

On Half-Way AZ-Style Identities

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Abstract The Ahlswede–Zhang identity is an elegant sharpening of the famous LYM-inequality. Recently, we have found a parametrised identity which implies the AZ identity and characterizes deficiencies of other inequalities in combinatorics. In this paper, we show identities of half-way extraction from AZ-style identities. These identities aim to characterize more clearly terms participating in AZ identities or LYM-style inequalities.

Keywords Poset · LYM inequality · AZ identity

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1 Introduction

Let $[n] = \{1, 2, \dots, n\}$, $2^{[n]}$ denote the family of all subsets of $[n]$, \mathcal{P}_k be the family of all subsets of $[n]$ of size k , and \emptyset be the empty set. If $\emptyset \neq \mathcal{F} \subseteq 2^{[n]}$ and $A \not\subseteq B$ for all $A, B \in \mathcal{F}$, $A \neq B$, then \mathcal{F} is called a *Sperner family* or an *antichain*. The well-known LYM inequality (Lubell, Yamamoto, Meshalkin) is

$$\sum_{F \in \mathcal{F}} \frac{1}{\binom{n}{|F|}} \leq 1,$$

where \mathcal{F} is an arbitrary antichain.

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The LYM inequality can be sharpened or generalized in several ways. For example, by adding to the left hand side of the LYM inequality suitable terms [5, 6, 10] or by proposing a stronger inequality implying the LYM inequality [8], by unifying LYM-style inequalities with a common generalization [4], by studying LYM-style inequalities for other types of posets [4, 8, 11]. Recently, Katona has given a revised presentation of the LYM inequality with linear or non-linear profile-vectors [13].

Especially, Ahlswede and Zhang [1] found a powerful identity (called *AZ identity*) which is a sharpening of the original LYM inequality. Moreover, the generalisation of the AZ identity [2] implies Bollobás inequality for two set systems [7]. Several results on AZ-style identities are also proposed; for example, AZ-style identities for other posets [3], pseudo-LYM inequalities and AZ identities [11], duals of AZ-identities [9, 12], AZ type identity for k -chains in k -Sperner families [14], extremal cases of the Ahlswede–Cai identity [15], parametrised AZ identity [17].

In this paper, we present identities of “*half-way extraction*” from the recent generalization of AZ identities [17]. It means that summation in these identities is over subsets whose cardinalities are not exceeding $\frac{n}{2}$. The *half-way identities* characterize more clearly terms in LYM-style inequalities or AZ-style identities.

Let \mathcal{G} be the family of all \mathcal{F} such that $\emptyset \neq \mathcal{F} \subseteq 2^{[n]}$. For every $\mathcal{F} \in \mathcal{G}$ the downset is defined

$$\mathcal{D}(\mathcal{F}) = \{D \subset [n] : D \subset F \text{ for some } F \in \mathcal{F}\},$$

and the upset is

$$\mathcal{U}(\mathcal{F}) = \{U \subset [n] : U \supset F \text{ for some } F \in \mathcal{F}\}.$$

Then for every $X \subseteq [n]$, we define

$$Z_{\mathcal{F}}(X) = \begin{cases} \emptyset & \text{if } X \notin \mathcal{U}(\mathcal{F}), \\ \bigcap_{X \supseteq F \in \mathcal{F}} F & \text{otherwise.} \end{cases}$$

In fact, the case $X \notin \mathcal{U}(\mathcal{F})$ is trivial; we are concerned only with the value of $Z_{\mathcal{F}}(X)$ whenever $X \in \mathcal{U}(\mathcal{F})$.

Theorem 1 (Thu [17]) *Let m be an integer, $\emptyset \neq \mathcal{A} \in \mathcal{G}$. If $|A| + m > 0$ for each $A \in \mathcal{A}$, then*

$$\sum_{X \in \mathcal{U}(\mathcal{A})} \frac{m + |Z_{\mathcal{A}}(X)|}{(m + |X|) \binom{m+n}{m+|X|}} = 1. \quad (1)$$

The following inequality of Bollobás relates to intersecting Sperner families.

