

# Chapter 5

## Pushdown Automata

### What are we studying in this chapter?

- ◆ Definition of the Pushdown automata
- ◆ The languages of a PDA
- ◆ Equivalence of PDA's and CFG's
- ◆ Deterministic Pushdown Automata.

- 7 hours

In the previous chapter we have seen how a context free language can be described using context free grammars. But, we have not encountered an automaton to recognize the context free languages as we had finite automata to recognize the regular languages. Now, the question is “Is there any need for pushdown automata when finite automata already exists?”

A DFA (or NFA) is not powerful enough to recognize many context-free language. Since the finite automaton have strict finite memories, it is not possible to construct those machines to accept the context free languages. The automaton to recognize the CFL may require additional amount of storage which will be used to store the data.

- ◆ For example, during parentheses matching, if we encounter ‘(‘, it is required to store the left parentheses on the stack and as we encounter right parentheses i.e., ‘)’ we may have to pop the corresponding ‘(‘ from the stack. Thus, stack is used to remember the scanned symbols. This is evident as we design the automaton to accept CFL.
- ◆ Sometimes, it is required to count the symbols also. For example, if we have the language  $L = \{a^n b^n \mid n \geq 0\}$  after reading  $n$  number of  $a$ 's, we should see that  $n$  number of  $b$ 's exist. So, an automaton that we construct to accept CFL's should be in a position to count at the same time should have sufficient memory to hold the string scanned.

## 5.2 □ Pushdown Automata

Since the DFA's or NFA's can not count and can not store the input for future reference, we are forced to have a new machine called Pushdown Automaton (PDA). Note that PDA is a Finite Automata with the exception that PDA has an extra stack. So, the definition of PDA is similar to the definition of NFA with slight changes. Let us take some typical examples and define the PDA.

**Example 5.1:** Consider the language  $L = \{wCw^R \mid w \in (a+b)^*\}$  where  $w^R$  is reverse of  $w$  and  $C \in \Sigma$  indicates middle of string.

It is clear from the language  $L = wCw^R$  that if  $w$  is  $abb$  then  $w^R$  is  $bba$  which is reverse of  $w$ . The language generated will be  $abbCbba$  which is a palindrome. So, the language generates strings of palindromes.

**Design:** Let us device a method to accept a string of palindrome. In a given string, the letter  $C$  is the middle of the string. To start with, machine will be in the start state say  $q_0$ . Now keep pushing all input symbols on to the stack and stay in state  $q_0$  till the input symbol  $C$  is encountered.

Immediately after scanning the input symbol  $C$ , change the state to  $q_1$ . Now, we have passed the middle of the string. If the given string is a palindrome, for each character encountered after the midpoint, there will be a corresponding character on the stack.

So, in state  $q_1$ , after scanning the input symbol, compare it with most recently pushed symbol on the stack. If they are same, discard both the symbols and scan the next symbol and compare it with the symbol on the stack and repeat the process and remain in state  $q_1$ . If there is a mismatch the machine halts and the string will be rejected. Once the last symbol in the input is matched with symbol on the stack, finally stack will be empty. Once the stack is empty, it is evident that the given string is a palindrome. So, change the state to  $q_2$  which is an accepting state.

**Note:** It is clear from this example that, the PDA has set of states  $Q$  and set of input symbols  $\Sigma$ . Since it has stack also as a component, some symbols will be pushed on to the stack. So, the stack has stack alphabets denoted by  $\Gamma$ . The stack contains a special symbol  $Z_0$  which is present in the stack initially (Note that this is the initial condition). It means that an empty stack contains  $Z_0$  as the top of the stack.

### 5.1 Transitions

For the example 5.1 shown above, the transitions can be written as shown in table 5.1. Assume that  $q_0$  is the start state and  $Z_0$  is the initial symbol on the stack indicating stack is empty.

## Finite Automata and Formal languages – A simple approach 5.3

Since there is an  $\epsilon$ -transition, the PDA is actually Non-deterministic. In a non-deterministic PDA, there will be  $\epsilon$ -transitions or there may be multiple transitions from the same state on a given input when a specified symbol is there on the stack.

$\delta(q_0, a, Z_0)$	=	$(q_0, aZ_0)$
$\delta(q_0, b, Z_0)$	=	$(q_0, bZ_0)$
$\delta(q_0, a, a)$	=	$(q_0, aa)$
$\delta(q_0, b, a)$	=	$(q_0, ba)$
$\delta(q_0, a, b)$	=	$(q_0, ab)$
$\delta(q_0, b, b)$	=	$(q_0, bb)$
$\delta(q_0, c, Z_0)$	=	$(q_1, Z_0)$
$\delta(q_0, c, a)$	=	$(q_1, a)$
$\delta(q_0, c, b)$	=	$(q_1, b)$
$\delta(q_1, a, a)$	=	$(q_1, \epsilon)$
$\delta(q_1, b, b)$	=	$(q_1, \epsilon)$
$\delta(q_1, \epsilon, Z_0)$	=	$(q_2, Z_0)$

$$\delta: Q \times (\Sigma \cup \epsilon) \times \Gamma \text{ to } Q \times \Gamma^*$$

Table 5.1 Transitions

For example, consider the transitions

$$\delta(q_0, a, Z) = (q_1, bZ)$$

$$\delta(q_0, a, Z) = (q_2, cZ)$$

These transitions can also be written as

$$\delta(q_0, a, Z) = \{ (q_1, bZ), (q_2, cZ) \}$$

In the above transition, when the PDA is currently in state  $q_0$ , on scanning the input symbol  $a$  and when the top of the stack contains  $Z$ , the machine can perform one of the transitions defined i.e., either

1. The PDA can go to state  $q_1$  after pushing the symbol  $b$  on to the stack  
or
2. The PDA can go to state  $q_2$  after pushing the symbol  $c$  on to the stack

Since there is an  $\epsilon$ -transition, the PDA is actually Non-deterministic. In a non-deterministic PDA, there will be  $\epsilon$ -transitions or there may be multiple transitions from the same state on a given input when a specified symbol is there on the stack.

$\delta(q_0, a, Z_0)$	=	$(q_0, aZ_0)$
$\delta(q_0, b, Z_0)$	=	$(q_0, bZ_0)$
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$\delta(q_0, b, a)$	=	$(q_0, ba)$
$\delta(q_0, a, b)$	=	$(q_0, ab)$
$\delta(q_0, b, b)$	=	$(q_0, bb)$
$\delta(q_0, c, Z_0)$	=	$(q_1, Z_0)$
$\delta(q_0, c, a)$	=	$(q_1, a)$
$\delta(q_0, c, b)$	=	$(q_1, b)$
$\delta(q_1, a, a)$	=	$(q_1, \epsilon)$
$\delta(q_1, b, b)$	=	$(q_1, \epsilon)$
$\delta(q_1, \epsilon, Z_0)$	=	$(q_2, Z_0)$



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or
2. The PDA can go to state  $q_2$  after pushing the symbol  $c$  on to the stack

## 5.4 Pushdown Automata

Unless otherwise specified, let us call **Push Down Automata (PDA)** as **Non Deterministic Push Down Automata (NPDA)**. Now, let us see "What is pushdown automata?" The formal definition of PDA is shown below:

**Definition:** A pushdown automata (PDA) is a seven tuple

$$M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

where

$Q$  - is set of finite states.

$\Sigma$  - Set of input alphabets.

$\Gamma$  - Set of stack alphabets.

$\delta$  - transition from  $Q \times (\Sigma \cup \epsilon) \times \Gamma$  to finite sub set of  $Q \times \Gamma^*$

$\delta$  is called the transition function of  $M$ .

$q_0 \in Q$  is the start state of machine.

$Z_0 \in \Gamma$  is the initial symbol on the stack.

$F \subseteq Q$  is set of final states.

The actions (i.e., transitions) performed by the PDA depends on

1. The current state.
2. The next input symbol
3. The symbol on top of the stack.

The action performed by the machine consists of

1. Changing the states from one state to another
2. Replacing the symbol on the stack.

**Note:** In general, the transition function accepts three parameters namely a state, an input symbol and stack symbol and returns a new state after changing the top of the stack i.e. the transition function has the form:

$$\delta(state, input\_symbol, stack\_symbol) = (next\_state, stack\_symbol)$$

**Example 5.2: What does each of the following transitions represent?**

- a.  $\delta(p, a, Z) = (q, aZ)$
- b.  $\delta(p, a, Z) = (q, \epsilon)$
- c.  $\delta(p, a, Z) = (q, r)$
- d.  $\delta(p, \epsilon, Z) = (q, r)$
- e.  $\delta(p, \epsilon, \epsilon) = (q, Z)$
- f.  $\delta(p, \epsilon, Z) = (q, \epsilon)$

The transition

$$\delta(p, a, Z) = (q, aZ)$$

means that the PDA in current state  $p$  after scanning the input symbol  $a \in \Sigma$  and if  $Z \in \Gamma$  is on top of the stack, then the PDA enters into new state  $q$  pushing  $a \in \Sigma$  on to the stack. The transition

$$\delta(p, a, Z) = (q, \epsilon)$$

means that in state  $p$ , on scanning the input symbol  $a$ , and when top of this stack is  $Z$ , the machine enters into state  $q$  and the topmost symbol  $Z$  is deleted from the stack.

The transition

$$\delta(p, a, Z) = (q, r)$$

means that in state  $p$ , on scanning the input symbol  $a$  and when top of the stack is  $Z$ , the machine enters into state  $q$  and topmost symbol  $Z$  on the stack is replaced by  $r$ .

The transition

$$\delta(p, \epsilon, Z) = (q, r)$$

means that in state  $p$ , on scanning the empty string and when top of the stack is  $Z$ , the machine enters into  $q$  and topmost symbol  $Z$  on the stack is replaced by  $r$ . The transition

$$\delta(p, \epsilon, \epsilon) = (q, Z)$$

means that in state  $p$ , on scanning the empty string and when top of the stack empty, the machine enters into  $q$  pushing the symbol  $Z$  on the stack . The transition

$$\delta(p, \epsilon, Z) = (q, \epsilon)$$

means that in state  $p$ , on scanning the empty string and when top of the stack is  $Z$ , the machine enters into  $q$  and topmost symbol  $Z$  on the stack is deleted.

**Note:**  $\delta$  is a transition function with three arguments. The first two are same as NFA i.e., the state and either  $\epsilon$  or a symbol from the input alphabet. The third argument is the symbol on top of the stack. As the input symbol is *consumed* when the transition(or function) is applied, the symbol on top of the stack may be deleted or it

## 5.6 □ Pushdown Automata

may be altered or sometimes one or more items may be pushed on to the stack (depends on the problem being solved).

The move of a machine can be defined as follows.

Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$  be a PDA. The move of machine M

$\delta(p, a, Z) = (q, \alpha)$

imply that, when the PDA is currently in state  $p$ , if the scanned input symbol is  $a$  and the top of the stack is  $Z$ , the PDA enters into new state  $q$  and pushes  $Z$  on the top of the stack. The symbol on top of the stack and the recently pushed symbol is denoted by  $\alpha$ . Here  $p, q \in Q, a \in \Sigma, Z \in \Gamma$  and  $\alpha \in \Gamma^*$ .

## **5.2 Graphical representation of a PDA**

The various actions performed by a PDA in state  $q$  on an input symbol  $a$  and when the top of the stack represented by  $Z$  can be represented using two methods:

1. Using  $\delta$  notation (as discussed in previous section)
2. Using graphical representation

Now, let us discuss how a PDA is represented graphically using the notations that have been used to represent an FA in which:

- a. The states of the PDA correspond to the nodes in a graph and are represented using circles
- b. The start state of the PDA is denoted by an arrow labeled *start*
- c. The nodes of the graph represented by two concentric circles are the final states of the PDA
- d. If there is a transition of the form

$$\delta(p, a, Z) = (q, \alpha)$$

then, there will be an arc from state  $p$  to state  $q$  and the arc is labeled with

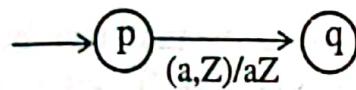
$$a, Z/\alpha$$

indicating  $a$  is the current symbol,  $Z$  is the symbol on top of the stack and  $\alpha$  represent the top of the stack along with the recently pushed symbol.

