe *tf)  
{  
    switch(tf->trapno){  
        case T_IRQ0 + IRQ_TIMER:  
            ticks++;  
            cprintf("Tick! %d\n", ticks);  
            lapiceoi();  
        ...  
    }  
    return
```

**vectors.S**

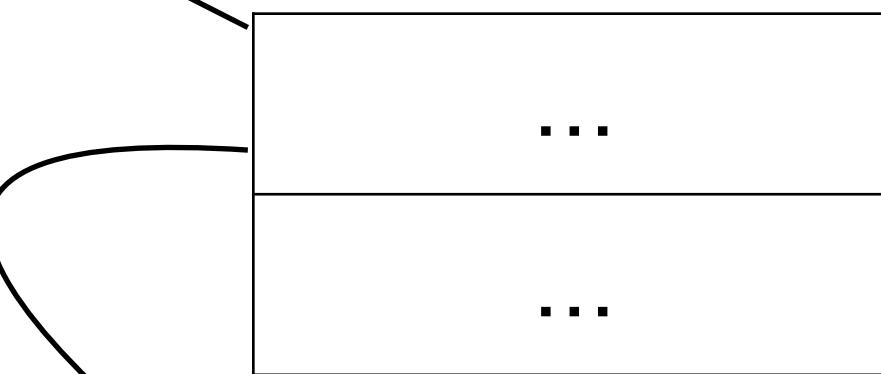
```
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps
```

eip

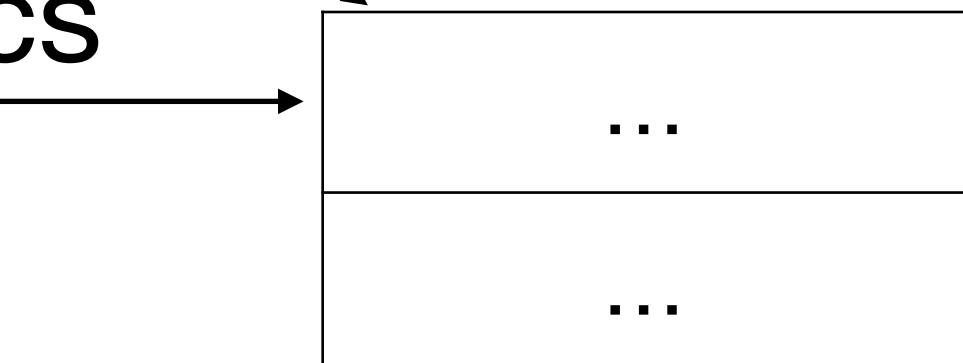
**trapasm.S**

```
alltraps:  
    pushal  
    pushl %esp  
    call trap  
    addl $4, %esp  
    popal  
    addl $0x8, %esp  
    iret
```

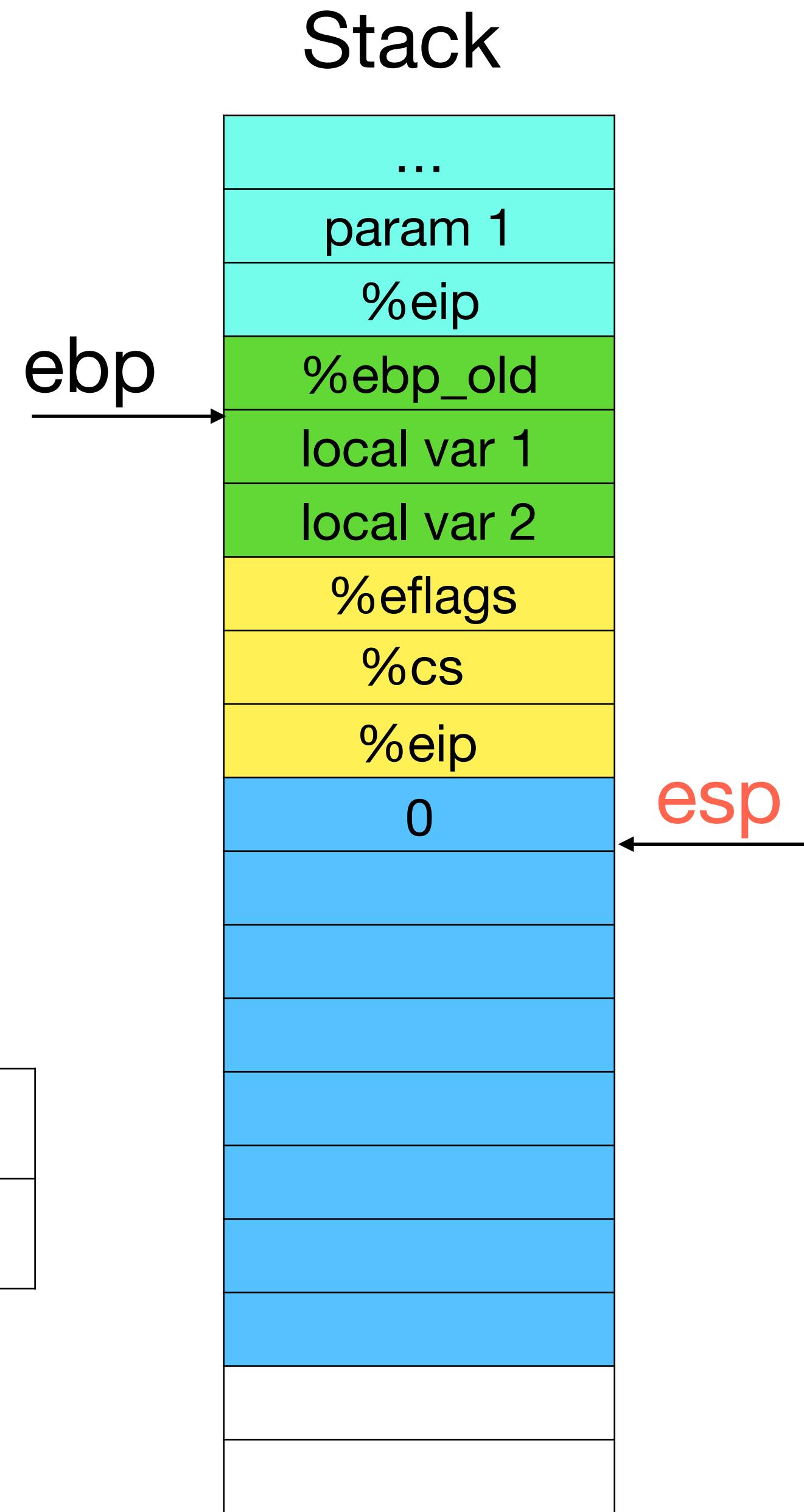
IDT



GDT



CS



# Visualizing interrupt handling

```
main.c
for(;;)
    wfi();
```

```
trap.c

void
trap(struct trapframe *tf)
{
    switch(tf->trapno){
        case T_IRQ0 + IRQ_TIMER:
            ticks++;
            cprintf("Tick! %d\n", ticks);
            lapiceoi();
        ...
    }
}
```

```
vectors.S  
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps
```

**trapasm.S**

alltraps:

pushal

pushl %esp

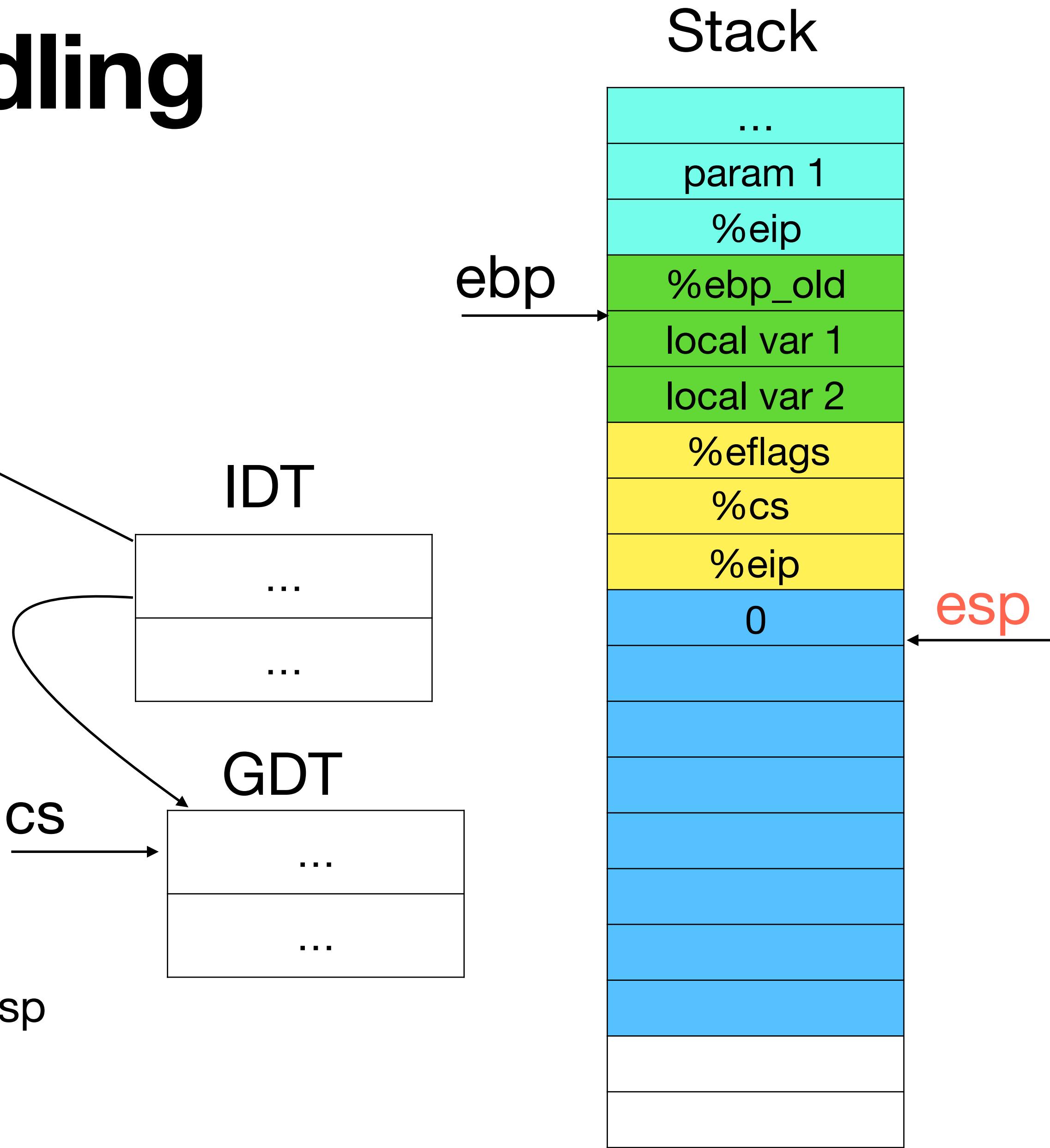
call trap CS

addl \$4, %esp

popal

addl \$0x8, %esp

iret



# Visualizing interrupt handling

## main.c

```
for(;;)  
    wfi();
```

## trap.c

```
void  
trap(struct trapframe *tf)  
{  
    switch(tf->trapno){  
        case T_IRQ0 + IRQ_TIMER:  
            ticks++;  
            cprintf("Tick! %d\n", ticks);  
            lapiceoi();  
            ...  
    }  
    return;
```

## vectors.S

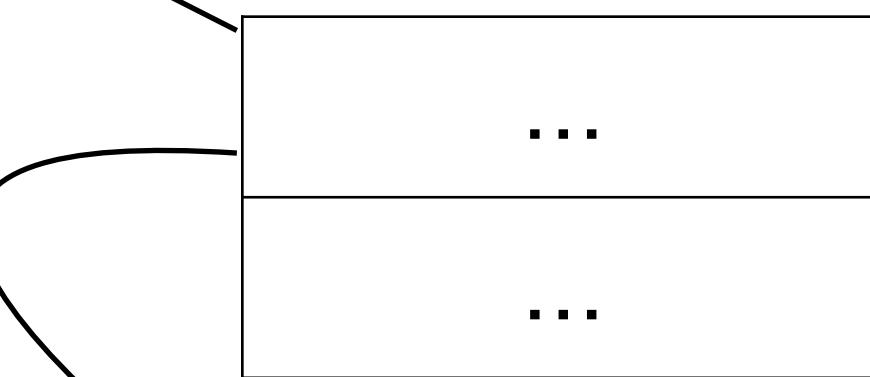
```
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps
```

eip

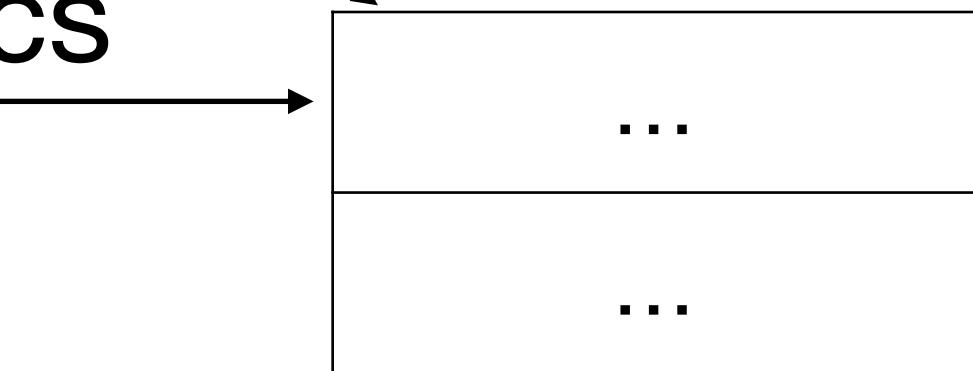
## trapasm.S

```
alltraps:  
    pushal  
    pushl %esp  
    call trap  
    addl $4, %esp  
    popal  
    addl $0x8, %esp  
    iret
```

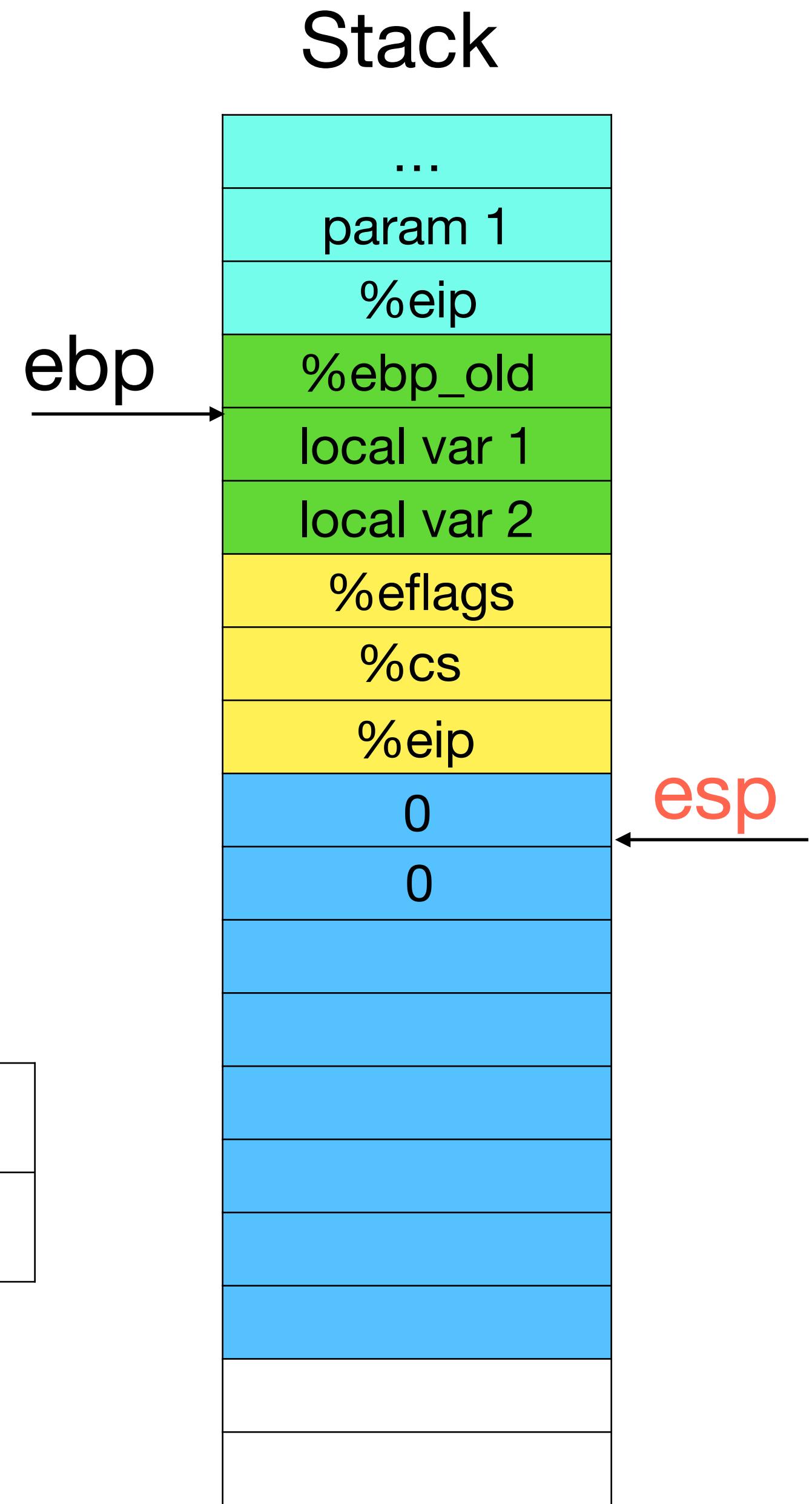
## IDT



## GDT



CS



esp

ebp

# Visualizing interrupt handling

**main.c**

```
for(;;)  
    wfi();
```

**trap.c**

```
void  
trap(struct trapframe *tf)  
{  
    switch(tf->trapno){  
        case T_IRQ0 + IRQ_TIMER:  
            ticks++;  
            cprintf("Tick! %d\n", ticks);  
            lapiceoi();  
        ...  
    }  
    return
```

**vectors.S**

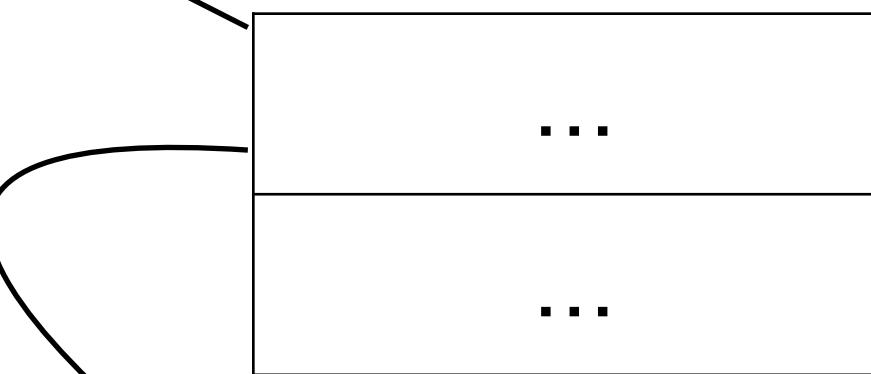
```
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps
```

eip

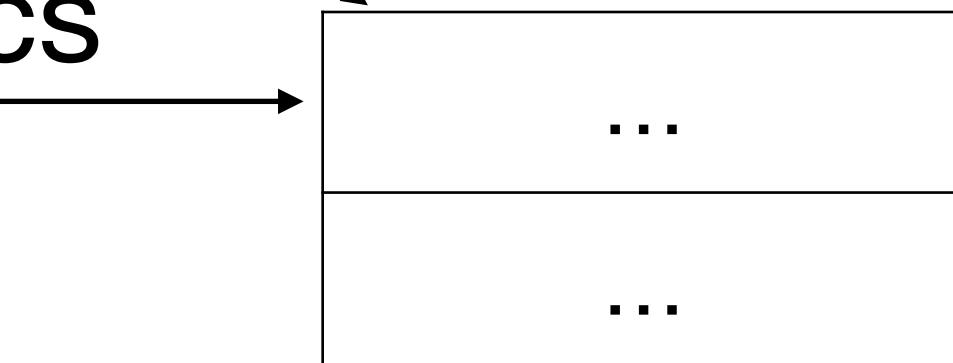
**trapasm.S**

```
alltraps:  
    pushal  
    pushl %esp  
    call trap  
    addl $4, %esp  
    popal  
    addl $0x8, %esp  
    iret
```

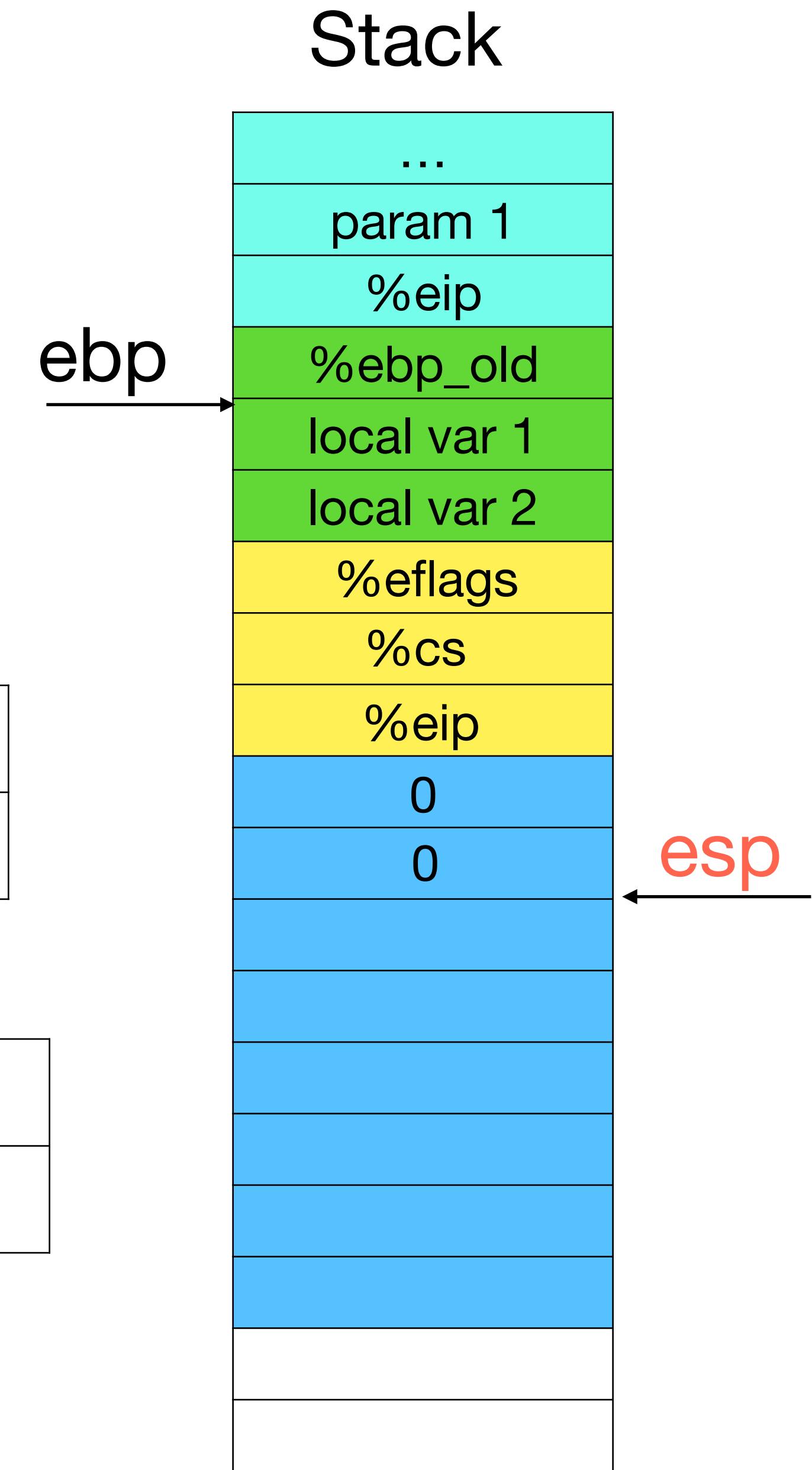
IDT



GDT



CS



# Visualizing interrupt handling

```
main.c  
for(;;)  
    wfi();
```

```
trap.c

void
trap(struct trapframe *tf)
{
    switch(tf->trapno){
        case T_IRQ0 + IRQ_TIMER:
            ticks++;
            cprintf("Tick! %d\n", ticks);
            lapiceoi();
        ...
    }
}
```

```
vectors.S  
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps
```

**trapasm.S**

alltraps:

pushal

pushl %esp

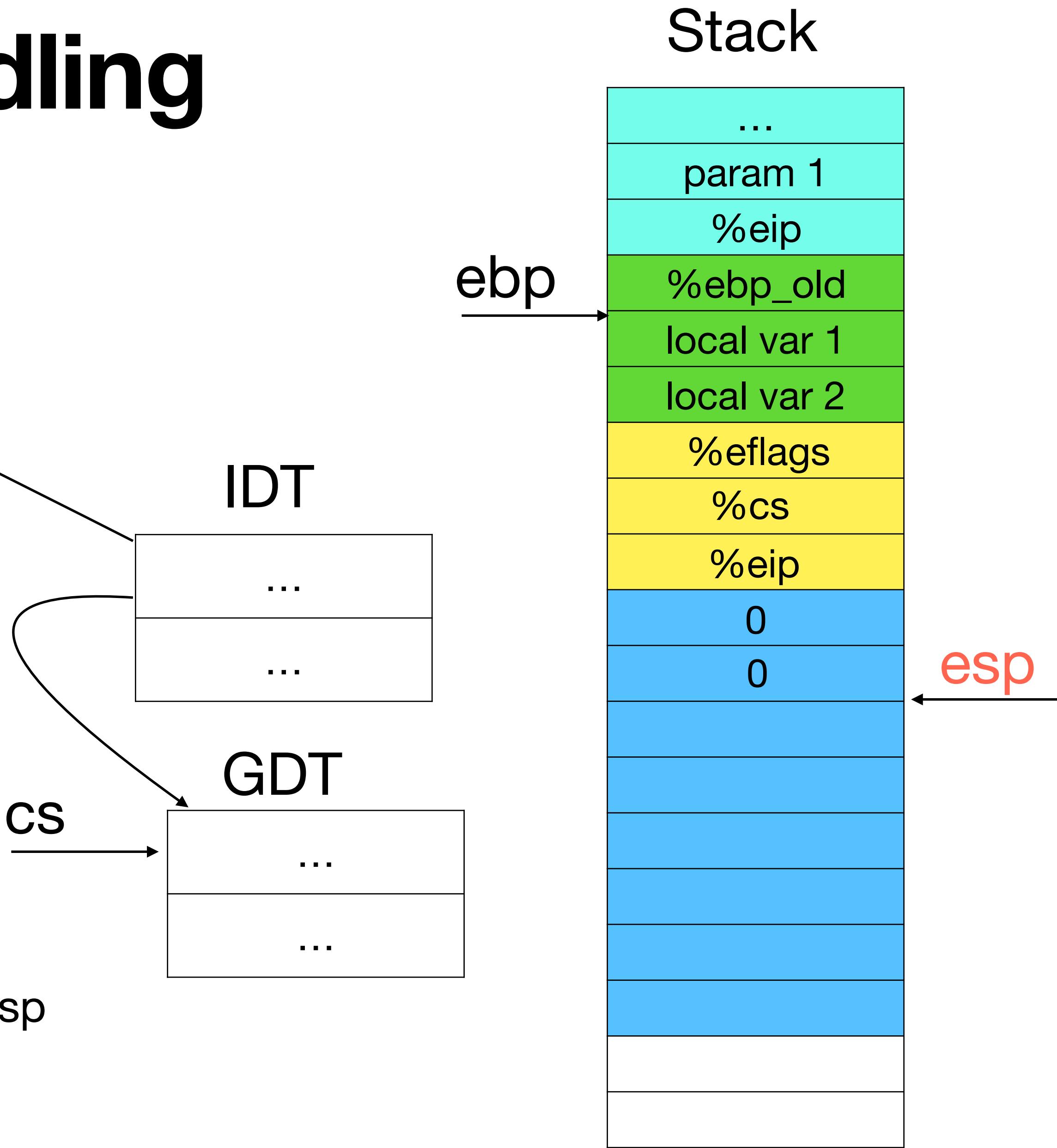
call trap CS

addl \$4, %esp

popal

addl \$0x8, %esp

iret



# Visualizing interrupt handling

```
main.c  
for(;;)  
    wfi();
```

```
trap.c

void
trap(struct trapframe *tf)
{
    switch(tf->trapno){
        case T_IRQ0 + IRQ_TIMER:
            ticks++;
            cprintf("Tick! %d\n", ticks);
            lapiceoi();
        ...
    }
}
```

```
vectors.S  
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps
```

**trapasm.S**

eip → alltraps:

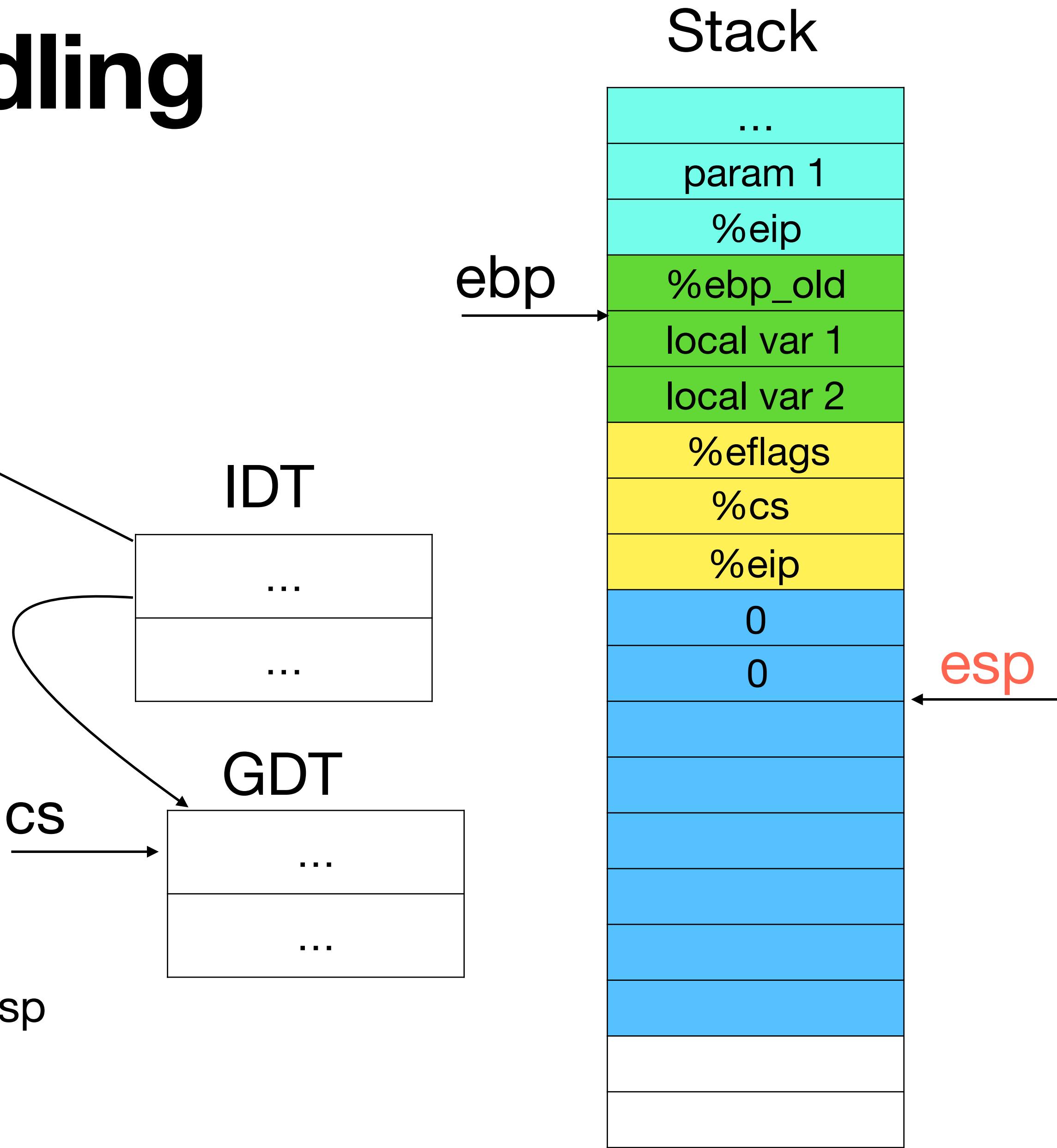
pushal  
pushl %esp  
call trap

addl \$4, %esp

popal  
addl \$0x8, %esp

iret

CS



# Visualizing interrupt handling

**main.c**

```
for(;;)  
    wfi();
```

**trap.c**

```
void  
trap(struct trapframe *tf)  
{  
    switch(tf->trapno){  
        case T_IRQ0 + IRQ_TIMER:  
            ticks++;  
            cprintf("Tick! %d\n", ticks);  
            lapiceoi();  
            ...  
    }  
    return
```

**vectors.S**

```
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps
```

**trapasm.S**

```
eip  
alltraps:  
    pushal  
    pushl %esp  
    call trap  
    addl $4, %esp  
    popal  
    addl $0x8, %esp  
    iret
```

IDT

GDT

ebp

esp

Stack

...
param 1
%eip
%ebp_old
local var 1
local var 2
%eflags
%cs
%eip
0
0
%eax
%ecx
...
%edi

# Visualizing interrupt handling

**main.c**

```
for(;;)  
    wfi();
```

**trap.c**

```
void  
trap(struct trapframe *tf)  
{  
    switch(tf->trapno){  
        case T_IRQ0 + IRQ_TIMER:  
            ticks++;  
            cprintf("Tick! %d\n", ticks);  
            lapiceoi();  
        ...  
    }  
    return
```

**vectors.S**

```
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps
```

**trapasm.S**

```
eip  
alltraps:  
    pushal  
    pushl %esp  
    call trap  
    addl $4, %esp  
    popal  
    addl $0x8, %esp  
    iret
```

IDT

GDT

ebp

CS

Stack

...
param 1
%eip
%ebp_old
local var 1
local var 2
%eflags
%cs
%eip
0
0
%eax
%ecx
...
%edi

esp

# Visualizing interrupt handling

```
main.c  
for(;;)  
    wfi();
```

```
trap.c

void
trap(struct trapframe *tf)
{
    switch(tf->trapno){
        case T_IRQ0 + IRQ_TIMER:
            ticks++;
            cprintf("Tick! %d\n", ticks);
            lapiceoi();
        ...
    }
}
```

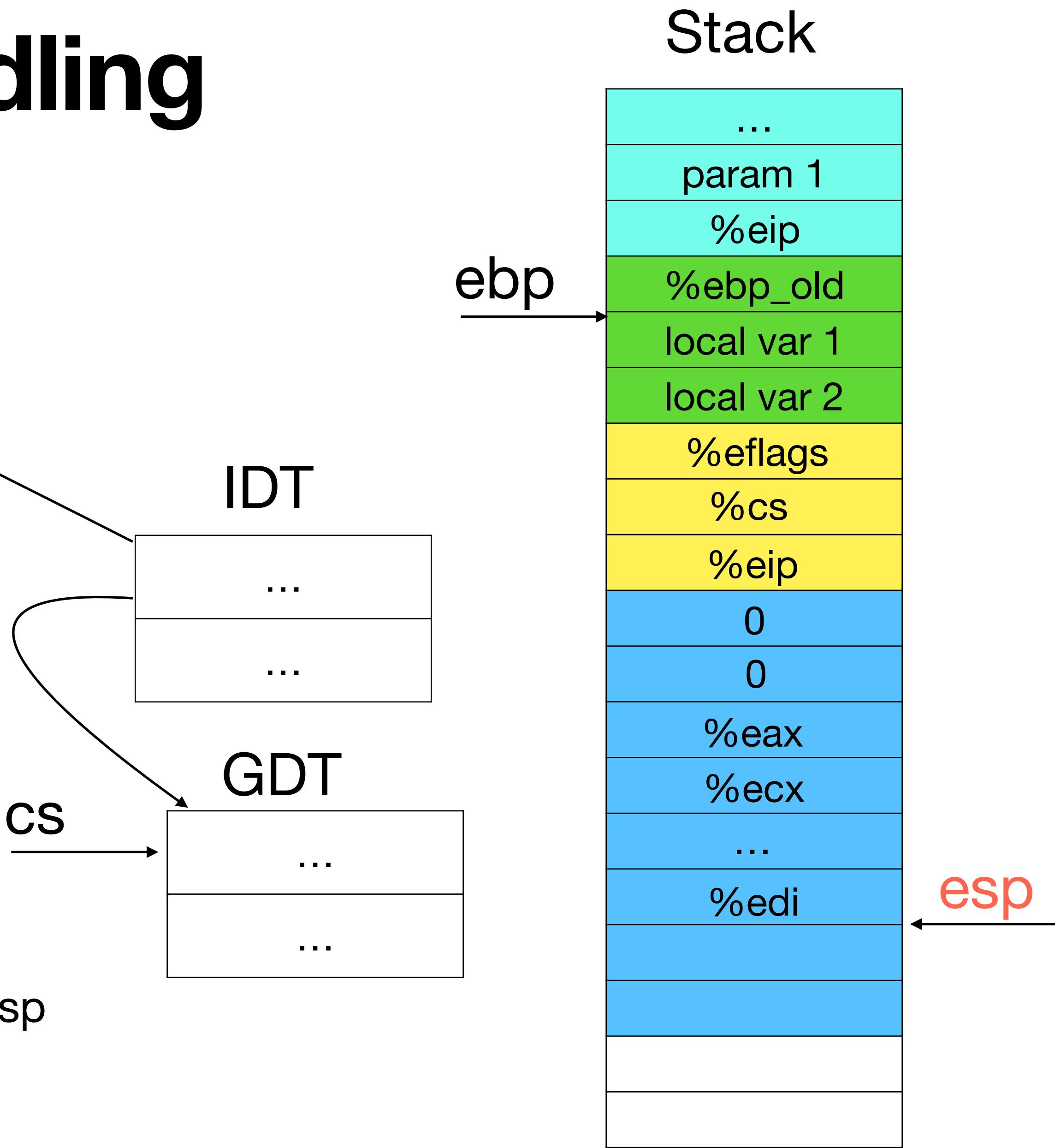
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vectors.S  
.globl vector0  
vector0:  
    pushl $0  
    pushl $0  
    jmp alltraps
```

**trapasm.S**

alltraps:

eip → pushal  
pushl %esp  
call trap  
addl \$4, %esp  
popal  
addl \$0x8, %esp  
iret

CS



# Visualizing interrupt handling

**main.c**

```
for(;;)  
    wfi();
```

**trap.c**

```
void  
trap(struct trapframe *tf)  
{  
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    iret
```

IDT

GDT

eip

CS

ebp

esp

Stack

...
param 1
%eip
%ebp_old
local var 1
local var 2
%eflags
%cs
%eip
0
0
%eax
%ecx
...
%edi
tf

# Visualizing interrupt handling

**main.c**

```
for(;;)  
    wfi();
```

**trap.c**

```
void  
trap(struct trapframe *tf)  
{  
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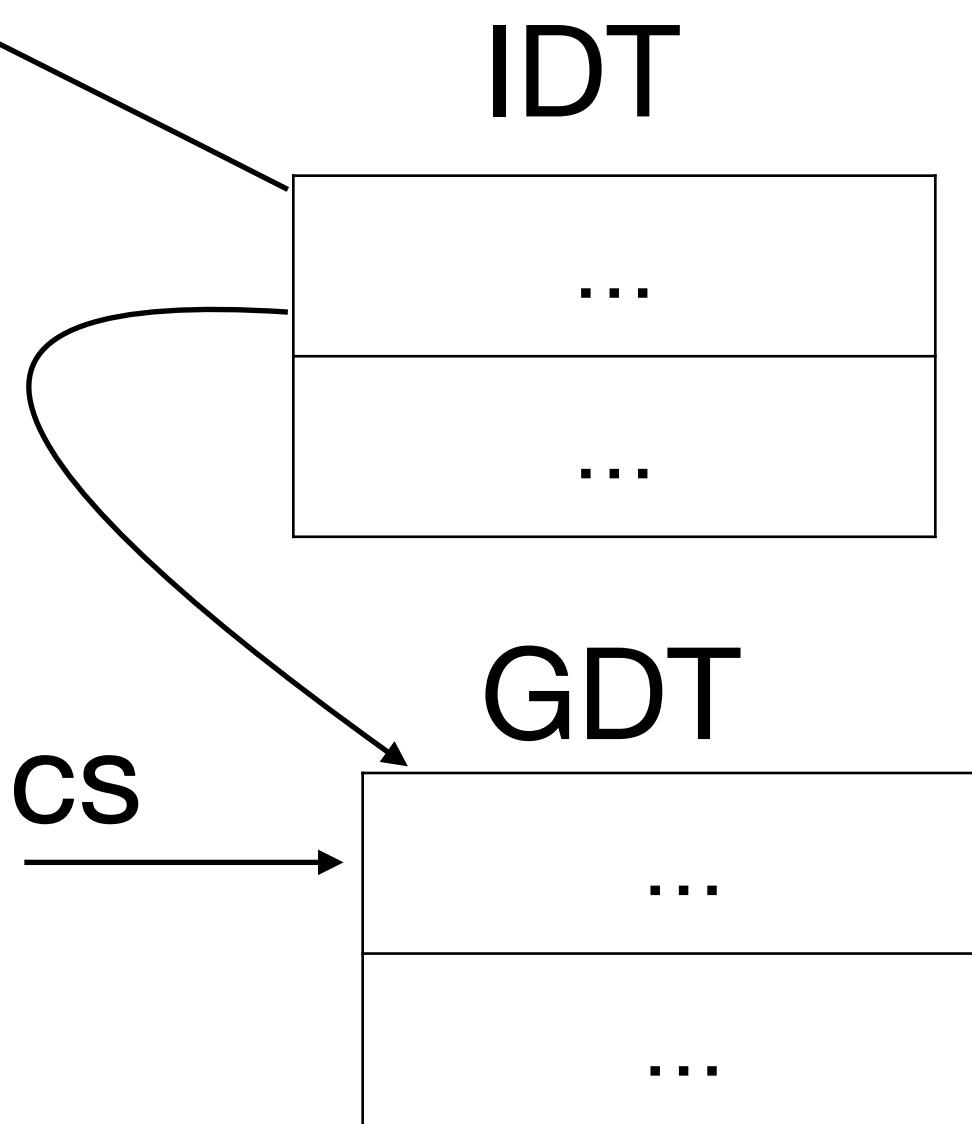
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    pushal  
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**eip**



Stack

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local var 2
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%eip
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tf

**ebp**

trap frame

**esp**

# Visualizing interrupt handling

**main.c**

```
for(;;)  
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```

**trap.c**

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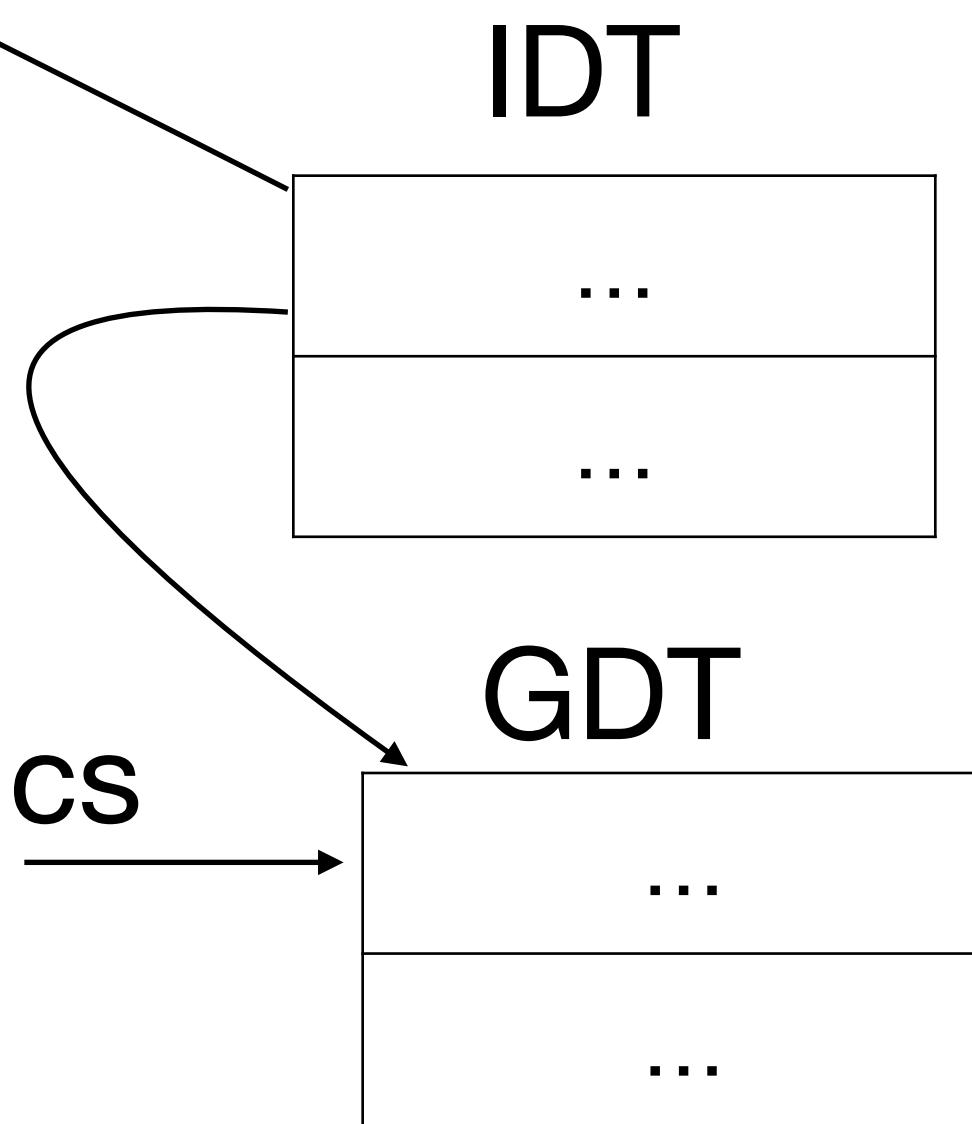
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**eip**



**Stack**

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**esp**

# Visualizing interrupt handling

**main.c**

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**eip**

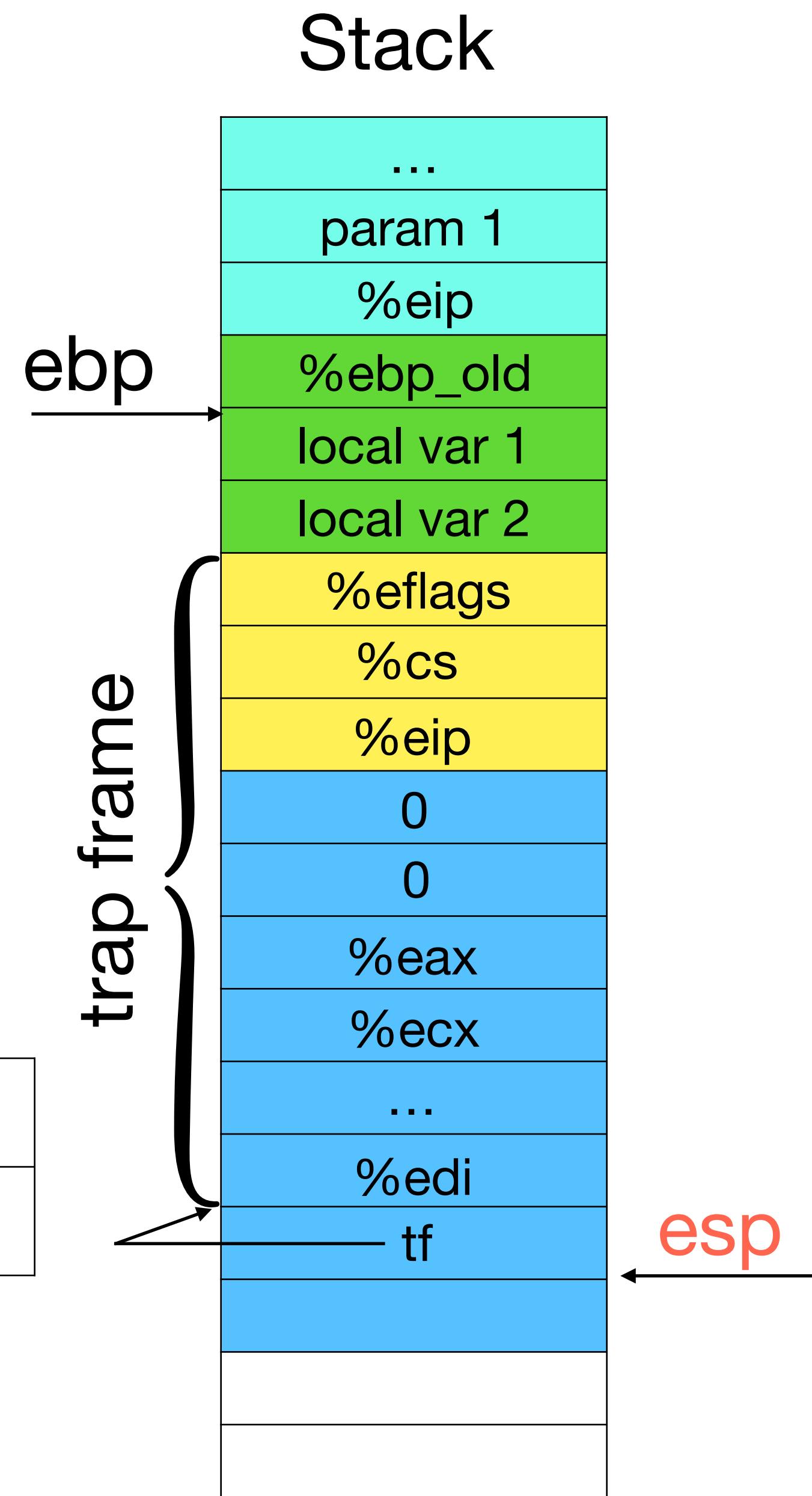
**CS**

IDT

...

GDT

...



# Visualizing interrupt handling

**main.c**

```
for(;;)  
    wfi();
```

**trap.c**

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**eip**

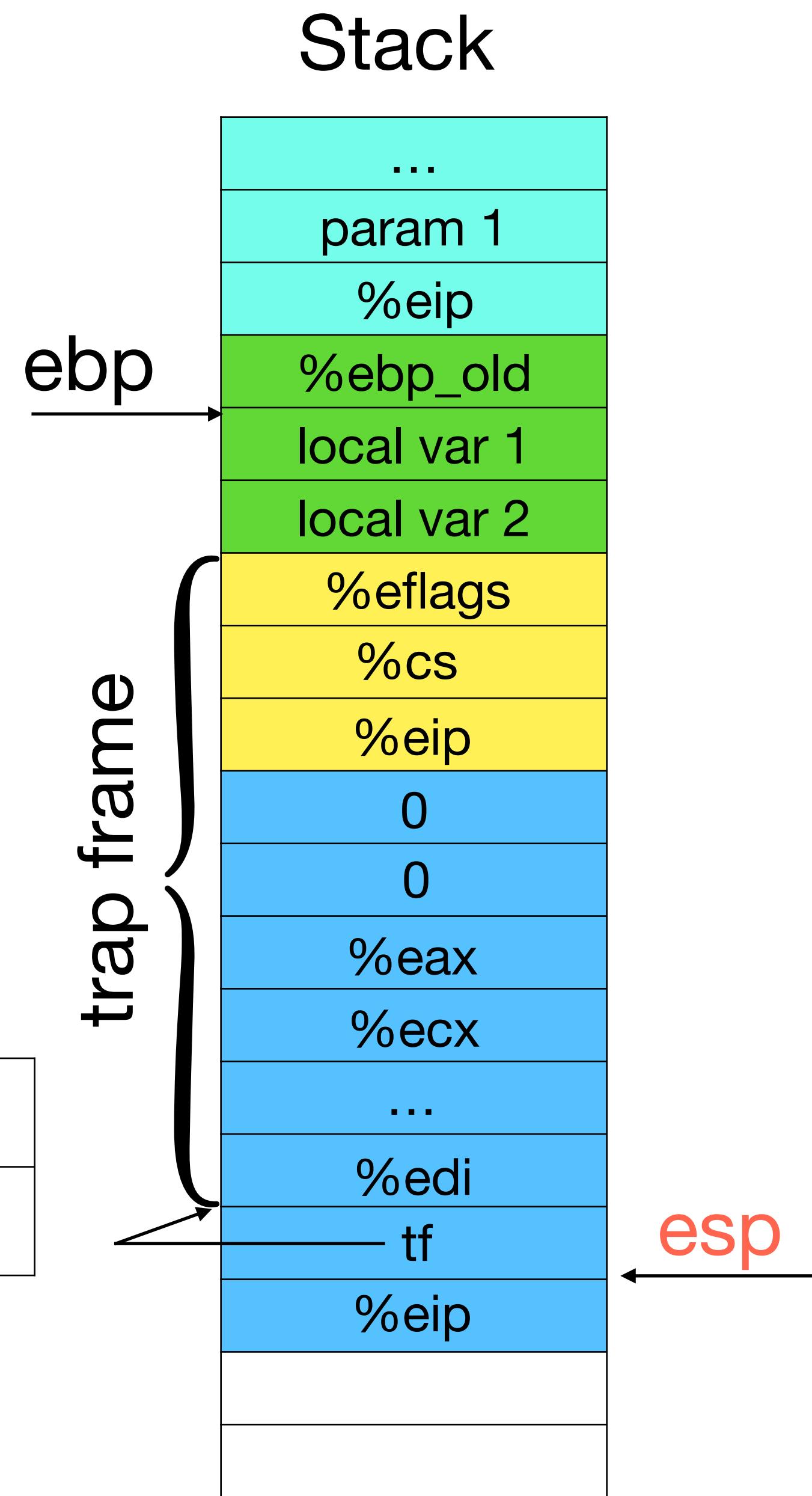
**CS**

IDT

...

GDT

...



