

## Finite Automata & Formal Languages.

Automata theory is the study of abstract computing devices or machines.

### Central concepts of Automata Theory

#### Alphabets ( $\Sigma$ )

An alphabet is a finite, nonempty set of symbols.

①  $\Sigma = \{0, 1\}$ , the binary alphabet

②  $\Sigma = \{a, b, c, \dots\}$ , set of all lower case letters.

③ The set of all ASCII characters.

#### Strings:

Finite sequence of symbols chosen from some  $\Sigma$

Ex:- 0110, 111 etc are the strings from  $\Sigma = \{0, 1\}$ .

#### The empty strings ( $\epsilon$ )

with zero occurrences of symbols.

#### Length of a string

is the number of positions for symbols in string

$|w| = 3$  when  $w = 001$

$|w| = 0$  when  $w = \epsilon$

#### Powers of an alphabet

Set of all strings of certain length, from that alphabet

i)  $\Sigma^k$  is the set of all strings of length k.

.. 1 ..

Ex:-  $\Sigma = \{0, 1\}$  then

$$\Sigma^1 = \{0, 1\}$$

$$\Sigma^2 = \{00, 01, 10, 11\}$$

The set of all strings over an alphabet  $\Sigma$  is the

$$\Sigma^* \text{ or } \Sigma^+ = \{\epsilon, 0, 1, 00, 01, 10, 11, 000, 001, \dots\}$$

$$\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$$

$$\boxed{\Sigma^* = \Sigma^n \text{ where } n \geq 0}$$

If we want to exclude  $\epsilon$  from the  $\Sigma^n$  we can

$$\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \dots$$

$$\Rightarrow \Sigma^* = \Sigma^0 \cup \Sigma^+ \text{ or } \Sigma^+ \cup \{\epsilon\}.$$

concatenation of strings

Let  $x = abc$ ,  $y = ad$  be two strings then  
the concatenated string is  $xy = abcad$ .  
 $|xy| = 5$

$$\boxed{\epsilon w = w \epsilon = w} \text{ where } w \text{ is any string}$$

$\epsilon$  is identity for concatenation.

### 3) Languages

Set of strings all of which are chosen from  $\Sigma^*$ , where  $\Sigma$  is a particular alphabet.

If  $\Sigma$  is an alphabet,  $L \subseteq \Sigma^*$ , then  $L$  is a language.

of  $\Sigma$ .

$L$  need not include strings with all the symbols of  $\Sigma$ . So once  $L$  is established as a language of  $\Sigma$ , it is a language over any alphabet that

## Ex for languages

(2)

1. The lang of all strings consisting of  $n$  0's followed by  $m$  1's, for some  $n, m \geq 0$ :  
 $\{ \epsilon, 01, 0011, 000111, \dots \}$
  2. The set of strings of 0's & 1's with equal no of ea  
 $\{ \epsilon, 01, 10, 0011, 0101, 11100100, \dots \}$
  3. The set of binary nos whose value is a prime.  
 $\{ 10, 11, 101, 111, 1011, \dots \}$
4.  $\Sigma^*$  is a lang for any alphabet  $\Sigma$ .
5.  $\phi$ , the empty lang, is a lang over any alphabet.
- .. of  $\{\epsilon\}$ , the lang consisting of only the empty string,  
is a lang over any alphabet.
- True  $\phi \neq \{\epsilon\}$ ;  
 $\phi$  have no strings &  $\{\epsilon\}$  have atleast one string

## problem :-

in a question of deciding whether a given string is member of some particular language.

Given a string  $w$  in  $\Sigma^*$ , decide whether or not  
 $w$  is in  $L$ .

## Deterministic Finite Automata

The term 'deterministic' refers to the fact that on each input there is one and only one state the automaton can transition from its current state.

### Definition of a DFA

$$A = (\Omega, \Sigma, \delta, q_0, F) \quad \text{where}$$

$\Omega$  is a set of all states.

$\Sigma$  is any alphabet

$q_0$  is initial state

$F$  is set of accepting states. FCQ

$\delta$  is a transition function.

$$\Omega \times \Sigma = \Omega \Rightarrow \delta(q_1, a) = q_2$$

### How is DFA Processes Strings

The domain of DFA is the set of all strings that DFA accepts.

Let  $w = a_1 a_2 a_3 \dots a_n$  be a input string

$$\delta(q_0, a_1) = q_1$$

$$\delta(q_1, a_2) = q_2$$

$$\vdots$$

$$\delta(q_{n-1}, a_n) = q_n$$

If  $q_n$  is a member of  $F$  then the  $w$  is accepted else it is rejected.

$\vdash w/w \in L$  if and only if form  $x01y$  for some strings  
 $x \in y$  consisting of 0's & 1's only }.

other way description using  $x u y$

$\{x01y \mid x u y \text{ are any strings of 0's \& 1's}\}$

Automata for the given language will be the

$$A = (\{q_0, q_1, q_2\}, \{0, 1\}, \delta, q_0, \{q_2\})$$

where  $\delta$  is given as:

$$\delta(q_0, 1) = q_0$$

$$\delta(q_0, 0) = q_1$$

$$\delta(q_1, 1) = q_2$$

$$\delta(q_1, 0) = q_1$$

$$\delta(q_2, 0) = q_2$$

$$\delta(q_2, 1) = q_2$$

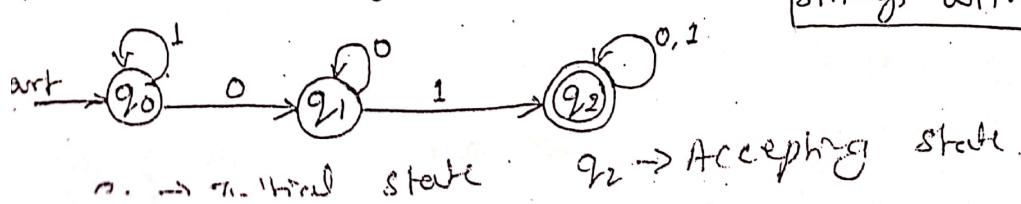
plus Notations for DFA's

There are two notations for describing automata.

1. A transition diagram - it's a graph.

2. A transition table - tabular listing of  $\delta$  function.

Transition diagram:



DFA for accepting all strings with substring 01

## ② Transition Tables:-

	0	1
$\rightarrow q_0$	$q_1$	$q_0$
$q_1$	$q_1$	$q_2$
$* q_2$	$q_2$	$q_2$

Transition table for accepting strings with 01 or 10 as sub.

## Extending the Transition function to strings

DFA defines a lang : the set of all strings result in a sequence of state transitions from start state to an accepting state

In term of the transition diagram, the lang DFA is the set of labels along all the paths that lead from the start state to any accepting state

Extended transition function that describes what happens when we start in any state & follow sequence of inputs.

The ETF  $\hat{f}$  is a fun that takes a state  $q$  & a w. & return a state  $p \rightarrow$  the state that the autom reaches when starting in state  $q$  & processing the sequence of inputs  $w$ .

Basis :-  $\hat{f}(q, \epsilon) = q$ . if we are in state  $q$  and read no i/p, then we are still in state  $q$ .

## Induction :-

(4)

Assume string  $w$  of form  $xa$  where  $a$  is last symbol of  $w$ . & remaining as  $x$ .

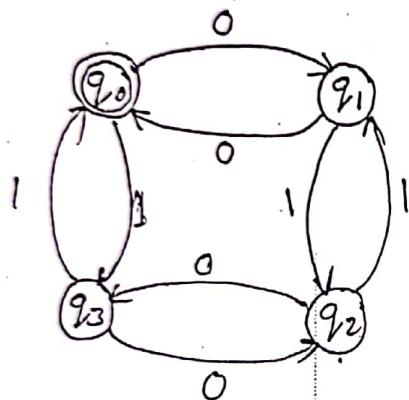
iii if  $w = 110$  and then  $x = 11$  and  $a = 0$ .

$$\hat{f}(q, w) = f(\hat{f}(q, x), a);$$

## examples

Design DFA to accept the language.

$L = \{w / w \text{ has both an even no of 1's & 0's}\}$



$\star \rightarrow q_0$	0	1
$q_1$	$q_1$	$q_3$
$q_1$	$q_0$	$q_2$
$q_2$	$q_3$	$q_1$
$q_3$	$q_2$	$q_0$

$$Q = \{q_0, q_1, q_2, q_3\}$$

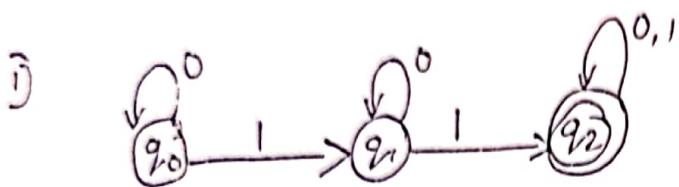
$f$  = as shown in transition table.

$$\Sigma = \{0, 1\}$$

$q_0$  = initial state

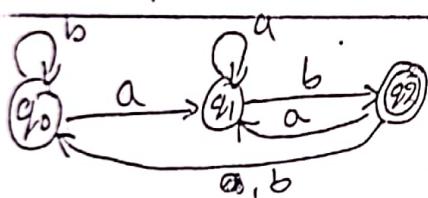
$$F = \{q_0\}$$

construct DFA



$L = \{w \mid w \text{ with at least } 2 \text{ '1's}\}$

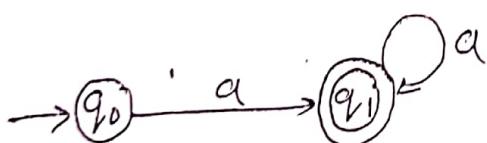
2)  $L = \{w \mid w \text{ ends with } ab\}$



abaab - Accept

abb - Not

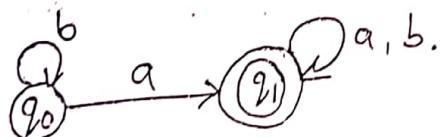
3)  $L = \{w \mid a^n \text{ where } n \geq 1\}$



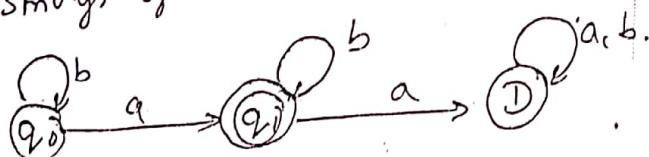
4)  $L = \{w \mid a^n \text{ where } n \geq 0\}$



5)  $L = \{w \mid \text{string of } a's \text{ & } b's \text{ having at least one } a\}$



6)  $L = \{w \mid \text{strings of } a's \text{ & } b's \text{ having exactly one } a\}$



## DFA construction steps

(5)

Step 1 :- Identifying the min string

Step 2 :- Identifying the alphabet

Step 3 :- Construct the skeleton DFA.

DFA for the string identified in step 1

Step 4 :- Identify the transitions not defined in step 3

Step 5 :- Construction of DFA.

$L = \{ w / \text{strings of } ab \text{ & b's starting with ab} \}$

Step 1 :- The minimum string ab.

Step 2 :- The alphabet  $\Sigma = \{a, b\}$

Step 3 :- DFA for ab (skeleton DFA)



Step 4 :- Identifying the transitions not defined in step 3.

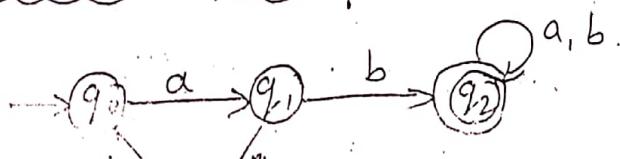
$$\textcircled{1} \quad f(q_0, b) = ?$$

$$\textcircled{2} \quad f(q_1, a) = ?$$

$$\textcircled{3} \quad f(q_2, a) = ?$$

$$\textcircled{4} \quad f(q_2, b) = ?$$

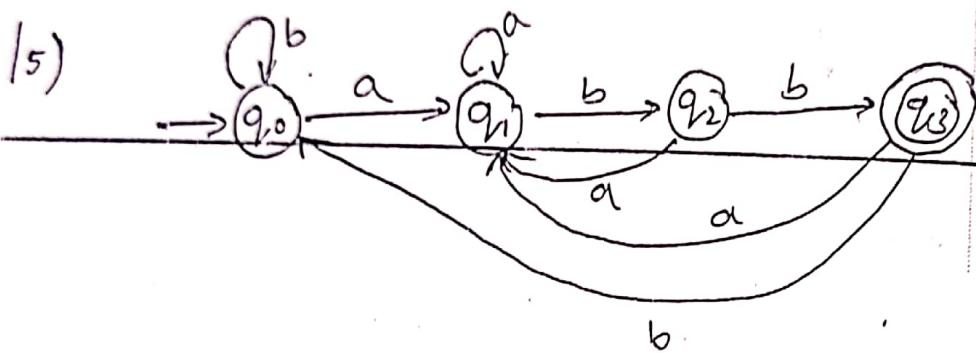
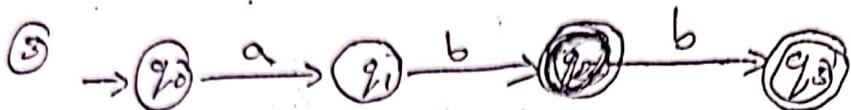
Step 5 :- construct DFA



2)  $L = \{ w / (a+b)^n abb, n \geq 0 \}$

① abb

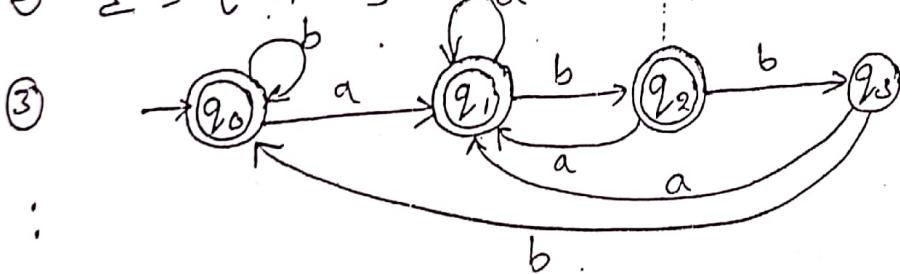
②  $\Sigma = \{ a, b \}$



3)  $L = \{ w / \text{ strings of } a's \text{ & } b's \text{ that do not end with } abb \}$

① a or b

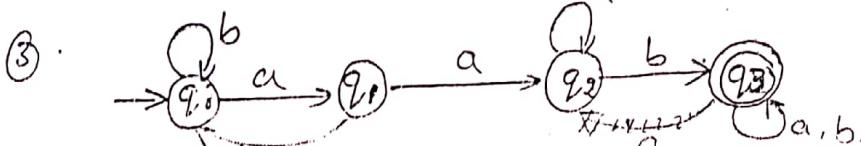
②  $\Sigma = \{ a, b \}$



16)  $L = \{ w / \text{ strings of } a's \text{ & } b's \text{ having } aab \text{ as suffix} \}$

① aab

②  $\Sigma = \{ a, b \}$

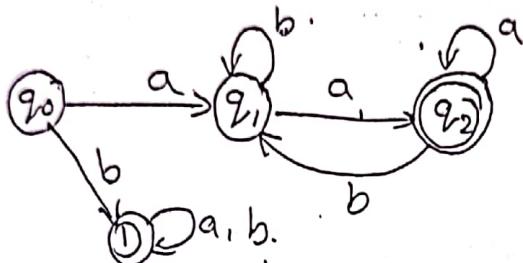


Draw a DFA to accept strings of a's & b's such that  $L = \{ \text{awa}^n \mid w \in (a+b)^n ; n \geq 0 \}$  ⑥

① min string aa

②  $\Sigma = \{a, b\}$

③

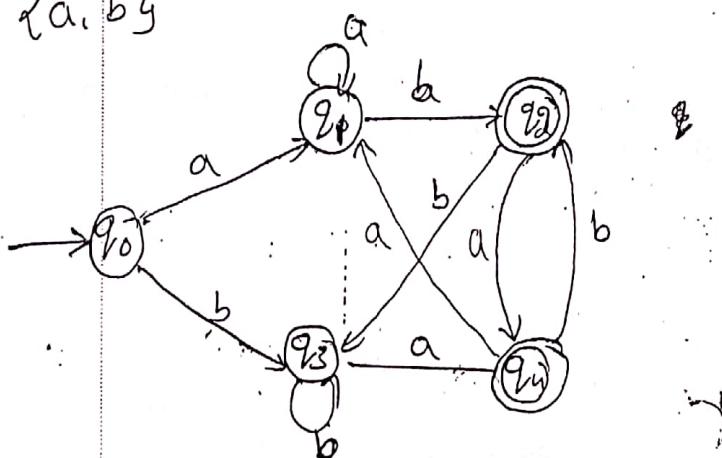


accept strings of a's & b's ending with ab/ba

① min string ab or ba

②  $\Sigma = \{a, b\}$

③

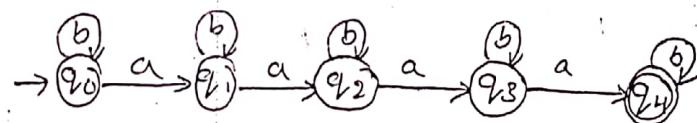


DFA to accept strings of a's & b's having  $\leq 3$  a's

① aaaa.