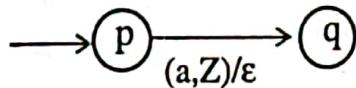
**Example 5.3:** For each of the transitions obtain the corresponding transition diagrams?

- a.  $\delta(p, a, Z) = (q, aZ)$
- b.  $\delta(p, a, Z) = (q, \epsilon)$
- c.  $\delta(p, a, Z) = (q, r)$
- d.  $\delta(p, \epsilon, Z) = (q, r)$
- e.  $\delta(p, \epsilon, \epsilon) = (q, Z)$
- f.  $\delta(p, \epsilon, Z) = (q, \epsilon)$

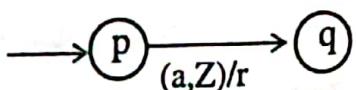
The transition  $\delta(p, a, Z) = (q, aZ)$  means that the PDA in current state  $p$  after scanning the input symbol  $a \in \Sigma$  and if  $Z \in \Gamma$  is on top of the stack, then the PDA enters into new state  $q$  pushing  $a \in \Sigma$  on to the stack. The graphical representation is shown below:



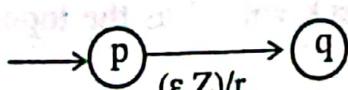
The transition  $\delta(p, a, Z) = (q, \epsilon)$  means that in state  $p$ , on scanning the input symbol  $a$ , and when top of this stack is  $Z$ , the machine enters into state  $q$  and the topmost symbol  $Z$  is deleted from the stack. The corresponding graphical representation is:



The transition  $\delta(p, a, Z) = (q, r)$  means that in state  $p$ , on scanning the input symbol  $a$  and when top of the stack is  $Z$ , the machine enters into state  $q$  and topmost symbol  $Z$  on the stack is replaced by  $r$ . The corresponding graphical notation is shown below:

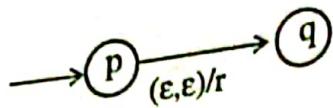


The transition  $\delta(p, \epsilon, Z) = (q, r)$  means that in state  $p$ , on scanning the empty string and when top of the stack is  $Z$ , the machine enters into  $q$  and topmost symbol  $Z$  on the stack is replaced by  $r$  and the equivalent graphical representation is shown below:

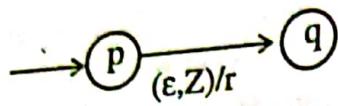


The transition  $\delta(p, \epsilon, \epsilon) = (q, Z)$  means that in state  $p$ , on scanning the empty string and when top of the stack empty, the machine enters into  $q$  pushing the symbol  $Z$  on the stack. The equivalent graphical representation is shown below:

## 5.8 □ Pushdown Automata



The transition  $\delta(p, \epsilon, Z) = (q, \epsilon)$  means that in state  $p$ , on scanning the empty string and when top of the stack is  $Z$ , the machine enters into  $q$  and top most symbol  $Z$  on the stack is deleted. The graphical representation for this is shown below:



### 5.3 Instantaneous description

The current configuration of PDA at any given instant can be described by an *instantaneous description* (in short we can call ID). An ID gives the current state of the PDA, the remaining string to be processed and the entire contents of the stack. Thus, an instantaneous description ID can be defined as shown below.

**Definition:** Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$  be a PDA. An ID (instantaneous description) is defined as 3-tuple or a triple

$$(q, w, \alpha)$$

where  $q$  is the current state,  $w$  is the string to be processed and  $\alpha$  is the current contents of stack. The leftmost symbol in the string  $\alpha$  is on top of the stack and rightmost symbol in  $\alpha$  is at the bottom of the stack.

Let the current configuration of PDA be

$$(q, aw, Z\alpha)$$

It means

- $q$  - is the current state
- $aw$  - is the string to be processed
- $Z\alpha$  - is the current contents of the stack with  $Z$  as the topmost symbol on the stack.

If the transition defined is  $\delta(q, a, Z) = (p, \beta)$  then the new configuration obtained will be

$(p, w, \beta\alpha)$

The move from the current configuration to next configuration is given by

$(q, aw, Z\alpha) \vdash (p, w, \beta\alpha)$

This can be read as "the configuration  $(q, aw, Z\alpha)$  derives  $(p, w, \beta\alpha)$  in one move". If an arbitrary number of moves are used to move from one configuration to another configuration then the moves are denoted by the symbol  $\vdash^*$  and  $\vdash^+$ .

For example,

$(q, aw, Z\alpha) \vdash^* (p, w, \beta\alpha)$

means that the current configuration of the PDA will be  $(q, aw, Z\alpha)$  and after applying zero or more number of transitions, the PDA enters into new configuration  $(p, w, \beta\alpha)$ .

**Note:** The instantaneous description  $(q, aw, Z\alpha) \vdash^+ (p, w, \beta\alpha)$  indicates that the configuration  $(p, w, \beta\alpha)$  is obtained from the configuration  $(q, aw, Z\alpha)$  by applying one or more transitions.

#### 5.4 Acceptance of a language by PDA

There are two cases wherein a string  $w$  is accepted by a PDA.

- ◆ Get the final state from the start state.
- ◆ Get an empty stack from the start state

In the first case, we say that the language is accepted by a final state and in the second case we say that the language is accepted by an empty stack or null stack. The formal definitions to accept the string by a final state and by an empty stack are defined as follows.

**Definition:** Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$  be a PDA. The language  $L(M)$  accepted by a final state is defined as

$$L(M) = \{w \mid (q_0, w, Z_0) \vdash^* (p, \epsilon, \alpha)\}$$

## 5.10 □ Pushdown Automata

for some  $\alpha \in \Gamma^*$ ,  $p \in F$  and  $w \in \Sigma^*$ . It means that the PDA, currently in state  $q_0$ , after scanning the string  $w$  enters into a final state  $p$ . Once the machine is in state  $p$ , the input symbol should be  $\epsilon$  and the contents of the stack are irrelevant. Any thing can be there on the stack. The only point to remember here is that when all the symbols in string  $w$  have been read and when the machine is in the final state the final contents of the stack are irrelevant.

We can also define a language  $N(M)$  accepted by an empty stack (Null stack) as  
$$N(M) = \{w \mid (q_0, w, Z_0) \xrightarrow{*} (p, \epsilon, \epsilon)\}$$

for  $w \in \Sigma^*$ ,  $q_0, p \in Q$ . It means that when the string  $w$  is accepted by an empty stack, the final state is irrelevant, the input should be completely read and the stack should be empty. Here the state  $p$  is not the final state, only thing is that the string  $w$  should be completely read and stack should be empty.

### 5.5 Construction of PDA

Now, so far we have seen some concepts on PDA. In this section, we shall see how PDA's can be constructed.

**Example 5.4:** Obtain a PDA to accept the language  $L(M) = \{wCw^R \mid w \in (a+b)^*\}$  where  $w^R$  is reverse of  $w$  by a final state.

It is clear from the language  $L(M) = \{wCw^R\}$  that if  
 $w = abb$

then reverse of  $w$  denoted by  $w^R$  will be

$$w^R = bba$$

and the language  $L$  will be  $wCw^R$  i.e.,

$$abbCbba$$

which is a string of palindrome. So, we have to construct a PDA which accepts a palindrome consisting of  $a$ 's and  $b$ 's with the symbol  $C$  in the middle.

**General Procedure:** To check for the palindrome, let us push all scanned symbols onto the stack till we encounter the letter  $C$ . Once we pass the middle string, if the string is a palindrome, for each scanned input symbol, there should be a corresponding symbol (same as input symbol) on the stack. Finally, if there is no input and stack is empty, we say that the given string is a palindrome.

**Step1:** Input symbols can be  $a$  or  $b$ .

Let  $q_0$  be the initial state and  $Z_0$  be the initial symbol on the stack. In state  $q_0$  and when top of the stack is  $Z_0$ , whether the input symbol is  $a$  or  $b$  push it on to the stack, and remain in  $q_0$ . The transitions defined for this can be of the form

$$\begin{aligned}\delta(q_0, a, Z_0) &= (q_0, aZ_0) \\ \delta(q_0, b, Z_0) &= (q_0, bZ_0)\end{aligned}$$

Once the first scanned input symbol is pushed on to the stack, the stack may contain either  $a$  or  $b$ . Now, in state  $q_0$ , the input symbol can be either  $a$  or  $b$ . Note that irrespective of what is the input or what is there on the stack, we have to keep pushing all the symbols on to the stack, till we encounter C. So, the transitions defined for this can be of the form

$$\begin{aligned}\delta(q_0, a, a) &= (q_0, aa) \\ \delta(q_0, b, a) &= (q_0, ba) \\ \delta(q_0, a, b) &= (q_0, ab) \\ \delta(q_0, b, b) &= (q_0, bb)\end{aligned}$$

**Step 2:** Input symbol is C.

Now, if the next input symbol is C, the top of the stack may be  $a$  or  $b$ . Another possibility is that, in state  $q_0$ , the first symbol itself can be C. In this case  $w$  is null string and  $Z_0$  will be on the stack. In all these cases, the input symbol is C i.e., the symbol which is present in the middle of the string. So, change the state to  $q_1$  and do not alter the contents of the stack. The transitions defined for this can be of the form

$$\begin{aligned}\delta(q_0, c, Z_0) &= (q_1, Z_0) \\ \delta(q_0, c, a) &= (q_1, a) \\ \delta(q_0, c, b) &= (q_1, b)\end{aligned}$$

Now, we have passed the middle of the string.

**Step3:** Input symbols can be  $a$  or  $b$ .

To be a palindrome, for each input symbol there should be a corresponding symbol (same as input symbol) on the stack. So, whenever the input symbol is same as symbol on the stack, remain in state  $q_1$  and delete that symbol from the stack and repeat the process. The transitions defined for this can be of the form

## 5.12 □ Pushdown Automata

$$\begin{aligned}\delta(q_1, a, a) &= (q_1, \epsilon) \\ \delta(q_1, b, b) &= (q_1, \epsilon)\end{aligned}$$

**Step 4:** Finally, in state  $q_1$ , if the string is a palindrome, there is no input symbol to be scanned and the stack should be empty i.e., the stack should contain  $Z_0$ . Now, change the state to  $q_2$  and do not alter the contents of the stack. The transition for this can be of the form

$$\delta(q_1, \epsilon, Z_0) = (q_2, Z_0)$$

So, the PDA M to accept the language

$$L(M) = \{wCw^R \mid w \in (a,b)^*\}$$

along with transition graph is given by

$$M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

where

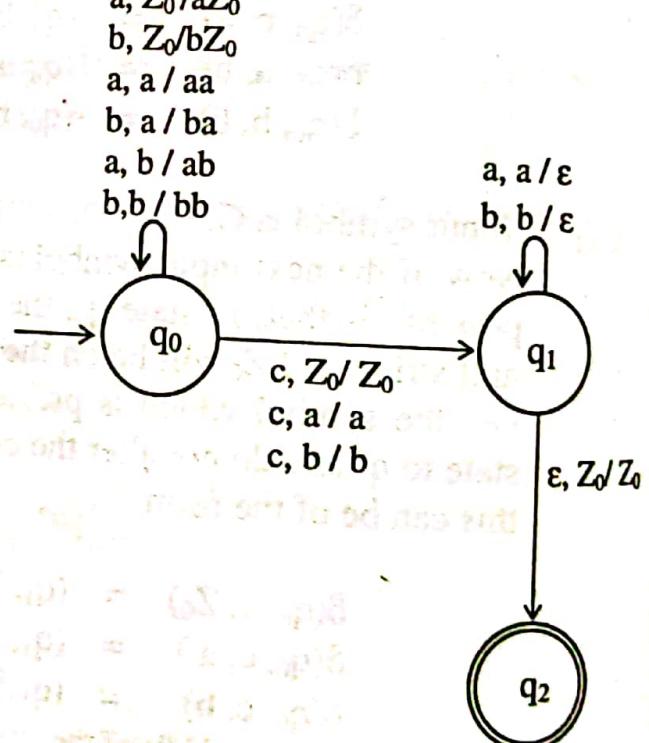
$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{a, b\}$$

$$\Gamma = \{a, b, Z_0\}$$

$\delta$  : is shown below.

$$\begin{aligned}\delta(q_0, a, Z_0) &= (q_0, aZ_0) \\ \delta(q_0, b, Z_0) &= (q_0, bZ_0) \\ \delta(q_0, a, a) &= (q_0, aa) \\ \delta(q_0, b, a) &= (q_0, ba) \\ \delta(q_0, a, b) &= (q_0, ab) \\ \delta(q_0, b, b) &= (q_0, bb) \\ \delta(q_0, c, Z_0) &= (q_1, Z_0) \\ \delta(q_0, c, a) &= (q_1, a) \\ \delta(q_0, c, b) &= (q_1, b) \\ \delta(q_1, a, a) &= (q_1, \epsilon) \\ \delta(q_1, b, b) &= (q_1, \epsilon) \\ \delta(q_1, \epsilon, Z_0) &= (q_2, Z_0)\end{aligned}$$



$q_0 \in Q$  is the start state of machine.