# Visualizing interrupt handling

**main.c**

```
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```

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**eip**

**CS**

IDT

...

GDT

...

ebp

trap frame

esp

Stack

...
param 1
%eip
%ebp_old
local var 1
local var 2
%eflags
%cs
%eip
0
0
%eax
%ecx
...
%edi
tf
%eip

# Visualizing interrupt handling

## main.c

```
for(;;)  
    wfi();
```

## trap.c

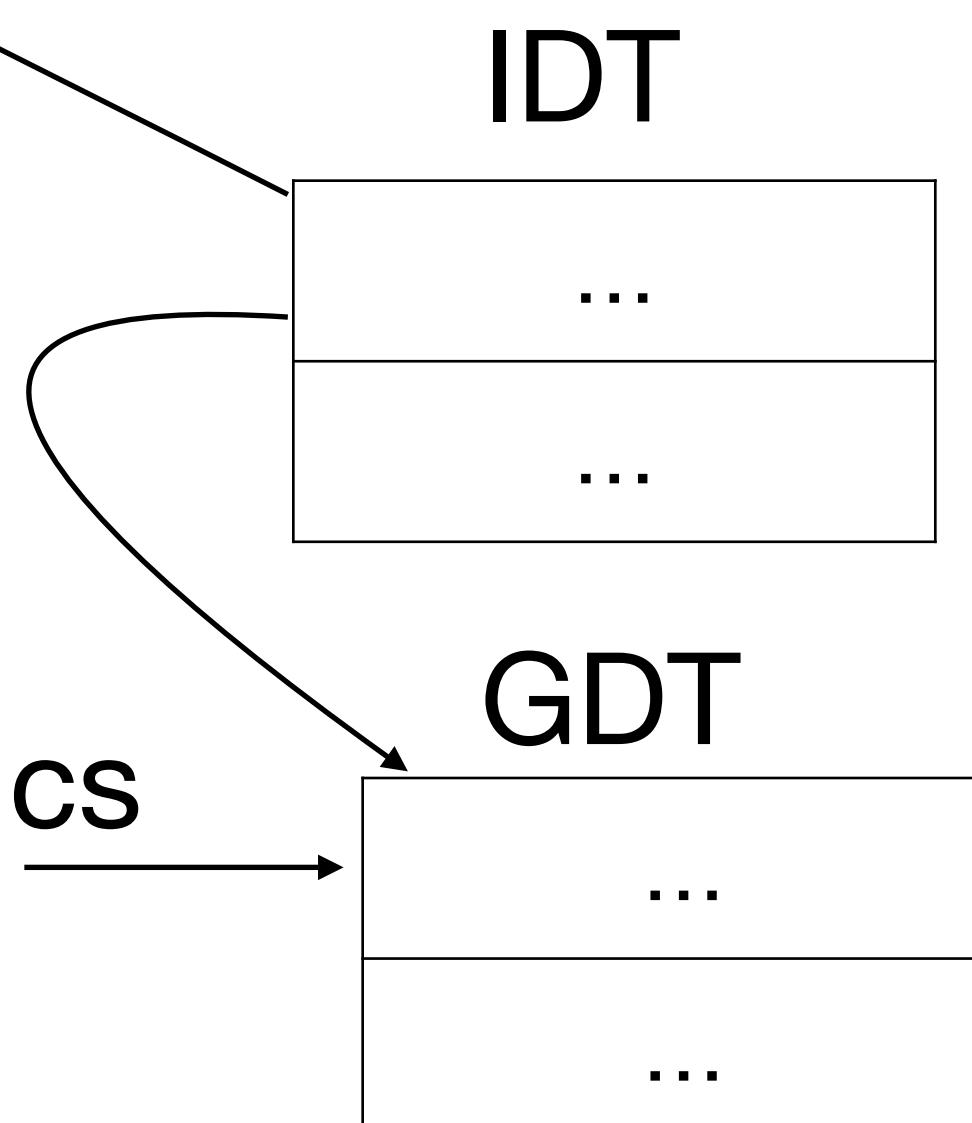
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trap(struct trapframe *tf)  
  
eip → {  
    switch(tf->trapno){  
        case T_IRQ0 + IRQ_TIMER:  
            ticks++;  
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%edi
tf
%eip

ebp

trap frame

esp

# Visualizing interrupt handling

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```

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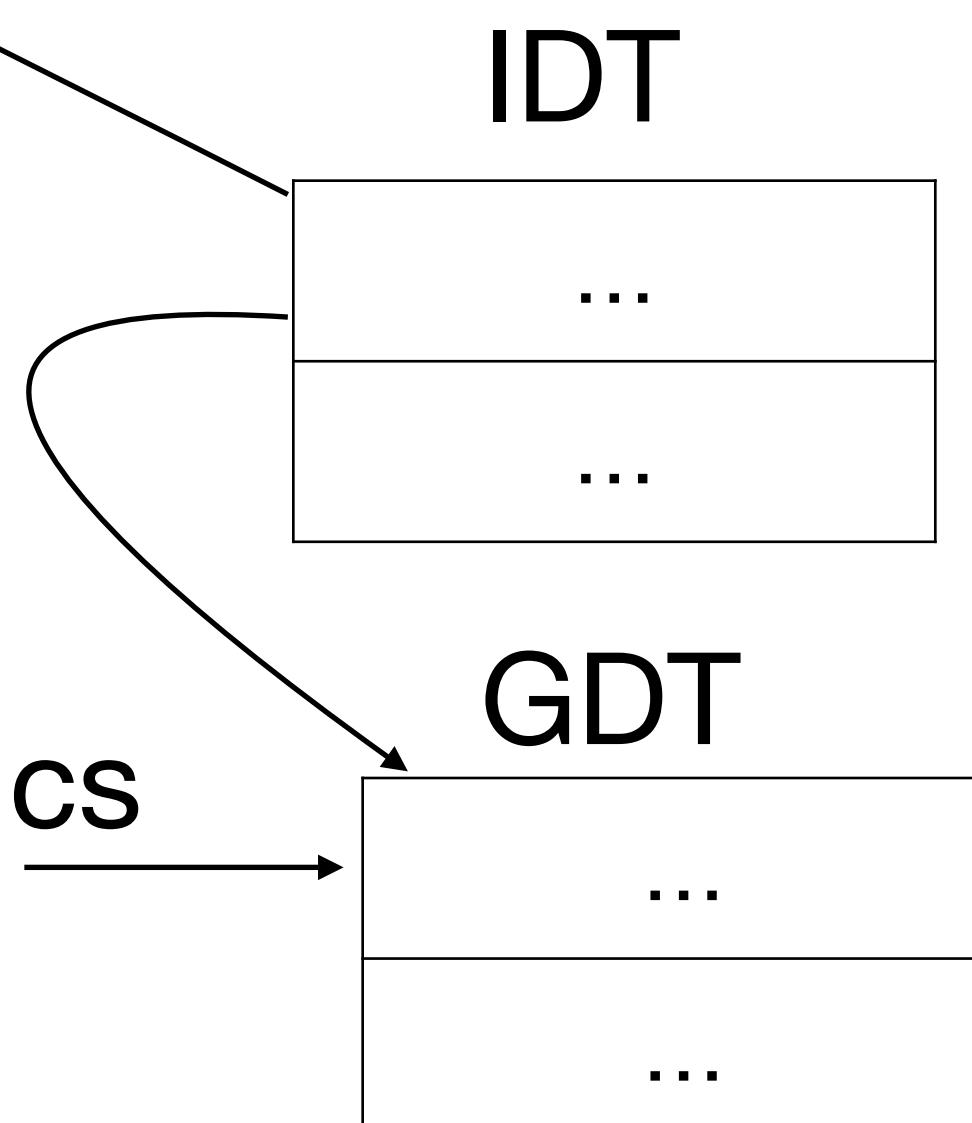
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## Stack

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tf
%eip

ebp

trap frame

esp

# Visualizing interrupt handling

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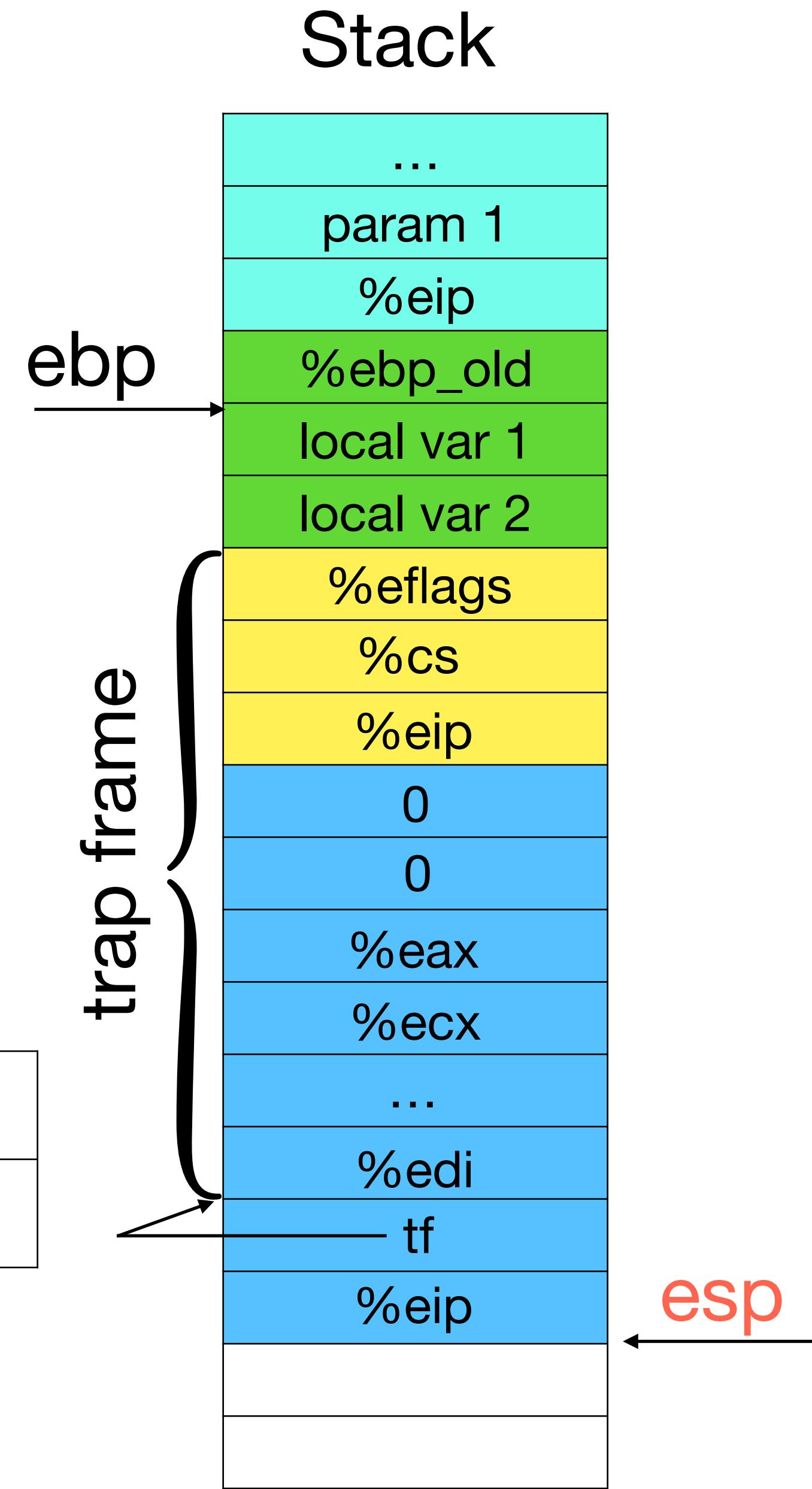
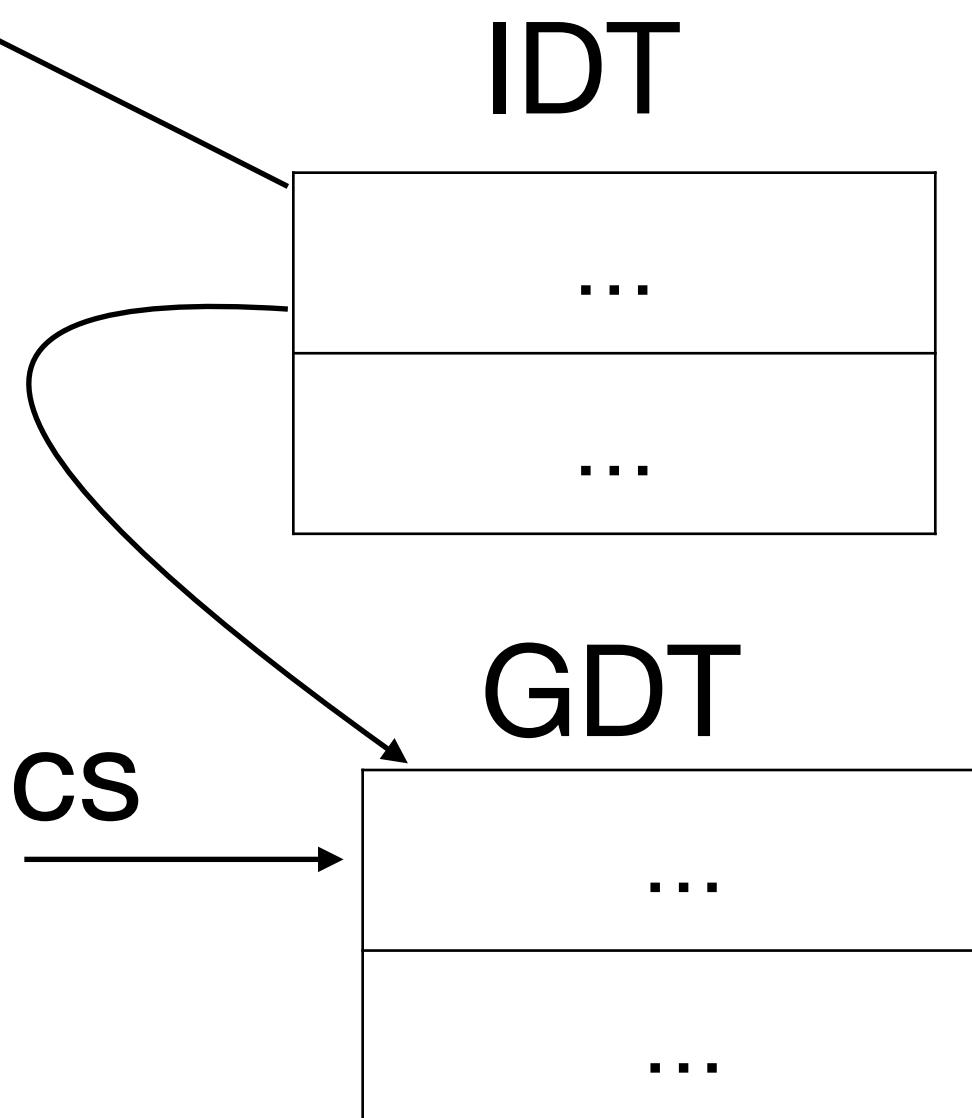
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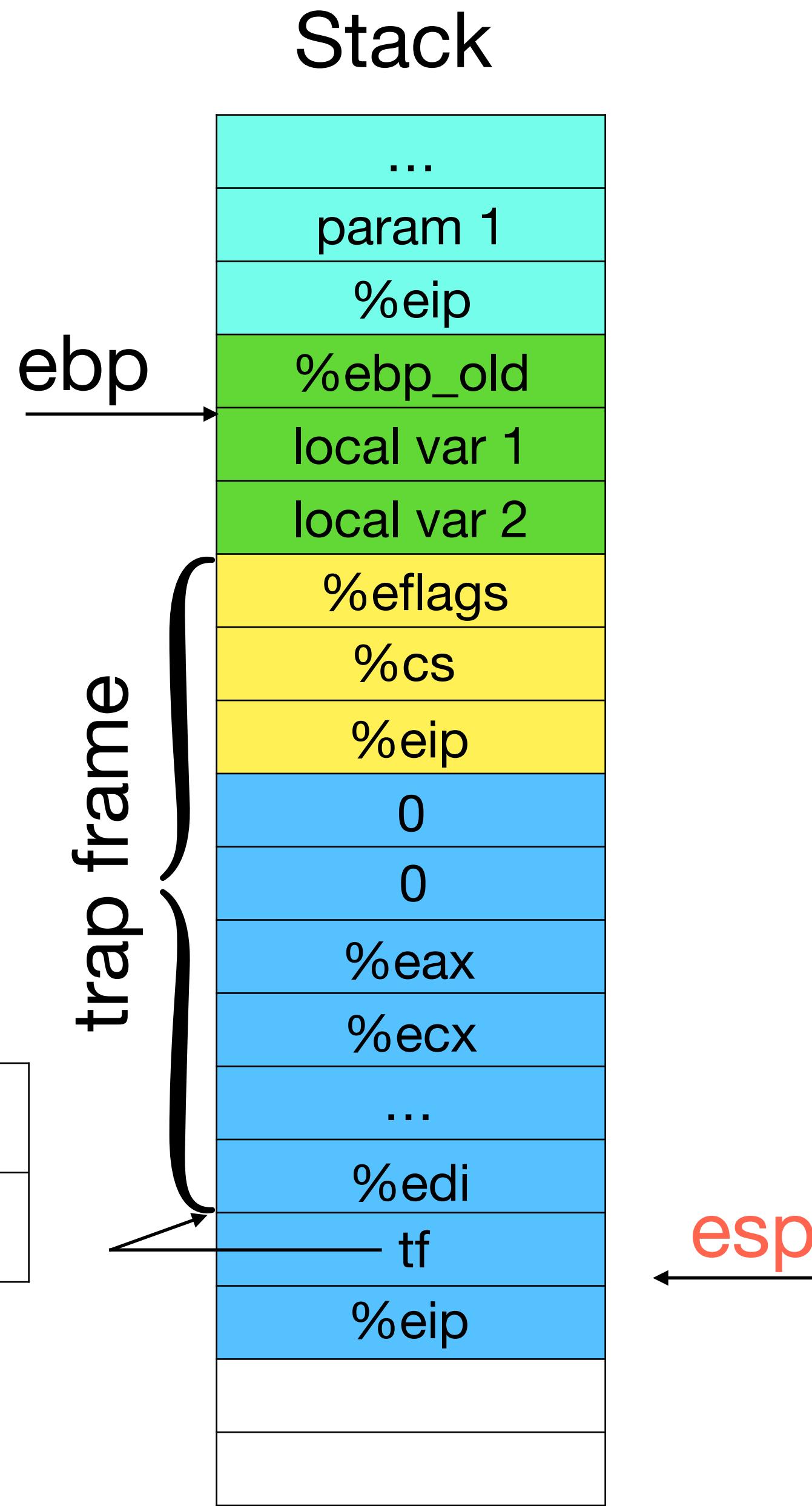
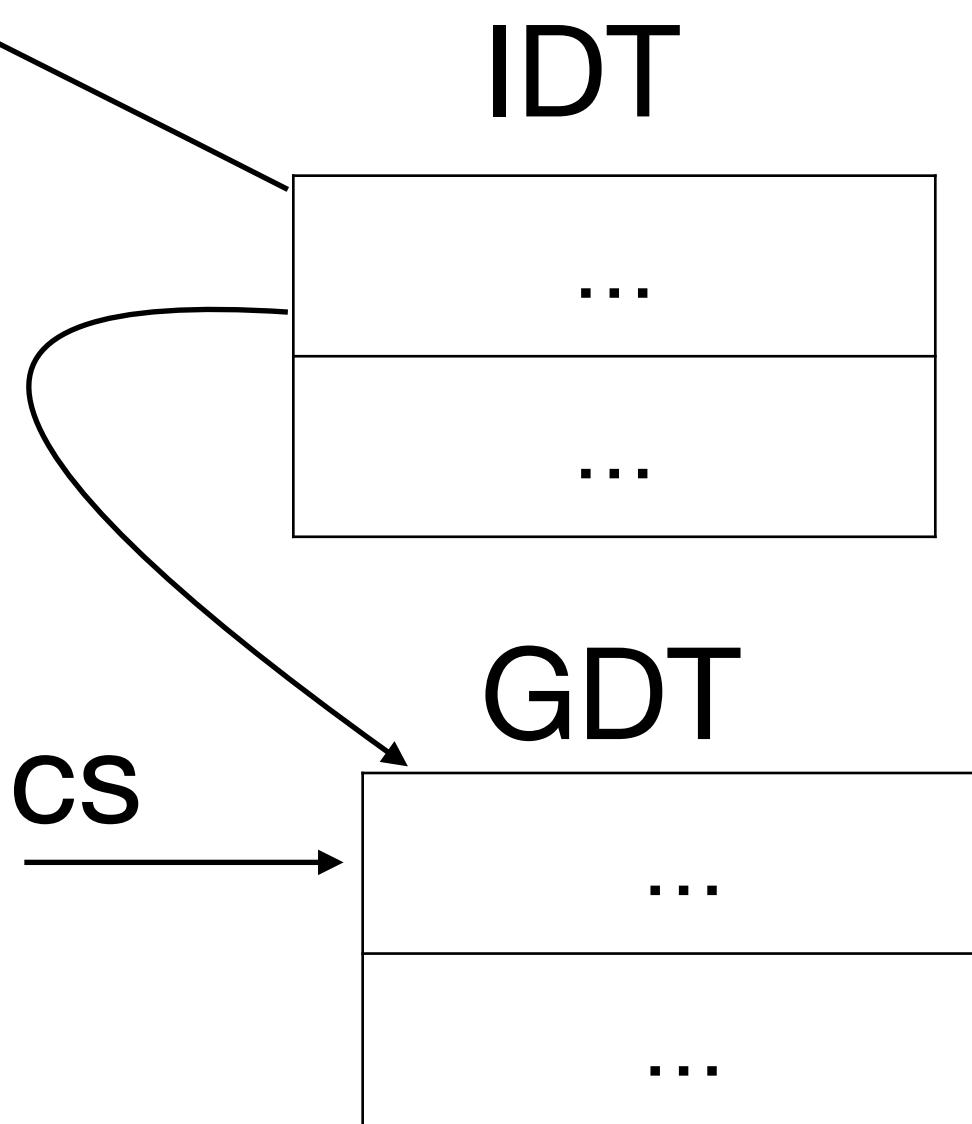
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**eip**

IDT

**CS**

GDT

**ebp**

**trap frame**

**esp**

Stack

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# Visualizing interrupt handling

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**eip**

IDT

**CS**

GDT

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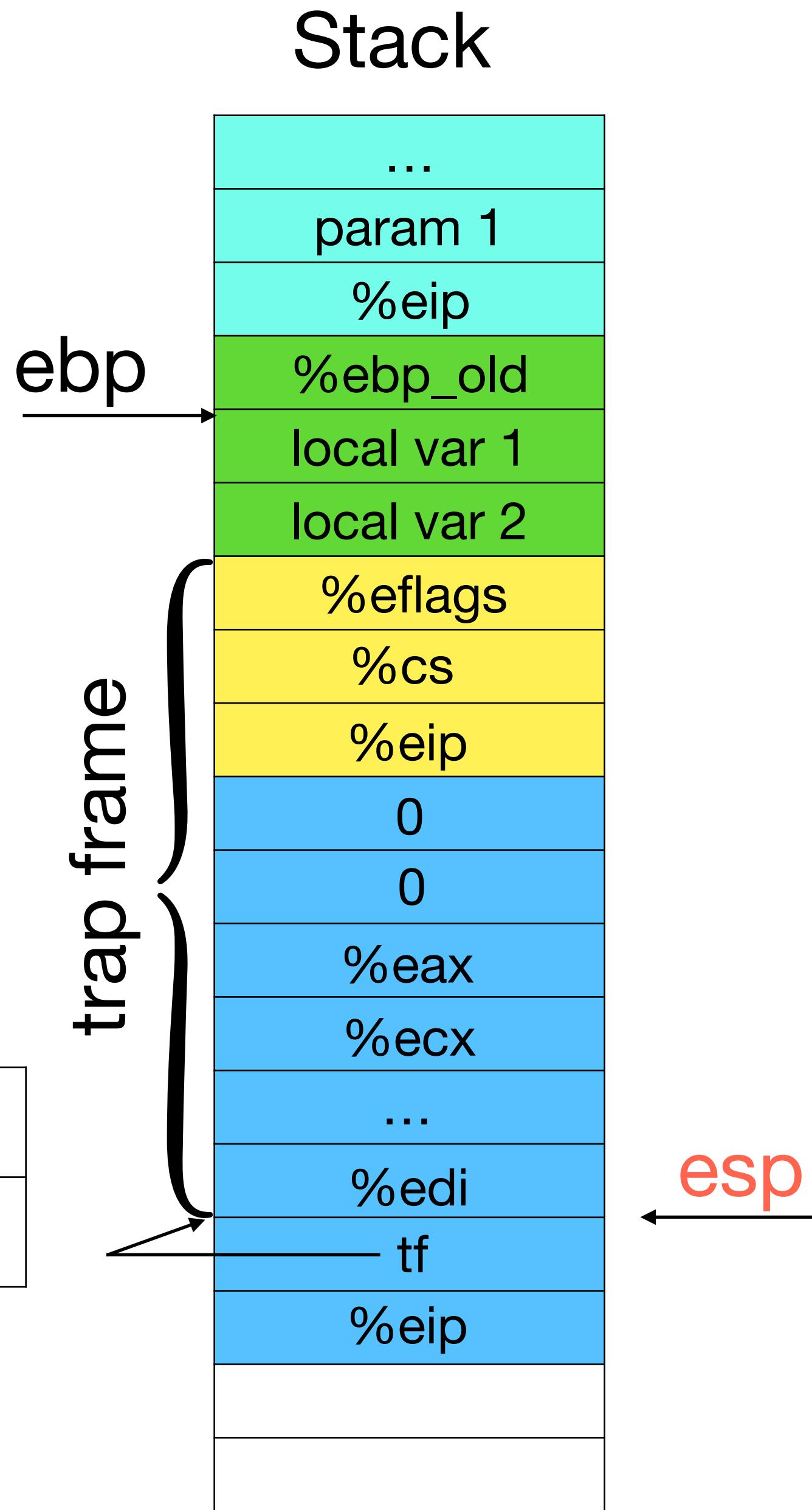
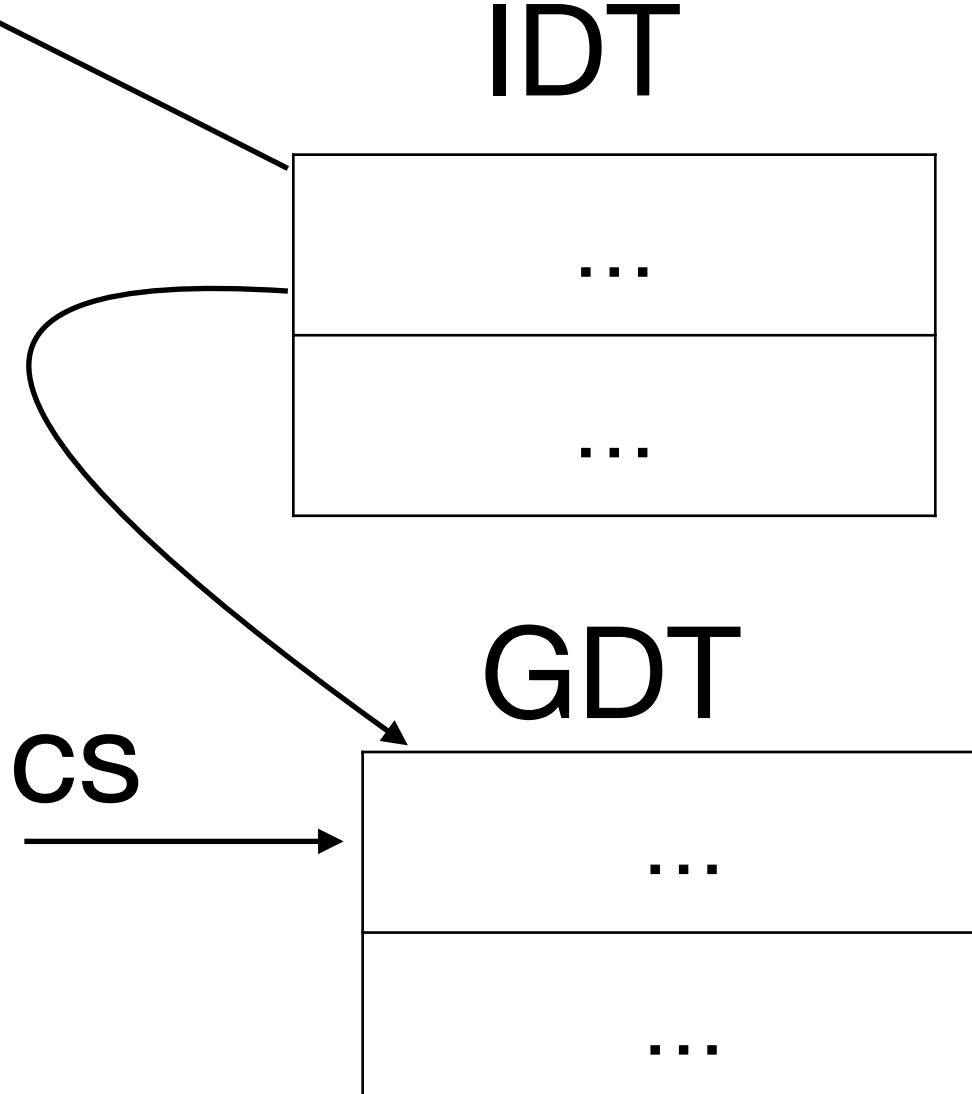
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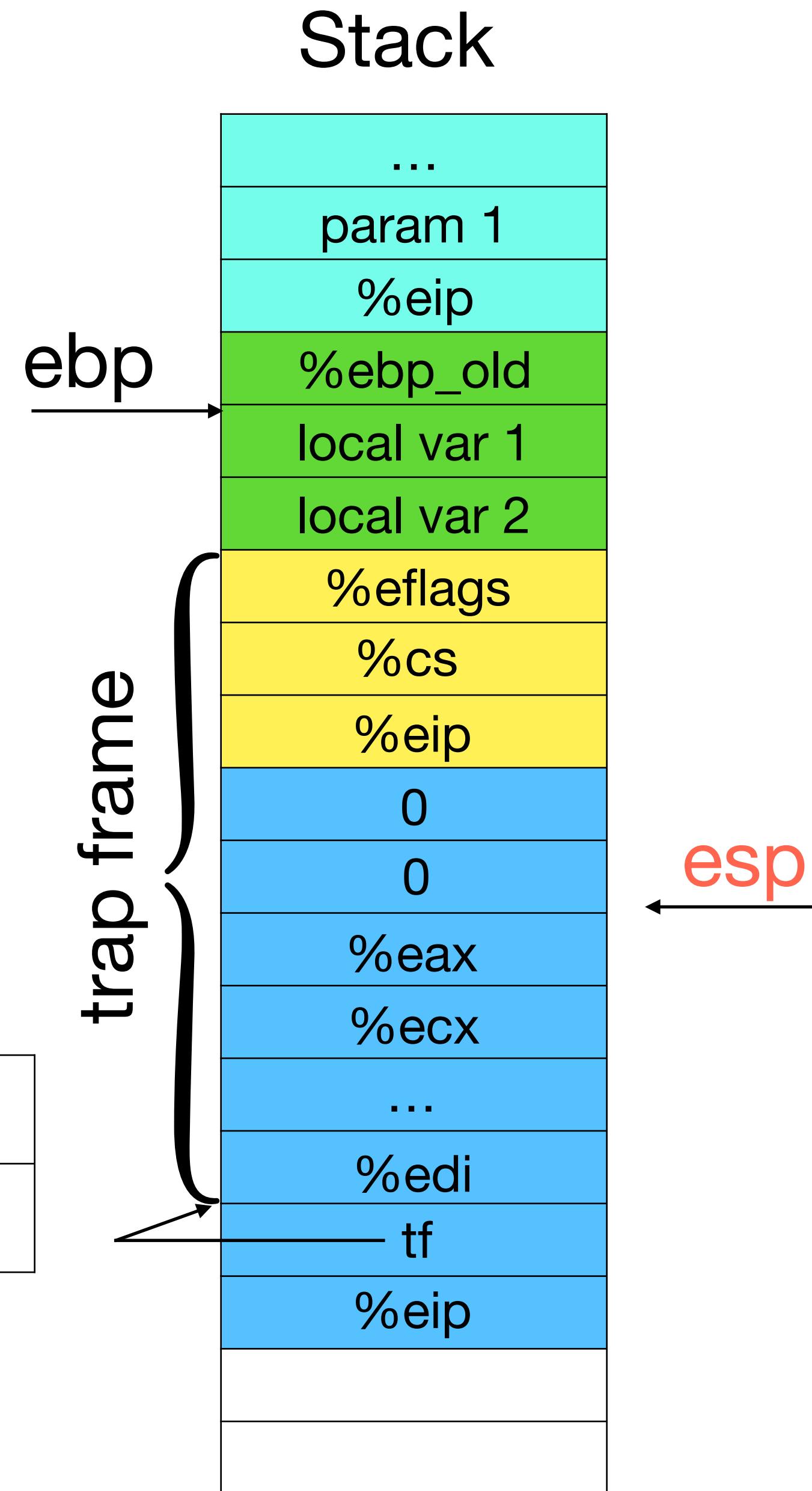
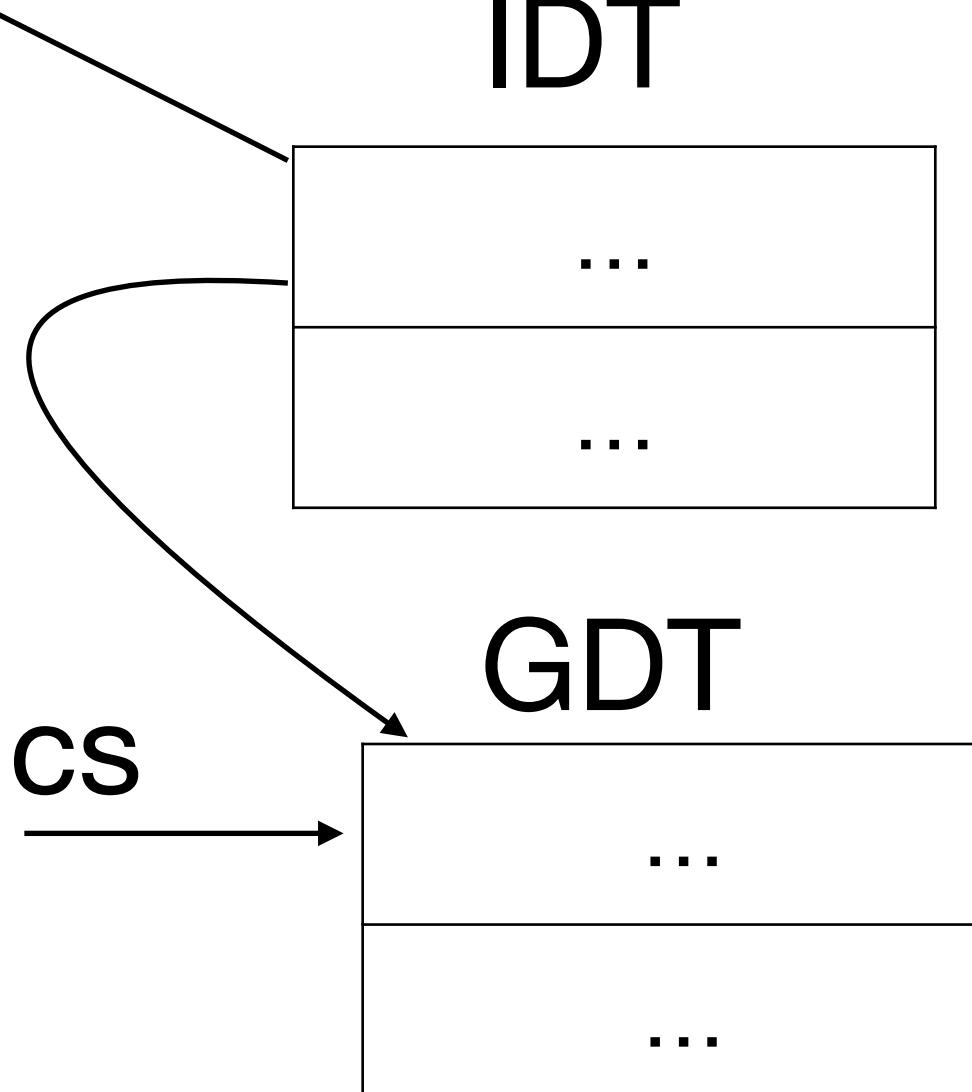
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eip



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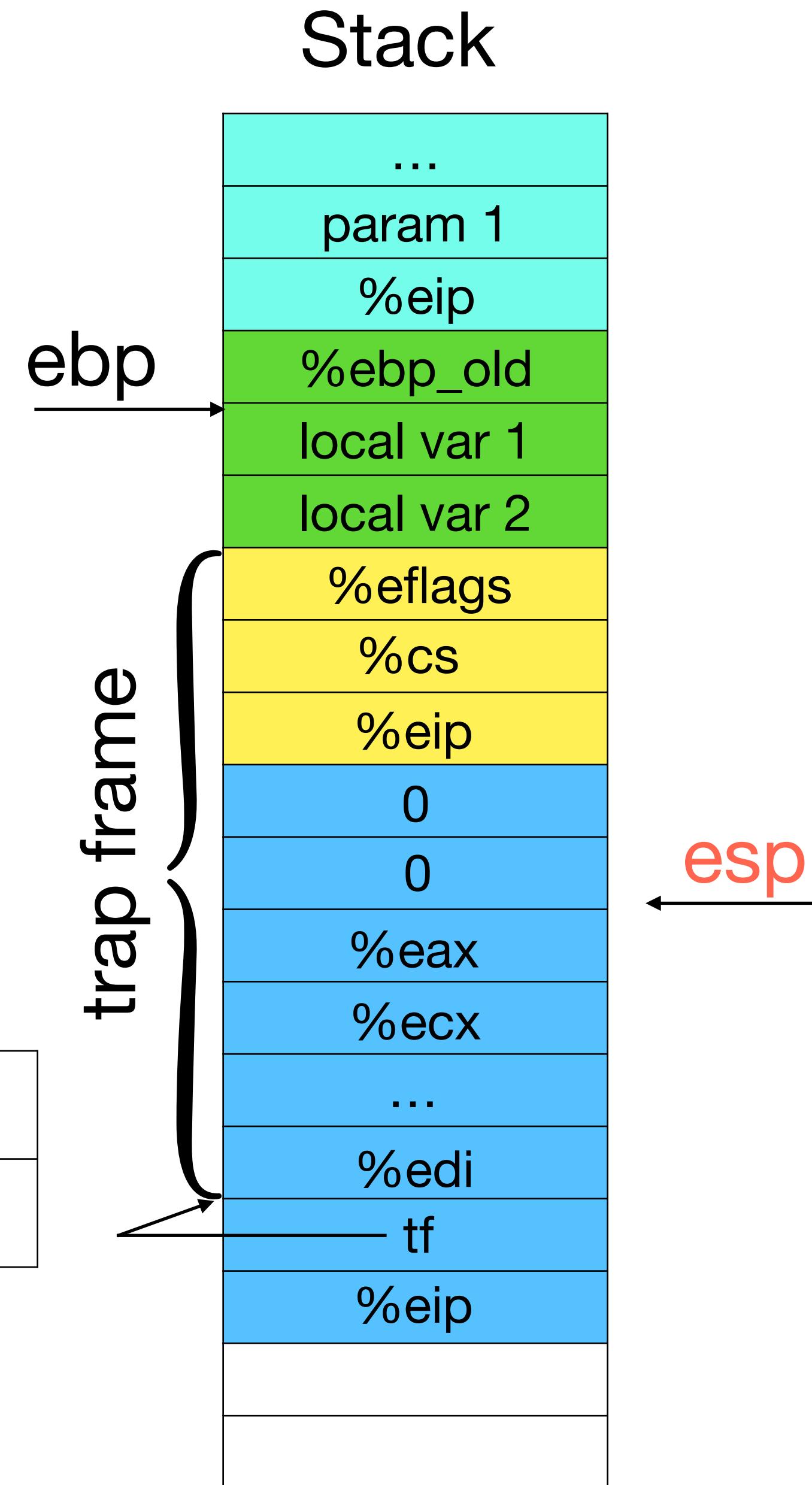
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**eip**

IDT

GDT

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# Visualizing interrupt handling

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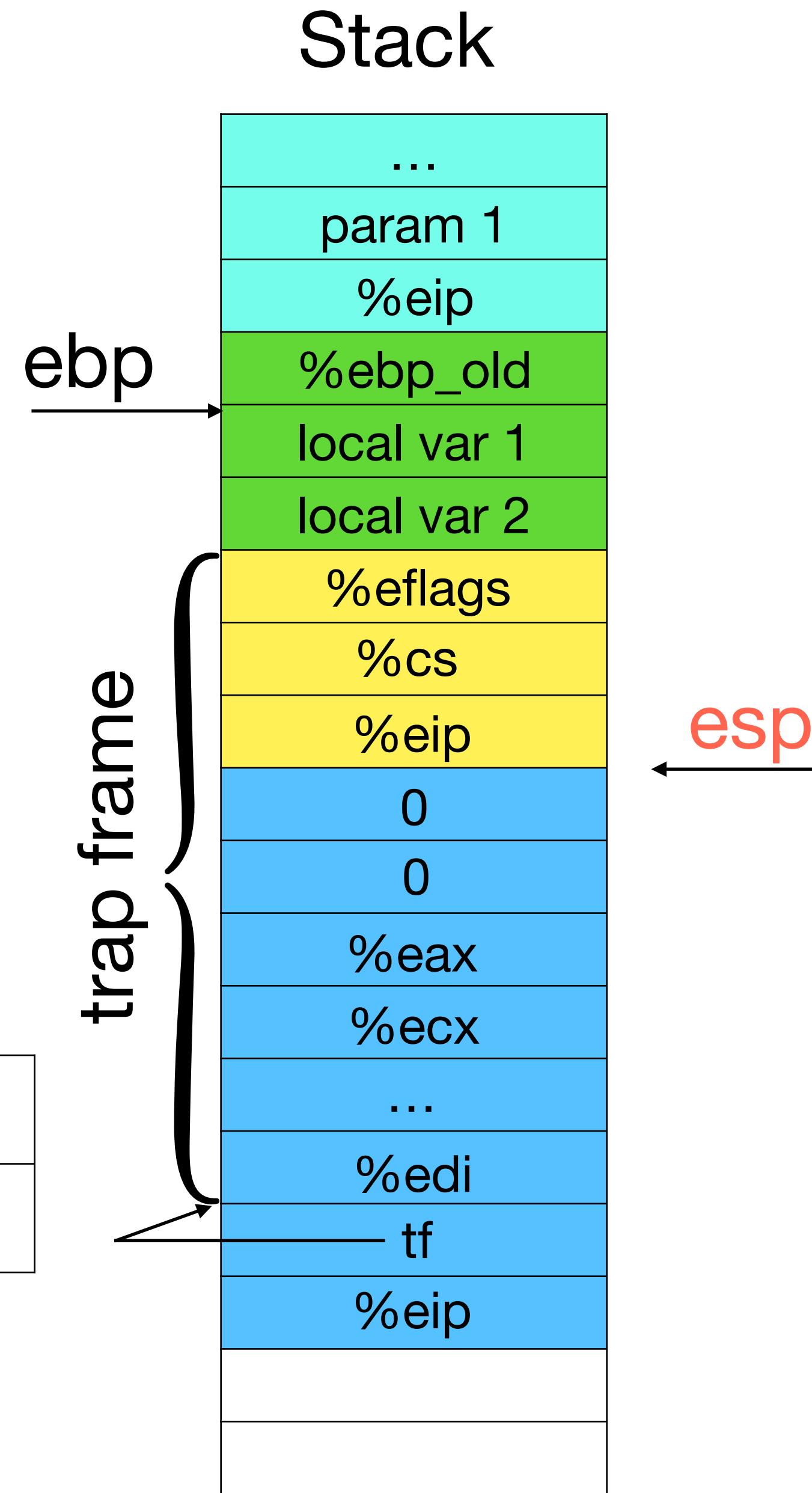
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**eip**

IDT

GDT

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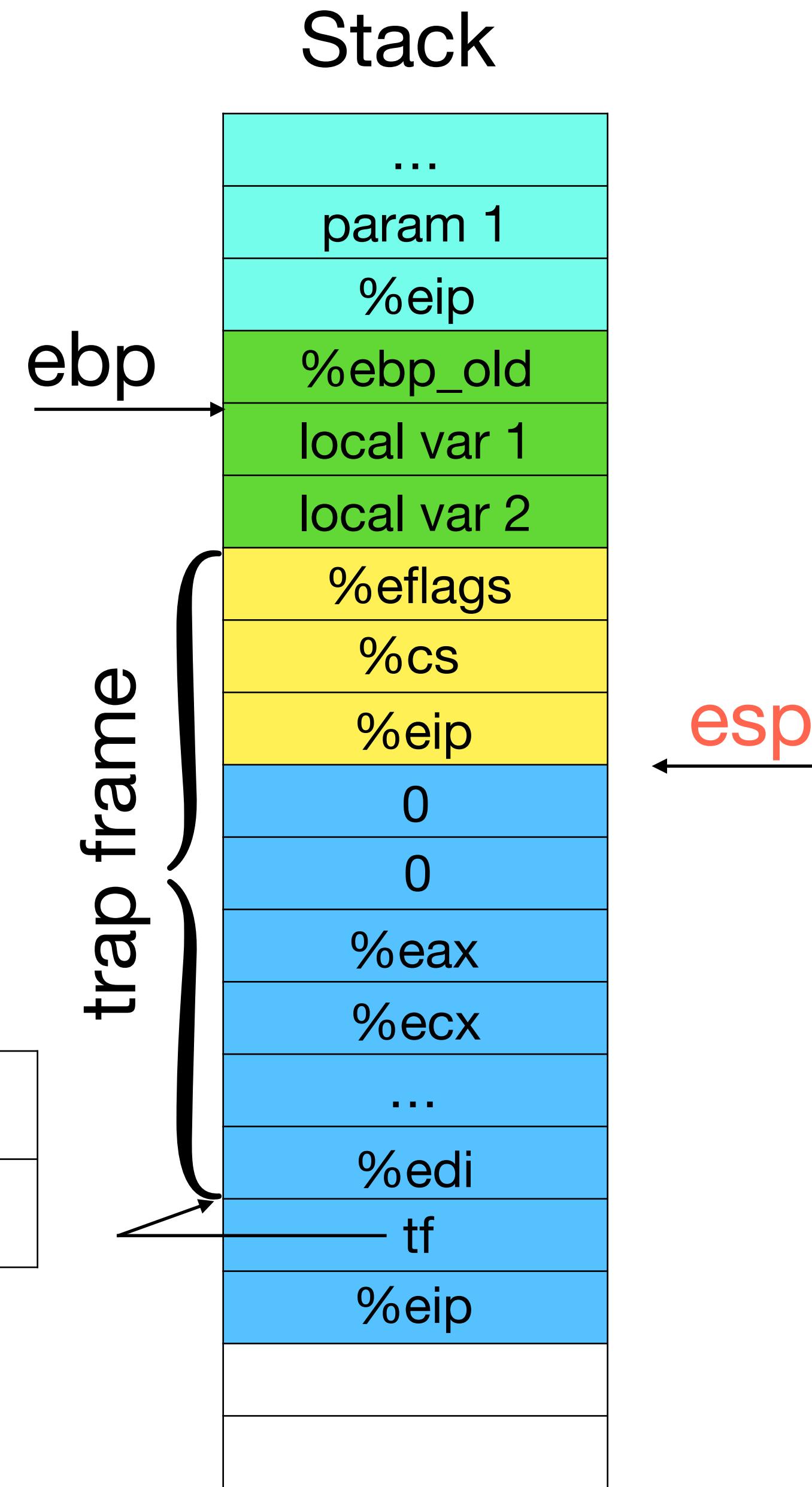
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eip

IDT

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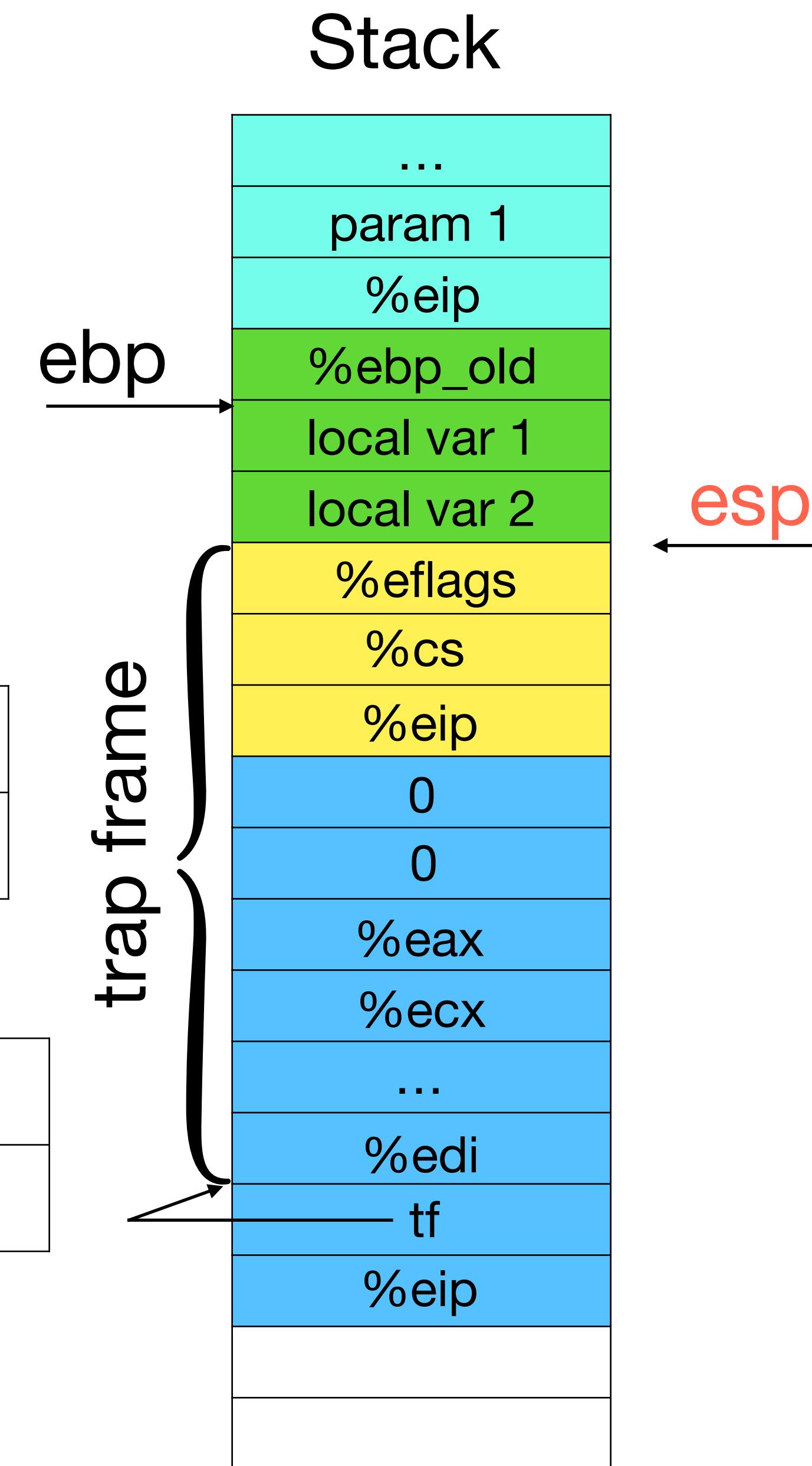
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eip

IDT

GDT

CS



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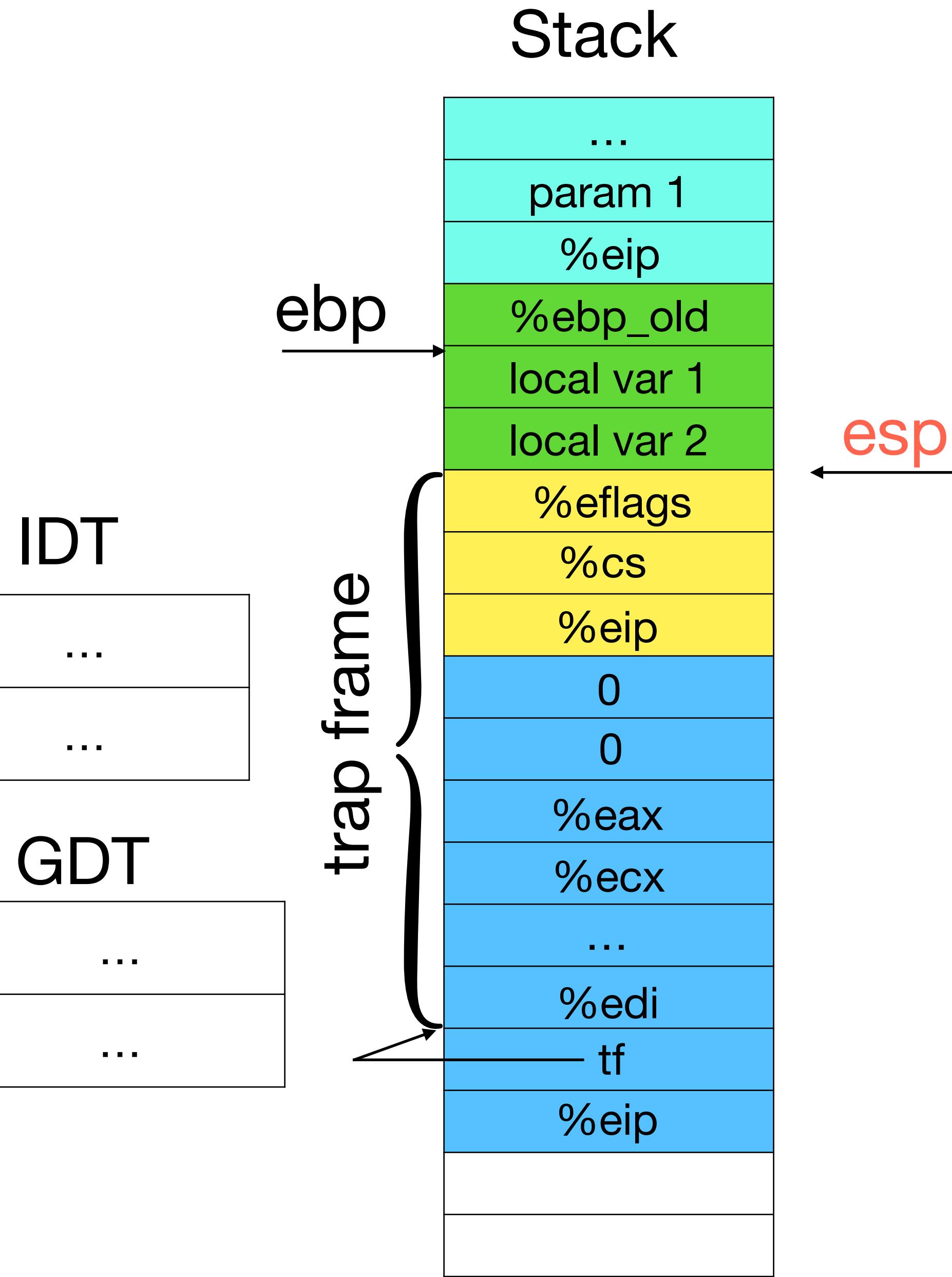
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eip



# Visualizing interrupt handling

**main.c**

```
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eip

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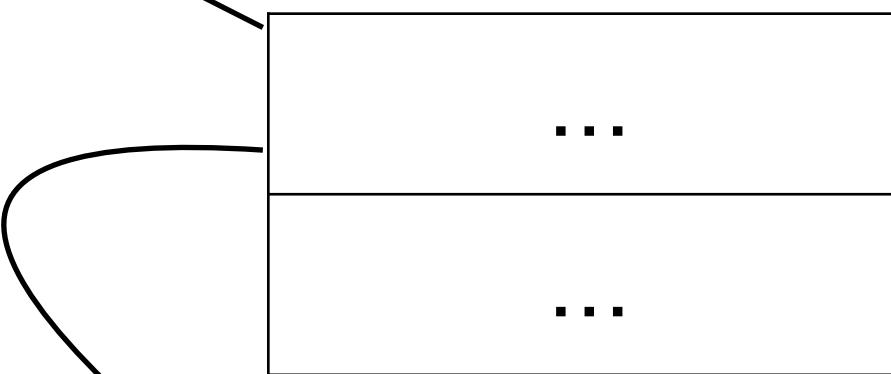
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```

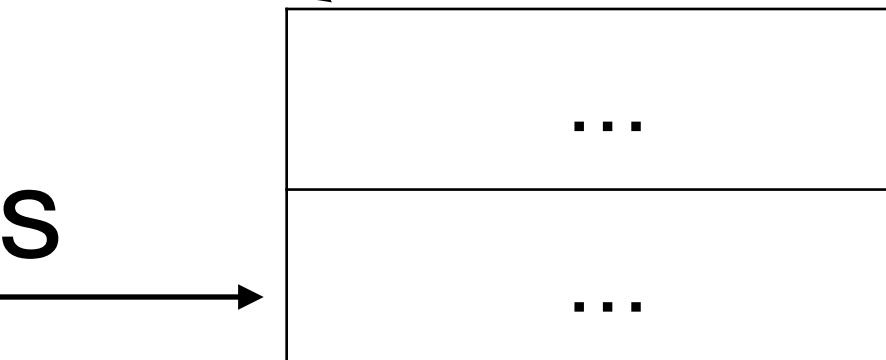
**trapasm.S**

```
alltraps:  
    pushal  
    pushl %esp  
    call trap  
    addl $4, %esp  
    popal  
    addl $0x8, %esp  
    iret
```

