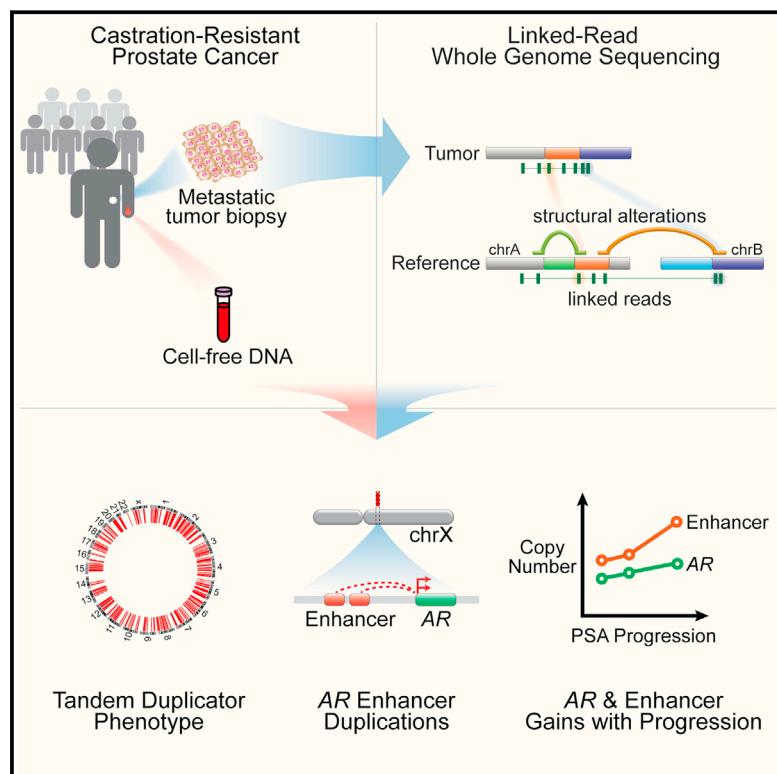


# Structural Alterations Driving Castration-Resistant Prostate Cancer Revealed by Linked-Read Genome Sequencing

## Graphical Abstract



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## In Brief

Linked-read genome sequencing data from patients highlight that amplification of an enhancer upstream of the androgen receptor locus is a key feature of metastatic castration-resistant prostate cancer.

## Highlights

- Linked-read genome sequencing of mCRPC resolves haplotypes and rearrangements
- CDK12 inactivation is associated with a global tandem duplication phenotype
- A majority of cases have duplications of an enhancer of the androgen receptor
- Progression on androgen pathway inhibitors is associated with gains in AR and AR enhancer



# Structural Alterations Driving Castration-Resistant Prostate Cancer Revealed by Linked-Read Genome Sequencing

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## SUMMARY

Nearly all prostate cancer deaths are from metastatic castration-resistant prostate cancer (mCRPC), but there have been few whole-genome sequencing (WGS) studies of this disease state. We performed linked-read WGS on 23 mCRPC biopsy specimens and analyzed cell-free DNA sequencing data from 86 patients with mCRPC. In addition to frequent rearrangements affecting known prostate cancer genes, we observed complex rearrangements of the *AR* locus in most cases. Unexpectedly, these rearrangements include highly recurrent tandem duplications involving an upstream enhancer of *AR* in 70%–87% of cases compared with <2% of primary prostate cancers. A subset of cases displayed *AR* or *MYC* enhancer duplication in the context of a genome-wide tandem duplicator phenotype associated with *CDK12* inactivation. Our findings highlight the complex genomic structure of mCRPC, nominate alterations that may inform prostate cancer treatment, and suggest that additional recurrent events in the non-coding mCRPC genome remain to be discovered.

## INTRODUCTION

Genomic studies have uncovered multiple recurrent genetic alterations that drive clinically localized prostate cancer, including mutations, copy-number events, gene fusions, and more complex structural genomic rearrangements (Baca et al., 2013; Boysen et al., 2015; Cancer Genome Atlas Research Network, 2015; Fraser et al., 2017; Shenoy et al., 2017; Tomlins et al., 2005). Although there have been few genomic studies (particularly whole-genome sequencing [WGS] studies) of metastatic castration-resistant prostate cancer (mCRPC), emerging data suggest important distinctions between the mutational profiles of mCRPC and primary prostate cancer. Perhaps most notably, multiple studies have demonstrated that somatic mutations in *AR* pathway genes are pervasive in mCRPC but nearly absent in primary disease (Armenia et al., 2018; Cancer Genome Atlas Research Network, 2015; Grasso et al., 2012; Kumar et al., 2016; Robinson et al., 2015; Taplin et al., 1995; Visakorpi et al., 1995). Whole-genome studies have also revealed functionally convergent rearrangements leading to *AR* copy gain in distinct metastases from the same patient with prostate cancer, indicating persistent selective pressure on *AR* signaling in mCRPC (Gundem et al., 2015). Still, our understanding of the full spectrum of genome-wide alterations in this disease state, including those that arise in the setting of treatment



with the newest-generation androgen pathway inhibitors, is incomplete.

Recent WGS studies in diverse tumor types have begun to reveal recurrent alterations in regulatory regions of the genome, such as those that activate promoters or enhancers. For example, enhancers of oncogenes can be somatically activated by several mechanisms, including point mutations that induce transcription factor binding (Mansour et al., 2014), duplication of existing enhancers (Glodzik et al., 2017; Herranz et al., 2014; Shi et al., 2013; Zhang et al., 2016), or structural alterations that relocate a strong enhancer in proximity to a proto-oncogene (Hnisz et al., 2016; Northcott et al., 2014; Weischenfeldt et al., 2017).

Toward a more comprehensive understanding of somatic alterations in mCRPC with emphasis on alterations in the non-coding genome and/or those involving structural variants (SVs), we performed WGS employing a newly developed long-range, linked-read sequencing platform (10X Genomics, “10XG”) (Greer et al., 2017; Zheng et al., 2016). This approach produces barcoded short-read libraries from high-molecular weight DNA fragments, and is well-suited for the study of mCRPC for several reasons: (1) it allows for haplotype-resolved SV calling and improved SV detection, particularly of complex events; (2) barcode-aware alignment may offer superior mappability in certain regions of the genome, such as in repetitive regions harboring breakpoints for *AR*-related SVs (Nyquist et al., 2013); and (3) it requires as little as 1 ng of tumor DNA input, allowing for study of small metastatic biopsy samples.

In this study, we performed linked-read WGS on 23 biopsy specimens from individuals with mCRPC, including several obtained from heavily pre-treated patients after progression on next-generation androgen pathway inhibitors. We identified a number of novel and biologically important structural alterations in mCRPC, including highly recurrent duplications involving a newly described long-range enhancer of *AR* expression (Takeda et al., 2018 [this issue of *Cell*]), complex rearrangements driving *AR* gene and enhancer copy gain in mCRPC (both before and after treatment with next-generation AR pathway antagonists), and a genome-wide tandem duplicator phenotype (TDP) associated with *CDK12* inactivation and recurrent duplications at the *MYC* and *AR* loci. Overall, these results highlight the diverse mechanisms by which structural alterations, particularly in the non-coding genome, act to sustain AR signaling in advanced prostate cancer.

## RESULTS

### Linked-Read WGS of mCRPC

We performed 10XG linked-read WGS to an average depth of 31X on 23 metastatic biopsy specimens and matched germline controls. Cases were selected from a previously described cohort of mCRPC-affected individuals treated with either standard-of-care or on clinical trials (Armenia et al., 2018; Robinson et al., 2015). Eleven samples were taken from individuals prior to beginning treatment on a regimen including a next-generation androgen synthesis inhibitor or AR pathway antagonist (enzalutamide, abiraterone, or apalutamide), whereas the remaining

12 samples were collected upon progression on one or more of these agents. Three pairs of samples were obtained from metastatic sites in the same individual prior to and after progression on treatment (Figure 1A; Table S1).

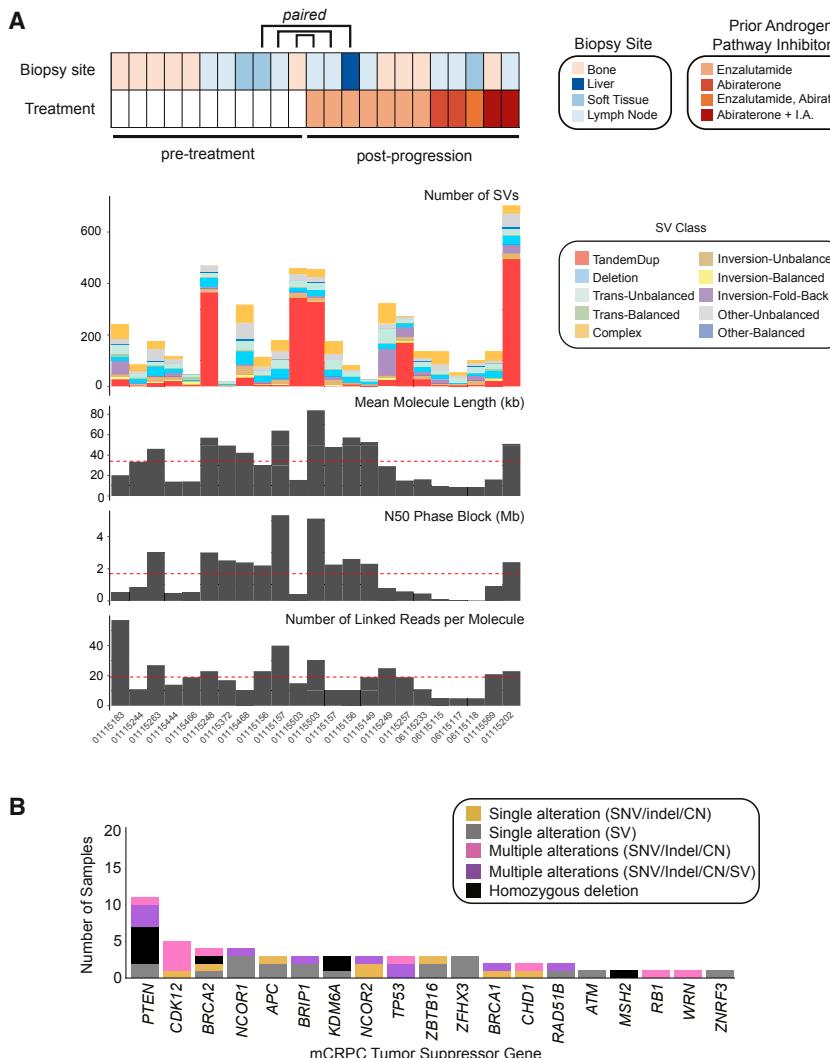
By extracting high molecular weight DNA from frozen biopsy specimens, we achieved a mean molecule length of 34 kB with an average N50 phase block of 1.7 Mb (1.0 Mb in matched normal samples). Full sequencing metrics are provided in Table S2. We detected an average rate of single nucleotide variants (SNVs) of 3.6 SNVs/Mb per sample and indel rate of 1.8 indels/Mb per sample; leveraging 10XG linked reads, we find that 78% of these mutations could be phased to a haplotype (Table S3; STAR Methods). Given the relatively small cohort size, we limited mutation (SNV and indel) analysis to genes previously reported to be significantly altered in mCRPC and observed alterations in multiple driver genes at frequencies roughly comparable to those reported using whole-exome sequencing (WES) (Grasso et al., 2012; Pritchard et al., 2016; Robinson et al., 2015) (Figure S1; Tables S3 and S4).

### SV Classes and Intragenic SVs in mCRPC Cohort

We identified SVs by incorporating support from read alignments, local assembly, and barcodes using 3 independent SV detection methods (STAR Methods). We observed an average of 230 SVs/sample. Structural alterations were classified into simple, complex, balanced, and unbalanced rearrangement types using breakpoint orientation and corroborating copy-number information (Figures 1A and S2; Table S5; STAR Methods). We found that SVs are a notable mechanism of inactivation of tumor-suppressor genes (Figure 1B). For example, 2 cases without detectable SNVs, indels, or copy-number alterations in *PTEN* were noted to have transecting rearrangements involving the gene body (paired samples from patient 01115503); in one additional patient (01115468), a transecting 24-kB deletion of *PTEN* occurred together with a splice site mutation and the 2 events were present on different haplotypes (Figures 1B and S1). In a fourth patient (01115156), phasing afforded by linked reads allowed for haplotype-specific resolution of rearrangement events ultimately resulting in homozygous deletion of *PTEN* (Figure S3). Overall, 19 of 23 cases had biallelic inactivation of tumor-suppressor genes when inactivating rearrangements were considered (either via an apparently solitary transecting rearrangement or as part of a larger chromoplexy chain), as compared with 15 cases when inactivation was called on the basis of SNVs, indels, and gene-level copy number alone (Figures 1B and S1; Tables S3, S4, and S5). Thus, rearrangements represent an important mechanism of alteration in known mCRPC genes.