②  $\Sigma = \{a, b\}$

③



$L = \{ w : n_a(w) \leq 3, w \in \{a, b\}^*\}$

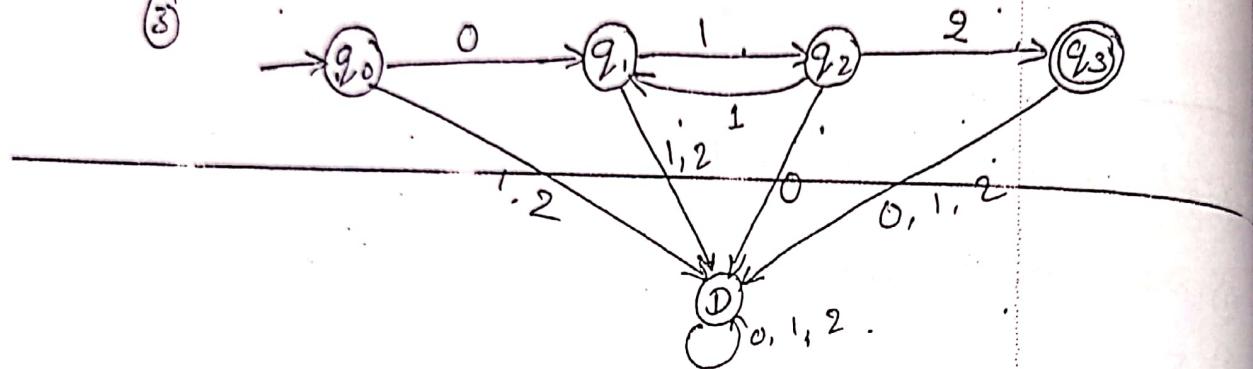


(14) strings of 0's, 1's & 2's beginning with a '0', followed by odd no. of 1's and ending with

① min string 012

②  $\Sigma = \{0, 1, 2\}$

③

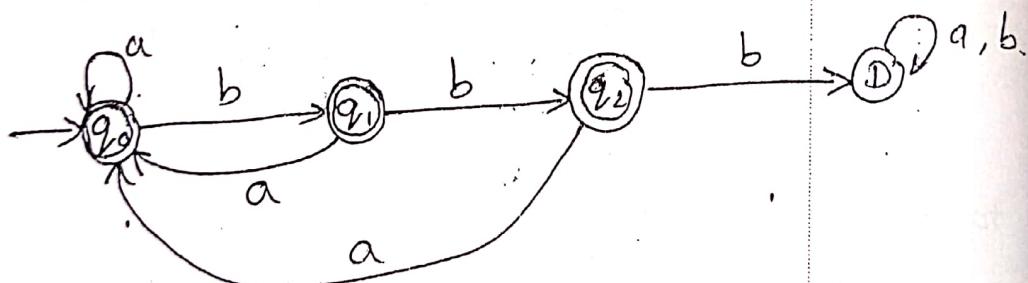


(5) strings of a's & b's with at most 2 consecutive

① bb

②  $\Sigma = \{a, b\}$

③

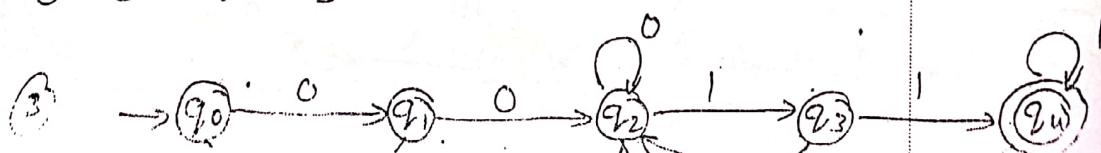


(6) strings of 0's & 1's starting with at least 2 0's and ending with at least 2 1's.

① 0011

②  $\Sigma = \{0, 1\}$

③



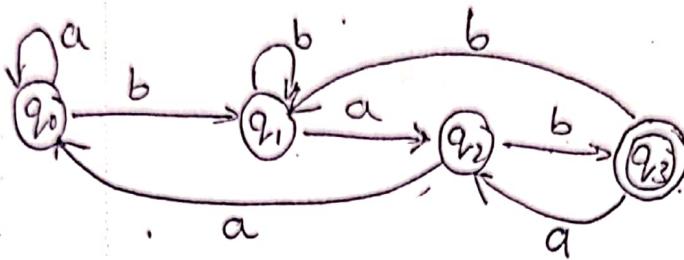
$$L = \{ wbab \mid w \in \{a, b\}^* \}$$

(7)

① bab

$$\textcircled{2} \quad \Sigma = \{a, b\}$$

\textcircled{3}



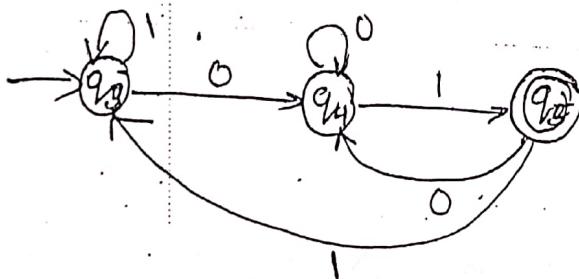
Strings of a's & b's having not more than 3 a's

strings that either begins or ends or both with 01

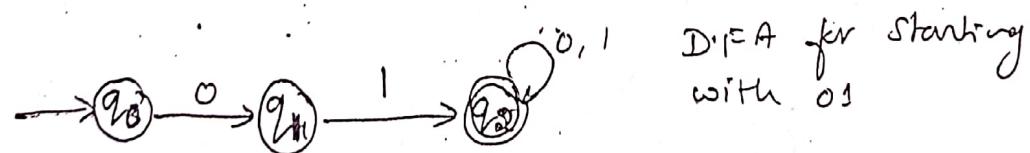
\textcircled{1} 01

$$\textcircled{2} \quad \Sigma = \{0, 1\}$$

\textcircled{3}

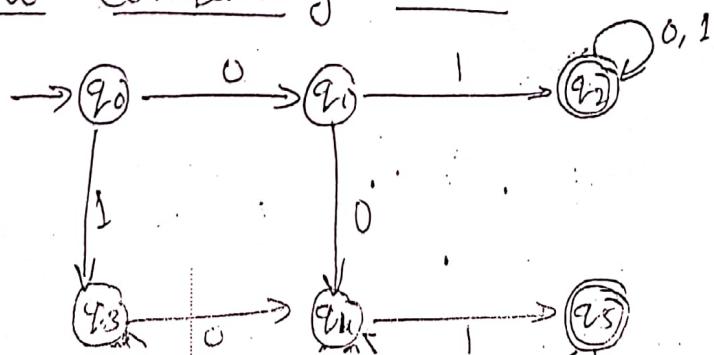


DFA for ending  
with 01



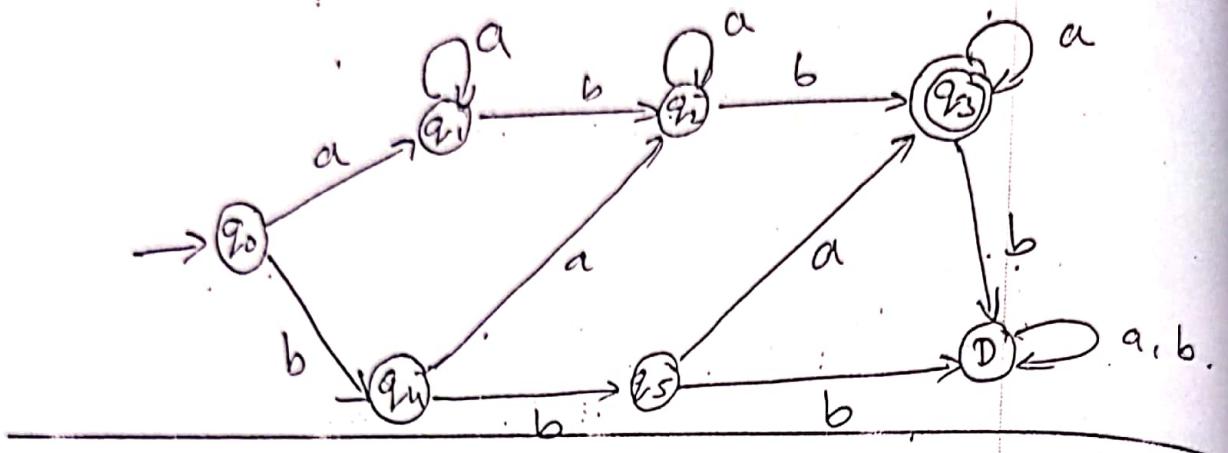
DFA for starting  
with 01

now combining both.



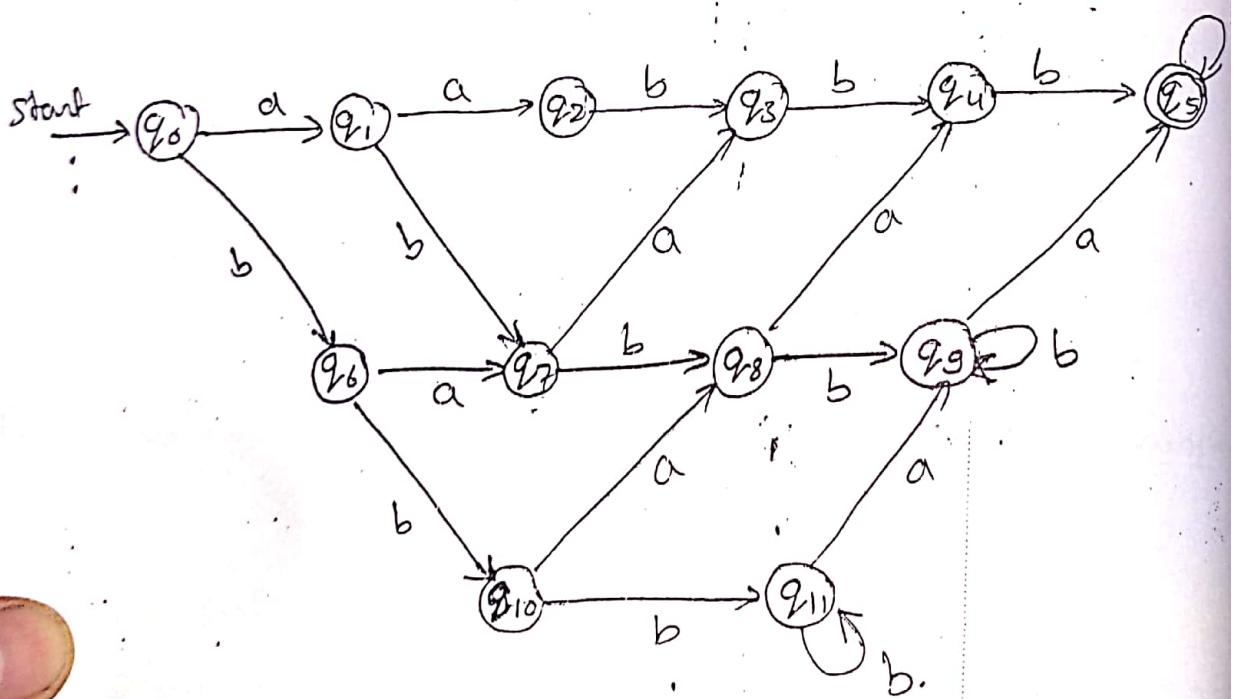
$$⑯ L = \{ \omega : n_a(\omega) \geq 1, n_b(\omega) = 2 \}$$

① abb, bab, bba



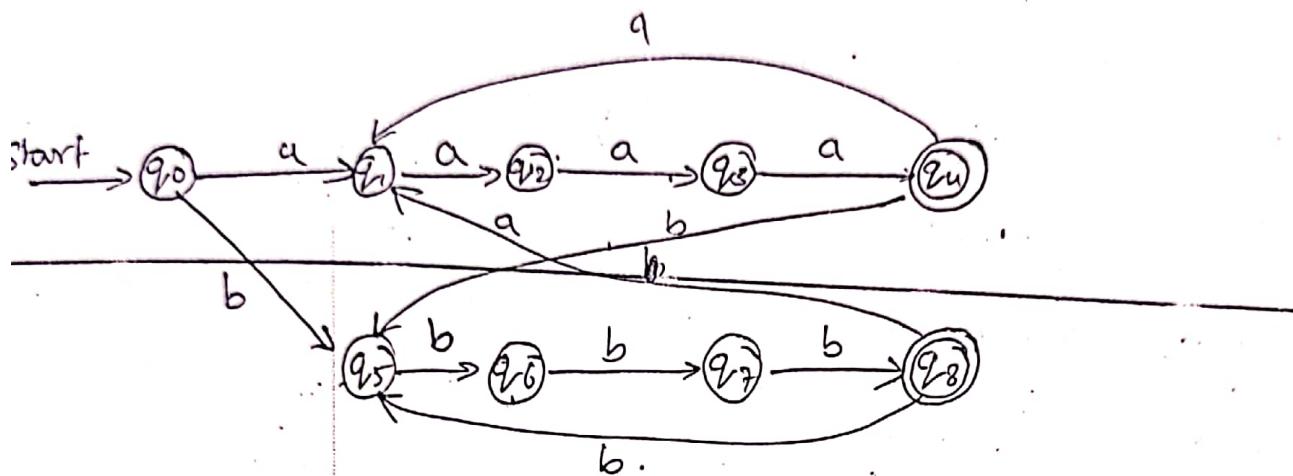
$$⑯ L = \{ \omega : n_a(\omega) \geq 1, n_b(\omega) \geq 2 \}$$

① aabbb baabb bbaab bbbaa  
 ababb babab bbaba.  
 abbab babba  
 abbbba

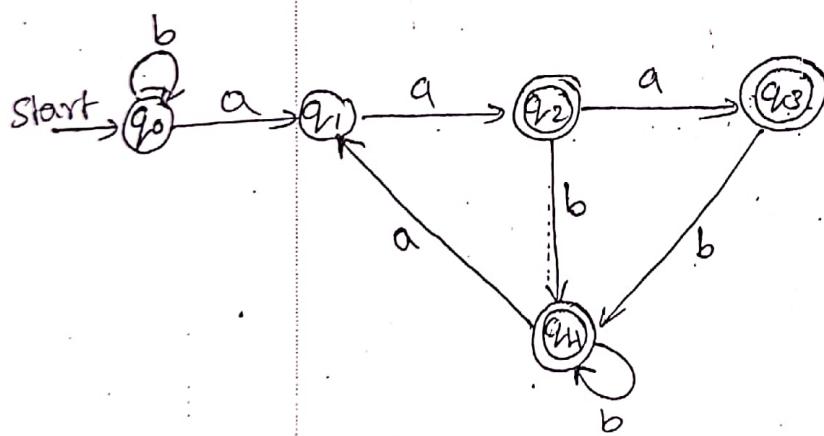


?  $L = \{w : w \text{ contains no sum of length } < 4\} \quad ⑧$

- ④ 4 consecutive a's
  - ⑤ 4 consecutive b's
  - ⑥ combination of above; ④ & ⑤



$L = \{w : \text{every run of } a's \text{ has length either } 2 \text{ or } 3\}$



$$L = \{w : w \bmod 5 = 0, \Sigma = \{0, 1\}\}$$

remainder : 0 1 2 3 n

	0	1
0	90	91
1	92	93
2	94	95
3	96	97

$\Rightarrow L = \{ w : \text{decimal string divisible by 3} \}$

	0	1	2	3	4	5	6	7	8	9
$q_0$	$q_0$	$q_1$	$q_2$	$q_0$	$q_1$	$q_2$	$q_0$	$q_1$	$q_2$	$q_0$
$q_1$	$q_1$	$q_2$	$q_0$	$q_1$	$q_2$	$q_0$	$q_1$	$q_2$	$q_0$	$q_1$
$q_2$	$q_2$	$q_0$	$q_1$	$q_2$	$q_0$	$q_1$	$q_2$	$q_0$	$q_1$	$q_2$

$$\delta(q_0, (0, 3, 6, 9)) = q_0$$

$$\delta(q_0, (1, 4, 7)) = q_1$$

$$\delta(q_0, (2, 5, 8)) = q_2$$

$$\delta(q_1, (0, 3, 6, 9)) = q_1$$

$$\delta(q_1, (1, 4, 7)) = q_2$$

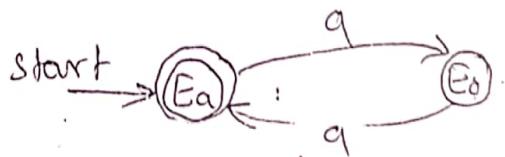
$$\delta(q_1, (2, 5, 8)) = q_0$$

$$\delta(q_2, (0, 3, 6, 9)) = q_2$$

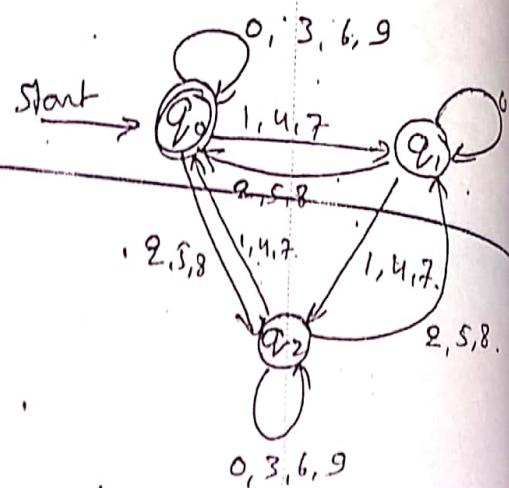
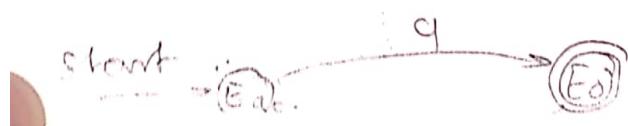
$$\delta(q_2, (1, 4, 7)) = q_0$$

$$\delta(q_2, (2, 5, 8)) = q_1$$

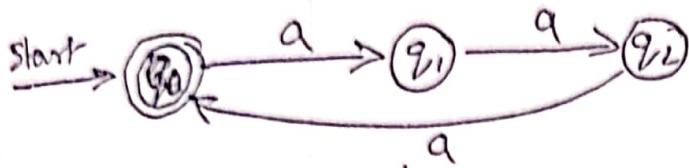
$\Rightarrow L = \{ w : \text{even no of a's} \}$