$Z_0 \in \Gamma$  is the initial symbol on the stack.

$F = \{q_2\}$  is the final state.

## 5.12 □ Pushdown Automata

$$\begin{aligned}\delta(q_1, a, a) &= (q_1, \epsilon) \\ \delta(q_1, b, b) &= (q_1, \epsilon)\end{aligned}$$

**Step 4:** Finally, in state  $q_1$ , if the string is a palindrome, there is no input symbol to be scanned and the stack should be empty i.e., the stack should contain  $Z_0$ . Now, change the state to  $q_2$  and do not alter the contents of the stack. The transition for this can be of the form

$$\delta(q_1, \epsilon, Z_0) = (q_2, Z_0)$$

So, the PDA M to accept the language

$$L(M) = \{wCw^R \mid w \in (a,b)^*\}$$

along with transition graph is given by

$$M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

where

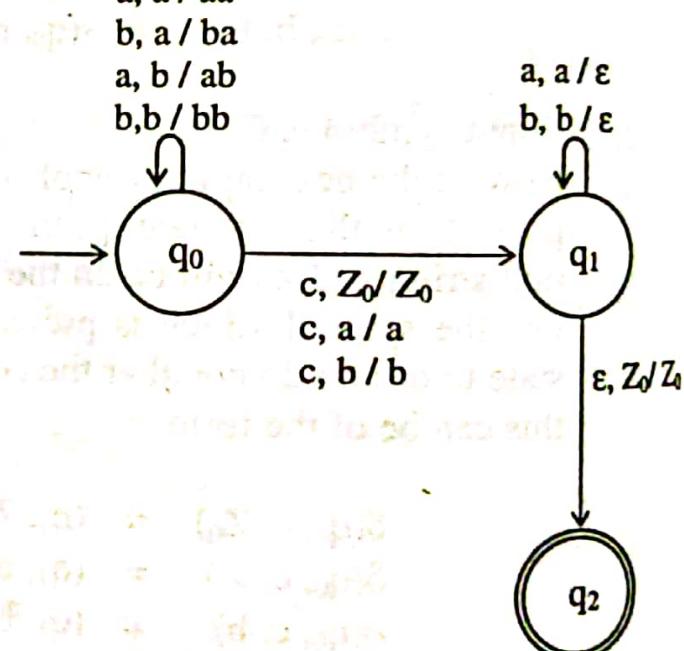
$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{a, b, C\}$$

$$\Gamma = \{a, b, Z_0\}$$

$\delta$  : is shown below.

$$\begin{aligned}\delta(q_0, a, Z_0) &= (q_0, aZ_0) \\ \delta(q_0, b, Z_0) &= (q_0, bZ_0) \\ \delta(q_0, a, a) &= (q_0, aa) \\ \delta(q_0, b, a) &= (q_0, ba) \\ \delta(q_0, a, b) &= (q_0, ab) \\ \delta(q_0, b, b) &= (q_0, bb) \\ \delta(q_0, c, Z_0) &= (q_1, Z_0) \\ \delta(q_0, c, a) &= (q_1, a) \\ \delta(q_0, c, b) &= (q_1, b) \\ \delta(q_1, a, a) &= (q_1, \epsilon) \\ \delta(q_1, b, b) &= (q_1, \epsilon) \\ \delta(q_1, \epsilon, Z_0) &= (q_2, Z_0)\end{aligned}$$



$q_0 \in Q$  is the start state of machine.

$Z_0 \in \Gamma$  is the initial symbol on the stack.

$F = \{q_2\}$  is the final state.

**To accept the string:** The sequence of moves made by the PDA for the string  $aabCbaa$  is shown below.

Initial ID $(q_0, aabCbaa, Z_0)$	 ( $q_0, abCbaa, aZ_0$ )
	 ( $q_0, bCbaa, aaZ_0$ )
	 ( $q_0, Cbaa, baaZ_0$ )
	 ( $q_1, baa, baaZ_0$ )
	 ( $q_1, aa, aaZ_0$ )
	 ( $q_1, a, aZ_0$ )
	 ( $q_1, \epsilon, Z_0$ )
	 ( $q_2, \epsilon, Z_0$ )
	(Final Configuration)

Since  $q_2$  is the final state and input string is  $\epsilon$  in the final configuration, the string

$aabCbaa$

is accepted by the PDA.

**To reject the string:** The sequence of moves made by the PDA for the string  $aabCbab$  is shown below.

Initial ID $(q_0, aabCbab, Z_0)$	 ( $q_0, abCbab, aZ_0$ )
	 ( $q_0, bCbab, aaZ_0$ )
	 ( $q_0, Cbab, baaZ_0$ )
	 ( $q_1, bab, baaZ_0$ )
	 ( $q_1, ab, aaZ_0$ )
	 ( $q_1, b, aZ_0$ )
	(Final Configuration)

Since the transition  $\delta(q_1, b, a)$  is not defined, the string

$aabCbab$

is not a palindrome and the machine halts and the string is rejected by the PDA.

**Note:** The same problem can be converted to accept the language by an empty stack. Only the change is, instead of the final transition namely

$$\delta(q_1, \epsilon, Z_0) = (q_2, Z_0)$$

replace it by the transition

$$\delta(q_1, \epsilon, Z_0) = (q_1, \epsilon)$$

When a language is accepted by an empty stack, finally the stack should not contain any thing including  $Z_0$ . Note that  $q_1$  is not a final state. There is no final state.

**Example 5.5:** Obtain a PDA to accept the language  $L = \{a^n b^n \mid n \geq 1\}$  by a final state.

**Note:** The machine should accept  $n$  number of  $a$ 's followed by  $n$  number of  $b$ 's.

**General Procedure:** Since  $n$  number of  $a$ 's should be followed by  $n$  number of  $b$ 's, let us push all the symbols on to the stack as long as the scanned input symbol is  $a$ . Once we encounter  $b$ 's, we should see that for each  $b$  in the input, there should be corresponding  $a$  on the stack. When the input pointer reaches the end of the string, the stack should be empty. If stack is empty, it indicates that the string scanned has  $n$  number of  $a$ 's followed by  $n$  number of  $b$ 's.

**Step 1:** Let  $q_0$  be the start state and  $Z_0$  be the initial symbol on the stack. As long as the next input symbol to be scanned is  $a$ , irrespective of what is there on the stack, keep pushing all the symbols on to the stack and remain in  $q_0$ . The transitions defined for this can be of the form

$$\begin{aligned}\delta(q_0, a, Z_0) &= (q_0, aZ_0) \\ \delta(q_0, a, a) &= (q_0, aa)\end{aligned}$$

**Step 2:** In state  $q_0$ , if the next input symbol to be scanned is  $b$  and if the top of the stack is  $a$ , change the state to  $q_1$  and delete one  $b$  from the stack. The transition for this can be of the form

$$\delta(q_0, b, a) = (q_1, \epsilon)$$

**Step 3:** Once the machine is in state  $q_1$ , the rest of the symbols to be scanned will be only  $b$ 's and for each  $b$  there should be corresponding symbol  $a$  on the stack. So, as the scanned input symbol is  $b$  and if there is a matching  $a$  on the stack, remain in  $q_1$

## Finite Automata and Formal languages – A simple approach 5.15

and delete the corresponding  $a$  from the stack. The transitions defined for this can be of the form

$$\delta(q_1, b, a) = (q_1, \epsilon)$$

**Step 4:** In state  $q_1$ , if the next input symbol to be scanned is  $\epsilon$  and if the top of the stack is  $Z_0$ , (it means that for each  $b$  in the input there exists corresponding  $a$  on the stack) change the state to  $q_2$  which is an accepting state. The transition defined for this can be of the form

$$\delta(q_1, \epsilon, Z_0) = (q_2, Z_0)$$

So, the PDA to accept the language

$$L \triangleq \{a^n b^n \mid n \geq 1\}$$

along with transition diagram is given by

$$M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

where

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{a, b\}$$

$$\Gamma = \{a, Z_0\}$$

$\delta$  : is shown below.

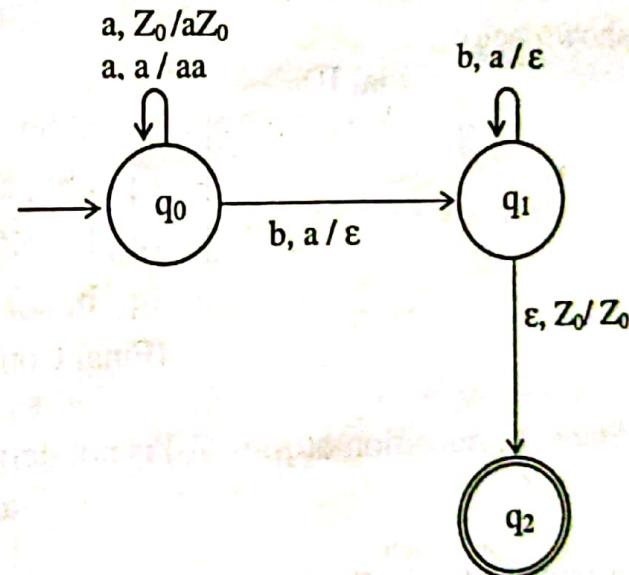
$$\delta(q_0, a, Z_0) = (q_0, aZ_0)$$

$$\delta(q_0, a, a) = (q_0, aa)$$

$$\delta(q_0, b, a) = (q_1, \epsilon)$$

$$\delta(q_1, b, a) = (q_1, \epsilon)$$

$$\delta(q_1, \epsilon, Z_0) = (q_2, Z_0)$$



$q_0 \in Q$  is the start state of machine.

$Z_0 \in \Gamma$  is the initial symbol on the stack.

$F = \{q_2\}$  is the final state.

**To accept the string:** The sequence of moves made by the PDA for the string  $aaabbb$  is shown below.

## 5.16 □ Pushdown Automata

Initial ID ( $q_0$ , aaabbb, $Z_0$ )	$\vdash (q_0, aabb, aZ_0)$ $\vdash (q_0, abbb, aaZ_0)$ $\vdash (q_0, bbb, aaaZ_0)$ $\vdash (q_1, bb, aaZ_0)$ $\vdash (q_1, b, aZ_0)$ $\vdash (q_1, \epsilon, Z_0)$ $\vdash (q_2, \epsilon, Z_0)$ <b>(Final Configuration)</b>
---	--

Since  $q_2$  is the final state and input string is  $\epsilon$  in the final configuration, the string aaabbb

is accepted by the PDA.