### A Genome-wide Tandem Duplicator Phenotype Associated with *CDK12* Inactivation

Five samples (22%) from 4 unique patients displayed a preponderance of tandem duplications compared with other SV classes (Figures 1A, 2A, and S2). These tandem duplications occurred on both haplotypes within a chromosome (Figure 2B), suggesting a mechanistic distinction from other processes such as chromoplexy and chromothripsis. In addition to rearrangements discovered by 10XG WGS, copy-number profiles displaying a high



**Figure 1. WGS of mCRPC Tumors on the 10XG Platform**

(A) Landscape of rearrangements and sequencing metrics across the 10XG WGS mCRPC cohort. Structural variant classification is defined in the [STAR Methods](#). I.A., investigational agent.

(B) Number of samples containing one or multiple alterations in significantly inactivated mCRPC genes ([Robinson et al., 2015](#)). Genes are listed as altered due to SNVs, indels, copy-number loss, transecting SVs.

See also [Figures S1, S2, and S3](#) and [Tables S1, S2, S3, S4, and S5](#).

150 tandem duplications per sample and a median tandem duplication span size of 1.3 Mb. In a dataset of 285 WES samples from mCRPC patients in the PCF/SU2C cohort ([Armenia et al., 2018](#)), we observed TDP with a dispersion score  $>0.75$  in 15 cases (5%), with a median duplication length of 2.53 Mb ([Tables S4 and S6](#)). TDP samples showed an enrichment for alterations in *CDK12* (13/15 [87%];  $p = 8.73 \times 10^{-17}$ , Fisher's exact test), and multiple *CDK12* alterations (presumed biallelic inactivation) were seen in a majority of cases ([Figure 3B, middle](#)). *CDK12* alterations trended toward clonality in samples displaying TDP ([Figure 3C](#)) and TDP samples themselves displayed multiple subclonal clusters ([Table S6](#)). Moreover, mutation phasing in all 5 TDP samples in the 10XG WGS cohort revealed that more mutations were acquired after tandem duplication events than before ( $p = 0.008$ , Wilcoxon rank-sum test) ([Figures 3D and S4; Table S6](#)), suggesting that

*CDK12* inactivation and acquisition of TDP are early events followed by subclonal mutational heterogeneity.

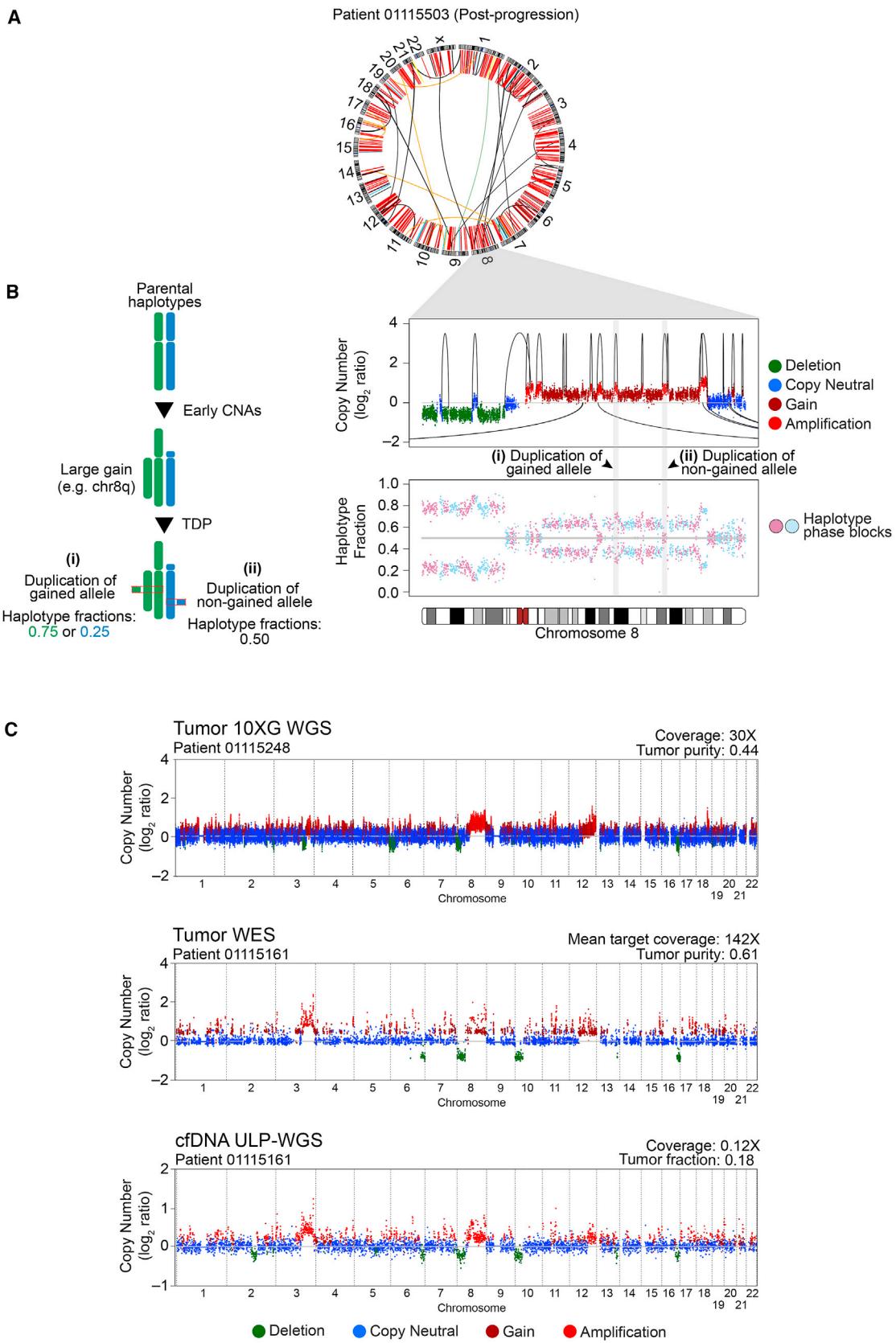
To determine whether the TDP genomic signature could also be detected in cfDNA, we next interrogated a recently described collection of 624 ULP-WGS cfDNA samples taken from 137 unique individuals with mCRPC ([Adalsteinsson et al., 2017](#)). Of these, 232 samples from 86 patients had tumor fraction  $> 0.05$ ; evidence of a TDP based on a dispersion score  $>0.75$  was seen in 9 samples from 4 patients (5%) ([Figure 3B, right; Tables S4 and S6](#)). In 64 samples (18 patients) with both ULP-WGS of cfDNA and WES on metastatic biopsy, we observed good concordance in duplication dispersion score between the 2 modalities (Spearman's  $\rho = 0.51$ ,  $p = 2.1 \times 10^{-5}$ ) ([Figure S4](#)).

We then investigated whether oncogenes can be amplified in the context of a genome-wide TDP. In the 10XG WGS cohort, we surveyed 304 oncogenes (COSMIC Cancer Gene Census) for the presence of non-transecting tandem duplications within 1 Mb of gene boundaries. We observed that several oncogenes were altered by tandem duplications involving the gene or neighboring

frequency of interstitial gains, consistent with dispersed tandem duplications, were observed in multiple samples of WES of tumor biopsies and of ultra-low pass (ULP) WGS of cell-free DNA (cfDNA) ([Figure 2C](#)).

Four of the five TDP samples profiled by 10XG WGS had biallelic inactivation of *CDK12*, confirmed by phasing ([Figure 3A](#)). The fifth case had mono-allelic *CDK12* inactivation (nonsense mutation) without evidence of a second inactivating event. Consistent with previous reports of increased rearrangements in tumors negative for *ETS* gene fusions ([Baca et al., 2013; Wyatt et al., 2014](#)), we observed mutual exclusivity between the TDP samples and samples with *ETS* fusions ( $p = 0.0373$ , Fisher's exact test) ([Figure 3A](#)).

Next, we identified TDP in cohorts profiled on other sequencing platforms. We developed a metric to quantify tandem duplication dispersion across the genome ([Table S6; STAR Methods](#)). In the 10XG WGS cohort, all 5 samples displaying a large number of tandem duplications had a dispersion score  $> 0.75$  ([Figure 3B, left](#)). These cases had a median of



(legend on next page)

sequence in multiple samples, with the most recurrently altered gene neighborhoods being near *MYC* and *AR* (8 samples each,  $q = 0.014$ , binomial exact test with Benjamini-Hochberg correction) (Figure 3E; Table S6). Duplications near or involving *MYC* were present in all 5 TDP cases ( $p = 0.0017$ , Fisher's exact test). Across the entire cohort, we observed a local peak in coverage approximately 500 kB upstream of the *MYC* gene, outside of the coding region, and overlapping with several previously reported prostate cancer risk alleles and elements shown to function as enhancers of *MYC* expression (Ahmadiyah et al., 2010; Yeager et al., 2007; Zhang et al., 2016) (Figures 3F, 3G, and S4). These recurrent duplications in germline susceptibility loci and tissue-specific enhancers are reminiscent of findings in other cancer types (Glodzik et al., 2017; Menghi et al., 2017).

### Rearrangements Reveal Persistent Selective Pressure on AR Signaling in mCRPC

We next sought to determine whether structural alterations may play a role in activating the AR axis in mCRPC, given the nearly universal importance of sustained AR signaling in this phase of the disease. Structural analysis revealed numerous and diverse somatic rearrangements surrounding *AR*; these usually resulted in amplification of the *AR* gene but occasionally breakpoints occurred within the *AR* itself (Figures 4 and S5) (Henzler et al., 2016; Li et al., 2012). For example, in patient 01115503 (Figure 4A), which displays TDP, we observed nested tandem duplications resulting in copy-number gain of the *AR* gene and a higher-level copy-number gain of a segment approximately 700-kB centromeric to the *AR* gene body. In patient 01115202, we observed high-level *AR* amplification flanked by 2 interchromosomal breakpoints, suggestive of *AR* containment within an extra-chromosomal element (Figure 4B). In patient 01115257, we observed multiple rearrangements affecting the *AR* locus, including fold-back inversions that resulted in laddered copy-number segments, suggestive of *AR* copy gain driven by breakage-fusion-bridge cycles (Figure 4C). And in sample 06115115, we observed large rearrangements involving *AR* and crossing the centromere, raising the possibility of a ring-like structure encompassing the highly amplified gene body (Garsed et al., 2014) (Figure 4D). Rearrangements transecting negative regulators of AR, such as *ZBTB16*, *NCOR1*, and *NCOR2*, were also observed (Figures 4E, 4F, and S1). Several of these transection events involved tandem duplications and occurred within the context of a genome-wide TDP (Figures 4A–4C, 4E, and 4F). Although singly transecting duplications may not always result in loss of function, such events have been reported to be enriched among tumor-suppressor genes within the context of large-span TDPs (Menghi et al., 2017). Finally, in patient 01115157, we observed a chromoplexy chain resulting in an in-frame fusion between the N terminus of

*NCOR1* on chromosome (chr) 17 (chr17) and *YARS* on chr1. This chained event is predicted to lead to disruption of the *NCOR1* C-terminal domain, which has been implicated in repression of AR (Cheng et al., 2002) (Figure 4G; Table S5).