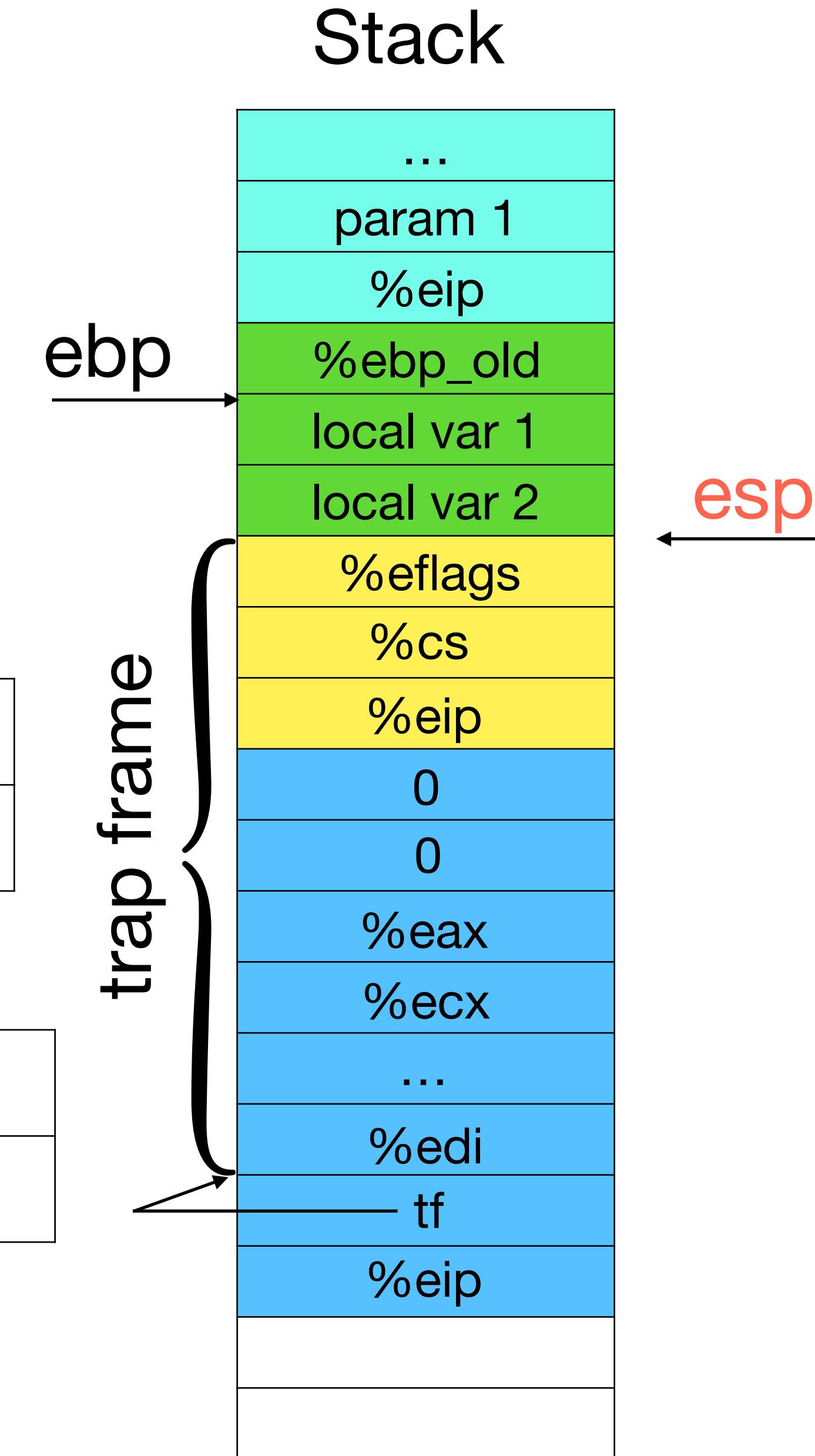
IDT



GDT



CS



# **Hard disk drive**

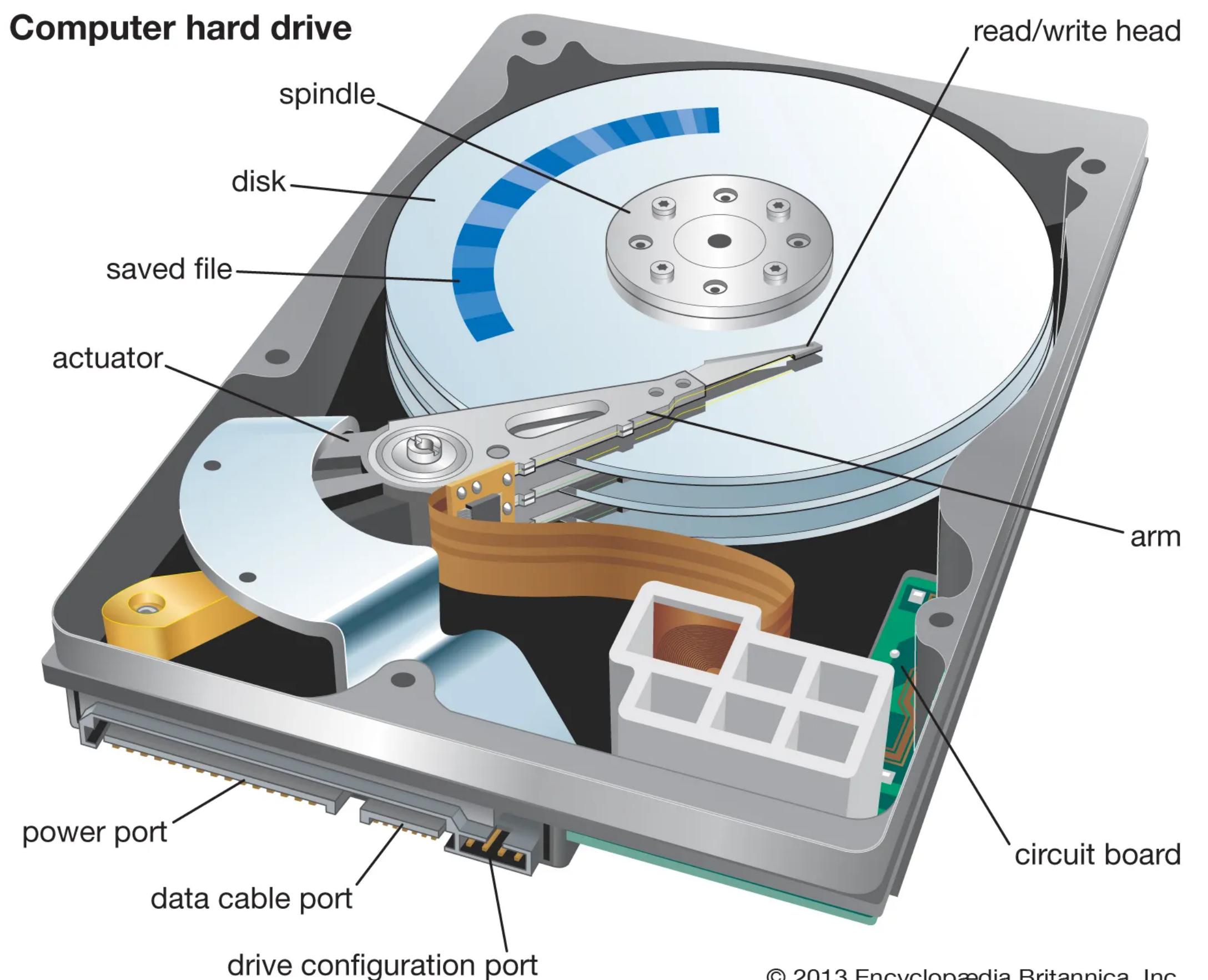
**Ch. 37 OSSTEP book**

# **Hard disk drive**

**Ch. 37 OSTEP book**

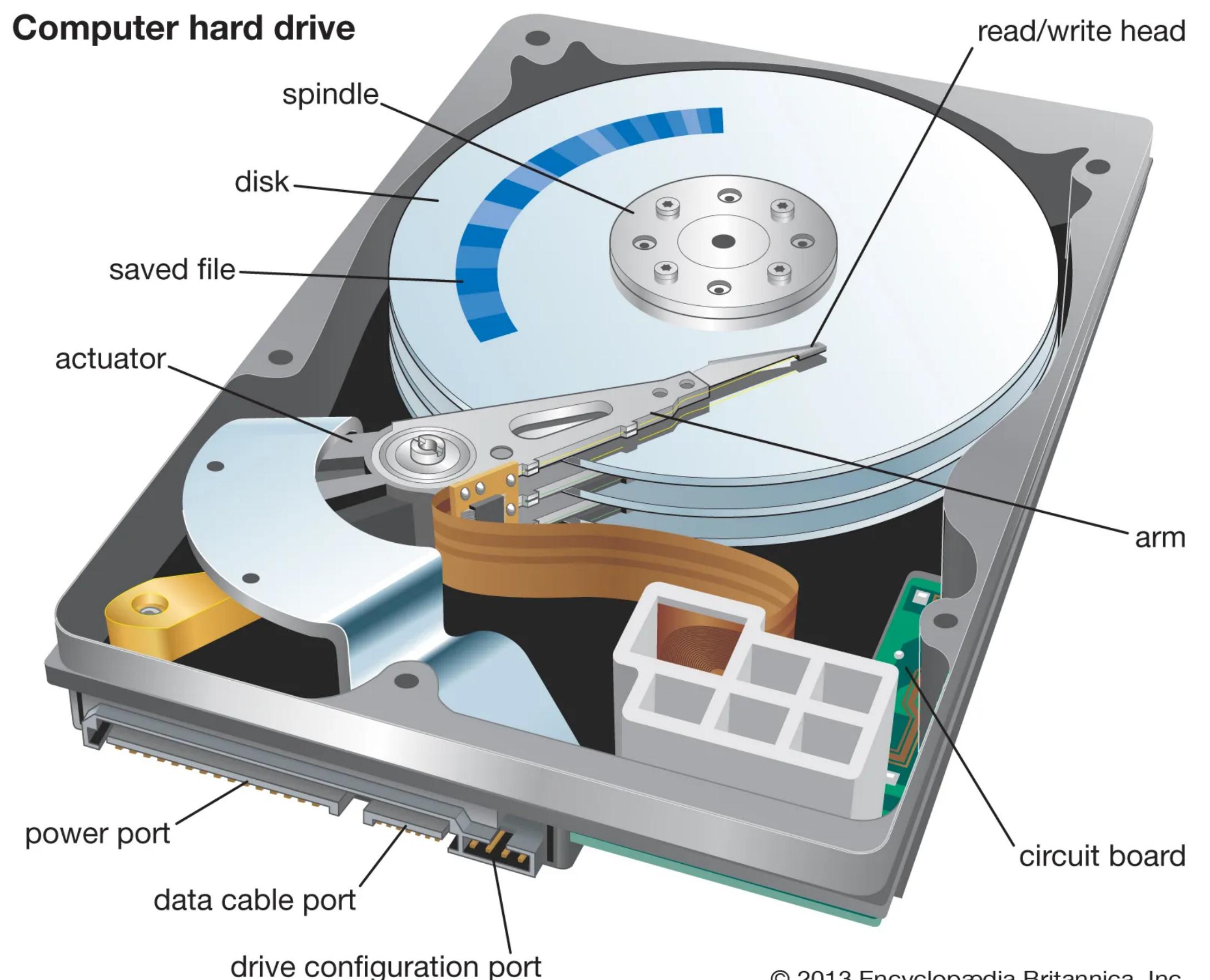
Understanding disk behaviour is important to write a performant file system

# Disk geometry



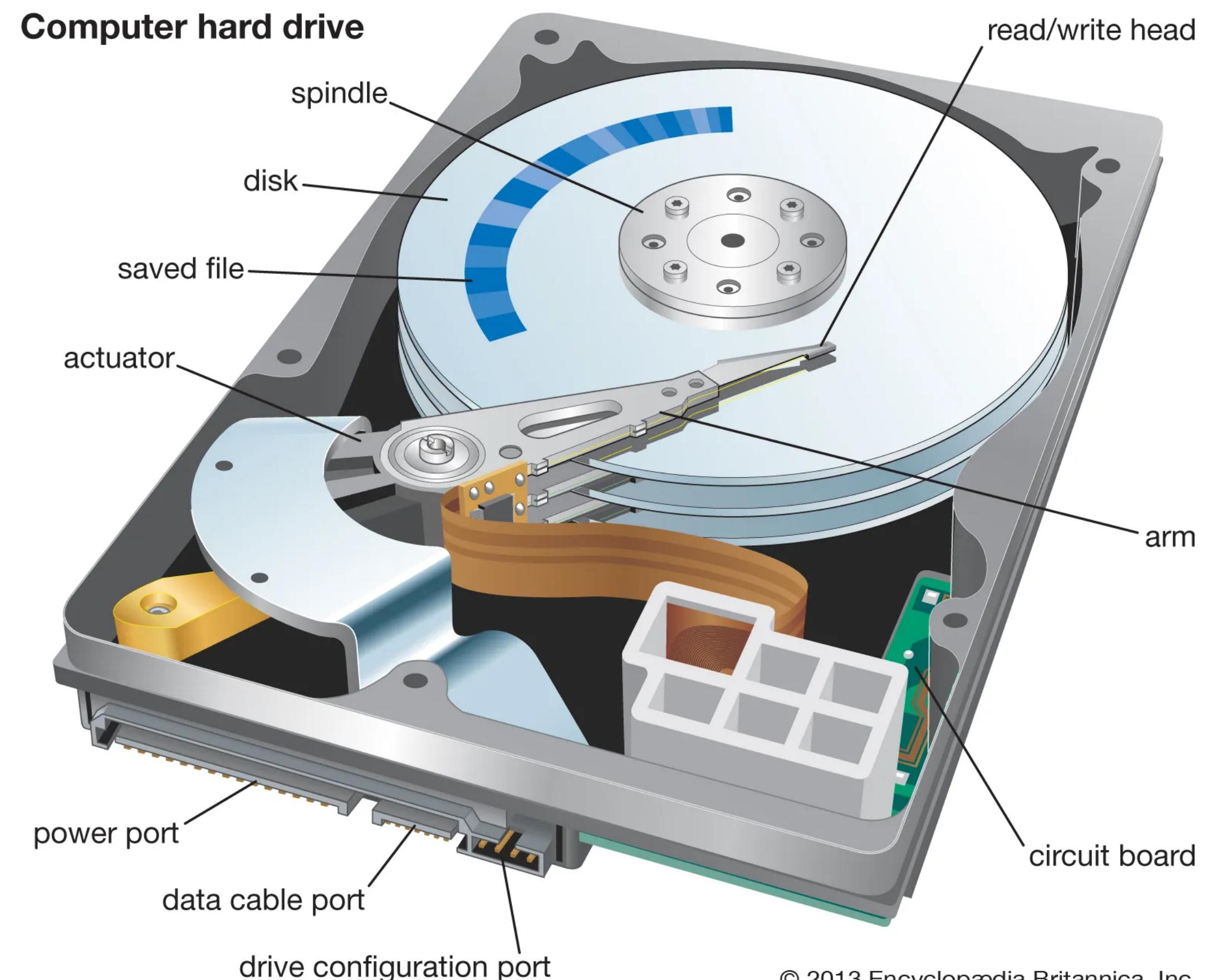
# Disk geometry

- Many platters spinning on a spindle (~10,000 RPM)



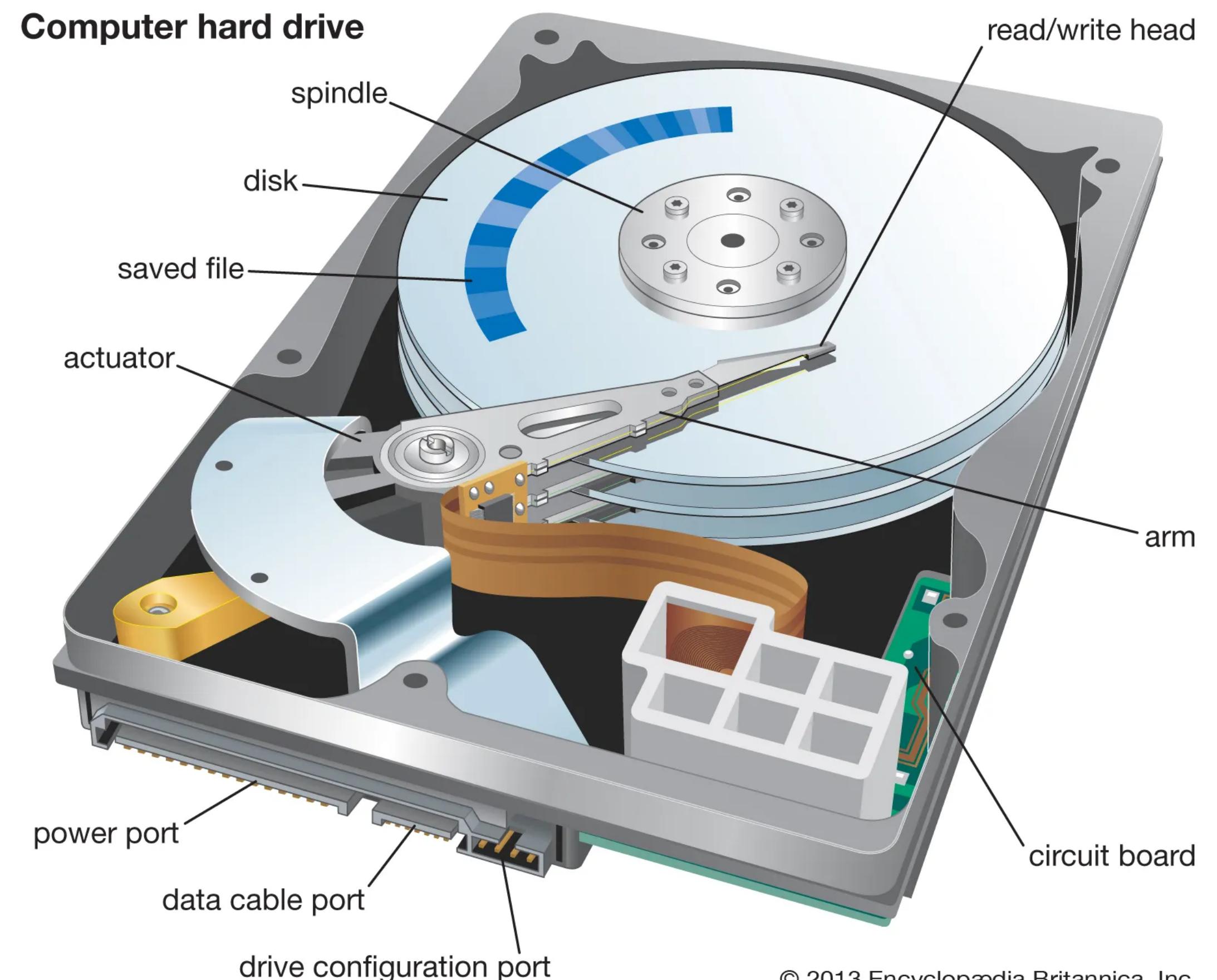
# Disk geometry

- Many platters spinning on a spindle (~10,000 RPM)
- Each platter has two disk heads, one for each surface



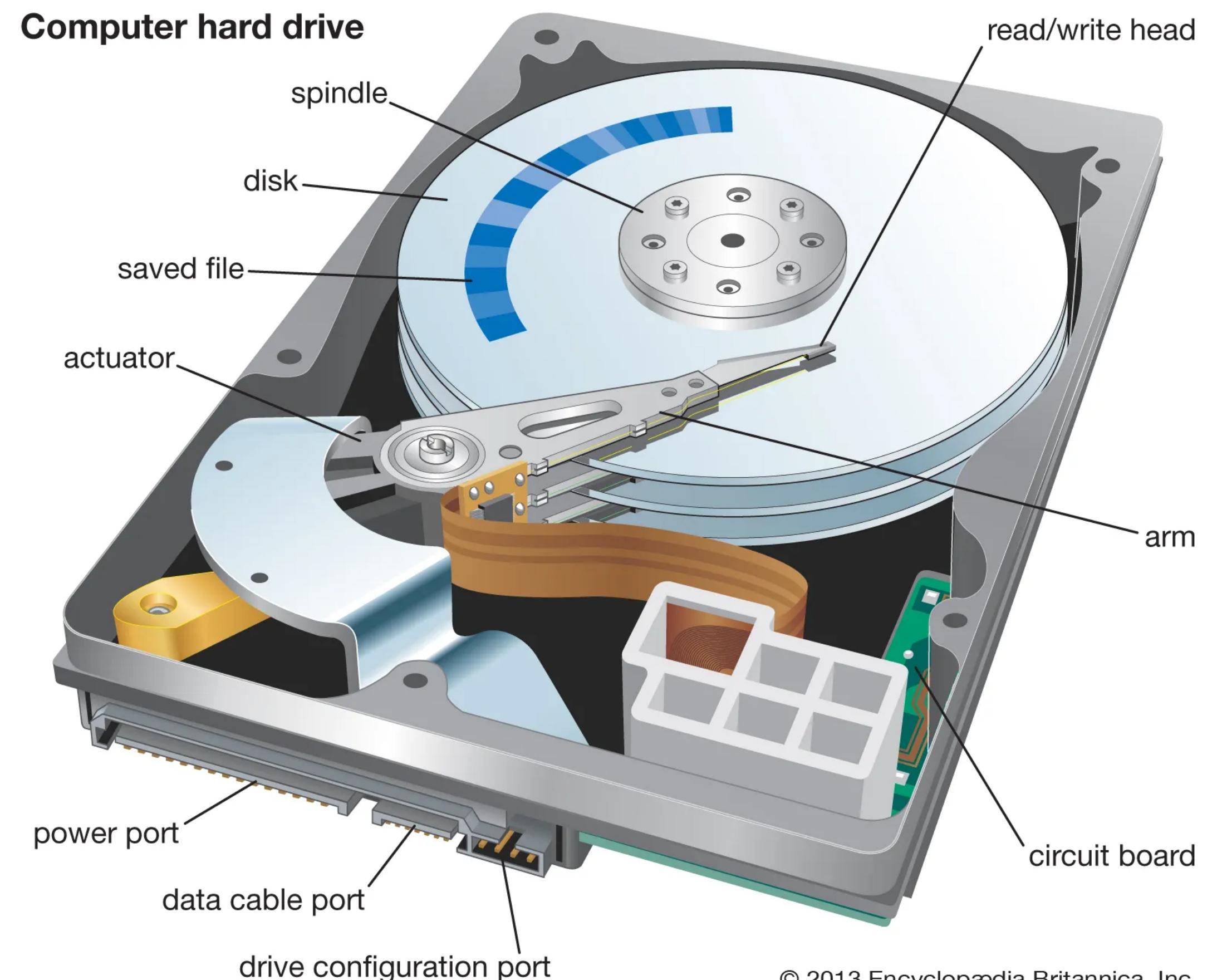
# Disk geometry

- Many platters spinning on a spindle (~10,000 RPM)
- Each platter has two disk heads, one for each surface
- Disk heads are controlled by actuator



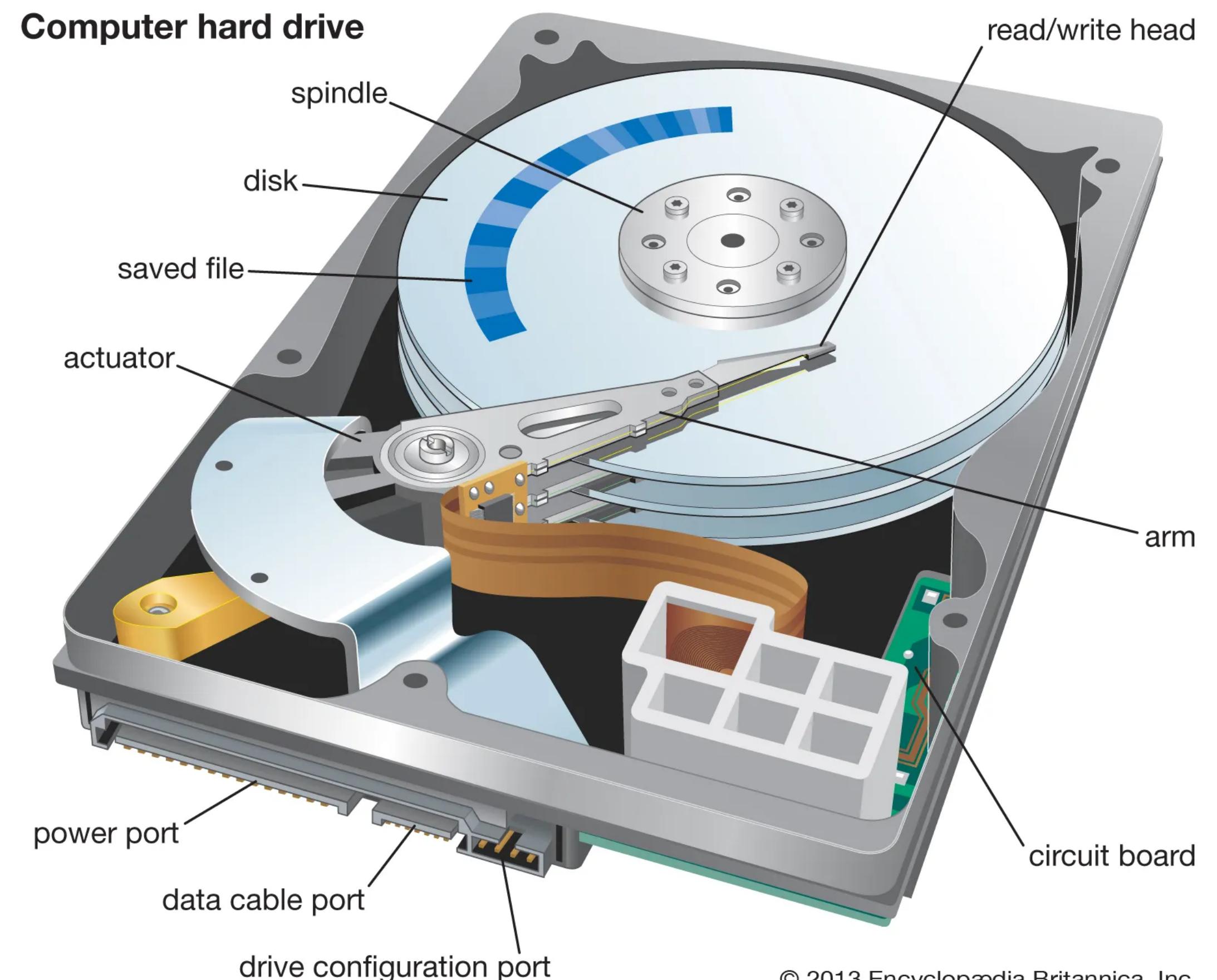
# Disk geometry

- Many platters spinning on a spindle (~10,000 RPM)
- Each platter has two disk heads, one for each surface
- Disk heads are controlled by actuator
- One circle is called a track. Data is stored in sectors

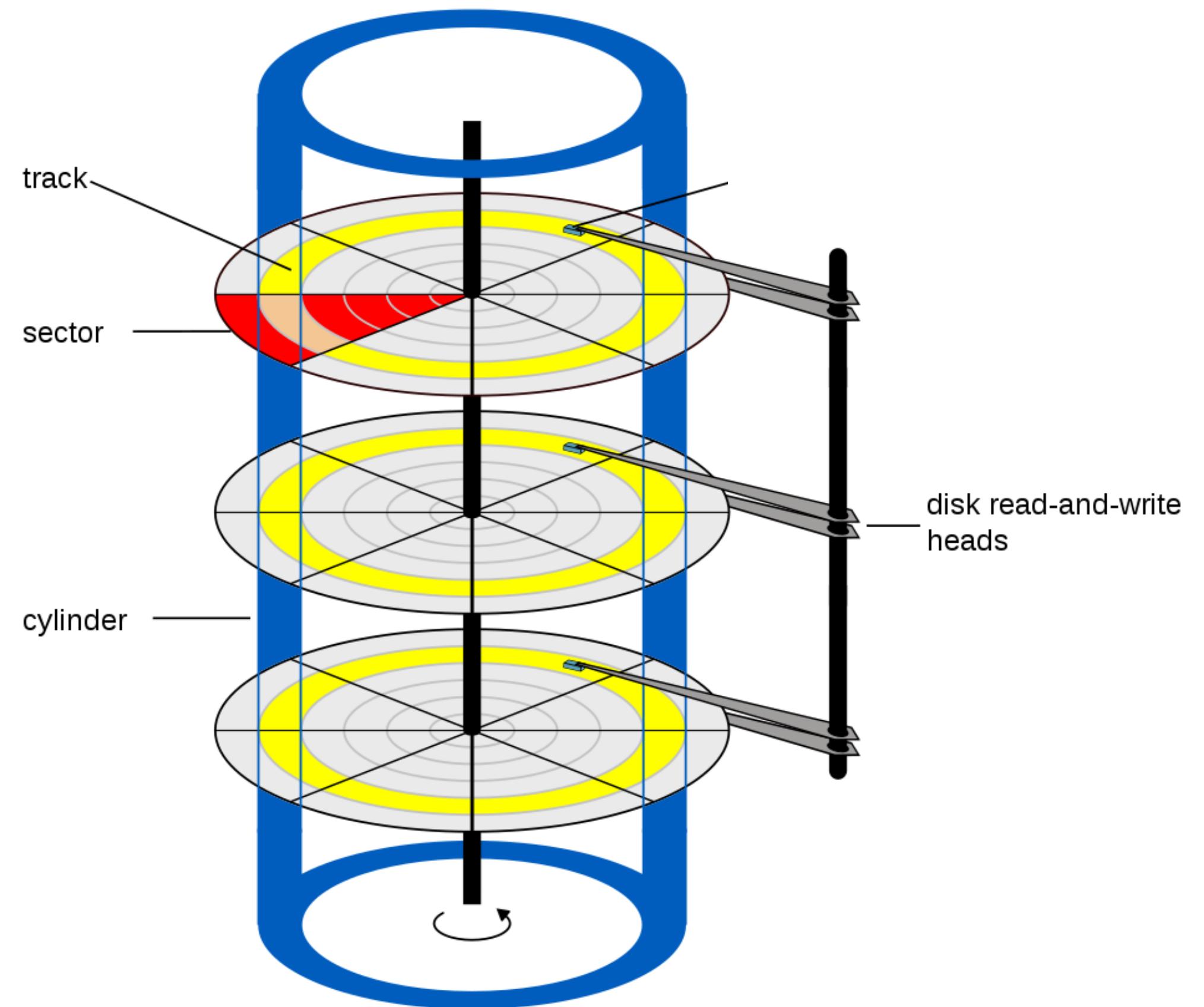


# Disk geometry

- Many platters spinning on a spindle (~10,000 RPM)
- Each platter has two disk heads, one for each surface
- Disk heads are controlled by actuator
- One circle is called a track. Data is stored in sectors
- When the head is above a sector, it can read/write data

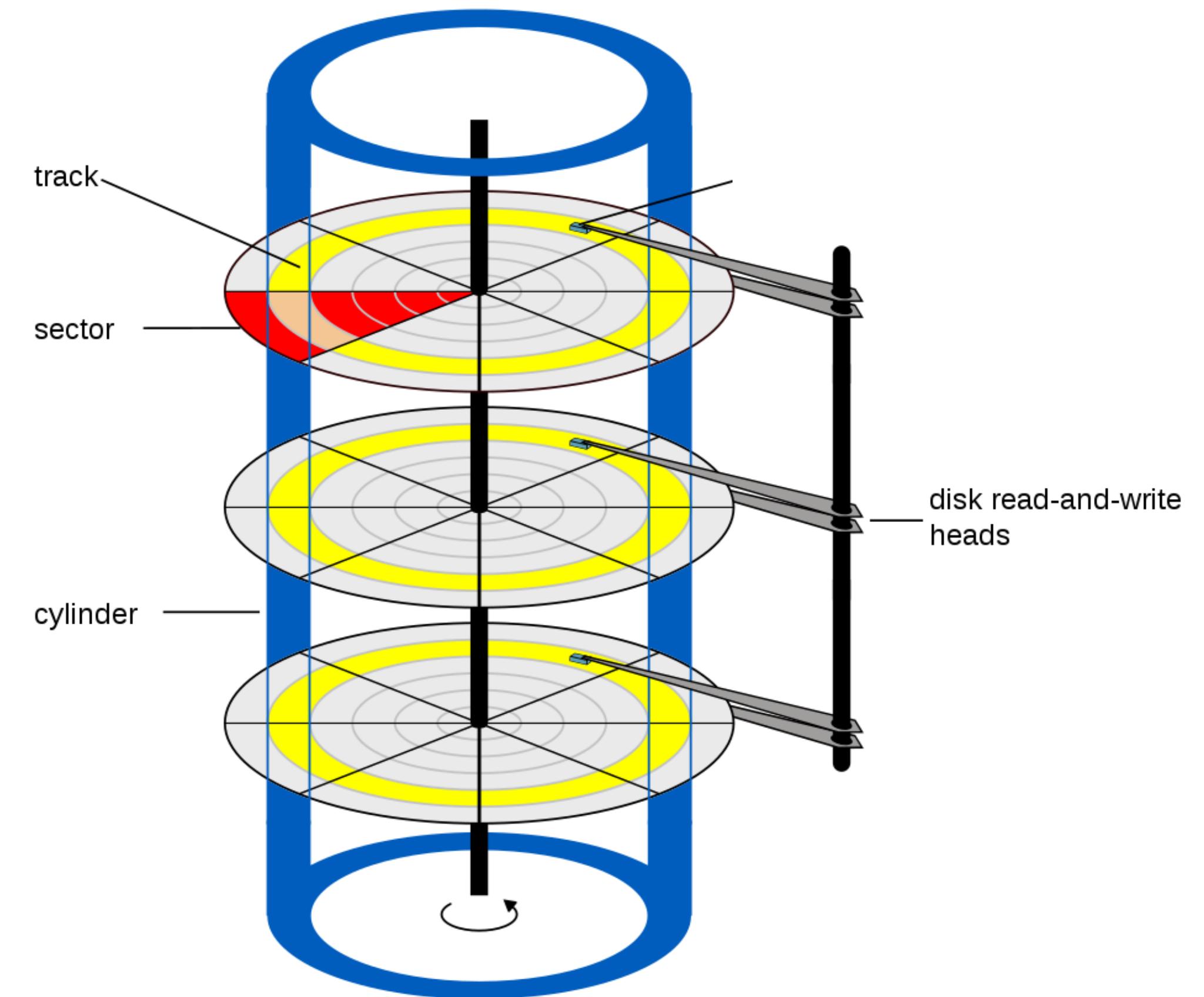


# CPU-disk interface: Cylinder-head-sector (CHS) addressing



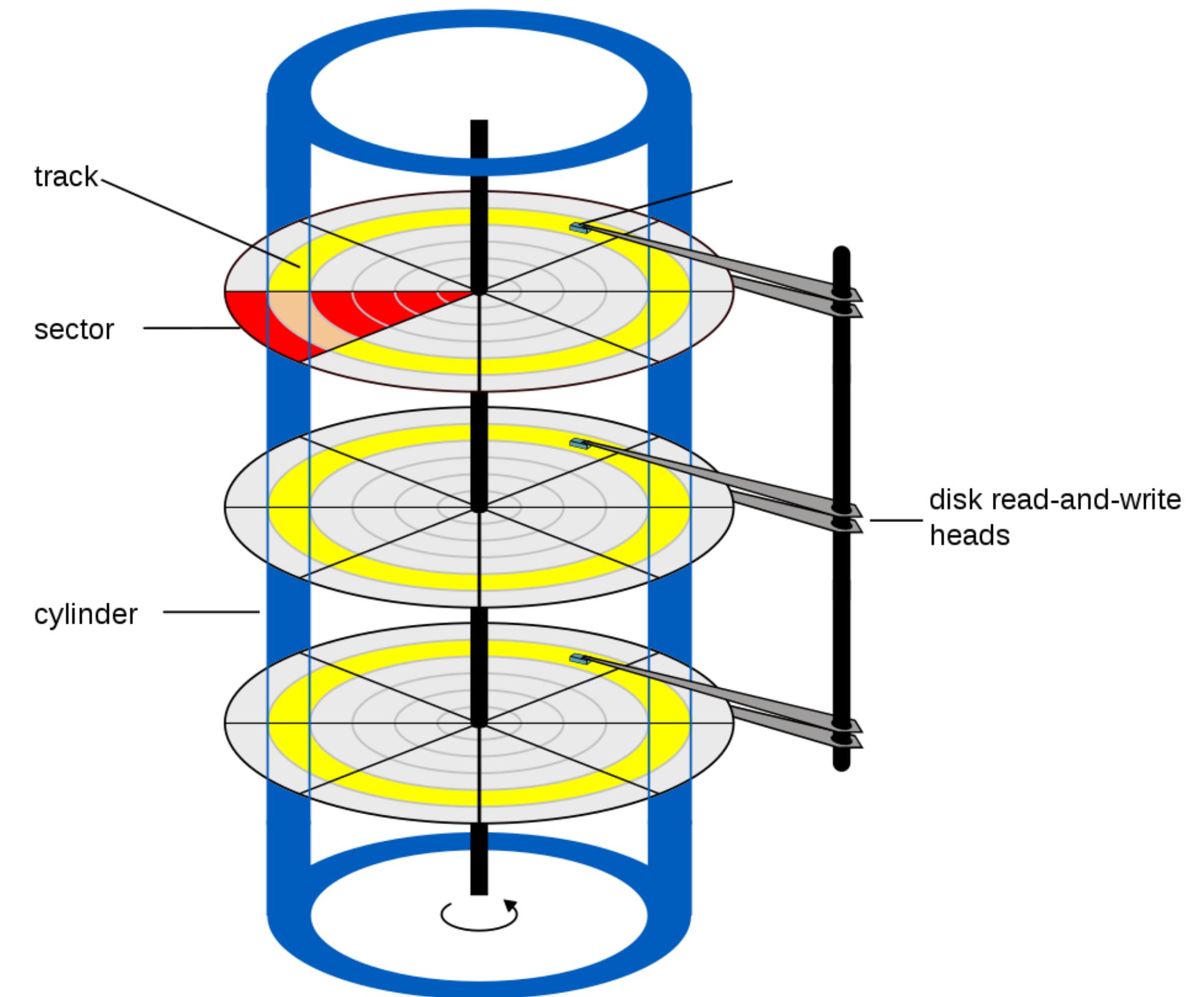
# CPU-disk interface: Cylinder-head-sector (CHS) addressing

- C: cylinder number. 1024 cylinders.



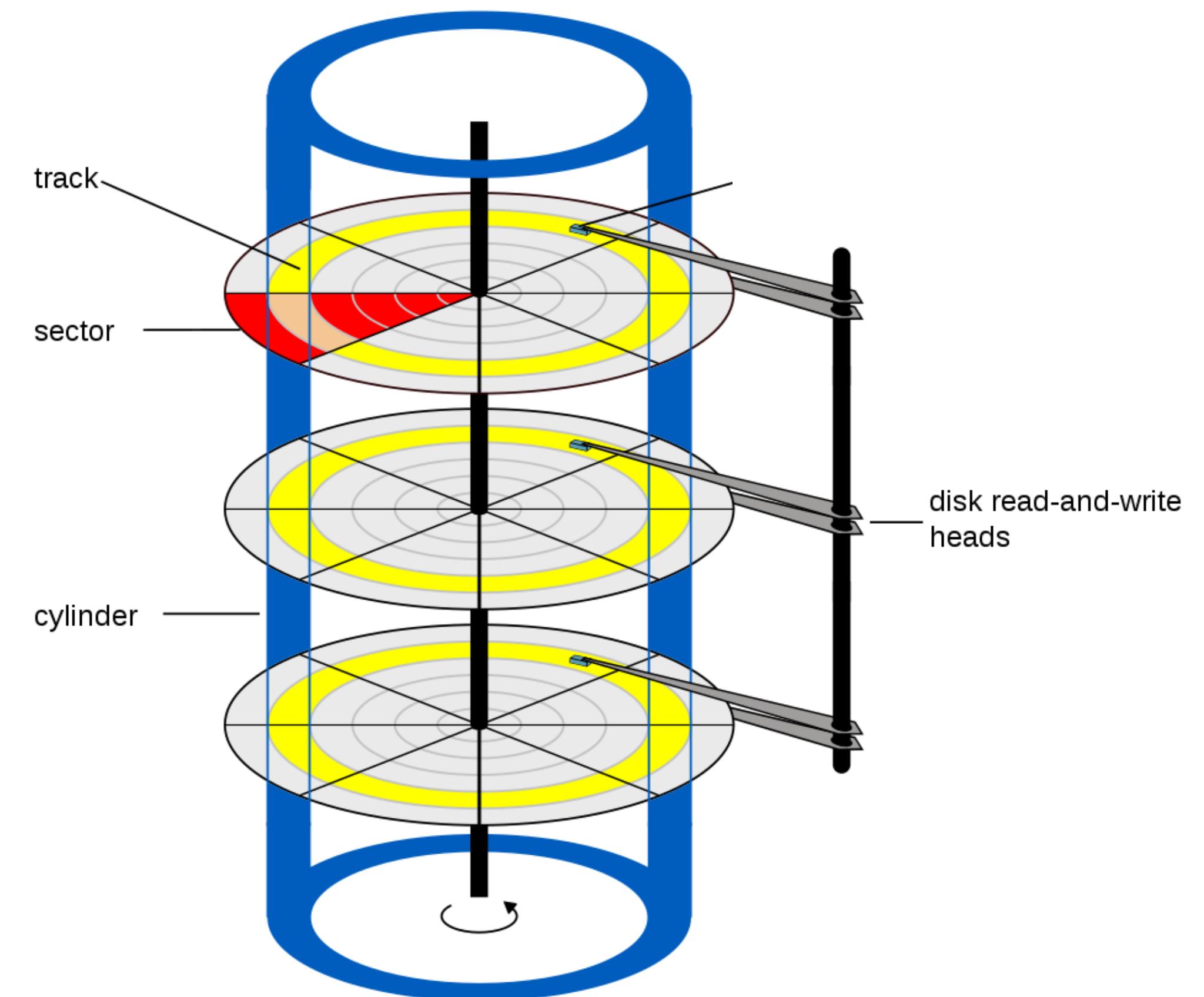
# CPU-disk interface: Cylinder-head-sector (CHS) addressing

- C: cylinder number. 1024 cylinders.
- H: head number. 255 heads (tracks).



# CPU-disk interface: Cylinder-head-sector (CHS) addressing

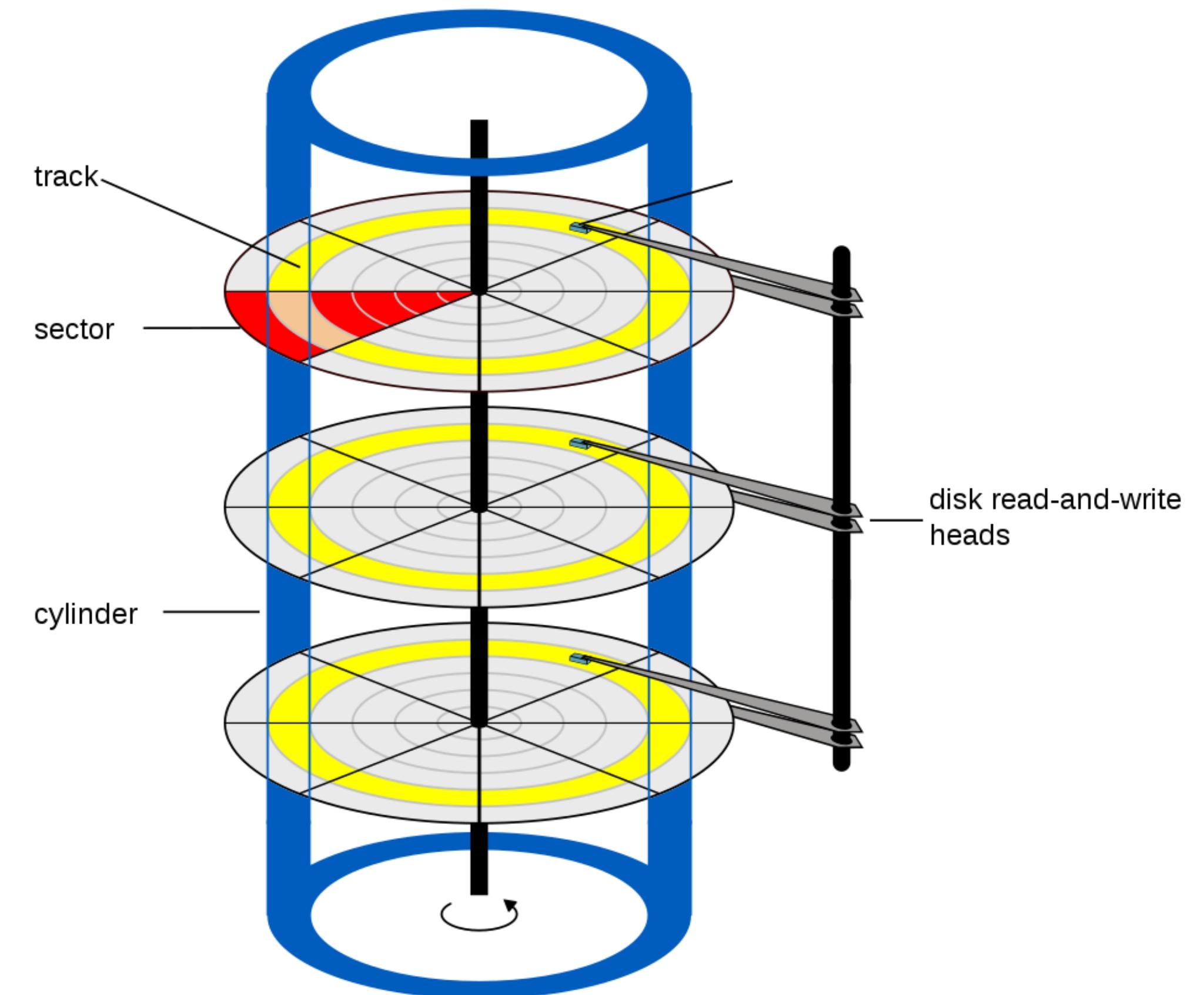
- C: cylinder number. 1024 cylinders.
- H: head number. 255 heads (tracks).
- S: sector number. 63 sectors per track.



# CPU-disk interface: Cylinder-head-sector (CHS) addressing

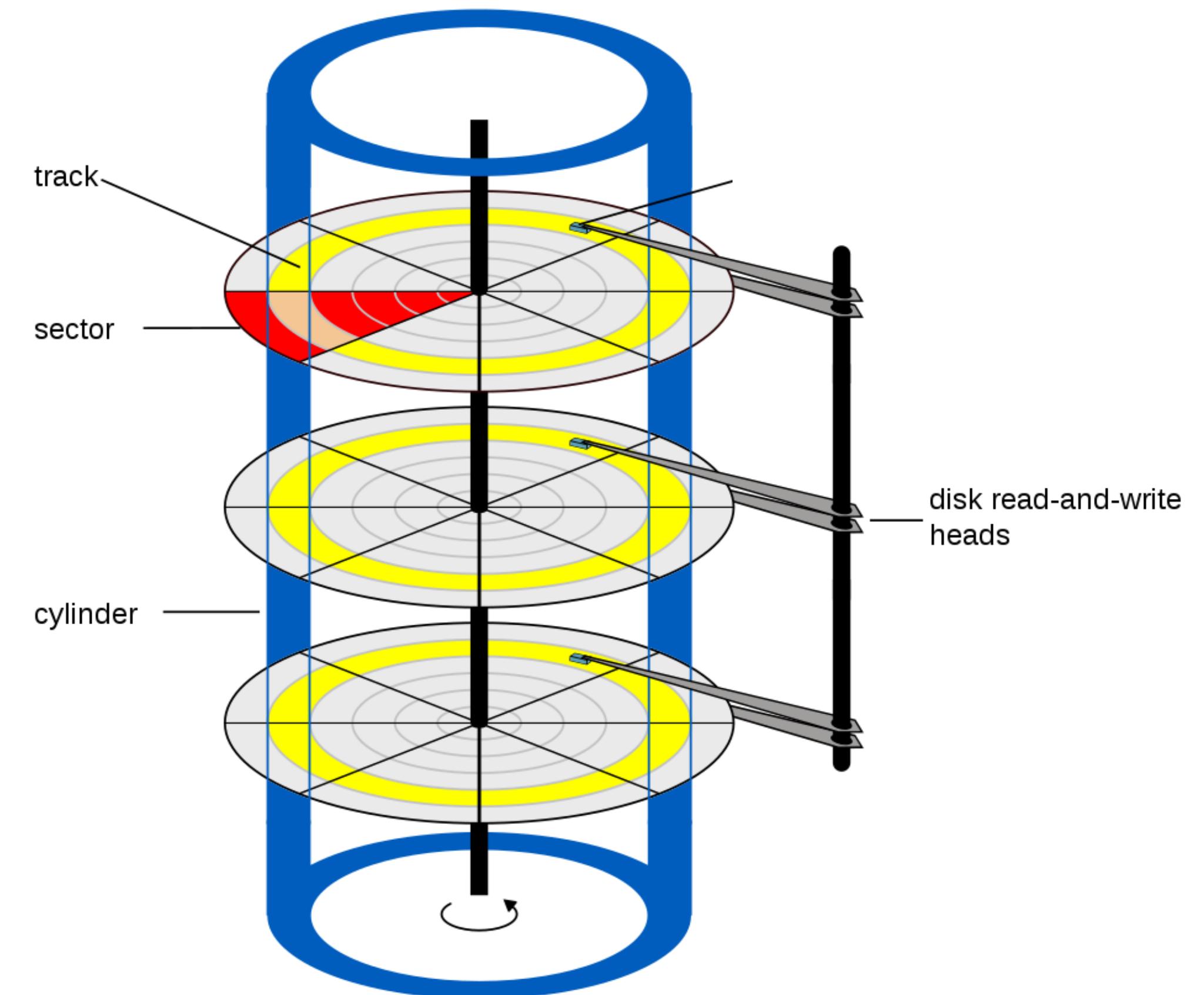
---

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- 512 bytes in each sector



# CPU-disk interface: Cylinder-head-sector (CHS) addressing

- C: cylinder number. 1024 cylinders.
- H: head number. 255 heads (tracks).
- S: sector number. 63 sectors per track.
- 512 bytes in each sector
- Example: read 40th cylinder's 26th sector using 7th head.



# Example of reads

Cheetah 15K.5

Capacity	300 GB
RPM	15,000
Average Seek	4 ms
Max Transfer	125 MB/s
Platters	4
Cache	16 MB

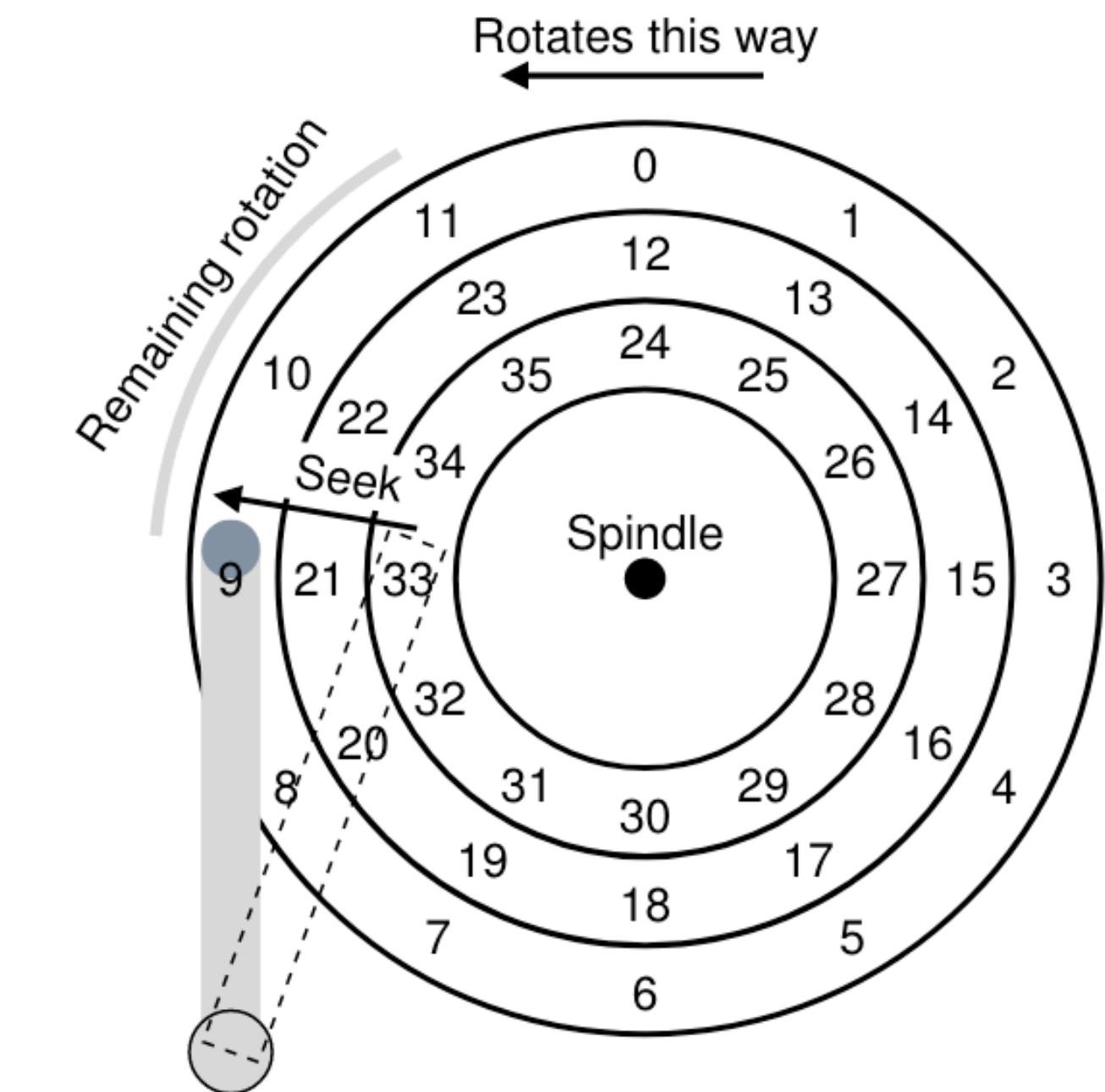
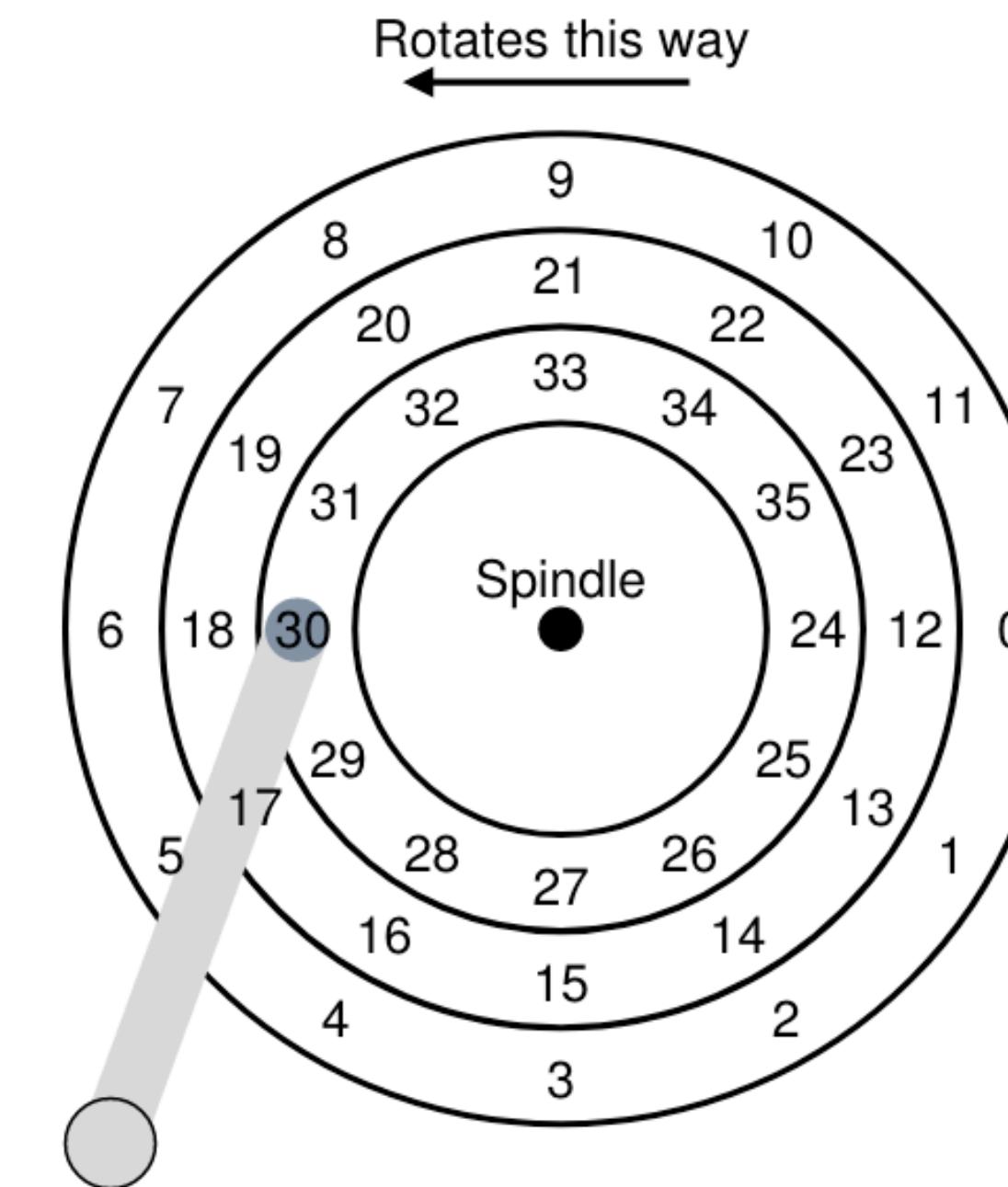
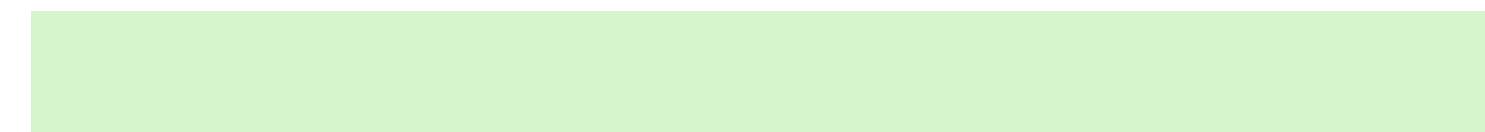


Figure 37.3: Three Tracks Plus A Head (Right: With Seek)

# Example of reads

Cheetah 15K.5

Capacity	300 GB
RPM	15,000
Average Seek	4 ms
Max Transfer	125 MB/s
Platters	4
Cache	16 MB

- Seek delay (4ms)

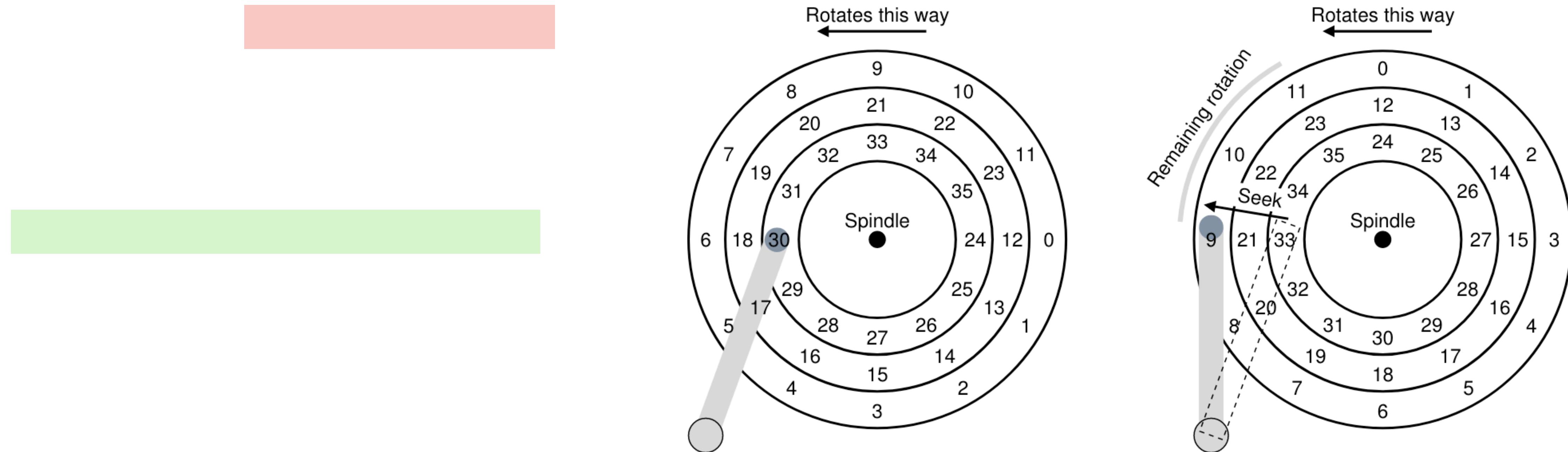


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Capacity	300 GB
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- Seek delay (4ms)
- Rotation delay:  $(60 * 1000 / 15,000) / 2 = 2\text{ms}$