**To reject the string:** The sequence of moves made by the PDA for the string aabbbb is shown below.

Initial ID ( $q_0$ , aabbbb, $Z_0$ )	$\vdash (q_0, abbb, aZ_0)$ $\vdash (q_0, bbb, aaZ_0)$ $\vdash (q_1, bb, aZ_0)$ $\vdash (q_1, b, Z_0)$ <b>(Final Configuration)</b>
---	--

Since the transition  $\delta(q_1, b, Z_0)$  is not defined, the string aabbbb

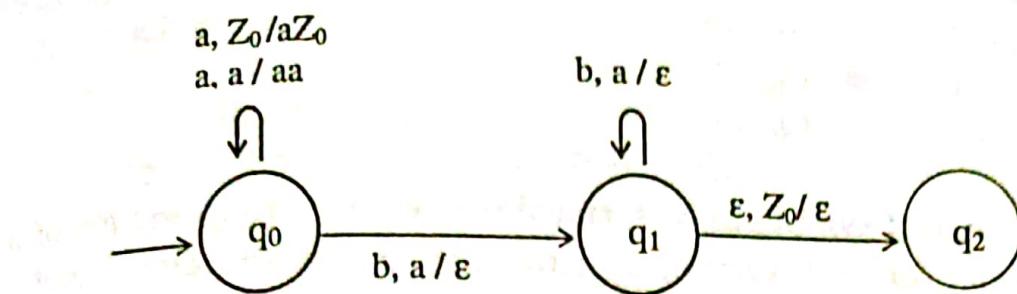
is rejected by the PDA.

**Note:** By changing the final transition from

$$\delta(q_1, \epsilon, Z_0) = (q_2, Z_0)$$

$$\delta(q_1, \epsilon, Z_0) = (q_2, \epsilon)$$

the PDA accepted by an empty stack is obtained. Note that  $q_2$  is not the final state. The corresponding transition diagram accepting by an empty stack is shown below:



**Example 5.6:** Obtain a PDA to accept the language  $L(M) = \{w \mid w \in (a+b)^* \text{ and } n_a(w) = n_b(w)\}$  by a final state i.e., number of  $a$ 's in string  $w$  should be equal to number of  $b$ 's in  $w$ .

The language accepted by the machine should consist strings of  $a$ 's and  $b$ 's of any length. Only restriction is that number of  $a$ 's in string  $w$  should be equal to number of  $b$ 's. The order of  $a$ 's and  $b$ 's is irrelevant. For example  $\epsilon$ ,  $ab$ ,  $ba$ ,  $aaabbb$ ,  $ababab$ ,  $aabb$  etc. are all the strings in the language  $L(M)$ .

**General Procedure:** The first scanned input symbol is pushed on to the stack. From this point onwards, if the scanned symbol is same as the symbol on top of the stack, push the current input symbol on to the stack. If the input symbol is different from the symbol on the top of the stack, pop one symbol from the stack and repeat the process. Finally, when end of string is encountered, if the stack is empty, the string  $w$  has equal number of  $a$ 's and  $b$ 's, otherwise number of  $a$ 's and  $b$ 's are different.

**Step 1:** Let  $q_0$  be the start state and  $Z_0$  be the initial symbol on the stack. When the machine is in state  $q_0$  and when top of the stack contains  $Z_0$ , scan the input symbol (either  $a$  or  $b$ ) and push it on to the stack. The transitions defined for this can be of the form

$$\begin{aligned}\delta(q_0, a, Z_0) &= (q_0, aZ_0) \\ \delta(q_0, b, Z_0) &= (q_0, bZ_0)\end{aligned}$$

**Step 2:** Once the first input symbol is pushed on to the stack, the top of stack may contain either  $a$  or  $b$  and the next input symbol to be scanned may be  $a$  or  $b$ . If the input symbol is same as the symbol on top of the stack, push the current input symbol on to the stack and remain in state  $q_0$  only. Otherwise, pop an element from the stack. The transitions defined for this can be of the form

## 5.18 □ Pushdown Automata

$$\begin{aligned}\delta(q_0, a, a) &= (q_0, aa) \\ \delta(q_0, b, b) &= (q_0, bb) \\ \delta(q_0, a, b) &= (q_0, \epsilon) \\ \delta(q_0, b, a) &= (q_0, \epsilon)\end{aligned}$$

**Step 3:** In state  $q_0$ , if the next symbol to be scanned is  $\epsilon$  (empty string) and top of the stack is  $Z_0$ , it means that for each symbol  $a$  there exists a corresponding  $b$  and for each symbol  $b$ , there exists a symbol  $a$ . So, the string  $w$  consists of equal number of  $a$ 's and  $b$ 's and change the state to  $q_1$ . The transition defined for this can be of the form

$$\delta(q_0, \epsilon, Z_0) = (q_1, Z_0)$$

So, the PDA to accept the language

$$L = \{w \mid n_a(w) = n_b(w)\}$$

is given by

$$M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

where

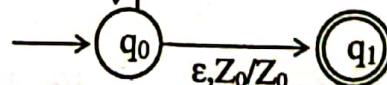
$$Q = \{q_0, q_1\}$$

$$\Sigma = \{a, b\}$$

$$\Gamma = \{a, b, Z_0\}$$

$\delta$  : is shown below.

$$\begin{aligned}\delta(q_0, a, Z_0) &= (q_0, aZ_0) \\ \delta(q_0, b, Z_0) &= (q_0, bZ_0) \\ \delta(q_0, a, a) &= (q_0, aa) \\ \delta(q_0, b, b) &= (q_0, bb) \\ \delta(q_0, a, b) &= (q_0, \epsilon) \\ \delta(q_0, b, a) &= (q_0, \epsilon) \\ \delta(q_0, \epsilon, Z_0) &= (q_1, Z_0)\end{aligned}$$



$q_0 \in Q$  is the start state of machine.

$Z_0 \in \Gamma$  is the initial symbol on the stack.

$F = \{q_1\}$  is the final state.

**To accept the string:** The sequence of moves made by the PDA for the string abbbaa is shown below.

Initial ID ( $q_0$ , abbbaa, $Z_0$ )	$\vdash$	( $q_0$ , bbb, $aZ_0$ )
	$\vdash$	( $q_0$ , bb, $aZ_0$ )
	$\vdash$	( $q_0$ , b, $aZ_0$ )
	$\vdash$	( $q_0$ , $a$ , $aZ_0$ )
	$\vdash$	( $q_0$ , $\epsilon$ , $Z_0$ )
	$\vdash$	( $q_1$ , $\epsilon$ , $Z_0$ )
		(Final Configuration)

Since  $q_1$  is the final state and input string is  $\epsilon$  in the final configuration, the string

abbbaa

is accepted by the PDA.

**To reject the string:** The sequence of moves made by the PDA for the string aabbbb is shown below.

Initial ID ( $q_0$ , aabbbb, $Z_0$ )	$\vdash$	( $q_0$ , abbb, $aZ_0$ )
	$\vdash$	( $q_0$ , bbb, $aaZ_0$ )
	$\vdash$	( $q_0$ , bb, $aZ_0$ )
	$\vdash$	( $q_0$ , b, $Z_0$ )
	$\vdash$	( $q_0$ , $\epsilon$ , $bZ_0$ )
		(Final Configuration)

Since the transition  $\delta(q_0, \epsilon, b)$  is not defined, the string

aabbbb

is rejected by the PDA.

## 5.20 □ Pushdown Automata

**Note:** To accept the language by an empty stack, the final state is irrelevant where as the next input symbol to be scanned should be  $\epsilon$  and stack should be empty. Even  $Z_0$  should not be there on the stack. So, to obtain the PDA to accept equal number of  $a$ 's and  $b$ 's using an empty stack, change only the last transition in example 5.5. The last transition

$$\delta(q_0, \epsilon, Z_0) = (q_1, Z_0)$$

can be changed as

$$\delta(q_0, \epsilon, Z_0) = (q_1, \epsilon)$$

So, the PDA to accept the language

$$L = \{w \mid n_a(w) = n_b(w)\}$$

by an empty stack is given by

$$M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, \epsilon)$$

where

$$Q = \{q_0, q_1\}$$

$$\Sigma = \{a, b\}$$

$$\Gamma = \{a, b, Z_0\}$$

$\delta$  : is shown below.

$$\delta(q_0, a, Z_0) = (q_0, aZ_0)$$

$$\delta(q_0, b, Z_0) = (q_0, bZ_0)$$

$$\delta(q_0, a, a) = (q_0, aa)$$

$$\delta(q_0, b, b) = (q_0, bb)$$

$$\delta(q_0, a, b) = (q_0, \epsilon)$$

$$\delta(q_0, b, a) = (q_0, \epsilon)$$

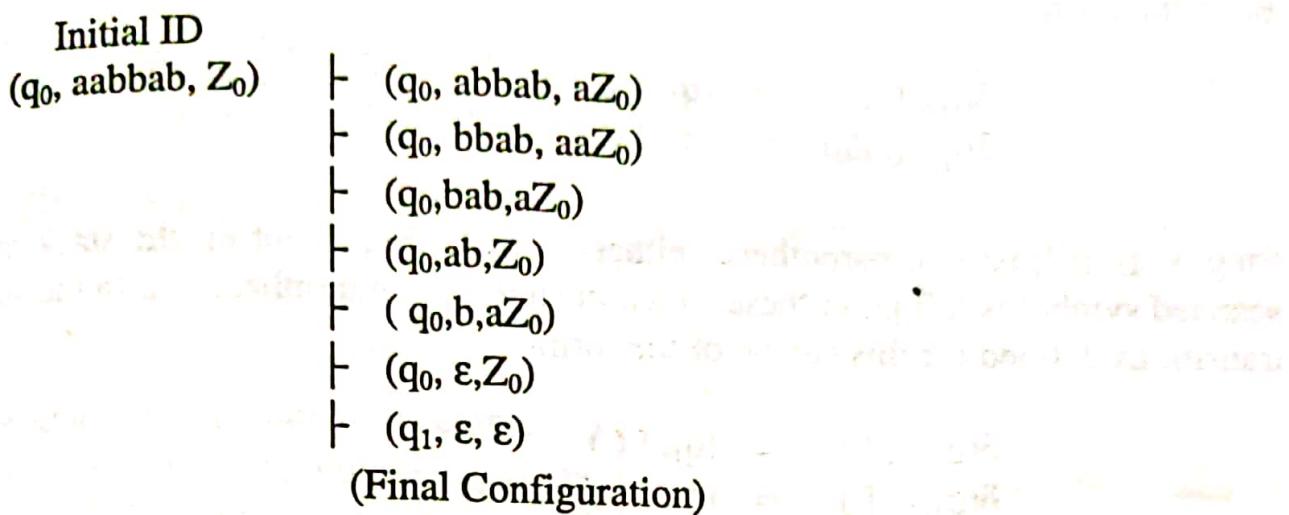
$$\delta(q_0, \epsilon, Z_0) = (q_1, \epsilon)$$

$q_0 \in Q$  is the start state of machine.

$Z_0 \in \Gamma$  is the initial symbol on the stack.

$F = \{\phi\}$ . Note that PDA is accepted by an empty stack.

The sequence of moves made by the PDA for the string aabbab by an empty stack is shown below.



Since the next input symbol to be scanned is  $\epsilon$  and the stack is empty, the string aabbab is accepted by an empty stack.

**Note:** A PDA accepting by a final state and by an empty stack are equivalent. They can be obtained from each other i.e., if we know a PDA by a final state, we can obtain a PDA by an empty stack and vice versa.

**Example 5.7:** Obtain a PDA to accept a string of balanced parentheses. The parentheses to be considered are (,), [, ]

**Note 1:** Some of the valid strings are:

$[( ) ( ) ([ ]) ], \epsilon, [ ] [ ] ()$

and invalid strings are:

$[ ) ( ) [ ], ) (, [ ]$

**Note 2:**  $\epsilon$  (null string) is valid

**Note 3:** Left parentheses can either be '(' or '[' and right parentheses can either be ')' or ']'.

**Step 1:** Let  $q_0$  be the start state and  $Z_0$  be the initial symbol on the stack. The state  $q_0$  itself is the final state accepting  $\epsilon$  (an empty string).