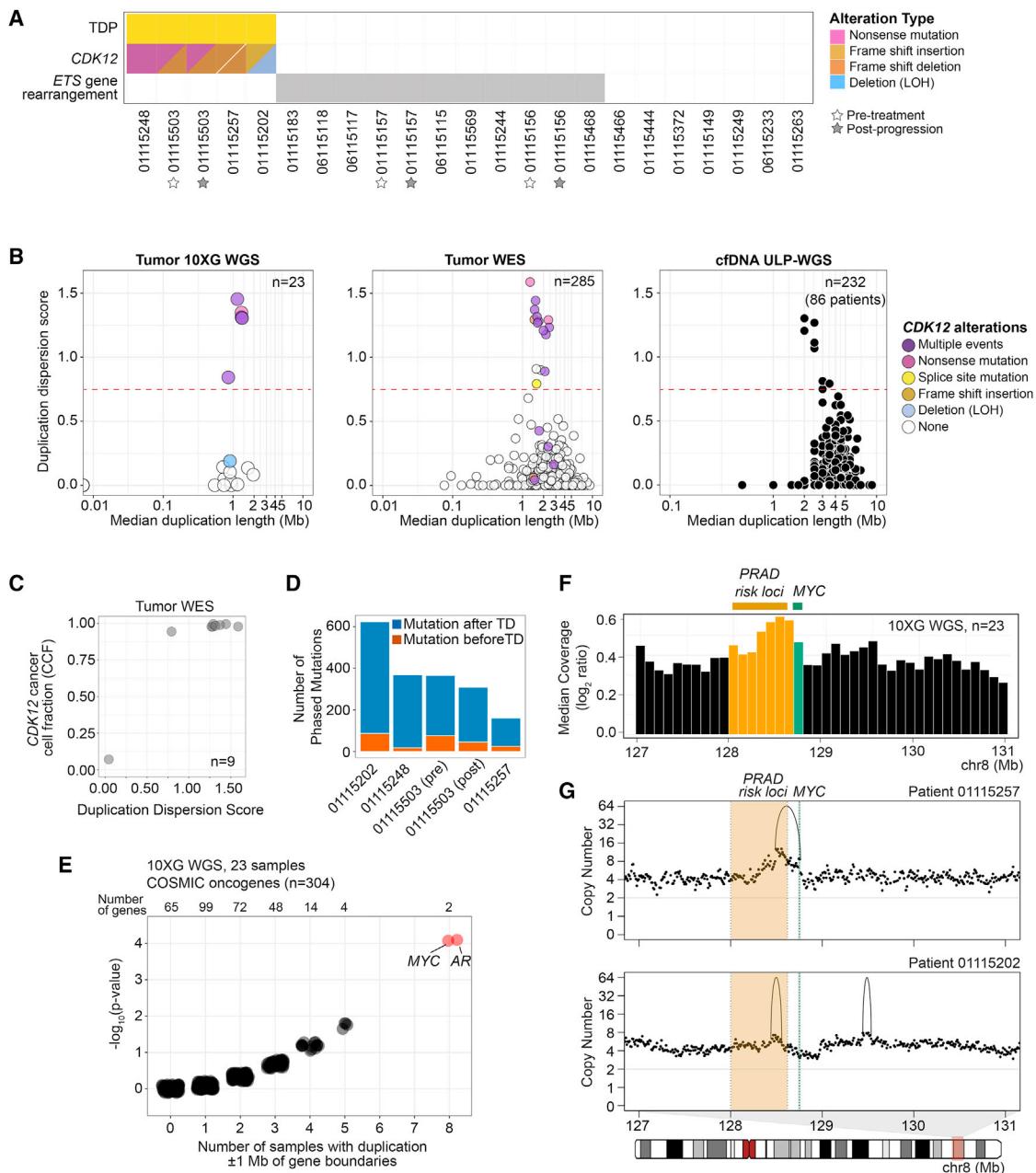
### Highly Recurrent Duplications of an Upstream Long-Range Enhancer of the AR

Intriguingly, we noted that the peak region of copy number near the *AR* locus does not encompass the *AR* gene body (66.76–66.95 Mb) but is in fact located about 700 kB upstream (genomic bin, 66.10–66.20 Mb) (Figure 5A), similar to findings described for *MYC*, above. This region overlaps with 3 DNase I hypersensitivity site (DHS) peaks in the androgen-dependent metastatic prostate cancer cell line, LNCaP, and has been shown to harbor an element that functions as a long-range enhancer of *AR* that is selectively activated in metastatic disease (Takeda et al., 2018). In the 10XG WGS cohort, we noted copy-number gain involving *AR*, most often arising via tandem duplications, in 16 (70%) samples. In all 16 cases, the upstream *AR* enhancer was also included in the gained segment. In addition, we observed highly selective copy-number gain of the *AR* enhancer relative to the *AR* gene body in 4 (17%) additional cases, for a total of 20 cases (87%) with amplifications that include the *AR* enhancer (Figures 5B–5D and S5; Table S7). Notably, we observed fewer gained copies of the *AR* enhancer in cases of selective enhancer gain as compared with cases of *AR* gene/enhancer co-amplification (median 2.9 vs. 8.0 copies, normalized to sample ploidy;  $p = 0.011$ , 2-tailed Wilcoxon rank-sum test), raising the intriguing possibility that modest increases in enhancer copy number can drive *AR* expression comparable to higher-level gains of the *AR* gene (Figure 5D; Table S7). This is consistent with functional studies demonstrating that knock-in of a single additional copy of this enhancer can increase *AR* expression and confer a castration resistant phenotype (Takeda et al., 2018). By contrast, we observed a duplication involving the *AR* enhancer in only 1/54 (2%) of localized prostate adenocarcinoma specimens (Baca et al., 2013) (Figure 5E; Table S7). Thus, like other alterations involving *AR*, alterations in the *AR* enhancer are relatively specific to mCRPC.

We sought to validate our finding of highly recurrent duplications involving an *AR* enhancer in a larger cohort. By interrogating the ULP-WGS cfDNA mCRPC cohort described earlier, we were able to detect gains in the regions containing the *AR* enhancer and *AR* gene relative to surrounding regions despite low-coverage sequencing (0.1X) (Figures 6A and 6B). By sequencing 14 of these cfDNA samples to higher depth (median coverage 20.4X, range 15.9–61.1X), we observed excellent concordance of copy number between ULP-WGS and higher depth WGS at both the *AR* gene (Spearman's  $\rho = 1.00$ ) and *AR* enhancer (Spearman's  $\rho = 0.96$ ) loci (Figures 6B, 7, and S6; Tables S2, S5, and S7). Across ULP-WGS cfDNA samples from 86 patients, we observed

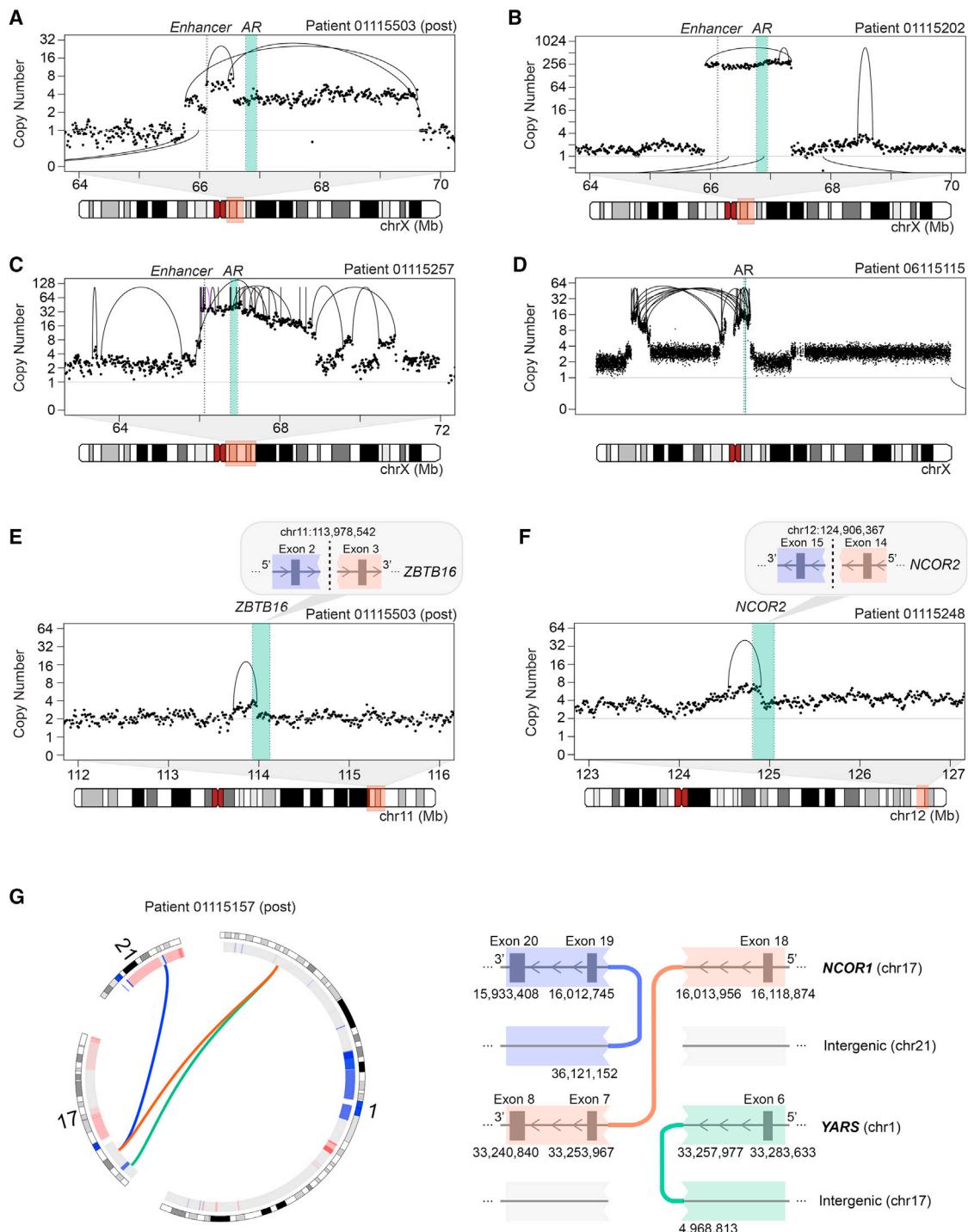
### Figure 2. A Genome-wide TDP in mCRPC

(A) CIRCOS plot for a representative TDP sample profiled by 10XG WGS. Red arcs, tandem duplications.  
(B) Left: Duplications that occur on one haplotype in the setting of prior chr8q gain lead to predicted haplotype fractions of either 0.75 or 0.25, depending on which allele is duplicated. Right: Chr8 copy-number profile (top) and haplotype fraction (bottom, alternating phase blocks colored). Intrachromosomal tandem duplications shown by arcs on top of data points; interchromosomal events shown by arcs below the data points.  
(C) Genome-wide copy-number profiles ( $\log_2$  ratio) for representative TDP samples profiled by 10XG WGS (top), WES (middle), or ULP-WGS of cfDNA (bottom). See also Figures S1, S2, and S4 and Tables S3, S4, S5, and S6.