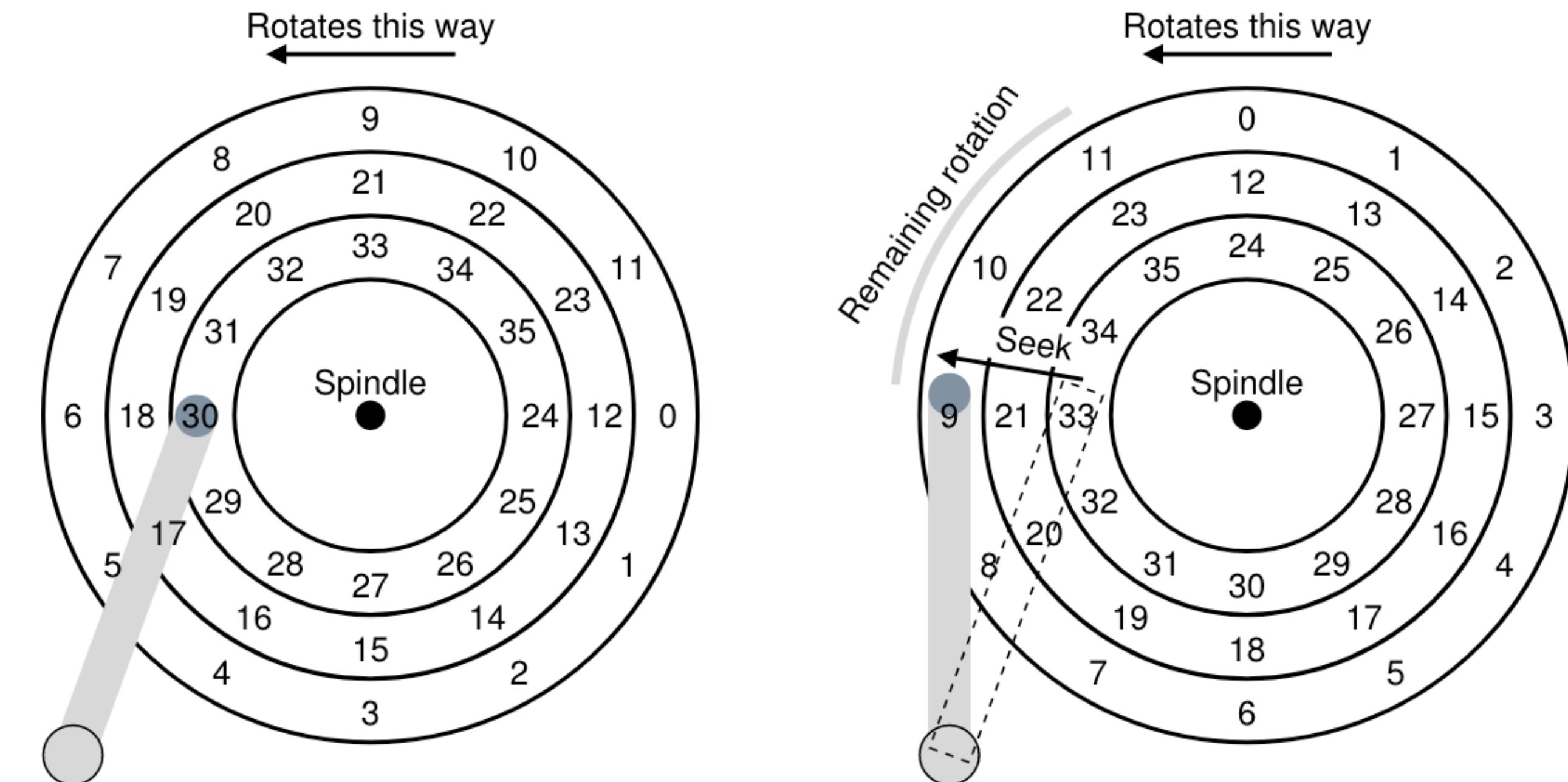


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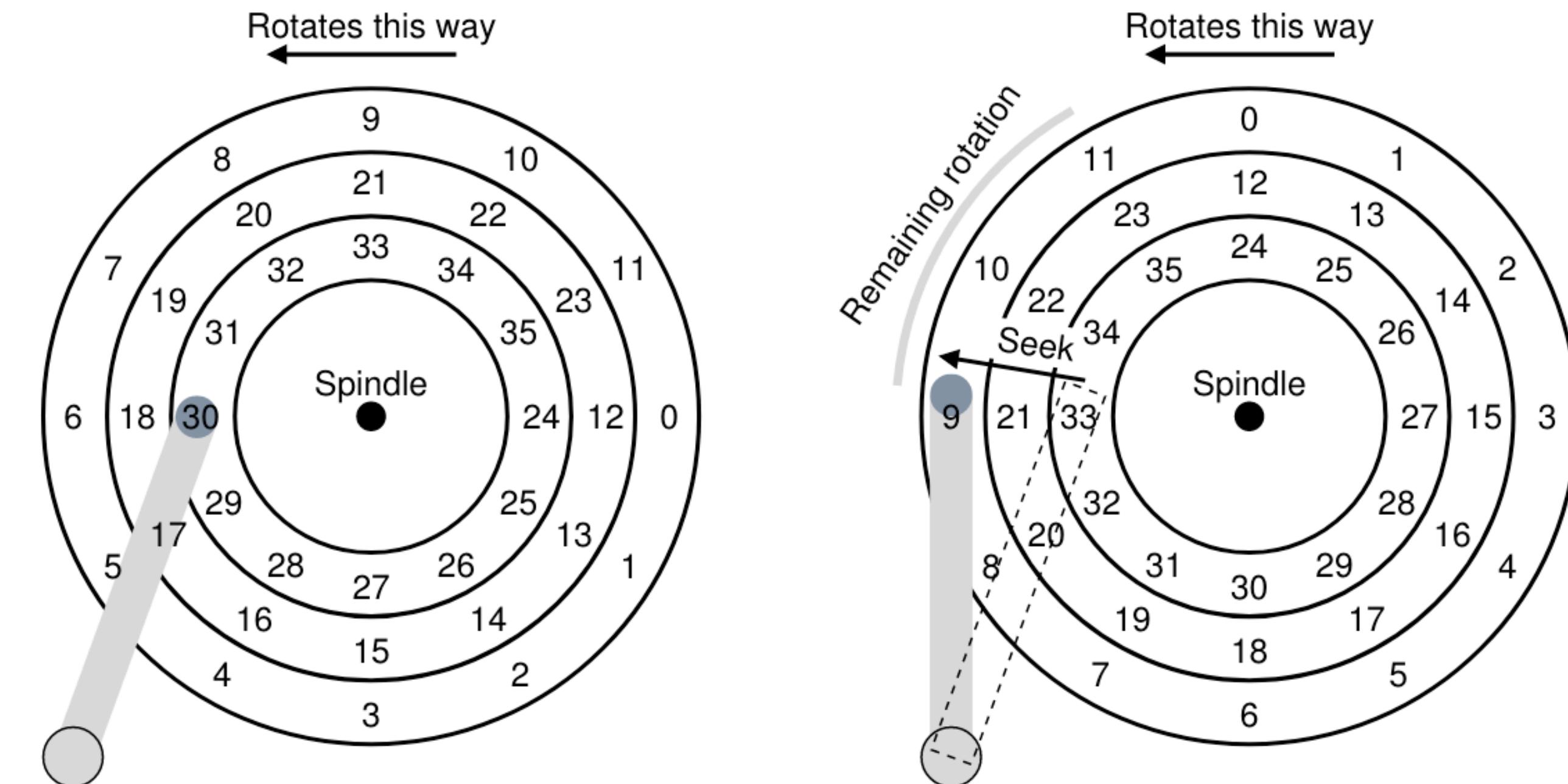


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- Transfer delay
  - $125\text{MBps} = 125 \text{ Bytes per us.}$

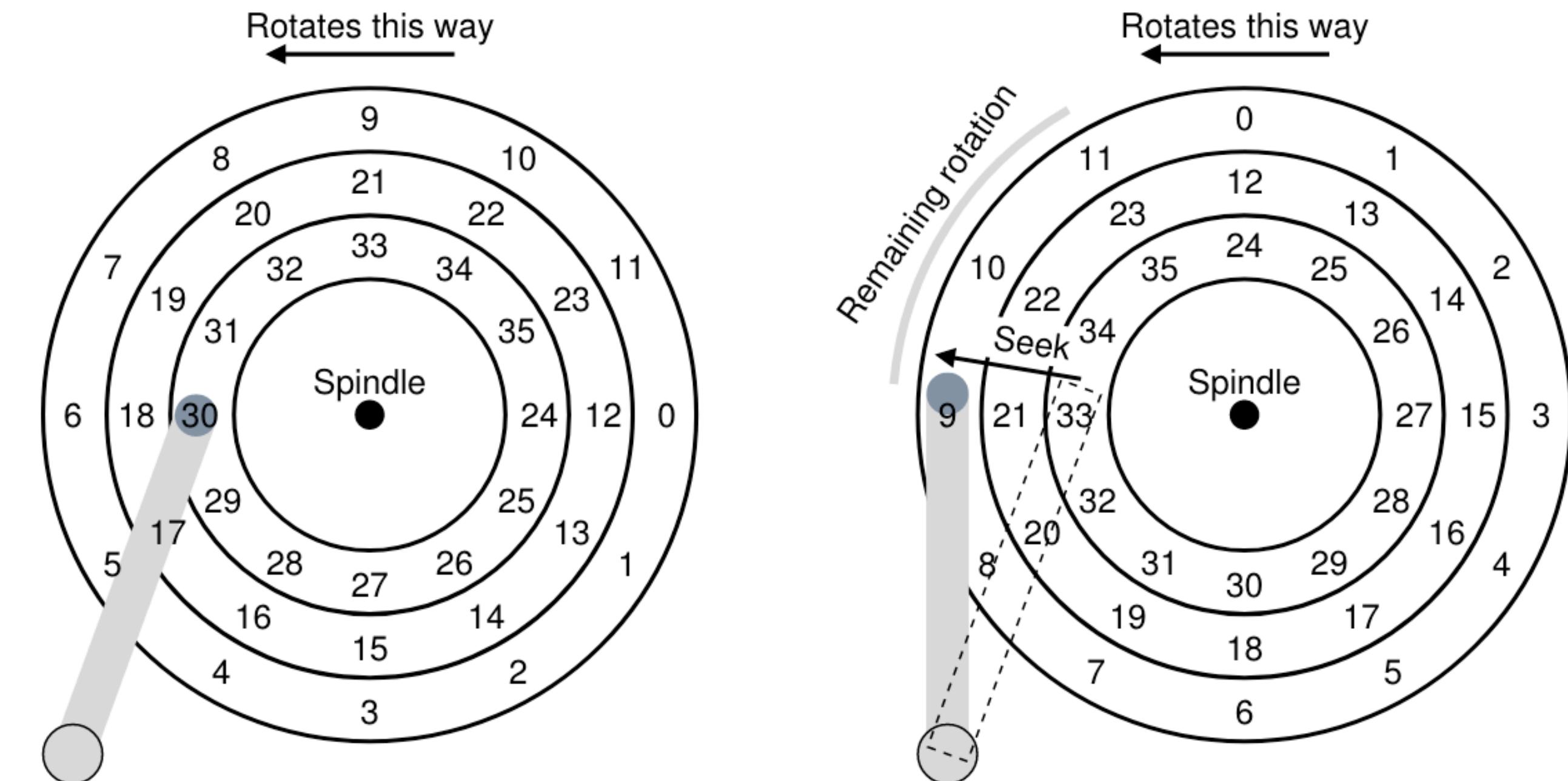


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- Seek delay (4ms)
- Rotation delay:  $(60 * 1000 / 15,000) / 2 = 2\text{ms}$
- Transfer delay
  - $125\text{MBps} = 125 \text{ Bytes per us.}$
  - Time take to read 4KB:  $4096 / 125 \sim 30\text{us}$

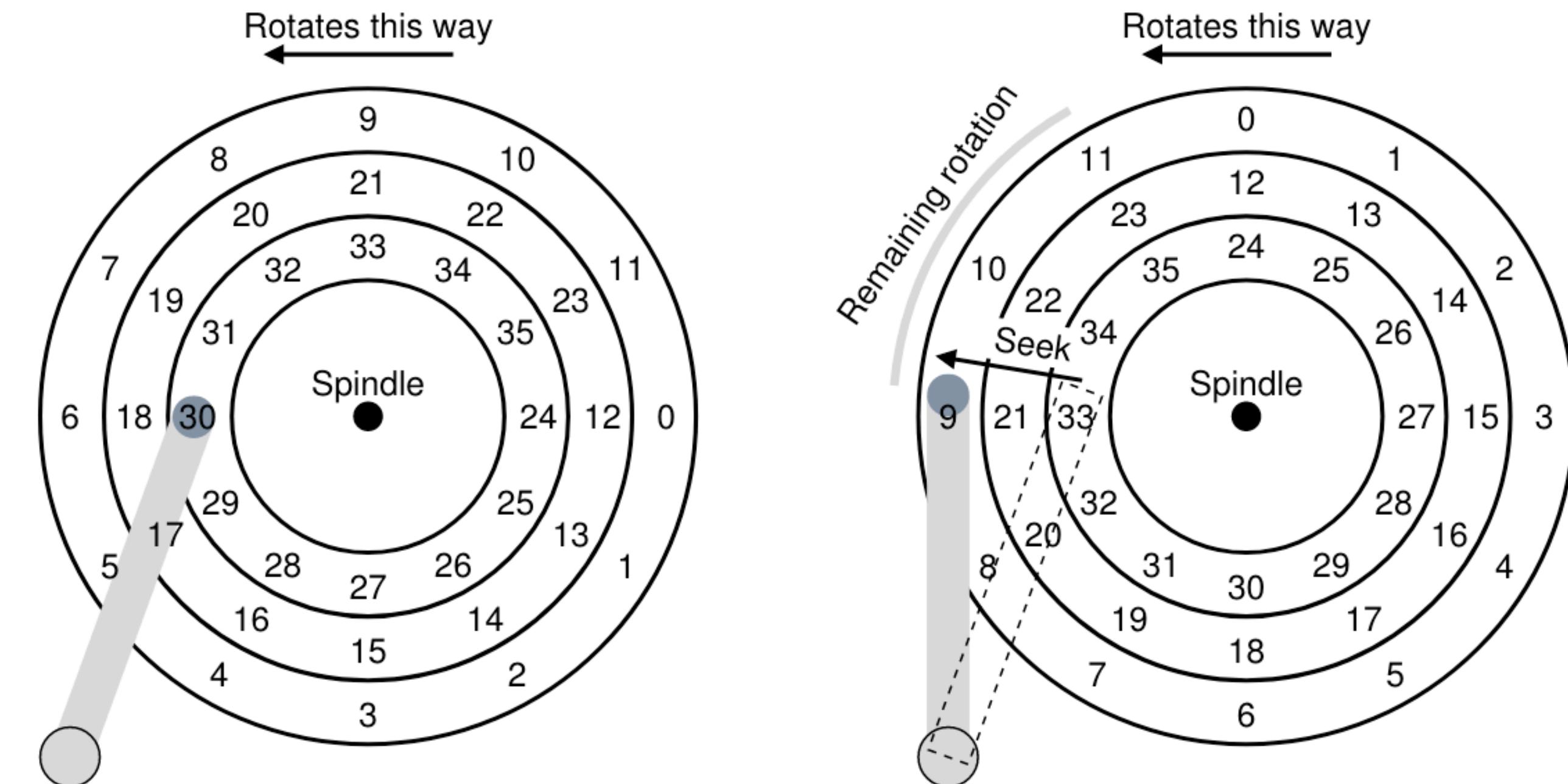


Figure 37.3: Three Tracks Plus A Head (Right: With Seek)

# Example of reads

Cheetah 15K.5

Capacity	300 GB
RPM	15,000
Average Seek	4 ms
Max Transfer	125 MB/s
Platters	4
Cache	16 MB

- Seek delay (4ms)
- Rotation delay:  $(60 * 1000 / 15,000) / 2 = 2\text{ms}$
- Transfer delay
  - $125\text{MBps} = 125 \text{ Bytes per us.}$
  - Time take to read 4KB:  $4096 / 125 \sim 30\text{us}$
- 4KB random read:  $4\text{ms (seek)} + 2\text{ms (rotation)} + 30\text{us (transfer)} \sim 6\text{ms}$

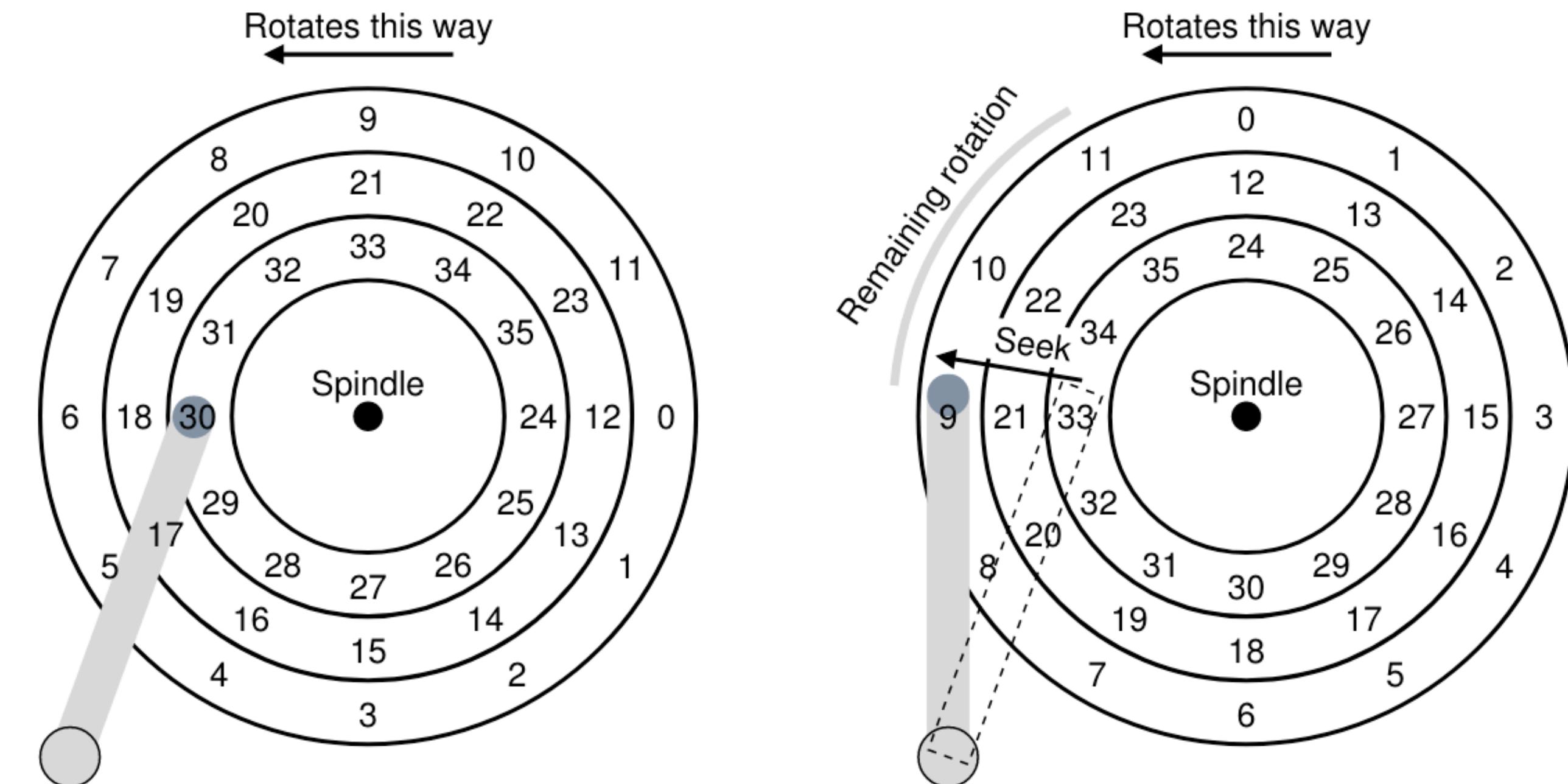


Figure 37.3: Three Tracks Plus A Head (Right: With Seek)

# **Random rws are ~100x slower than sequential rws!**

- Random read: 4ms (seek time) + 2ms (rotation time) + 30us (transfer time) ~ 6ms
- Sequential read: 30us (transfer time)

# CPU-disk interface: logical block addressing (LBA)

# CPU-disk interface: logical block addressing (LBA)

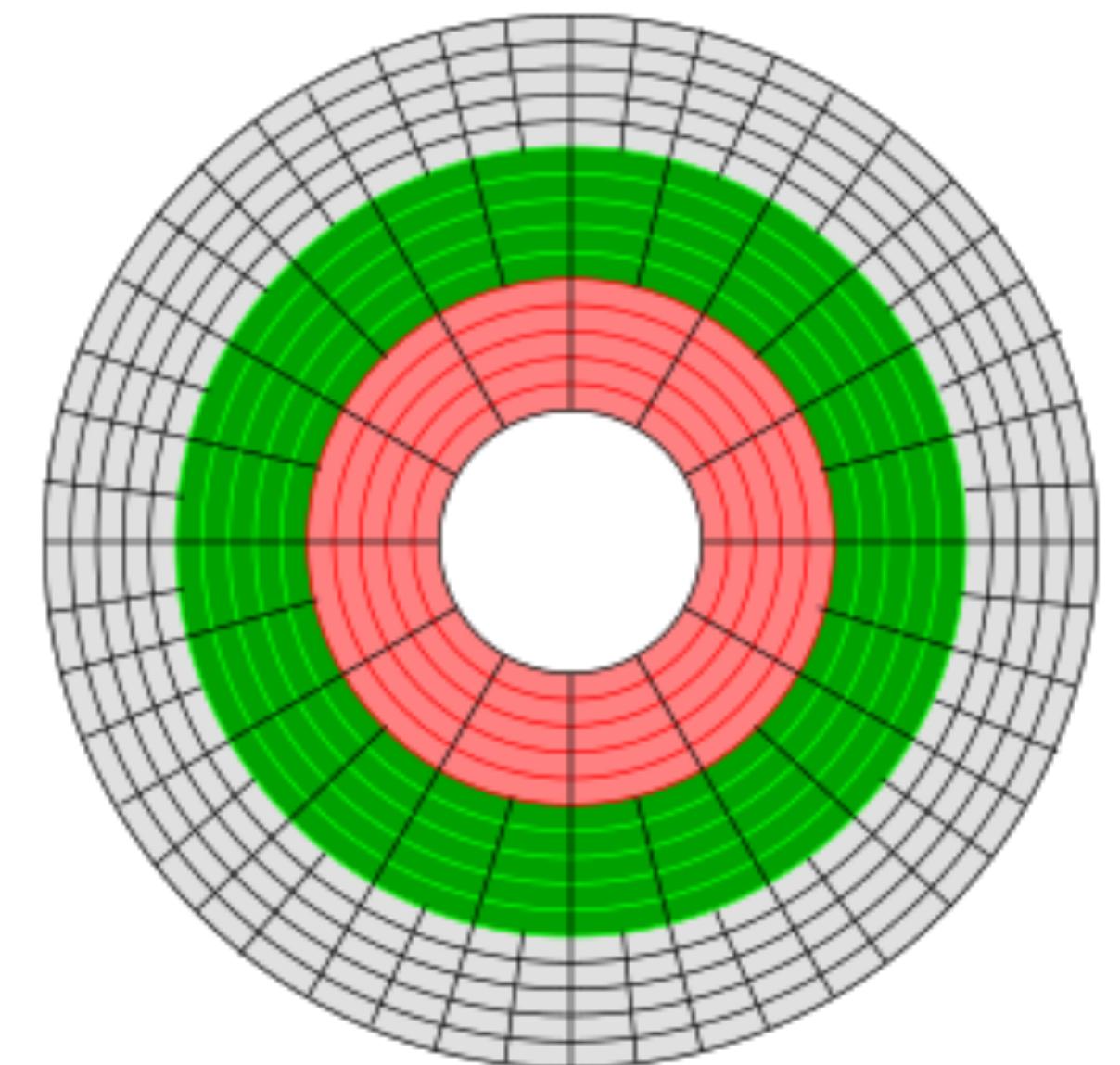
- CHS made addressing cumbersome. Strongly ties to disk geometry (not a good abstraction!).

# CPU-disk interface: logical block addressing (LBA)

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  - Outer tracks can have more sectors

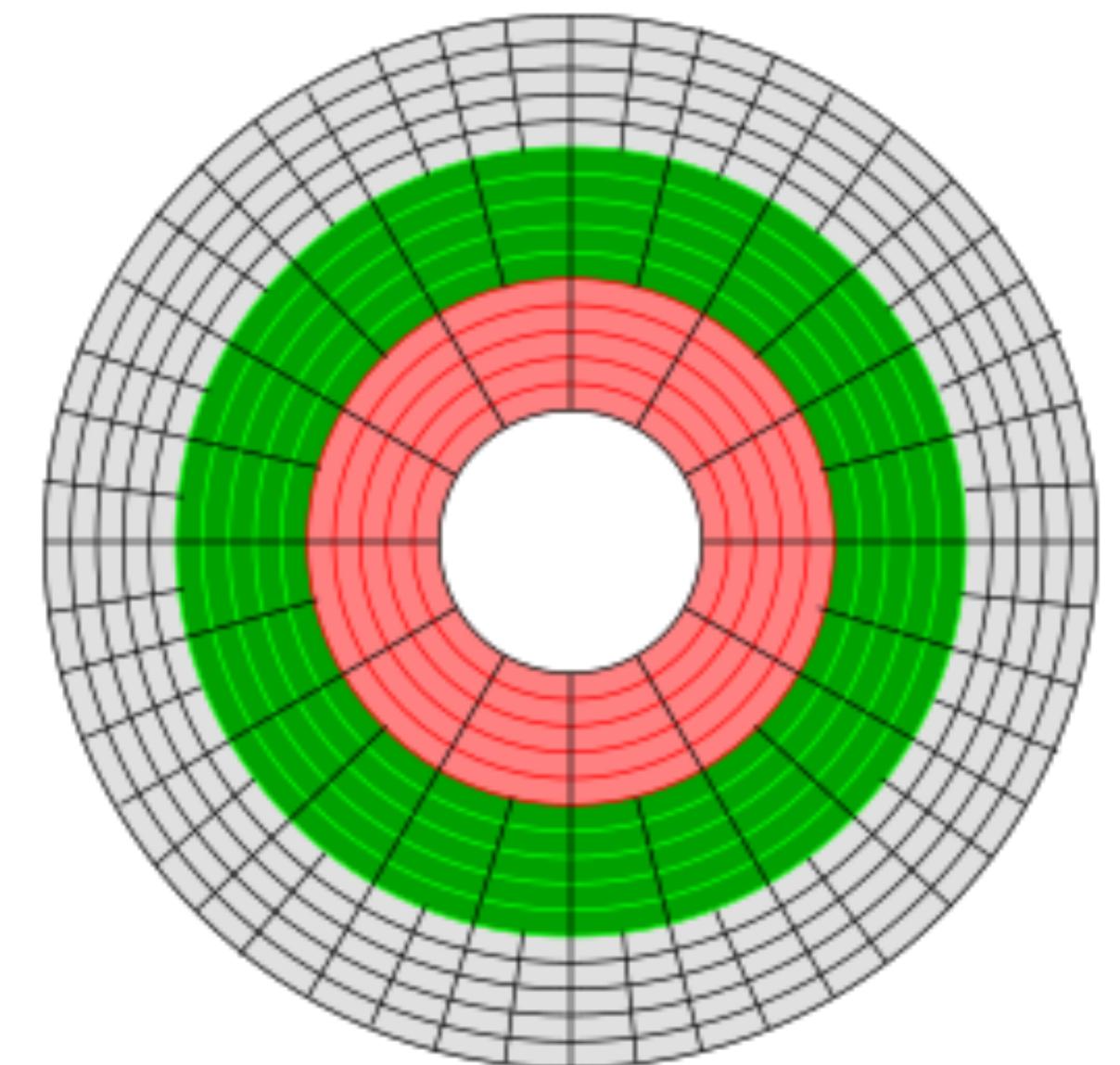
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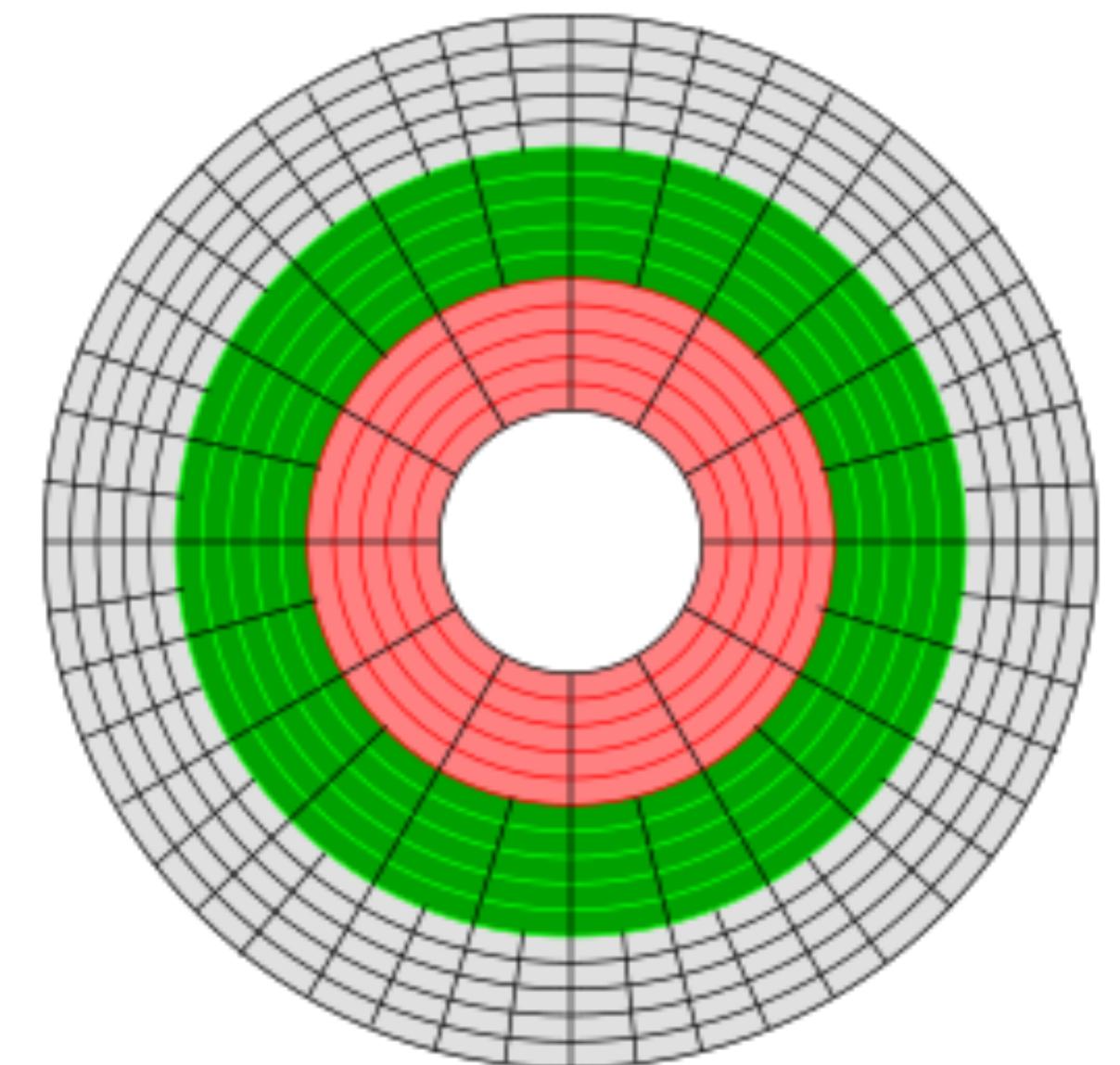
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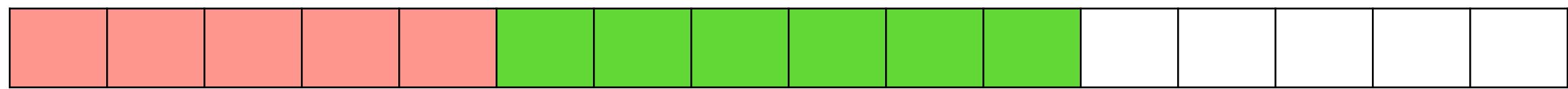
- CHS made addressing cumbersome. Strongly ties to disk geometry (not a good abstraction!).
  - Outer tracks can have more sectors
- Disk controller abstracts out such details
  - LBA interface: read block number 293



# CPU-disk interface: logical block addressing (LBA)

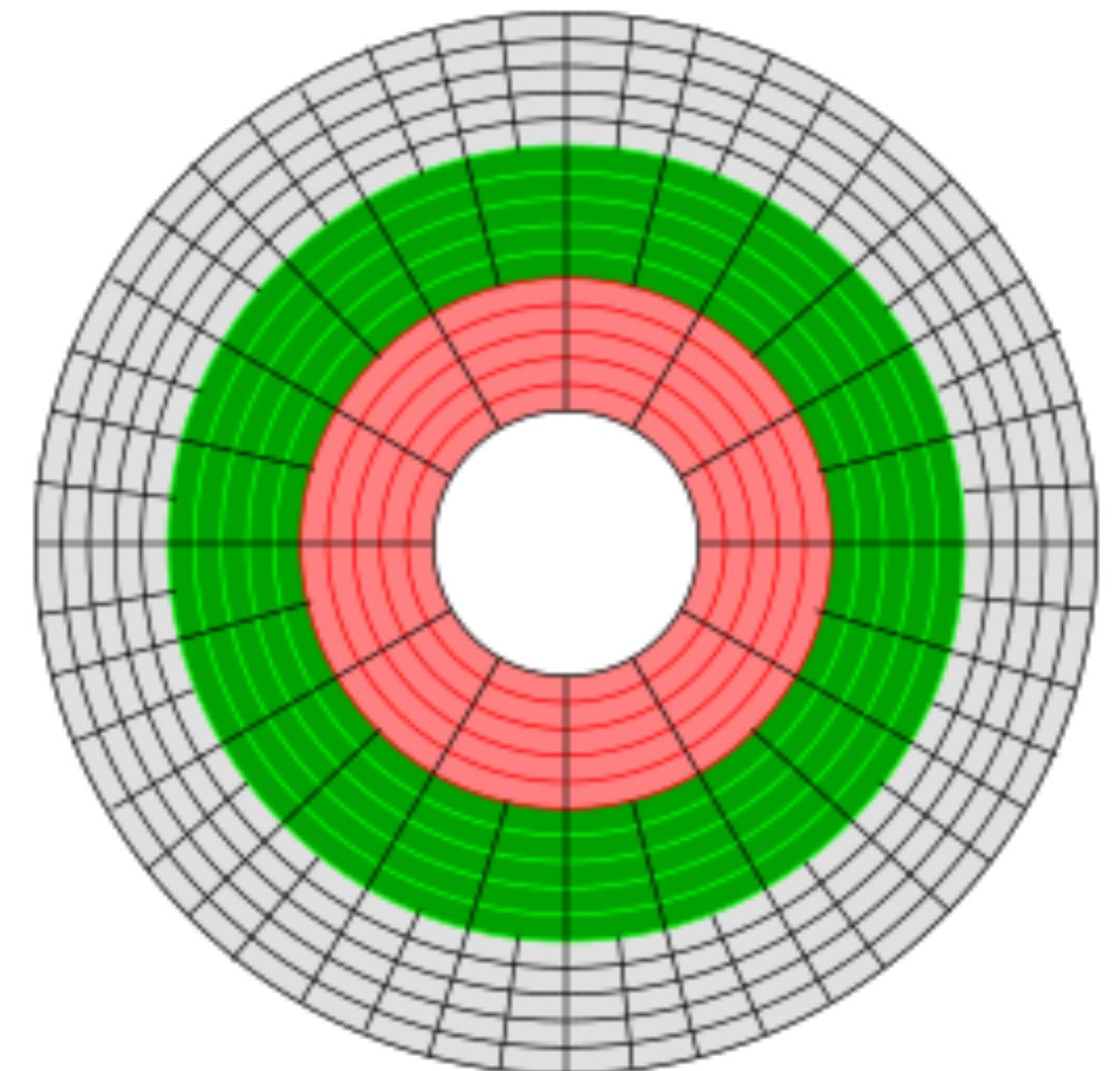
- CHS made addressing cumbersome. Strongly ties to disk geometry (not a good abstraction!).

- Outer tracks can have more sectors



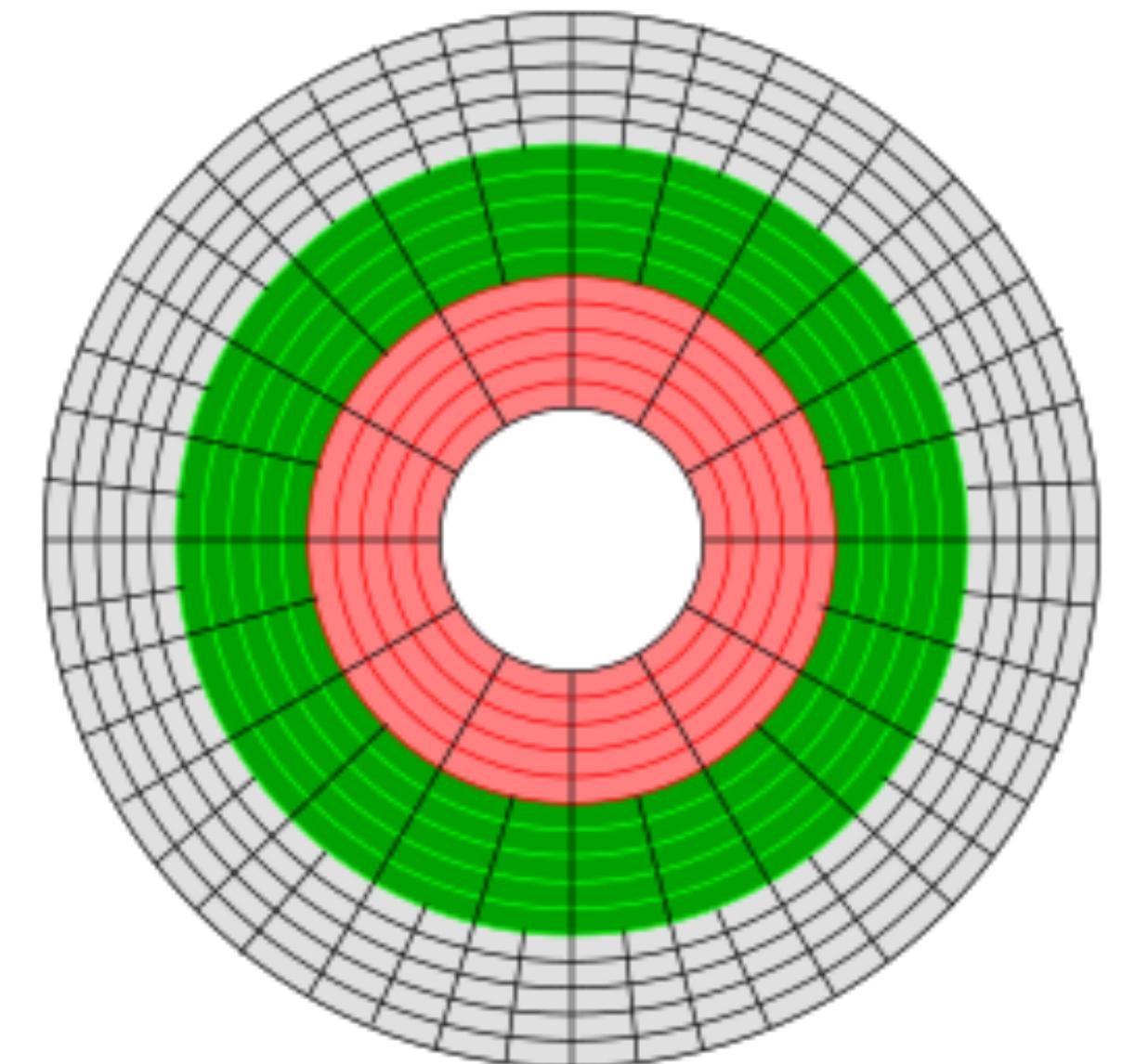
- Disk controller abstracts out such details

- LBA interface: read block number 293



# CPU-disk interface: logical block addressing (LBA)

- CHS made addressing cumbersome. Strongly ties to disk geometry (not a good abstraction!).
  - Outer tracks can have more sectors
- Disk controller abstracts out such details
  - LBA interface: read block number 293
  - Close block numbers are close on disk



# Disk scheduling problem

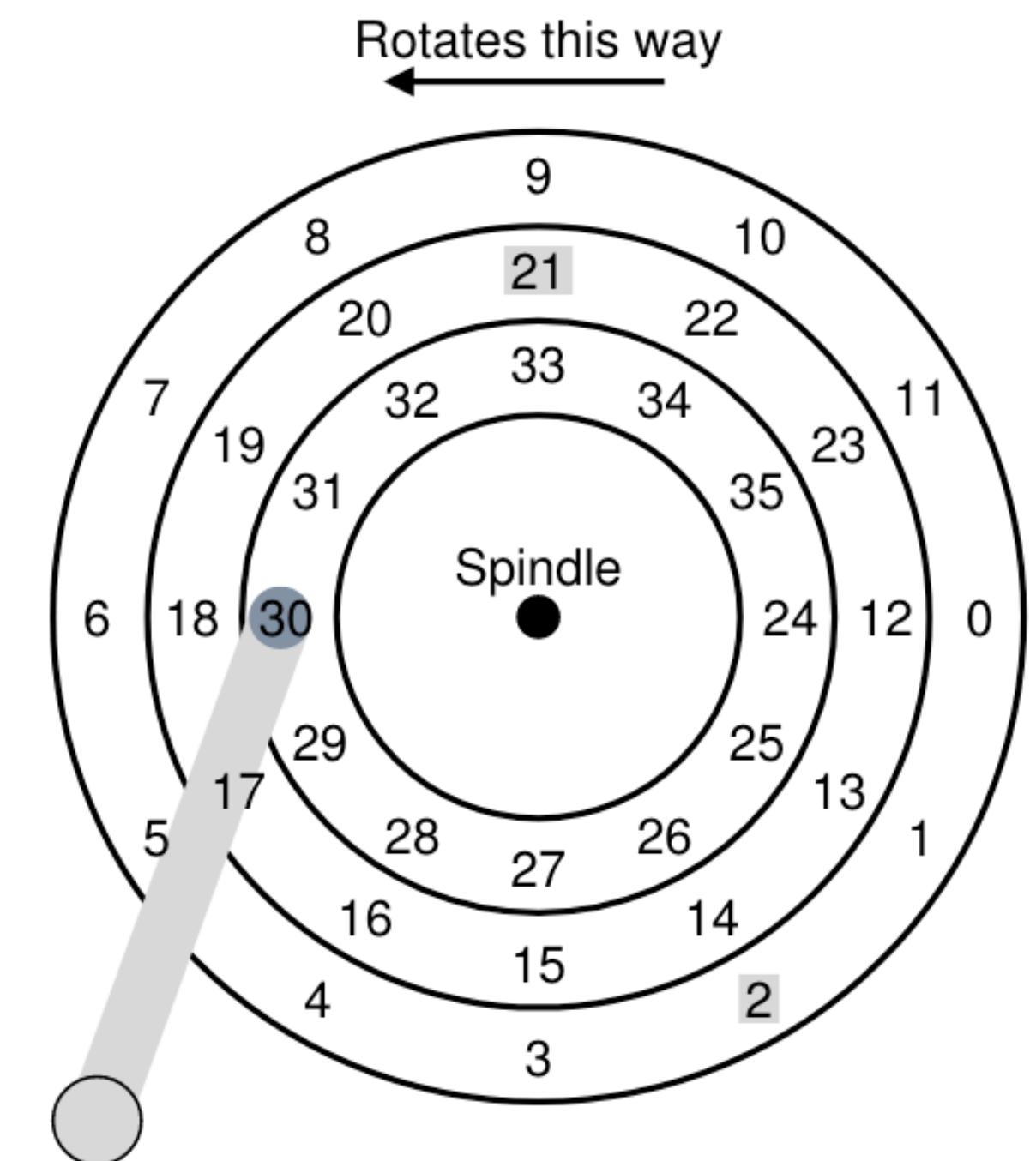
- `python3 disk.py -a 10,15,32,11,33,16 -G`

Total: 1395

- `python3 disk.py -a 10,11,15,16,32,33 -G`

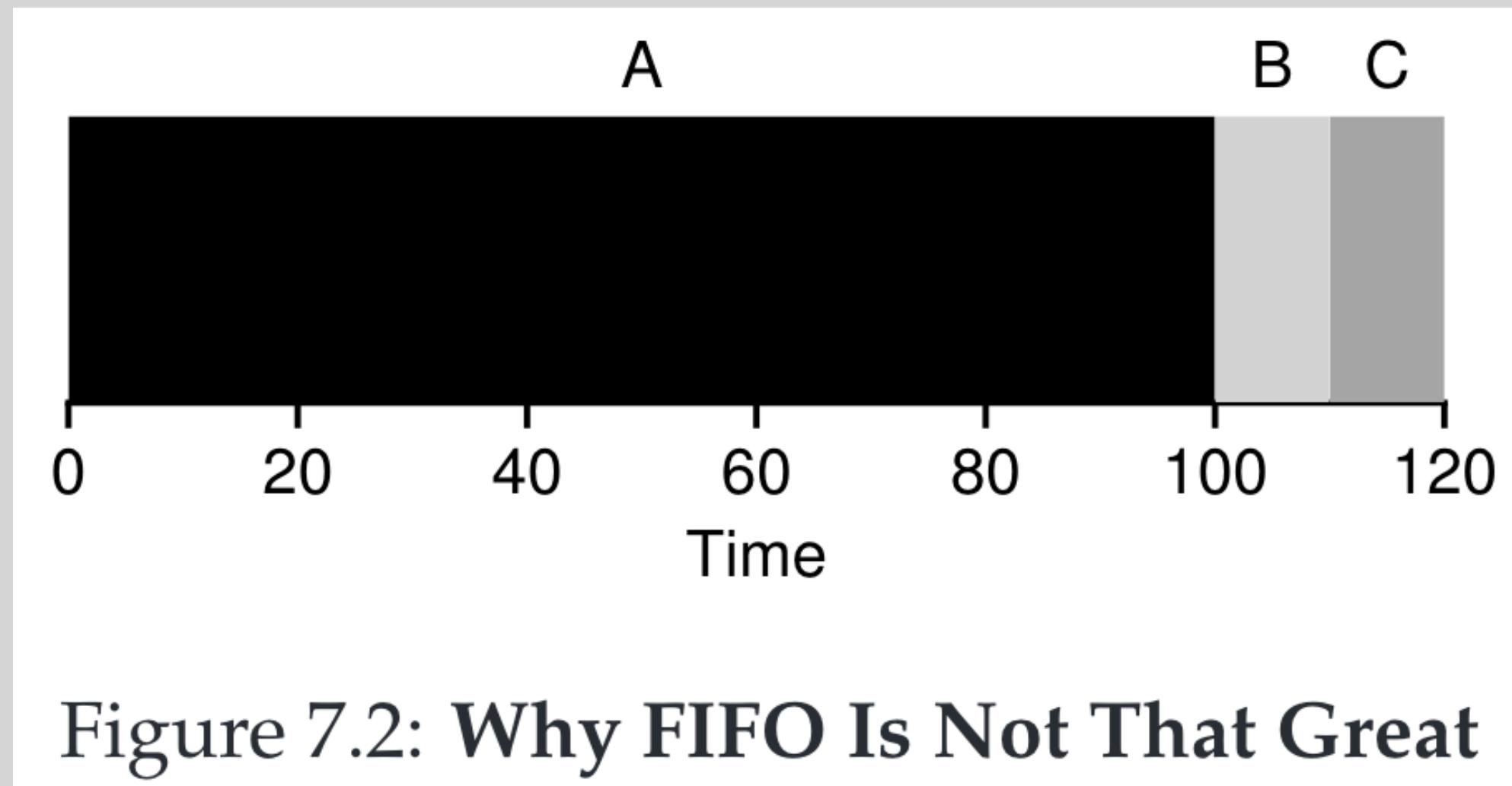
Total: 465

- Given a sequence of requests, reorder requests to service them quicker



# Shortest job first

Greedy algorithm to minimize average waiting time



# Shortest job first

Greedy algorithm to minimize average waiting time

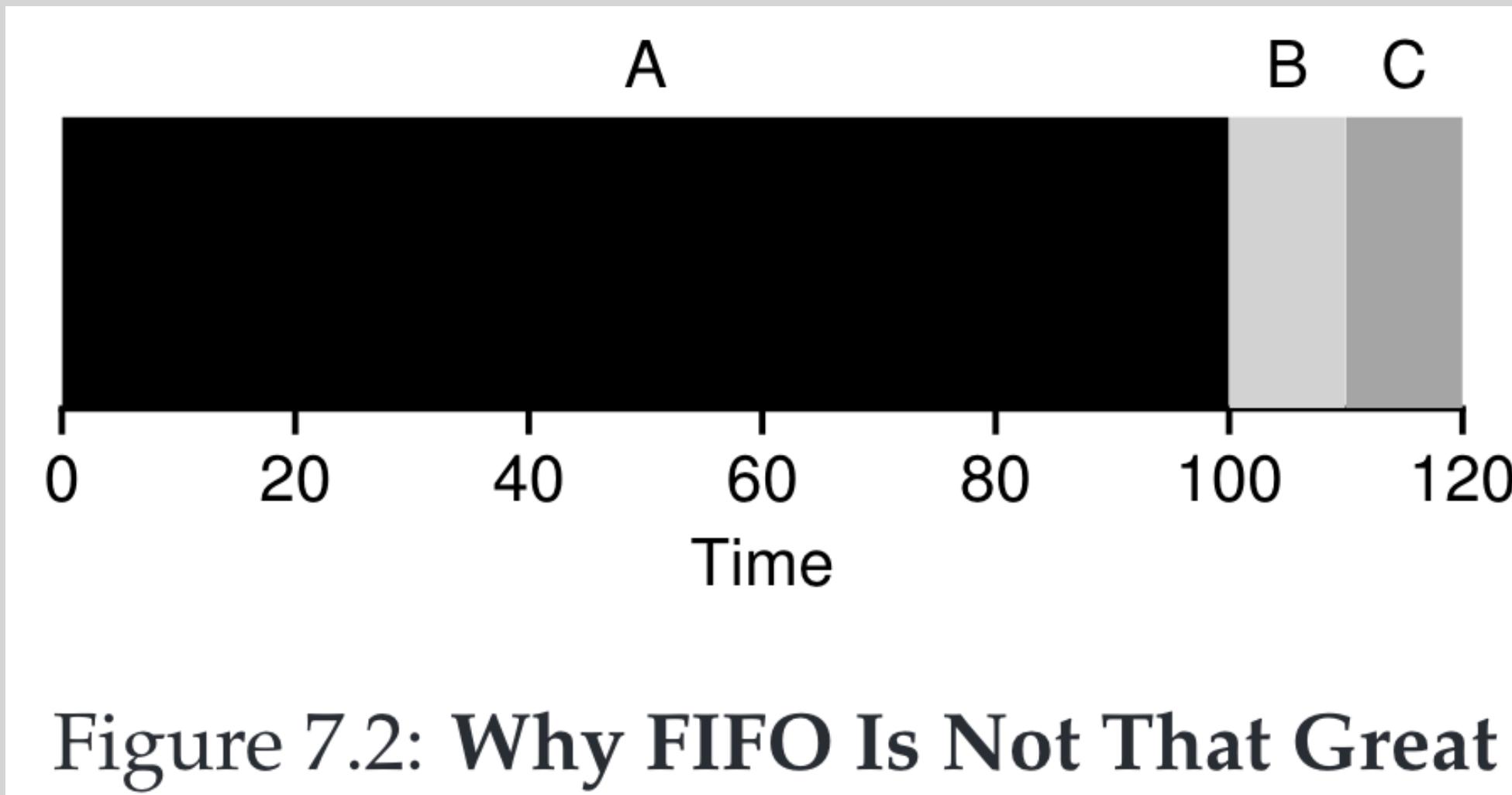
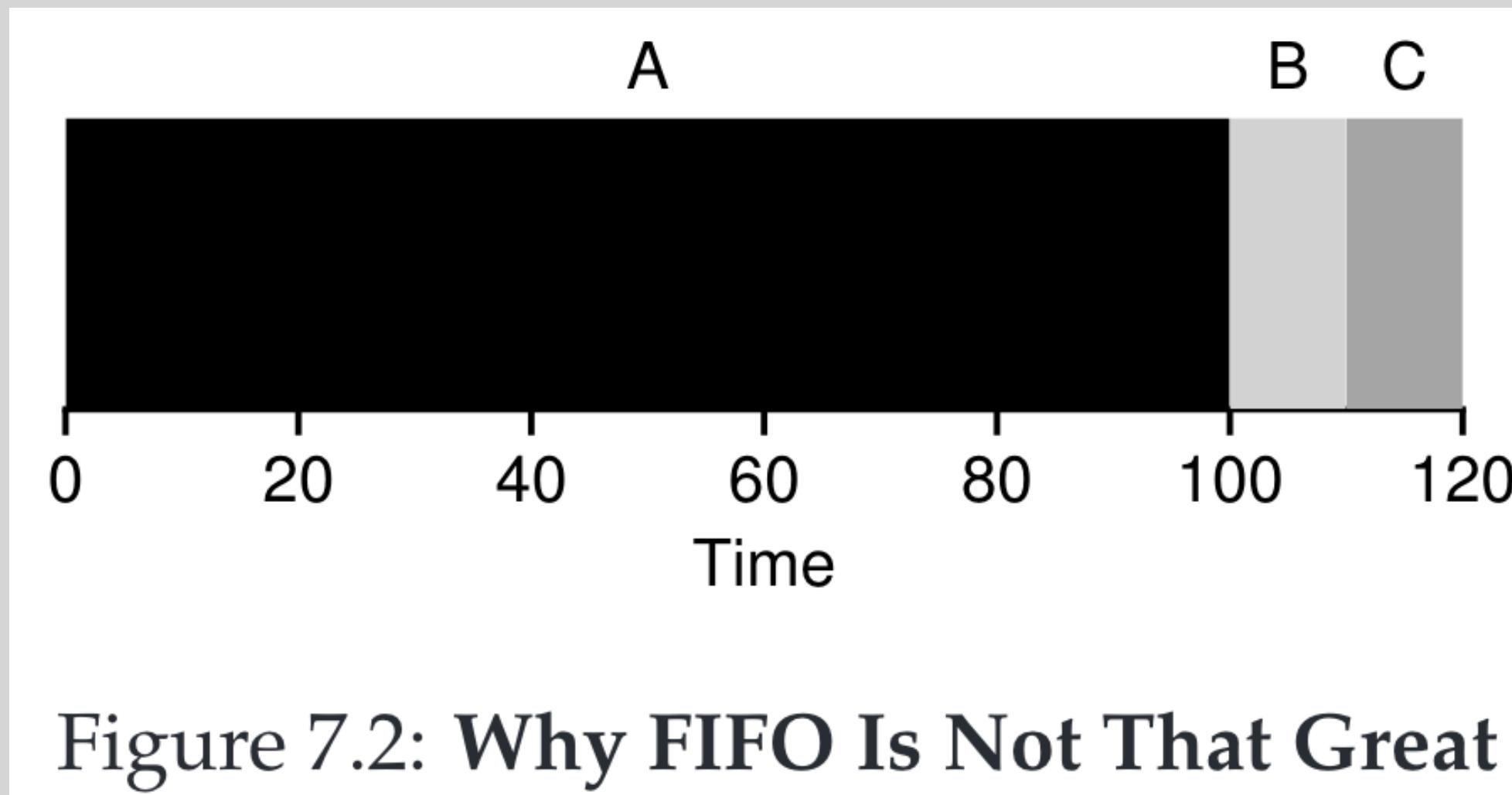