Theorem 2 (Bollobás [7]) *Let \mathcal{A} be an intersecting antichain of subsets of $[n]$ such that $|A| \leq \frac{n}{2}$ for each $A \in \mathcal{A}$. Then*

$$\sum_{A \in \mathcal{A}} \frac{1}{\binom{n-1}{|A|-1}} \leq 1. \quad (2)$$

We get the original AZ identity [1] by setting $m = 0$ in (1). On the other hand, for every antichain \mathcal{A} with $|A| > 1$ for each $A \in \mathcal{A}$, the case $m = -1$ gives the following identity

$$\sum_{A \in \mathcal{A}} \frac{1}{\binom{n-1}{|A|-1}} + \sum_{X \in \mathcal{U}(\mathcal{A}) \setminus \mathcal{A}} \frac{|Z_{\mathcal{A}}(X)| - 1}{(|X| - 1) \binom{n-1}{|X|-1}} = 1,$$

which is a generalization of inequality (2) for intersecting families, as well as the Tuza's inequality for Helly families [18].

In Sect. 2 of the paper, we introduce *half-way identities* for a set system. Section 3 presents some applications and the relationship between these identities and LYM-style inequalities. Finally, the case of two set systems is considered in Sect. 4.

2 Main Theorem

Theorem 3 *Let m be an integer, $\emptyset \notin \mathcal{A} \in \mathcal{G}$. If $|A| + m > 0$ for each $A \in \mathcal{A}$, then*

$$\sum_{X \in \mathcal{U}(\mathcal{A}), |X| \leq \lfloor \frac{n}{2} \rfloor} \frac{m + |Z_{\mathcal{A}}(X)|}{(m + |X|) \binom{m+n}{m+|X|}} = \frac{|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{m+n}{\lfloor \frac{n}{2} \rfloor}}. \quad (3)$$

The identity (3) is found when we consider thoroughly terms in the identity (1). Now the same technique as in [16, 17] is used to give an induction proof of (3).

Lemma 1 *Let r, s, n be positive integers such that $\lceil \frac{n}{2} \rceil \leq r < n$. Then*

$$\sum_{k=0}^{r - \lceil \frac{n}{2} \rceil} \binom{r}{k} \frac{s}{(s+k) \binom{s+r}{s+k}} = \frac{\binom{r}{\lceil \frac{n}{2} \rceil}}{\binom{s+r}{\lceil \frac{n}{2} \rceil}}. \quad (4)$$

Proof Let $L(n)$ and $R(n)$ be the left and the right hand side of (4) respectively. We prove (4) by induction on n . The condition on r, n implies $n \geq 2$. When $n = 2$, r must be 1 and $L(2) = R(2) = \frac{1}{s+1}$. We assume (4) holds for $n \geq 2$ and consider the case of $n + 1$. Observe that for n odd we have $\lceil \frac{n+1}{2} \rceil = \lceil \frac{n}{2} \rceil$, so $L(n+1) = L(n)$, $R(n+1) = R(n)$ and hence, by the induction hypothesis, $L(n+1) = R(n+1)$. Suppose now n is even and put $n = 2m$. Then we have

$$L(n+1) = L(n) - \binom{r}{r-m} \frac{s}{(s+r-m) \binom{s+r}{s+r-m}}.$$

Replacing $L(n)$ by $R(n)$ and then evaluating the latter expression we get $L(n+1) = R(n+1)$. \square

Lemma 2 [9, 16] *Let $\emptyset \notin \mathcal{A} \in \mathcal{G}$, $\emptyset \notin \mathcal{B} \in \mathcal{G}$ and put*

$$\mathcal{A} \vee \mathcal{B} = \{A \cup B : A \in \mathcal{A} \text{ and } B \in \mathcal{B}\}.$$

Then for each $\emptyset \neq X \subset [n]$ we have

$$|Z_{\mathcal{A} \cup \mathcal{B}}(X)| = |Z_{\mathcal{A}}(X)| + |Z_{\mathcal{B}}(X)| - |Z_{\mathcal{A} \vee \mathcal{B}}(X)|.$$

Induction proof of Theorem 3. We follow a similar process of the proof of Theorem 3 in [17]. Put $W(X) = (m + |X|) \binom{m+n}{m+|X|} > 0$ for all $X \in \mathcal{U}(\mathcal{A})$.