**Figure 3. The TDP in mCRPC Is Associated with Biallelic and Clonal CDK12 Inactivation**

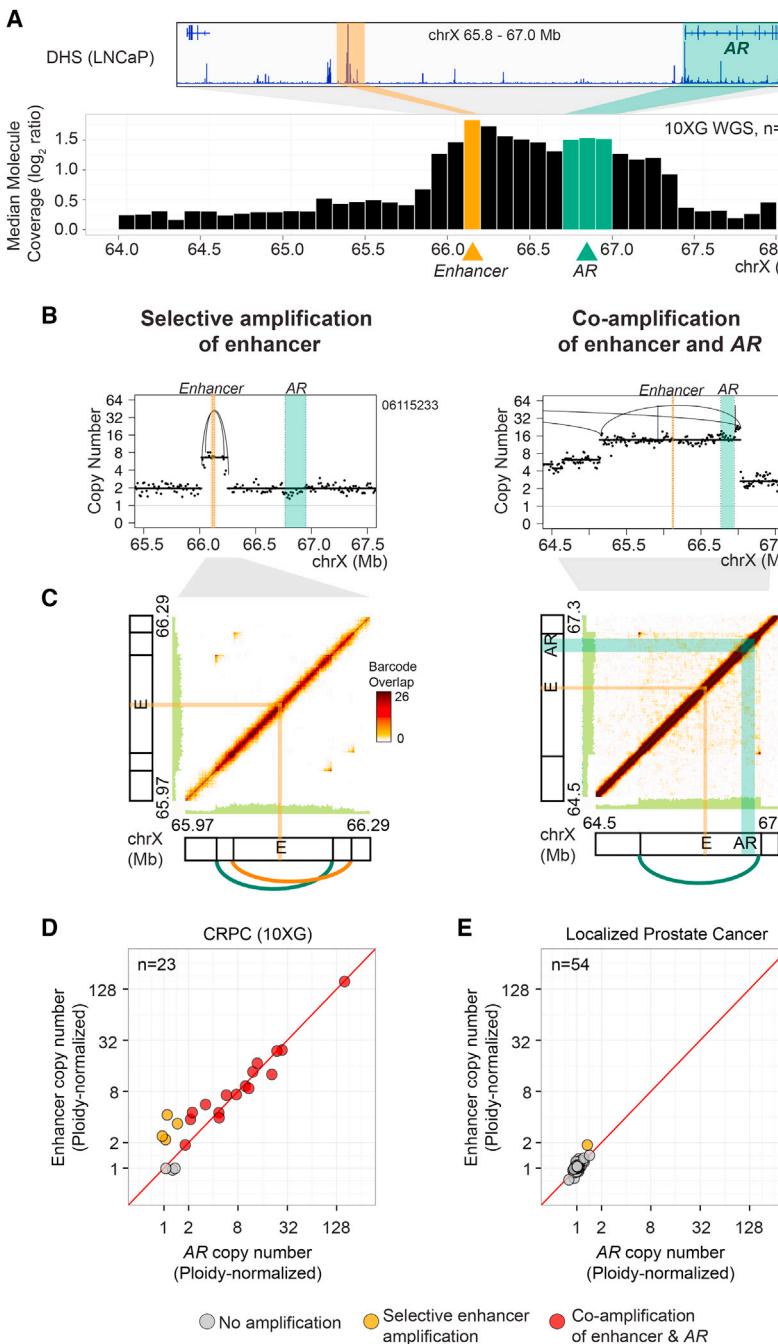
- (A) TDP, CDK12 alteration, and ETS-rearrangement status in 10XG WGS mCRPC cohort.
- (B) Duplication dispersion score ( $>0.75$  defined as TDP) among mCRPC samples profiled by 10XG WGS (left), WES (middle), or ULP-WGS of cfDNA (right). CDK12 alteration status shown for WGS and WES datasets.
- (C) Duplication dispersion score and CDK12 cancer cell fraction among CDK12 mutant (SNV) samples profiled by WES.
- (D) Number of mutations determined to be acquired before or after duplication events in the five TDP samples from the 10XG WGS cohort.
- (E) Tandem duplications within 1-Mb upstream and downstream of COSMIC oncogene boundaries in the 10XG WGS cohort. For each oncogene, the frequency (x axis) and the p value (binomial exact test; y axis) are shown with random jitter noise. Red points, Benjamini-Hochberg q-value  $< 0.05$ .
- (F) Median of normalized molecule coverage near MYC. Green, MYC coding sequence. Yellow, region containing some of the prostate cancer 8q24 germline risk variants. Bin size, 100 kB.
- (G) Purity-adjusted copy-number profiles from representative TDP samples with duplications near MYC. The shaded region (chr8, 128.0–128.62 Mb) contains tandem duplications in 10XG WGS cohort and overlaps with 8q24 prostate cancer germline risk variants.
- See also Figures S1, S2, and S4 and Tables S3, S4, S5, and S6.



**Figure 4. Diverse Structural Rearrangements of the AR Axis**

(A–D) Rearrangements involving the AR locus include simple and nested duplications (A), high-level copy-number gains (B), amplification due to breakage-fusion-bridge cycles (C), and *trans*-centromeric rearrangements (D). Copy number shown is purity adjusted.

(E–G) Examples of rearrangements potentially disrupting AR-related genes in mCRPC include duplications transecting *ZBTB16* (E) and *NCOR2* (F) and a chained chromoplexy event (G) resulting in disruption of the C-terminal domain of *NCOR1* and production of an in-frame N-terminal *NCOR1-YARS* fusion transcript. Interchromosomal rearrangements are shown as arcs below the data points. Patients 01115503, 01115202, 01115257, and 01115248 display the TDP. See also Figure S5 and Tables S4 and S5.



**Figure 5. Highly Recurrent Tandem Duplications Involving an Enhancer of the AR in mCRPC**

(A) Median of normalized molecule coverage near the *AR* gene and enhancer in the 10XG WGS mCRPC cohort; bins containing the enhancer overlap with a DHS in LNCaP cells. Bin size, 100 kb.

(B) Purity-adjusted copy-number profiles from representative samples displaying selective copy-number gain involving the *AR* enhancer (left) and co-amplification of both the *AR* gene and enhancer (right). Intrachromosomal rearrangements are shown by arcs.

(C) Barcode overlap plots for the samples shown in (B) demonstrate 2 tandem duplications spanning the *AR* enhancer (left) or a duplication involving both the *AR* gene and enhancer (right). Peaks in off-diagonal barcode overlap (dark orange) converge at rearrangement breakpoints.

(D) Purity-adjusted copy number (normalized to sample ploidy) at bins containing the *AR* enhancer (y axis) and *AR* gene body (x axis) was used to identify samples containing gains of *AR* and/or *AR* enhancer in the 10XG WGS mCRPC cohort.

(E) Purity-adjusted copy number (normalized to sample ploidy) at bins containing the *AR* enhancer (y axis) and *AR* gene body (x axis) in WGS samples from individuals with localized primary prostate cancer (Baca et al., 2013).

See also Figures S5–S7 and Tables S4, S5, and S7.

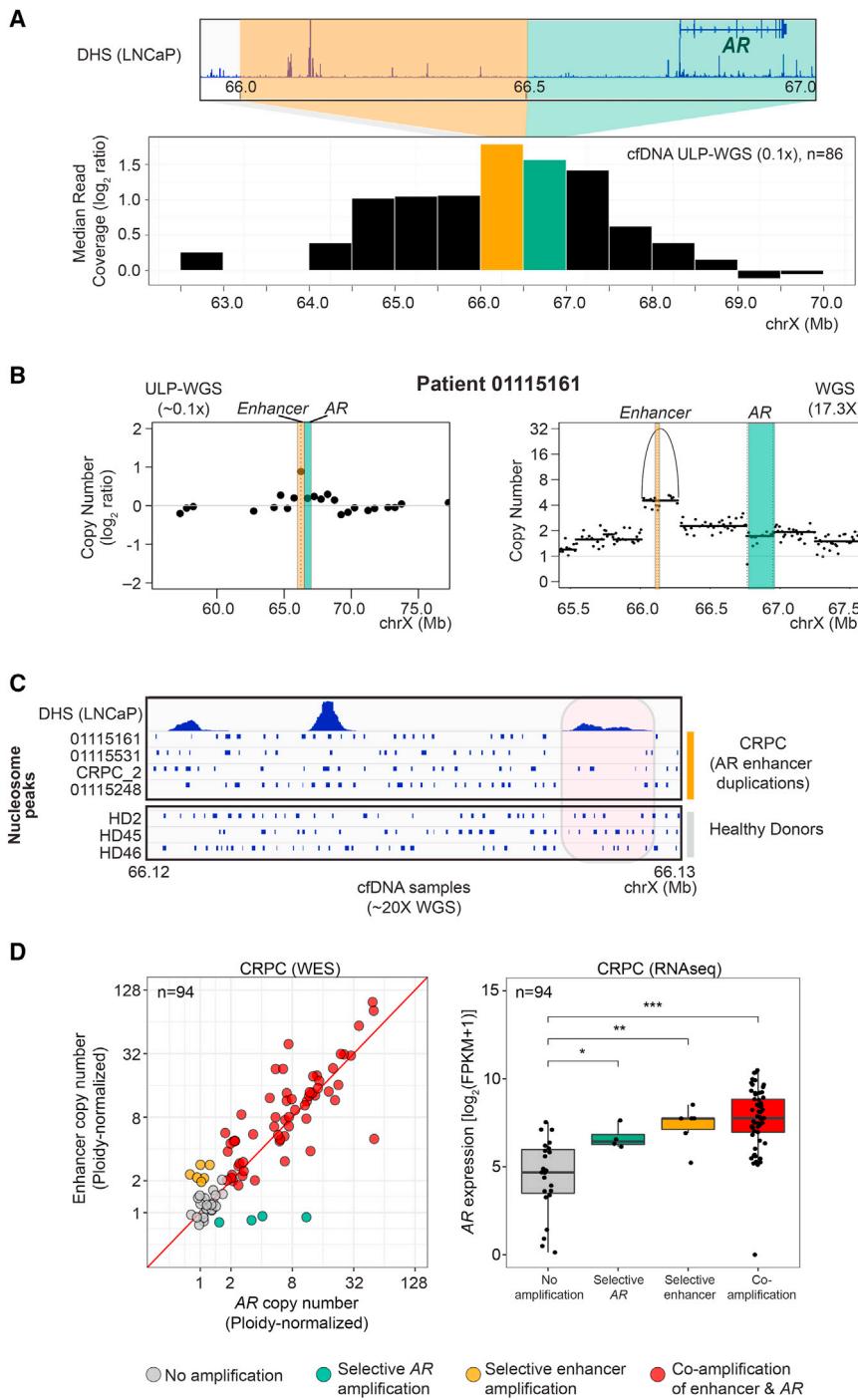
tions involving the *AR* enhancer and 3 healthy donors (STAR Methods). In individuals with *AR* enhancer gain, but not healthy donors, nucleosome spacing was increased in regions of the enhancer element that overlapped with DHS peaks in LNCaP cells (Figure 6C). We surveyed Hi-C interaction data on LNCaP cells from the ENCODE project and found that the *AR* enhancer and *AR* gene body lie within a putative topologically associated domain (TAD), suggesting that duplication of the enhancer element, either selectively or in tandem with the *AR* gene body, allows for increased *AR* expression without disrupting topological boundaries (Figure S7).

Finally, we sought to determine whether alterations in the *AR* enhancer are associated with higher *AR* expression. As the ma-

selective enhancer amplification in 13 cases (15%) and co-amplification of the enhancer and the *AR* gene body in 47 cases (55%), for a total of 60 cases (70%) with amplifications involving the enhancer; additionally, selective amplification of the *AR* gene body was seen in 9 cases (10%) (Table S7).

As a complement to functional characterization of the *AR* enhancer region (Takeda et al., 2018), we sought genomic evidence that this locus functions as an enhancer. We inferred nucleosome positions across the enhancer region in deep sequencing data of cfDNA samples in 4 individuals with dupli-

jority of samples subjected to 10XG WGS did not have sufficient material remaining for transcriptome profiling, we turned to a recently reported mCRPC cohort of paired WES and transcriptome sequencing (Robinson et al., 2015). Although capture probe sets used for WES covered the *AR* gene and not the enhancer region, we were able to detect an appreciable number of off-target reads aligning to the *AR* enhancer locus in 205 samples (median of 732 reads across a 50-kB bin containing the *AR* enhancer) (Figure S6; STAR Methods). We compared the WES copy number with results from 10XG WGS for 9 samples that



were profiled on both platforms, and observed excellent concordance between *AR* gene (on-target, Spearman's  $\rho = 0.88$ ) and *AR* enhancer (off-target, Spearman's  $\rho = 0.85$ ) copy number (Figure S6).

Overall, using this approach, we found 21 (10.3%) cases with selective *AR* enhancer amplification and 124 (60.5%) cases with co-amplification of *AR* gene and enhancer; thus, overall, 145 cases (71%) involved alterations of the *AR* enhancer. Selective

**Figure 6. Gains of the *AR* Enhancer Are Detectable in ULP-WGS cfDNA and Are Associated with Increased Nucleosome Spacing and Higher *AR* Expression**

(A) Median of normalized read coverage near *AR* gene and enhancer in the ULP-WGS cfDNA mCRPC cohort (maximum tumor fraction per patient used); bin containing the enhancer overlaps with a DHS in LNCaP cells. Bin size, 500 kb.

(B) Copy-number profile of a representative sample displaying selective gain involving the *AR* enhancer in cfDNA. For ULP-WGS data (~0.1 $\times$  coverage, left), each point represents copy number ( $\log_2$  ratio) within a 500-kb genomic bin; bins containing the *AR* gene and enhancer are shaded in green and orange, respectively. For deeper WGS data (17.3 $\times$  coverage, right), the purity-adjusted copy-number profile at 10-kb genomic bins is annotated with copy-number segments (lines) and rearrangements (arcs).

(C) Nucleosome position (blue bars) inferred from cfDNA fragmentation pattern in the region of the *AR* enhancer in 4 patients with selective gain of the *AR* enhancer region (top) and 3 healthy donors (bottom), using deep WGS (~20X) of cfDNA.