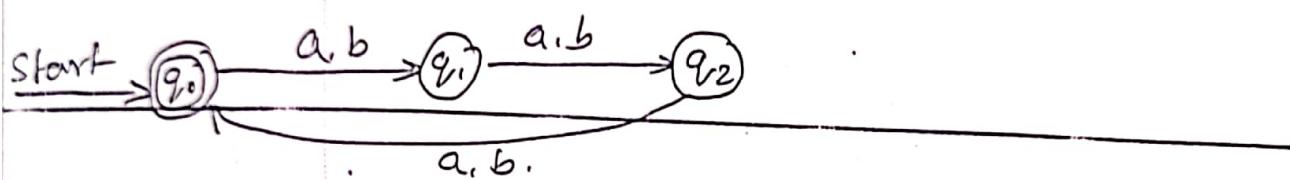
$\Rightarrow L = \{ w : \text{odd no of a's} \}$



$$L = \{ w : |w| \bmod 3 \geq 0 \} \quad \Sigma = \{a\} \quad (3)$$



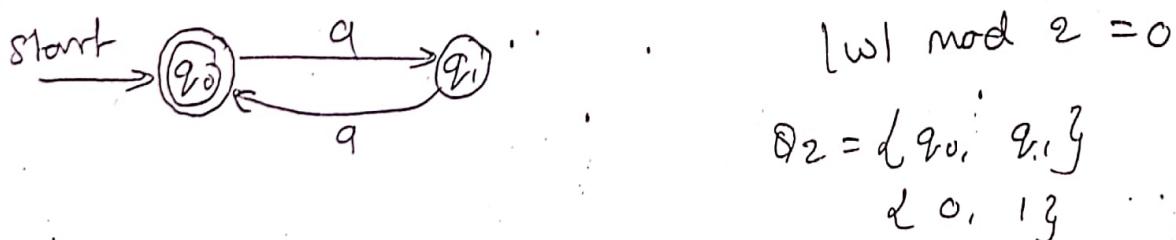
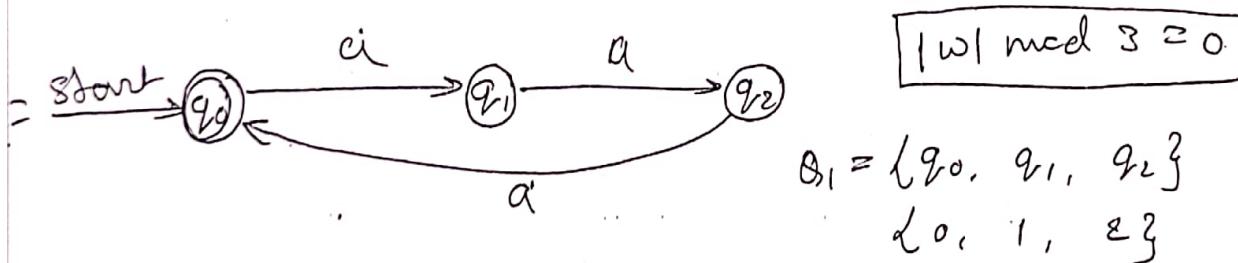
$$L = \{ w : |w| \bmod 3 = 0 \} \quad \Sigma = \{a, b\}$$



$L = \{w \text{ such that}$

$$(a) |w| \bmod 3 \geq |w| \bmod 2, \Sigma = \{a\}$$

$$(b) |w| \bmod 3 \neq |w| \bmod 2, \Sigma = \{a\}$$



$$\times Q_2 = \{(0,0), (0,1), (1,0), (1,1), (2,0), (2,1)\}$$

$$(0,0), a = (\delta(0,a), \delta(0,a))$$

$$\begin{aligned} f(11, a) &\Rightarrow f(1, a), f(1, a) \\ &\Rightarrow (2, 0) \end{aligned}$$

$$\begin{aligned} f(2, 0, a) &\Rightarrow (f(2, a), f(0, a)) \\ &\Rightarrow (0, 1) \end{aligned}$$

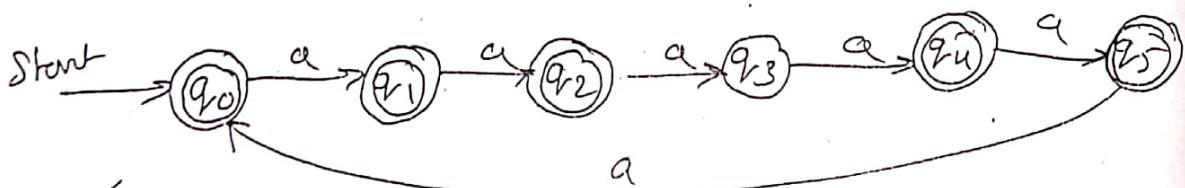
$$\begin{aligned} \underline{f(0, 1, a)} &\Rightarrow (f(0, a), f(1, a)) \\ &\Rightarrow (1, 0) \end{aligned}$$

$$\begin{aligned} f(1, 0, a) &\Rightarrow (f(1, a), f(0, a)) \\ &\Rightarrow (2, 1) \end{aligned}$$

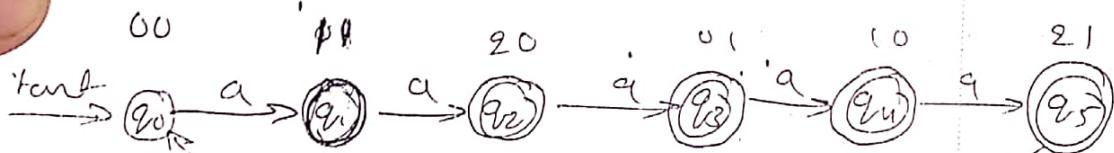
$$\begin{aligned} f(2, 1, a) &\Rightarrow (f(2, a), f(1, a)) \\ &\Rightarrow (0, 0) \end{aligned}$$

$\rightarrow$ :  ~~$1 + a$~~   $|w| \bmod 3 \geq 2$   $|w| \bmod 2$   
 ~~$\frac{2}{0}$~~   ~~$\frac{0}{1}$~~  will be final state  
 ~~$x \neq y$~~

$00$	$11$	$20$	$01$	$10$	$21$
------	------	------	------	------	------



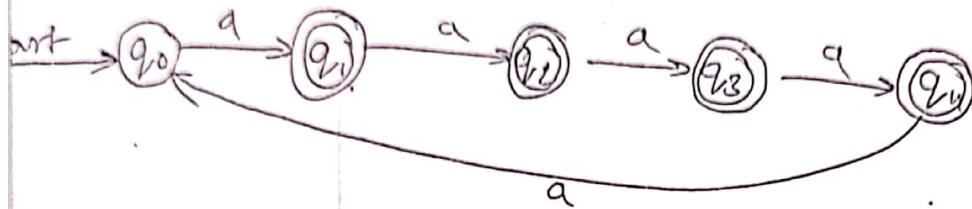
~~(b)~~  $|w| \bmod 3 \neq |w| \bmod 2$ .  
Final state will be  $x \neq y$



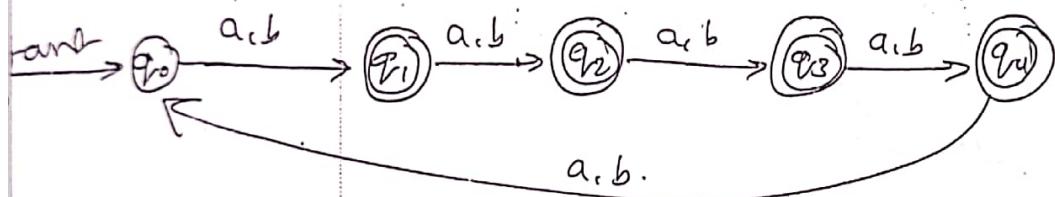
$L = \{ w : |w| \bmod 5 \neq 0 \} \text{ on } \Sigma = \{a\}$  (10)

no state = remainder for  $k=5$

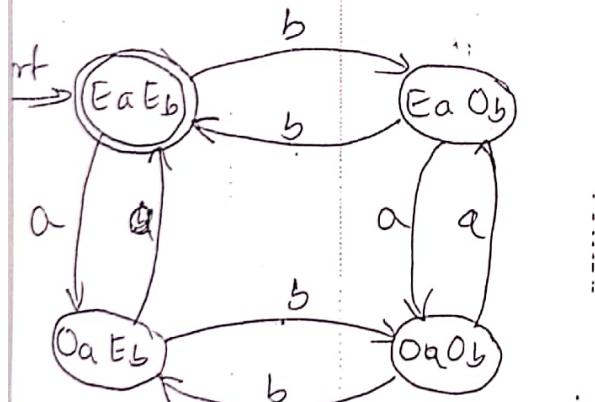
0 1 2 3 4



$L = \{ w : |w| \bmod 5 \neq 0 \} \text{ on } \Sigma = \{a, b\}$

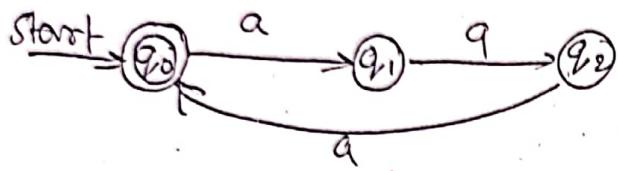


$L = \{ w \mid n_a(w) \text{ even and } n_b(w) \text{ odd} \}$



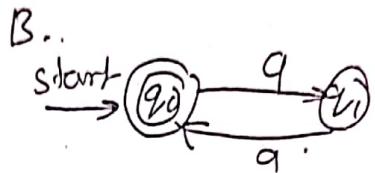
(32)  $L = \{ \omega \mid \omega \in (a+b)^* \text{ and } n_a(\omega) \bmod 3 = 0 \text{ and } n_b(\omega) \bmod 2 = 0 \}$

$$n_a(\omega) \bmod 3 = 0$$



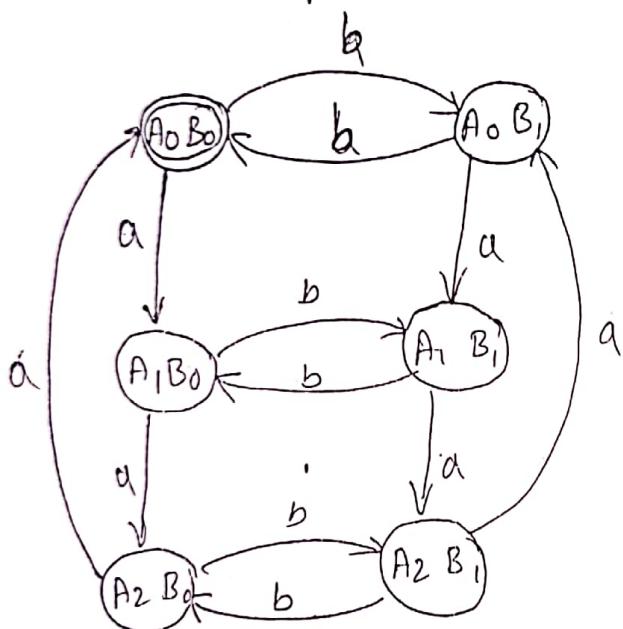
$$\mathcal{Q}_A = \{A_0, A_1, A_2\}$$

$$n_b(\omega) \bmod 2 = 0$$

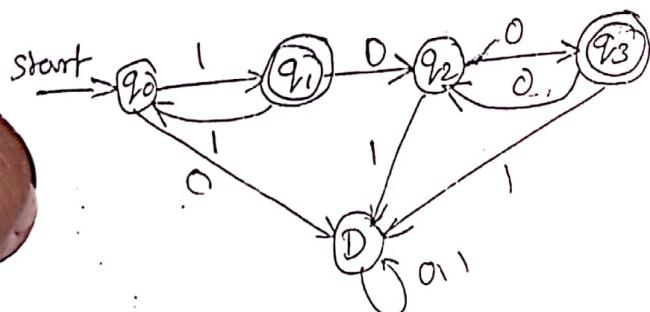


$$\mathcal{Q}_B = \{B_0, B_1\}$$

$$\mathcal{Q}_A \times \mathcal{Q}_B = \{(A_0 B_0), (A_0 B_1), (A_1 B_0), (A_1 B_1), (A_2 B_0), (A_2 B_1)\}$$



$\Rightarrow L = \{ \omega : \omega \text{ has odd no. 1's followed by even no. 0's} \}$

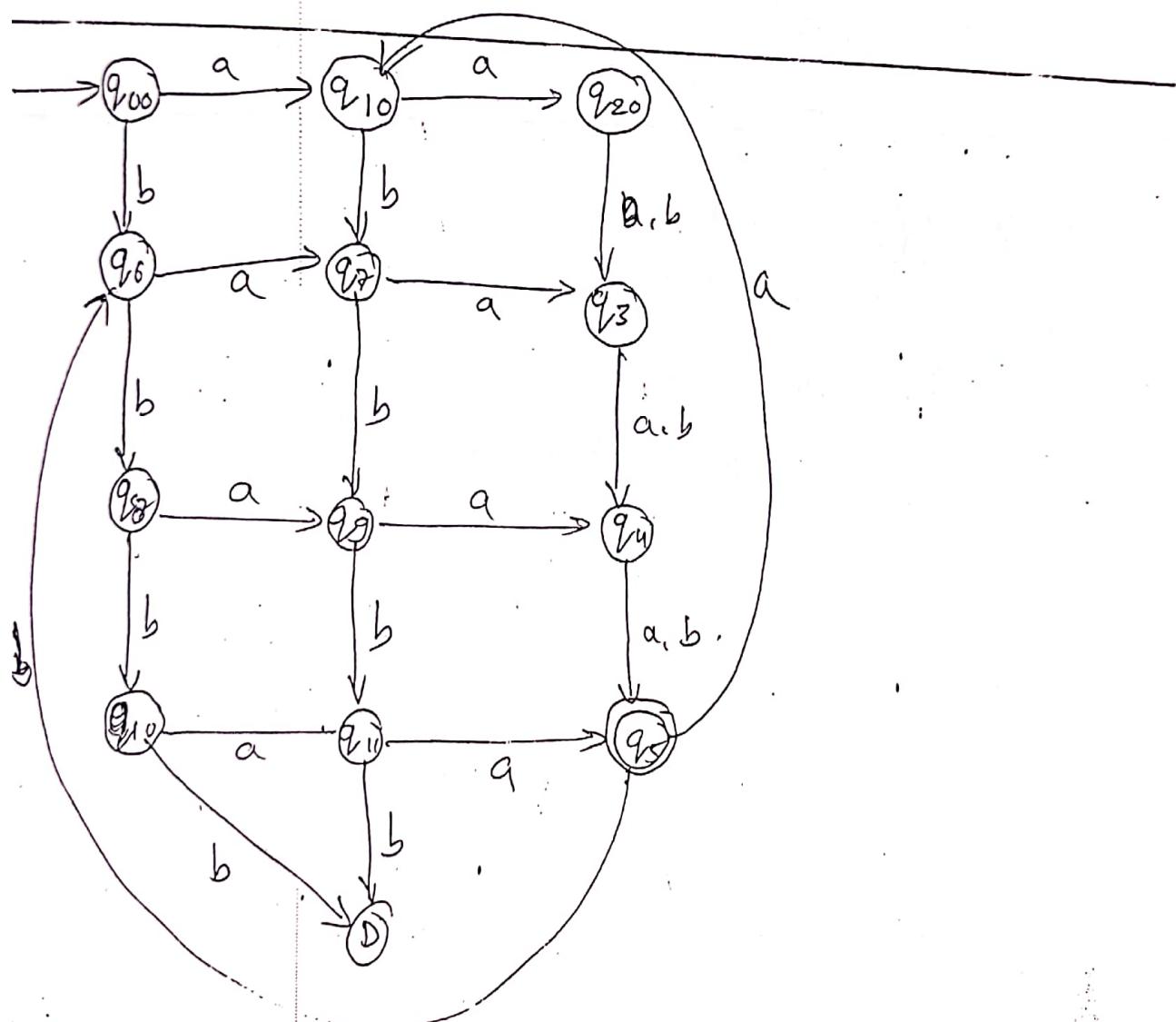


$\Rightarrow L = \{ \omega : n_a(\omega) \bmod 5 = 0 \text{ and } n_b(\omega) \bmod 3 = 0 \}$

$\Rightarrow L = \{ \omega : n_a(\omega) \bmod 5 \neq n_b(\omega) \bmod 3 \}$

→ obtain a DFA to accept strings of a's & b's such that each block of 5 consecutive symbols have at least two a's. (11)

aabb	baabb	bbaab	bbbba
ababb	babab	bbaba	
abbab	babba		
abbaa			



Extended Transition function . proof.

$$\hat{\delta}(q_0, \omega) = \delta(\hat{\delta}(q_0, x), a) \text{ To be proved}$$

$$\stackrel{\text{Let}}{\Rightarrow} \frac{\omega}{w} = x a'.$$

$$\hat{\delta}(q_0, \omega) = P$$

$$\hat{\delta}(q_0, x a) = P$$

---

$$\hat{\delta}(q_0, x) = r$$

hence  $\delta(r, a) = P$

replace  $r$  now

$$\delta(\hat{\delta}(q_0, x), a) = P$$

Find prove that  $\hat{\delta}(q_0, \omega) = \delta(\hat{\delta}(q_0, x), a)$

## Nondeterministic Finite Automata

(12)

NFA has the power to be in several states at once. This ability is often expressed as an ability to "guess" something about its inputs.