## 5.22 □ Pushdown Automata

**Step 2:** In state  $q_0$ , if the first scanned parentheses is '(' or '[', push the scanned symbol on to the stack and change the state to  $q_1$ . The transitions defined for this can be of the form

$$\begin{aligned}\delta(q_0, (, Z_0) &= (q_1, (Z_0)) \\ \delta(q_0, [, Z_0) &= (q_1, [Z_0])\end{aligned}$$

**Step 3:** If at least one parentheses either '(' or '[' is present on the stack and if the scanned symbol is left parentheses, then push the left parentheses on to the stack. The transitions defined for this can be of the form

$$\begin{aligned}\delta(q_1, (, ()) &= (q_1, (( )) \\ \delta(q_1, (, [) &= (q_1, ([ )) \\ \delta(q_1, [, ()) &= (q_1, [(( )) \\ \delta(q_1, [, [) &= (q_1, [[ ))\end{aligned}$$

**Step 4:** If the scanned symbol is ')' and if the top of the stack is '(' pop an element from the stack. Similarly, if the scanned symbol is ']' and if the top of the stack is '[' pop an element from the stack. The transitions defined for this can be of the form

$$\begin{aligned}\delta(q_1, ), () &= (q_1, \epsilon) \\ \delta(q_1, ], [) &= (q_1, \epsilon)\end{aligned}$$

**Step 5:** When top of the stack is  $Z_0$ , it indicates that so far all the parentheses have been matched. At this point, on  $\epsilon$ -transition, the PDA enters into state  $q_0$  and all the steps from step 1 are repeated. The transition for this can be of the form

$$\delta(q_1, \epsilon, Z_0) = (q_0, Z_0)$$

So, the PDA to accept the language consisting of balanced parentheses is given by

$$M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

where

$$Q = \{q_0, q_1\}$$

$$\Sigma = \{(), [], []\}$$

$$\Gamma = \{(), [], Z_0\}$$

$\delta$  : is shown below.

$$\begin{aligned}
 \delta(q_0, (, Z_0) &= (q_1, (Z_0)) \\
 \delta(q_0, [, Z_0) &= (q_1, [Z_0]) \\
 \delta(q_1, (, ()) &= (q_1, (())) \\
 \delta(q_1, (, []) &= (q_1, ([])) \\
 \delta(q_1, [, ()) &= (q_1, [(])) \\
 \delta(q_1, [, []]) &= (q_1, [[]]) \\
 \delta(q_1, ), () &= (q_1, \epsilon) \\
 \delta(q_1, ], [) &= (q_1, \epsilon) \\
 \delta(q_1, \epsilon, Z_0) &= (q_0, Z_0)
 \end{aligned}$$

$q_0 \in Q$  is the start state of machine.

$Z_0 \in \Gamma$  is the initial symbol on the stack.

$F = \{q_0\}$ . Note that even  $\epsilon$  is accepted by PDA and is valid.

The sequence of moves made by the PDA for the string  $((())( [ ]) )$  is shown below.

Initial ID

$(q_0, ((())( [ ]) ), Z_0)$

$$\begin{aligned}
 &\vdash (q_1, ((())( [ ]) ), [Z_0]) \\
 &\vdash (q_1, )(( [ ]) ), ([Z_0]) \\
 &\vdash (q_1, ( ( [ ]) ), [Z_0]) \\
 &\vdash (q_1, ( [ ]) ), ([Z_0]) \\
 &\vdash (q_1, ( [ ]) ), [Z_0]) \\
 &\vdash (q_1, [ ] ), ([Z_0]) \\
 &\vdash (q_1, ] ), ([ [Z_0]) \\
 &\vdash (q_1, ) ], ([Z_0]) \\
 &\vdash (q_1, ] ), [Z_0]) \\
 &\vdash (q_1, \epsilon, Z_0) \\
 &\vdash (q_0, \epsilon, Z_0)
 \end{aligned}$$

(Final Configuration)

Since the next input symbol to be scanned is  $\epsilon$  and the stack is empty, the string  $((())( [ ]) )$  is accepted by the PDA.

**Example 5.8:** Obtain a PDA to accept the language  $L = \{w \mid w \in (a, b)^* \text{ and } n_a(w) > n_b(w)\}$

## 5.24 □ Pushdown Automata

**Note:** The solution is similar to that of the problem discussed in example 5.5 in which we are accepting strings of  $a$ 's and  $b$ 's of equal numbers. When we encounter end of the input i.e.,  $\epsilon$  and top of the stack is  $Z_0$ , it has equal number of  $a$ 's and  $b$ 's. But, what we want is a machine to accept more number of  $a$ 's than  $b$ 's. For this, only change to be made is that when we encounter  $\epsilon$  (i.e., end of the input), if top of the stack contains at least one  $a$ , then change the final state to  $q_1$  and do not alter the contents of the stack. The transition defined remains same as problem shown in example 5.5, except the last transition. The last transition is of the form

$$\delta(q_0, \epsilon, a) = (q_1, a)$$

So, the PDA to accept the language

$$L = \{w \mid n_a(w) > n_b(w)\}$$

is given by

$$M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

where

$$Q = \{q_0, q_1\}$$

$$\Sigma = \{a, b\}$$

$$\Gamma = \{a, b, Z_0\}$$

$\delta$  : is shown below.

$$\delta(q_0, a, Z_0) = (q_0, aZ_0)$$

$$\delta(q_0, b, Z_0) = (q_0, bZ_0)$$

$$\delta(q_0, a, a) = (q_0, aa)$$

$$\delta(q_0, b, b) = (q_0, bb)$$

$$\delta(q_0, a, b) = (q_0, \epsilon)$$

$$\delta(q_0, b, a) = (q_0, \epsilon)$$

$$\delta(q_0, \epsilon, a) = (q_1, a)$$

$q_0 \in Q$  is the start state of machine.

$Z_0 \in \Gamma$  is the initial symbol on the stack.

$F = \{q_1\}$  is the final state.

**Note:** On similar lines we can find a PDA to accept the language

$$L = \{w \mid w \in (a,b)^* \text{ and } n_a(w) < n_b(w)\}$$

i.e., strings of  $a$ 's and  $b$ 's where number of  $b$ 's are more than number of  $a$ 's. To achieve this only change to be made in the above machine is change the final transition

$$\delta(q_0, \epsilon, a) = (q_1, a)$$

to

$$\delta(q_0, \epsilon, b) = (q_1, b)$$

So, the PDA to accept the language

$$L = \{w \mid w \in (a,b)^* \text{ and } n_a(w) < n_b(w)\}$$

is given by

$$M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

where

$$Q = \{q_0, q_1\}$$

$$\Sigma = \{a, b\}$$

$$\Gamma = \{a, b, Z_0\}$$

$\delta$  : is shown below.

$$\delta(q_0, a, Z_0) = (q_0, aZ_0)$$

$$\delta(q_0, b, Z_0) = (q_0, bZ_0)$$

$$\delta(q_0, a, a) = (q_0, aa)$$

$$\delta(q_0, b, b) = (q_0, bb)$$

$$\delta(q_0, a, b) = (q_0, \epsilon)$$

$$\delta(q_0, b, a) = (q_0, \epsilon)$$

$$\delta(q_0, \epsilon, b) = (q_1, b)$$

$q_0 \in Q$  is the start state of machine.

$Z_0 \in \Gamma$  is the initial symbol on the stack.

$F = \{q_1\}$  is the final state.

**Example 5.9:** Obtain a PDA to accept the language  $L = \{a^n b^{2n} \mid n \geq 1\}$

**Note:** The machine should accept  $n$  number of  $a$ 's followed by  $2n$  number of  $b$ 's.

**General Procedure** Since  $n$  number of  $a$ 's should be followed by  $2n$  number of  $b$ 's, for each  $a$  in the input, push two  $a$ 's on to the stack. Once we encounter  $b$ 's, we should see that for each  $b$  in the input, there should be corresponding  $a$  on the stack.

## 5.26 □ Pushdown Automata

When the input pointer reaches the end of the string, the stack should be empty. If stack is empty, it indicates that the string scanned has  $n$  number of  $a$ 's followed by  $2n$  number of  $b$ 's.

**Step 1:** Let  $q_0$  be the start state and  $Z_0$  be the initial symbol on the stack. For each scanned input symbol  $a$ , push two  $a$ 's on to the stack. The transitions defined for this can be of the form

$$\begin{aligned}\delta(q_0, a, Z_0) &= (q_0, aaZ_0) \\ \delta(q_0, a, a) &= (q_0, aaa)\end{aligned}$$

**Step 2:** In state  $q_0$ , if the next input symbol to be scanned is  $b$  and if the top of the stack is  $a$ , change the state to  $q_1$  and delete one  $b$  from the stack. The transition for this can be of the form

$$\delta(q_0, b, a) = (q_1, \epsilon)$$

**Step 3:** Once the machine is in state  $q_1$ , the rest of the symbols to be scanned will be only  $b$ 's and for each  $b$  there should be corresponding symbol  $a$  on the stack. So, as the scanned input symbol is  $b$  and if there is a matching  $a$  on the stack, remain in  $q_1$  and delete the corresponding  $a$  from the stack. The transitions defined for this can be of the form

$$\delta(q_1, b, a) = (q_1, \epsilon)$$

**Step 4:** In state  $q_1$ , if the next input symbol to be scanned is  $\epsilon$  and if the top of the stack is  $Z_0$ , (it means that for each  $b$  in the input there exists corresponding  $a$  on the stack) change the state to  $q_2$  which is an accepting state. The transition defined for this can be of the form

$$\delta(q_1, \epsilon, Z_0) = (q_2, \epsilon)$$

So, the PDA to accept the language

is given by  $L = \{a^n b^{2n} \mid n \geq 1\}$

where  $M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{a, b\}$$

$$\Gamma = \{a, Z_0\}$$

$\delta$  : is shown below.

$$\begin{aligned}
 \delta(q_0, a, Z_0) &= (q_0, aaZ_0) \\
 \delta(q_0, a, a) &= (q_0, aaa) \\
 \delta(q_0, b, a) &= (q_1, \epsilon) \\
 \delta(q_1, b, a) &= (q_1, \epsilon) \\
 \delta(q_1, \epsilon, Z_0) &= (q_2, \epsilon)
 \end{aligned}$$

$q_0 \in Q$  is the start state of machine.

$Z_0 \in \Gamma$  is the initial symbol on the stack.

$F = \{q_2\}$  is the final state.

**To accept the string:** The sequence of moves made by the PDA for the string aabbba is shown below.

Initial ID

$$\begin{aligned}
 (q_0, aabbba, Z_0) \quad \vdash & (q_0, abbbb, aaZ_0) \\
 \vdash & (q_0, bbbb, aaaaZ_0) \\
 \vdash & (q_0, bbb, aaaZ_0) \\
 \vdash & (q_1, bb, aaZ_0) \\
 \vdash & (q_1, b, aZ_0) \\
 \vdash & (q_1, \epsilon, Z_0) \\
 \vdash & (q_2, \epsilon, Z_0)
 \end{aligned}$$

(Final Configuration)

Since  $q_2$  is the final state and input string is  $\epsilon$  in the final configuration, the string

aabbba

is accepted by the PDA.

**To reject the string:** The sequence of moves made by the PDA for the string aabbb is shown below.

Initial ID

$$\begin{aligned}
 (q_0, aabbb, Z_0) \quad \vdash & (q_0, abbb, aaZ_0) \\
 \vdash & (q_0, bbb, aaaaZ_0) \\
 \vdash & (q_0, bb, aaaZ_0) \\
 \vdash & (q_0, b, aaZ_0) \\
 \vdash & (q_0, \epsilon, aZ_0)
 \end{aligned}$$

(Final Configuration)

## 5.28 □ Pushdown Automata

Since the transition  $\delta(q_0, \epsilon, a)$  is not defined, the string  
aabbb

is rejected by the PDA.

**Example 5.10:**  
by a final state

It is clear from the language  $L(M) = \{ww^R\}$  that if  
 $w = abb$

then reverse of w denoted by  $w^R$  will be  
 $w^R = bba$

and the language L will be  $ww^R$  i.e.,  
 $abbbba$

which is a string of palindrome.

So, we have to construct a PDA which accepts a palindrome consisting of a's and b's. This problem is similar to the problem discussed in example 5.3. Only difference is that in example 5.3, an extra symbol C acts as a pointer to the middle string. But, here there is no way to find the mid point for the string.