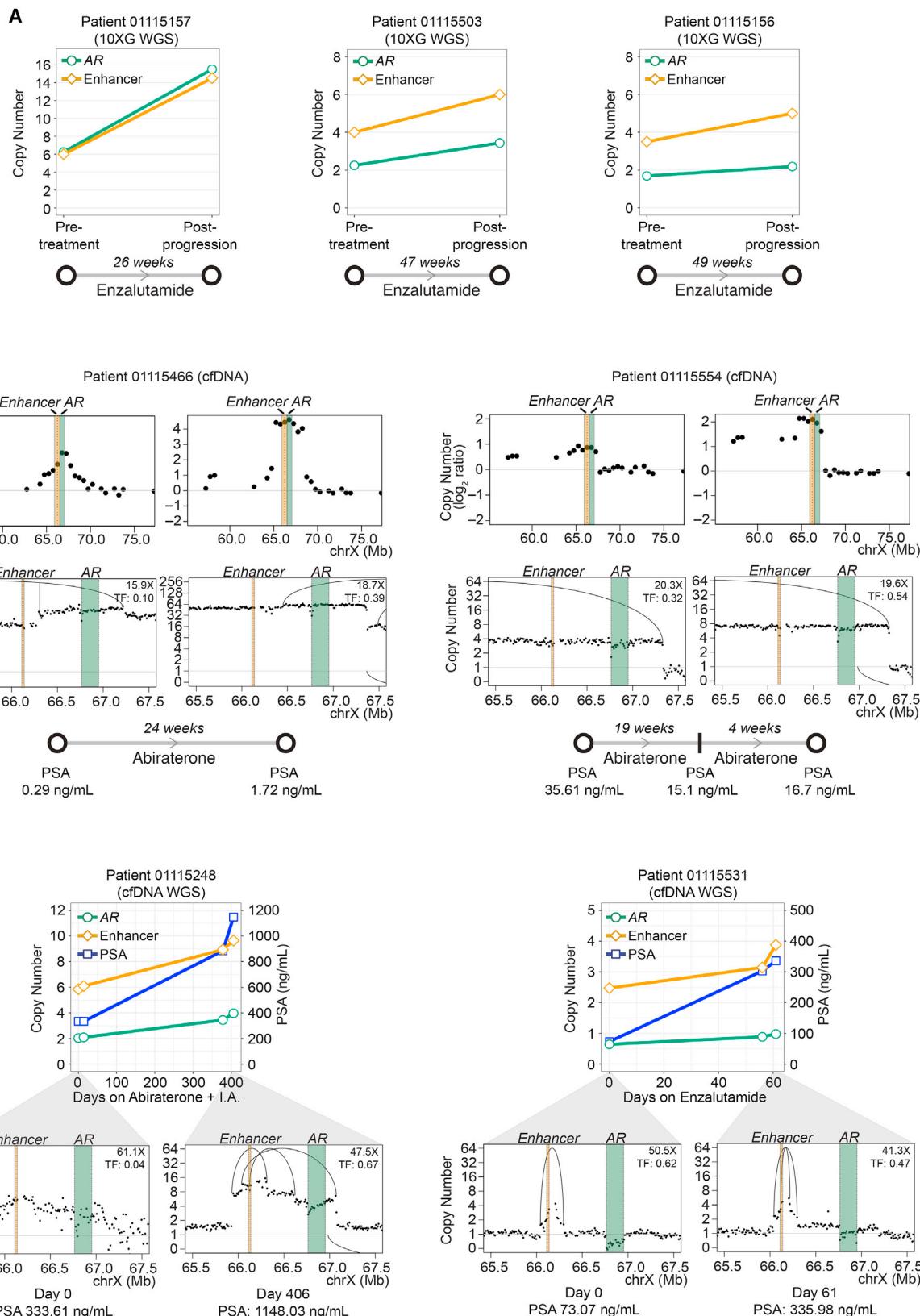
(D) Left: Purity-adjusted copy number (normalized to sample ploidy) at bins containing the *AR* enhancer (y axis) and *AR* gene body (x axis) in WES samples from individuals with mCRPC (Robinson et al., 2015). Only samples with available paired transcriptome data are shown. Right: *AR* expression in samples shown at left, as determined from paired transcriptome data. \* $p < 0.05$ ; \*\* $p < 0.01$ ; and \*\*\* $p < 0.0001$  by Wilcoxon rank-sum test. Boxplots with whiskers at 1.5  $\times$  IQR are shown. See also Figures S5–S7 and Tables S4, S5, and S7.

gains of the *AR* gene were seen in 6 cases (3%). These frequencies of alteration were similar to those seen in ULP-WGS and 10X WGS cohorts (Figure S6; Table S7). Analysis of paired transcriptome sequencing data on 94 individuals revealed that *AR* expression was significantly increased in the cases with amplification of the *AR* enhancer, the *AR* gene, or both, as compared with cases without amplification at these loci ( $p = 0.0012$ ,  $p = 0.021$  and  $p = 5.9 \times 10^{-8}$ , respectively by Wilcoxon rank-sum test), and confirmed by multivariable analysis (Figure 6D; STAR Methods). This supports

the notion that gains of the *AR* enhancer and *AR* gene both drive increased *AR* expression during the castration-resistant phase of prostate cancer.

#### Persistent Selective Pressure on *AR* and *AR* Enhancer during Androgen Pathway Inhibition

We next more closely interrogated several cases in which paired biopsy or cfDNA samples were available from the same individual



(legend on next page)

at various time points during treatment with a next-generation AR pathway inhibitor, including at the time of progression. Strikingly, in all 3 paired metastatic biopsy samples and four paired cfDNA samples, we observed persistent selective pressure on the *AR* locus in the setting of potent androgen pathway blockade. For example, analysis of 3 paired 10XG WGS metastatic biopsy samples showed gains at both the *AR* gene and enhancer loci upon progression on enzalutamide in all cases (mean 1.77-fold increase in *AR* copy number and 1.78-fold increase in *AR* enhancer copy number adjusted for sample purity) (Figure 7A).

In patients 01115466 and 01115554, we observed progressive increase in copy number (corrected for differences in purity between time points) at the *AR* enhancer locus (01115466) or *AR* enhancer and gene loci (01115554) with progression on abiraterone; these gains were detected in ULP-WGS of cfDNA and confirmed on deeper sequencing (Figure 7B). Finally, in 2 additional cases, we sequenced cfDNA samples from multiple time points during therapy with abiraterone or enzalutamide (patient 01115248, 4 time points; patient 01115531, 3 time points) to deep coverage (Figure 7C). In both cases, we observed copy-number gains of both the *AR* gene body and *AR* enhancer tracking with PSA progression (Figure 7C). These copy-number increases, which were seen despite correcting for differences in tumor fraction between time points, may be a result either of new rearrangements occurring under pressure of androgen pathway blockade, or of outgrowth of pre-existing clones under selective pressure. The variable tumor content of our samples and sensitivity of SV calling (particularly in cfDNA) do not allow us to reliably distinguish these possibilities.

Overall, enhancer gain was observed in all 12 post-progression 10XG WGS biopsy samples. Relative increases in enhancer copy number were noted during progression on treatment in all 3 paired 10XG WGS biopsy samples and in all 4 individuals from whom multiple time points of cfDNA were analyzed (Figures 7 and S1). Thus, gains of this regulatory region may be nearly universal when responses to next-generation androgen pathway inhibitors are lost. Interestingly, we also observed that all five 10XG WGS and all 15 WES cases displaying TDP harbored gains involving *AR* gene and/or enhancer ( $p = 0.0135$ , Fisher's exact test; Tables S6 and S7). Although somatic alterations in *AR* region are pervasive even outside of TDP, the data suggest that TDP may be one possible mechanism leading to *AR* locus duplication events involving the *AR* enhancer. In sum, rearrangements leading to increased *AR* locus copy number or disruption of *AR* negative regulators are ubiquitous in mCRPC, both during the initial development of castration-resistance and in the setting of progression on the newest androgen pathway inhibitors.

## DISCUSSION

Copy-number gains near the *AR* locus have long been noted to be pervasive in mCRPC and the presumed target of these gains has been the *AR* gene (Visakorpi et al., 1995). Our study sheds light on the complexity of rearrangements resulting in such gains and provides insight into the mechanisms of *AR* activation in mCRPC. Moreover, we show here that amplification of the *AR* gene body most often co-occurs with duplication of a newly characterized long-range enhancer of the *AR* (Takeda et al., 2018). Both elements are responsible for maintaining increased *AR* expression and activated *AR* signaling in mCRPC. The frequent amplification of both elements in response to androgen pathway inhibitors has implications for our understanding of the dominant mechanisms of resistance to potent androgen pathway blockade.

Our findings add to a growing list of oncogenes activated by alterations in enhancer elements (Glodzik et al., 2017; Herranz et al., 2014; Mansour et al., 2014; Northcott et al., 2014; Shi et al., 2013; Weischenfeldt et al., 2017; Zhang et al., 2016). The *AR* enhancer appears to be predominantly altered by tandem duplications that occur alone or in combination with duplication of the gene body. As both elements appear to be located within the same TAD, this likely results in activation of *AR* expression without disruption of underlying topological domains, reminiscent of alterations in lineage-specific super-enhancers in other tumor types (Glodzik et al., 2017; Zhang et al., 2016) but distinct from enhancer-hijacking mechanisms (Hnisz et al., 2016; Northcott et al., 2014; Weischenfeldt et al., 2017).

Selective activation of the *AR* enhancer in mCRPC provides further evidence that a stepwise amplification of AR signaling is key in the transition to castration-resistance (Chen et al., 2004; Visakorpi et al., 1995). It will be of interest to characterize the factors that bind to and activate the *AR* enhancer and to determine whether AR and/or its ligand-independent splice variants are capable of binding to the enhancer to increase *AR* expression under castrate conditions. Targeting enhancer-bound factors or chromatin readers (Asangani et al., 2014) may prove therapeutically effective in enhancer-duplicated cases. By contrast, enhancer duplication and rearrangements at the *AR* locus may be biomarkers of primary or acquired resistance to androgen-pathway inhibition, analogous to tumors expressing androgen-receptor splice variants (Antonarakis et al., 2014). Further interrogation of these and other alterations in the AR axis may allow for better stratification of patients likely to benefit from hormonal blockade versus cytotoxic chemotherapy.

**Figure 7. Rearrangement Pressure on the AR Locus in the Setting of Androgen Pathway Blockade**

- (A) Purity-adjusted copy-number status at the *AR* gene and enhancer loci in 3 paired 10XG WGS tumor biopsy samples taken from patients prior to and after progression on enzalutamide.
  - (B) Copy-number profiles at *AR* locus in cfDNA of 2 patients collected either early on treatment with abiraterone (left) or shortly after PSA progression (right). Top: ULP-WGS  $\log_2$  ratio copy-number profiles ( $\sim 0.1 \times$  coverage). Bottom: tumor-fraction-adjusted copy number for deep WGS of these samples (15–20 $\times$  coverage).
  - (C) Top: tumor-fraction-adjusted copy number in WGS of cfDNA at the *AR* gene and enhancer loci during treatment with abiraterone (patient 01115248) or enzalutamide (patient 01115531). Bottom: tumor-fraction-adjusted copy-number profiles at the first and last time points for each patient. Rearrangements are indicated by arcs. IA, investigational agent; TF, tumor fraction.
- See also Figures S5–S7 and Tables S4, S5, and S7.

The *CDK12*-associated TDP in mCRPC appears to represent a distinct structural class of prostate cancer, alongside *ETS*-rearranged and *SPOP* mutant tumors (Baca et al., 2013; Barbieri et al., 2012; Boysen et al., 2015; Wyatt et al., 2014), and appears distinct from previously reported short-span *BRCA1*-associated TDPs (Glodzik et al., 2017; Menghi et al., 2016, 2017). Genome-wide TDPs have been variously described in multiple lineages and likely comprise a class of genomic configurations that differ in genetic background, duplication span-size, and mechanisms of driving oncogenesis; careful classification schemes will be important in distinguishing these phenotypes (Glodzik et al., 2017; McBride et al., 2012; Menghi et al., 2016, 2017; Ng et al., 2012; Wyatt et al., 2014).