NFA accepts a language is also accepted by DFA.  
NFA can be converted to DFA & the DFA will have exponentially more states than NFA.

### Informal View of Nondeterministic Finite Automata

It is same as DFA but there is difference in f function. The return value will be different.

### Definition of NFA

$$A = (Q, \Sigma, \delta, q_0, F)$$

where Q - Set of all states.

$\Sigma$  - Alphabet (Set of symbols)

$q_0$  - Start state  $\in Q$ .

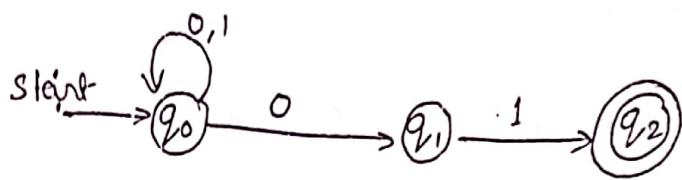
F - Set of accepting states  $\in Q$ .

$\delta$  -  $Q \times \Sigma \rightarrow \{ \text{subset of } Q \}$ .

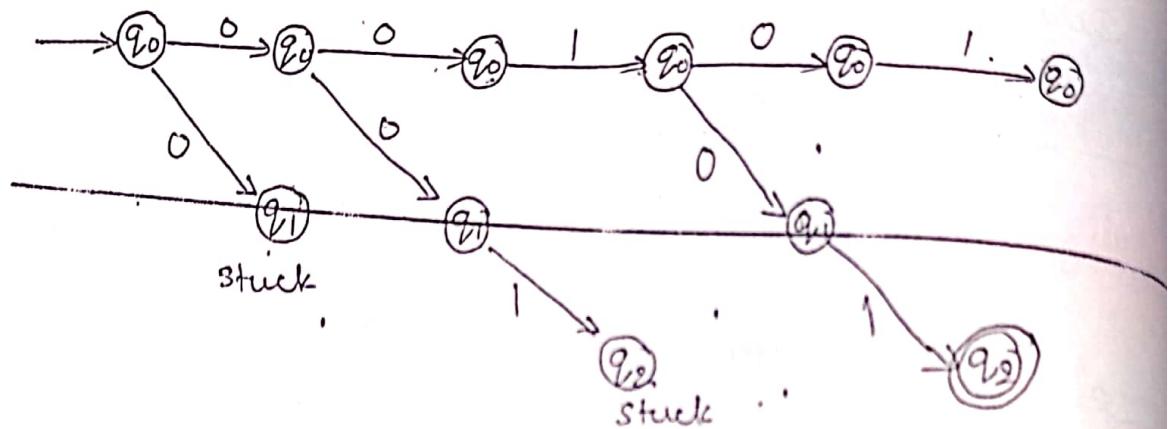
$$\text{ie } Q \times \Sigma = 2^Q$$

Accepts Q &  $\Sigma$  as parameters and return zero, one, or more states.

Ex:- NFA accepting all strings that ends with 0



Let  $w = 00101$



$$A = \{Q, \Sigma, S, q_0, F\}$$

$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{0, 1\}$$

$$q_0 \rightarrow q_0$$

$$F = \{q_2\}$$

$\delta$  can be given as.

	0	1
$\rightarrow q_0$	$\{q_0, q_1\}$	$\{q_0\}$
$q_1$	$\emptyset$	$\{q_2\}$
$\times q_2$	$\emptyset$	$\emptyset$

## The Extended Transition Function

(13)

$\hat{\delta}$  that takes a state  $q$  and a string of input symbols  $w$ , and returns the set of states that the NFA is in if it starts in state  $q$  and processes the string  $w$ .

$$\hat{\delta}(q, w) = \{p\}$$

---

$$\text{Basis: } \hat{\delta}(q_0, \epsilon) = \{q_0\}$$

---

Induction:- Let  $w = xa$

$$\hat{\delta}(q_0, xa) = \{p_1, p_2 \dots p_k\}$$

$$\bigcup_{i=1}^k \hat{\delta}(p_i, a) = \{r_1, r_2 \dots r_m\}$$

language accepted by a NFA.

The language accepted by NFA is formally be defined as  $\Rightarrow$  Let  $M = (Q, \Sigma, \delta, q_0, F)$  be an NFA, A string  $w$  is accepted by the machine  $M$ , if it takes the initial state  $q_0$  to final state  $\in \hat{\delta}(q_0, w)$  is in  $F$ .

$$L(M) = \{w \mid w \in \Sigma^* \text{ and } \hat{\delta}(q_0, w) \in F\}$$

where  $\Sigma$  is any input alphabet.

conversion of NFA to DFA

The NFA converted into ~~DFA~~ DFA using two methods

- ① Subset construction method
- ② Lazy Evaluation method.

Subset construction method :-

Step 1 :- Identify the start state of DFA in  $Q_D$

Step 2 :- Identify the alphabet of DFA in  $\Sigma$  of NFA

Step 3 :- Identify the states of DFA in  $Q_D$  will have  $2^n$  states where  $n$  is the number of states in  $Q_N$ .

$$\text{Let } Q_N = \{q_0, q_1, q_2\} = |Q_N| = 3$$

$$Q_D = \{q_0, q_1, q_2, q_0q_1, q_0q_2, q_1q_2, q_0q_1q_2\} = 8 \text{ possible states}$$

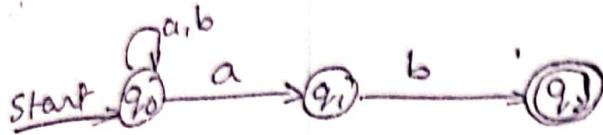
$$|Q_D| = 2^3 = 8$$

Step 4 :- Identify the final states of DFA :- If  $\{q_i, q_j, \dots, q_k\}$  will be final states in  $Q_D$ , then  $\{q_i, q_j, \dots, q_k\} \subseteq F_N$  where  $F_N$  is the set of final states of NFA.

Step 5 :- Identify the transition in  $Q_D$  of DFA :- For each  $\{q_i, q_j, \dots, q_k\}$  in  $Q_D$  and for each input symbol  $a$  in  $\Sigma$ , the transition can be obtained as

$$\delta_D(\{q_i, q_j, \dots, q_k\}, a) = \delta_N(q_i, a) \cup \delta_N(q_j, a) \cup \dots \cup \delta_N(q_k, a)$$

Q. Obtain the DFA for the following NFA.



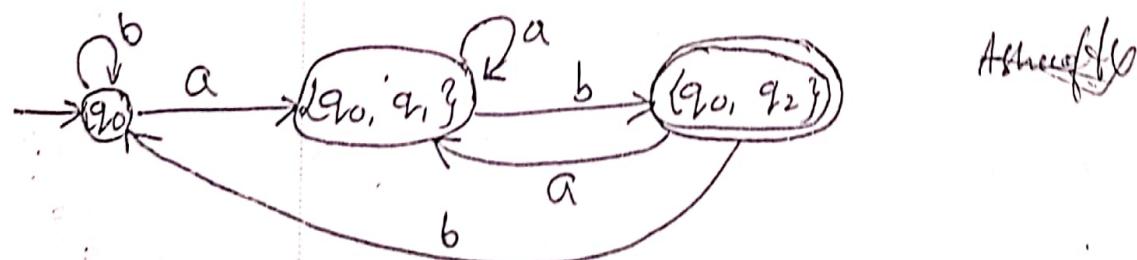
Ans:- start state of DFA =  $\{q_0\}$

Ans:- input alphabet  $\Sigma = \{a, b\}$

Ans:-  $D_D = \{\emptyset, \{q_0\}, \{q_1\}, \{q_2\}, \{q_0, q_1\}, \{q_0, q_2\}, \{q_1, q_2\}, \{q_0, q_1, q_2\}\}$ .

Transition Table.		a	b
φ	φ	φ	
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$	
$\{q_1\}$	φ	$\{q_2\}$	
$\{q_2\}$	φ	φ	
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$	
$\{q_0, q_2\}$	$\{q_0, q_1\}$	$\{q_0\}$	
$\{q_1, q_2\}$	φ	$\{q_2\}$	
$\{q_0, q_1, q_2\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$	

Transition Diagram



=  $\{q_0, q_2\}$ .

## Lazy Evaluation method.

Let  $N = \{Q_N, \Sigma, S_N, q_0, F_N\}$  which accepts language  $L(N)$ . If  $D = \{Q_D, \Sigma, S_D, q_0, F_D\}$  is obtained from the NFA  $N$ , then the language accepted by the DFA is  $L(D) = L(N)$ .

### Algorithm:-

- ① Identify starting state of DFA ~~in Q~~
- ② Identify the input alphabet of DFA ~~in Σ~~
- ③ Compute  $S_D$  as follows,

$$S_D(\{q_i, q_j, \dots, q_k\}, a) = S_N(q_i, a) \cup S_N(q_j, a) \dots \cup S_N(q_k, a) \\ = \{r_1, r_2, \dots, r_n\}$$

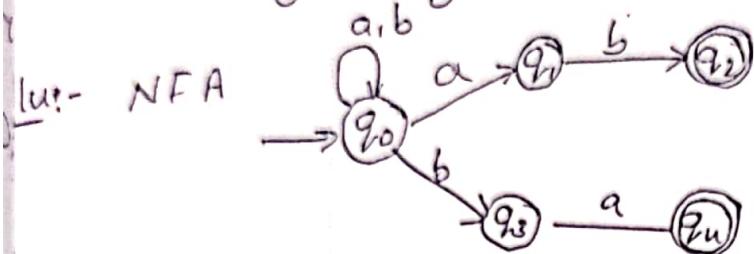
Add the state  $\{r_1, r_2, \dots, r_n\}$  into the set  $Q_D$  if it is already not there in the  $Q_D$ .

If it is already a member of  $Q_D$ , then not

- ④ Identifying final state as follows.

The  $\{r_i, r_j, \dots, r_k\}$  is said to be final state iff  $r_i$  or  $r_j$  or ...  $r_k \in F_N$ .

E:- Draw a NFA to accept strings of a's and b's ending with ab or ba, and convert it into DFA using Lazy evaluation method.

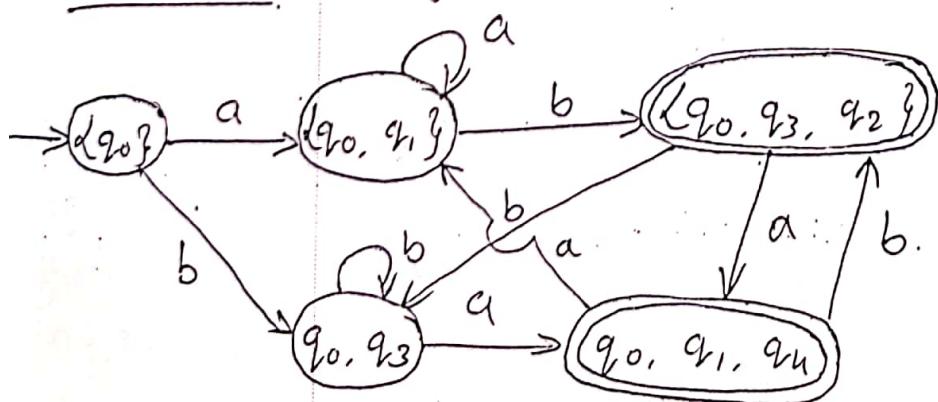


conversion to DFA.

- 1) Start state for DFA =  $\{q_0\}$
- 2) Input alphabet  $\Sigma = \{a, b\}$

	a	b
$\rightarrow \{q_0\}$	$\{q_0, q_1\}$	$\{q_0, q_3\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_3, q_2\}$
$\{q_0, q_3\}$	$\{q_0, q_1, q_4\}$	$\{q_0, q_3\}$
$\{q_2, q_3\}$	$\{q_0, q_1, q_4\}$	$\{q_0, q_3\}$
$\{q_1, q_4\}$	$\{q_0, q_1\}$	$\{q_0, q_3, q_2\}$

Transition Diagram.



Theorem 2.11:

If  $D = \{Q_D, \Sigma, \delta_D, \{q_0\}, F_D\}$  is the DFA constructed from NFA  $N = \{Q_N, \Sigma, \delta_N, q_0, F_N\}$  by the subset construction then the  $L(D) = L(N)$ .

Proof:- we have to first prove that

$$\hat{\delta}_D(\{q_0\}, w) = \hat{\delta}_N(q_0, w).$$

Basis:- Let  $|w|=0$ ; i.e.  $w=\epsilon$ . By the basis of  $\hat{\delta}$  for DFA's and NFA's, both  $\hat{\delta}_D(\{q_0\})$  and  $\hat{\delta}_N(q_0, \epsilon) = \{q_0\}$ .

Induction:-

By the inductive hypothesis,

$$\hat{\delta}_D(\{q_0\}, x) = \hat{\delta}_N(q_0, x) \text{ where } w=xa.$$

Let both these sets of N's states be  $\{P_1, P_2, \dots, P_k\}$ .

The inductive part of the definition of  $\hat{\delta}$  for N tells us that

$$\hat{\delta}_N(q_0, w) = \bigcup_{i=1}^k \delta_N(P_i, a) \quad \text{--- (1)}$$

The subset construction tells us that

$$\delta_D(\{P_1, P_2, \dots, P_k\}, a) = \bigcup_{i=1}^k \delta_D(P_i, a) \quad \text{--- (2)}$$

According to the inductive part of  $\hat{\delta}$  of DFA, we have

$$\hat{\delta}_D(\{q_0\}, w) = \delta_D(\hat{\delta}_D(\{q_0\}, x), a) = \delta_D(\{P_1, P_2, \dots, P_k\}, a) = \bigcup_{i=1}^k P_i \quad \text{--- (3)}$$

## Finite Automata with Epsilon-Transition

This is the another extension of the finite automaton. The new feature added is, transition on  $\epsilon$  is allowed.  $\epsilon$ -NFA's are closely related to RE. and useful in proving the equivalence between the classes of languages accepted by FA & by RE.

Definition : - The  $\epsilon$ -NFA is 5 tuple or quintuple indicating five components  $\underline{\text{as }} M = \{Q, \Sigma, \delta, q_0, F\}$

here  $Q$  is non-empty, finite set of states

$\Sigma$  is non-empty, finite set of input symbols.

$\delta : Q \times (\Sigma \cup \epsilon) \rightarrow 2^Q$  in Based on the current state there can be a transition to other states with or. without any input symbols

$q_0 \in Q \rightarrow$  in the start state

$F \subseteq Q \rightarrow$  in set of accepting or final states.

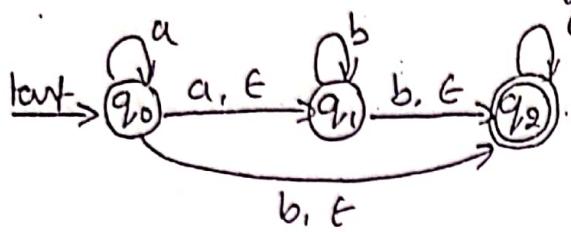
### CLOSURE :-

The  $\epsilon$ -closure of  $q$  denoted by  $\text{ECLOSE}(q)$  is a set of all states which are reachable from  $q$  on transition only. It can be recursively defined as

state  $q$  is in  $\text{ECLOSE}(q)$  if  $\text{ECLOSE}(q) = q$ .

If  $\text{ECLOSE}(q)$  contains  $p$  and if there is a transition from state  $p$  to state  $r$  labeled  $\epsilon$ , then state  $r$  is also in  $\text{ECLOSE}(q)$ .

The  $\epsilon$ -CLOSURE( $q$ ) for each  $q \in Q$  is shown below



$\Rightarrow$  The states  $q_0$ ,  $q_1$ , and  $q_2$  are reachable from  $q_0$  giving any input, so,  $\text{ECLOSE}(q_0) = \{q_0, q_1, q_2\}$

$\Rightarrow$  The states  $q_1$  and  $q_2$  are reachable from  $q_1$  without any input, so,  $\text{ECLOSE}(q_1) = \{q_1, q_2\}$

$\Rightarrow$  The state  $q_2$  is reachable from  $q_2$  without giving any input, so,  $\text{ECLOSE}(q_2) = \{q_2\}$

### Extended Transition Function of $\epsilon$ -NFA to strings

The extended transition function  $\hat{\delta}$  describes what happens to a state of machine when the input is a string (sequence of symbols). Let  $M = (Q, \Sigma, \delta, q_0, F)$  be an  $\epsilon$ -NFA.  $\hat{\delta}: Q \times (\Sigma \cup \epsilon)^*$  to  $2^Q$  is defined recursively as shown below:

Basis :-  $\hat{\delta}(q, \epsilon) = \text{ECLOSE}(q)$ .