Case 1 $|\mathcal{A}| = 1$ so $\mathcal{A} = \{A\}$, $A \neq \emptyset$, $|A| + m > 0$. For each $[n] \supseteq X \supseteq A$ we have $Z_{\mathcal{A}}(X) = A$. Put $a = |A| > 0$. If $a > \lfloor \frac{n}{2} \rfloor$ then both sides of (3) are zero. So we can suppose $a \leq \lfloor \frac{n}{2} \rfloor$, then the left hand side of (3) is

$$\begin{aligned} \text{LHS (3)} &= \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor - a} \binom{n-a}{k} \frac{a+m}{(a+k+m) \binom{n+m}{a+k+m}} \\ &= \sum_{k=0}^{r-\lceil \frac{n}{2} \rceil} \binom{r}{k} \frac{s}{(s+k) \binom{s+r}{s+k}}, \end{aligned}$$

where $r = n - a$ and $s = a + m$ which imply $m + n = s + r$ and $\lfloor \frac{n}{2} \rfloor \leq r < n$, $s > 0$. By Lemma 1, we have

$$\text{LHS (3)} = \frac{\binom{r}{\lfloor \frac{n}{2} \rfloor}}{\binom{s+r}{\lfloor \frac{n}{2} \rfloor}} = \frac{\binom{n-a}{\lfloor \frac{n}{2} \rfloor}}{\binom{m+n}{\lfloor \frac{n}{2} \rfloor}} = \frac{\binom{n-a}{\lfloor \frac{n}{2} \rfloor - a}}{\binom{m+n}{\lfloor \frac{n}{2} \rfloor}}.$$

Since the numerator of the last term is $|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|$ in this case, we deduce immediately $\text{LHS(3)} = \text{RHS(3)}$.

Case 2 We assume (3) holds for $1 \leq |\mathcal{A}| < h$ and consider the case $\mathcal{A} = \{A_1, A_2, \dots, A_h\}$ with $h > 1$ and $|A_i| + m > 0$ for each $1 \leq i \leq h$. Let $\mathcal{B} = \{A_1, \dots, A_{h-1}\}$ and $\mathcal{D} = \{A_h\}$. Then we write LHS(3) as (by using Lemma 2 in the same way as in [17]):

$$\begin{aligned} \text{LHS(3)} = & \sum_{\substack{X \in \mathcal{U}(\mathcal{B}) \\ |X| \leq \lfloor \frac{n}{2} \rfloor}} \frac{|Z_{\mathcal{B}}(X)| + m}{W(X)} + \sum_{\substack{X \in \mathcal{U}(\mathcal{D}) \\ |X| \leq \lfloor \frac{n}{2} \rfloor}} \frac{|Z_{\mathcal{D}}(X)| + m}{W(X)} \\ & - \sum_{\substack{X \in \mathcal{U}(\mathcal{B} \vee \mathcal{D}) \\ |X| \leq \lfloor \frac{n}{2} \rfloor}} \frac{|Z_{\mathcal{B} \vee \mathcal{D}}(X)| + m}{W(X)}. \end{aligned}$$

Now \mathcal{B} , \mathcal{D} , $\mathcal{B} \vee \mathcal{D}$ satisfy the condition $|A| + m > 0$ for each set A belonging to them, and have cardinalities less than h . By the induction hypothesis, LHS(3) now is

$$\text{LHS(3)} = \frac{|\mathcal{U}(\mathcal{B}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{m+n}{\lfloor \frac{n}{2} \rfloor}} + \frac{|\mathcal{U}(\mathcal{D}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{m+n}{\lfloor \frac{n}{2} \rfloor}} - \frac{|\mathcal{U}(\mathcal{B} \vee \mathcal{D}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{m+n}{\lfloor \frac{n}{2} \rfloor}}.$$

Then using $\mathcal{U}(\mathcal{B} \vee \mathcal{D}) = \mathcal{U}(\mathcal{B}) \cap \mathcal{U}(\mathcal{D})$ to write $\mathcal{U}(\mathcal{B} \vee \mathcal{D}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}$ as

$$[\mathcal{U}(\mathcal{B}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}] \cap [\mathcal{U}(\mathcal{D}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}]$$

and rewrite LHS(3) as

$$\frac{|[\mathcal{U}(\mathcal{B}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}] \cup [\mathcal{U}(\mathcal{D}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}]|}{\binom{m+n}{\lfloor \frac{n}{2} \rfloor}} = \frac{|[\mathcal{U}(\mathcal{B}) \cup \mathcal{U}(\mathcal{D})] \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{m+n}{\lfloor \frac{n}{2} \rfloor}}.$$

Since $\mathcal{U}(\mathcal{B}) \cup \mathcal{U}(\mathcal{D}) = \mathcal{U}(\mathcal{B} \cup \mathcal{D}) = \mathcal{U}(\mathcal{A})$, the last term is $RHS(3)$. □
We deduce from (1) and (3) the following consequence.