Whereas a similar *CDK12*-associated phenotype to that described here has been characterized in serous ovarian cancer (Popova et al., 2016), a recent pan-cancer analysis found TDP to be nearly absent in prostate cancer (Menghi et al., 2017). These studies have relied primarily on available genomic data from localized prostate cancer and the increased frequency of *CDK12* alteration in mCRPC as compared with localized disease may explain this discrepancy (Armenia et al., 2018). Alterations in DNA repair pathway components (including *CDK12*) have been linked to sensitization to platinum agents and PARP inhibitors (Bajrami et al., 2014; Johnson et al., 2016; Mateo et al., 2015; Pomerantz et al., 2017; Pritchard et al., 2016; Riaz et al., 2017). Our ability to detect the TDP genomic instability signature through ULP-WGS of cfDNA may have implications for its use as a potential noninvasive biomarker in predicting response to these agents in mCRPC.

At this point, the correlative nature of our findings makes it difficult to conclude whether *CDK12* loss directly contributes to oncogenesis via the tandem duplicator phenotype (i.e., by creating selective pressure for duplications that amplify oncogenes and/or transect tumor-suppressor genes), or whether *CDK12* loss drives prostate cancer through an independent mechanism. We favor the former explanation based on the compelling evidence for duplications of coding or regulatory regions of oncogenes (i.e., *MYC*, *AR*) and disruptive rearrangements involving tumor-suppressor genes (i.e., *NCOR1/2*, *ZBTB16*, and *PTEN*) in TDP samples. A global TDP may provide a means to coordinately activate or inactivate several genes, and various combinations of such events may therefore emerge under selective pressure. Our finding of recurrent duplications near *MYC*, in regions overlapping prostate cancer susceptibility loci, mirrors similar findings in breast (Glodzik et al., 2017) and ovarian cancers (Menghi et al., 2017). Regions containing germline risk variants may function as tissue-specific enhancers, and being in open chromatin, may be prone to double-strand breaks and repair by long-span tandem duplications.

Although the linked-read 10XG platform has been previously applied to a limited number of clinical specimens (Greer et al., 2017; Spies et al., 2017; Zheng et al., 2016), this, to our knowledge, is the first use for comprehensive molecular characterization of a clinical cohort. Our initial results indicate that 10XG WGS can be readily applied to clinical specimens, including those with limited input DNA. We leveraged the use of phasing afforded by this platform in several instances, including to confirm biallelic mutations (i.e., of *CDK12*), to reconstruct haplotype-resolved

complex SVs (i.e., of *PTEN*), and to confirm that the TDP simultaneously affects both haplotypes of a given sample. Overall, our data suggest that linked-read sequencing holds promise in improving read alignment, mutation/indel calling and SV detection over current methods. Future studies will be required for more formal benchmarking of 10XG WGS against traditional short-read sequencing.

In summary, this study highlights the power of linked-read WGS to define the structural alterations driving castration-resistance and therapeutic resistance to androgen pathway blockade in mCRPC. A picture emerges of complex and diverse genetic alterations converging on a central need to sustain AR signaling in the face of highly potent androgen pathway blockade in mCRPC. Additional studies will be helpful in revealing the extent to which persistent addiction on AR versus an escape to androgen indifference contribute to resistance to androgen pathway inhibitors (Arora et al., 2013; Bluemn et al., 2017; Mu et al., 2017) and will help in framing strategies for developing the next generation of targeted therapeutics for mCRPC. More broadly, the novel alterations we have identified and significant differences from localized prostate cancer, even with a relatively small cohort, suggest a rich future for genomic discovery in the non-coding mCRPC genome.

## STAR★METHODS

Detailed methods are provided in the online version of this paper and include the following:

- **KEY RESOURCES TABLE**
- **CONTACT FOR REAGENT AND RESOURCE SHARING**
- **EXPERIMENTAL MODEL AND SUBJECT DETAILS**
  - Human Subjects
- **METHOD DETAILS**
  - Sequence Data and Sample Processing
- **QUANTIFICATION AND STATISTICAL ANALYSIS**
  - Sequence data processing
  - Mutation and Indel Analysis
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  - Structural rearrangements
  - Annotation of variants and copy number
  - Cell-free DNA
  - Tandem Duplicator Phenotype
  - Phasing of variants
  - Data visualization
- **DATA AND SOFTWARE AVAILABILITY**

## SUPPLEMENTAL INFORMATION

Supplemental Information includes seven figures and seven tables and can be found with this article online at <https://doi.org/10.1016/j.cell.2018.05.036>.

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## AUTHOR CONTRIBUTIONS

Conceptualization, S.R.V., G.H., and M.M.; Methodology, S.R.V. and G.H.; Software, G.H., J.A.W., and J.C.-Z.; Sample Preparation, M.C. and S.C.R.; Formal Analysis, G.H., A.M.H., S.R.V., J.A.W., C.W.W., N.J.H., S.S.F., J.R., P.P., T.K., and S.A.W.; Data Curation, Z.Z., A.W., A.D.C., S.R.V., S.C.R., D.R., G.J.G., and S.A.W.; Writing – Original Draft, S.R.V., G.H., A.M.H., and M.M.; Writing – Review & Editing, S.R.V., G.H., A.M.H., S.M.D., P.S.N., A.D.C., V.A.A., E.M.V., R.B., M.-E.T., and M.M.; Resources, J.C.L., G.G., S.G., C.-Z.Z., S.M.D., P.S.N., E.M.V., A.D.C., V.A.A., R.B., M.-E.T., M.M., and the International PCF/SU2C Prostate Cancer Dream Team; Funding Acquisition, M.M.; Discussions and Feedback, S.M.D., P.S.N., R.B., and M.-E.T.; Supervision, M.M.

## DECLARATION OF INTERESTS

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## STAR★METHODS

## KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
<b>Deposited Data</b>		
10X Genomics tumor and normal samples	This paper	dbGAP: phs001577.v1.p1
SU2C metastatic prostate cancer samples	Robinson et al., 2015; Armenia et al., 2018	dbGAP: phs000915.v1.p1
Primary prostate cancer samples	Baca et al., 2013	dbGAP: phs000447.v1.p1
cfDNA ULP-WGS samples	Adalsteinsson et al., 2017	dbGAP: phs001417.v1.p1
cfDNA WGS samples	This paper and Adalsteinsson et al., 2017	dbGAP: phs001417.v1.p1
<b>Software and Algorithms</b>		
TITAN v1.15.0	Ha et al., 2014	<a href="https://github.com/gavinha/TitanCNA/">https://github.com/gavinha/TitanCNA/</a>
ichorCNA v0.1.0	Adalsteinsson et al., 2017	<a href="https://github.com/broadinstitute/ichorCNA">https://github.com/broadinstitute/ichorCNA</a>
Mutect v1.1.6	Cibulskis et al., 2013	<a href="https://github.com/broadinstitute/mutect">https://github.com/broadinstitute/mutect</a>
LoLoPicker	Zhang et al., 2016	<a href="https://github.com/jcarrotzhang/LoLoPicker">https://github.com/jcarrotzhang/LoLoPicker</a>
Strelka v1.0.15	Saunders et al., 2012	<a href="https://sites.google.com/site/strelkasomaticvariantcaller/home/download">https://sites.google.com/site/strelkasomaticvariantcaller/home/download</a>
ANNOVAR v2017Jun01	Wang et al., 2010	<a href="http://annovar.openbioinformatics.org/en/latest/user-guide/download/">http://annovar.openbioinformatics.org/en/latest/user-guide/download/</a>
Oncotator v1.9.1.0	Ramos et al., 2015	<a href="https://portals.broadinstitute.org/oncotator/">https://portals.broadinstitute.org/oncotator/</a>
Pysam v0.8.4	See link	<a href="https://github.com/pysam-developers/pysam">https://github.com/pysam-developers/pysam</a>
PyClone v0.13.0	Roth et al., 2014	<a href="https://github.com/arothe85/pyclone">https://github.com/arothe85/pyclone</a>
Samtools	See link	<a href="https://github.com/samtools/samtools">https://github.com/samtools/samtools</a>
BWA mem v0.5.9	See link	<a href="http://bio-bwa.sourceforge.net/">http://bio-bwa.sourceforge.net/</a>
ChainFinder	Baca et al., 2013	<a href="http://archive.broadinstitute.org/cancer/cga/chainfinder">http://archive.broadinstitute.org/cancer/cga/chainfinder</a>
bxtools	Beroukhim Lab	<a href="https://github.com/walaj/bxtools">https://github.com/walaj/bxtools</a>
GenVisR v1.4.1	Skidmore et al., 2016	<a href="https://github.com/griffithlab/GenVisR">https://github.com/griffithlab/GenVisR</a>
Circos v0.69-6	Krzywinski et al., 2009	<a href="http://circos.ca/software/download/">http://circos.ca/software/download/</a>
SVaBA	Wala et al., 2018	<a href="https://github.com/walaj/svaba">https://github.com/walaj/svaba</a>
LongRanger v2.1.2	10X Genomics	<a href="https://support.10xgenomics.com/genome-exome/software/pipelines/latest/using/wgs">https://support.10xgenomics.com/genome-exome/software/pipelines/latest/using/wgs</a>
GROC-SVS v0.2.4	Spies et al., 2017	<a href="https://github.com/grocsvs/grocsvs">https://github.com/grocsvs/grocsvs</a>
<b>Other</b>		
LongRanger b37/1000 Genomes Reference v2.1.0	10X Genomics	<a href="https://support.10xgenomics.com/genome-exome/software/downloads/latest">https://support.10xgenomics.com/genome-exome/software/downloads/latest</a>
ClinVar (Oct 6th, 2017)	National Center for Biotechnology Information	<a href="https://www.ncbi.nlm.nih.gov/clinvar/">https://www.ncbi.nlm.nih.gov/clinvar/</a>
COSMIC Cancer Gene Census	Forbes et al., 2017	<a href="https://cancer.sanger.ac.uk/census">https://cancer.sanger.ac.uk/census</a>
mCRPC (SU2C-PCF) RNaseq dataset from cBioPortal	Robinson et al., 2015	<a href="https://github.com/cBioPortal/datahub/blob/master/public/prad_su2c_2015.tar.gz">https://github.com/cBioPortal/datahub/blob/master/public/prad_su2c_2015.tar.gz</a>
HiC Browser (Yue Lab)	Pennsylvania State University	<a href="http://promoter.bx.psu.edu/hi-c/view.php">http://promoter.bx.psu.edu/hi-c/view.php</a>

## CONTACT FOR REAGENT AND RESOURCE SHARING

Further information and requests for resources and reagents should be directed to and will be fulfilled by Lead Contact, Matthew Meyerson ([matthew\\_meyerson@dfci.harvard.edu](mailto:matthew_meyerson@dfci.harvard.edu)).

## EXPERIMENTAL MODEL AND SUBJECT DETAILS

### Human Subjects

Cancer genome sequence data were generated through informed consent as part of previously published sequencing cohorts for cell-free DNA ([Adalsteinsson et al., 2017](#)) and metastatic tumor biopsies from individuals with mCRPC ([Armenia et al., 2018; Robinson et al., 2015](#)) in accordance with institutional review board-approved protocols. Site-specific protocols under which individuals were accrued to each cohort are described in these publications ([Adalsteinsson et al., 2017; Robinson et al., 2015](#)). Affected individuals provided written informed consent to obtain fresh tumor biopsies and/or blood for genomic analysis of tumor and germline samples.

For metastatic tumor biopsies subjected to 10X Genomics WGS, samples came from individuals with mCRPC being considered for either standard of care enzalutamide or abiraterone acetate, or clinical trial investigating abiraterone acetate in combination with investigational agents (either ARN-509 or cabozantinib) ([Armenia et al., 2018; Robinson et al., 2015](#)). Sample size was 23 tumors, each with matched germline control (peripheral blood). 12 samples were from individuals with mCRPC taken prior to initiation of next-generation androgen pathway inhibitor; 11 samples were from individuals with mCRPC and were taken after progression on next-generation androgen pathway inhibitor. Of these samples, 6 (3 pairs) were pre- and post-progression samples taken from metastatic sites in the same individual. Age and gender characteristics of the cohort were as follows: 100% male, median age 70 (range 54–79 years old).