**General Procedure:** To check for the palindrome, let us push all scanned symbols onto the stack till we encounter the mid point (Remember that there is no way to find the midpoint). Once we pass the middle string, to be a palindrome, for each scanned input symbol, there should be a corresponding symbol (same as input symbol) on the stack. Finally, if there is no input and stack is empty, we say that the given string is a palindrome.

**Step1:** Let  $q_0$  be the initial state and  $Z_0$  be the initial symbol on the stack. In state  $q_0$  and when top of the stack is  $Z_0$ , whether the input symbol is  $a$  or  $b$  push it on to the stack, and remain in  $q_0$ . The transitions defined for this can be of the form

$$\begin{aligned}\delta(q_0, a, Z_0) &= (q_0, aZ_0) \\ \delta(q_0, b, Z_0) &= (q_0, bZ_0)\end{aligned}$$

**Note:** In state  $q_0$ , if we encounter  $\epsilon$ , the empty string has to be accepted and we should be in a position to reach the final state and so, we may have one more transition of the form

$$\delta(q_0, \epsilon, Z_0) = (q_1, Z_0)$$

Once the first scanned input symbol is pushed on to the stack, the stack may contain either  $a$  or  $b$ . Now, in state  $q_0$ , the input symbol can be either  $a$  or  $b$ . Note that irrespective of what is the input or what is there on the stack, we have to keep pushing all the symbols on to the stack, till we encounter midpoint (But, there is no way to find mid point). We continue this process till we encounter mid point through our common sense).

So, the transitions defined for this can be of the form

$$\begin{aligned}\delta(q_0, a, a) &= (q_0, aa) \\ \delta(q_0, b, a) &= (q_0, ba) \\ \delta(q_0, a, b) &= (q_0, ab) \\ \delta(q_0, b, b) &= (q_0, bb)\end{aligned}$$

**Step 2:** Now, once we reach the midpoint, the top of the stack may be  $a$  or  $b$ . To be a palindrome, for each input symbol there should be a corresponding symbol (same as input symbol) on the stack. So, whenever the input symbol is same as symbol on the stack, change the state to  $q_1$  and delete that symbol from the stack. The transitions defined for this can be of the form

$$\begin{aligned}\delta(q_0, a, a) &= (q_1, \epsilon) \\ \delta(q_0, b, b) &= (q_1, \epsilon)\end{aligned}$$

**Step 3:** Now, once we are in state  $q_1$ , it means that we have passed the mid point. Now, the top of the stack may be  $a$  or  $b$ . To be a palindrome, for each input symbol, there should be a corresponding symbol (same as input symbol) on the stack. So, whenever the input symbol is same as symbol on the stack, remain in state  $q_1$  and delete that symbol from the stack. The transitions defined for this can be of the form

$$\begin{aligned}\delta(q_1, a, a) &= (q_1, \epsilon) \\ \delta(q_1, b, b) &= (q_1, \epsilon)\end{aligned}$$

**Step 4:** Finally, in state  $q_1$ , if the string is a palindrome, there is no input symbol to be scanned and the stack should be empty i.e., the stack should contain  $Z_0$ . Now, change the state to  $q_2$  and do not alter the contents of the stack. The transition for this can be of the form

$$\delta(q_1, \epsilon, Z_0) = (q_2, Z_0)$$

### 5.30 □ Pushdown Automata

So, the PDA M to accept the language  
 $L(M) = \{ww^R \mid w \in (a,b)^*\}$

is given by  $M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$

where

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{a, b\}$$

$$\Gamma = \{a, b, Z_0\}$$

$\delta$  : is shown below.

$$\begin{aligned}
 \delta(q_0, \epsilon, Z_0) &= (q_1, Z_0) \\
 \delta(q_0, a, Z_0) &= (q_0, aZ_0) \\
 \delta(q_0, b, Z_0) &= (q_0, bZ_0) \\
 3 \quad \delta(q_0, a, a) &= (q_0, aa) \\
 \delta(q_0, b, a) &= (q_0, ba) \\
 \delta(q_0, a, b) &= (q_0, ab) \\
 6 \quad \delta(q_0, b, b) &= (q_0, bb) \\
 7 \quad \delta(q_0, a, a) &= (q_1, \epsilon) \\
 8 \quad \delta(q_0, b, b) &= (q_1, \epsilon) \\
 \delta(q_1, a, a) &= (q_1, \epsilon) \\
 \delta(q_1, b, b) &= (q_1, \epsilon) \\
 \delta(q_1, \epsilon, Z_0) &= (q_2, Z_0)
 \end{aligned}$$

$q_0 \in Q$  is the start state of machine.

$Z_0 \in \Gamma$  is the initial symbol on the stack.

$F = \{q_2\}$  is the final state.

Note that the transitions numbered 3 and 7, 6 and 8 can be combined and the transitions can be written as shown below also.

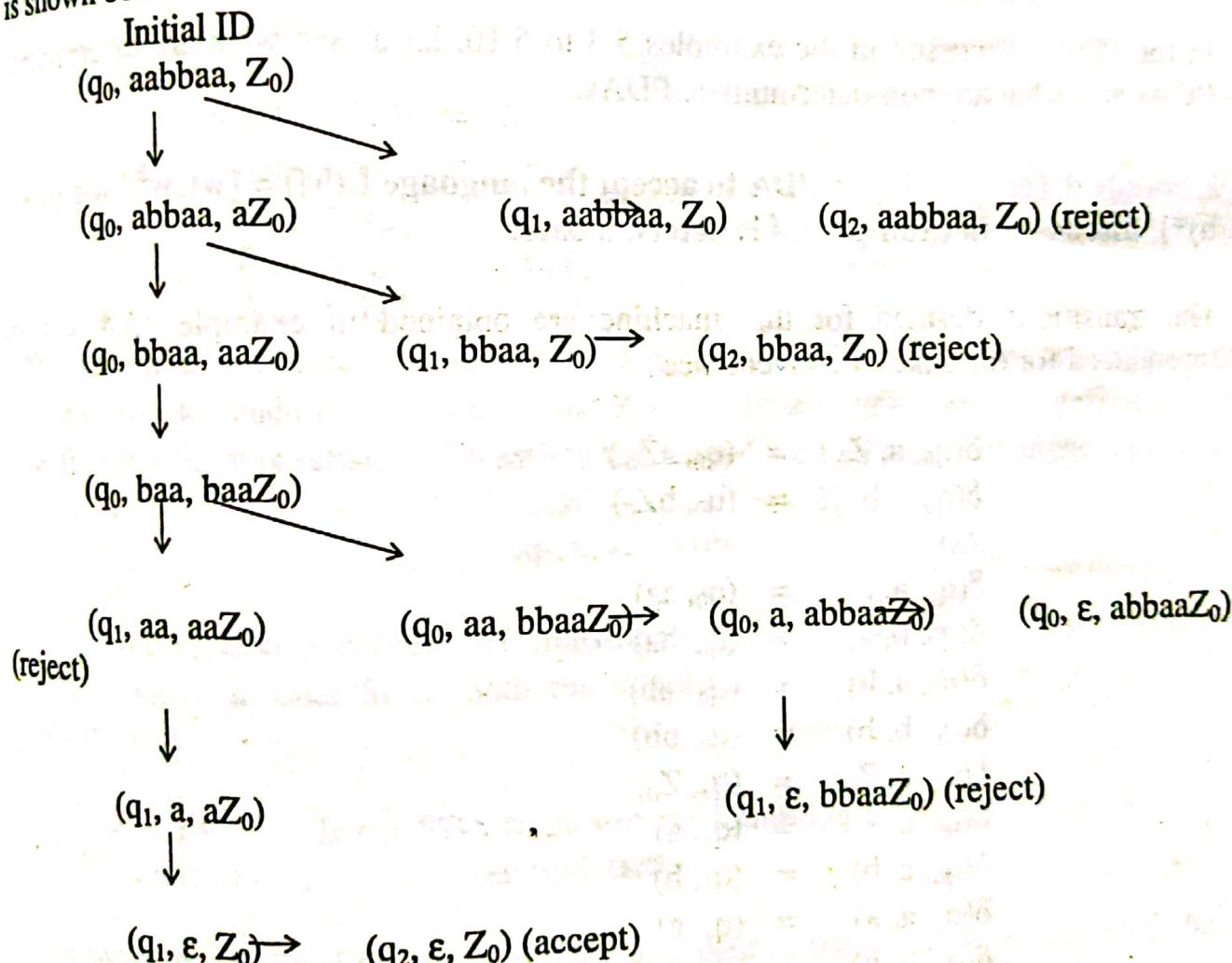
$$\begin{aligned}
 \delta(q_0, \epsilon, Z_0) &= (q_1, Z_0) \\
 \delta(q_0, a, Z_0) &= (q_0, aZ_0) \\
 \delta(q_0, b, Z_0) &= (q_0, bZ_0) \\
 \delta(q_0, a, a) &= \{(q_0, aa), (q_1, \epsilon)\} \\
 \delta(q_0, b, a) &= (q_0, ba) \\
 \delta(q_0, a, b) &= (q_0, ab) \\
 \delta(q_0, b, b) &= \{(q_0, bb), (q_1, \epsilon)\} \\
 \delta(q_1, a, a) &= (q_1, \epsilon) \\
 \delta(q_1, b, b) &= (q_1, \epsilon) \\
 \delta(q_1, \epsilon, Z_0) &= (q_2, Z_0)
 \end{aligned}$$

Note that once the following transitions are applied

$$\begin{aligned}\delta(q_0, a, a) &= \{ (q_0, aa), (q_1, \epsilon) \} \\ \delta(q_0, b, b) &= \{ (q_0, bb), (q_1, \epsilon) \}\end{aligned}$$

if the input symbol is same as the symbol on top of the stack, the machine may push the current symbol on to the stack, or it may pop an element from the stack. At this point, the machine makes appropriate decision so that if the string is a palindrome, it has to accept. This machine is clearly a non-deterministic PDA (in short we call NPDA).

**To accept the string:** The sequence of moves made by the PDA for the string aabbaa is shown below.



Since  $q_2$  is the final state and input string is  $\epsilon$  in the final configuration, the string aabbaa is accepted by the PDA.

### 5.6 Deterministic and Non-deterministic PDA

In the example 5.10, we have seen that there can be multiple transitions defined from a state. The PDA defined in example 5.10, is clearly a non-deterministic PDA. Now, let us see the difference between *deterministic PDA* and *non-deterministic PDA*.

**Definition:** Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$  be a PDA. The PDA is deterministic if

1.  $\delta(q, a, Z)$  has only one element.
2. If  $\delta(q, \epsilon, Z)$  is not empty, then  $\delta(q, a, Z)$  should be empty.

Both the conditions should be satisfied for the PDA to be deterministic. If one of the conditions fails, the PDA is non-deterministic.

In the PDAs discussed in the examples 5.3 to 5.10, let us see what are deterministic PDAs and what are non-deterministic PDAs.

**Example 5.11:** Is the PDA to accept the language  $L(M) = \{wCw^R \mid w \in (a+b)^*\}$  discussed in example 5.4 is deterministic?

The transitions defined for this machine are obtained in example 5.4 and are reproduced for the sake of convenience.

$$\begin{aligned}
 \delta(q_0, a, Z_0) &= (q_0, aZ_0) \\
 \delta(q_0, b, Z_0) &= (q_0, bZ_0) \\
 \delta(q_0, a, a) &= (q_0, aa) \\
 \delta(q_0, b, a) &= (q_0, ba) \\
 \delta(q_0, a, b) &= (q_0, ab) \\
 \delta(q_0, b, b) &= (q_0, bb) \\
 \delta(q_0, c, Z_0) &= (q_1, Z_0) \\
 \delta(q_0, c, a) &= (q_1, a) \\
 \delta(q_0, c, b) &= (q_1, b) \\
 \delta(q_1, a, a) &= (q_1, \epsilon) \\
 \delta(q_1, b, b) &= (q_1, \epsilon) \\
 \delta(q_1, \epsilon, Z_0) &= (q_2, Z_0)
 \end{aligned}$$

The PDA should satisfy the two conditions shown in the definition to be deterministic.