Figure 7.2: Why FIFO Is Not That Great

Strategy	Average waiting time
----------	----------------------

# Shortest job first

Greedy algorithm to minimize average waiting time



Strategy	Average waiting time
FIFO	$(100 + 110 + 120)/3 = 110$

# Shortest job first

Greedy algorithm to minimize average waiting time

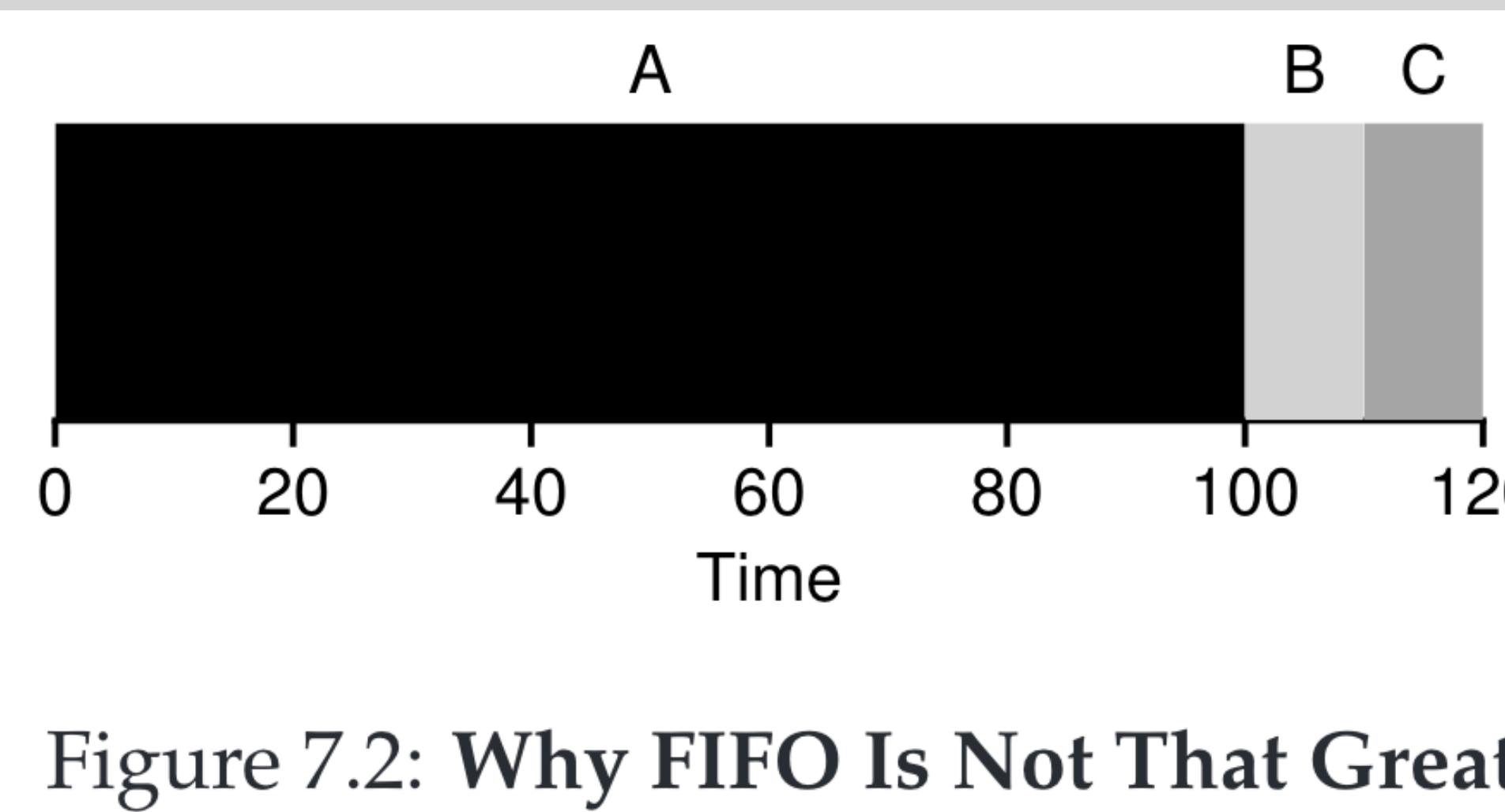


Figure 7.2: Why FIFO Is Not That Great

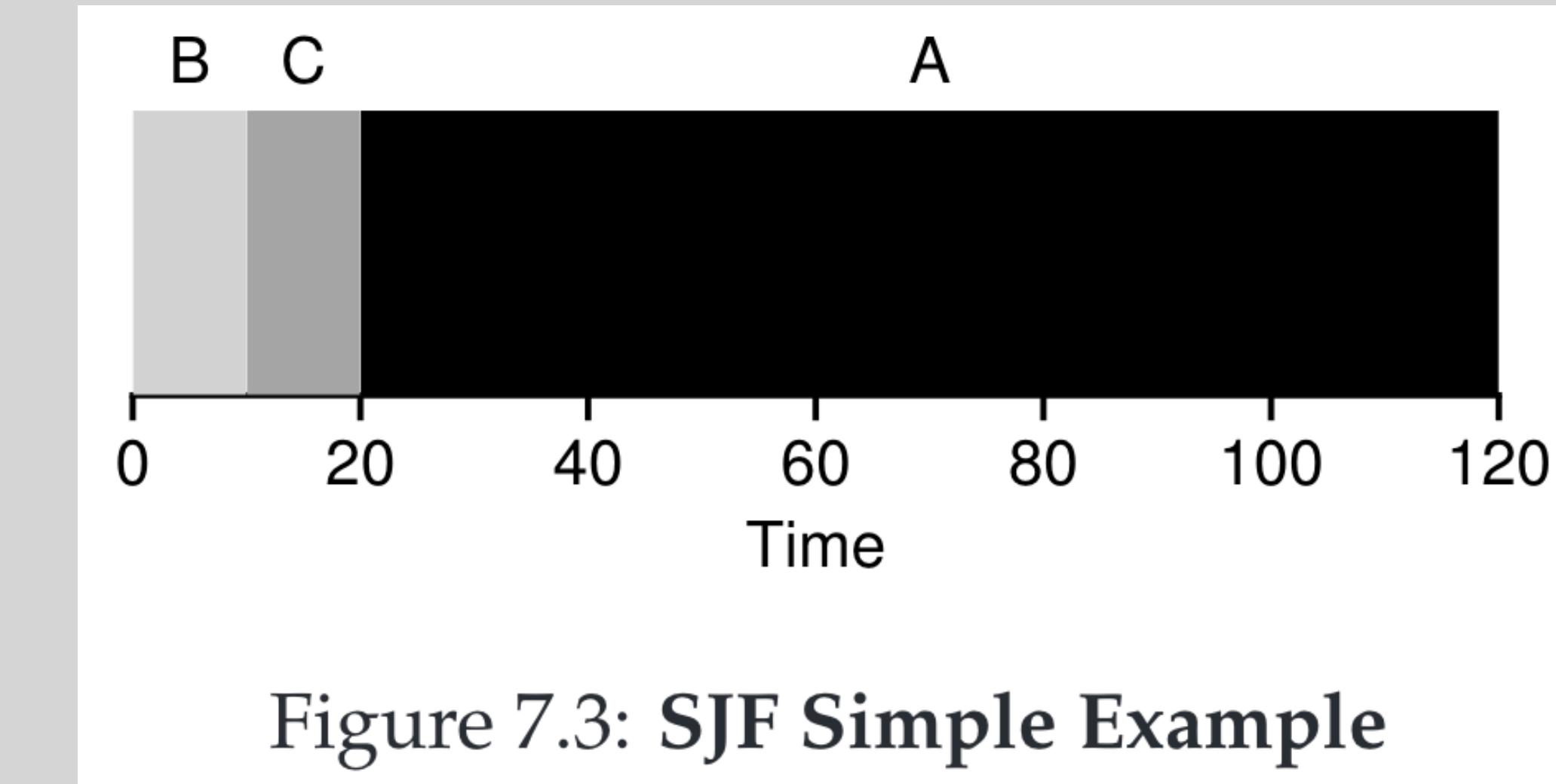


Figure 7.3: SJF Simple Example

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FIFO	$(100 + 110 + 120)/3 = 110$

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Greedy algorithm to minimize average waiting time

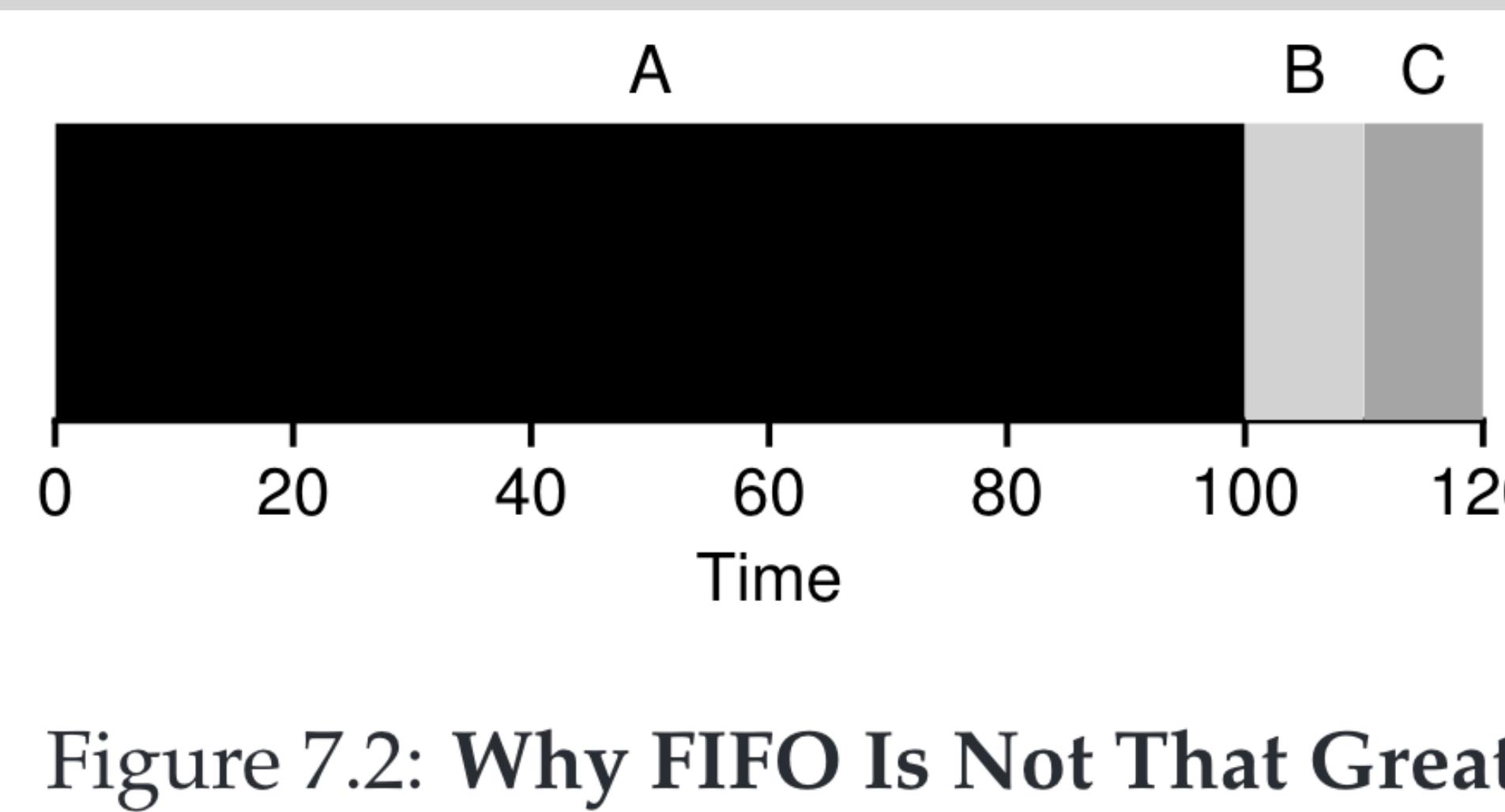


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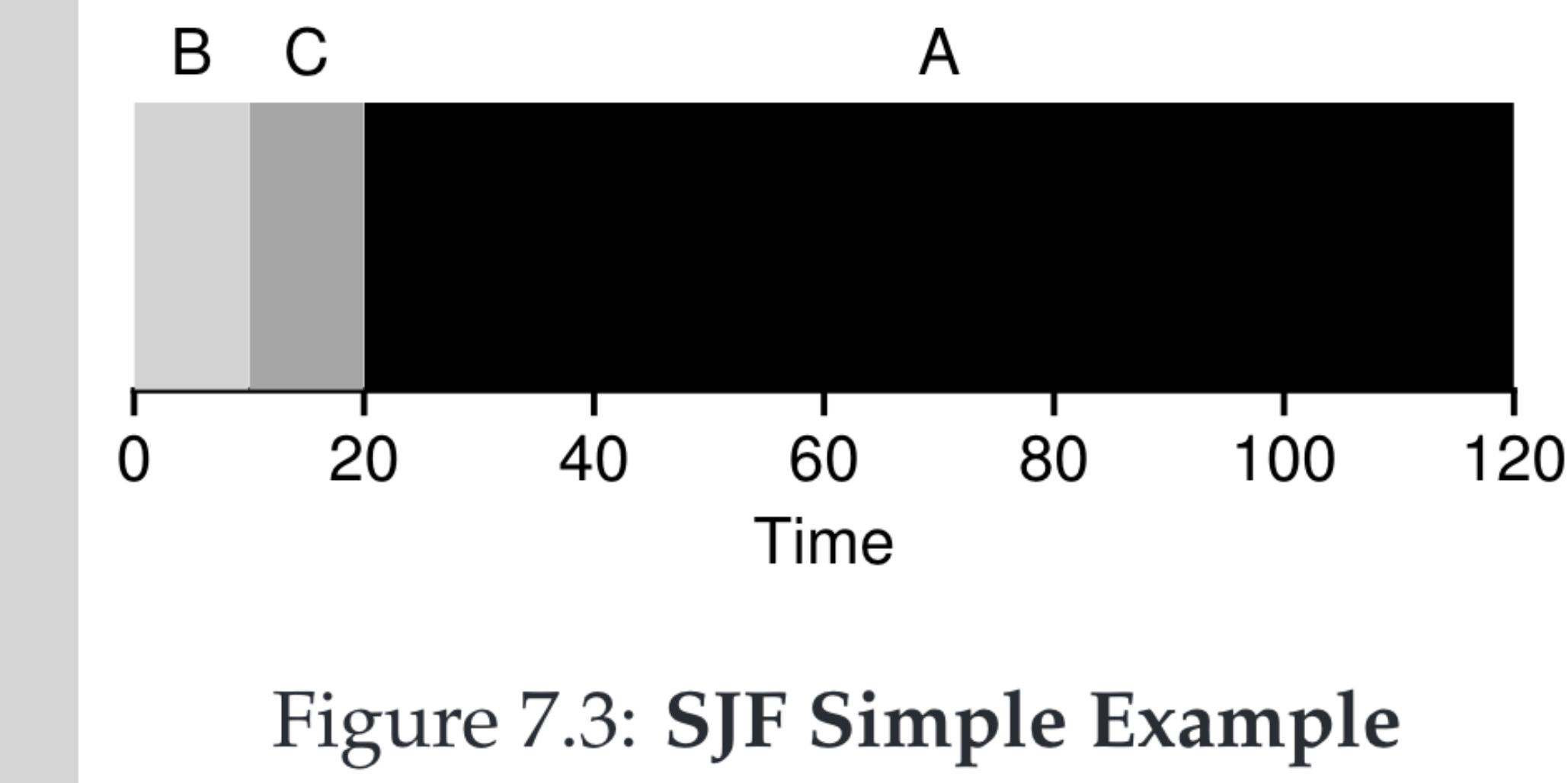
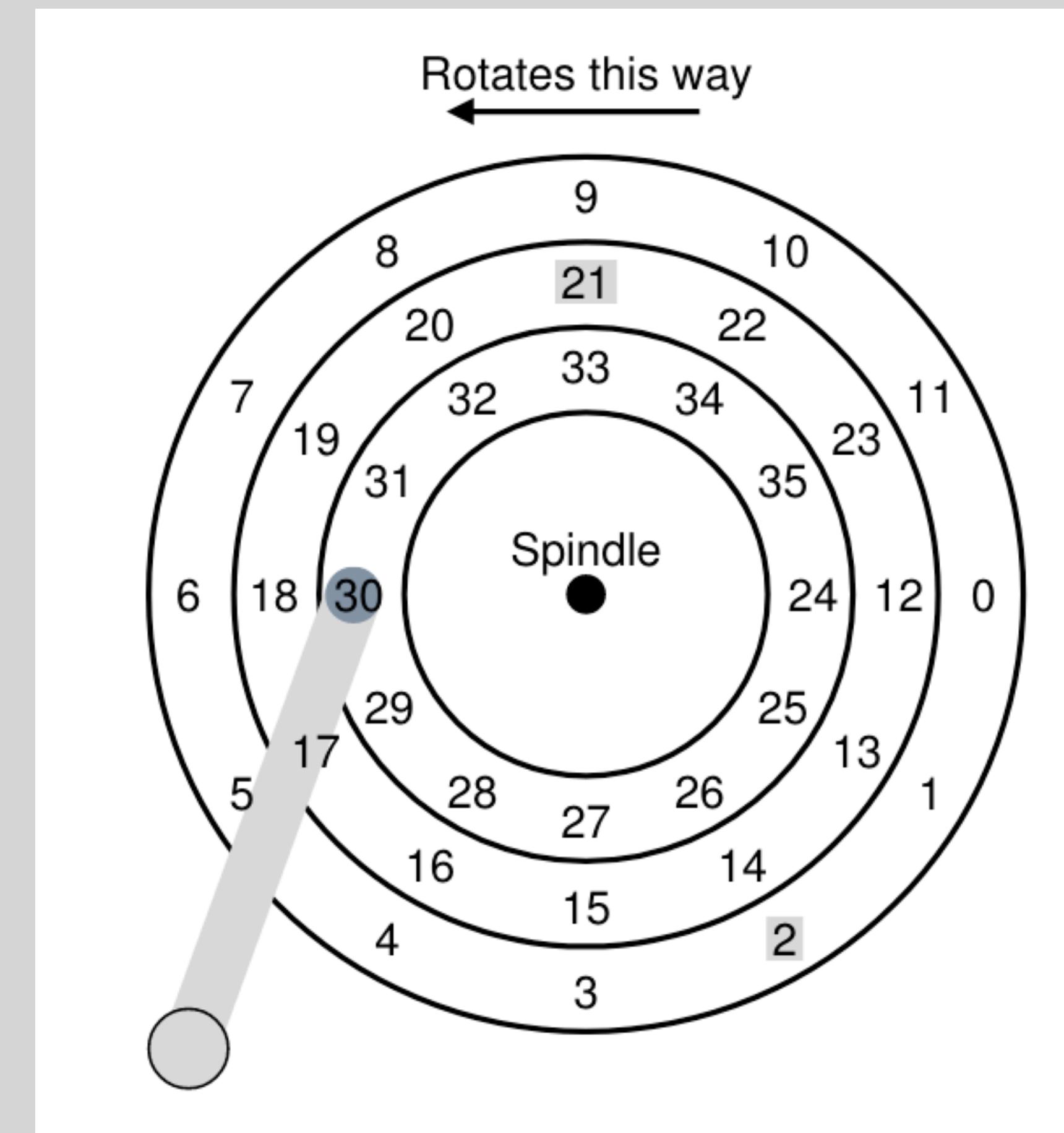


Figure 7.3: SJF Simple Example

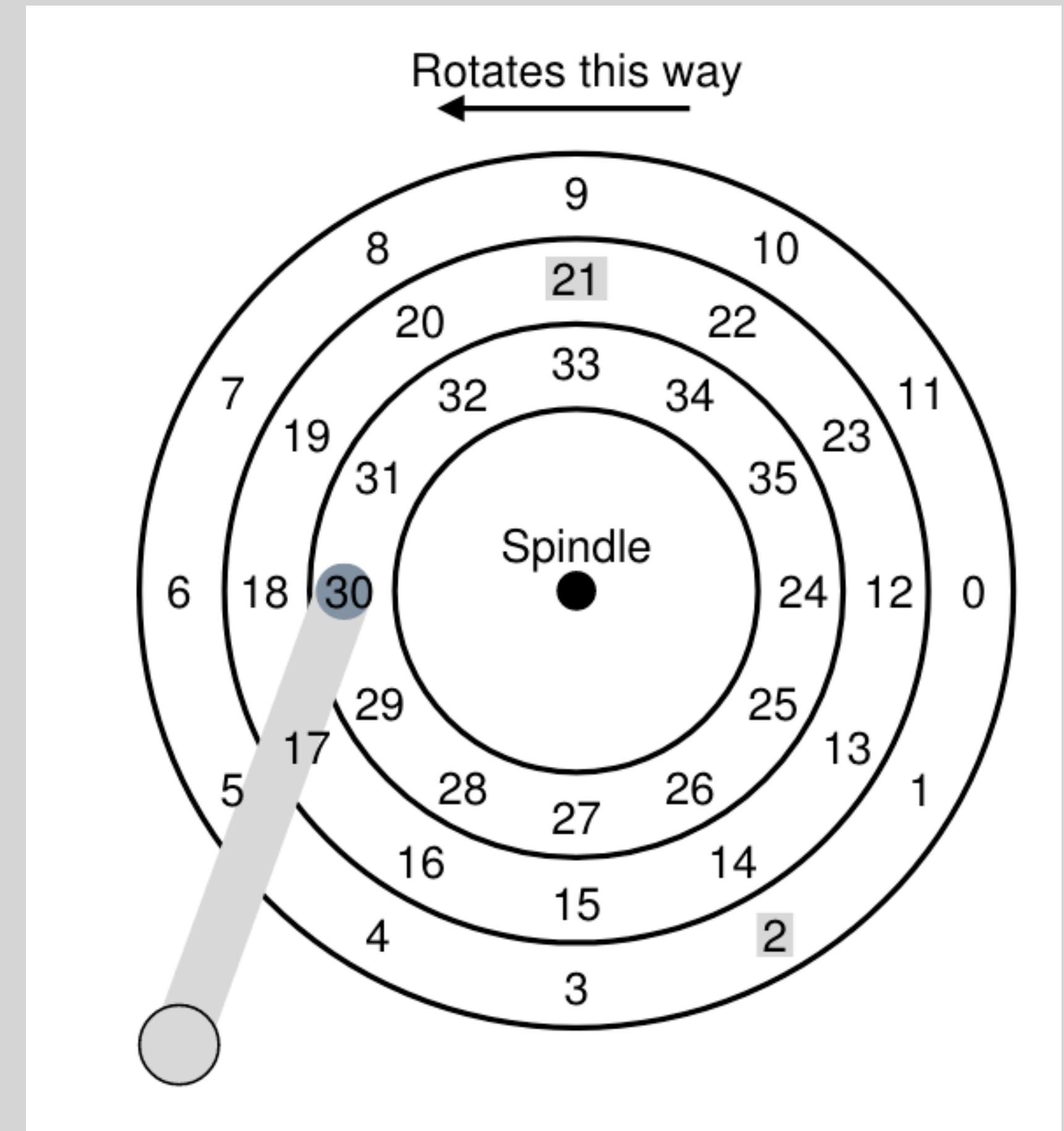
Strategy	Average waiting time
FIFO	$(100 + 110 + 120)/3 = 110$
SJF	$(10 + 20 + 120)/3 = 50$

# Shortest seek time first



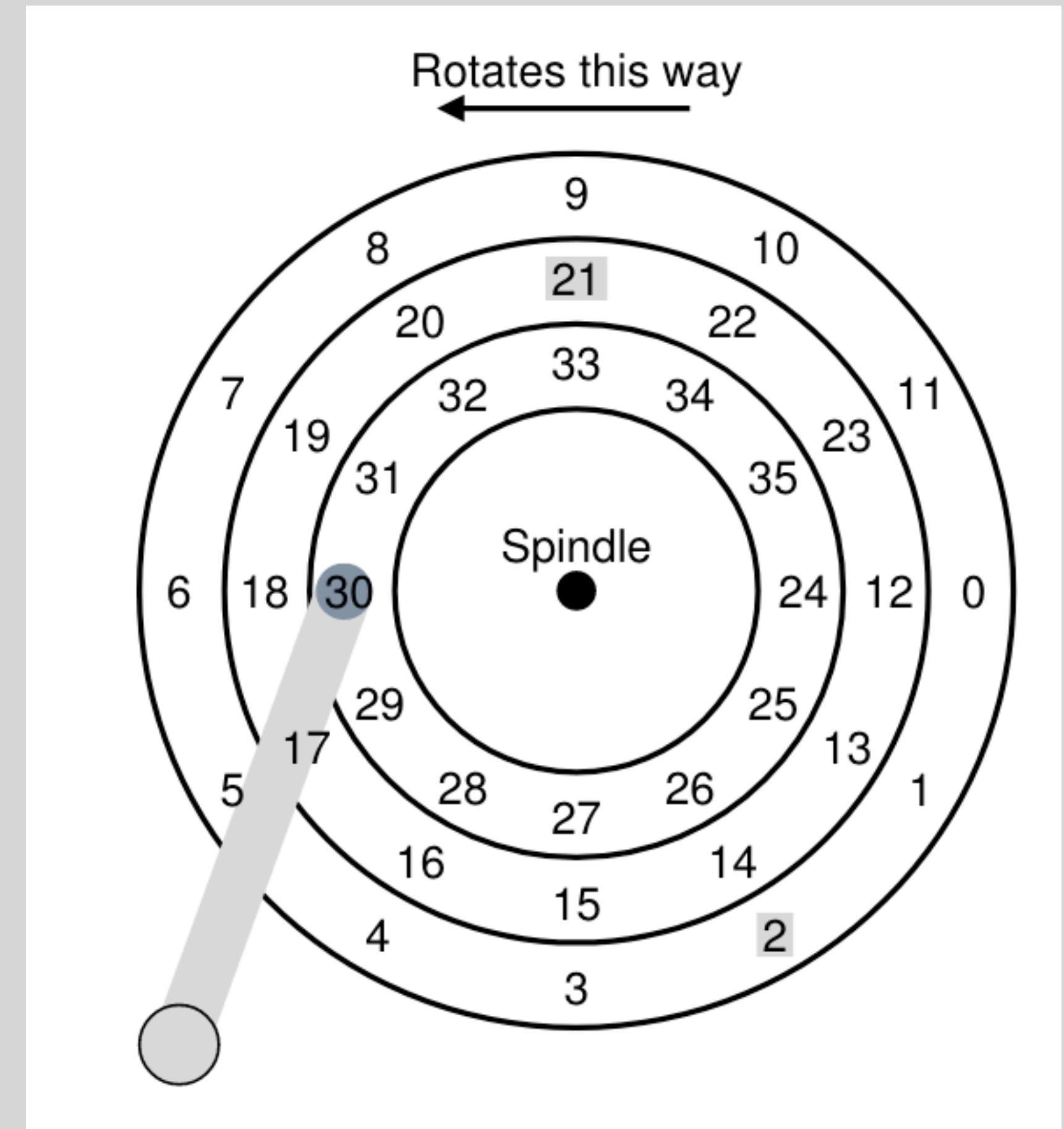
# Shortest seek time first

- Closer tracks first.



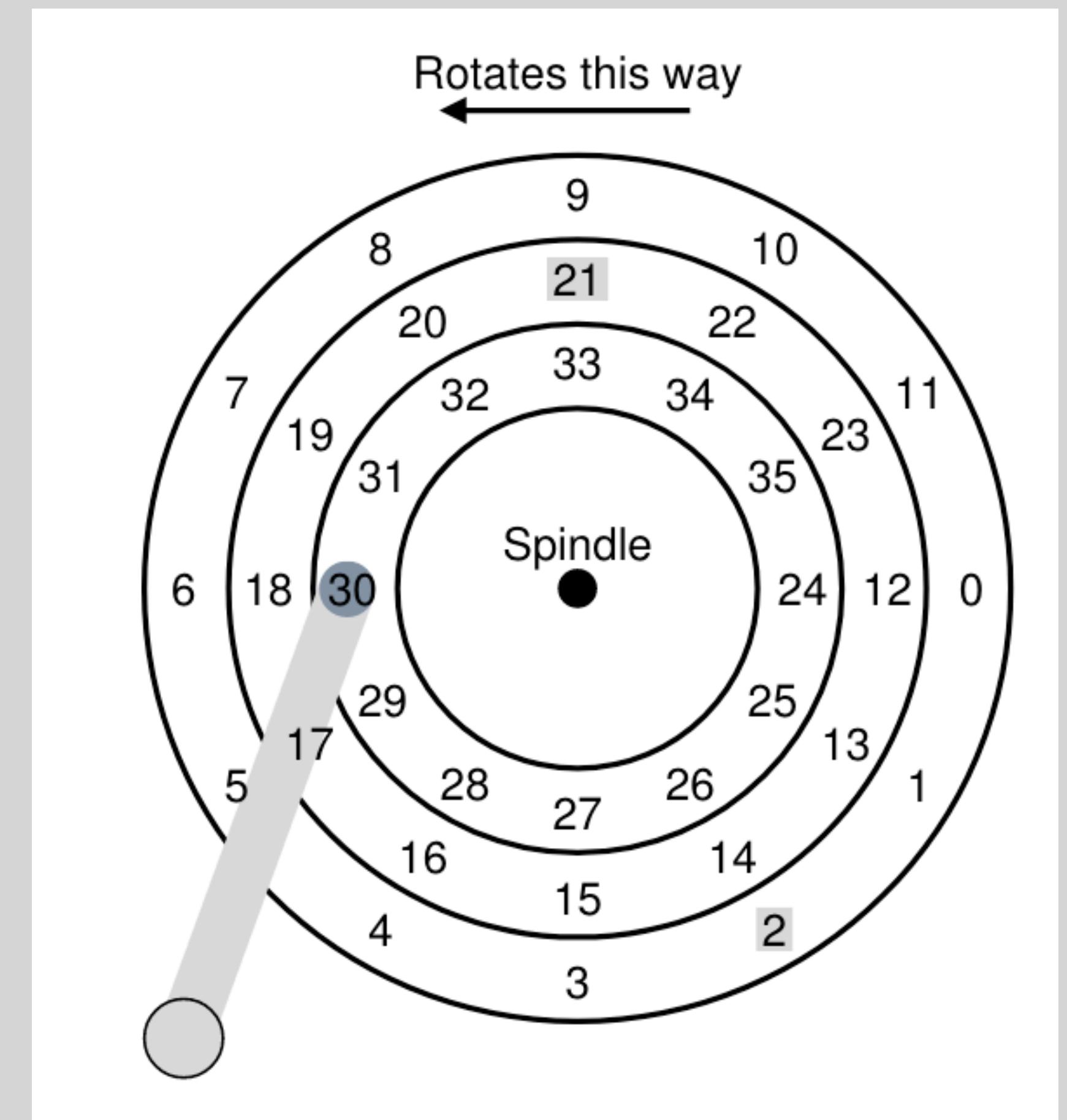
# Shortest seek time first

- Closer tracks first.
- `python3 disk.py -a 10,15,32,11,33,16 -p SSTF -G`



# Shortest seek time first

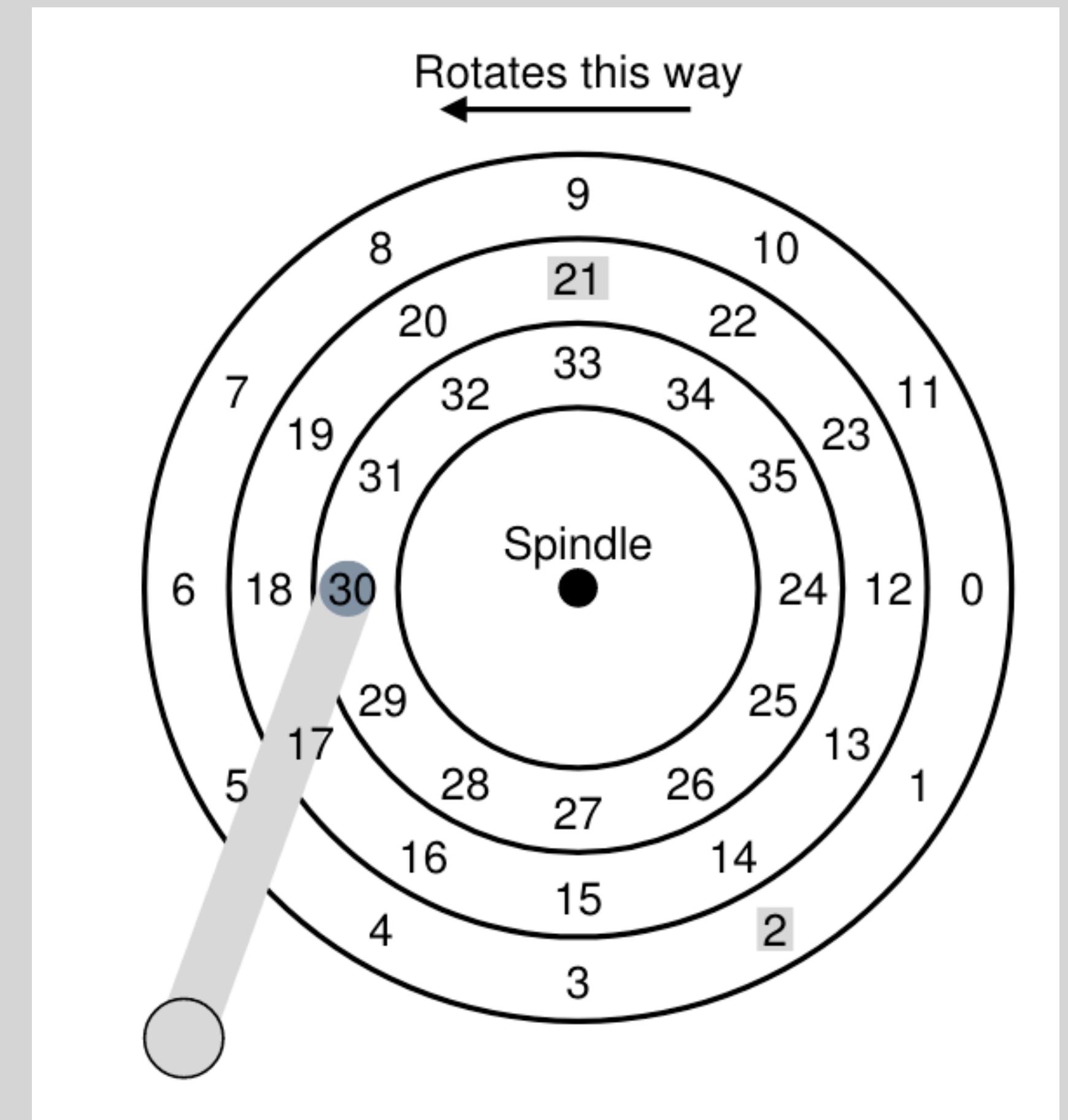
- Closer tracks first.
  - `python3 disk.py -a 10,15,32,11,33,16 -p SSTF –G`
  - Starvation problem



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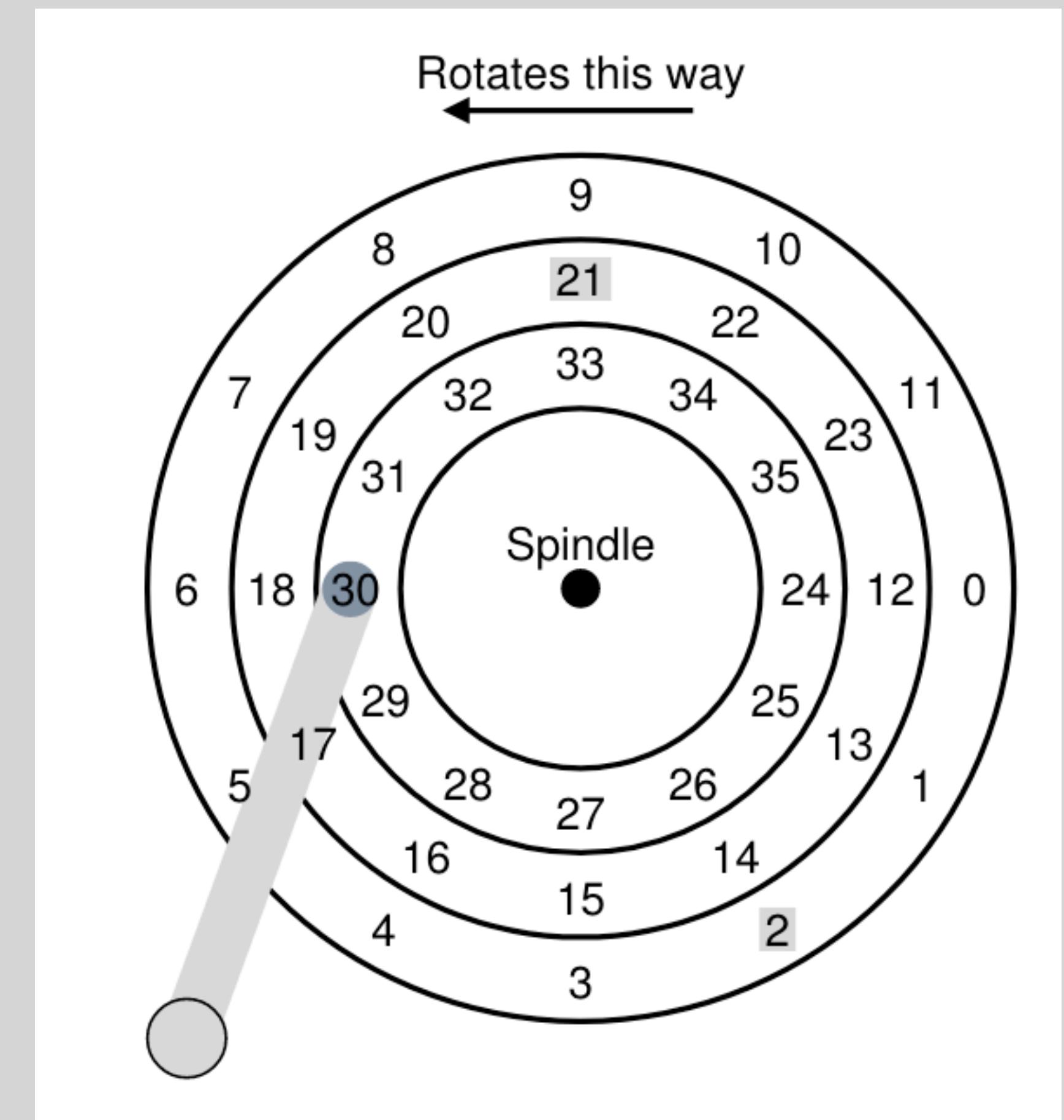
→ Time



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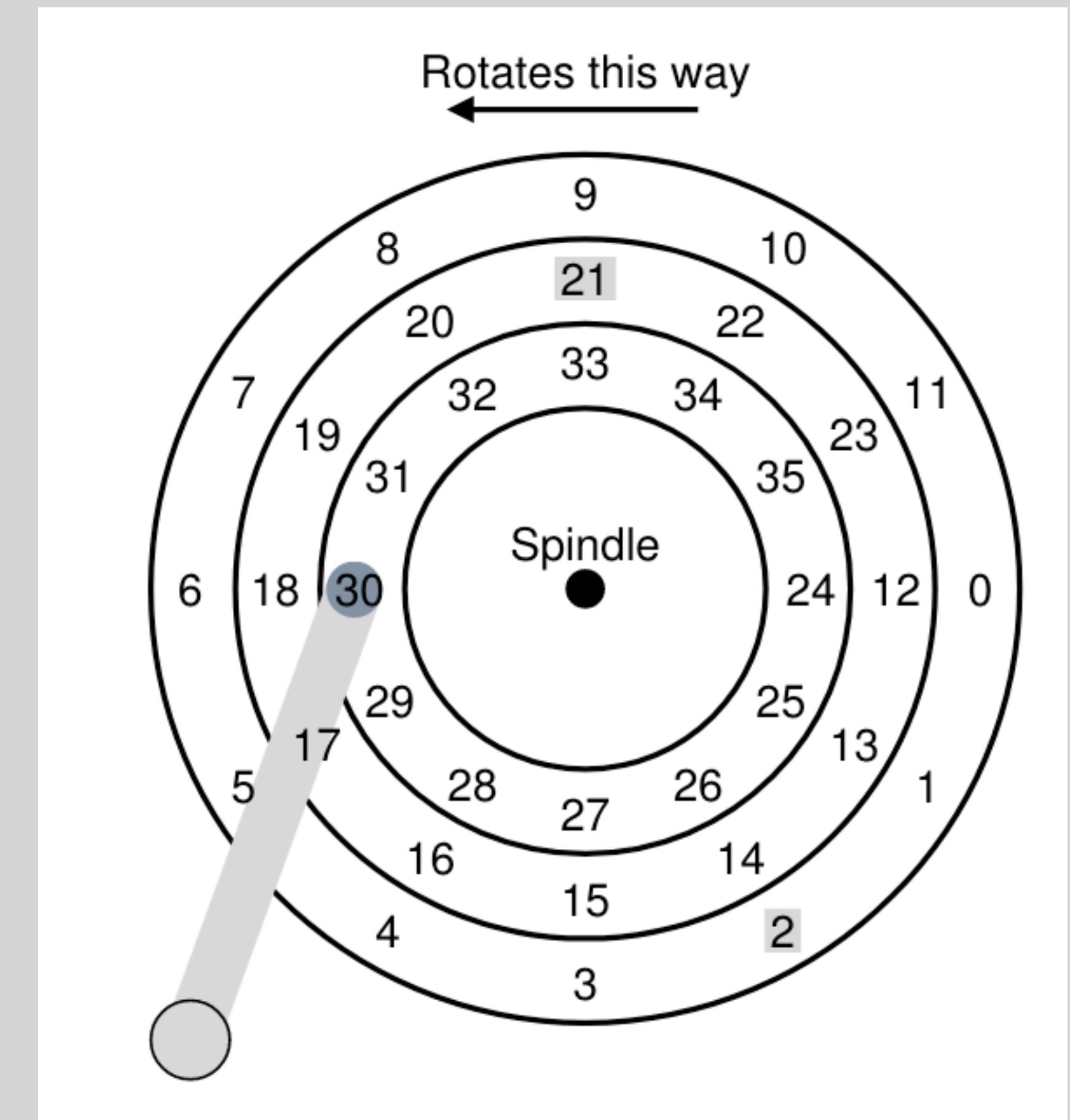
# Time



# Shortest seek time first

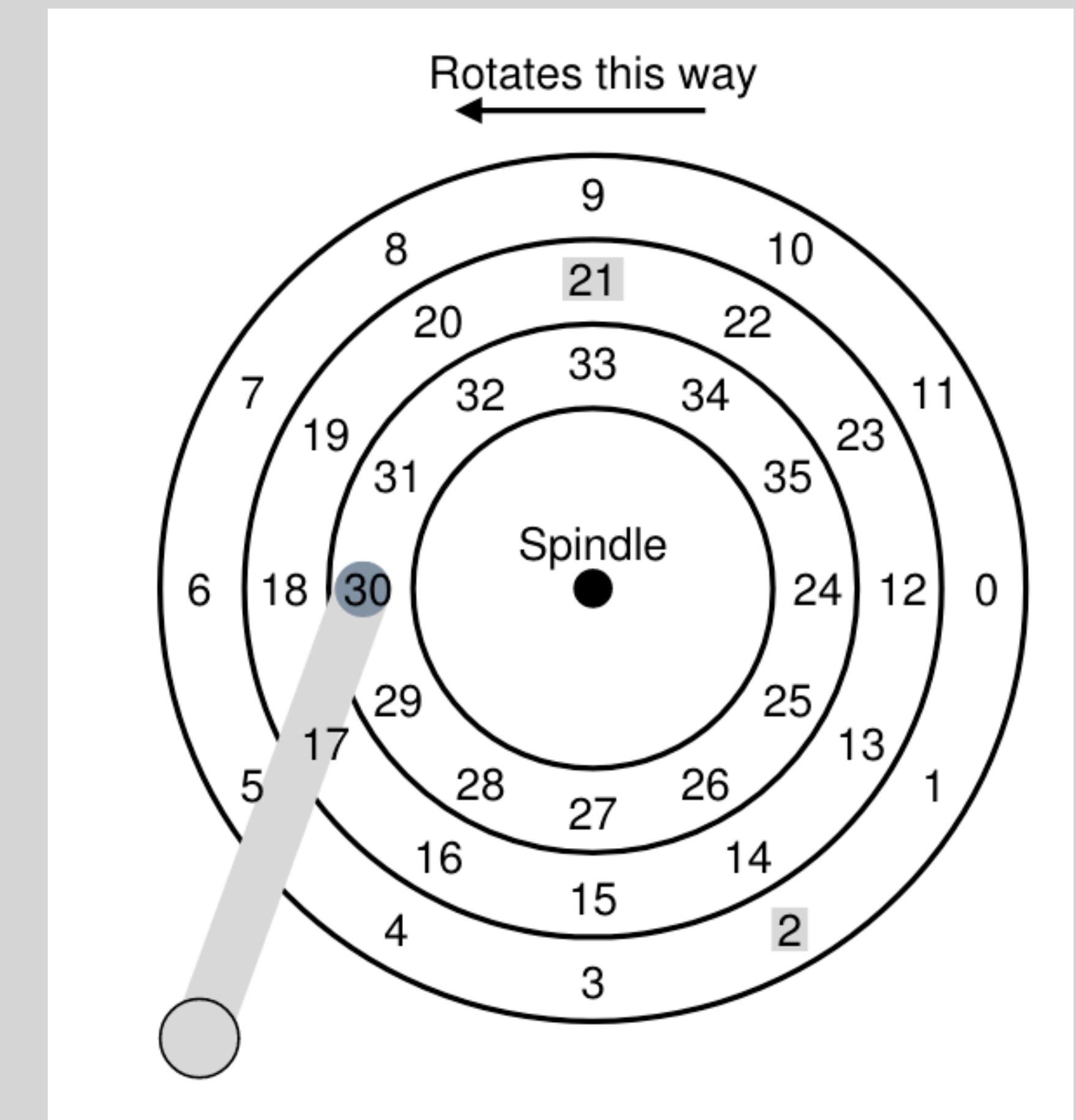
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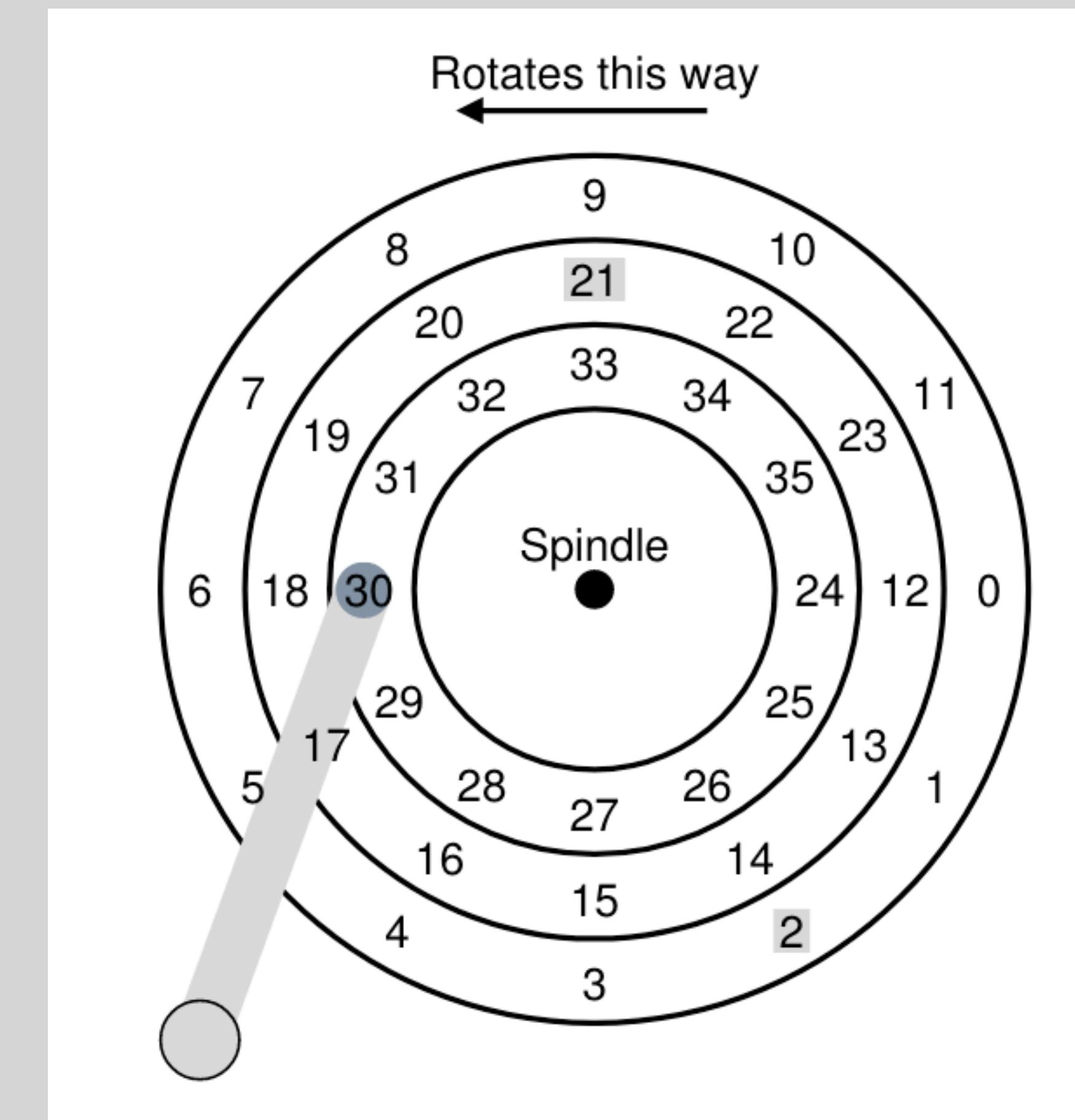
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  - `python3 disk.py -a 10,15,32,11,33,16 -p SSTF –G`
  - Starvation problem