Induction :- Let  $w = xa$ .

$$\hat{\delta}(q, x) = \{p_1, p_2, \dots, p_m\}$$

Let the transition from  $\{p_1, p_2, \dots, p_n\}$  on  $a$

$$\begin{aligned} \hat{\delta}(\{p_1, p_2, \dots, p_m\}, a) &= \hat{\delta}(p_1, a) \cup \hat{\delta}(p_2, a) \cup \dots \cup \hat{\delta}(p_m, a) \\ &= r_1, r_2, \dots, r_m \end{aligned}$$

$$\text{Now } \hat{\delta}(q, w) = \text{ECLOSE}(r_1, r_2, \dots, r_m)$$

$\vdash \text{ECLOSE}(r_1) \cup \text{ECLOSE}(r_2) \cup \dots \cup \text{ECLOSE}(r_m)$

Then, various properties of E-TF for an E-NFA can be

$$\Rightarrow \hat{\delta}(q, \epsilon) = \text{CLOSE}(q).$$

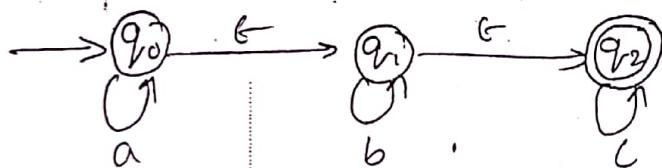
$$\Rightarrow \hat{\delta}(q, \omega) = \hat{\delta}(q, \omega a) = \text{CLOSE}(\delta(\hat{\delta}(q, a), a))$$

where  $\omega = \omega a$ .

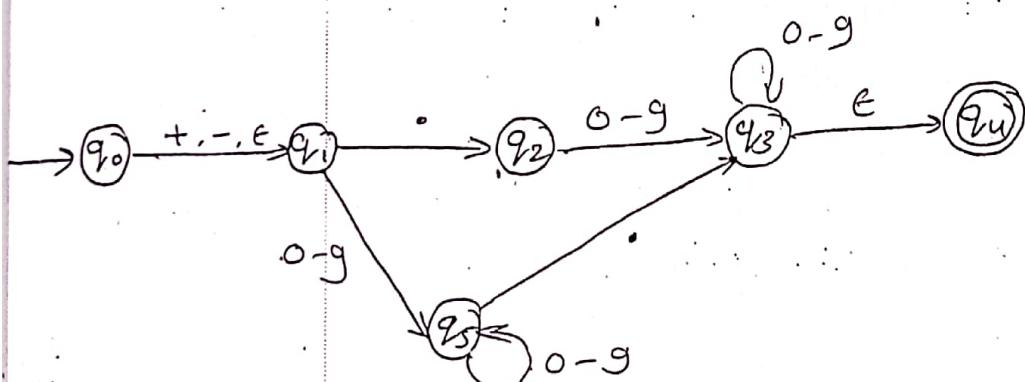
$$\Rightarrow \hat{\delta}(q, \omega) = \hat{\delta}(q, \omega a) = \text{CLOSE}(\delta(\hat{\delta}(q, a), \omega))$$

where  $\omega = \omega a$ ,

obtain an E-NFA which accepts strings consisting  
of zero or more a's followed by zero or more b's  
followed by zero or more c's.



obtain an NFA with  $\epsilon$ -transition to accept decimal  
numbers.



conversion from  $\epsilon$ -NFA to DFA be an  $\epsilon$ -NFA

Let  $M_E = (\Omega_E, \Sigma, S_E, q_0, F_E)$

then the equivalent DFA  $M_D = (\Omega_D, \Sigma, S_D, q_{0D}, F_D)$

obtained as follows.

Step 1: If  $q_0$  is the start state of NFA, then ECLOSE

is the start state of DFA.

$\therefore q_{0D} = \text{ECLOSE}(q_0)$

Step 2: Compute the transition for DFA. Let  $\{q_i, q_j, \dots, q_k\}$

is a state in DFA. Then  $\delta_D(\{q_i, q_j, \dots, q_k\}, a)$  is

as follows.

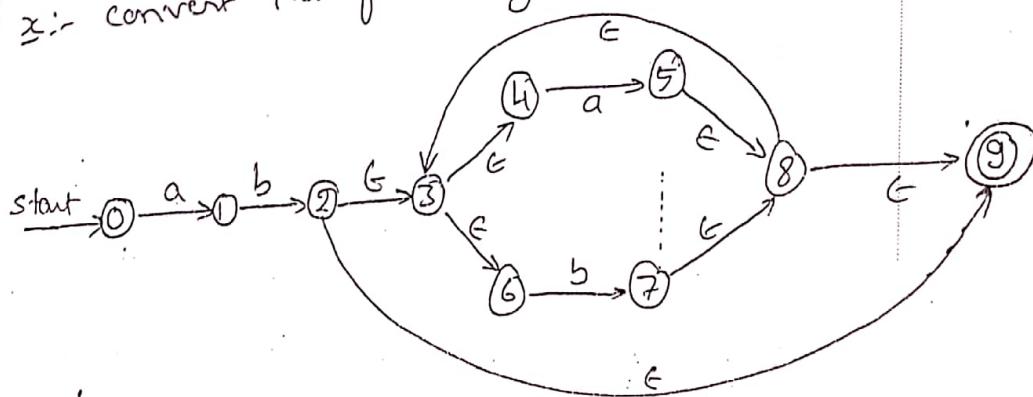
\* Let  $\delta_E(\{q_i, q_j, \dots, q_k\}, a) = \{p_1, p_2, \dots, p_m\}$

\* Then take  $\text{ECLOSE}(\{p_1, p_2, \dots, p_m\})$

Then,  $\delta_D(\{q_i, q_j, \dots, q_k\}, a) = \text{ECLOSE}(\delta_E(\{q_i, q_j, \dots, q_k\}, a))$

Step 3: If  $\{q_i, q_j, \dots, q_k\}$  is a state in DFA and this set contain at least one final state in  $\epsilon$ -NFA then  $\{q_i, q_j, \dots, q_k\}$  is the final state.

x: convert the following  $\epsilon$ -NFA into DFA.



$$\text{Step 1: } q_0^D = \text{ECLOSE}(q_0) = \text{ECLOSE}(0) \\ = \{0\}.$$

Step 2: First identify the ECLOSE of all states.

$$\text{ECLOSE}(0) = \{0\}$$

$$\text{ECLOSE}(1) = \{1\}$$

$$\text{ECLOSE}(2) = \{2, 3, 4, 6, 9\}$$

$$\text{ECLOSE}(3) = \{3, 4, 6\}$$

$$\text{ECLOSE}(4) = \{4\}$$

$$\text{ECLOSE}(5) = \{5, 8, 9, 3, 4, 6\}$$

$$\text{ECLOSE}(6) = \{6\}$$

$$\text{ECLOSE}(7) = \{7, 8, 9, 3, 4, 6\}$$

$$\text{ECLOSE}(8) = \{8, 3, 4, 6, 9\}$$

$$\text{ECLOSE}(9) = \{9\}$$

## Equivalence and minimization of Finite Automata

If the two automata accept the same language, we can minimize the automata that have as few states as possible. Minimization of FA is very important in the design of switching circuits. This is because, as the no. of states of the automation implemented by the circuit increases, the cost of the circuit tends to decrease.

### Equivalence of Two States

Two states  $p$  and  $q$  of a DFA are equivalent (indistinguishable) if and only if  $\delta(p, w)$  and  $\delta(q, w)$  are final states or both are non-final states, for all  $w \in \Sigma^*$ .

i)  $\delta(p, w) \in F$  and  $\delta(q, w) \in F$

$\delta(p, w) \notin F$  and  $\delta(q, w) \notin F$

If there is atleast one string  $w$  such that one of  $\delta(p, w)$  and  $\delta(q, w)$  is final state and the other is non-final state, the states  $p$  and  $q$  are not equivalent and are called distinguishable states.

ii)  $\delta(p, w) \notin F$  and  $\delta(q, w) \notin F$

$\delta(p, w) \in F$  and  $\delta(q, w) \in F$

The distinguishable and indistinguishable states can be obtained using table-filling algorithm (also known as munk procedure).

## Table filling Algorithm (mark procedure)

Step 1 :- Identify the initial marking:

For each pair  $(p, q)$  where  $p \in S$  and  $q \in S$  and  $q \notin F$  or vice versa then, the pair  $(p, q)$  is distinguishable and mark the pair  $(p, q)$  say with 'x'.

Step 2 :- Identify the subsequent marking:

For each pair  $(p, q)$  and for each  $a \in E$ , if  $f(p, a) = r$  and  $f(q, a) = s$ . If the pair  $(r, s)$  is marked as distinguishable then the pair  $(p, q)$  is distinguishable and mark it as say 'x'. Repeat until no previously unmarked pairs are marked.

## Minimization of DFA (procedure or Algorithm)

Step 1 :- Find the distinguishable and indistinguishable states using table filling algorithm.

Step 2 :- Obtain the states of minimized DFA :

These groups consist of indistinguishable pairs obtained in step 1 and individual distinguishable states.

Step 3 :- Compute the transition table:

If  $[p_1, p_2 \dots p_k]$  is a group and if  $f([p_1, p_2 \dots p_k], a) = [r_1, r_2 \dots r_m]$  then place an edge from  $[p_1, p_2 \dots p_k]$  to  $[r_1, r_2 \dots r_m]$ , labeled a.

Follow this step for each group obtained in step 2 and for each  $a \in E$ .

Step 5:- Identify the final state:

If the group  $[P_1, P_2 \dots P_k]$  contains a final state

of given DFA then the groups  $[P_1, P_2 \dots P_k]$  is final state of minimized DFA.

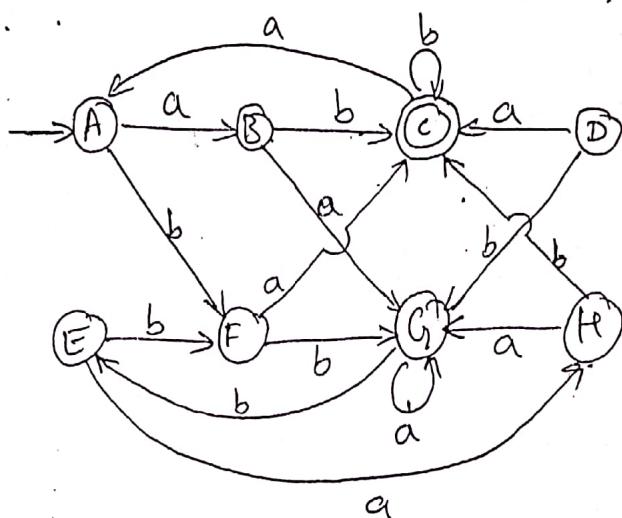
Step 4:- Identify the start state:-

If one of the component in the group  $[P_1, P_2 \dots P_k]$  consists of a start state of given DFA then :

$[P_1, P_2 \dots P_k]$  is the start state of DFA.

i:- Minimize the following DFA

	a	b
A	B	F
B	G	C
C	A	C
D	L	G
E	H	F
F	C	G
G	G	E
H	G	L



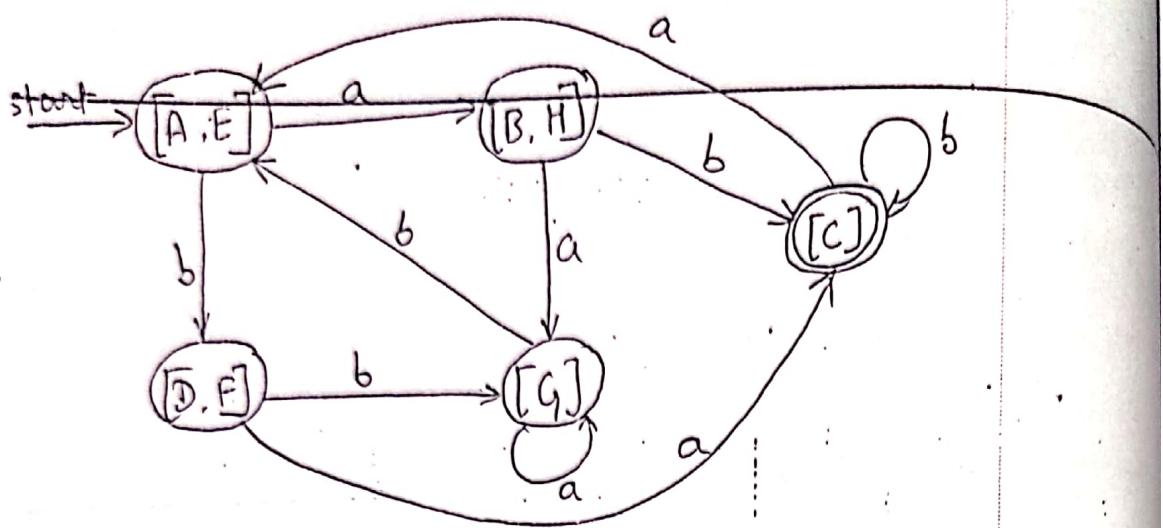
The equivalent states are

$[A, E]$ ,  $[B, H]$  and  $[D, F]$ .

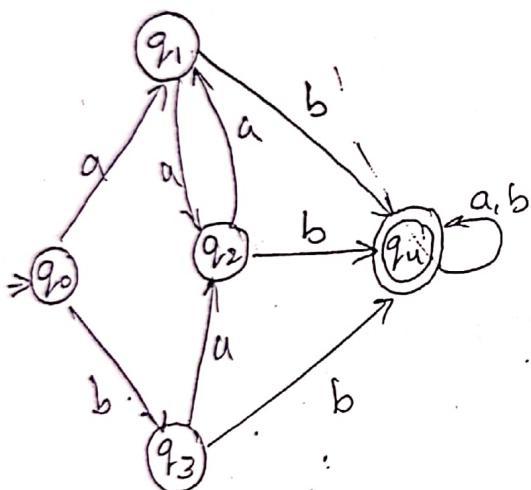
Hence the start state for minimized DFA will be  $(A, E)$ ,  $(B, H)$  and  $(D, F)$  and  $(C)$   $(G)$

B	x						
C	x	x					
D	x	x	x				
E		x	x	x			
F	x	x	x	x	x		
G	x	x	x	x	x	x	
H	y	x	x	x	x	x	x

Final minimized DFA is

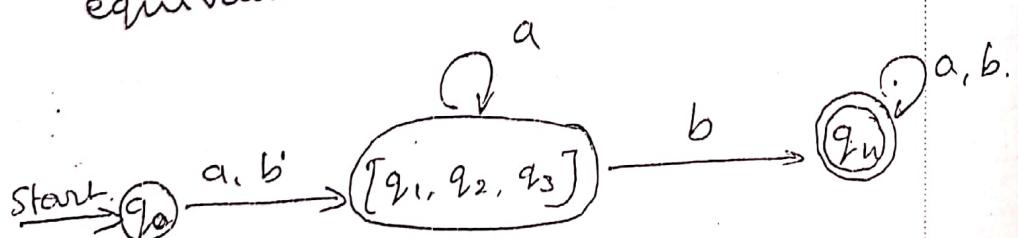


Ex 2 :- minimize the following DFA



$q_1$	$q_2$	$q_3$	$q_4$
$q_0$	X	.	.
$q_2$	X	.	.
$q_3$	.	.	.
$q_4$	X	X	X
	$q_0$	$q_1$	$q_2$

$[q_1, q_2]$ ,  $[q_1, q_3]$  and  $[q_2, q_3]$  are equivalent. According to transitive rule  $[q_1, q_2, q_3]$  is equivalent. Hence final minimized DFA



3:- Find the minimized DFA for the following.

	a	b
A	B	A
B	A	C
C	D	B
D	D	A
E	D	F
F	G	E
G	F	G
H	G	D

[A B C D E F G H]

↓ E

[A B C E F G H]

[D]

↓ a

[A B F G H]

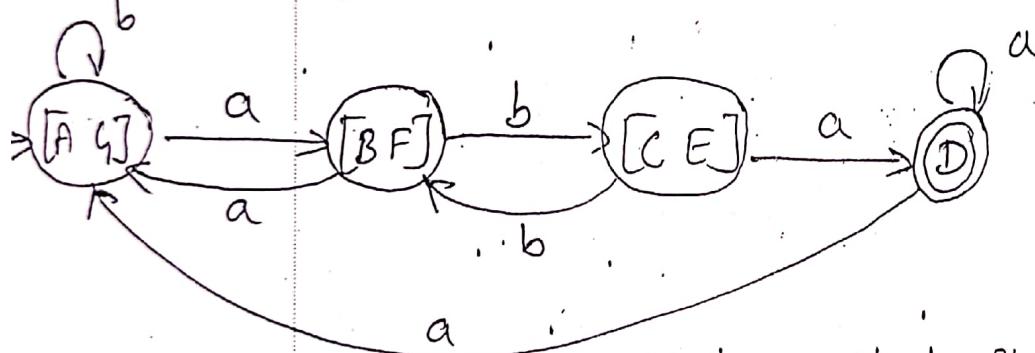
[C E]

[D]

↓ b

[A G] [C E] [B F] [H] [D]

Final minimized DFA is.



since [H] is not reachable from start state, it can be removed.

design DFA for the following

to accept strings of a's having at least one 'a'.

to accept strings of a's and b's having at least one 'a'

to accept strings of a's and b's having exactly one 'a'

to accept strings of a's and b's starting with substring ab.

to accept strings of a's and b's ending with substring abb.