## METHOD DETAILS

### Sequence Data and Sample Processing

#### **HMW DNA preparation for 10X Genomics WGS**

High molecular weight DNA was extracted from prostate tumor tissue samples using the MagAttract HMW DNA Kit (QIAGEN), with the exception of the following four cases in which previously extracted DNA was used: 06115115, 06115117, 06115118, and 06115233 (6115233). Starting with ~25 mg of tissue from a frozen core biopsy, samples were lysed overnight with proteinase K, and subsequently treated with RNase A to remove RNA. DNA was then bound to magnetic beads in the Magattract Suspension and washed with buffer and water before elution from the beads into buffer AE (10 mM Tris-Cl, 0.5 mM EDTA). The extracted genomic DNA was quantified using the Quant-it Picogreen assay kit (Thermo Fisher) on a Varioskan Flash Microplate Reader (Thermo Fisher).

For germline DNA samples, pre-extracted DNA was size selected using 750 ng of DNA on the PippinHT platform (Sage Science) according to the manufacturer's instructions, using an 0.75% agarose cassette with a target range of 40 kb to 80 kb. After size selection, samples were quantified in triplicate using the Quant-it Picogreen assay kit (Thermo Fisher) on a Varioskan Flash Microplate Reader (Thermo Fisher) and normalized to a concentration of 0.5 ng/uL with TE buffer (10 mM Tris-HCl, 1 mM EDTA, pH 8.0). Prior to 10X Genomics WGS library construction, genomic DNA fragment size distributions were determined with a Caliper Lab Chip GX (Perkin Elmer) to quantify DNA above 40 kb in length.

#### **10X Genomics WGS library construction**

DNA samples were normalized to a starting concentration of 0.5 ng/uL with TE (10 mM Tris-HCl, 1 mM EDTA, pH 8.0). 10X WGS Libraries were constructed using the 10X Chromium protocol (10X Genomics), starting with 1.2 ng of DNA for each sample. Resulting library fragment sizes were determined using the DNA 1000 Kit and 2100 BioAnalyzer (Agilent Technologies) and quantified using qPCR (KAPA Library Quantification Kit, Kapa Biosystems). The finished libraries were sequenced to ~30X coverage on an Illumina HiSeqX platform, using paired 151 bp reads with a single 8 bp index read. The resulting sequencing BCL files were processed by the Long Ranger Pipeline (10X Genomics) for alignment, variant discovery, and phasing.

#### **WGS of cfDNA**

Cell-free DNA (cfDNA) samples were collected and libraries prepared as previously described ([Adalsteinsson et al., 2017](#)). We selected 10 cfDNA samples for sequencing to higher depth (~20–48X) with 100/101 bp paired-end reads on an Illumina HiSeq2500/HiSeq4000 in high-output mode or Illumina Novaseq (200 cycles, 100 bp reads paired-end).

## QUANTIFICATION AND STATISTICAL ANALYSIS

### Sequence data processing

#### **WES and cfDNA data**

Data processing and read alignment of tumor and normal samples were performed using the Broad Institute Picard pipeline with the hg19 human genome build as described ([Armenia et al., 2018; Robinson et al., 2015](#)). For cfDNA ULP-WGS using Illumina, sequenced reads were analyzed by the Broad Picard pipeline with bwa 0.5.9, resulting in BAM files aligned to hg19 with calibrated quality scores.

#### **10X Genomics WGS - Long Ranger pipeline**

Samples were demultiplexed and paired end fastq files with matching barcode index files were generated with the Long Ranger (v2.1.2) mkfastq function. The Long Ranger pipeline (10X Genomics) was run on Google cloud using the Atmo GCE Instance Launcher (v0.2.1) for Long Ranger (v2.1.2) with the pre-built b37-GRCh37 reference available from 10XG and GATK (v3) as the

default variant caller mode. The matched normal and tumor samples were run separately, as the pipeline currently does not support running in paired analysis mode. The Long Ranger pipeline performs alignment using the “Lariat” aligner, which bins read-pairs containing the same molecular barcode identifier into read clouds and performs the alignment of these read-pairs simultaneously with the prior knowledge that these read-pairs originate from a small number of larger DNA molecules.

### Mutation and Indel Analysis

#### **10X Genomics WGS - Somatic single nucleotide variants**

Somatic SNV calling was performed using MuTect v1.1.6 ([Cibulskis et al., 2013](#)) with the Lariat aligned tumor and normal bam files as matched input from each sample. The variants were further annotated using Oncotator v1.9.1.0 ([Ramos et al., 2015](#)). The resulting wig files generated by MuTect were used to calculate the coverage of sites with sufficient power for SNV detection. The total number of SNVs were divided by this number of sites to calculate the mutation rate as mutations/Mb.

To eliminate systematic errors in SNV calling due to 10X Genomics technology and to avoid calling unannotated germline variants in previously inaccessible genomic regions, we applied a modified version of LoLoPicker ([Carrot-Zhang and Majewski, 2017](#)) adapted to 10XG data to further filter MuTect called variants. We used 50 in-house normal samples sequenced by the 10X technology as a panel of normals for LoLoPicker’s algorithm. Moreover, we implemented a new filter in LoLoPicker to exclude low-confidence variants from reads flagged to originate from separate haplotypes (HP tags), under the assumption that low-allelic fraction variants or poorly covered variants from both haplotypes are likely germline variants or false positives. In brief, for variants with less than 5 reads supporting the variant allele, we extract the variant reads with high phasing quality ( $PC >= 30$ ) and count the number of reads originating from HP\_1 and HP\_2. If a variant site has low support ( $< 5$  reads) for the altered (variant) allele and has high phasing quality reads from both haplotypes ( $HP\_1 > 0$  and  $HP\_2 > 0$ ), the variant site is excluded. Approximately 5% MuTect called variants were excluded by the haplotype filter. Finally, calls by Lolopicker were intersected with all variants passing filter called by Mutect to generate a combined SNV call set.

#### **10X Genomics WGS - Indels**

SvABA ([Wala et al., 2018](#)) (May 16, 2017 revision [commit 4a0606e]) was run on the Lariat/Long Ranger aligned BAM files with matched tumor and normal input. The resulting breakpoints were refiltered to require dbSNP sites to have a log odds ratio of  $> 6$  and other sites a score of  $> 2.5$  to be classified as somatic variants. The resulting somatic indels passing filter were then intersected with all somatic indels called by Strelka v1.0.15 ([Saunders et al., 2012](#)) with the following parameters different from default: `indelNoise = 0.000001`, `minTier1Mapq = 20` and `extraStrelkaArguments = -ignore-conflicting-read-names`. Indels were annotated with Oncotator ([Ramos et al., 2015](#)). For tumor and matched normal samples, the alternate and reference allele counts are given as the number of tier 1 reads supporting an indel and reference as counted by Strelka. The total number of Indels were divided by the number of base pairs with sufficient power, as estimated by MuTect to calculate the Indel rate as Indels/Mb.

#### **10X Genomics WGS - Germline variants**

Candidate germline variants were called in the matched normal samples using Long Ranger (for SNVs and indels) and SvABA (for indels). Variants in any of the 72 genes associated with mCRPC or DNA repair pathways were annotated with ANNOVAR (v2017Jun01) ([Wang et al., 2010](#)), including annotations of population allele frequencies from the Exome Aggregation Consortium (ExAC v0.3), status in dbSNP (version 147) and predictions of functional effects by MutationTaster, PolyPhen2, SIFT and CADD v1.3. Synonymous SNVs, in-frame indels, as well as variants predicted to have non-deleterious functional effects or population allele frequencies greater than 10% were not reported. The remaining variants were cross-referenced with the ClinVar database (accessed Oct 6th, 2017), and only variants annotated as pathogenic or frameshift indels not annotated in ClinVar are reported.

#### **WES Data - Mutation and Indel Analysis**

SNV and indel calls were available from 311/325 whole exome sequenced metastatic prostate cancer samples from the SU2C as previously described ([Adalsteinsson et al., 2017](#); [Armenia et al., 2018](#); [Robinson et al., 2015](#)). To investigate the clonality of mutations in the WES tumors, we applied PyClone v0.13.0 ([Roth et al., 2014](#)) to analyze the SNVs. Copy number results generated from TITAN were used as input (see Copy Number Analysis - WES data), and the parameters used were `-iterations 10000`, `-minDepth 50`, `-burnin 1000`. Out of the 311 samples, 298 had sufficient number of SNVs, read depth, and available copy number results to produce PyClone results. For each sample, we summarized the number of clusters as output by PyClone, based on the cancer cell fraction (CCF) of the SNVs, but required each cluster to have a minimum of two SNVs to be counted. For *CDK12* mutations, the mean CCF was calculated for the clusters containing the *CDK12* SNVs. All samples reported passed purity and median absolute deviation thresholds and had at least one *CDK12* non-silent SNV with read depth  $> 50$ .

### Copy number analysis

#### **10X Genomics WGS data**

We modified the standard workflow of TITAN ([Ha et al., 2014](#)) to perform copy number analysis of 10X Genomics data. The code for this workflow can be found here: <https://github.com/gavinh/TitanCNA/>. A schematic showing use of 10X Genomics WGS to analyze haplotype-based copy number is shown at the following <https://github.com/gavinh/TitanCNA/wiki/Haplotype-based-copy-number>.

The workflow consists of the following steps:

1. Molecule coverage was used to represent the abundance of DNA at specific loci, instead of read-based coverage. This approach takes advantage of the high molecular weight molecules during the 10X sample processing. We used the bxtools tile (<https://github.com/walaj/bxtools#tile>) tool to extract the number of unique barcodes from reads aligned within each non-overlapping 10 kb window (bin) across the genome. A molecule was counted as overlapping a bin if there are  $\geq 2$  reads with the same barcode that are aligned within the bin. Molecule coverage was extracted for both tumor and matched normal samples.
2. The molecule coverage was corrected for GC-content and mappability biases, independently for tumor and normal using ichorCNA v0.1.0 (Adalsteinsson et al., 2017). The  $\log_2$  copy ratio at each bin  $I_t$  was computed as the corrected molecule coverage of the tumor ( $r_{Tum}$ ) normalized by the matched normal molecule coverage ( $r_{Normal}$ ) at each bin,  $I_t = \log_2(r_{Tum}/r_{Normal})$ . For autosomes, only the  $\log_2$  copy ratios were retained from the intermediate step of ichorCNA, while for chromosome X, ichorCNA segment boundaries were retained. Note that the copy number predictions by ichorCNA are not used. ichorCNA parameters used: includeHOMD TRUE, normal 0.5, ploidy 3, txnE 0.9999999, txnStrength 10000000, lambda 10000. Remaining parameters used are defaults as specified in [https://github.com/gavinha/TitanCNA/blob/master/scripts/TenX\\_scripts/getMoleculeCoverage.R](https://github.com/gavinha/TitanCNA/blob/master/scripts/TenX_scripts/getMoleculeCoverage.R).
3. Haplotype-based copy number analysis requires the phasing information from the Long Ranger output. Long Ranger provides phased heterozygous SNPs, along with haplotype information indicating the consecutive series of SNPs linked to the same phase block (denoted by PS tags in BAM file). From the Long Ranger output of the matched normal, heterozygous SNPs are selected based on minimum depth  $\geq 10$ , minimum QUAL  $\geq 100$ , variant allele frequency (VAF) between 0.25 and 0.75. See the script to extract these SNPs: [https://github.com/gavinha/TitanCNA/blob/master/scripts/TenX\\_scripts/getPhasedHETSitesFromLLRVCF.R](https://github.com/gavinha/TitanCNA/blob/master/scripts/TenX_scripts/getPhasedHETSitesFromLLRVCF.R) from the Long Ranger VCF.
4. Tumor haplotype-based coverage was computed in the tumor sample using the phased SNPs and the haplotype phase block information from the normal sample. (i) For each normal heterozygous SNP identified in the previous step, the allele counts,  $a$ , were extracted from the tumor sample. Reads with mapping quality  $< 20$  and base quality (at the SNP locus)  $< 10$  were excluded. (ii) The genome was divided into 100 kb non-overlapping bins. If a bin overlapped multiple phase blocks, then the bin was split into smaller bins such that each bin overlaps only one haplotype phase block. The final set of bins should each only overlap one haplotype phase block. (iii) For each bin  $i$ , we computed the sum of the allele counts for all SNPs phased to haplotype 1  $h_i^1 = \sum_{SNP j \in Bin_i} a_j^1$  and haplotype 2  $h_i^2 = \sum_{SNP j \in Bin_i} a_j^2$ . The allele count sums for the major haplotype  $h_i^{Major}$  is the  $\max(h_i^1, h_i^2)$  and minor haplotype  $h_i^{Minor}$  is the  $\min(h_i^1, h_i^2)$  for bin  $i$ , which we use to compute the haplotype fraction  $hf_i = (h_i^{Major} / h_i^{Major} + h_i^{Minor})$ .
5. Extensions to TITAN (molecule coverage and haplotype-based CN) are included in TitanCNA R package v1.15.0. The log-transformed normalized molecule coverage  $I_t$  for each 10 kb window  $t$  is modeled using a Gaussian distribution. The haplotype fraction  $hf_i$  for bin  $i$  replaces the allelic fraction model and is assumed to follow a Gaussian distribution. TitanCNA still analyzes the observed data at the level of each SNP  $j$ , which are assigned the normalized molecule coverage of the overlapping window  $t$  and the haplotype fraction of the overlapping bin  $i$ . The resulting emission model is a bivariate Gaussian model for the joint distribution of the two data types,  $D_j | G = g \sim N(\mu_g, \Sigma_g)$ , where  $D_j = (I_j, hf_j)$  for SNP  $j$ ,  $\mu_g$  is the joint mean using the 3-component mixture to represent tumor purity and subclonal proportions (Ha et al., 2014), and  $\Sigma_g$  is the covariance for allelic copy number state  $g$ . The mean is modeled using a Gaussian prior distribution and the covariance is modeled using the inverse-Wishart distribution. Inference of parameters is performed using the expectation-maximization algorithm, and Viterbi was used for segmentation following parameter estimation.
6. Solutions were generated for 1 to 3 number of clonal clusters and ploidy initializations for 2 to 4. Optimal solutions were first selected by determining the optimal ploidy initialization. This was done by finding the consistently larger log-likelihood between the different ploidy initializations when comparing the solutions with the same number of clonal clusters. Then, when the optimal ploidy initialization is determined, the solution with the optimal number of clonal cluster is selected using the minimum S\_Dbw validity index (using both log ratio and allele ratio). The script for this analysis is found in [https://github.com/gavinha/TitanCNA/blob/master/scripts/R\\_scripts/selectSolution.R](https://github.com/gavinha/TitanCNA/blob/master/scripts/R_scripts/selectSolution.R). The TitanCNA arguments used for the 10X analysis: maxCN = 8, alphaK = 5000, txn\_exp\_len = 1e20, txn\_z\_strength = 1, minDepth = 10, maxDepth = 1000, haplotypeBinSize 1e5, phaseSummarizeFun sum, alleleModel Gaussian, alphaR 5000. Default values were used for remaining arguments. For more details of other arguments and the TitanCNA analysis, see [https://github.com/gavinha/TitanCNA/blob/master/scripts/TenX\\_scripts/titanCNA\\_v1.15.0\\_TenX.R](https://github.com/gavinha/TitanCNA/blob/master/scripts/TenX_scripts/titanCNA_v1.15.0_TenX.R).