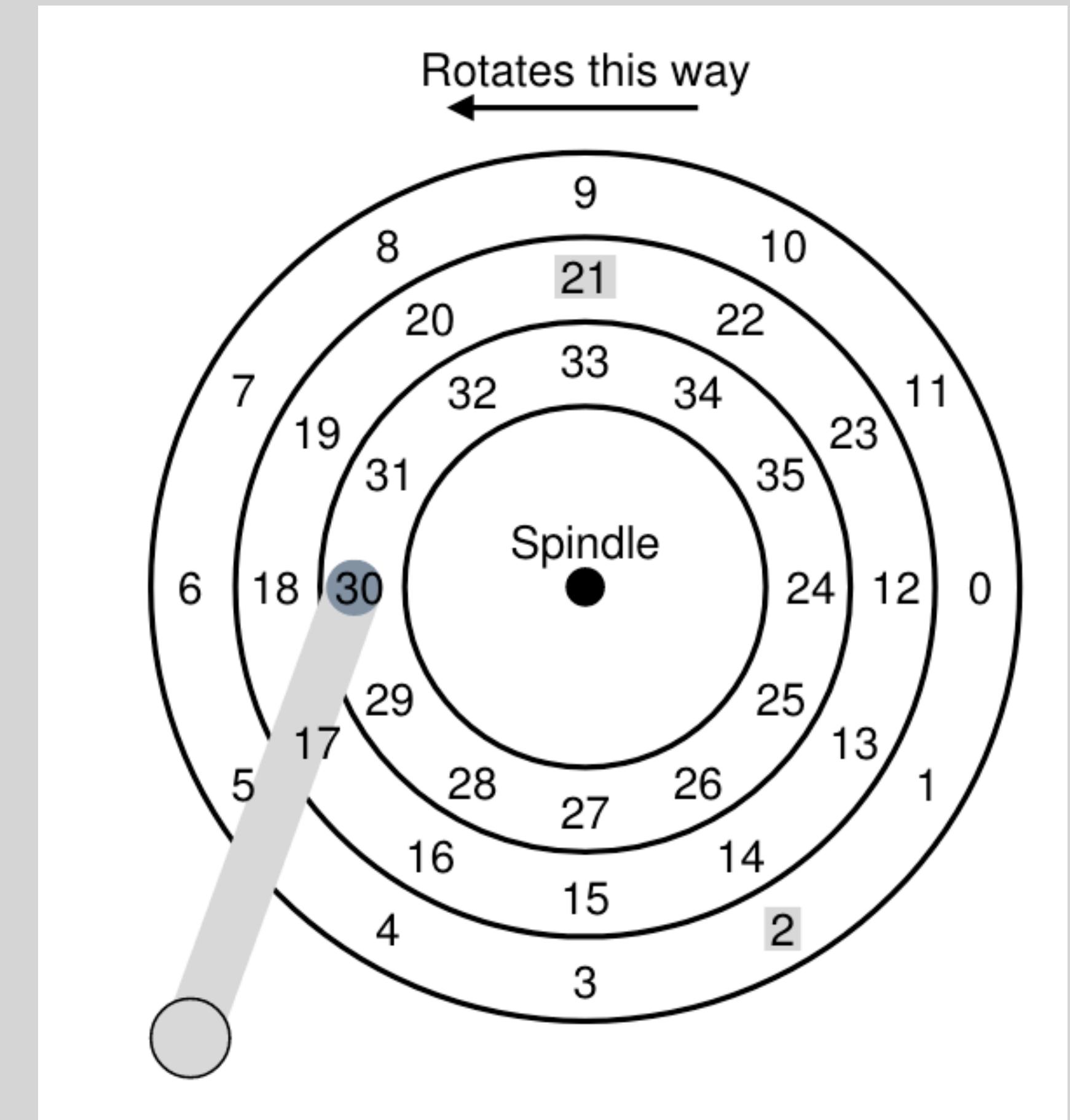
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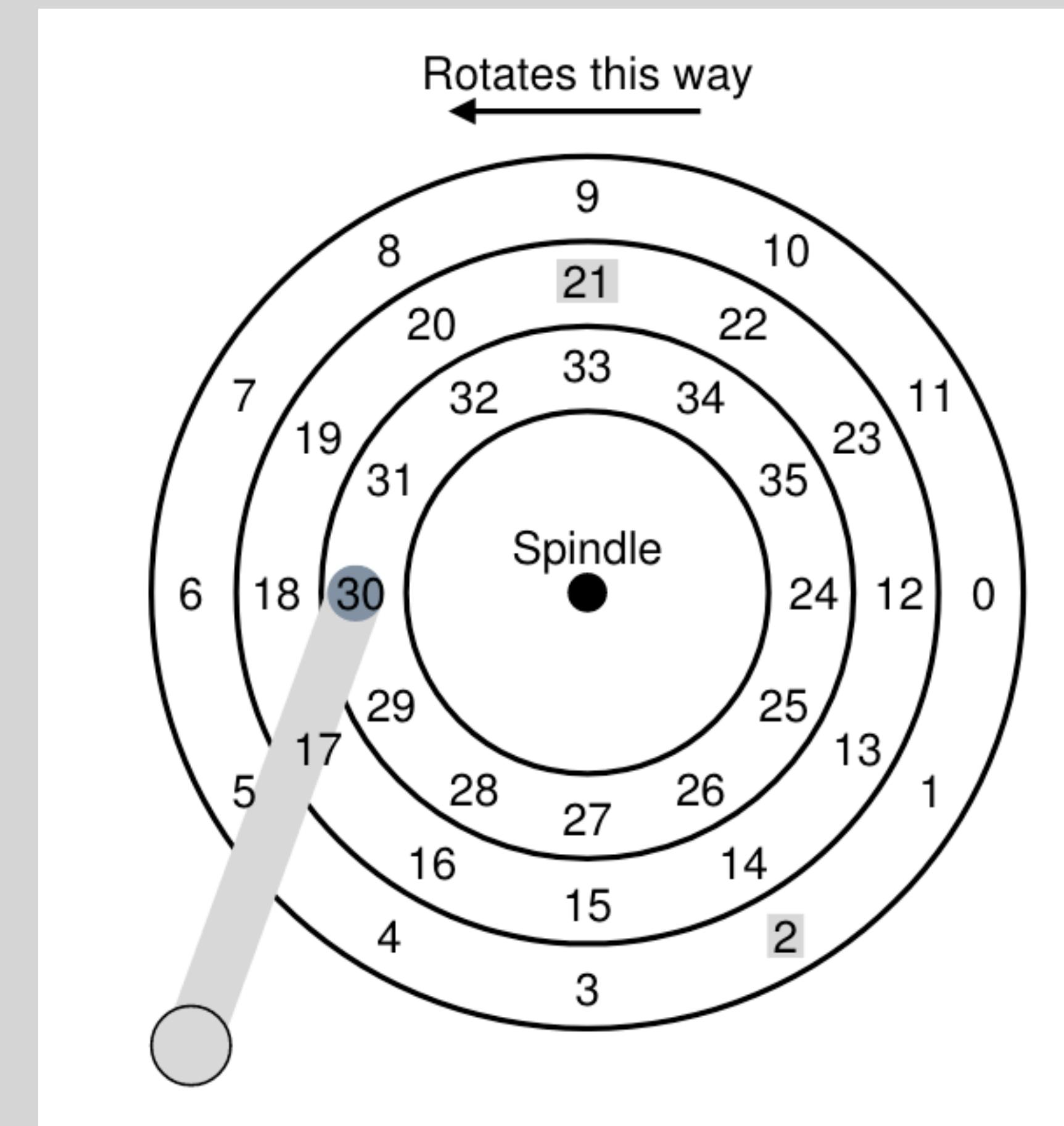
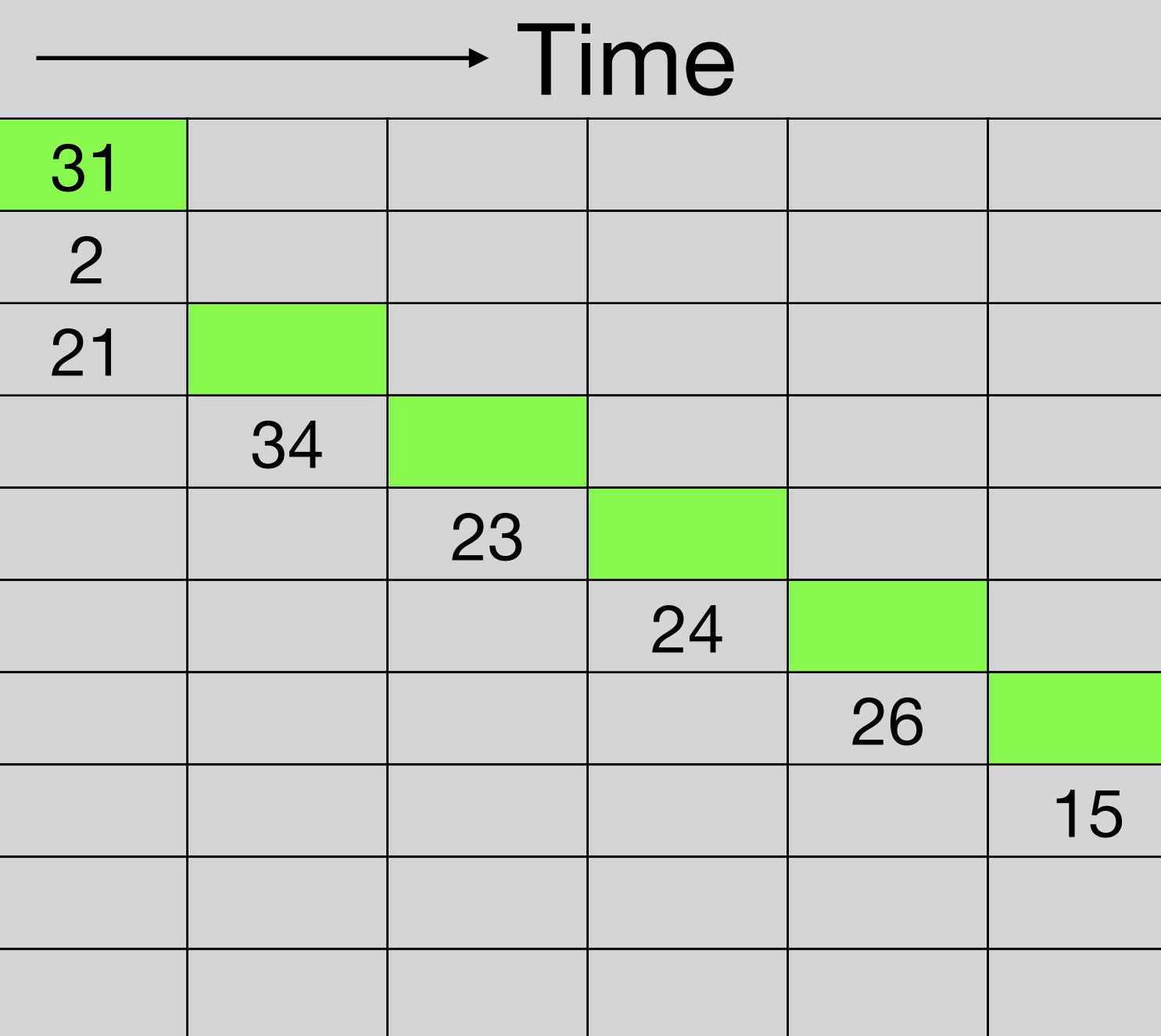
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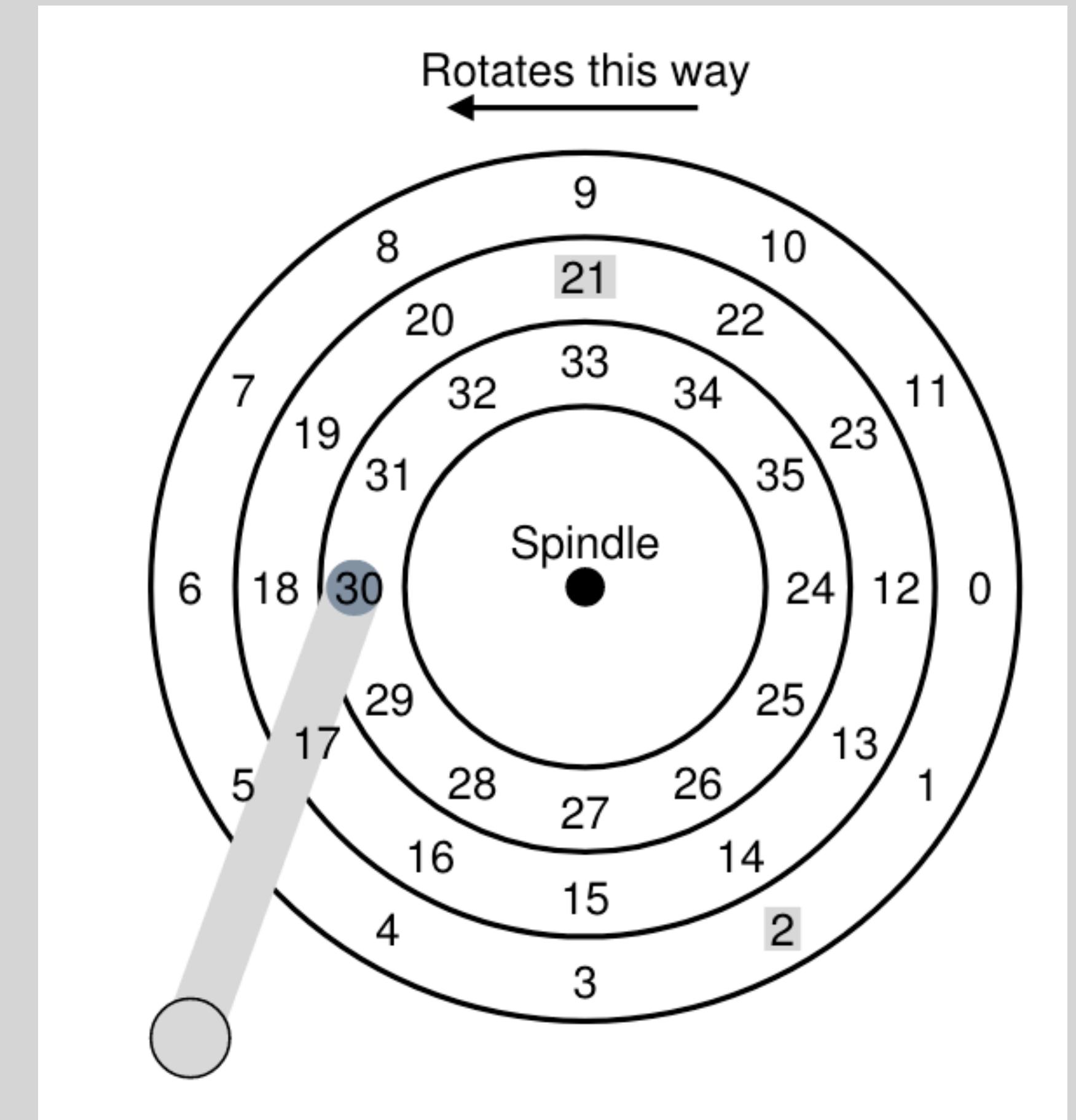
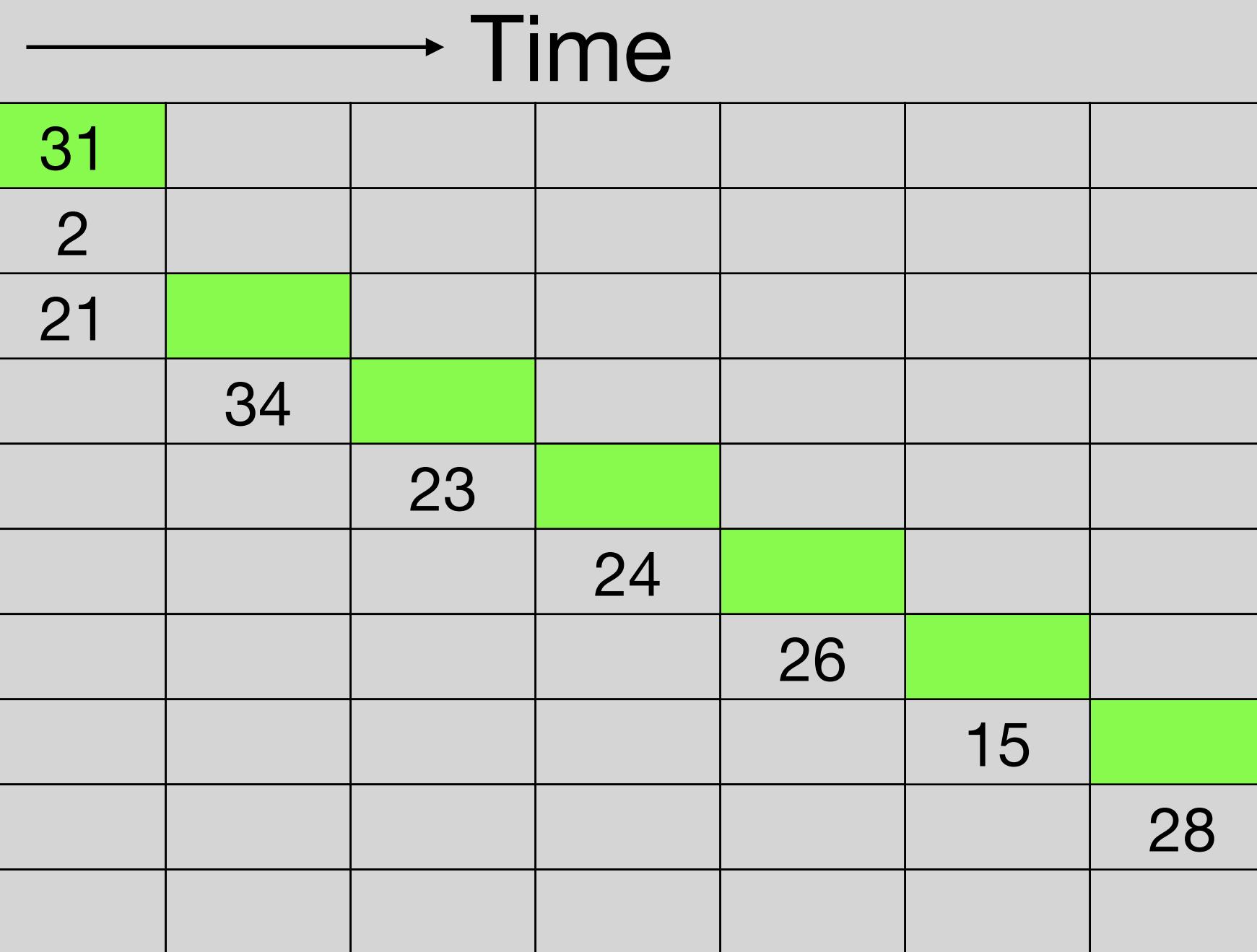
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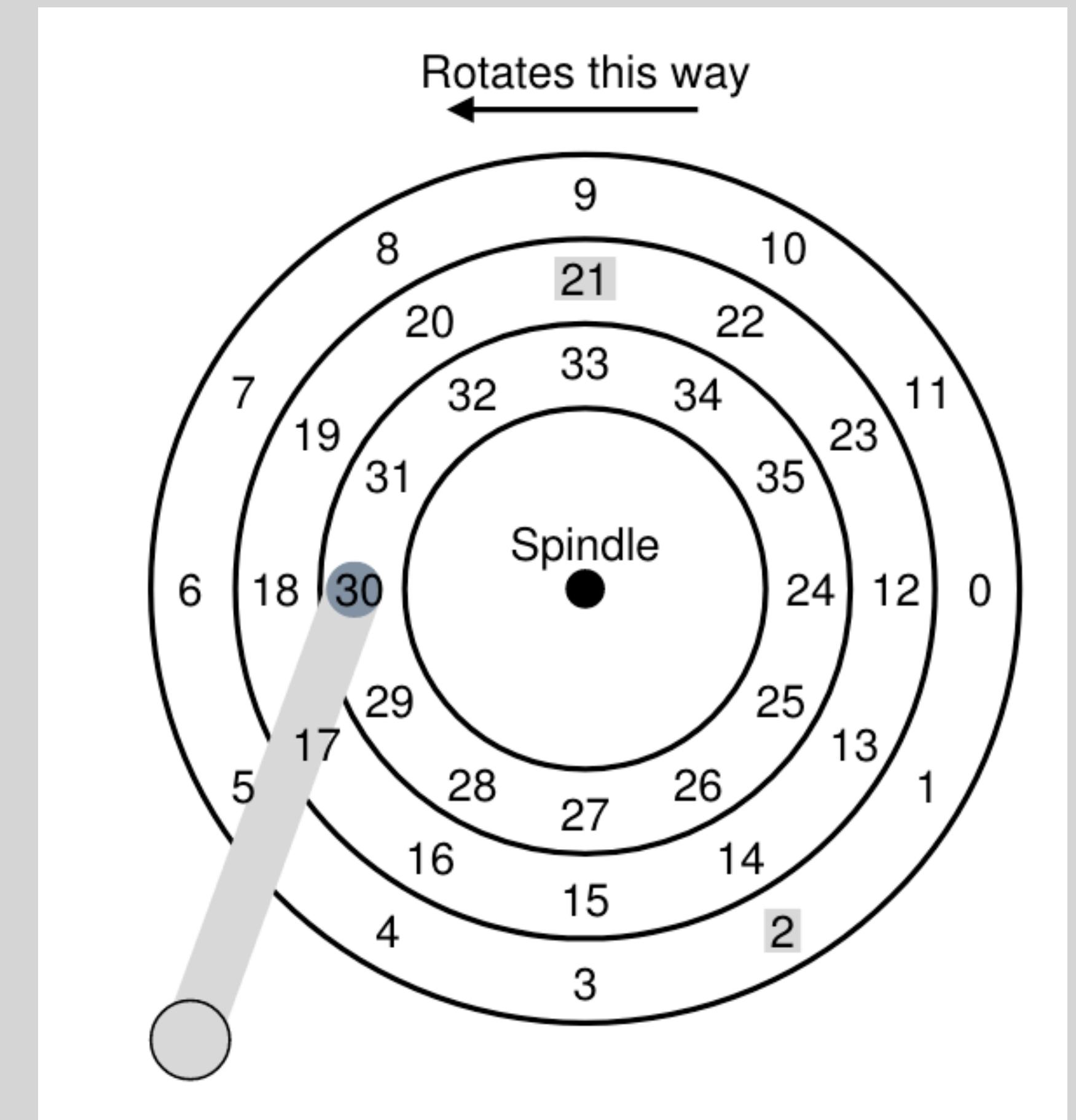
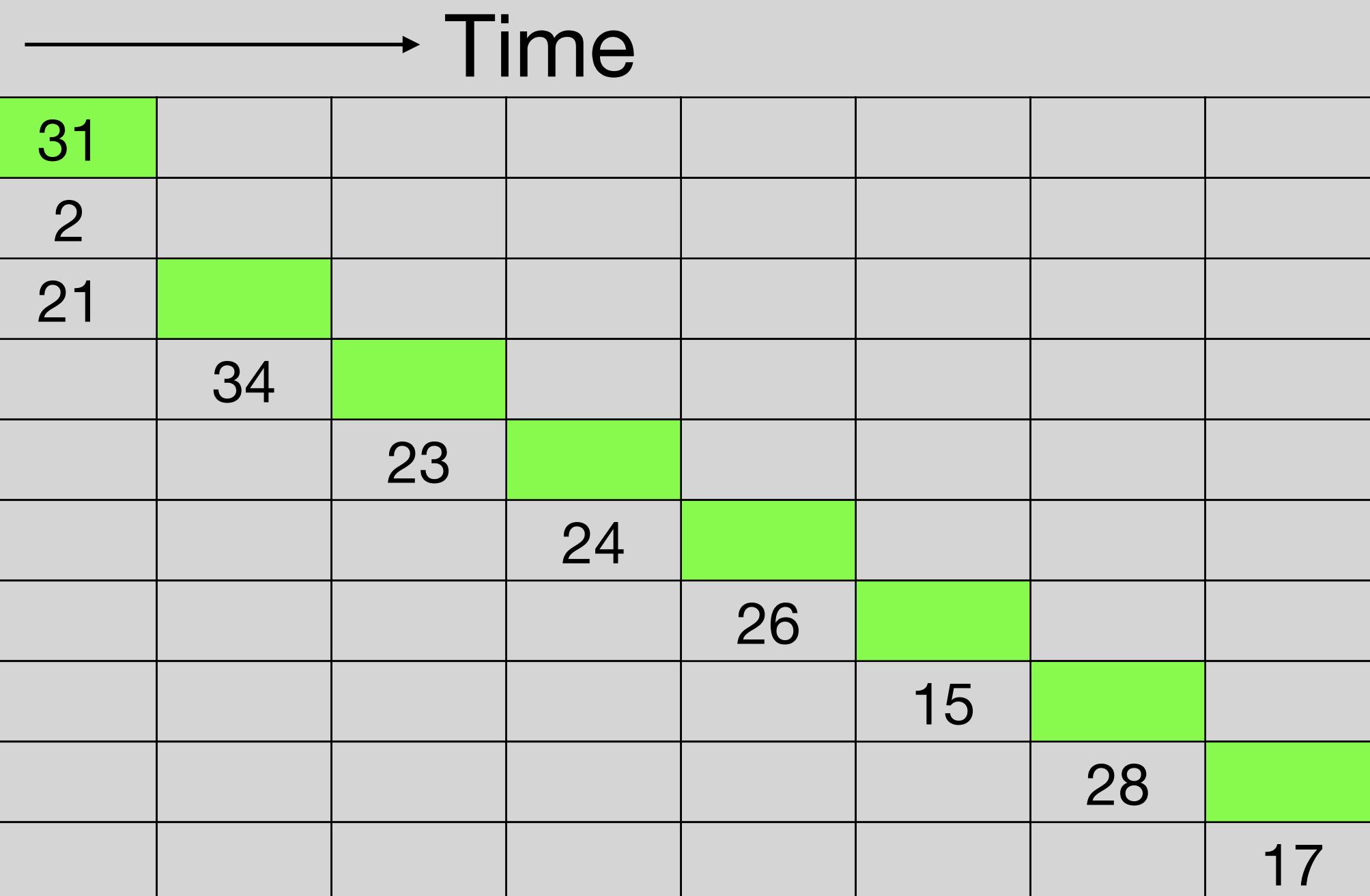
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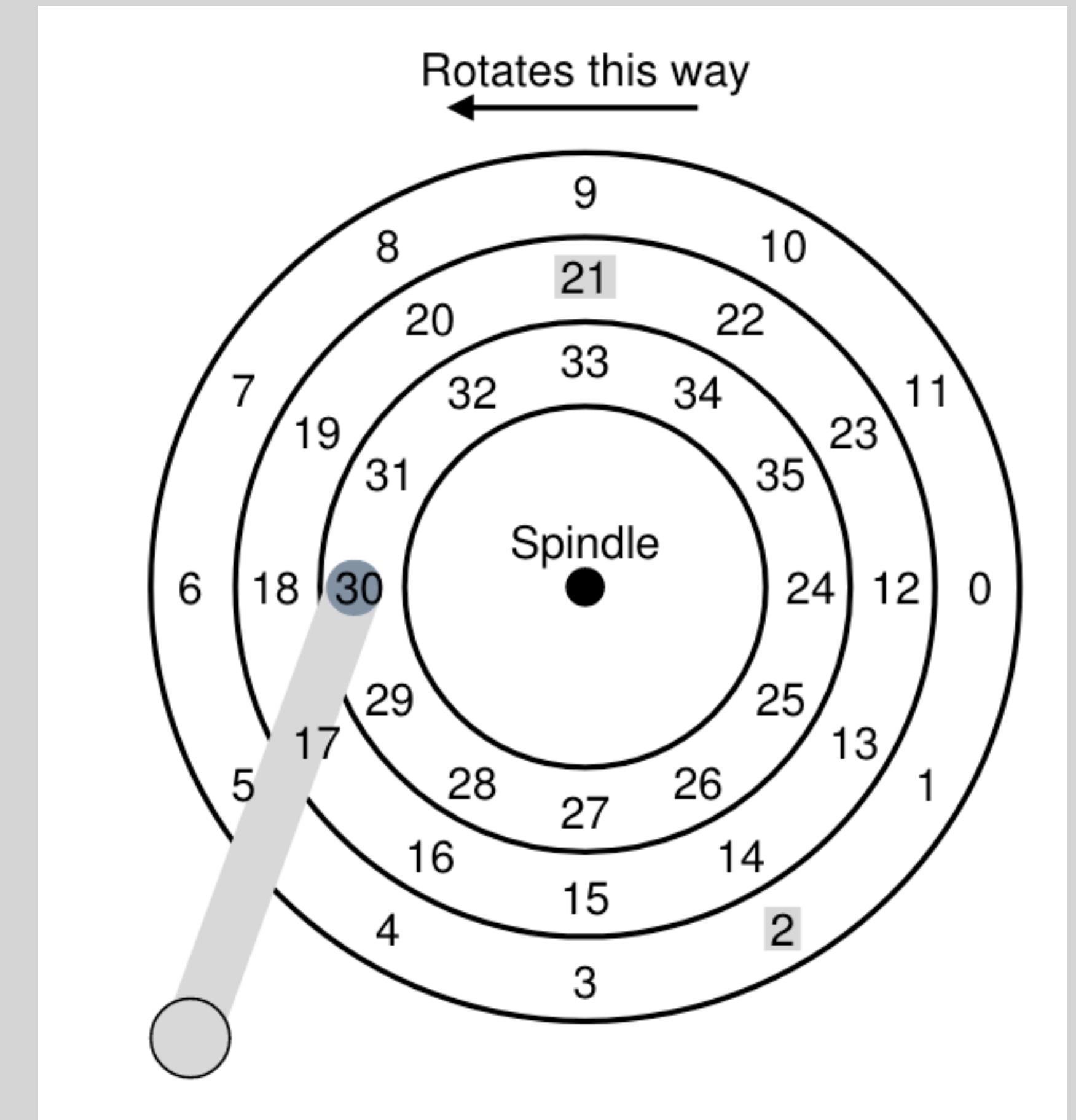
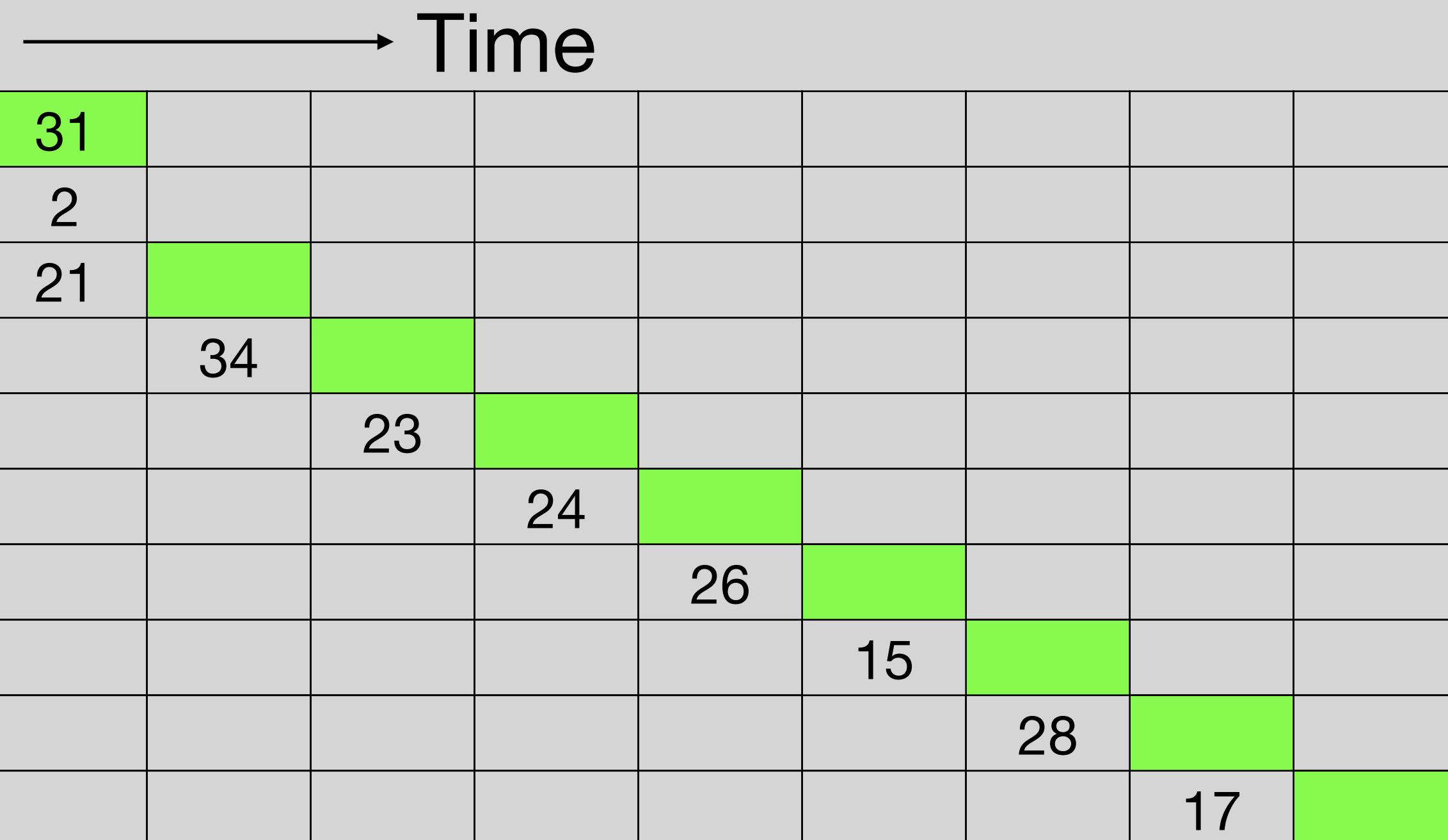
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- Closer tracks first.
- python3 disk.py -a 10,15,32,11,33,16 -p SSTF —G
- Starvation problem

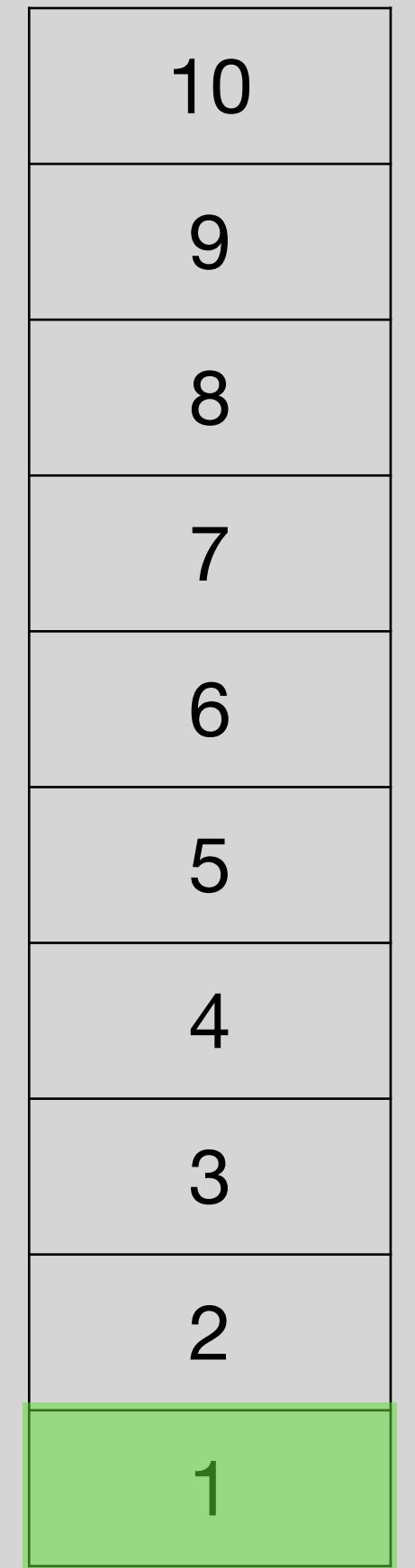


# Shortest seek time first

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- `python3 disk.py -a 10,15,32,11,33,16 -p SSTF -G`
- Starvation problem

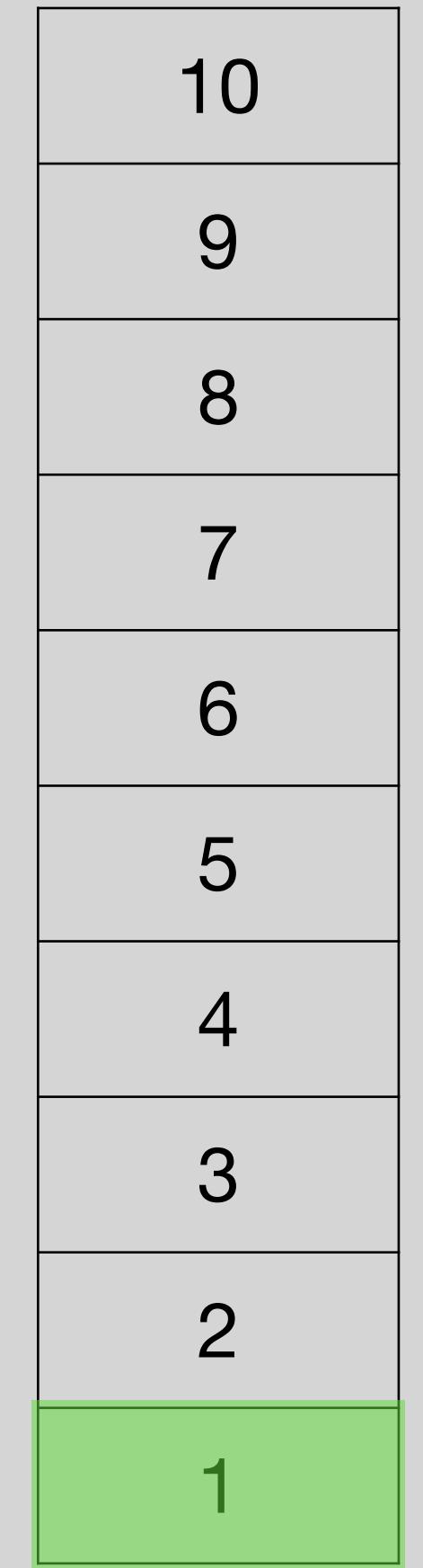


# Elevator analogy



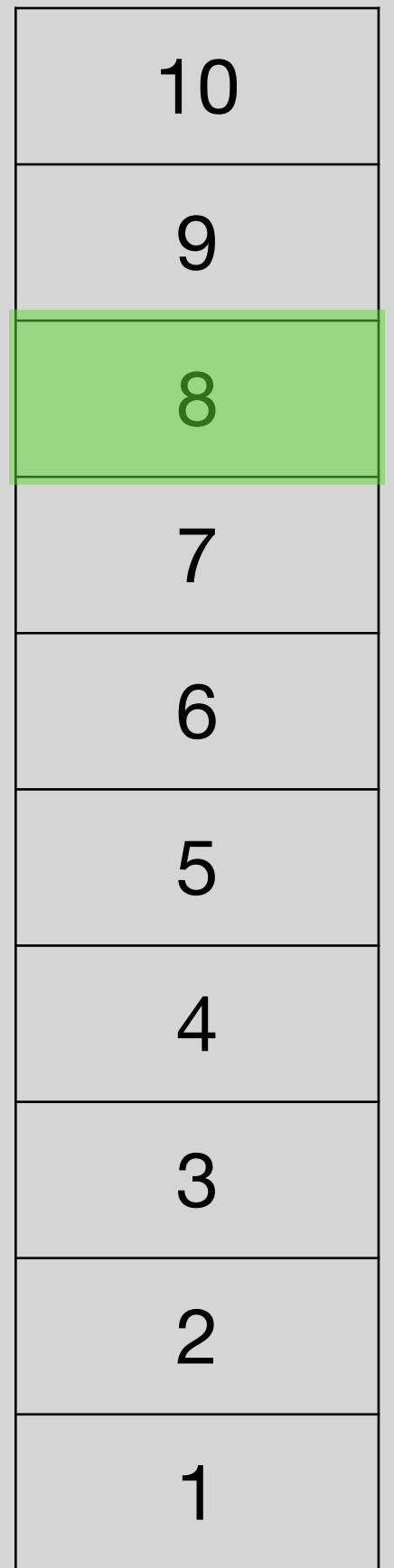
# Elevator analogy

- You get on, press 10



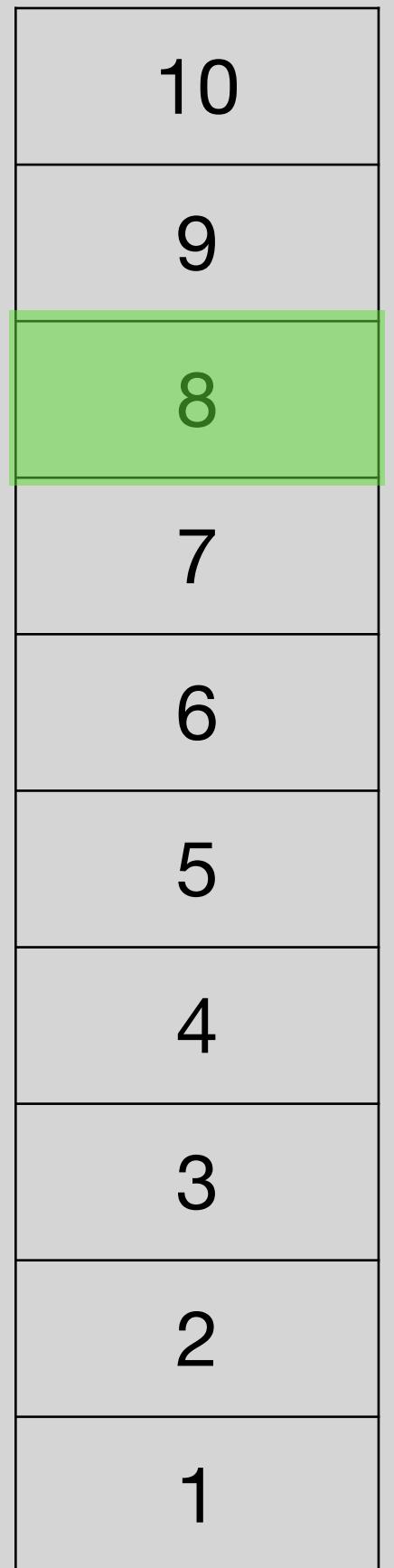
# Elevator analogy

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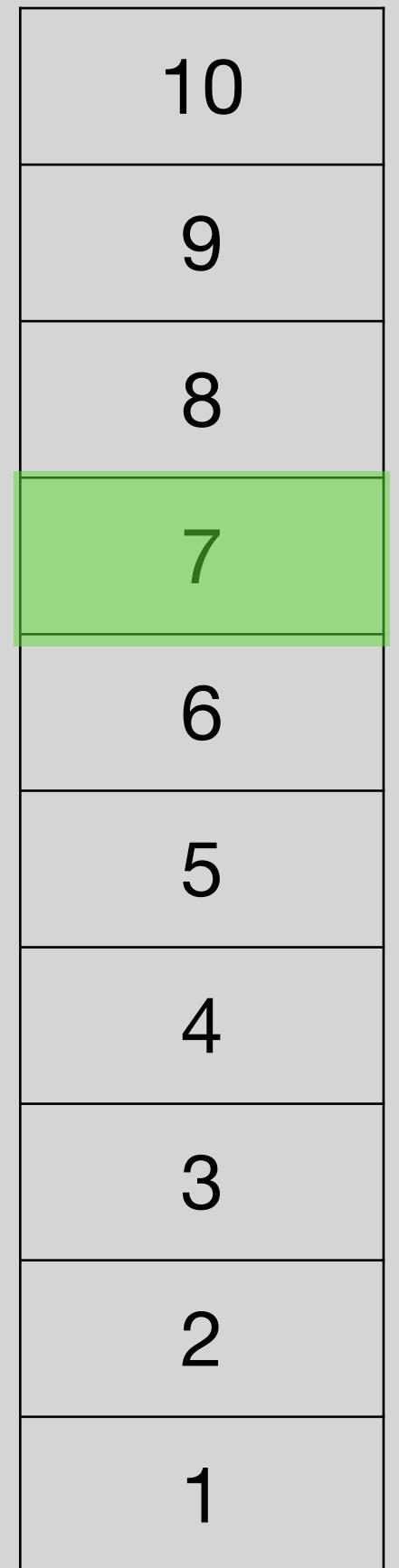
# Elevator analogy

- You get on, press 10
- At floor 8, P1 gets on, P1 presses 7



# Elevator analogy

- You get on, press 10
- At floor 8, P1 gets on, P1 presses 7



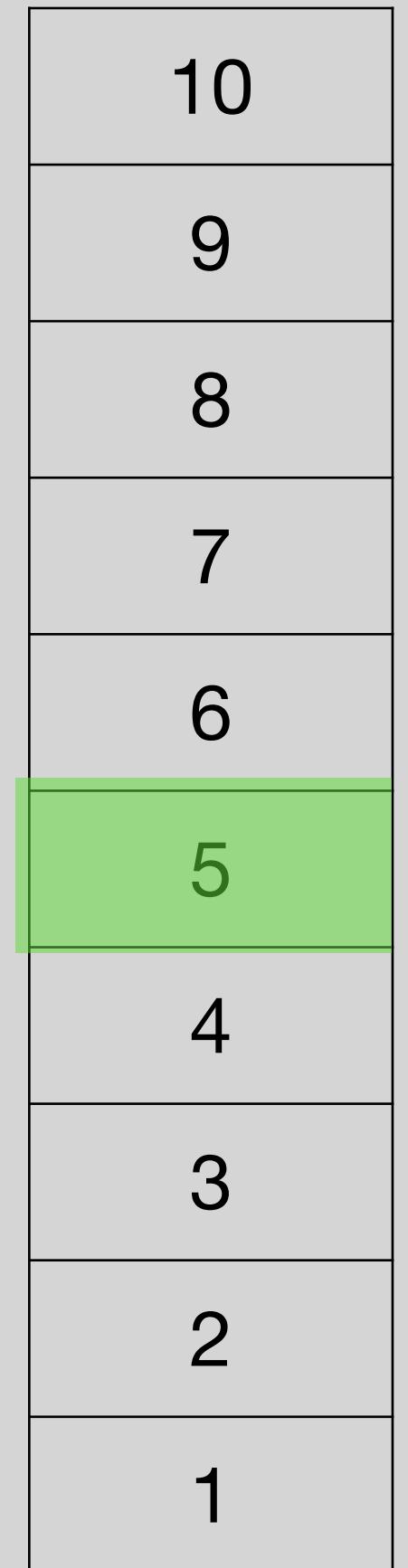
# Elevator analogy

- You get on, press 10
- At floor 8, P1 gets on, P1 presses 7
- At floor 7, P1 gets down, P2 gets on, P2 presses 5



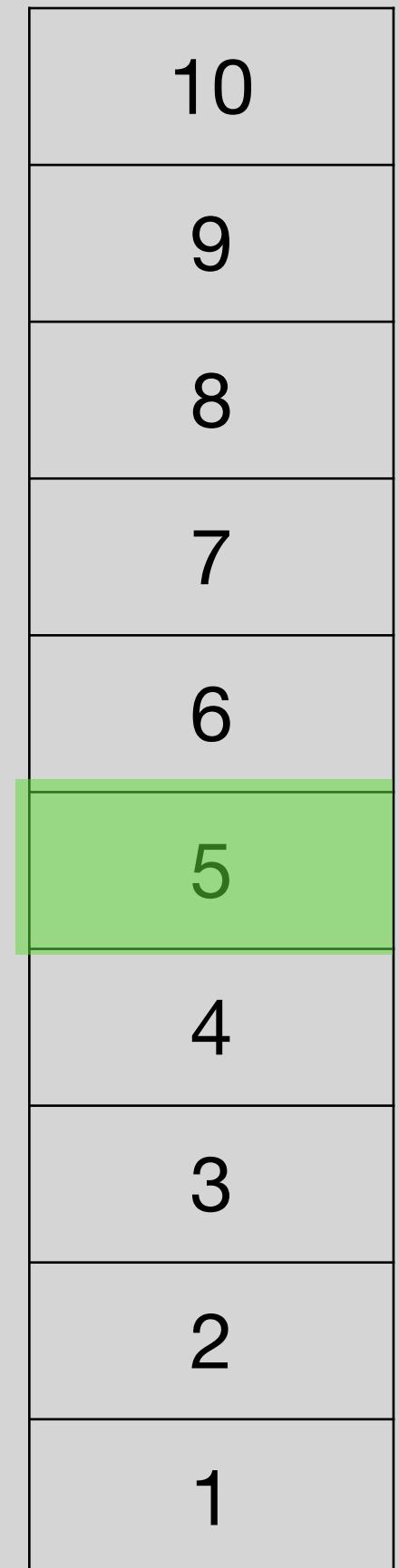
# Elevator analogy

- You get on, press 10
- At floor 8, P1 gets on, P1 presses 7
- At floor 7, P1 gets down, P2 gets on, P2 presses 5



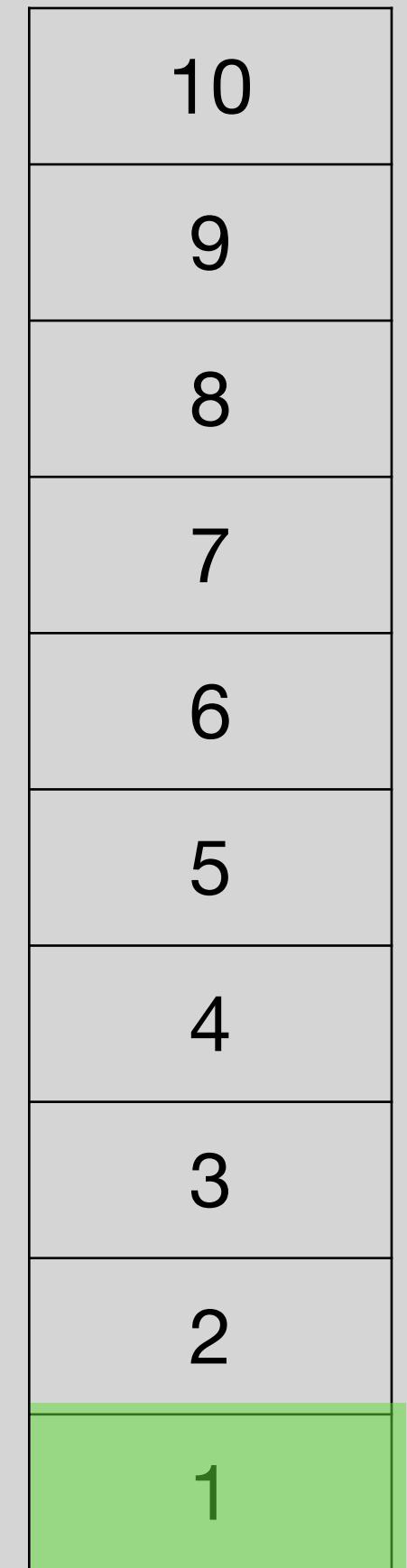
# Elevator analogy

- You get on, press 10
- At floor 8, P1 gets on, P1 presses 7
- At floor 7, P1 gets down, P2 gets on, P2 presses 5
- At floor 5, P2 gets down, P3 gets on, P3 presses 1



# Elevator analogy

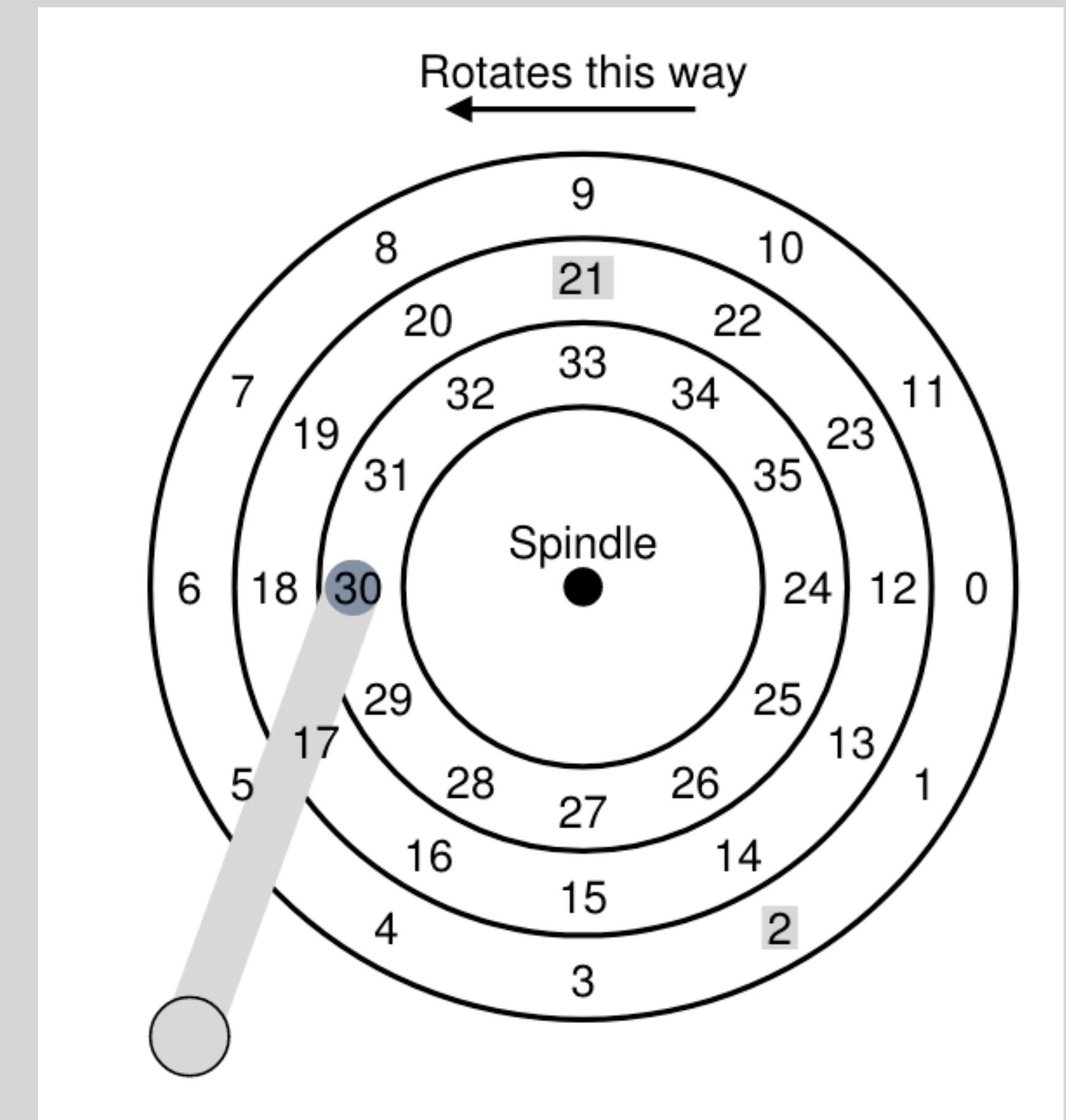
- You get on, press 10
- At floor 8, P1 gets on, P1 presses 7
- At floor 7, P1 gets down, P2 gets on, P2 presses 5
- At floor 5, P2 gets down, P3 gets on, P3 presses 1