1.  $\delta(q, a, Z)$  has only one element: Note that in the transitions, for each  $q \in Q$ ,  $a \in \Sigma$  and  $Z \in \Gamma$ , there is only one component defined and the first condition is satisfied.
2. The second condition states that if  $\delta(q, \epsilon, Z)$  is not empty, then  $\delta(q, a, Z)$  should be empty i.e., If there is an  $\epsilon$ -transition, (in this case it is  $\delta(q_1, \epsilon, Z_0)$ ), then there should not be any transition from the state  $q_1$  when top of the stack is  $Z_0$  which is true.

Since, the PDA satisfies both the conditions, the PDA is deterministic.

**Example 5.12:** Is the PDA corresponding to the language  $L = \{a^n b^n \mid n \geq 1\}$  by a final state is deterministic?

The transitions defined for this machine discussed in the example 5.5 are reproduced here for the sake of convenience.

$$\delta(q_0, a, Z_0) = (q_0, aZ_0)$$

$$\delta(q_0, a, a) = (q_0, aa)$$

$$\delta(q_0, b, a) = (q_1, \epsilon)$$

$$\delta(q_1, b, a) = (q_1, \epsilon)$$

$$\delta(q_1, \epsilon, Z_0) = (q_2, \epsilon)$$

The first condition to be deterministic is  $\delta(q, a, Z)$  should have only one component. In this case, for each  $q \in Q$ ,  $a \in \Sigma$  and  $Z \in \Gamma$ , there exists only one definition. So, the first condition is satisfied. To satisfy the second condition, consider the transition

$$\delta(q_1, \epsilon, Z_0) = (q_2, \epsilon)$$

Since the transition is defined, the transition  $\delta(q_1, a, Z_0)$  where  $a \in \Sigma$  should not be defined which is true. Since both the conditions are satisfied, the given PDA is deterministic.

**Example 5.13:** Is the PDA to accept the language  $L(M) = \{w \mid w \in (a+b)^*\text{ and }n_a(w) = n_b(w)\}$  is deterministic?

The transitions defined for this machine discussed in the example 5.6 are reproduced here for the sake of convenience.

$$\delta(q_0, a, Z_0) = (q_0, aZ_0)$$

$$\delta(q_0, b, Z_0) = (q_0, bZ_0)$$

$$\delta(q_0, a, a) = (q_0, aa)$$

## 5.34 □ Pushdown Automata

$$\begin{aligned}\delta(q_0, b, b) &= (q_0, bb) \\ \delta(q_0, a, b) &= (q_0, \epsilon) \\ \delta(q_0, b, a) &= (q_0, \epsilon) \\ \delta(q_0, \epsilon, Z_0) &= (q_1, Z_0)\end{aligned}$$

The first condition to be deterministic is  $\delta(q, a, Z)$  should have only one component. In this case, for each  $q \in Q$ ,  $a \in \Sigma$  and  $Z \in \Gamma$ , there exists only one component. So, the first condition is satisfied. To satisfy the second condition, consider the transition

$$\delta(q_0, \epsilon, Z_0) = (q_1, Z_0)$$

Since this transition is defined, the transition  $\delta(q_0, a, Z_0)$  where  $a \in \Sigma$  should not be defined. But, there are two transitions

$$\begin{aligned}\delta(q_0, a, Z_0) &= (q_0, aZ_0) \\ \delta(q_0, b, Z_0) &= (q_0, bZ_0)\end{aligned}$$

defined from  $q_0$  when top of the stack is  $Z_0$ . Since the second condition is not satisfied, the given PDA is *non-deterministic PDA*.

**Example 5.14:** Is the PDA to accept the language consisting of balanced parentheses is deterministic?

The transitions defined for this machine discussed in the example 5.7 are reproduced here for the sake of convenience.

$$\begin{aligned}\delta(q_0, (, Z_0) &= (q_1, (Z_0) \\ \delta(q_0, [, Z_0) &= (q_1, [Z_0) \\ \delta(q_1, (, ()) &= (q_1, (( )) \\ \delta(q_1, (, []) &= (q_1, ([ ]) \\ \delta(q_1, [, () &= (q_1, [ ( ) \\ \delta(q_1, [, [] &= (q_1, [ [ ]) \\ \delta(q_1, ), ( ) &= (q_1, \epsilon) \\ \delta(q_1, ], [ ) &= (q_1, \epsilon) \\ \delta(q_1, \epsilon, Z_0) &= (q_0, Z_0)\end{aligned}$$

The first condition to be deterministic is  $\delta(q, a, Z)$  should have only one component. In this case, for each  $q \in Q$ ,  $a \in \Sigma$  and  $Z \in \Gamma$ , there exists only one component. So, the first condition is satisfied. To satisfy the second condition, consider the transition

$$\delta(q_1, \epsilon, Z_0) = q_0, Z_0$$

Since this transition is defined, the transition  $\delta(q_1, a, Z_0)$  where  $a \in \Sigma$  should not be defined which is true. Since both the conditions are satisfied, the given PDA is deterministic.

**Example 5.15:** Is the PDA to accept the language  $L = \{w \mid w \in (a, b)^* \text{ and } n_a(w) > n_b(w)\}$  is deterministic?

The transitions defined for this machine discussed in the example 5.8 are reproduced here for the sake of convenience.

$$\begin{aligned}\delta(q_0, a, Z_0) &= (q_0, aZ_0) \\ \delta(q_0, b, Z_0) &= (q_0, bZ_0) \\ \delta(q_0, a, a) &= (q_0, aa) \\ \delta(q_0, b, b) &= (q_0, bb) \\ \delta(q_0, a, b) &= (q_0, \epsilon) \\ \delta(q_0, b, a) &= (q_0, \epsilon) \\ \delta(q_0, \epsilon, a) &= (q_1, a)\end{aligned}$$

The first condition to be deterministic is  $\delta(q, a, Z)$  should have only one component. In this case, for each  $q \in Q$ ,  $a \in \Sigma$  and  $Z \in \Gamma$ , there exists only one component. So, the first condition is satisfied. To satisfy the second condition, consider the transition

$$\delta(q_0, \epsilon, a) = (q_1, a)$$

Since this transition is defined, the transition  $\delta(q_0, f, a)$  where  $f \in \Sigma$  should not be defined. But, there are two transitions

$$\begin{aligned}\delta(q_0, a, a) &= (q_0, aa) \\ \delta(q_0, b, a) &= (q_0, \epsilon)\end{aligned}$$

defined from  $q_0$  when top of the stack is  $a$ . Since the second condition is not satisfied, the given PDA is *non-deterministic* PDA.

**Example 5.16:** Is the PDA to accept the language  $L = \{a^n b^{2n} \mid n \geq 1\}$  is deterministic?

### 5.36 □ Pushdown Automata

The transitions defined for this machine discussed in the example 5.9 are reproduced here for the sake of convenience.

$$\begin{aligned}\delta(q_0, a, Z_0) &= (q_0, aaZ_0) \\ \delta(q_0, a, a) &= (q_0, aaa) \\ \delta(q_0, b, a) &= (q_1, \epsilon) \\ \delta(q_1, b, a) &= (q_1, \epsilon) \\ \delta(q_1, \epsilon, Z_0) &= (q_2, \epsilon)\end{aligned}$$

The first condition to be deterministic is  $\delta(q, a, Z)$  should have only one component. In this case, for each  $q \in Q$ ,  $a \in \Sigma$  and  $Z \in \Gamma$ , there exists only one definition. So, the first condition is satisfied. To satisfy the second condition, consider the transition

$$\delta(q_1, \epsilon, Z_0) = (q_2, \epsilon)$$

Since the transition is defined, the transition  $\delta(q_1, a, Z_0)$  where  $a \in \Sigma$  should not be defined which is true. Since both the conditions are satisfied, the given PDA is deterministic.

**Example 5.17:** Is the PDA to accept the language  $L = \{ww^R \mid w \in (a+b)^*\}$  is deterministic?

The transitions defined for this machine discussed in the example 5.10 are reproduced here for the sake of convenience.

$$\begin{aligned}\delta(q_0, a, Z_0) &= (q_0, aZ_0) \\ \delta(q_0, b, Z_0) &= (q_0, bZ_0) \\ \delta(q_0, a, a) &= (q_0, aa), (q_1, \epsilon) \\ \delta(q_0, b, a) &= (q_0, ba) \\ \delta(q_0, a, b) &= (q_0, ab) \\ \delta(q_0, b, b) &= (q_0, bb), (q_1, \epsilon) \\ \delta(q_1, a, a) &= (q_1, \epsilon) \\ \delta(q_1, b, b) &= (q_1, \epsilon) \\ \delta(q_1, \epsilon, Z_0) &= (q_2, Z_0)\end{aligned}$$

The first condition to be deterministic is  $\delta(q, a, Z)$  should have only one component. But, there are two transitions each having two components viz.,

## Finite Automata and Formal languages – A simple approach 5.37

$$\delta(q_0, a, a) = (q_0, aa), (q_1, \epsilon)$$

$$\delta(q_0, b, b) = (q_0, bb), (q_1, \epsilon)$$

So, the first condition fails. To be deterministic, both the conditions shown in the definition should be satisfied. Since one of the conditions is not met, the PDA is *non-deterministic*.

### **Exercises:**

1. What are the demerits of regular languages when compared to context free languages?
2. What are the demerits of DFA (or NFA) when compared with PDA?
3. Why FAs are less powerful than the PDAs?
4. What is the difference between NFA and PDA?
5. What is a PDA? Explain with an example.
6. What does each of the following transitions represent?
  - a.  $\delta(p, a, Z) = (q, aZ)$
  - b.  $\delta(p, a, Z) = (q, \epsilon)$
  - c.  $\delta(p, a, Z) = (q, r)$
  - d.  $\delta(p, \epsilon, Z) = (q, r)$
  - e.  $\delta(p, \epsilon, \epsilon) = (q, Z)$
  - f.  $\delta(p, \epsilon, Z) = (q, \epsilon)$
7. How the transition / move of a PDA defined?
8. What is an instantaneous description? Explain with respect to PDA.
9. When a language is accepted by a final state and when a language is accepted by an empty stack?

## Finite Automata and Formal languages – A simple approach 5.49

10. Obtain a PDA to accept the language  $L(M) = \{wCw^R \mid w \in (a+b)^*\}$  where  $w^R$  is reverse of  $w$ . Show the sequence of moves made by the PDA for the strings  $aabCbaa, aabCbab$ .
11. Obtain a PDA to accept the language  $L = \{a^n b^n \mid n \geq 1\}$  by a final state.
12. Obtain a PDA to accept the language  $L(M) = \{w \mid w \in (a+b)^* \text{ and } n_a(w) = n_b(w)\}$  i.e., number of a's in string  $w$  should be equal to number of b's in  $w$ .
13. Obtain a PDA to accept a string of balanced parentheses. The parentheses to be considered are (, ), [ , ], { and }.
14. Obtain a PDA to accept the language  $L = \{w \mid w \in (a, b)^* \text{ and } n_a(w) > n_b(w)\}$
15. Obtain a PDA to accept the language  $L = \{a^n b^{2n} \mid n \geq 1\}$
16. Obtain a PDA to accept the language  $L = \{ww^R \mid w \in (a+b)^*\}$
17. When the PDA is deterministic and when it is called non-deterministic?
18. Is the PDA to accept the language  $L(M) = \{wCw^R \mid w \in (a+b)^*\}$  is deterministic?
19. Is the PDA corresponding to the language  $L = \{a^n b^n \mid n \geq 1\}$  by a final state is deterministic?
20. Is the PDA to accept the language  $L(M) = \{w \mid w \in (a+b)^* \text{ and } n_a(w) = n_b(w)\}$  is deterministic?
21. Is the PDA to accept the language consisting of balanced parentheses is deterministic?
22. Is the PDA to accept the language  $L = \{w \mid w \in (a, b)^* \text{ and } n_a(w) > n_b(w)\}$  is deterministic?
23. Is the PDA to accept the language  $L = \{a^n b^{2n} \mid n \geq 1\}$  is deterministic?
24. Is the PDA to accept the language  $L = \{ww^R \mid w \in (a+b)^*\}$  is deterministic?