~~to accept strings of a's and b's not ending with abb.~~

to accept strings of a's and b's having substring aab.

to accept strings of a's and b's except those having aab.

to accept strings of 0's and 1's having three consecutive 0's

$$L = \{ awa | w \in (a+b)^n \text{ where } n \geq 0 \}$$

to accept strings of a's and b's ending with ab or ba.

to accept strings of a's and b's having 4 a's

to accept strings of 0's, 1's and 2's beginning with a '0' followed by odd number of 1's and ending with a 2;

to accept strings of a's and b's with at most two consecutive b's

to accept strings of 0's & 1's starting with at least two 0's and ending with at least two 1's

$$L = \{ wbab | w \in \{a,b\}^* \}$$

strings of a's and b's having not more than three a's

strings that either begins or ends or both with substring ab

$$L = \{ w : n_a(w) \geq 1, n_b(w) = 2 \}$$

$$L = \{ w : n_a(w) = 2, n_b(w) \geq 3 \}$$

## UNIT - II

### Regular Expressions

Algebraic instruction of regular languages are called as RE.

Regular expressions are defined by induction as follows.

1st step :-

- ① The constants :  $\epsilon$  &  $\phi$  represents RE denoting the languages  $\{\epsilon\}$  and  $\phi$ .

$$\text{ii } \epsilon = \{\epsilon\} \quad L(\epsilon) = \{\epsilon\}$$

$$\phi = \phi \quad L(\phi) = \phi$$

- ② If 'a' is any symbol then a is RE

$$\text{ii } a = \{a\} \quad L(a) = \{a\}$$

Induction step:-

- ① If E & F are RE then E+F is RE denoting

$$L(E+F) = L(E) \cup L(F)$$

- ② If E and F are RE then E.F is RE denoting

$$L(E.F) = L(E) \cdot L(F)$$

- ③ If E is RE then  $E^*$  is RE which represents the language which is closure of  $(L(E))^*$ .

④ If  $E$  is RE then  $L(E)$  is RE denoting some language

$$\underline{(E) \rightarrow L(E) \rightarrow L((E)) = L(E)}$$

Ex:-  $a^* \rightarrow \{ \epsilon, a, aa, \dots \}$   
 $a^+ \rightarrow \{ a, aa, aaa, \dots \}$

operators of RE and precedence of RE

( ) : grouping

\* : star closure

• : concatenation

+ : Union/or

### Exercises

Write the regular expression for the following

a) The set of strings over  $\Sigma = \{a, b, c\}$  containing at least one  $a$  & at least one  $b$ .

$$(atb+c)^* a (atb+c)^* b (atb+c)^*$$

$$+ (atb+c)^* b (atb+c)^* a (atb+c)^*$$

b) The set of strings of 0's & 1's whose tenth symbol from the right end is 1

$$(0+1)^* 1 (0+1)^9$$

c) The set of strings of 0's & 1's with at most one pair of consecutive 1's.

$$(0+10)^* 11 (0+10)^* (0+11) (0+01)^*$$

D) The set of all strings of 0's and 1's such that every pair of adjacent 0's appears before any pair of adjacent 1's

$$(0+10)^*(1+01)^*(0+\epsilon) \quad (0011 + 01+1)^*(0+\epsilon)$$

E) The set of strings of 0's & 1's whose no. of 0's is divisible by 5

$$\underline{(1^*01^*01^*01^*01^*01^*)^*}$$

F) strings over  $\Sigma = \{0,1\}$ , not containing 101 as substring.

$$0^* (1^*000^*)^* 1^* 0^*$$

G) strings with an equal no. 0's & 1's such that no prefix has two more 0's than 1's, nor two more 1's than 0's.

$$(01)^* + (10)^*$$

H) strings of 0's & 1's without any consecutive 1's

$$0^*(100^*)^* (1+\epsilon) \quad \text{or} \quad (1+\epsilon) (00^*1)^* 0^*$$

I) strings of 0's and 1's ending with any no. of 1's.

$$(0+1)^* 1^*$$

J) strings of a's and b's whose length is 2.

$$(a+b)(a+b)$$

\* odd strings represented in binary

---

$$(0+1)^* 1$$

\* Even strings represented in binary

---

$$(0+1)^* 0$$

\*  $L = \{w \mid |w| \bmod 3 = |w| \bmod 2\}$

---

$$\Rightarrow ((a+b)^6)^* + (a+b)((a+b)^6)^*$$

\* strings ending with ab or abb.

---

$$\Rightarrow (a+b)^* (ab + abb)$$

\* strings having substring aba.

---

$$\Rightarrow (a+b)^* aba(a+b)^*$$

\* strings beginning with aab or bbb.

---

$$\Rightarrow (aab + bbb) (a+b)^*$$

\*

Write the RE for the following language.

$$L = \{ w \mid w \in \{a, b\}^2 \}$$

$$\boxed{\epsilon + ab + ba \text{ or } (a+b)(a+b)}$$

$$L = \{ w \mid |w| \leq 2, w \in \{a, b\} \}$$

$$\boxed{\epsilon + a + b + aa + ab + bb + ba \text{ or } (\epsilon + a + b)^2}$$

$$L = \{ w \mid |w| \leq 10, w \in \Sigma, \Sigma = \{a, b\} \}$$

$$\boxed{(\epsilon + a + b)^{10}}$$

$$L = \{ w \mid |w| \bmod 2 = 0, w \in \Sigma, \Sigma = \{a, b\} \}$$

$$\boxed{(aa + ab + bb + ba)^* \text{ or } ((a+b)(a+b))^*}$$

$$L = \{ w \mid |w| \bmod 2 = 1, w \in \Sigma, \Sigma = \{a, b\} \}$$

$$\boxed{((a+b)(a+b))^*(a+b) \text{ or } (a+b)((a+b)(a+b))^*}$$

$$L = \{ w \mid w \in \{0, 1\}^* \text{ with at least 3 consecutive zeros} \}$$

$$\boxed{(0+1)^* 000 (0+1)^*}$$

$$L = \{ w \mid w \in \{0, 1\}^* \text{ having no two consecutive zeros} \}$$

$$\boxed{(01+1)^*(0+\epsilon) \text{ or } (0+\epsilon)(10+1)^*}$$

8)  $L = \{ w | w \in \{a, b\}^*, \text{ starting with } a, \text{ and}$   
 ~~$L = \{ w | awb \text{ where } w \in \{a, b\}^*\}$~~

$$a(a+b)^*b.$$

9) second symbol from right in a.

$$(a+b)^*a(a+b)$$

10) third symbol from right in a.

$$(a+b)^*a(a+b)(a+b) \text{ or } (a+b)^*a(a+b)^2$$

11) fourth symbol from right in a

$$(a+b)^*a(a+b)^3$$

12) third symbol in a and 4<sup>th</sup> symbol in b from r

$$(a+b)^*ba(a+b)^2$$

13) begins and ends with same letter.

$$a(a+b)^*a + b(a+b)^*b$$

14) length either even or multiple of three.

$$\begin{aligned} & ((a+b)(a+b))^* + ((a+b)(a+b)(a+b))^* \\ & \text{or} \\ & ((a+b)^2)^* + ((a+b)^3)^*. \end{aligned}$$

Every block of four consecutive symbols contain at least two a's

aabb    baab    bbaa  
abab    baba  
abba    b

$$\begin{aligned} & (aa(a+b)(a+b) + a(a+b)a(a+b) + a(a+b)(a+b)a \\ & + (b+a)aa(a+b) + (a+b)a(a+b)a + (a+b)(a+b)aa) \end{aligned}$$

$$L = \{a^n b^m \mid m+n \text{ is even}\}$$

case 1: if n and m both are even then  
n+m is even.

$$(aa)^* (bb)^*$$

case 2: if n and m both are odd then also  
n+m is even.

$$(aa)^* a (bb)^* b.$$

So final RE will be

$$(aa)^* (bb)^* + (aa)^* a (bb)^* b.$$

$$L = \{a^n b^m \mid m \geq 1, n \geq 1, nm \geq 3\}$$

case 1:-  $m=1, n \geq 3, nm \geq 3$

$$aaaa^* b.$$

case 2:-  $m \geq 3, n=1, nm \geq 3$   
 $abbbb^*$

Case 3: if  $n \geq 2, m \geq 2, nm \geq 3$ .

~~aaa\* bbb\*~~

Final RE will be.

$$\boxed{aaaa^*b + abbbb^* + aaa^*bbb^*}$$

⑧  $L = \{a^{2n}b^{2m} \mid n \geq 0, m \geq 0\}$

$$\boxed{(aa)^*(bb)^*}$$

⑨ strings containing not more than three a's

$$\boxed{b^* (\ell+a) b^* (\ell+a) b^* (\ell+a) b^*}$$

⑩  $L = \{a^n b^m \mid n \geq 4, m \leq 3\}$

$$\boxed{aaaaa^* (\ell+b)(\ell+b)(\ell+b)}$$

or

$$a^4 a^* (\ell+b)^3$$

not multiple of three,  $w \in \{a, b\}^*$

$$\boxed{((a+b)(a+b)(a+b))^*}$$

⑪  $L = L(w)$ :  $n \neq \text{mod } 3 = 0, w \in \{a, b\}^*$

$$\boxed{(b^* \underline{a} b^* \underline{a} b^* \underline{a} b^*)^*}$$

$b^* \underline{aaa}^* b^* \underline{a}^* (aaa)^* b^* (aaa)^* b^*$

⑫ strings that do not end with 01 over  $\{0, 1\}^*$

$$\boxed{(0+1)^*(00+10+11)}$$

ii)  $L = \{vuv : u, v \in \{a, b\}^* \text{ and } |v| = 2\}$

~~Possible substrings of length 2 will be~~

aa ab bb ba.

$$\boxed{aa(a+b)^*aa + ab(a+b)^*ab + bb(a+b)^*bb + ba(a+b)^*ba}$$

iii)  $L = \{w : \text{string ends with ab or ba, } w \in \{a, b\}^*\}$

$$\boxed{(a+b)^*(ab+ba)}$$

strings having at most one pair of consecutive 0's

$$1^* + (1+01)^*0(1+01)^* + (1+01)^*00(1+01)^*$$

iv) at least one a, at least one b,  $\Sigma = \{a, b, c\}$ .

$$\boxed{(a+b+c)^*a(a+b+c)^*b(a+b+c)^* + (a+b+c)^*b(a+b+c)^*a(a+b+c)^*}$$

v) strings of 0's and 1's with at most one pair of consecutive 0's

The string containing at most 2 0's will have the following 3 cases:

case 1 :- No 0's, so, any no of 1's  $\equiv 1^*$ .

case 2 :- A zero preceded by any combination of 1's & 01's and followed by any combination of 1's & 101's  
 $\equiv (1+01)^*0(1+101)^*$ .

case 3: Two consecutive 0's preceded by any  
of 1's and 01's and followed by any  
of 1's and 00's 10's

$$\therefore (1+01)^* 00 (1+10)^*$$

Final RE on combining all three above RE

$$R = 1^* + (1+01)^* 0 (1+10)^* + (1+01)^* 00 (1+10)^*$$

3) strings of 0's in b's with alternate a's and b's

$$(e+b)(ab)^* (e+a)$$

~~(ab)<sup>n</sup>~~  
~~(e+a)~~  
~~(ab)~~  
~~(e+a)~~

3) strings beginning with zero's and followed by odd no. of 1's.

$$00^* (11)^* 1$$

3) strings having at most two consecutive b's

$$R = a^* + (a+ba)^* b (a+ab)^* + (a+ba)^* bb (at most two consecutive b's)$$

3) strings having  $n_a = 2$  and  $n_b \geq 3$

aabb bbaab bbaab bbbaa

ababb babab bbaba

abbab babba

abbbba

$$RE = aabb^* + abb^* abbb^* + abbb^* a b b^* + abbbb^* a  
+ bb^* aabb^* + bb^* abb^* abb^* + bb^* abbb^* a + bbb^* aabb^* a  
+ bbb^* aabb^* a + bbb^* aabb^* a + bbb^* aabb^* a$$

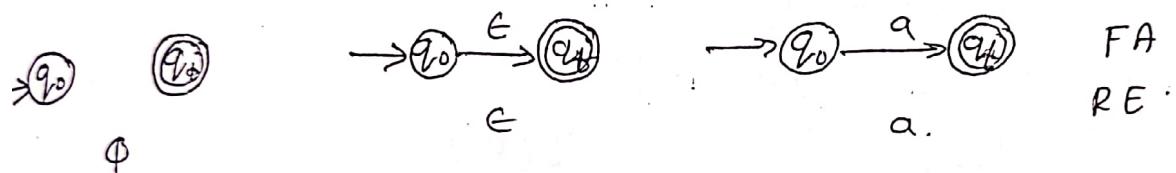
To obtain  $\epsilon$ -NFA from RE

Theorem :-

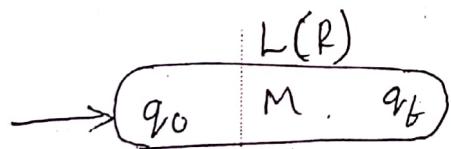
Let  $R$  be a RE. Then there exists a finite automaton  $m = (\Omega, \Sigma, f, q_0, F)$  which accepts  $L(R)$ .

or  
prove that there exists a FA to accept the language  $L(R)$  corresponding to the RE  $R$ .

Proof:- By definition,  $\phi$ ,  $\epsilon$  and  $a$  are RE. So, the corresponding machines to recognize the lang for the respective expression are shown below.



The schematic representation of a RE  $R$  to accept the language  $L(R)$  is given as below.

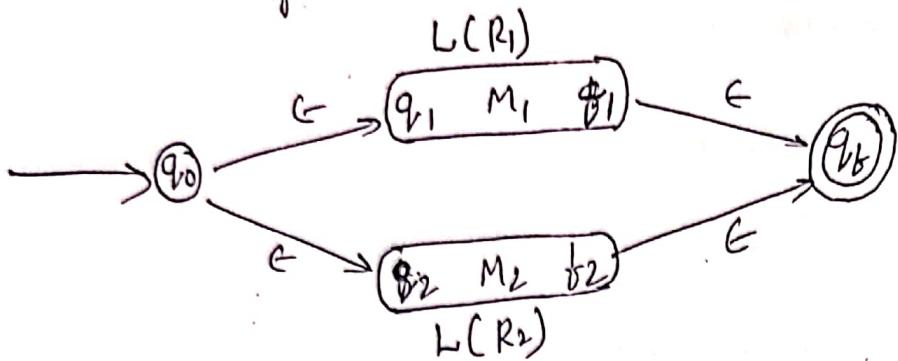


Let  $m_1 = (\Omega_1, \Sigma_1, f_1, q_1, b_1)$  be a machine which accept the lang  $L(R_1)$  corresponding to the RE  $R_1$ .

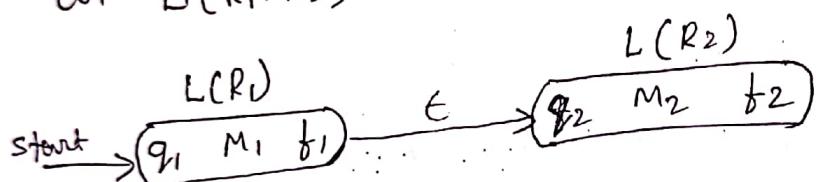
Let  $m_2 = (\Omega_2, \Sigma_2, f_2, q_2, b_2)$  be a machine which accept the lang  $L(R_2)$  corresponding to the RE  $R_2$ .

Then the various machines corresponding to the RE  $R_1 + R_2$ .  
 $R_1$  and  $R_2$  are show below in three cases.

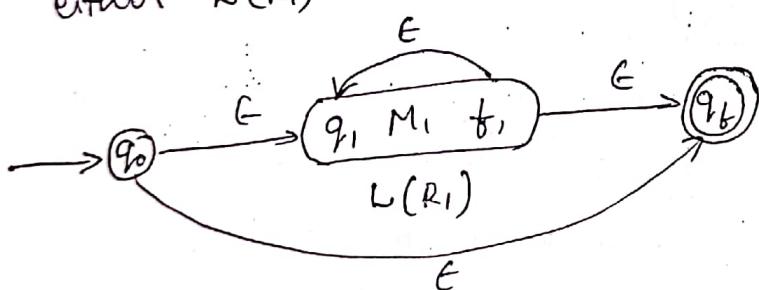
case 1 :  $R = R_1 + R_2$ . we can construct an E-NFA which accepts either  $L(R_1)$  or  $L(R_2)$  which can be represented as  $L(R_1 + R_2)$  as below.



case 2 :  $R = R_1 \cdot R_2$ . we can construct an E-NFA which accepts  $L(R_1)$  followed by  $L(R_2)$  which can be represented as  $L(R_1 \cdot R_2)$  as below.



case 3 :-  $R = (R_1)^*$ . we can construct an E-NFA which accepts either  $L(R_1^*)$  or  $L(R_1)^*$ .