# Elevator algorithm

## Fix starvation

- Closer tracks first but sweep end-to-end

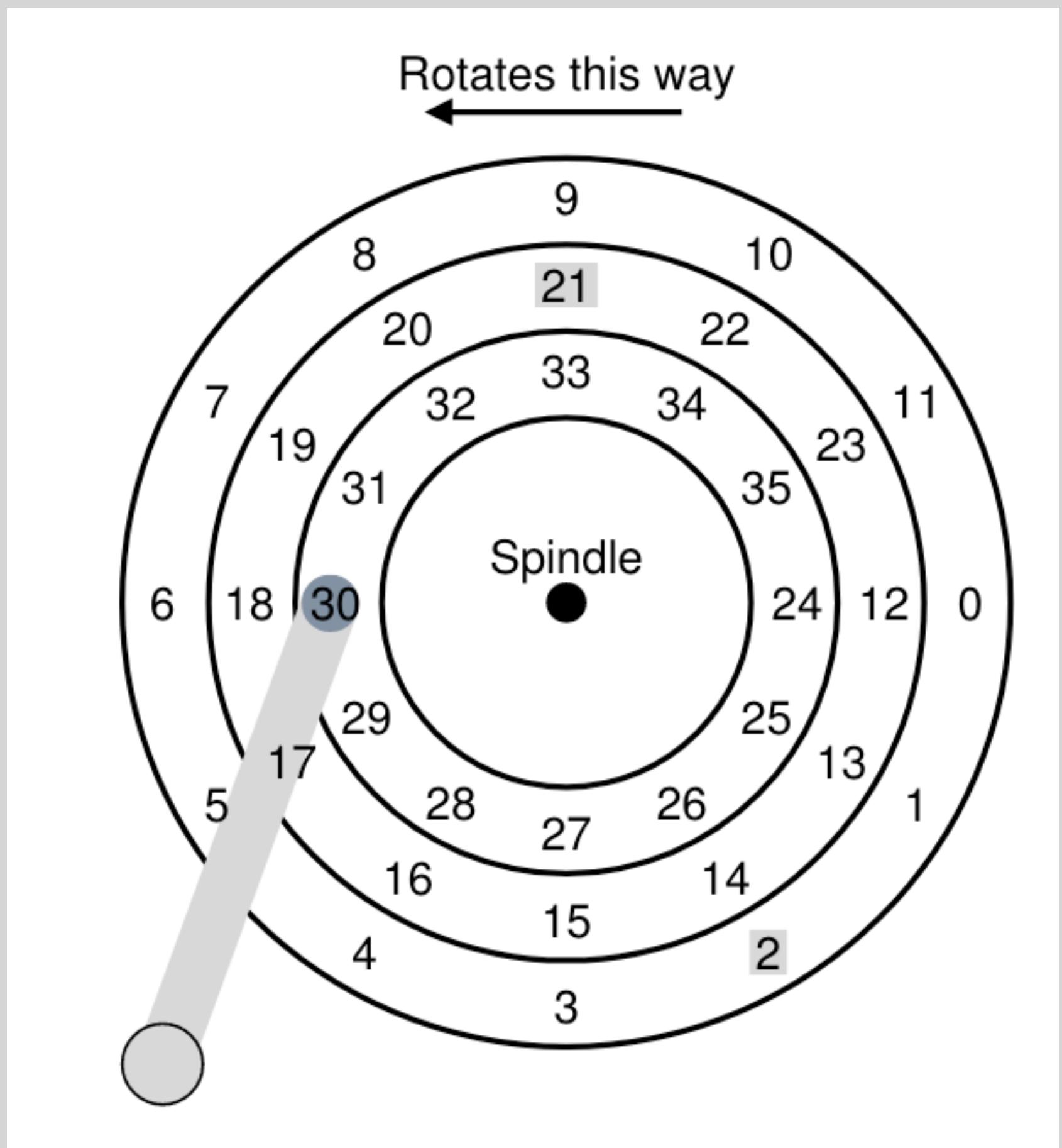


# Elevator algorithm

## Fix starvation

- Closer tracks first but sweep end-to-end

→ Time



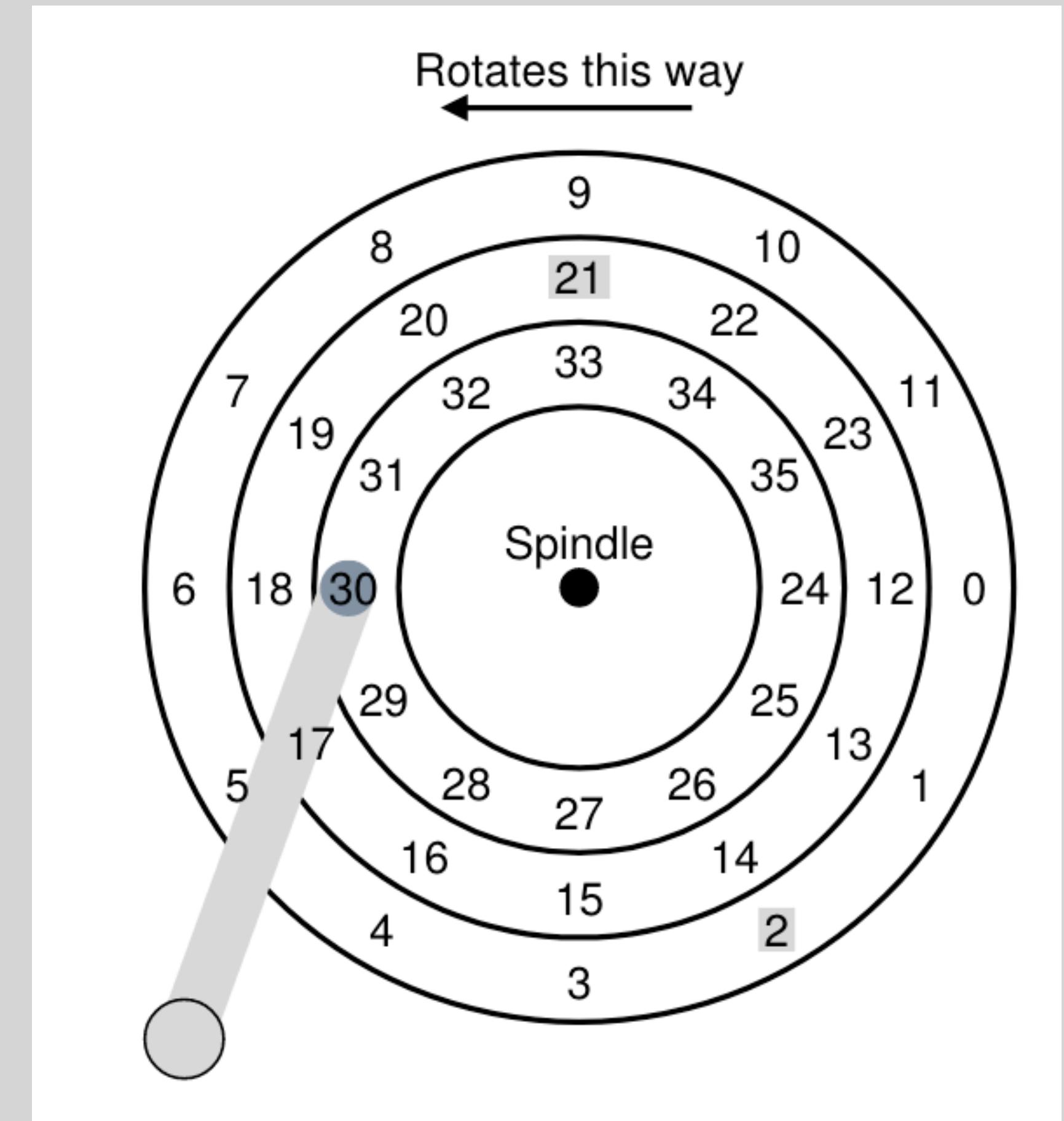
# Elevator algorithm

## Fix starvation

- Closer tracks first but sweep end-to-end

→ Time

31
2
21



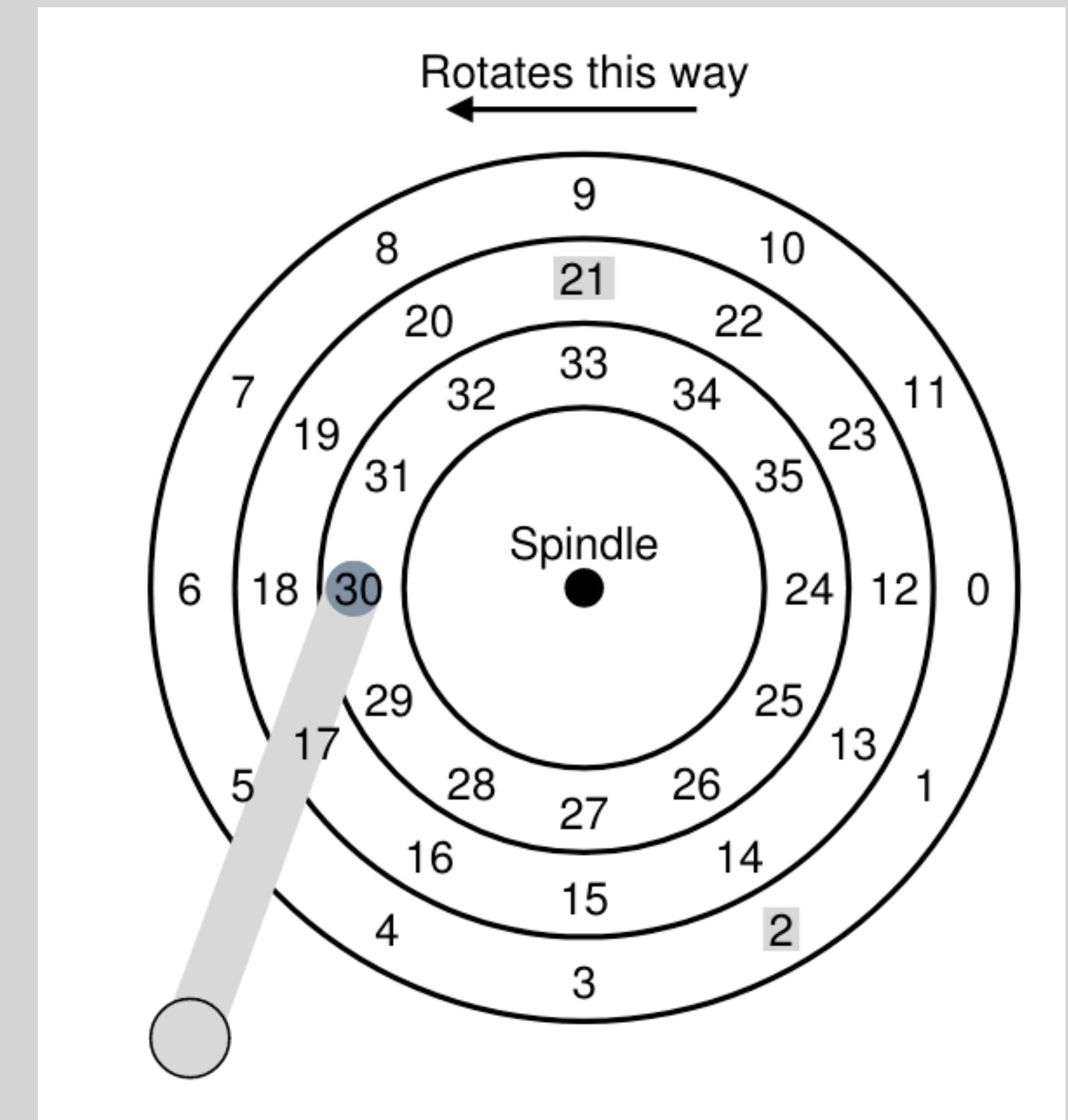
# Elevator algorithm

## Fix starvation

- Closer tracks first but sweep end-to-end

→ Time

31	
2	
21	
	34



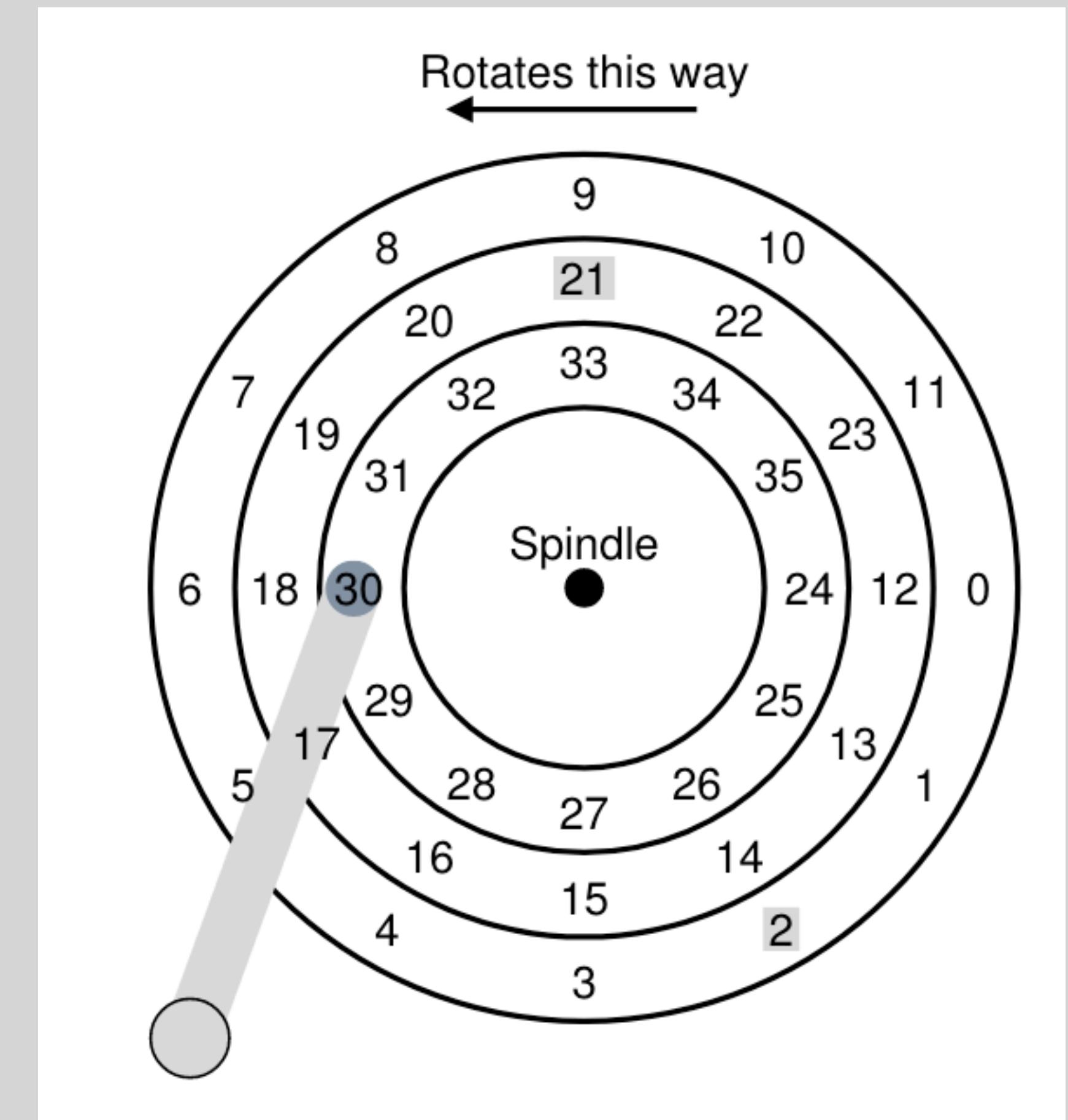
# Elevator algorithm

# Fix starvation

- Closer tracks first but sweep end-to-end

# → Time

31		
2		
21		
	34	
		23



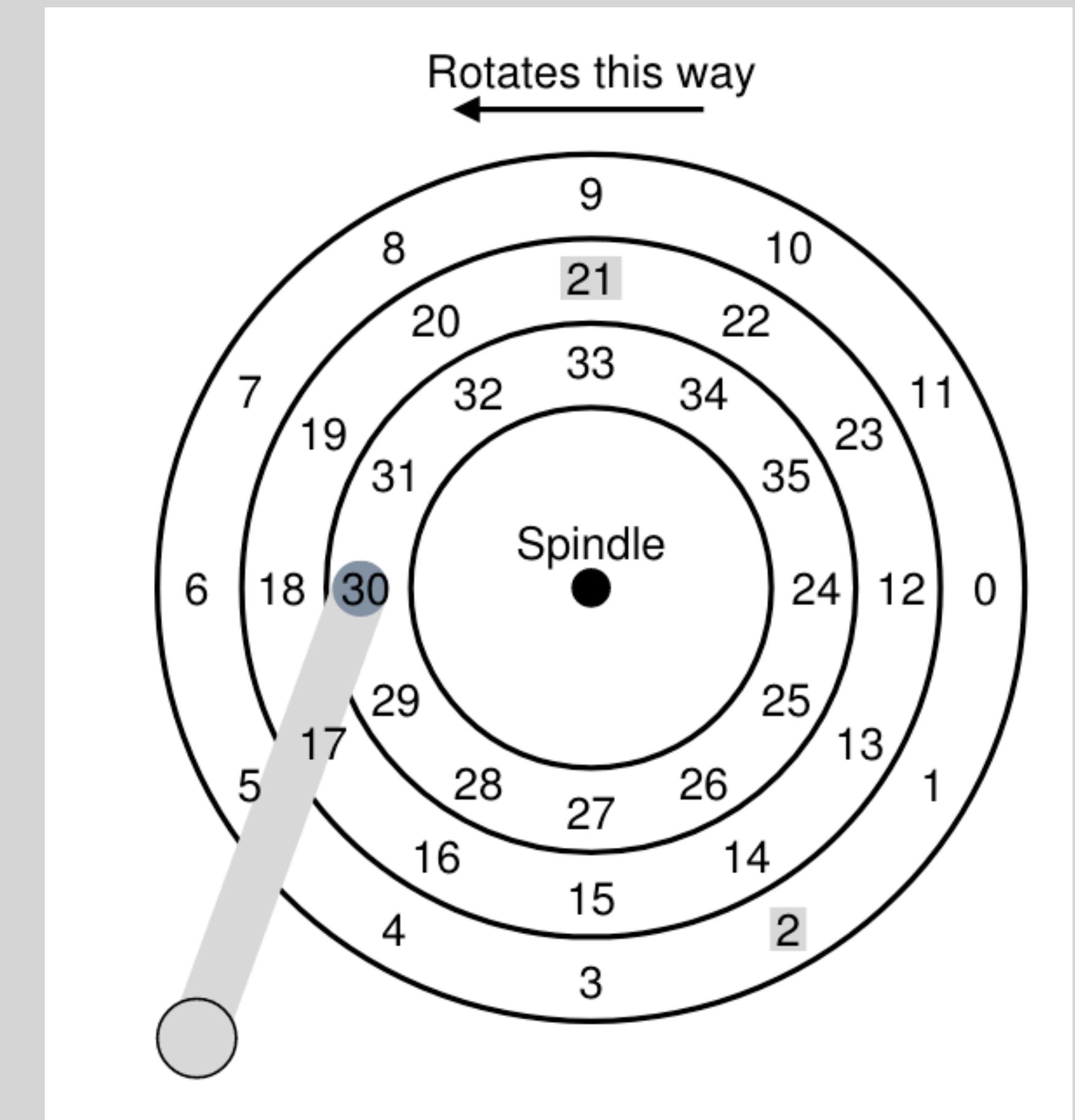
# Elevator algorithm

# Fix starvation

- Closer tracks first but sweep end-to-end

# → Time

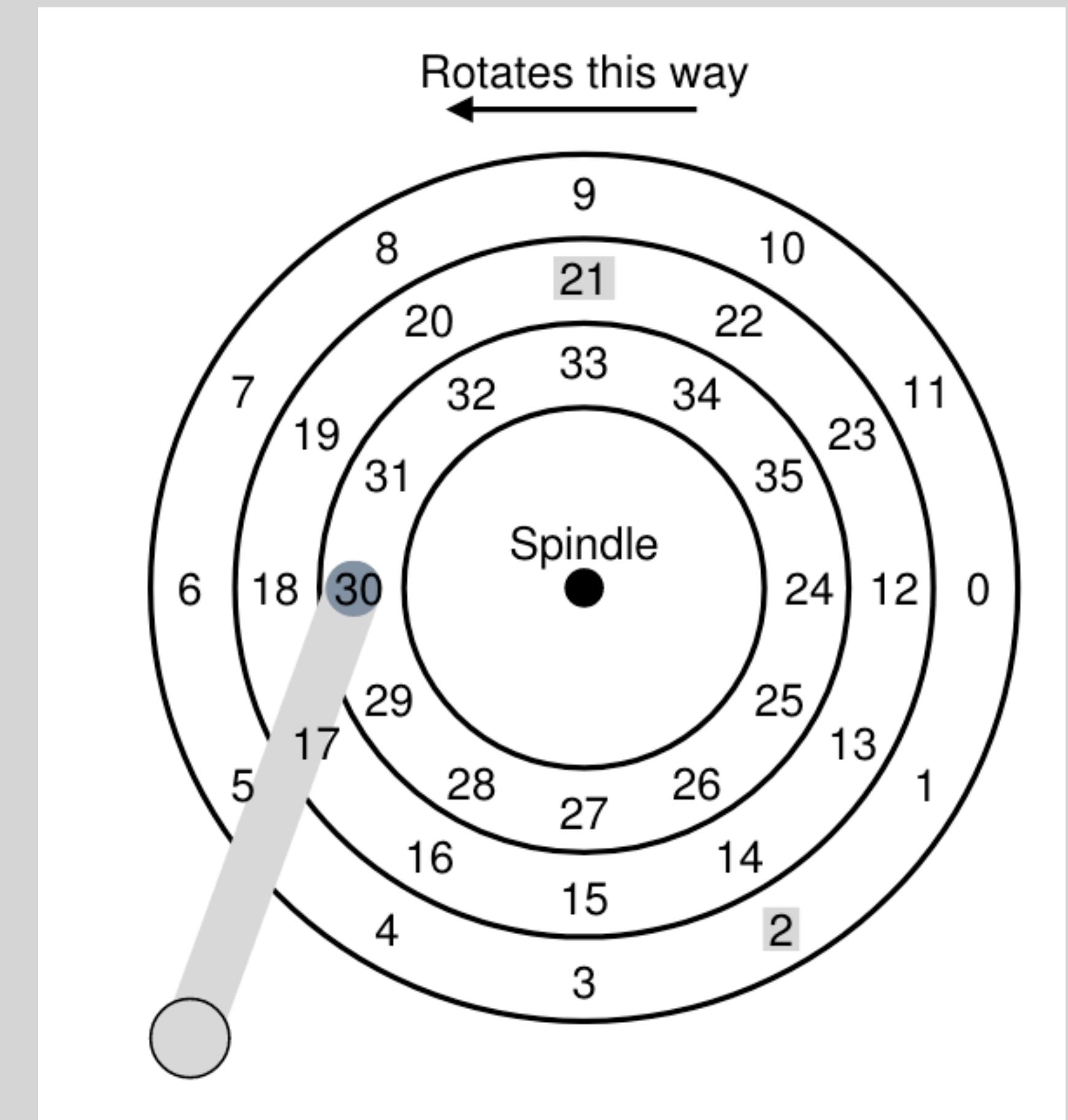
31			
2			
21			
	34		
		23	
			24



# Elevator algorithm

# Fix starvation

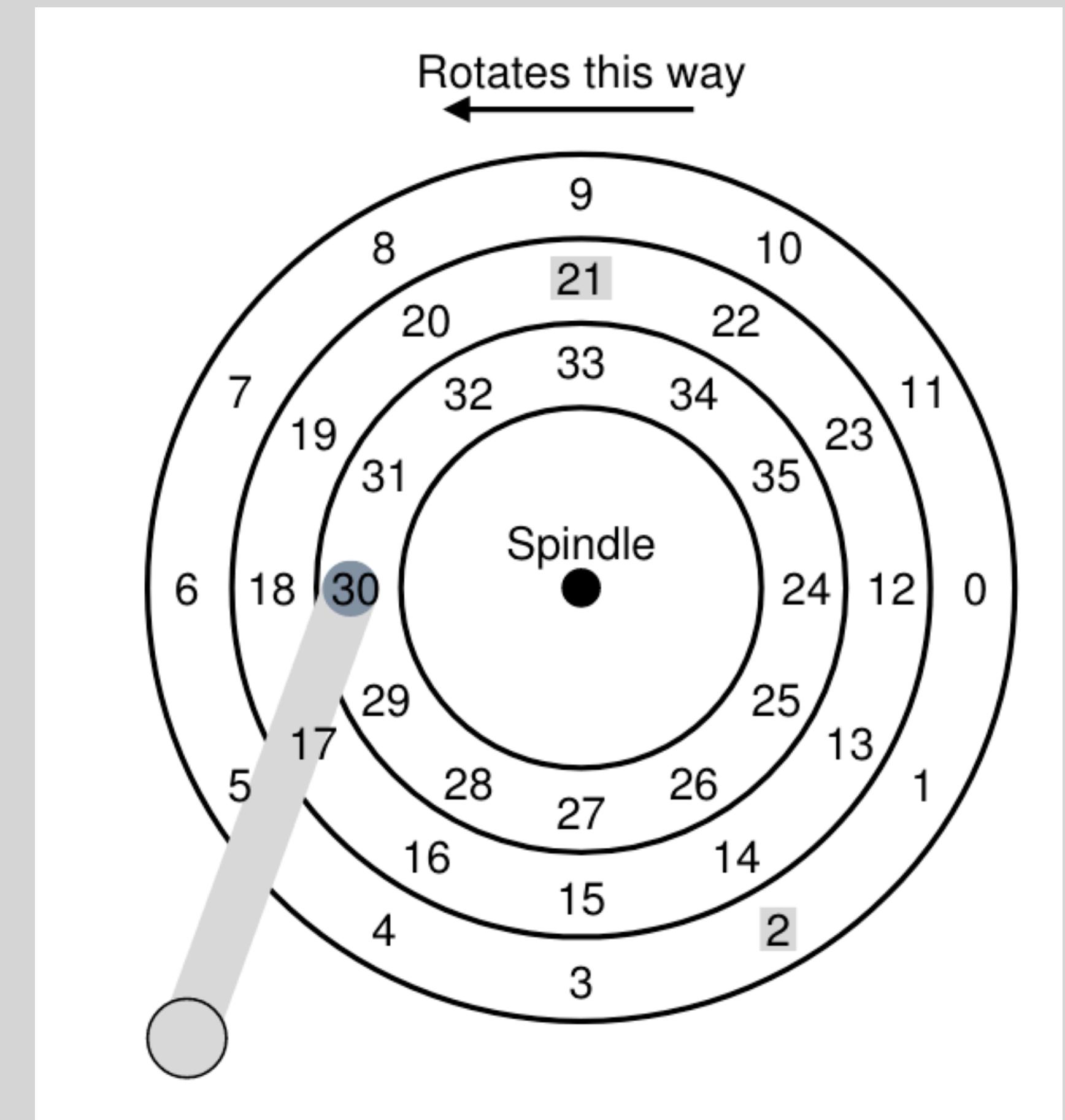
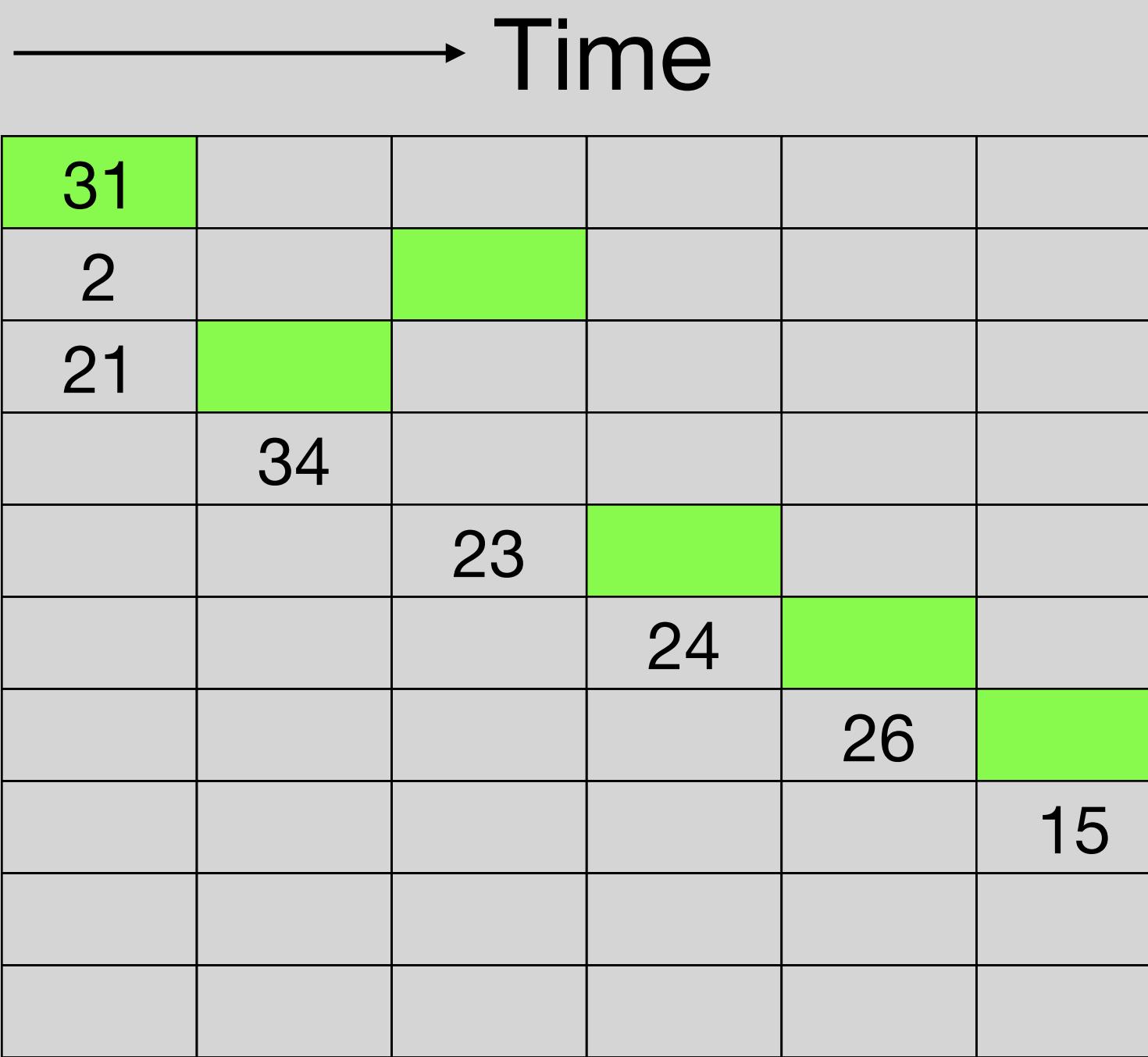
- Closer tracks first but sweep end-to-end



# Elevator algorithm

# Fix starvation

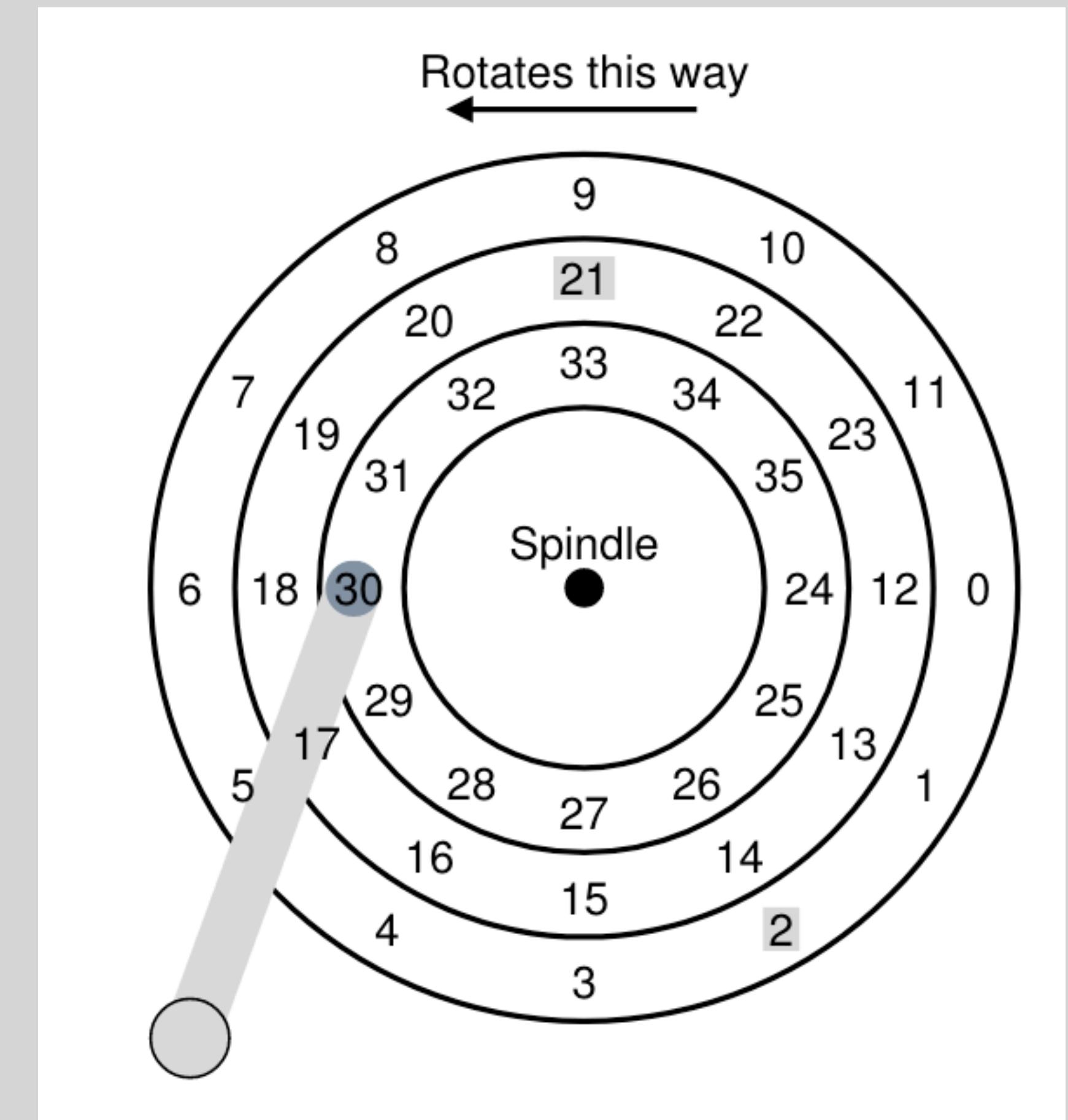
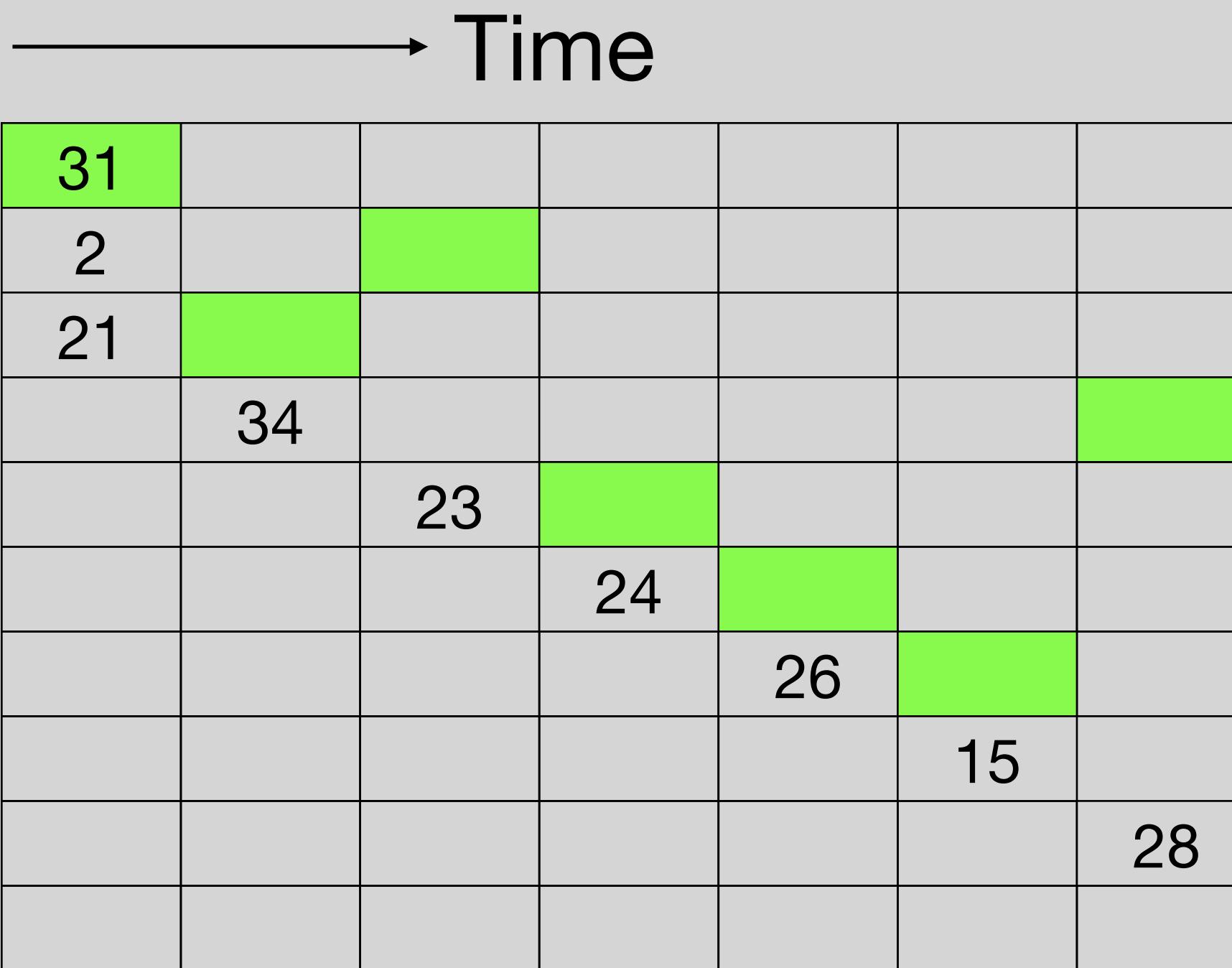
- Closer tracks first but sweep end-to-end



# Elevator algorithm

## Fix starvation

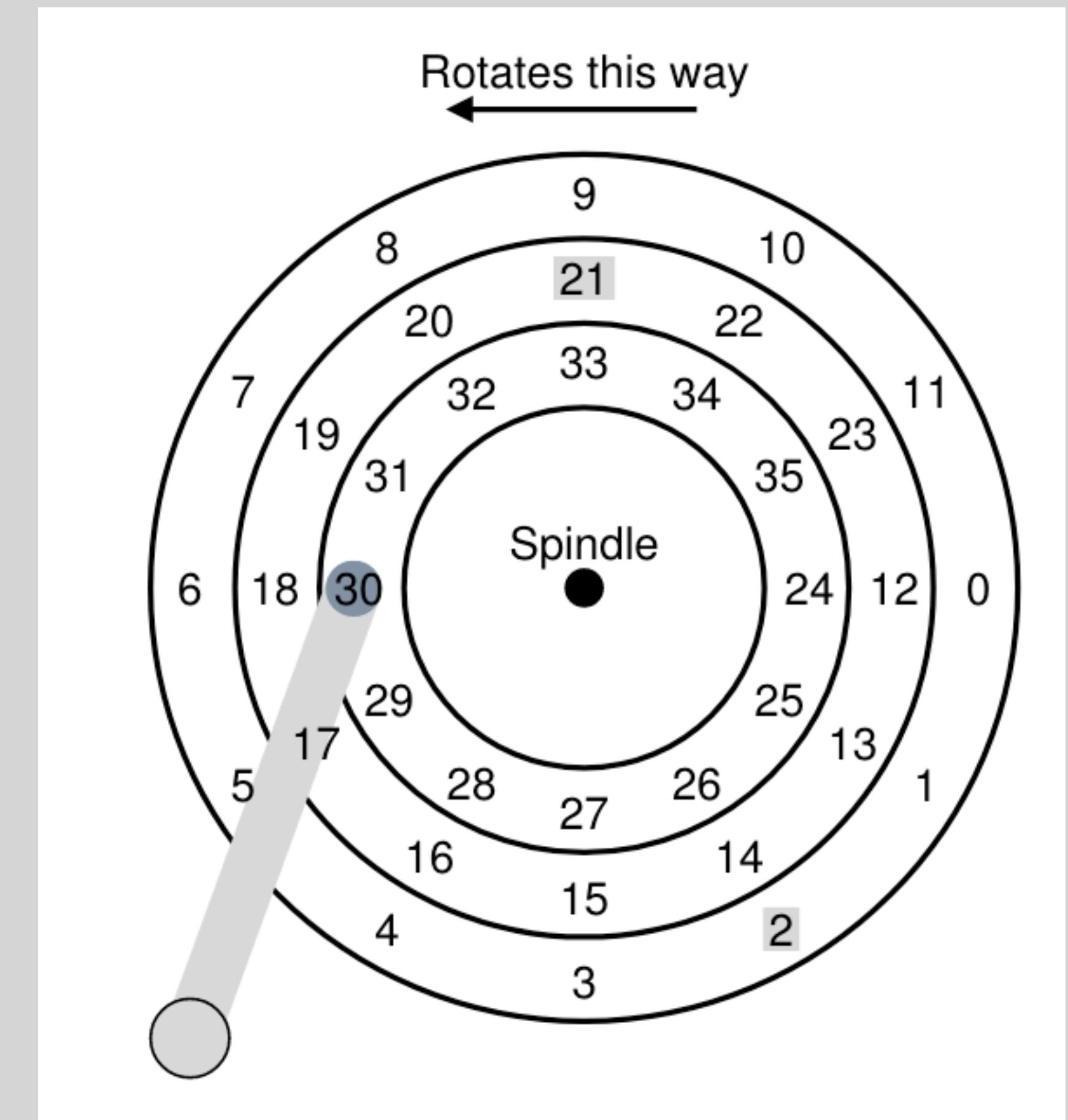
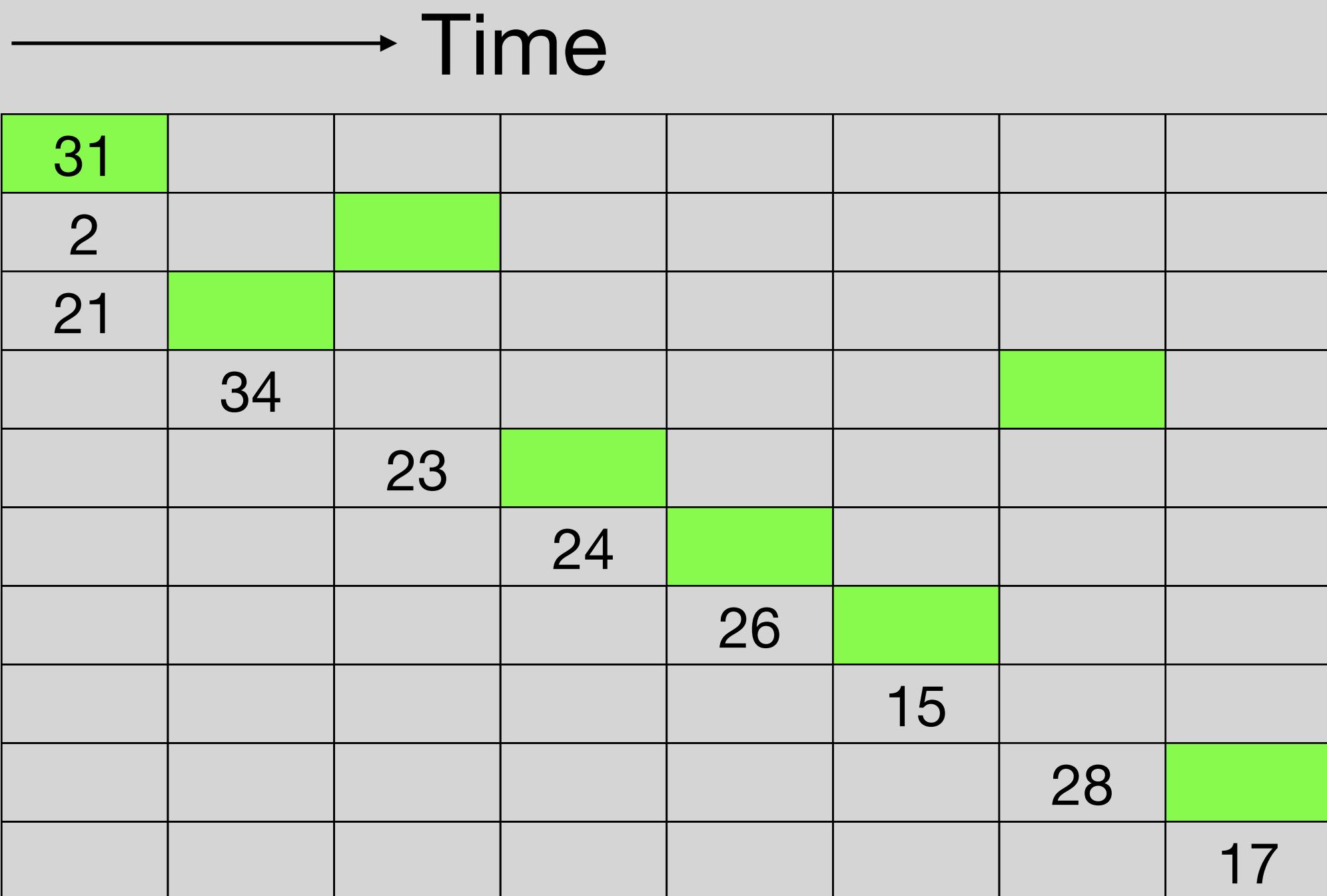
- Closer tracks first but sweep end-to-end



# Elevator algorithm

## Fix starvation

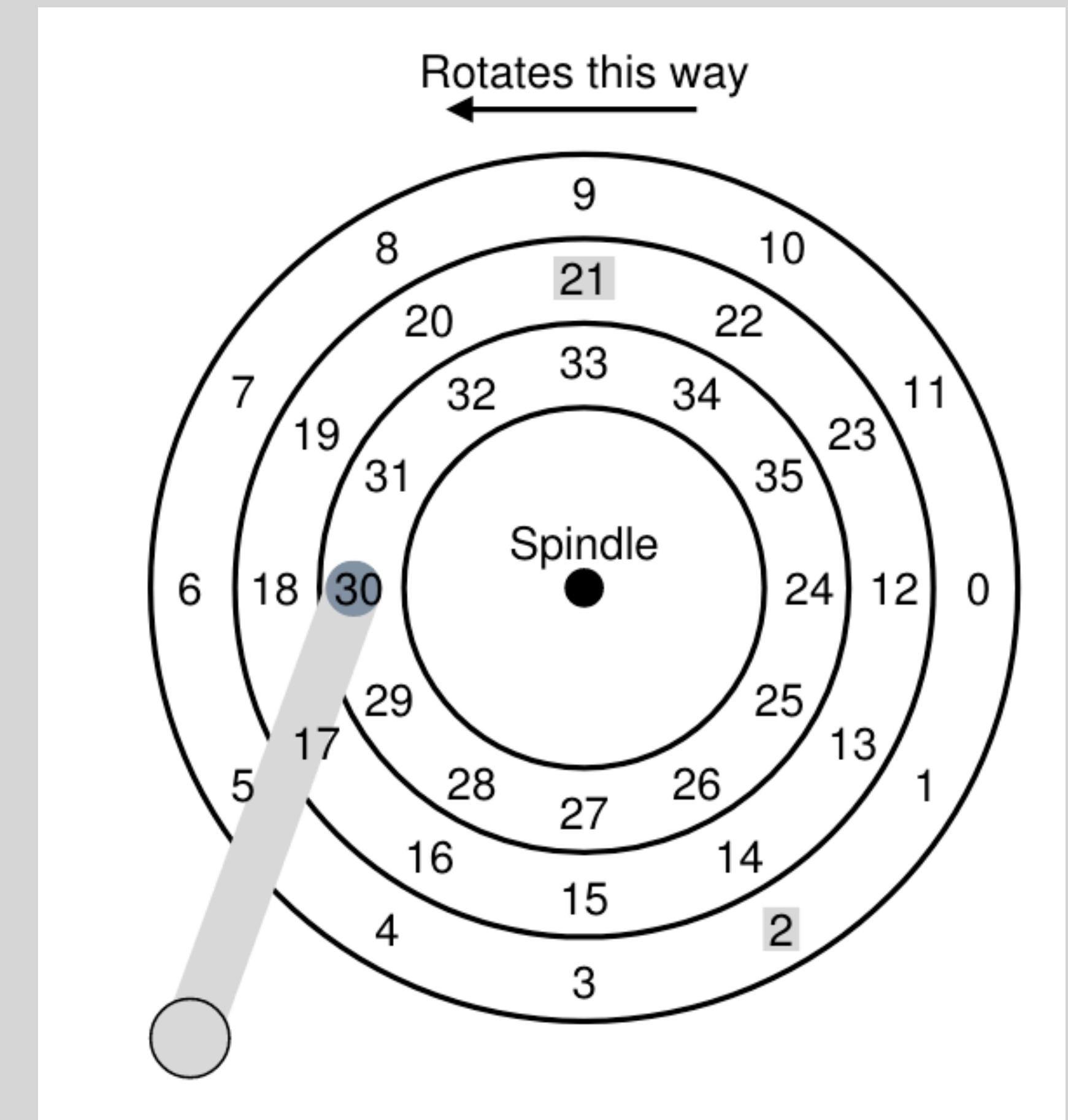
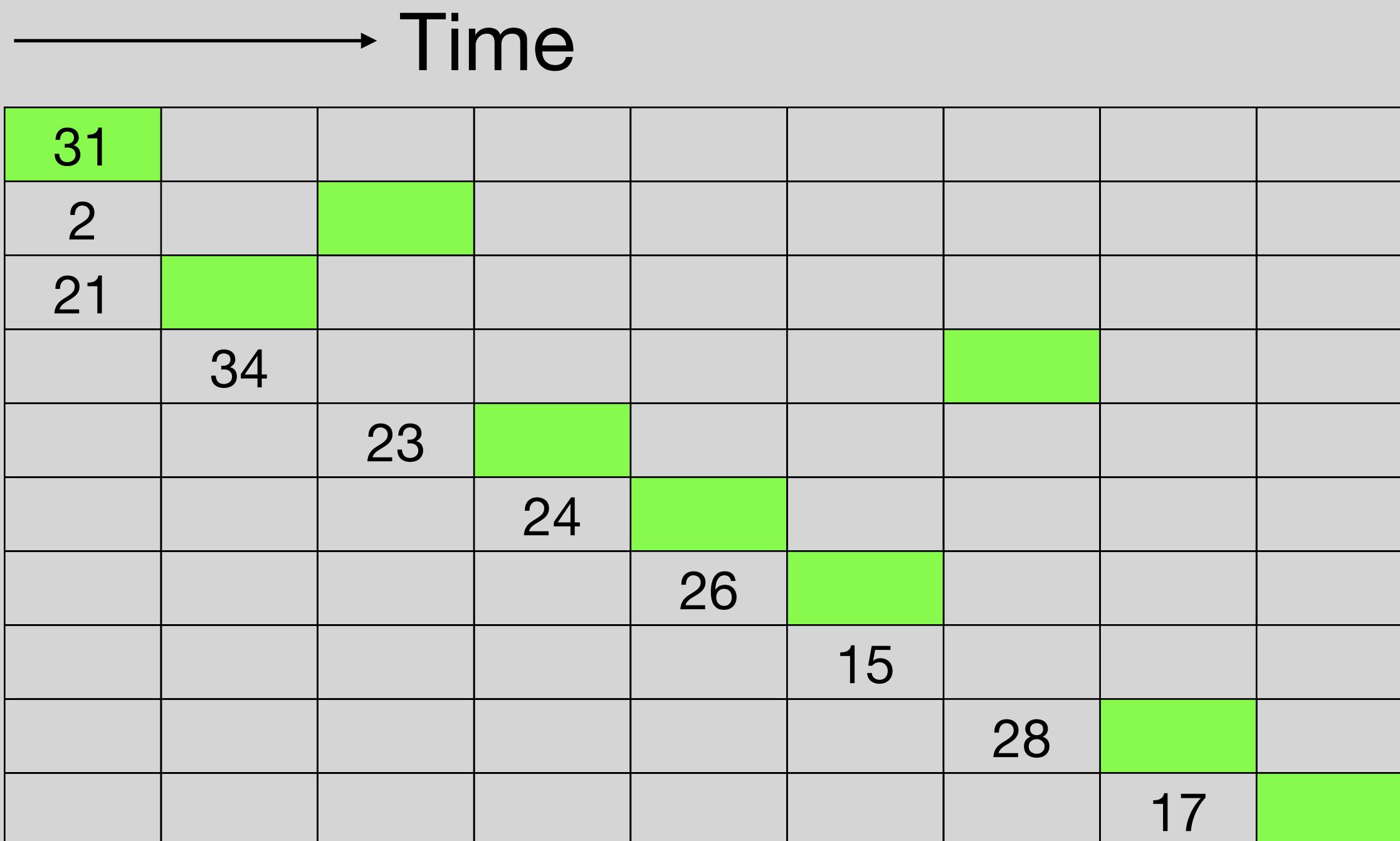
- Closer tracks first but sweep end-to-end



# Elevator algorithm

## Fix starvation

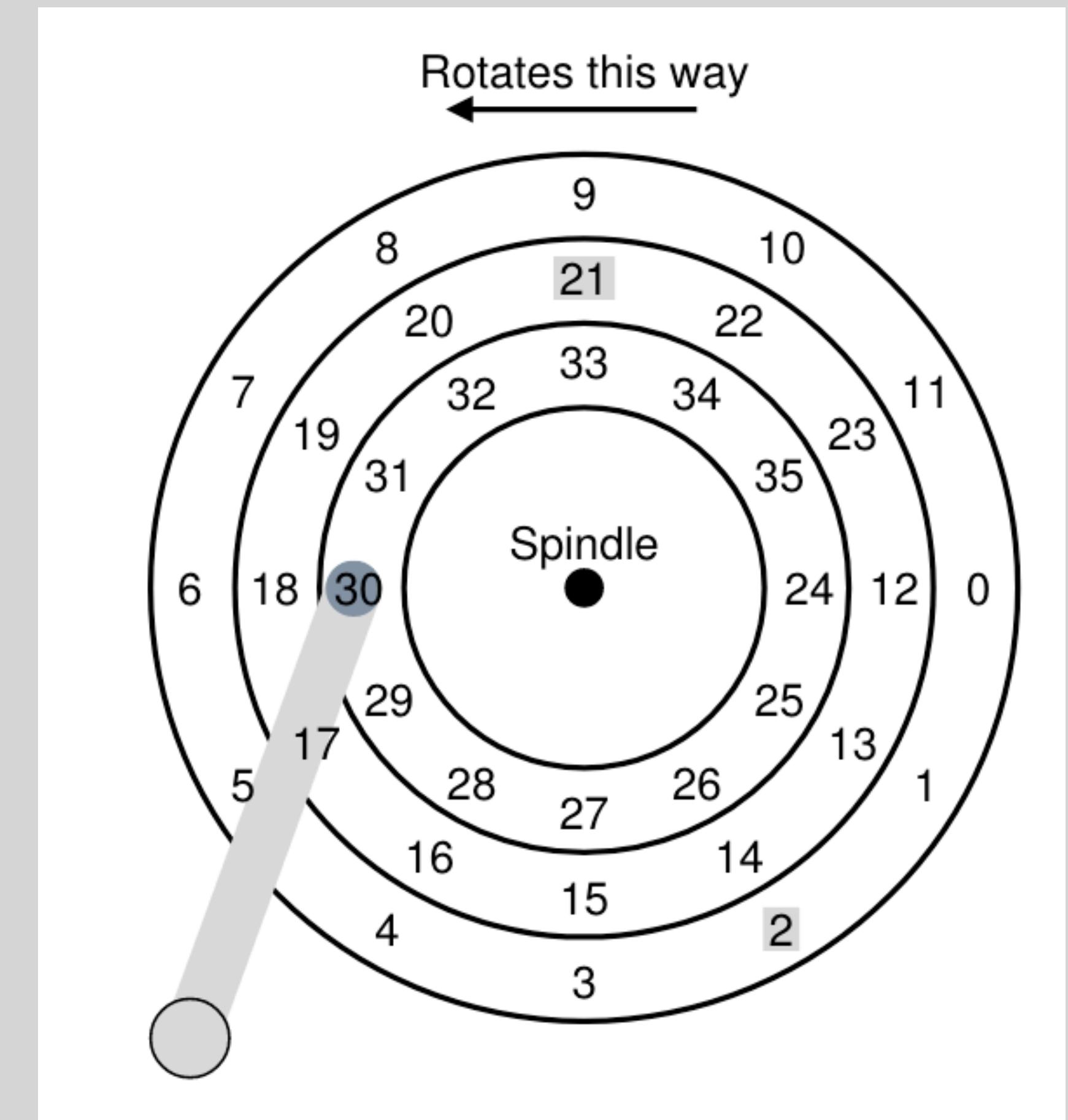
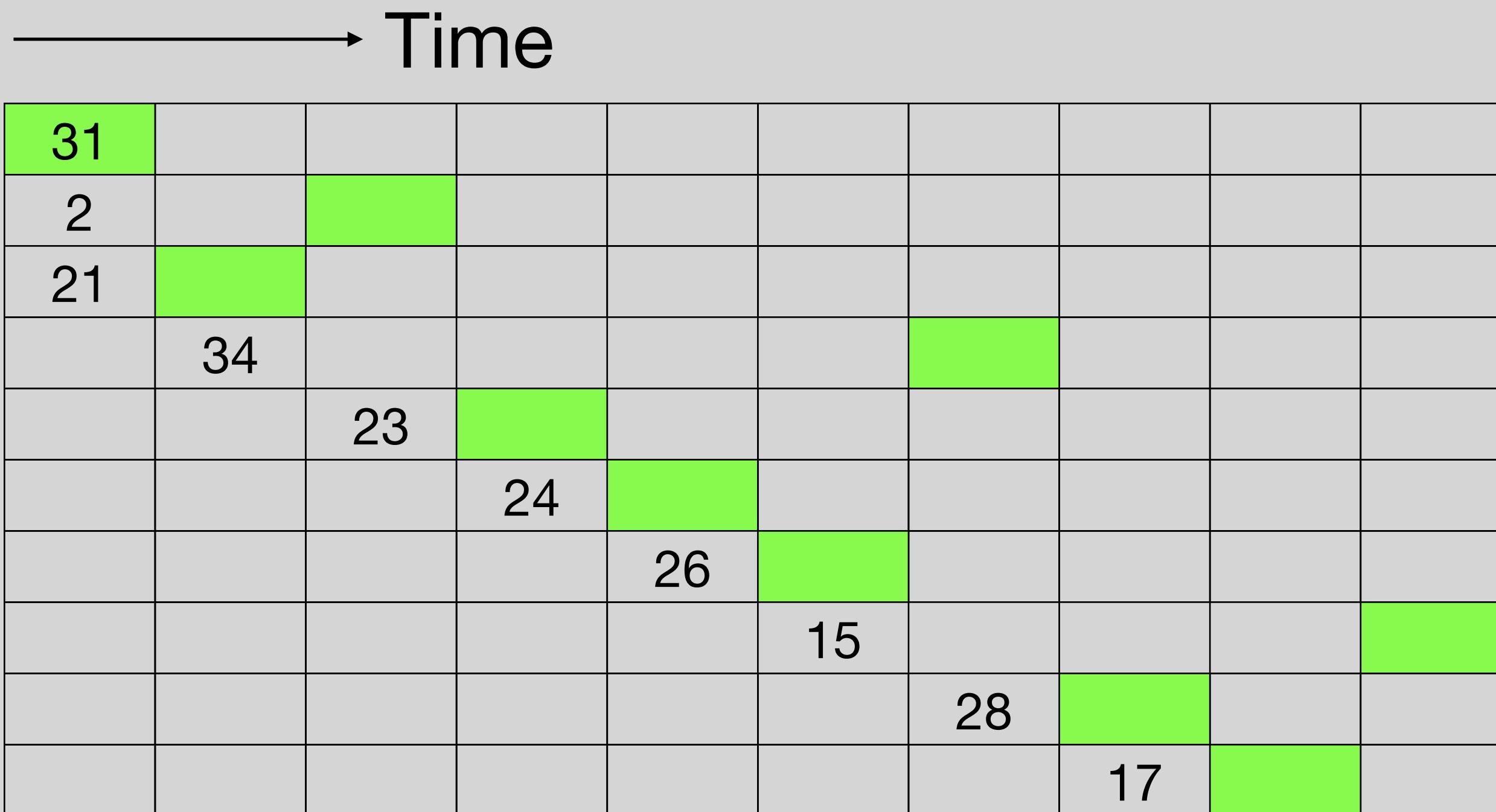
- Closer tracks first but sweep end-to-end



# Elevator algorithm

## Fix starvation

- Closer tracks first but sweep end-to-end



# Disk scheduling

# Disk scheduling

- OS does not know where the disk head is etc.,  
OS will send multiple outstanding read/write requests

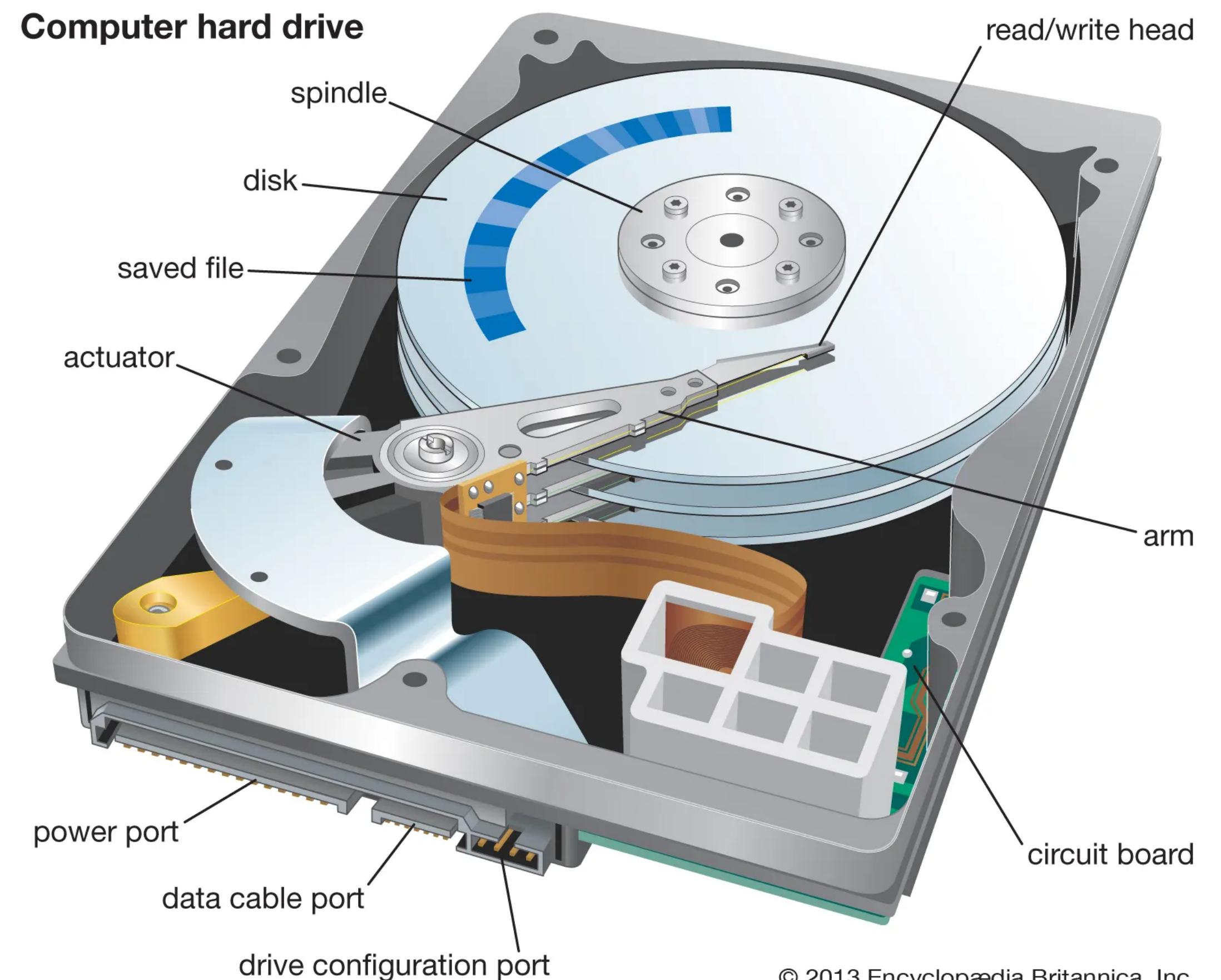
# Disk scheduling

- OS does not know where the disk head is etc.,  
OS will send multiple outstanding read/write requests
- Disk controller will do disk scheduling.  
OS will do bookkeeping on which request is complete.

# Disk scheduling

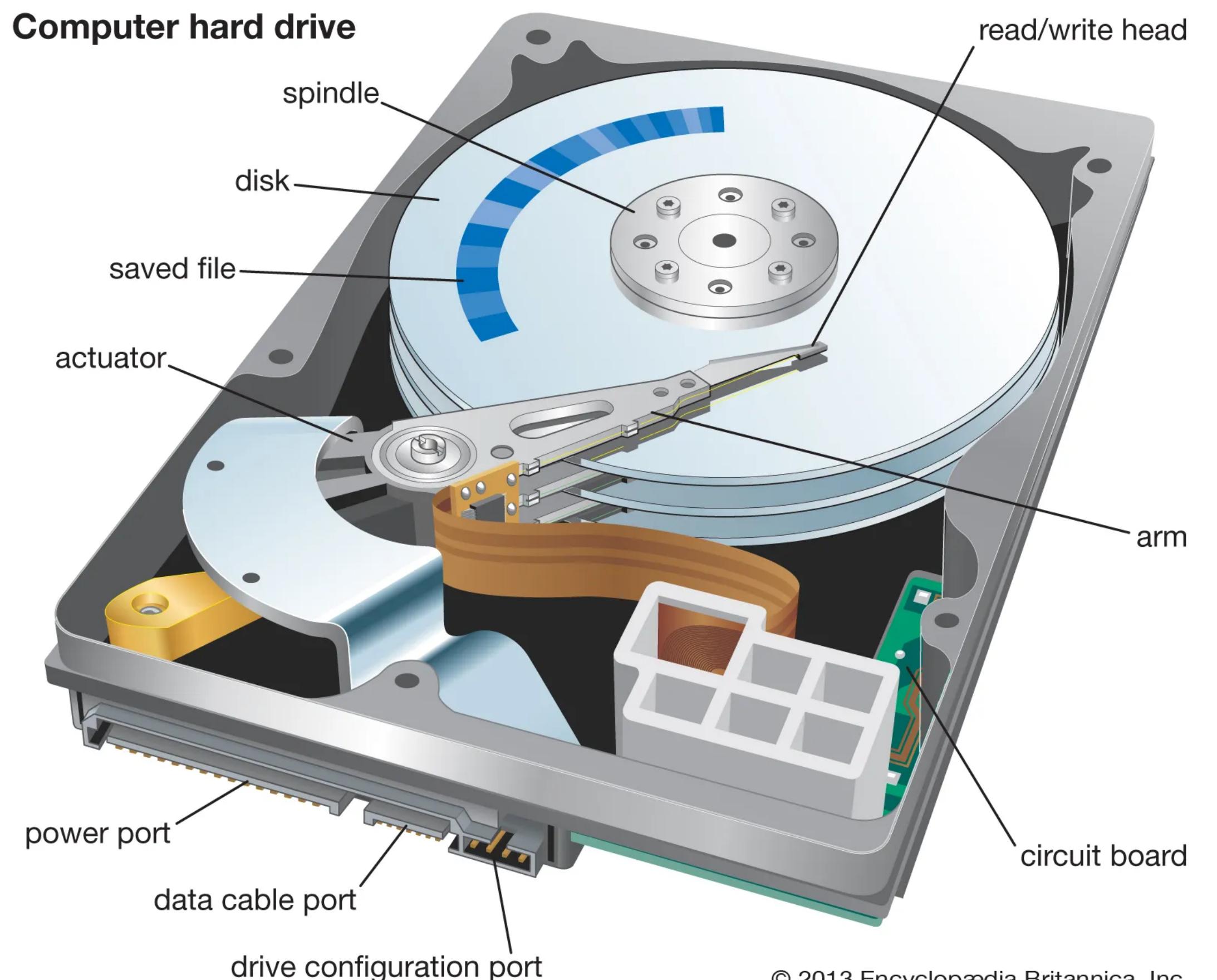
- OS does not know where the disk head is etc.,  
OS will send multiple outstanding read/write requests
- Disk controller will do disk scheduling.  
OS will do bookkeeping on which request is complete.
- Xv6 will send one request at a time in FIFO manner. No out-of-order request bookkeeping.