Corollary 1 *Let m be an integer, $\emptyset \notin \mathcal{A} \in \mathcal{G}$. If $|A| + m > 0$ for each $A \in \mathcal{A}$, then*

$$\sum_{X \in \mathcal{U}(\mathcal{A}), |X| > \lfloor \frac{n}{2} \rfloor} \frac{m + |Z_{\mathcal{A}}(X)|}{(m + |X|) \binom{m+n}{m+|X|}} = 1 - \frac{|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{m+n}{\lfloor \frac{n}{2} \rfloor}}. \quad (5)$$

In the same way as in [9], we get the dual of the above identity as follows.

Corollary 2 *Let $\mathcal{A} \in \mathcal{G}$ and q be a positive integer. If $|A| < q$ for each $A \in \mathcal{A}$, then*

$$\sum_{X \in \mathcal{D}(\mathcal{A}), |X| > \lceil \frac{n}{2} \rceil} \frac{q - |Z_{\mathcal{A}}^*(X)|}{(q - |X|) \binom{q}{|X|}} = \frac{|\mathcal{D}(\mathcal{A}) \cap \mathcal{P}_{\lceil \frac{n}{2} \rceil}|}{\binom{q}{\lceil \frac{n}{2} \rceil}},$$

and

$$\sum_{X \in \mathcal{D}(\mathcal{A}), |X| \leq \lceil \frac{n}{2} \rceil} \frac{q - |Z_{\mathcal{A}}^*(X)|}{(q - |X|) \binom{q}{|X|}} = 1 - \frac{|\mathcal{D}(\mathcal{A}) \cap \mathcal{P}_{\lceil \frac{n}{2} \rceil}|}{\binom{q}{\lceil \frac{n}{2} \rceil}},$$

where

$$Z_{\mathcal{A}}^*(X) = \begin{cases} [n] & \text{if } X \notin \mathcal{D}(\mathcal{A}), \\ \bigcup_{X \subseteq A \in \mathcal{A}} A & \text{otherwise.} \end{cases}$$

3 Some Consequences

By setting $m = 0$ in (3) and (5), we obtain

$$\sum_{\substack{X \in \mathcal{U}(\mathcal{A}) \\ |X| \leq \lfloor \frac{n}{2} \rfloor}} \frac{|Z_{\mathcal{A}}(X)|}{|X| \binom{n}{|X|}} = \frac{|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{n}{\lfloor \frac{n}{2} \rfloor}}$$

and

$$\sum_{\substack{X \in \mathcal{U}(\mathcal{A}) \\ |X| > \lfloor \frac{n}{2} \rfloor}} \frac{|Z_{\mathcal{A}}(X)|}{|X| \binom{n}{|X|}} = 1 - \frac{|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{n}{\lfloor \frac{n}{2} \rfloor}},$$

which are half-way types of the original AZ identity. If \mathcal{A} is an antichain then $Z_{\mathcal{A}}(A) = A$ for all $A \in \mathcal{A}$. So we get

$$\sum_{A \in \mathcal{A}, |A| \leq \lfloor \frac{n}{2} \rfloor} \frac{1}{\binom{n}{|A|}} \leq \frac{|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{n}{\lfloor \frac{n}{2} \rfloor}}$$

and

$$\sum_{A \in \mathcal{A}, |A| > \lfloor \frac{n}{2} \rfloor} \frac{1}{\binom{n}{|A|}} \leq 1 - \frac{|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{n}{\lfloor \frac{n}{2} \rfloor}},$$

which are the half-way type of the LYM-inequality. If $|A| \leq \lfloor \frac{n}{2} \rfloor$ for all $A \in \mathcal{A}$ then

$$\sum_{A \in \mathcal{A}} \frac{1}{\binom{n}{|A|}} \leq \frac{|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{n}{\lfloor \frac{n}{2} \rfloor}} \leq 1.$$