I obtain an E-NFA which accepts strings of a's and b's starting with ab.

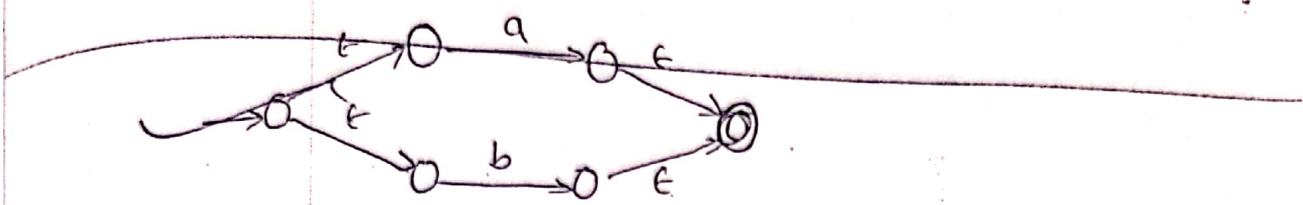
soln:- The RE is  $ab(a+b)^*$

step 1:- m/c to accept a  $a \rightarrow O \xrightarrow{a} \bigcirc$

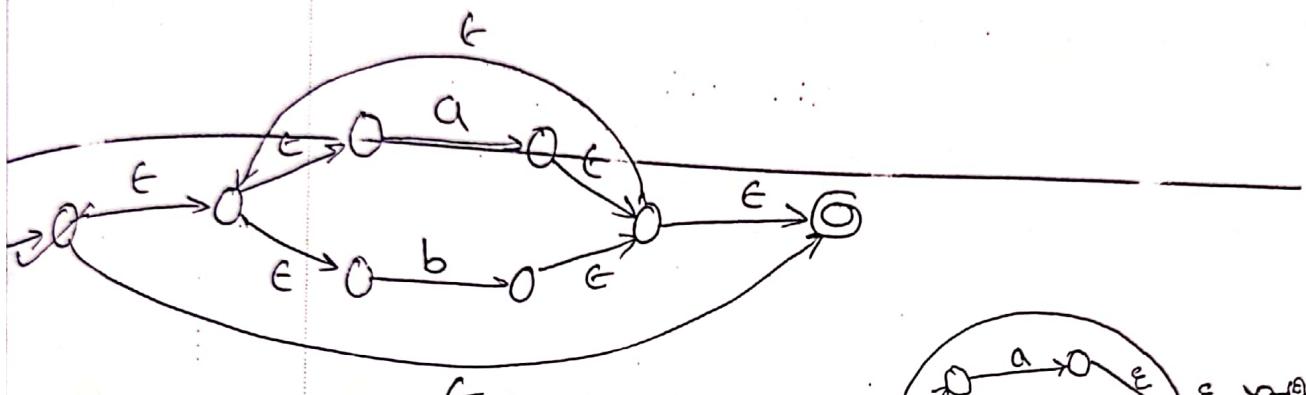
b  $\rightarrow O \xrightarrow{b} \bigcirc$

step 2:- m/c to accept

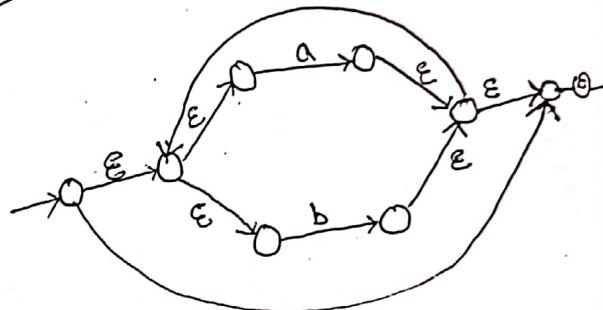
step 3 :- m/c to accept  $a+b$



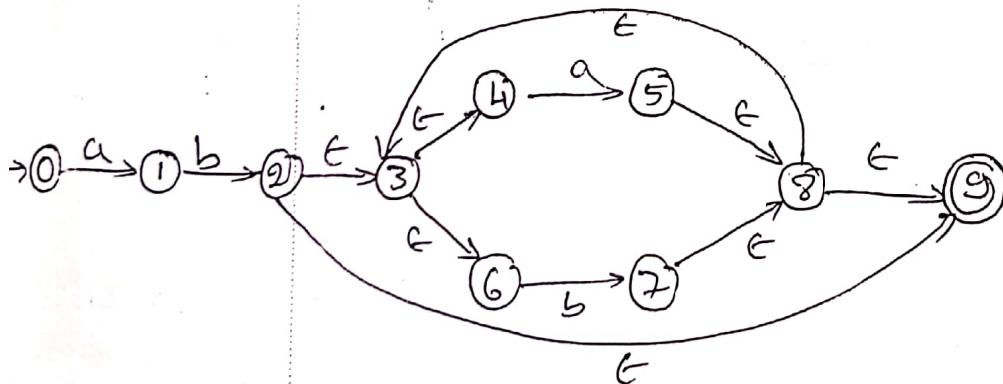
step 4 :- m/c to accept  $(a+b)^*$



step 5 :- m/c to accept  $ab$

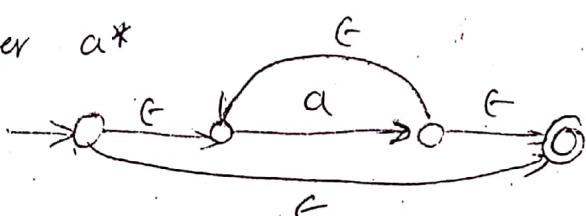


step 6 :- Now combine all the above machines to accept  $ab(a+b)^*$ . as shown below.

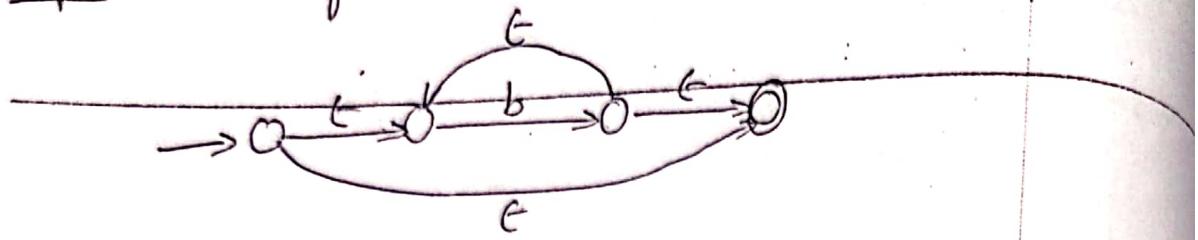


obtain an  $\epsilon$ -NFA for the RE  $a^* + b^* + c^*$ .

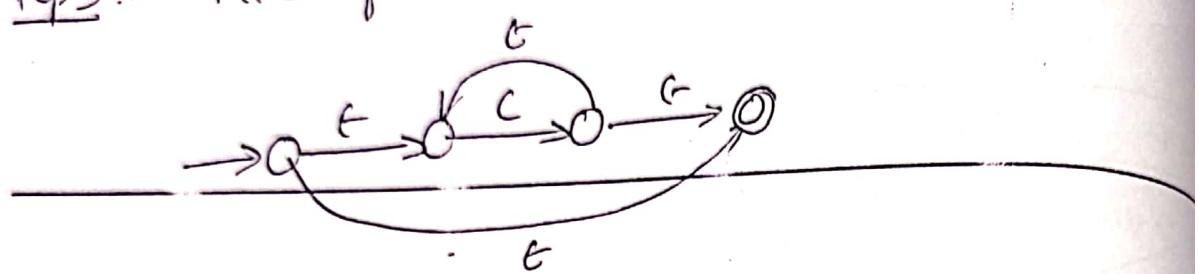
step 1 :- m/c for  $a^*$



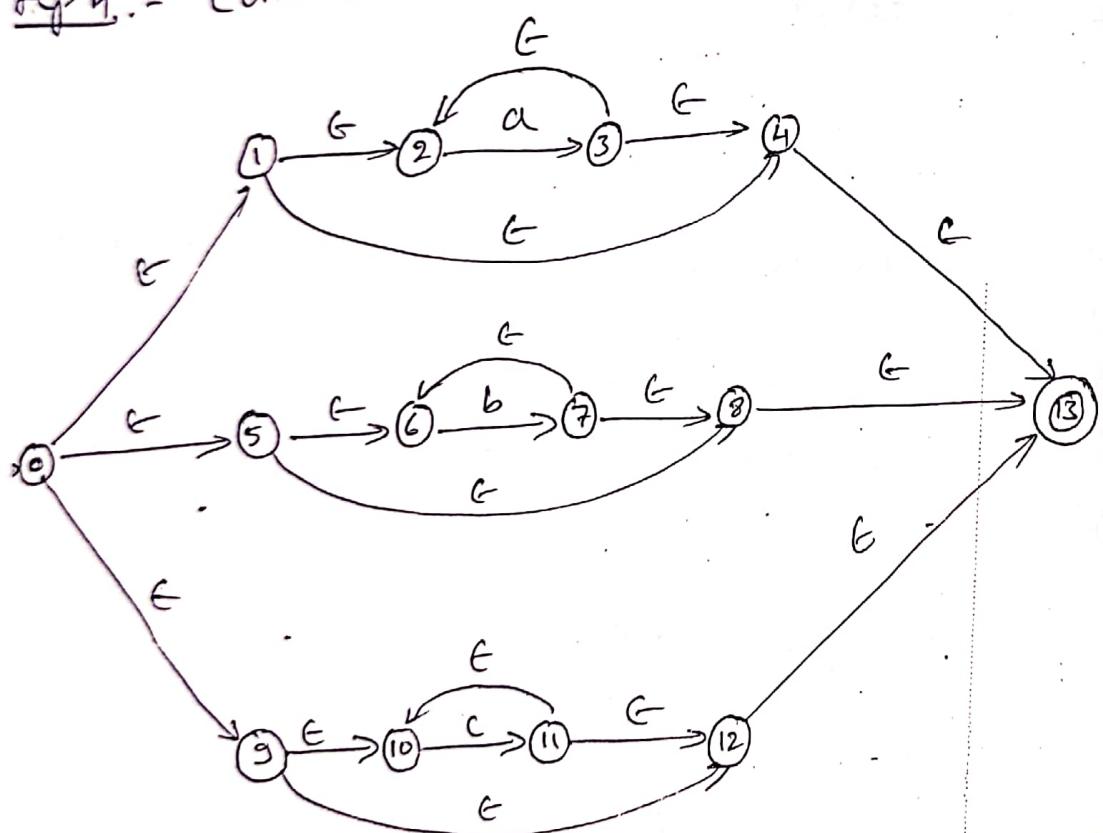
step 2 :- mfc for  $b^*$



step 3 :- mfc for  $c^*$



step 4 :- combine all the above mfs

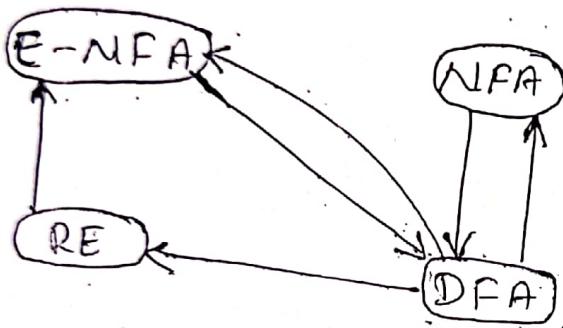


## Finite Automata and Regular Expressions

FA and RE are the two notations used to represent exactly the same set of languages. In order to show that the RE define the same class of lang, we must show that.

① Every lang defined by one of the automata is also defined by RE

② Every lang defined by RE is defined by one of the automata.



Plan for showing the equivalence of four diff notations for Reg languages.

FA's to RE :-

Theorem:- If  $L = L(A)$  for some DFA A, then there is a RE R such that  $L = L(R)$ .

Proof:- Let the states of A are  $\{1, 2, \dots, n\}$  for some integer  $n$ .

Let  $R_{ij}^{(k)}$  be the name of a RE whose lang is the set of strings w such that w is the label of a path from state i to state j in A, and that path has no intermediate node whose no is greater than k.

To construct the expressions  $R_{ij}^{(k)}$ , we use the following inductive definition, starting at  $k=0$  and finally reaching  $k=n$ .

Basis :-  $k=0$  [no intermediate node]  
 kinds of paths that meet such a condition  
 ①. An arc from node (state)  $i$  to node  $j$ .  
 ②. A path of length 0 that consists of only  $i$ .

If  $i \neq j$  then only case ① is possible.

Find the input symbols  $a$  such that there  
 transition from state  $i$  to state  $j$  on symbol  $a$

(a) If no such symbol  $a$  then  $R_{ij}^{(0)} = \emptyset$

(b) If there is exactly one symbol  $a$  then,  $R_{ij}^{(0)}$

(c) If there are symbols  $a_1, a_2, \dots, a_m$  that label  
 from state  $i$  to state  $j$  then  $R_{ij}^{(0)} = a_1 + a_2 + \dots + a_m$ .

If  $i = j$ , then the legal paths are the path of  
 and all loops from  $i$  to itself.

(a)  $R_{ii}^{(0)} = \epsilon$  no symbols,  $a$

(b)  $R_{ii}^{(0)} = a + \epsilon$  only one symbol  $a$

(c)  $R_{ii}^{(0)} = a_1 + a_2 + \dots + a_m + \epsilon$ ,  $m$  no. of symbols

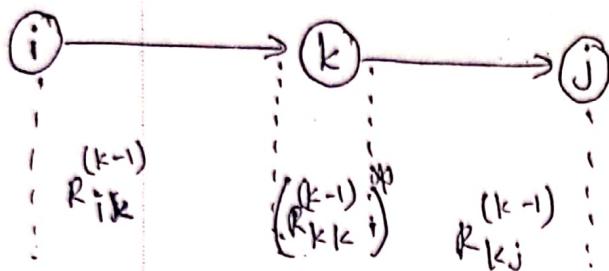
Induction :-

Suppose there is a path from state  $i$  through no state higher than  $k$ . Then there are two possible cases.

① path does not go through state  $k$  at all.  
 In this case, the label of the path is in the language  
 $R_{ij}^{(k-1)}$

② The path goes through state  $k$  at least once.  
then the path can be cut into several pieces.

; to  $k$ ,  $k$  to itself,  $k$  to  $j$  path.



The set of labels for all paths of this type is represented by the RE  $R_{ik}^{(k-1)} \cdot (R_{kk}^{(k-1)})^* \cdot R_{kj}^{(k-1)}$

when above two cases are combined, we get RE

$$R_{ij}^{(k)} = R_{ij}^{(k-1)} + R_{ik}^{(k-1)} \cdot (R_{kk}^{(k-1)})^* \cdot R_{kj}^{(k-1)}$$

for the labels of all paths from state  $i$  to  $j$  that pass through no state higher than  $k$ .

By constructing the RE in increasing order of subscripts, where  $R_{ij}^{(k)}$  depends only on expressions with a smaller superscript and all the regular expression are available whenever there is a need. Finally, we will have  $R_{ij}^{(n)}$  for all  $i \neq j$ ,

assume that state  $i$  is the initial state and the RE for the language is the sum of all RE  $R_{ij}^{(n)}$  where state  $j$  is an accepting state.

Ex:- convert the following DFA to RE



Solu:- Basis step

$$R_{11}^{(0)} = \epsilon + 1$$

$$R_{12}^{(0)} = 0$$

$$R_{21}^{(0)} = \phi$$

$$R_{22}^{(0)} = \epsilon + 0 + 1$$

$$(R + S\cup T)^* S\cup T^*$$

$$(1 + 0(0+1)^* 1)^* 0(0+1)^*$$

$$1^* 0(0+1)^*$$

Induction step:-

$$R_{ij}^{(k)} = R_{ij}^{(k-1)} + R_{ik}^{(k-1)} \cdot (R_{kk}^{(k-1)})^* \cdot R_{kj}^{(k-1)}$$

where ~~R<sub>ii</sub>~~ k = 1

$$R_{ij}^{(1)} = R_{ij}^{(0)} + R_{ik}^{(0)} \cdot (R_{kk}^{(0)})^* \cdot R_{kj}^{(0)}$$

$$R_{11}^{(1)} = (\epsilon + 1) + (\epsilon + 1) \cdot (\epsilon + 1)^* \cdot (\epsilon + 1) \quad \text{iii } 1$$

$$R_{12}^{(1)} = 0 + (\epsilon + 1) \cdot (\epsilon + 1)^* 0 \quad \text{iii } 1^*$$

$$R_{21}^{(1)} = \phi + \phi \cdot (1 + \epsilon)^* (1 + \epsilon) \quad \text{iii } \phi$$

$$R_{22}^{(1)} = (\epsilon + 0 + 1) + \phi \cdot (1 + \epsilon)^* 0 \quad \text{iii } \epsilon +$$

$$R_{11}^2 = 1^* + 1^* = 1^*$$

$$R_{12}^2 = 1^* 0(0+1)^*$$

$$R_{21}^2 = \phi$$

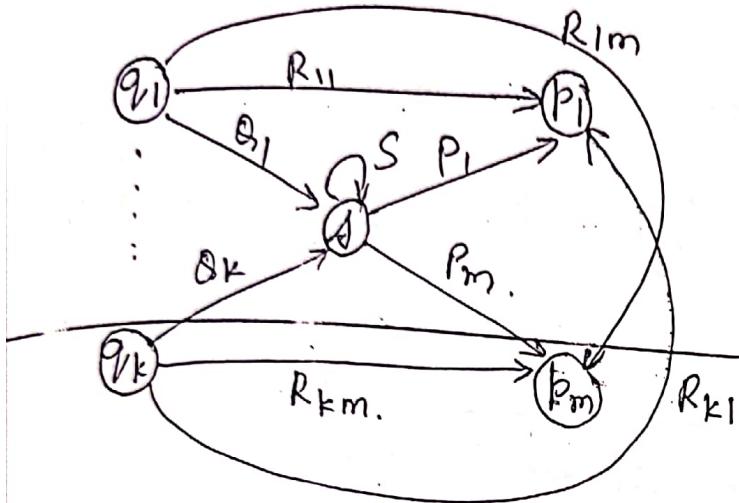
$$R_{22}^2 = (0+1)^*$$

The Final RE will be  $R_{ii}^2$  when initial state, i is final state in the main no state.

$$\boxed{R_{12}^2 = 1^* 0(0+1)^*}$$

state Elimination method for FA to RE conversion.