### 6.2.3 From Empty Stack to Final State

We shall show that the classes of languages that are  $L(P)$  for some PDA  $P$  is the same as the class of languages that are  $N(P)$  for some PDA  $P$ . This class is also exactly the context-free languages, as we shall see in Section 6.3. Our first construction shows how to take a PDA  $P_N$  that accepts a language  $L$  by empty stack and construct a PDA  $P_F$  that accepts  $L$  by final state.

**Theorem 6.9:** If  $L = N(P_N)$  for some PDA  $P_N = (Q, \Sigma, \Gamma, \delta_N, q_0, Z_0)$ , then there is a PDA  $P_F$  such that  $L = L(P_F)$ .

**PROOF:** The idea behind the proof is in Fig. 6.4. We use a new symbol  $X_0$  which must not be a symbol of  $\Gamma$ ;  $X_0$  is both the start symbol of  $P_F$  and a marker on the bottom of the stack that lets us know when  $P_N$  has reached an empty stack. That is, if  $P_F$  sees  $X_0$  on top of its stack, then it knows that  $P_N$  would empty its stack on the same input.

We also need a new start state  $p_0$  whose sole function is to push  $Z_0$ , the start symbol of  $P_N$ , onto the top of the stack and enter state  $q_0$  the start state of  $P_N$ . Then,  $P_F$  simulates  $P_N$ , until the stack of  $P_N$  is empty, which  $P_F$  detects because

<sup>2</sup>The  $N$  in  $N(P)$  stands for "null stack," a synonym for "empty stack."

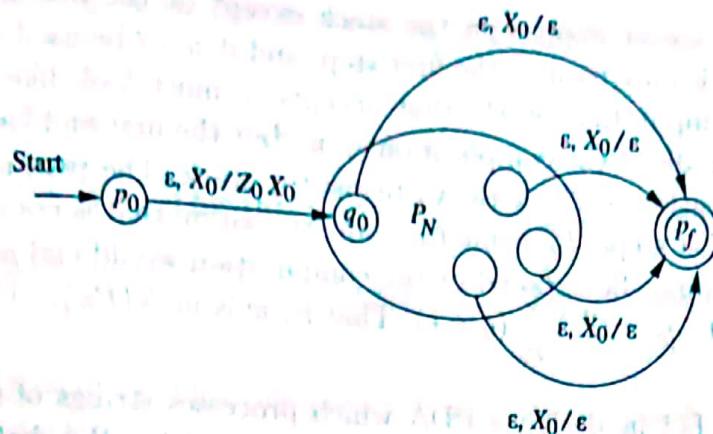


Figure 6.4:  $P_F$  simulates  $P_N$  and accepts if  $P_N$  empties its stack

it sees  $X_0$  on the top of the stack. Finally, we need another new state,  $p_f$ , which is the accepting state of  $P_F$ ; this PDA transfers to state  $p_f$  whenever it discovers that  $P_N$  would have emptied its stack.

The specification of  $P_F$  is as follows:

$$P_F = (Q \cup \{p_0, p_f\}, \Sigma, \Gamma \cup \{X_0\}, \delta_F, p_0, X_0, \{p_f\})$$

where  $\delta_F$  is defined by:

1.  $\delta_F(p_0, \epsilon, X_0) = \{(q_0, Z_0X_0)\}$ . In its start state,  $P_F$  makes a spontaneous transition to the start state of  $P_N$ , pushing its start symbol  $Z_0$  onto the stack.
2. For all states  $q$  in  $Q$ , inputs  $a$  in  $\Sigma$  or  $a = \epsilon$ , and stack symbols  $Y$  in  $\Gamma$ ,  $\delta_F(q, a, Y)$  contains all the pairs in  $\delta_N(q, a, Y)$ .
3. In addition to rule (2),  $\delta_F(q, \epsilon, X_0)$  contains  $(p_f, \epsilon)$  for every state  $q$  in  $Q$ .

We must show that  $w$  is in  $L(P_F)$  if and only if  $w$  is in  $N(P_N)$ .

(If) We are given that  $(q_0, w, Z_0) \xrightarrow{P_N}^* (q, \epsilon, \epsilon)$  for some state  $q$ . Theorem 6.5 lets us insert  $X_0$  at the bottom of the stack and conclude  $(q_0, w, Z_0X_0) \xrightarrow{P_N}^* (q, \epsilon, X_0)$ . Since by rule (2) above,  $P_F$  has all the moves of  $P_N$ , we may also conclude that  $(q_0, w, Z_0X_0) \xrightarrow{P_F}^* (q, \epsilon, X_0)$ . If we put this sequence of moves together with the initial and final moves from rules (1) and (3) above, we get:

$$(p_0, w, X_0) \xrightarrow{P_F} (q_0, w, Z_0X_0) \xrightarrow{P_F}^* (q, \epsilon, X_0) \xrightarrow{P_F} (p_f, \epsilon, \epsilon) \quad (6.1)$$

Thus,  $P_F$  accepts  $w$  by final state.

(Only-if) The converse requires only that we observe the additional transitions of rules (1) and (3) give us very limited ways to accept  $w$  by final state. We must use rule (3) at the last step, and we can only use that rule if the stack of  $P_F$  contains

only  $X_0$ . No  $X_0$ 's ever appear on the stack except at the bottommost position. Further, rule (1) is only used at the first step, and it *must* be used at the first step.

Thus, any computation of  $P_F$  that accepts  $w$  must look like sequence (6.1). Moreover, the middle of the computation — all but the first and last steps — must also be a computation of  $P_N$  with  $X_0$  below the stack. The reason is that, except for the first and last steps,  $P_F$  cannot use any transition that is not also a transition of  $P_N$ , and  $X_0$  cannot be exposed or the computation would end at the next step. We conclude that  $(q_0, w, Z_0) \xrightarrow{P_N}^* (q, \epsilon, \epsilon)$ . That is,  $w$  is in  $N(P_N)$ .  $\square$

## 6.2.4 From Final State to Empty Stack

Now, let us go in the opposite direction: take a PDA  $P_F$  that accepts a language  $L$  by final state and construct another PDA  $P_N$  that accepts  $L$  by empty stack. The construction is simple and is suggested in Fig. 6.7. From each accepting state of  $P_F$ , add a transition on  $\epsilon$  to a new state  $p$ . When in state  $p$ ,  $P_N$  pops its stack and does not consume any input. Thus, whenever  $P_F$  enters an accepting state after consuming input  $w$ ,  $P_N$  will empty its stack after consuming  $w$ .

To avoid simulating a situation where  $P_F$  accidentally empties its stack without accepting,  $P_N$  must also use a marker  $X_0$  on the bottom of its stack. The marker is  $P_N$ 's start symbol, and like the construction of Theorem 6.9,  $P_N$  must start in a new state  $p_0$ , whose sole function is to push the start symbol of  $P_F$  on the stack and go to the start state of  $P_F$ . The construction is sketched in Fig. 6.7, and we give it formally in the next theorem.

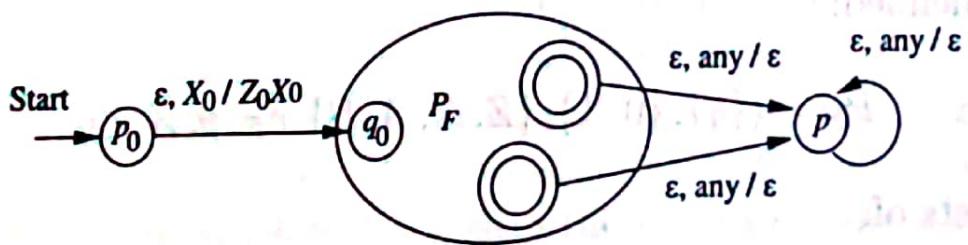


Figure 6.7:  $P_N$  simulates  $P_F$  and empties its stack when and only when  $P_N$  enters an accepting state

**Theorem 6.11:** Let  $L$  be  $L(P_F)$  for some PDA  $P_F = (Q, \Sigma, \Gamma, \delta_F, q_0, Z_0, F)$ . Then there is a PDA  $P_N$  such that  $L = N(P_N)$ .

**PROOF:** The construction is as suggested in Fig. 6.7. Let

$$P_N = (Q \cup \{p_0, p\}, \Sigma, \Gamma \cup \{X_0\}, \delta_N, p_0, X_0)$$

where  $\delta_N$  is defined by:

1.  $\delta_N(p_0, \epsilon, X_0) = \{(q_0, Z_0X_0)\}$ . We start by pushing the start symbol of  $P_F$  onto the stack and going to the start state of  $P_F$ .
2. For all states  $q$  in  $Q$ , input symbols  $a$  in  $\Sigma$  or  $a = \epsilon$ , and  $Y$  in  $\Gamma$ ,  $\delta_N(q, a, Y)$  contains every pair that is in  $\delta_F(q, a, Y)$ . That is,  $P_N$  simulates  $P_F$ .

3. For all accepting states  $q$  in  $F$  and stack symbols  $Y$  in  $\Gamma$  or  $Y = X_0$ ,  $\delta_N(q, \epsilon, Y)$  contains  $(p, \epsilon)$ . By this rule, whenever  $P_F$  accepts,  $P_N$  can start emptying its stack without consuming any more input.
4. For all stack symbols  $Y$  in  $\Gamma$  or  $Y = X_0$ ,  $\delta_N(p, \epsilon, Y) = \{(p, \epsilon)\}$ . Once in state  $p$ , which only occurs when  $P_F$  has accepted,  $P_N$  pops every symbol on its stack, until the stack is empty. No further input is consumed.

Now, we must prove that  $w$  is in  $N(P_N)$  if and only if  $w$  is in  $L(P_F)$ . The ideas are similar to the proof for Theorem 6.9. The ‘if’ part is a direct simulation, and the ‘only-if’ part requires that we examine the limited number of things that the constructed PDA  $P_N$  can do.

(If) Suppose  $(q_0, w, Z_0) \xrightarrow[P_F]{*} (q, \epsilon, \alpha)$  for some accepting state  $q$  and stack string  $\alpha$ . Using the fact that every transition of  $P_F$  is a move of  $P_N$ , and invoking Theorem 6.5 to allow us to keep  $X_0$  below the symbols of  $\Gamma$  on the stack, we know that  $(q_0, w, Z_0 X_0) \xrightarrow[P_N]{*} (q, \epsilon, \alpha X_0)$ . Then  $P_N$  can do the following:

$$(p_0, w, X_0) \xleftarrow[P_N]{} (q_0, w, Z_0 X_0) \xrightarrow[P_N]{*} (q, \epsilon, \alpha X_0) \xrightarrow[P_N]{*} (p, \epsilon, \epsilon)$$

The first move is by rule (1) of the construction of  $P_N$ , while the last sequence of moves is by rules (3) and (4). Thus,  $w$  is accepted by  $P_N$ , by empty stack.

(Only-if) The only way  $P_N$  can empty its stack is by entering state  $p$ , since  $X_0$  is sitting at the bottom of stack and  $X_0$  is not a symbol on which  $P_F$  has any moves. The only way  $P_N$  can enter state  $p$  is if the simulated  $P_F$  enters an accepting state. The first move of  $P_N$  is surely the move given in rule (1). Thus, every accepting computation of  $P_N$  looks like

$$(p_0, w, X_0) \xleftarrow[P_N]{} (q_0, w, Z_0 X_0) \xrightarrow[P_N]{*} (q, \epsilon, \alpha X_0) \xrightarrow[P_N]{*} (p, \epsilon, \epsilon)$$

where  $q$  is an accepting state of  $P_F$ .

Moreover, between ID's  $(q_0, w, Z_0 X_0)$  and  $(q, \epsilon, \alpha X_0)$ , all the moves are moves of  $P_F$ . In particular,  $X_0$  was never the top stack symbol prior to reaching ID  $(q, \epsilon, \alpha X_0)$ .<sup>3</sup> Thus, we conclude that the same computation can occur in  $P_F$ , without the  $X_0$  on the stack; that is,  $(q_0, w, Z_0) \xrightarrow[P_F]{*} (q, \epsilon, \alpha)$ . Now we see that  $P_F$  accepts  $w$  by final state, so  $w$  is in  $L(P_F)$ .  $\square$