On the other hand, we put $m = -1$ to get the following identity when \mathcal{A} is an antichain of sets with $1 < |A| \leq \lfloor \frac{n}{2} \rfloor$ for every $A \in \mathcal{A}$:

$$\sum_{A \in \mathcal{A}} \frac{1}{\binom{n-1}{|A|-1}} + \sum_{\substack{X \in \mathcal{U}(\mathcal{A}) \setminus \mathcal{A} \\ |X| \leq \lfloor \frac{n}{2} \rfloor}} \frac{|Z_{\mathcal{A}}(X)| - 1}{(|X| - 1) \binom{n-1}{|X|-1}} = \frac{|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{n-1}{\lfloor \frac{n}{2} \rfloor}}.$$

So the deficiency of the Bollobás inequality (see Theorem 2) is

$$\Delta(\mathcal{A}) = 1 - \frac{|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{n-1}{\lfloor \frac{n}{2} \rfloor}} + \sum_{\substack{X \in \mathcal{U}(\mathcal{A}) \setminus \mathcal{A} \\ |X| \leq \lfloor \frac{n}{2} \rfloor}} \frac{|Z_{\mathcal{A}}(X)| - 1}{(|X| - 1) \binom{n-1}{|X|-1}},$$

where \mathcal{A} is an intersecting antichain of sets whose cardinalities are not exceeding $\lfloor \frac{n}{2} \rfloor$. The Bollobás inequality (2) is equivalent with $\Delta(\mathcal{A}) \geq 0$.

Since $\binom{n-1}{|A|-1} \leq \binom{n-1}{k-1} \leq \binom{n-1}{\lfloor \frac{n}{2} \rfloor - 1} = \binom{n-1}{\lceil \frac{n}{2} \rceil}$ for $1 \leq |A| \leq k \leq \frac{n}{2}$ and $Z_{\mathcal{A}}(X) \supset \bigcap_{A \in \mathcal{A}} A$ for all $X \in \mathcal{U}(\mathcal{A})$, the following corollary can be deduced immediately from the above identity.

Corollary 3 *Let \mathcal{A} be an antichain and $\bigcap_{A \in \mathcal{A}} A \neq \emptyset$, k be an integer such that $|A| \leq k \leq \frac{n}{2}$ for every $A \in \mathcal{A}$. Put $a = |\bigcap_{A \in \mathcal{A}} A|$. Then*

- (a) $\sum_{A \in \mathcal{A}} \frac{1}{\binom{n-1}{|A|-1}} \leq \frac{|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{n-1}{\lfloor \frac{n}{2} \rfloor}} \leq \frac{\binom{n-a}{\lfloor \frac{n}{2} \rfloor}}{\binom{n-1}{\lfloor \frac{n}{2} \rfloor}},$ and
- (b) $|\mathcal{A}| \leq \frac{|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{n-1}{\lfloor \frac{n}{2} \rfloor}} \binom{n-1}{k-1} \leq \frac{\binom{n-a}{\lfloor \frac{n}{2} \rfloor}}{\binom{n-1}{\lfloor \frac{n}{2} \rfloor}} \binom{n-1}{k-1} \leq \binom{n-1}{k-a}.$

4 Half-Way Identities for Two Set Families

Theorem 4 Let $A_1, A_2, \dots, A_q, B_1, B_2, \dots, B_q$ be subsets of $[n]$ such that $A_i \subset B_j$ if and only if $i = j$, and let m be an integer such that $m + |A_i| > 0$ for $1 \leq i \leq q$. Put $a_i = |A_i|$, $b_i = |B_i|$, $\mathcal{A} = \{A_1, A_2, \dots, A_q\}$, and $\mathcal{B} = \{B_1, B_2, \dots, B_q\}$. If $|B_i| \leq \lfloor \frac{n}{2} \rfloor$ for all $1 \leq i \leq q$ then

$$\sum_{\substack{X \in \mathcal{U}(\mathcal{A}) \setminus \mathcal{D}(\mathcal{B}) \\ |X| \leq \lfloor \frac{n}{2} \rfloor}} \frac{m + |Z_{\mathcal{A}}(X)|}{(m + |X|) \binom{m+n}{m+|X|}} = \frac{|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{m+n}{\lfloor \frac{n}{2} \rfloor}} - \sum_{i=1}^q \frac{1}{\binom{m+n-b_i+a_i}{m+a_i}}, \quad (6)$$

and

$$\sum_{\substack{X \in \mathcal{U}(\mathcal{A}) \setminus \mathcal{D}(\mathcal{B}) \\ |X| > \lfloor \frac{n}{2} \rfloor}} \frac{m + |Z_{\mathcal{A}}(X)|}{(m + |X|) \binom{m+n}{m+|X|}} = 1 - \frac{|\mathcal{U}(\mathcal{A}) \cap \mathcal{P}_{\lfloor \frac{n}{2} \rfloor}|}{\binom{m+n}{\lfloor \frac{n}{2} \rfloor}}. \quad (7)$$

To prove Theorem 4, we reuse a lemma in [17].