General form of DFA can be given as



$q_1, \dots, q_k$  are predecessor states.

$s$  is the intermediate state.

$p_1, \dots, p_m$  are successor nodes

The labels on are RE along the path.

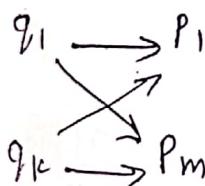
on eliminating node  $s$ , the arcs to be updated are identified as below

$$\begin{matrix} \downarrow & \uparrow \\ 2 & \times & 2 = 4 \end{matrix}$$

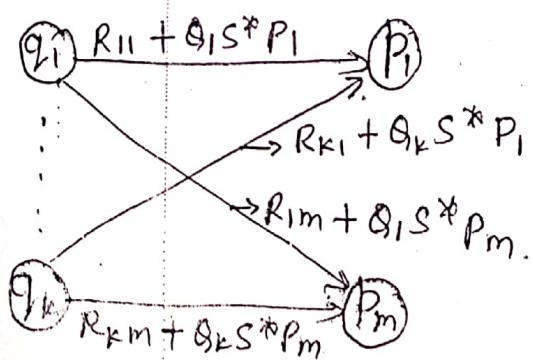
$\downarrow$  → incident lines on  $s$ .

$\uparrow$  → outgoing lines from  $s$

4 → give the no. of arcs to be updated.



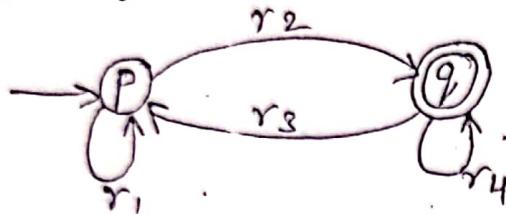
The arcs to be updated are as shown here. Finally we will have the reduced Automaton as follows.



$(P1S^*T^*)^*$   
 $(P2S^*T^*)^*$   
 $(P3S^*T^*)^*$   
 $(P4S^*T^*)^*$

By applying the above reduction  
sively or given FA. we will be <sup>proce</sup>  
possible cases as follows. <sup>results</sup>

case 1 :- If start state is not final state



$$RE = r_1 * r_2 (r_4 + r_3)$$

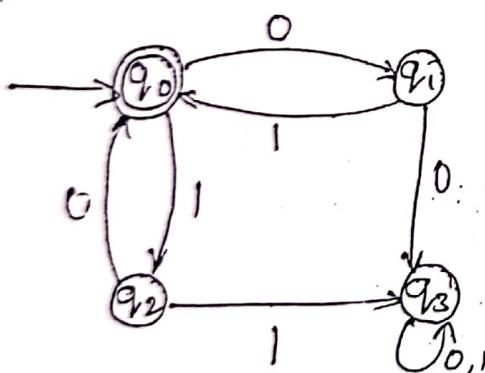
case 2 :- If start state is final state



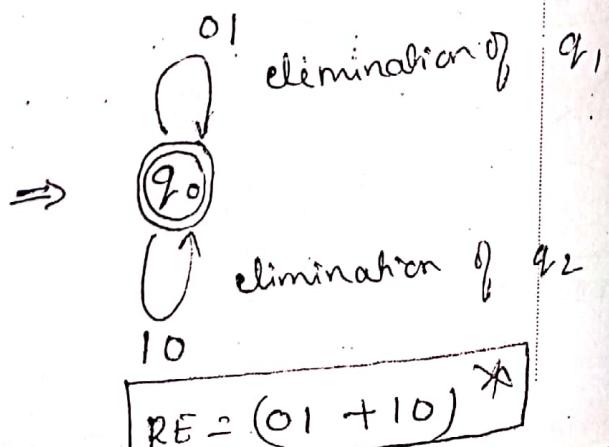
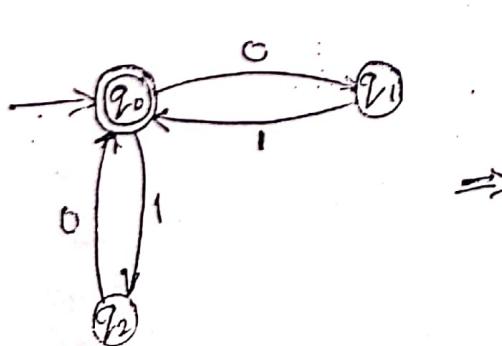
$$RE = (\gamma)^*$$

The final RE is the sum of all the RE obtain  
the reduced automata for each accepting sta

Ex: ① Obtain RE for the below FA.



$q_3$  is the dead state  
and it is to be remove  
given DFA.



$$RE = (01 + 10)^*$$

## Algebraic Laws for RE

Associativity and commutativity:

(a) Commutative law for union.

$$L + M = M + L$$

(b) Associative law for union.

$$(L + M) + N = L + (M + N)$$

(c) Associative law for concatenation.

$$(L^m)N = L(MN)$$

Identifiers and Annihilators

(a)  $\phi + L = L + \phi = L$ . [ $\phi$  is identity for union]

(b)  $\epsilon L = L\epsilon = L$  [ $\epsilon$  is identity for join].

(c)  $\phi L = L\phi = \phi$  [ $\phi$  is annihilator for join]

Distributive Laws.

(a)  $L(M+N) = LM + LN$ . [Left distributive law of join]

(b)  $(M+N)L = ML + NL$  [Right distributive law of join]

Idempotent Law

(a)  $L + L = L$ .

Laws involving closures

(a)  $(L^*)^* = L^*$

(d)  $L^+ = LL^*$

(b)  $\phi^* = \epsilon$

(e)  $L^* = \epsilon + L^+$

(c)  $\epsilon^* = \epsilon$

# Properties of Regular languages

RL exhibit two types of properties.

① Closure properties

② Decision properties.

## ① Closure properties

some new lang can be constructed from existing lang using certain operations such as intersection, concatenation etc we can build for these new languages using some of the properties of RL. These properties are called closure properties of RL.

There are various closure properties, as listed

① RL are closed under union, concatenation and

Theorem: If  $L_1$  and  $L_2$  are Regular, then  $L_1 \cup L_2$  and  $L_1^*$  also denote RL.

proof:- It is given that  $L_1$  and  $L_2$  are RL. there exists RE  $R_1$  and  $R_2$  such that

$$L_1 = L(R_1)$$

$$L_2 = L(R_2)$$

By the definition of RE, we have

(a)  $R_1 + R_2$  in a RE denoting the lang  $L_1 \cup L_2$

(b)  $R_1 \cdot R_2$  in a RE denoting the lang  $L_1 \cdot L_2$

(c)  $R_1^*$  in a RE denoting the lang  $L_1^*$

so, the RL are closed under union, concatenation and star.

## Closure under complementation

Theorem :- If  $L$  is RL, then the complement of  $L$  denoted by  $\bar{L}$  is also regular.

Proof :-

Let  $M_1 = (\mathcal{Q}, \Sigma, \delta, q_0, F)$  be a DFA which accepts the lang  $L$  which is regular.

Let us define the machine  $M_2 = (\mathcal{Q}, \Sigma, \delta, q_0, \mathcal{Q}-F)$  which accepts  $\bar{L}$ .

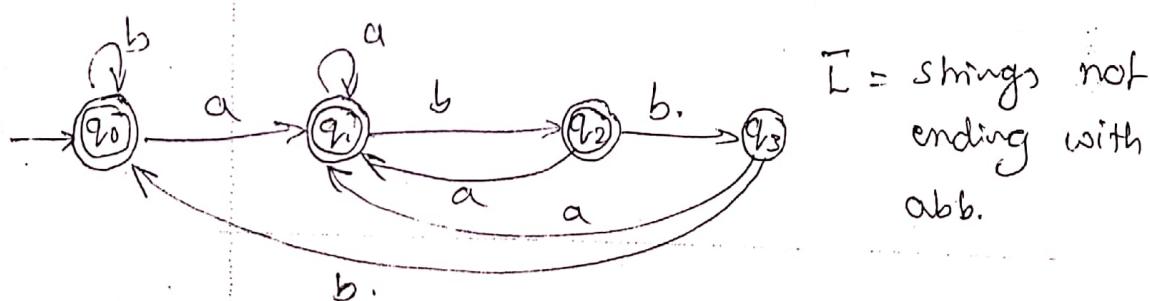
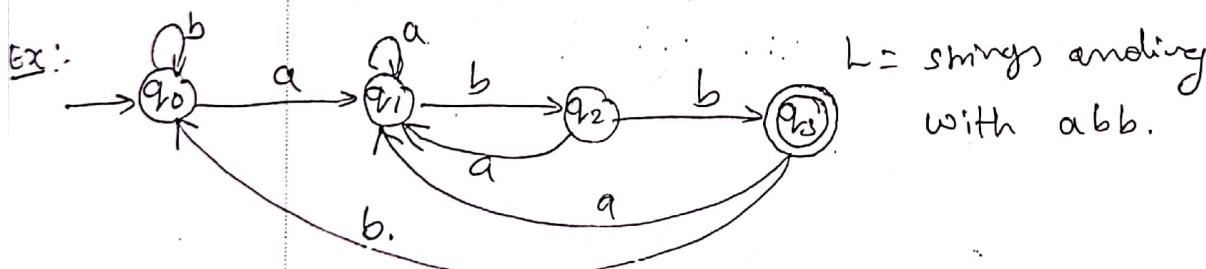
Note that there is no diff bet  $M_1$  and  $M_2$  except the final states.

The final state of  $M_1$  will be the non-final state for  $M_2$  and vice versa.

so the lang rejected by  $M_1$  is accepted by  $M_2$ .

Thus, we have a machine  $M_2$  which accepts all those strings denoted by  $\bar{L}$  that are rejected by  $M_1$ .

so RL are closed under complementation.



### ③ Closure under intersection

Theorem :- If  $L_1$  and  $L_2$  are regular, then  $L_1 \cap L_2$  is also regular.

Proof :- Let  $M_1 = (\Omega_1, \Sigma, \delta_1, q_1, F_1)$  accepts  
 $M_2 = (\Omega_2, \Sigma, \delta_2, q_2, F_2)$  accepts

E

then  $L_1 \cap L_2$  is accepted by the machine

$M = (\Omega, \Sigma, \delta, q_0, F)$  where

$$\Omega = \Omega_1 \times \Omega_2$$

$\Sigma$  is common to all.

$$q_0 = (q_1, q_2)$$

$$F = \{ (p, q) \mid p \in F_1 \text{ and } q \in F_2 \}$$

$\delta : Q \times \Sigma \rightarrow Q$  is defined by

$$\delta((p, q), a) = (\delta(p, a), \delta(q, a))$$

the string is accepted iff

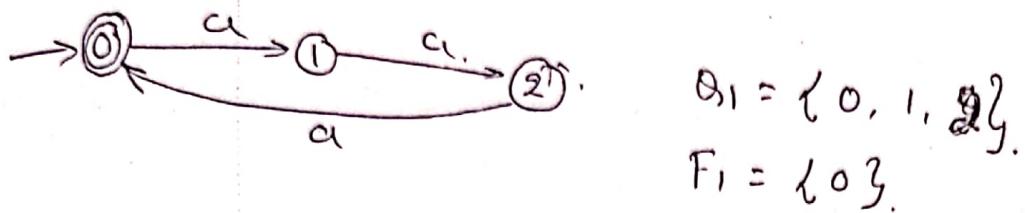
$$\hat{\delta}((q_1, q_2), w) \in F$$

$\hat{\delta}((q_1, w), \hat{\delta}(q_2, w)) \in F$  This will happen

$\hat{\delta}_1(q_1, w) \in F_1$  and  $\hat{\delta}_2(q_2, w) \in F_2$

$w \in L_1 \cap L_2$

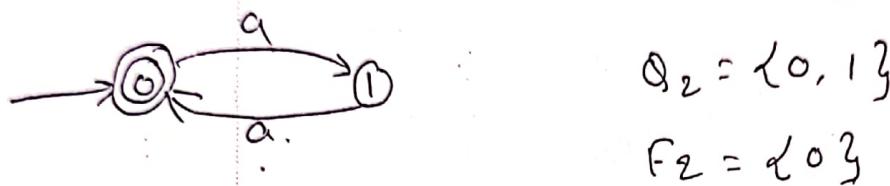
obtain a DFA for the language  $L$  below.  
 $L = \{w : |w| \text{ mod } 3 = |w| \text{ mod } 2, w \in \{a\}^*\}$   
 $|w| \text{ mod } 3 = 0 : M_1$



$$Q_1 = \{0, 1, 2\}$$

$$F_1 = \{0\}$$

$|w| \text{ mod } 2 = 0 : M_2$



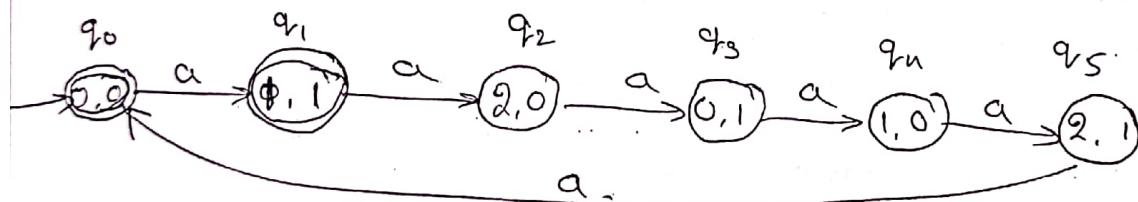
$$Q_2 = \{0, 1\}$$

$$F_2 = \{0\}$$

$$\times Q_2 = Q = \{(0,0), (0,1), (1,0), (1,1), (2,0), (2,1)\}$$

$$F = \{(0,0), (1,1)\}$$

The DFA for  $M_1 \cap M_2$  can be given as.



### Closure under Difference

Theorem:- If  $L_1$  and  $L_2$  are RL, then  $L_1 - L_2$  is regular

Proof:- Let  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  accepts  $L_1$

$M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$  accepts  $L_2$

then we can define  $L_1 - L_2$  as follows

$L_1 - L_2 = M = (\mathcal{Q}, \Sigma, \delta, q_0, F)$

$\mathcal{Q} \rightarrow \mathcal{Q}_1 \times \mathcal{Q}_2$  where  $(p, q)$  is in  $\mathcal{Q}$ .

$\Sigma \rightarrow \Sigma_1 \times \Sigma_2$  same as in  $M_1$  and  $M_2$

$\delta \rightarrow \delta_1 \times \delta_2$  to  $\mathcal{Q}$  is defined by  $\delta((p, q), a) = (\delta_1(p, a), \delta_2(q, a))$

$q_0 \rightarrow (q_{10}, q_{20})$  in the start state.

$F \rightarrow \{(p, q) \mid p \in F_1 \text{ and } q \in F_2\}$

The string  $w$  is accepted iff.

The string  $w$  is in  $F$

$\delta((q_{10}, q_{20}), w)$  is in  $F$

in  $(\delta_1(q_{10}, w), \delta_2(q_{20}, w))$  is in  $F$

This will happen iff

$\delta_1(q_{10}, w) \in F_1$  and  $\delta_2(q_{20}, w) \notin F_2$

3) Closure under Reversal:

Let  $w = a_1 a_2 a_3 \dots a_n$ . Then the reversal

string  $w$  denoted by  $w^R$  is defined as a string which is written backwards in  $w^R = a_n a_{n-1} \dots a_1$ .

Ex:-  $w = 0111$  then  $w^R = 1110$ .

$w = \epsilon$  then  $w^R = \epsilon^R = \epsilon$ .

The reversal of lang  $L$  is denoted by  $L^R$  and is the set of all strings of  $L$  that are reversed.

Ex:-  $L = \{\epsilon, 0, 10, 110, 11100\}$ .

$L^R = \{\epsilon, 0, 01, 011, 00111\}$

Note.

Given a finite automata, the reversal of finite can be obtained by reversing all the arcs in the diagram.