# Disk problems



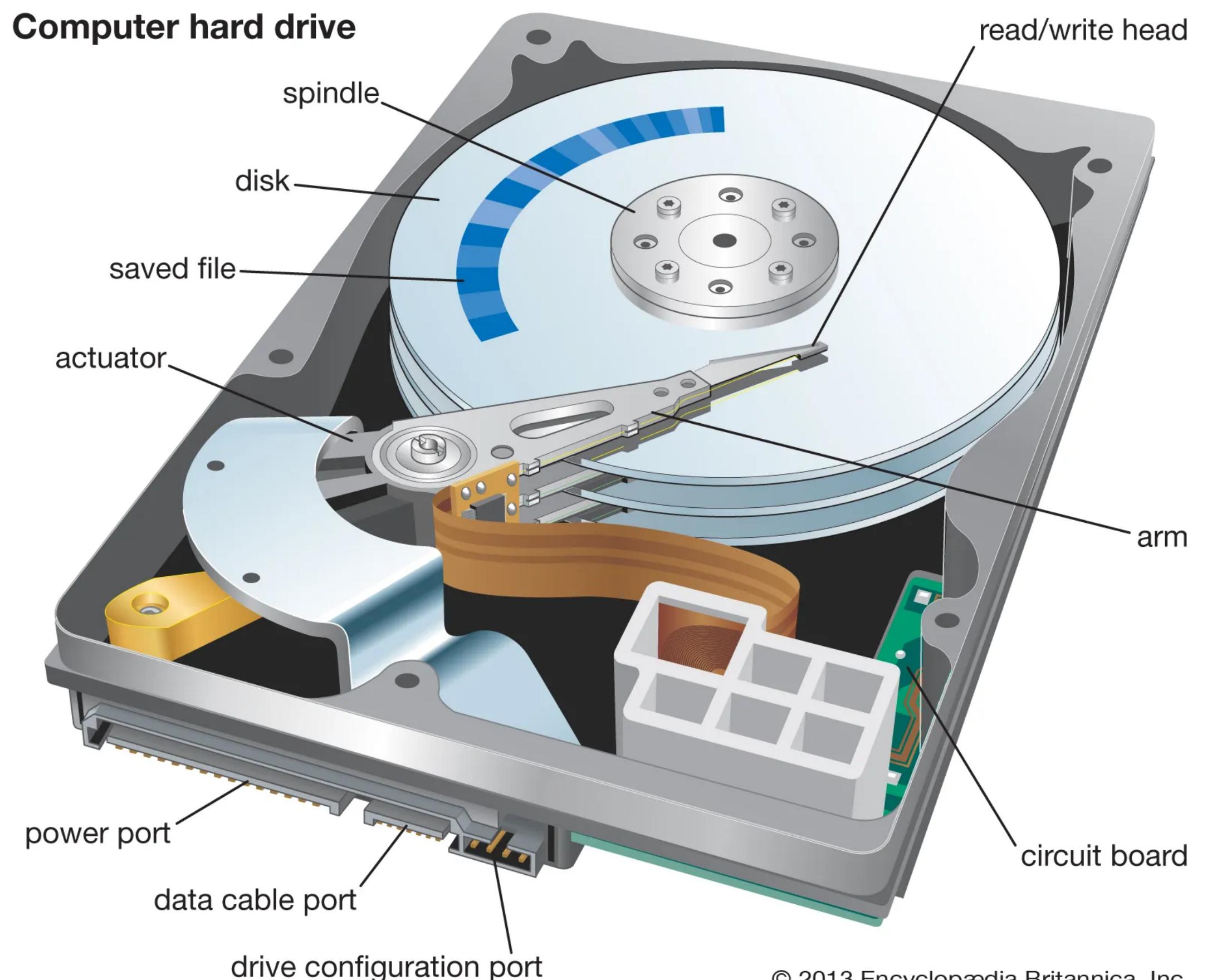
# Disk problems

- Disks are slow



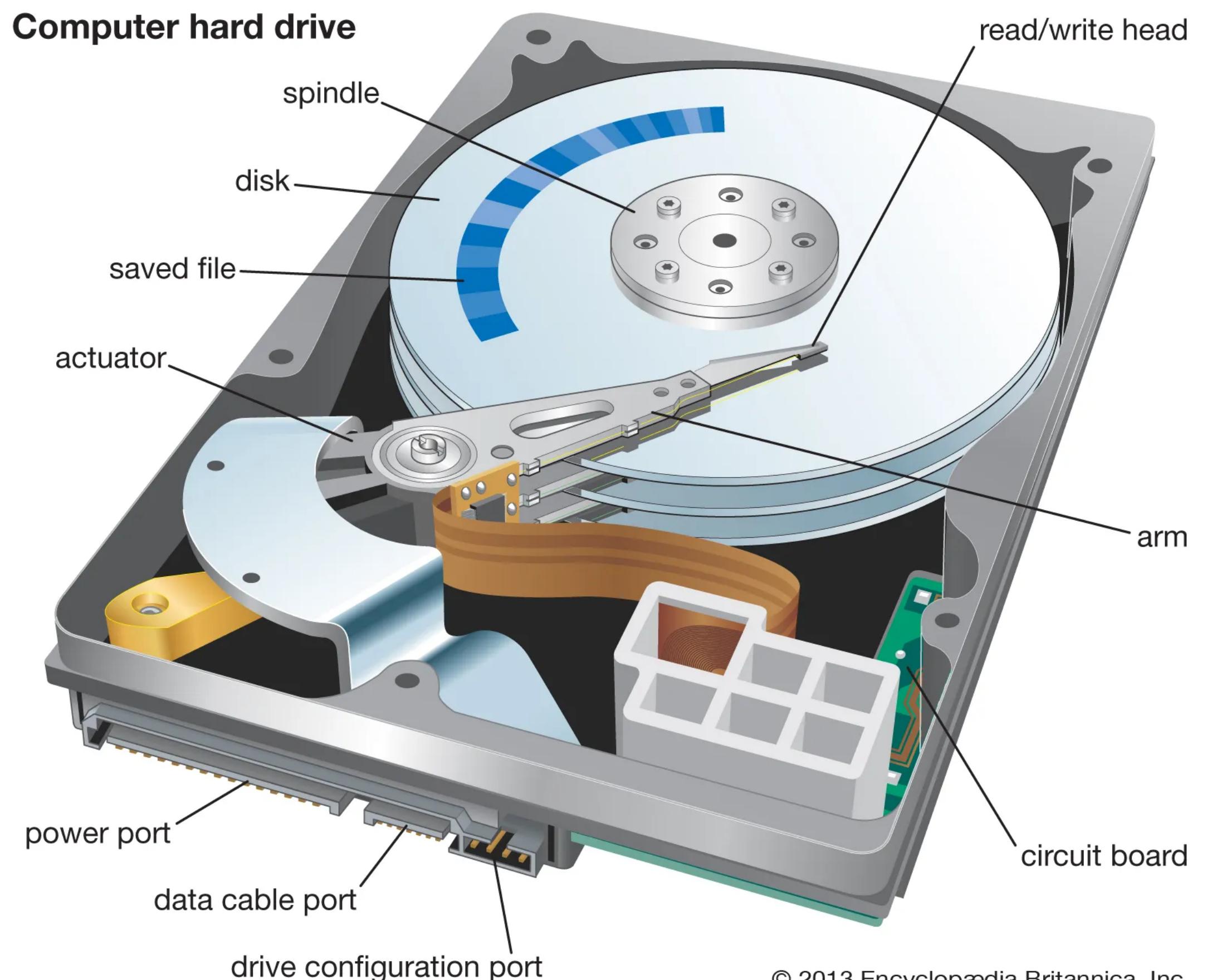
# Disk problems

- Disks are slow
  - ~100MBps compared to memory  
~100GBps



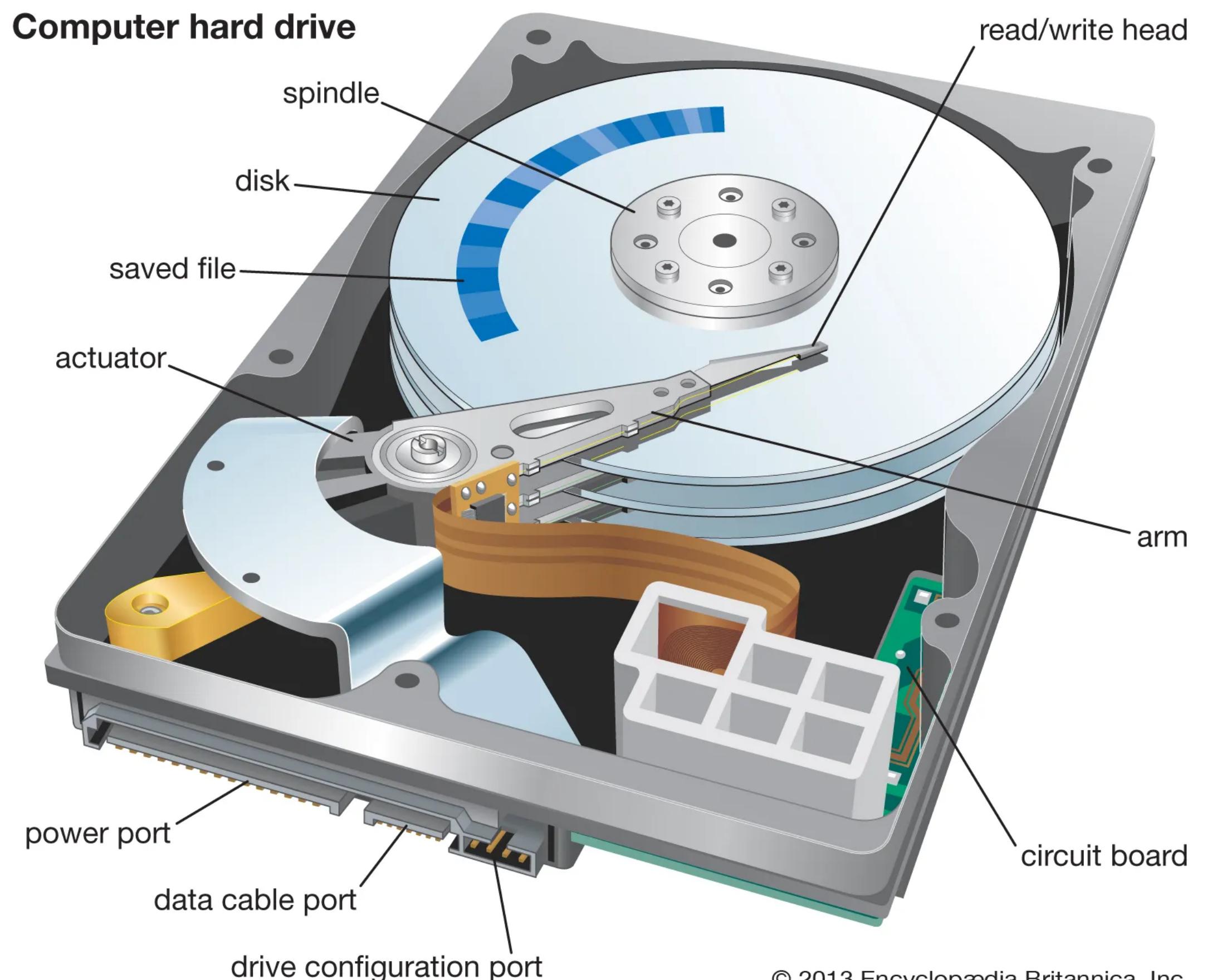
# Disk problems

- Disks are slow
  - ~100MBps compared to memory  
~100GBps
- Disks can fail.



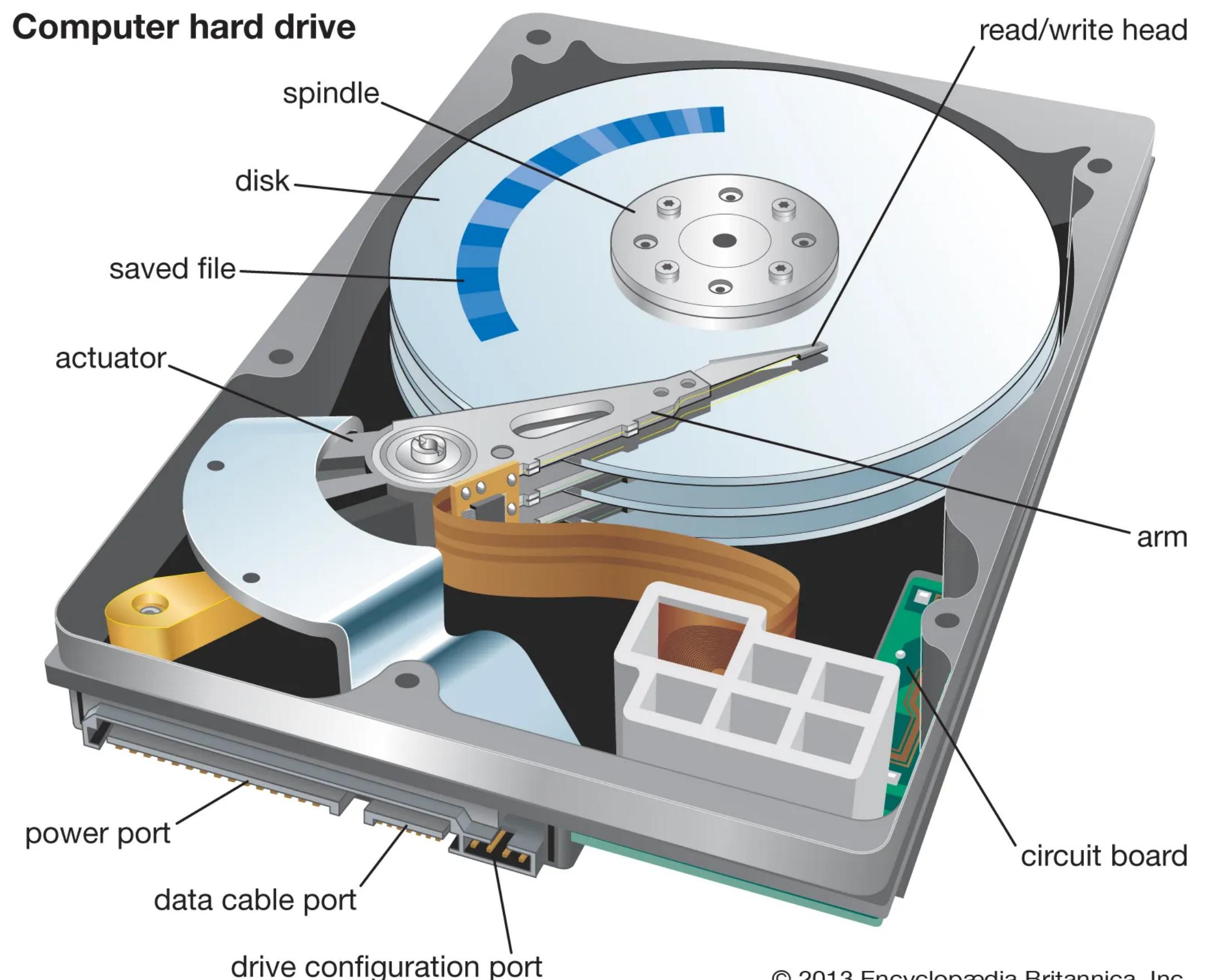
# Disk problems

- Disks are slow
  - ~100MBps compared to memory  
~100GBps
- Disks can fail.
  - Fail stop model



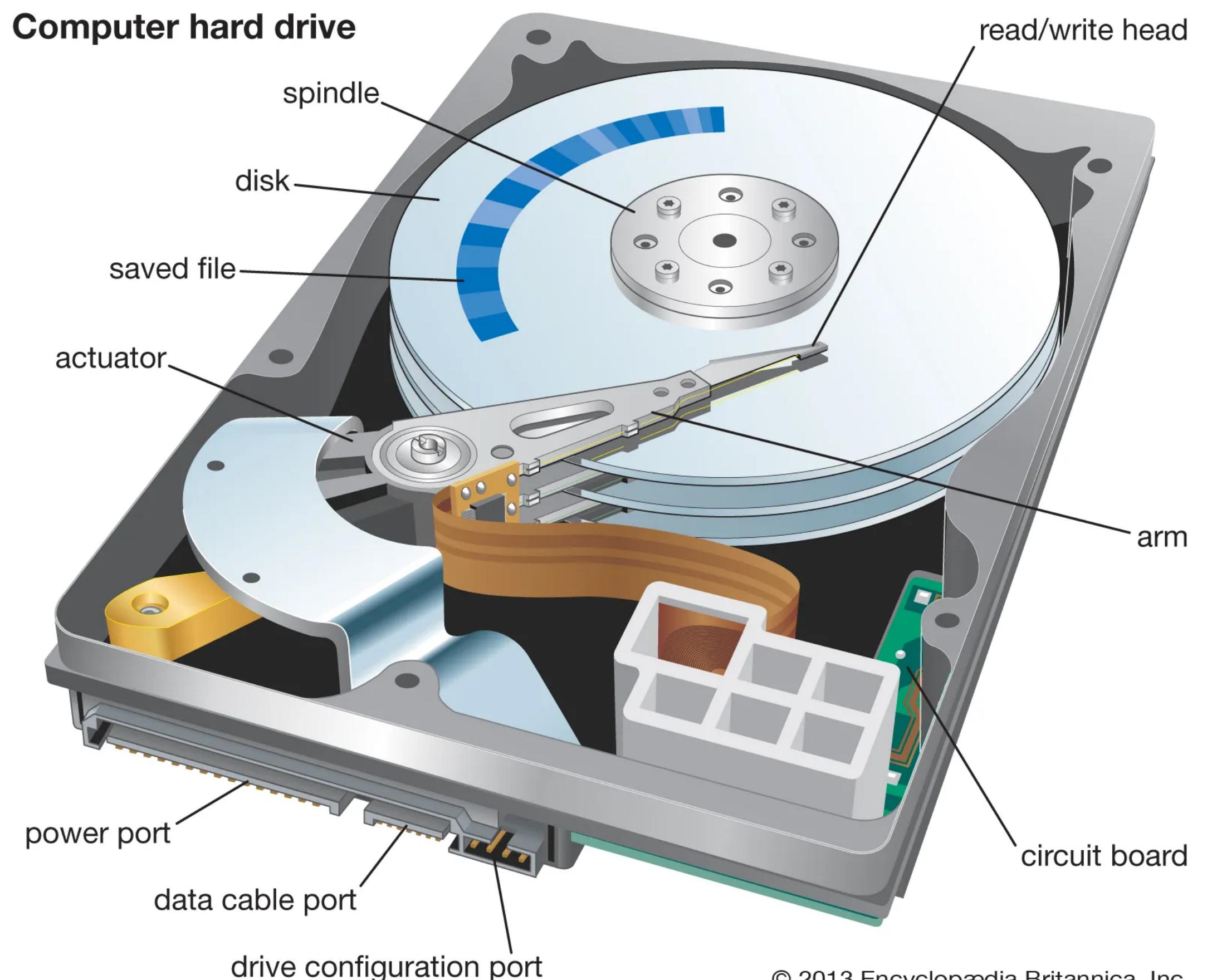
# Disk problems

- Disks are slow
  - ~100MBps compared to memory  
~100GBps
- Disks can fail.
  - Fail stop model
  - Disks have limited capacity



# Disk problems

- Disks are slow
  - ~100MBps compared to memory  
~100GBps
- Disks can fail.
  - Fail stop model
- Disks have limited capacity
  - Not true for medium scale. True for data centers.



# **Redundant Array of Inexpensive disks (RAID)**

# **Redundant Array of Inexpensive disks (RAID)**

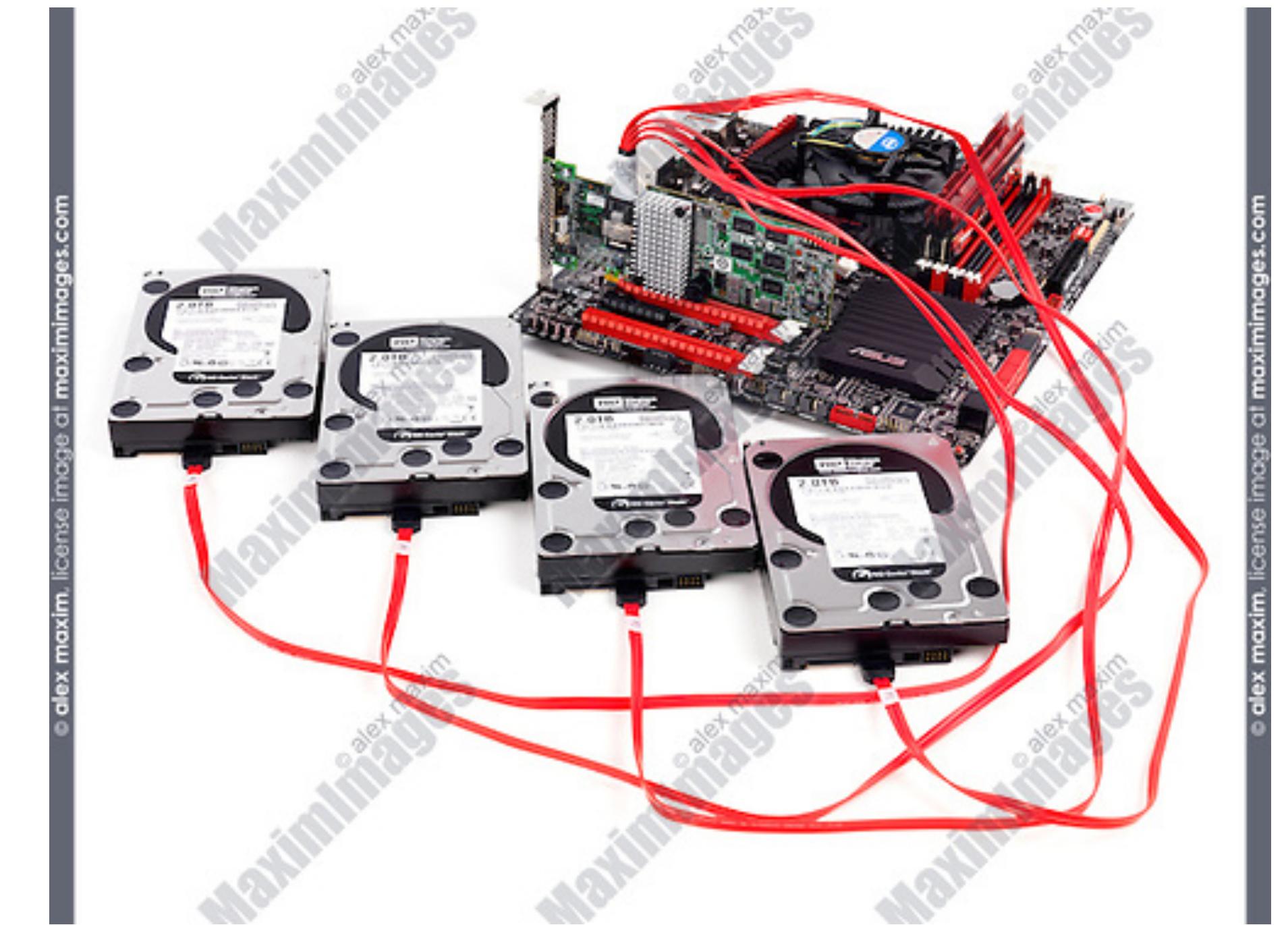
- Use multiple disks. Expose like a single disk

# **Redundant Array of Inexpensive disks (RAID)**

- Use multiple disks. Expose like a single disk
- Deployment principle: Need minimal changes to existing setup

# Redundant Array of Inexpensive disks (RAID)

- Use multiple disks. Expose like a single disk
- Deployment principle: Need minimal changes to existing setup



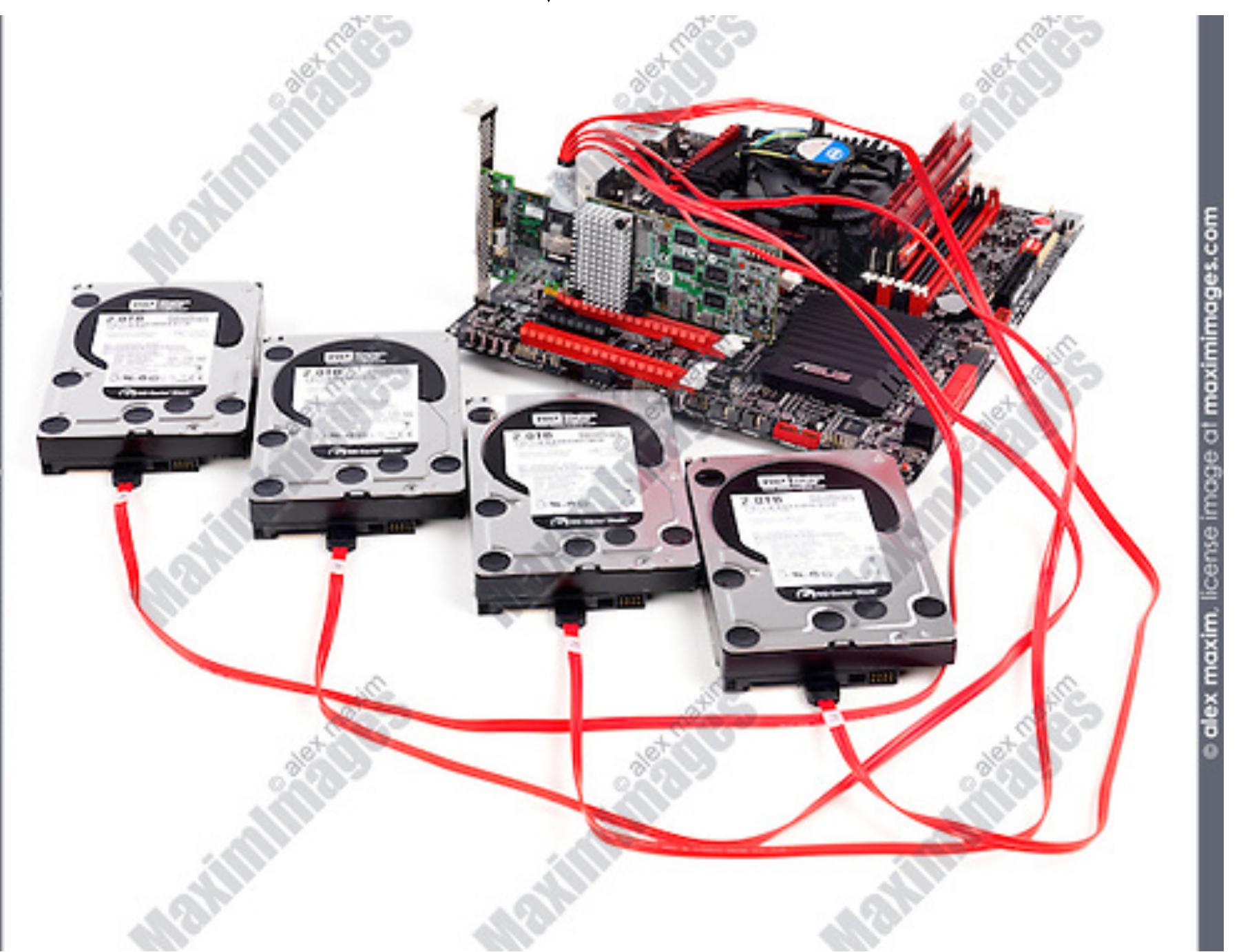
# Redundant Array of Inexpensive disks (RAID)

- Use multiple disks. Expose like a single disk
- Deployment principle: Need minimal changes to existing setup

I think I am talking to a single disk

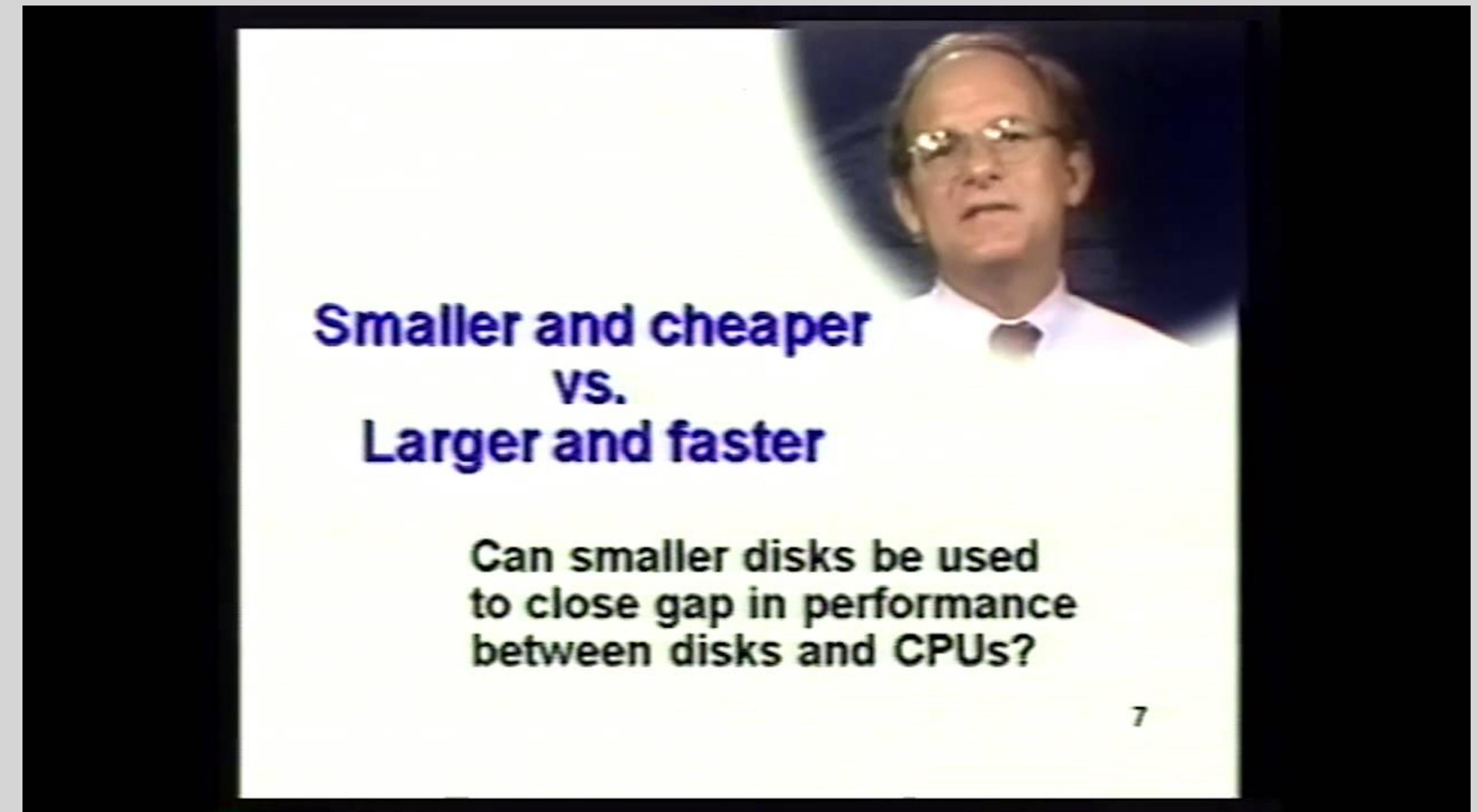
CPU

read block number 293



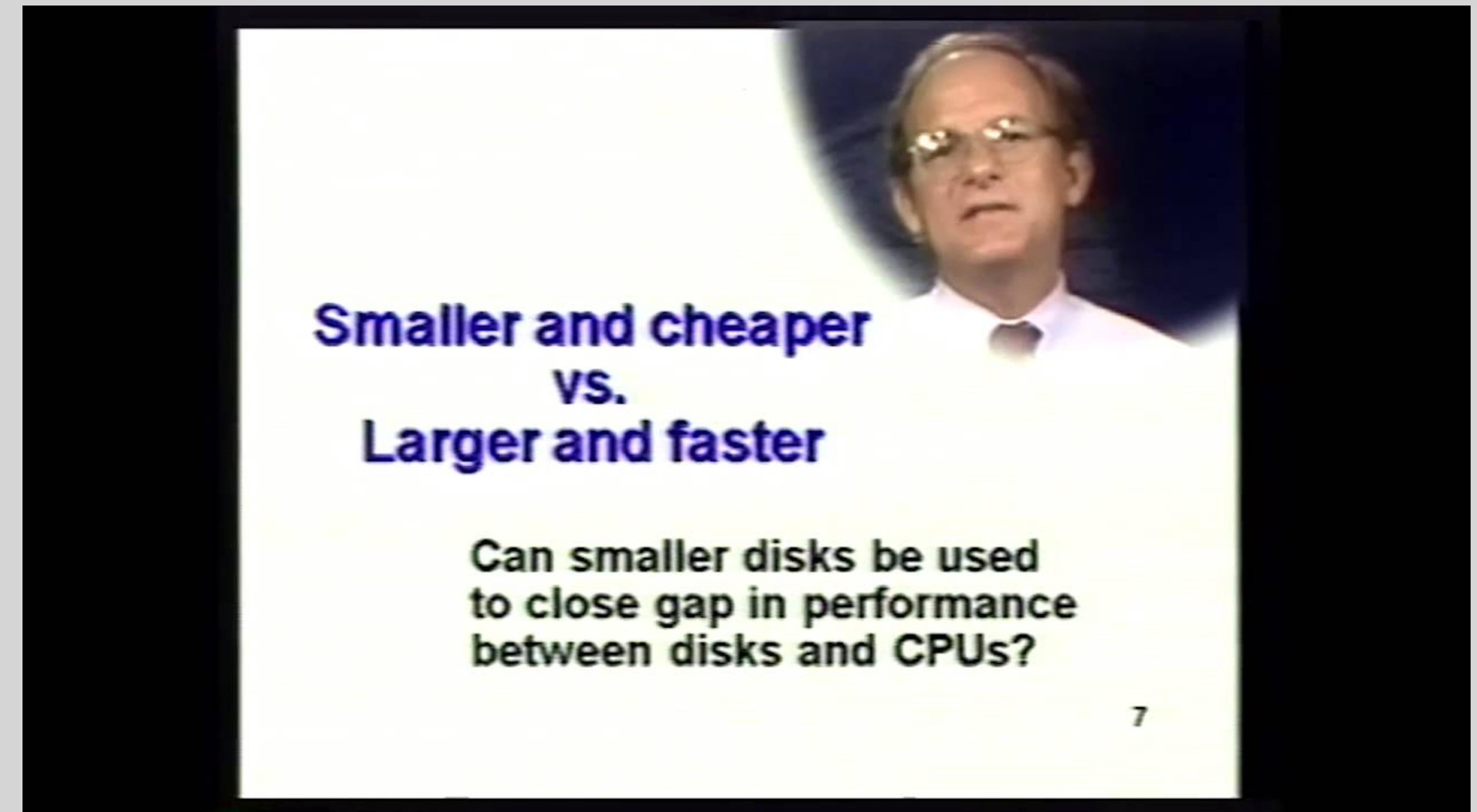
# Redundant array of inexpensive disks (RAID)

Ch. 38 OSSTEP book



# Redundant array of inexpensive disks (RAID)

Ch. 38 OSTEP book



# RAID-0 striping

- Assume N disks. Each disk has
  - Capacity = B
  - Sequential read/write throughput=S
  - Random read/write throughput=R

Disk 0	Disk 1	Disk 2	Disk 3
0	1	2	3
4	5	6	7
8	9	10	11
12	13	14	15

Figure 38.1: RAID-0: Simple Striping

# RAID-0 striping

Capacity	$N * B$

Disk 0	Disk 1	Disk 2	Disk 3
0	1	2	3
4	5	6	7
8	9	10	11
12	13	14	15

- Assume N disks. Each disk has
  - Capacity = B
  - Sequential read/write throughput=S
  - Random read/write throughput=R

Figure 38.1: RAID-0: Simple Striping

# RAID-0 striping

Capacity	$N * B$
Fault tolerance	0 disk crashes

Disk 0	Disk 1	Disk 2	Disk 3
0	1	2	3
4	5	6	7
8	9	10	11
12	13	14	15

- Assume N disks. Each disk has
  - Capacity = B
  - Sequential read/write throughput=S
  - Random read/write throughput=R

Figure 38.1: RAID-0: Simple Striping

# Writing to RAID-0

Disk 0	Disk 1	Disk 2	Disk 3
0	1	2	3
4	5	6	7
8	9	10	11
12	13	14	15

Figure 38.1: RAID-0: Simple Striping

# Writing to RAID-0

- RAID controller

Disk 0	Disk 1	Disk 2	Disk 3
0	1	2	3
4	5	6	7
8	9	10	11
12	13	14	15

Figure 38.1: RAID-0: Simple Striping

# Writing to RAID-0

- RAID controller
  - rw block X

Disk 0	Disk 1	Disk 2	Disk 3
0	1	2	3
4	5	6	7
8	9	10	11
12	13	14	15

Figure 38.1: RAID-0: Simple Striping

# Writing to RAID-0

- RAID controller
  - rw block X
  - Ask disk# ( $X \% N$ ) to rw block#  $\lceil X/N \rceil$

Disk 0	Disk 1	Disk 2	Disk 3
0	1	2	3
4	5	6	7
8	9	10	11
12	13	14	15

Figure 38.1: RAID-0: Simple Striping

# Writing to RAID-0

- RAID controller
  - rw block X
  - Ask disk# ( $X \% N$ ) to rw block#  $\lceil X/N \rceil$
- Sequential (random) rw become sequential (random) rw to disks

Disk 0	Disk 1	Disk 2	Disk 3
0	1	2	3
4	5	6	7
8	9	10	11
12	13	14	15

Figure 38.1: RAID-0: Simple Striping

# Writing to RAID-0

- RAID controller
  - rw block X
    - Ask disk# ( $X \% N$ ) to rw block#  $\lceil X/N \rceil$
  - Sequential (random) rw become sequential (random) rw to disks
    - Throughput: NS, NR

Disk 0	Disk 1	Disk 2	Disk 3
0	1	2	3
4	5	6	7
8	9	10	11
12	13	14	15

Figure 38.1: RAID-0: Simple Striping

# RAID-1 mirroring

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# RAID-1 mirroring

Capacity	$N/2 * B$
----------	-----------

	Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1	1
2	2	3	3	3
4	4	5	5	5
6	6	7	7	7

Figure 38.3: Simple RAID-1: Mirroring

# RAID-1 mirroring

Capacity	$N/2 * B$
Fault tolerance	Definitely tolerate 1. Tolerate upto $N/2$ failures

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Writing to RAID-1

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Writing to RAID-1

- RAID controller

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Writing to RAID-1

- RAID controller
  - Write block X

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Writing to RAID-1

- RAID controller
  - Write block X
  - Write to both disks

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Writing to RAID-1

- RAID controller
  - Write block X
  - Write to both disks
- Sequential write throughput:  $N/2 S$

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Writing to RAID-1

- RAID controller
  - Write block X
  - Write to both disks
- Sequential write throughput:  $N/2 S$
- Random write throughput:  $N/2 R$

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Reading from RAID-1

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Reading from RAID-1

- RAID controller

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Reading from RAID-1

- RAID controller
  - Read block X

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Reading from RAID-1

- RAID controller
  - Read block X
  - Choose either of the two disk

	Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1	1
2	2	3	3	3
4	4	5	5	5
6	6	7	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Reading from RAID-1

- RAID controller
  - Read block X
  - Choose either of the two disk
  - Forward read

	Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1	1
2	2	3	3	3
4	4	5	5	5
6	6	7	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Reading from RAID-1

- RAID controller
  - Read block X
  - Choose either of the two disk
  - Forward read
- Random read throughput: NR

	Disk 0	Disk 1	Disk 2	Disk 3
0	0	0	1	1
2	2	2	3	3
4	4	4	5	5
6	6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Reading from RAID-1

- RAID controller
  - Read block X
  - Choose either of the two disk
  - Forward read
- Random read throughput: NR
- Sequential read throughput: N/2 S

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Reading from RAID-1

- RAID controller
  - Read block X
  - Choose either of the two disk
  - Forward read
- Random read throughput: NR
- Sequential read throughput: N/2 S

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring

# Reading from RAID-1

- RAID controller
  - Read block X
  - Choose either of the two disk
  - Forward read
- Random read throughput: NR
- Sequential read throughput:  $N/2 S$ 
  - Disk 0 still has to pass over block 2 without serving read

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

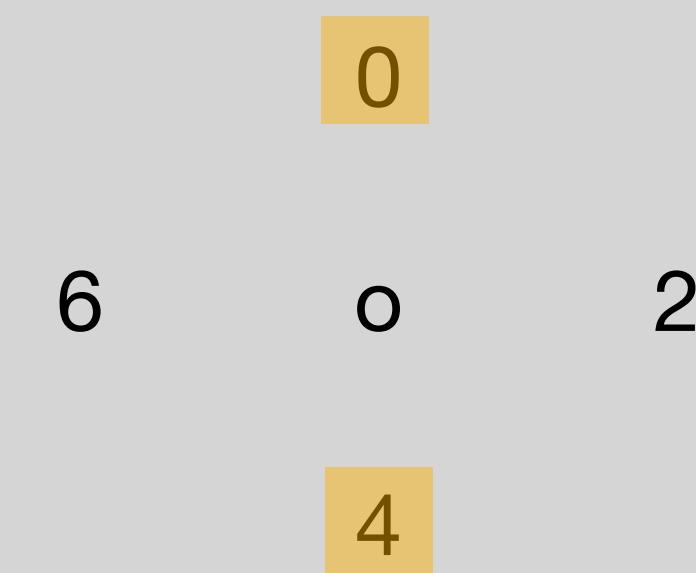
Figure 38.3: Simple RAID-1: Mirroring

# Reading from RAID-1

- RAID controller
  - Read block X
  - Choose either of the two disk
  - Forward read
- Random read throughput: NR
- Sequential read throughput:  $N/2 S$ 
  - Disk 0 still has to pass over block 2 without serving read

Disk 0	Disk 1	Disk 2	Disk 3
0	0	1	1
2	2	3	3
4	4	5	5
6	6	7	7

Figure 38.3: Simple RAID-1: Mirroring



# RAID-4 parity

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

C0	C1	C2	C3	P0
00	10	11	10	

# RAID-4 parity

- Parity: XOR bits

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

C0	C1	C2	C3	P0
00	10	11	10	

# RAID-4 parity

- Parity: XOR bits

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

C0	C1	C2	C3	P0
00	10	11	10	1

# RAID-4 parity

- Parity: XOR bits

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

C0	C1	C2	C3	P0
00	10	11	10	11

# RAID-4 parity

- Parity: XOR bits

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

C0	C1	C2	C3	P0
00		11	10	11

# RAID-4 parity

- Parity: XOR bits
- Recovery: XOR bits

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

C0	C1	C2	C3	P0
00		11	10	11

# RAID-4 parity

- Parity: XOR bits
- Recovery: XOR bits
- $a = b \oplus c \implies a \oplus c = b$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

C0	C1	C2	C3	P0
00		11	10	11

# RAID-4 parity

- Parity: XOR bits
- Recovery: XOR bits
- $a = b \oplus c \implies a \oplus c = b$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

C0	C1	C2	C3	P0
00	1	11	10	11

# RAID-4 parity

- Parity: XOR bits
- Recovery: XOR bits
- $a = b \oplus c \implies a \oplus c = b$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

C0	C1	C2	C3	P0
00	10	11	10	11

# RAID-4 parity

- Parity: XOR bits
- Recovery: XOR bits
- $a = b \oplus c \implies a \oplus c = b$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

C0	C1	C2	C3	P0
00	10	11	10	11

Capacity	$(N-1) * B$
Fault tolerance	1

# Reading/Writing RAID-4

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.5: Full-stripe Writes In RAID-4

# Reading/Writing RAID-4

- Behaves like N-1 disk RAID-0 for reads

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.5: Full-stripe Writes In RAID-4

# Reading/Writing RAID-4

- Behaves like N-1 disk RAID-0 for reads
  - Sequential throughput:  $(N-1)S$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.5: Full-stripe Writes In RAID-4

# Reading/Writing RAID-4

- Behaves like N-1 disk RAID-0 for reads
  - Sequential throughput:  $(N-1)S$
  - Random throughput:  $(N-1)R$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.5: Full-stripe Writes In RAID-4

# Reading/Writing RAID-4

- Behaves like N-1 disk RAID-0 for reads
  - Sequential throughput:  $(N-1)S$
  - Random throughput:  $(N-1)R$
- Sequential write:

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.5: Full-stripe Writes In RAID-4

# Reading/Writing RAID-4

- Behaves like N-1 disk RAID-0 for reads
  - Sequential throughput:  $(N-1)S$
  - Random throughput:  $(N-1)R$
- Sequential write:
  - Compute  $P0 = C0 \oplus C1 \oplus C2 \oplus C3$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.5: Full-stripe Writes In RAID-4

# Reading/Writing RAID-4

- Behaves like N-1 disk RAID-0 for reads
  - Sequential throughput:  $(N-1)S$
  - Random throughput:  $(N-1)R$
- Sequential write:
  - Compute  $P0 = C0 \oplus C1 \oplus C2 \oplus C3$
  - 5 IO requests for 4 writes

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.5: Full-stripe Writes In RAID-4

# Reading/Writing RAID-4

- Behaves like N-1 disk RAID-0 for reads
  - Sequential throughput:  $(N-1)S$
  - Random throughput:  $(N-1)R$
- Sequential write:
  - Compute  $P0 = C0 \oplus C1 \oplus C2 \oplus C3$
  - 5 IO requests for 4 writes
  - Throughput =  $(N-1)S$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.5: Full-stripe Writes In RAID-4

# Writing to RAID-4: Random write

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

# Writing to RAID-4: Random write

- Read all blocks (4, 5, 6, 7) in stripe

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

# Writing to RAID-4: Random write

- Read all blocks (4, 5, 6, 7) in stripe
- Compute parity P1

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

# Writing to RAID-4: Random write

- Read all blocks (4, 5, 6, 7) in stripe
- Compute parity P1
- Write 4, P1

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

# Writing to RAID-4: Random write

- Read all blocks (4, 5, 6, 7) in stripe
- Compute parity P1
- Write 4, P1
- Total 6 IO requests for 1 write!

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

# Writing to RAID-4: Random write

- Read all blocks (4, 5, 6, 7) in stripe
- Compute parity P1
- Write 4, P1
- Total 6 IO requests for 1 write!
- $P1_{old} = C4_{old} \oplus C5_{old} \oplus C6_{old} \oplus C7_{old}$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

# Writing to RAID-4: Random write

- Read all blocks (4, 5, 6, 7) in stripe
- Compute parity P1
- Write 4, P1
- Total 6 IO requests for 1 write!
- $P1_{old} = C4_{old} \oplus C5_{old} \oplus C6_{old} \oplus C7_{old}$
- $C4_{old} \oplus P1_{old} = C5_{old} \oplus C6_{old} \oplus C7_{old}$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

# Writing to RAID-4: Random write

- Read all blocks (4, 5, 6, 7) in stripe
- Compute parity P1
- Write 4, P1
- Total 6 IO requests for 1 write!
- $P1_{old} = C4_{old} \oplus C5_{old} \oplus C6_{old} \oplus C7_{old}$
- $C4_{old} \oplus P1_{old} = C5_{old} \oplus C6_{old} \oplus C7_{old}$
- $P1_{new} = C4_{new} \oplus C5_{old} \oplus C6_{old} \oplus C7_{old}$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

# Writing to RAID-4: Random write

- Read all blocks (4, 5, 6, 7) in stripe
- Compute parity P1
- Write 4, P1
- Total 6 IO requests for 1 write!
- $P1_{old} = C4_{old} \oplus C5_{old} \oplus C6_{old} \oplus C7_{old}$
- $C4_{old} \oplus P1_{old} = C5_{old} \oplus C6_{old} \oplus C7_{old}$
- $P1_{new} = C4_{new} \oplus C5_{old} \oplus C6_{old} \oplus C7_{old}$
- $P1_{new} = C4_{new} \oplus C4_{old} \oplus P1_{old}$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

# Writing to RAID-4: Random write (2)

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

# Writing to RAID-4: Random write (2)

- Read 4, P1

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

# Writing to RAID-4: Random write (2)

- Read 4, P1
- Compute parity

$$P1_{new} = C4_{new} \oplus C4_{old} \oplus P1_{old}$$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

# Writing to RAID-4: Random write (2)

- Read 4, P1
- Compute parity
- Write 4, P1

$$P1_{new} = C4_{new} \oplus C4_{old} \oplus P1_{old}$$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

# Writing to RAID-4: Random write (2)

- Read 4, P1
- Compute parity
- $P1_{new} = C4_{new} \oplus C4_{old} \oplus P1_{old}$
- Write 4, P1
- Total 6 IO requests for 1 write

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
*4	5	6	7	+P1
8	9	10	11	P2
12	*13	14	15	+P3

Figure 38.6: Example: Writes To 4, 13, And Respective Parity Blocks

## Writing to RAID-4: Random write (3)

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

## Writing to RAID-4: Random write (3)

- Random writes 2, 4, 15

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

## Writing to RAID-4: Random write (3)

- Random writes 2, 4, 15
- Read 2, P0, 4, P1, 15, P3

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

## Writing to RAID-4: Random write (3)

- Random writes 2, 4, 15
- Read 2, P0, 4, P1, 15, P3
- Compute parity  
 $P1_{new} = C4_{old} \oplus C4_{new} \oplus P1_{old}$ , etc

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

## Writing to RAID-4: Random write (3)

- Random writes 2, 4, 15
- Read 2, P0, 4, P1, 15, P3
- Compute parity  
 $P1_{new} = C4_{old} \oplus C4_{new} \oplus P1_{old}$ , etc
- Write 2, P0, 4, P1, 15, P3

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

## Writing to RAID-4: Random write (3)

- Random writes 2, 4, 15
- Read 2, P0, 4, P1, 15, P3
- Compute parity  
 $P1_{new} = C4_{old} \oplus C4_{new} \oplus P1_{old}$ , etc
- Write 2, P0, 4, P1, 15, P3
- For 3 random write requests: did 3 reads from, 3 writes to parity disk

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
4	5	6	7	P1
8	9	10	11	P2
12	13	14	15	P3

Figure 38.4: RAID-4 With Parity

## Writing to RAID-4: Random write (3)

- Random writes 2, 4, 15
- Read 2, P0, 4, P1, 15, P3
- Compute parity  
 $P1_{new} = C4_{old} \oplus C4_{new} \oplus P1_{old}$ , etc
- Write 2, P0, 4, P1, 15, P3
- For 3 random write requests: did 3 reads from, 3 writes to parity disk
- Random write throughput: R/2

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4	
0	1	2	3		P0
4	5	6	7		P1
8	9	10	11		P2
12	13	14	15		P3

Figure 38.4: RAID-4 With Parity

# Writing to RAID-4: Random write (3)

- Random writes 2, 4, 15

- Read 2, P0, 4, P1, 15, P3

- Compute parity

$$P1_{new} = C4_{old} \oplus C4_{new} \oplus P1_{old}, \text{ etc}$$

- Write 2, P0, 4, P1, 15, P3

- For 3 random write requests: did 3 reads from, 3 writes to parity disk

- Random write throughput: R/2

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4	
0	1	2	3		P0
4	5	6	7		P1
8	9	10	11		P2
12	13	14	15		P3

Figure 38.4: RAID-4 With Parity

Capacity	(N-1) * B
Fault tolerance	1
Random write bandwidth	1/2 * R

# RAID-5 rotated parity

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
5	6	7	P1	4
10	11	P2	8	9
15	P3	12	13	14
P4	16	17	18	19

Figure 38.7: RAID-5 With Rotated Parity

# RAID-5 rotated parity

- Random writes 2, 4, 15

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
5	6	7	P1	4
10	11	P2	8	9
15	P3	12	13	14
P4	16	17	18	19

Figure 38.7: RAID-5 With Rotated Parity

# RAID-5 rotated parity

- Random writes 2, 4, 15
- Read 2, P0, 4, P1, 15, P3

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
5	6	7	P1	4
10	11	P2	8	9
15	P3	12	13	14
P4	16	17	18	19

Figure 38.7: RAID-5 With Rotated Parity

# RAID-5 rotated parity

- Random writes 2, 4, 15
- Read 2, P0, 4, P1, 15, P3
- Compute parity  
$$P1_{new} = C4_{old} \oplus C4_{new} \oplus P1_{old}, \dots$$

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
5	6	7	P1	4
10	11	P2	8	9
15	P3	12	13	14
P4	16	17	18	19

Figure 38.7: RAID-5 With Rotated Parity

# RAID-5 rotated parity

- Random writes 2, 4, 15
- Read 2, P0, 4, P1, 15, P3
- Compute parity  
$$P1_{new} = C4_{old} \oplus C4_{new} \oplus P1_{old}, \dots$$
- Write 2, P0, 4, P1, 15, P3

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
5	6	7	P1	4
10	11	P2	8	9
15	P3	12	13	14
P4	16	17	18	19

Figure 38.7: RAID-5 With Rotated Parity

# RAID-5 rotated parity

- Random writes 2, 4, 15
- Read 2, P0, 4, P1, 15, P3
- Compute parity  
$$P1_{new} = C4_{old} \oplus C4_{new} \oplus P1_{old}, \dots$$
- Write 2, P0, 4, P1, 15, P3
- One random write leads to 4 random rw requests.  
But no single parity disk bottleneck

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
5	6	7	P1	4
10	11	P2	8	9
15	P3	12	13	14
P4	16	17	18	19

Figure 38.7: RAID-5 With Rotated Parity

# RAID-5 rotated parity

- Random writes 2, 4, 15
- Read 2, P0, 4, P1, 15, P3
- Compute parity  
$$P1_{new} = C4_{old} \oplus C4_{new} \oplus P1_{old}, \dots$$
- Write 2, P0, 4, P1, 15, P3
- One random write leads to 4 random rw requests.  
But no single parity disk bottleneck
- Random write throughput = NR/4

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4
0	1	2	3	P0
5	6	7	P1	4
10	11	P2	8	9
15	P3	12	13	14
P4	16	17	18	19

Figure 38.7: RAID-5 With Rotated Parity

# RAID levels

# RAID levels

Capacity
Fault tolerance
Sequential rw throughput
Random read throughput
Random write throughput
Read Latency
Sequential write latency
Random write latency

# RAID levels

	RAID-0
Capacity	$N^*B$
Fault tolerance	0
Sequential rw throughput	$N^*S$
Random read throughput	$N^*R$
Random write throughput	$N^*R$
Read Latency	T
Sequential write latency	T
Random write latency	T

# RAID levels

	RAID-0	RAID-1
Capacity	$N^*B$	$N/2^*B$
Fault tolerance	0	1. Upto $N/2$
Sequential rw throughput	$N^*S$	$N/2 * S$
Random read throughput	$N^*R$	$N^*R$
Random write throughput	$N^*R$	$N/2 * R$
Read Latency	T	T
Sequential write latency	T	T
Random write latency	T	T

# RAID levels

	RAID-0	RAID-1	RAID-4
Capacity	$N*B$	$N/2*B$	$(N-1)*B$
Fault tolerance	0	1. Upto N/2	1
Sequential rw throughput	$N*S$	$N/2 * S$	$(N-1)*S$
Random read throughput	$N*R$	$N*R$	$(N-1)*R$
Random write throughput	$N*R$	$N/2 * R$	$1/2 * R$
Read Latency	T	T	T
Sequential write latency	T	T	T
Random write latency	T	T	2T

# RAID levels

	RAID-0	RAID-1	RAID-4	RAID-5
Capacity	$N*B$	$N/2*B$	$(N-1)*B$	$(N-1)* B$
Fault tolerance	0	1. Upto N/2	1	1
Sequential rw throughput	$N*S$	$N/2 * S$	$(N-1)*S$	$(N-1) * S$
Random read throughput	$N*R$	$N*R$	$(N-1)*R$	$N * R$
Random write throughput	$N*R$	$N/2 * R$	$1/2 * R$	$N/4 * R$
Read Latency	T	T	T	T
Sequential write latency	T	T	T	T
Random write latency	T	T	2T	2T

# Buffer cache: Code walkthrough

- Disk is slow: cache disk blocks in memory
- `make fs` prepares a “file system” with two blocks. First block has “welcome.txt”. Second block is zero. main.c prints first block and increments the value in the second block.
- buf.h defines buffers. Each buffer has a refcnt to indicate how many callers have reference to the buffer. Buffers also have flags. Valid buffers have been read from the disk. Dirty buffers have been modified, but not yet written to disk.
- bio.c has a linked list of buffers. bget finds an unused buffer and increments refcnt. brelease decrements refcnt.
- ide.c maintains a queue of disk requests. iderw starts a disk request and waits for the buffer to be ready. idestart asks disk to raise interrupt and sends request. ideintr updates buffer flags.

# Preparing a file system image

## Makefile

- `make fs` prepares a “file system” with two blocks. First block has “welcome.txt”. Second block is zero.

```
fs:  
    dd if=/dev/zero of=fs.img count=2  
    dd if=welcome.txt of=fs.img conv=notrunc
```

```
fs.img  
#####  
# # ##### # ##### # ##### # ##### # ##### # ##### # #####  
# # # # # # # # # # # # # # # # # # # # # # # # # #  
# # ##### # ##### # ##### # ##### # ##### # ##### # ##### #  
# ## # # # # # # # # # # # # # # # # # # # # # # #  
## ## # # # # # # # # # # # # # # # # # # # # # #  
# # ##### # ##### # ##### # ##### # ##### # ##### # #####
```

# Reading/writing/releasing buffers

## main.c

- main.c prints first block and increments the value in the second block.

```
struct buf *b1 = bread(1, 1);
cprintf("Rebooted %d times\n", b1->data[0]);
b1->data[0] = b1->data[0] + 1;
bwrite(b1);
brelease(b1);
```

# Buffer cache

## buf.h

- Doubly linked list in LRU order
- Can be in disk queue waiting to be read/written to disk
- Mark blocks as valid when they are read, dirty when they are written
- refcnt: how many callers have reference to the buffer

```
struct buf {  
    int flags;  
    uint dev;  
    uint blockno;  
    uint refcnt;  
    struct buf *prev; // LRU cache list  
    struct buf *next;  
    struct buf *qnext; // disk queue  
    uchar data[BSIZE];  
};  
#define B_VALID 0x2 // buffer has been read from disk  
#define B_DIRTY 0x4 // buffer needs to be written to disk
```

# Buffer cache

## bio.c

- bio.c has a linked list of buffers.
- bget finds if block is already in the buffer cache, otherwise finds an unused buffer, and increments refcnt.
- brelse decrements refcnt. When zero, can be used to cache other disk blocks

```
static struct buf* bget(uint dev, uint blockno) {  
    struct buf *b;  
    // Is the block already cached?  
    for(b = bcache.head.next; b != &bcache.head; b = b->next){  
        if(b->dev == dev && b->blockno == blockno){  
            b->refcnt++; return b;  
        }  
    }  
    // Not cached; recycle an unused buffer.  
    for(b = bcache.head.prev; b != &bcache.head; b = b->prev){  
        if(b->refcnt == 0 && (b->flags & B_DIRTY) == 0) {  
            b->refcnt = 1; ...  
        }  
    }  
    void brelse(struct buf *b) {  
        b->refcnt--;  
        ..  
    }
```

# Buffer cache

## bio.c

- bread returns the buffer if block is already in cache, otherwise asks disk driver to read it
- bwrite marks the buffer as dirty and asks disk driver to write it

```
struct buf* bread(uint dev, uint blockno) {  
    struct buf *b;  
    b = bget(dev, blockno);  
    if((b->flags & B_VALID) == 0)  
        iderw(b);  
    return b;  
}  
  
void bwrite(struct buf *b) {  
    b->flags |= B_DIRTY;  
    iderw(b);  
}
```

# Disk driver

## ide.c

- Enable disk interrupts at init
- iderw queues the request and waits for buffer to become valid

```
void ideinit(void) {
    ioapicenable(IRQ_IDE, ncpu - 1);
    ..
}

void iderw(struct buf *b) {
    // Append b to idequeue.
    b->qnext = 0;
    for(pp=&idequeue; *pp; pp=&(*pp)->qnext);
    *pp = b;
    // Start disk if necessary.
    if(idequeue == b)
        idestart(b);

    while((b->flags & (B_VALID|B_DIRTY)) != B_VALID)
        noop();
}
```

# Disk driver

## ide.c

- Interrupt handler reads data if needed and updates flags

```
// Interrupt handler.  
void ideintr(void){  
    struct buf *b;  
    ..  
    // Read data if needed.  
    if(!(b->flags & B_DIRTY) && idewait(1) >= 0)  
        insl(0x1f0, b->data, BSIZE/4);  
  
    b->flags |= B_VALID;  
    b->flags &= ~B_DIRTY;  
    ..  
}
```