Lemma 3 [17] Let a, b, c be integers such that $a \geq 0$, $b > 0$, and $c \geq a + b$. Then

$$\sum_{k=0}^a \binom{a}{k} \frac{1}{(b+k) \binom{c}{b+k}} = \frac{1}{b \binom{c-a}{b}}.$$

Proof of Theorem 4 The identity (7) is deduced immediately from (6) and Theorem 5 in [17]. Now we present a proof of (6). By using Theorem 3 we can rewrite the identity (6) as

$$\begin{aligned} \sum_{\substack{X \in \mathcal{U}(\mathcal{A}) \setminus \mathcal{D}(\mathcal{B}) \\ |X| \leq \lfloor \frac{n}{2} \rfloor}} \frac{m + |Z_{\mathcal{A}}(X)|}{(m + |X|) \binom{m+n}{m+|X|}} &= \sum_{\substack{X \in \mathcal{U}(\mathcal{A}) \\ |X| \leq \lfloor \frac{n}{2} \rfloor}} \frac{m + |Z_{\mathcal{A}}(X)|}{(m + |X|) \binom{m+n}{m+|X|}} \\ &\quad - \sum_{i=1}^q \frac{1}{\binom{m+n-b_i+a_i}{m+a_i}} \end{aligned}$$

which is equivalent to the following identity

$$\sum_{\substack{X \in \mathcal{U}(\mathcal{A}) \cap \mathcal{D}(\mathcal{B}) \\ |X| \leq \lfloor \frac{n}{2} \rfloor}} \frac{m + |Z_{\mathcal{A}}(X)|}{(m + |X|) \binom{m+n}{m+|X|}} = \sum_{i=1}^q \frac{1}{\binom{m+n-b_i+a_i}{m+a_i}}. \quad (8)$$

For each $X \in \mathcal{U}(\mathcal{A}) \cap \mathcal{D}(\mathcal{B})$, there exist $i, j \in \{1, 2, \dots, q\}$ such that $A_i \subset X \subset B_j$ which implies $A_i \subset B_j$: by the hypothesis of Theorem 4 we must have $i=j$, i.e. $A_i \subset X \subset B_i$. If $A_k \subset X \subset B_k$ for some k , then $A_i \subset X \subset B_k$ which implies $A_i \subset B_k$, so $i=k$. Therefore, there exists a unique $i \in \{1, 2, \dots, q\}$ such that $A_i \subset X \subset B_i$ for each $X \in \mathcal{U}(\mathcal{A}) \cap \mathcal{D}(\mathcal{B})$. Besides, if $A_k \subset X$, then $A_k \subset X \subset B_i$ and so $i=k$ and finally $Z_{\mathcal{A}}(X) = A_i$. Hence, the left hand side of (8) is

$$\text{LHS}(8) = \sum_{i=1}^q \sum_{\substack{A_i \subset X \subset B_i \\ |X| \leq \lfloor \frac{n}{2} \rfloor}} \frac{m + a_i}{(m + |X|) \binom{m+n}{m+|X|}}.$$

Since $|B_i| \leq \lfloor \frac{n}{2} \rfloor$, the condition $A \subset X \subset B$ also implies $|X| \leq \lfloor \frac{n}{2} \rfloor$. Finally, we use Lemma 3 to obtain

$$\begin{aligned} \text{LHS}(8) &= \sum_{i=1}^q \sum_{A_i \subset X \subset B_i} \frac{m + a_i}{(m + |X|) \binom{m+n}{m+|X|}} \\ &= \sum_{i=1}^q \sum_{k=0}^{b_i-a_i} \binom{b_i-a_i}{k} \frac{m + a_i}{(m + a_i + k) \binom{m+n}{m+a_i+k}} \\ &= \sum_{i=1}^q (m + a_i) \frac{1}{(m + a_i) \binom{m+n-(b_i-a_i)}{m+a_i}} = \text{RHS}(8). \quad \square \end{aligned}$$

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