The copy number results from TITAN (autosomes) and ichorCNA (chromosome X) were combined. We specified TITAN to model up to 8 as the maximum number of copies, which may not reflect potentially higher number of copies. Therefore, we modified the copy number prediction for events with 8 copies by transforming the original  $\log_2$  ratio value  $I_t$  at bin  $t$ , while adjusting for tumor purity ( $\alpha$ ) and tumor ploidy ( $\phi$ ), as described in the TITAN model (Equation 1) into a corrected copy number  $\hat{c}_{Tum}$ ,

$$l_t = \log_2 \left( \frac{(1 - \alpha)c_{Norm} + \alpha\hat{c}_{Tum}}{(1 - \alpha)c_{Norm} + \alpha\phi} \right) \quad (1)$$

$$\hat{c}_{Tum} = \left( 2^{l_t} \left( c_{Norm}(1 - \alpha) + \alpha\phi \frac{c_{Norm}}{2} \right) - c_{Norm}(1 - \alpha) \right) / \alpha, \quad (2)$$

where  $c_{Norm} = 2$  for autosomes. All of chromosome X copy number results from ichorCNA were also corrected in order to use TITAN estimates of purity and ploidy. For chromosome X correction,  $c_{Norm} = 1$ . Note that this correction only applies to total copy number, not allelic copy number.

Output SCNA state definitions: HET—heterozygous diploid, two copies; DLOH—deletion LOH, one copy; NLOH—copy neutral LOH, two copies; GAIN—copy number gain, three copies; ALOH—amplified LOH; three or more copies, ASCNA—allele-specific copy number amplification; four or more copies; BCNA—balanced copy number amplification; four or eight copies; UBCNA—unbalanced copy number amplification; five or more copies. The parameters for the optimal solutions are listed in [Table S4](#).

#### **WES Data**

We obtained WES samples from published studies ([Armenia et al., 2018](#); [Robinson et al., 2015](#)), totaling 325 tumor samples with matched normals. The standard workflow of TITAN for WES was used. Read counts were computed at 50 kb bins overlapping the Illumina exome bait set intervals. Centromeres were filtered based on chromosome gap coordinates obtained from UCSC for hg19, including bins that are 100 kb flanking up- and downstream of the gap. The read coverage in each bin across the genome was corrected for GC content and mappability biases independently for tumor and germline samples using ichorCNA v0.1.0. The loess curve fitting for GC-correction was performed on autosomes but chromosome X was also corrected using this fit. Heterozygous SNPs were identified from the matched germline normal sample using Samtools mpileup. Only SNPs overlapping HapMap3.37 were retained. The reference and non-reference allele read counts at each heterozygous SNP were extracted from the tumor sample. SNPs were not analyzed in chromosome X. Copy number analysis was performed using TitanCNA R package v1.15.0. Solutions were selected using the same approach as for 10XG data. The TitanCNA arguments used: maxCN = 8, alphaK = 1000, txn\_exp\_len = 1e15, txz\_z\_strength = 1, minDepth = 10, maxDepth = 1000. Default values were used for remaining arguments. Out of the 325 PCF/SU2C cohort with whole exome sequencing, copy number was successfully analyzed for 315 cases, with 10 samples failing due to high data variance and/or mismatching tumor-normal pairing.

#### **Copy number analysis in WES data using off-target reads**

In WES, DNA fragments outside of target regions (off-target) can be non-specifically captured during hybridization, and are also sequenced. We observed a median of 12% of aligned reads from off-target regions across 205 samples (out of 315) after excluding samples with high variability (median absolute deviation  $>= 0.35$ ) and low tumor purity ( $< 0.3$ ). The remaining 205 samples were generally consistent for data variability ([Figure S6](#)). Next, to normalize the data, the genome was divided into 50kb bins, and bins that overlapped with any bait interval set was considered “on-target,” otherwise they were “off-target.” Then, similar to the normalization steps described above for the TITAN analysis of WES data, the on-target and off-target bins were normalized separately using ichorCNA v0.1.0, as well as separately for tumor and normal samples. Autosomes were used in the loess curve fitting for GC-content bias correction and chromosome X was corrected using the resulting model fit. Next, the tumor bins were divided by the bins from the matched normal to generate  $\log_2$  ratios.

#### **WGS of primary prostate cancer samples**

We obtained WGS data for 57 primary prostate tumor samples from [Baca et al. \(2013\)](#). We used the tumor-normal paired workflow of ichorCNA v0.1.0 to analyze total copy number. Read counts were computed at 10 kb bins across the genome. Centromeres were filtered the same as above. The read coverage in each bin across the genome was corrected for GC content and mappability biases independently for tumor and germline samples. Segmentation and copy number predictions were generated for autosomes and chromosome X. The ichorCNA arguments used: includeHOMD TRUE, maxCN 8, normal 0.5, ploidy 2, txne 0.9999, txnStrength 10000, lambda 1000. After excluding samples with less than 10% tumor purity, 54 samples were used for subsequent analyses.

#### **Structural rearrangements**

##### **Analysis of 10X Genomics data using SvABA**

We called SVs using SvABA ([Wala et al., 2018](#)) (May 16, 2017 revision [commit 4a0606e]) using the tumor-normal paired setting, which generates events distinguished as somatic and germline. SvABA uses discordant read-pairs (DR) and split-reads (SR), along with local reassembly to improve specificity for calling breakpoints. SV events that span a length  $>= 10$  kb are considered except for fold-back inversions (see Classification of structural rearrangement classes).

From the set of unfiltered SV calls, we further used 10X linked-read information to rescue events that originally had insufficient evidence from discordant read-pairs and split-reads only. For all SV events from the unfiltered SV list (except for FILTER categories “DUPREADS” and “LOCALMATCH”), the barcode (BX tags in BAM file) counts near breakpoints were extracted.

- (i) For each SV event and each of the two breakpoints, the number of unique barcodes (BXC) with  $>= 1$  proper read-pair fully aligned within 1 kb window upstream or downstream of the breakpoint depending on the orientation of the breakends in the event.
- (ii) Overlapping barcodes (BXOL) are counted as being observed at both breakpoints for the SV event. The barcode overlap fraction at breakpoint 1 ( $BXOLF1 = BXOL/BXC1$ ) and breakpoint 2 ( $BXOLF2 = BXOL/BXC2$ ) and the minimum barcode fraction ( $BXOLF.min = \min(BXOLF1, BXOLF2)$ ) are also computed. For the rescue of SV events that did not initially pass SvABA filters, we assessed whether the barcode fraction is higher than expected as a function of SV length. For computing the expectation, we used SvABA passed events. In the following steps for the rescue, only SVs with  $BXOL >= 2$ , and length of SV larger than 1.5 times the mean molecule length in the sample and at least 10 kb for intra-chromosomal events were considered.
- (iii) Compute the expected barcode overlap fraction based on the length of intra-chromosomal SVs. A 2-dimensional non-linear fit (using loess with span = 0.3) is generated for  $BXOLF.min$  and length values from SvABA passed events. The maximum length considered is the 95th percentile of lengths of SvABA passed events. This provides the model fit,  $BXOLF.fit(L)$ , as a function of length  $L$ .
- (iv) For each intra-chromosomal event that did not pass SvABA filters, the binomial test is performed for each breakpoint. For example, the p value of breakpoint 1 is  $p(X_1 > BXOL) = \sum_{x=BXOL}^{BXC1} Bin(x, N = BXC1, p = BXOLF.fit(L))$ . The SV event is rescued if the maximum p value between the two breakpoints is less than 0.05.
- (v) Compute the expected barcode overlap fraction for inter-chromosomal SVs as the median  $BXOLF.min$  of interchromosomal SvABA passed events.
- (vi) For each inter-chromosomal event that did not pass SvABA filters, the binomial test is performed as above for each breakpoint, except that the median  $BXOLF.min$  was used as the binomial probability.

Next, SV events that did not pass SvABA filters were also rescued based on corroborating copy number boundaries. For each SV event, if one of the two breakpoints is within 50 kb of a copy number boundary and the  $BXOLF.min > 0.05$ , then it is considered as overlapping the copy number segment.

#### **Analysis of 10X Genomics data using GROC-SVS**

SVs were called with the tool GROC-SVS (Spies et al., 2017) (May 16, 2017 revisions [commit 1c3e407]) using the two sample (tumor-normal pair) setting and three sample (pre-treatment, post-treatment, normal) setting where applicable (for paired samples). SV events are kept if they are specific to the tumor sample(s) and have FILTER categories: “PASS,” “NOLONGFRAGS,” “NEARBYSNVS,” or “NEARBYSNVS;NOLONGFRAGS.” In addition, events are kept if both breakpoints have  $BXOL >= 2$  and are on the same predicted haplotype while the other haplotype has  $BXOL \leq 1$ , and p value  $\leq 1 \times 10^{-10}$ .

#### **Analysis of 10X Genomics data using Long Ranger**

SV events are called independently for tumor and normal samples using Long Ranger v2.1.2 (<https://support.10xgenomics.com/genome-exome/software/pipelines/latest/using/wgs>). The large SVs (\*large\_sv\_calls.bedpe) and deletions (\*dels.vcf.gz) were combined for tumor and normal, separately. Next, germline events were identified in the tumor sample based on overlapping with events from the normal. An overlap between an event in the tumor sample and an event in the normal sample is determined when the first breakpoints of both events are within 1 kb and the second breakpoints of both events are within 1 kb. Germline events are excluded from the tumor sample. Only events with FILTER category “PASS” are kept.

#### **Final structural rearrangement call set for 10X Genomics samples**

The final SV call-set consists of the union of SvABA, GROC-SVS, and Long Ranger SV predictions. Intersection of events between two or all tools was determined based on matching the first breakpoint of the events from the tools within 5 kb and also matching the second breakpoint from the tools within 5 kb. For intersecting events, only one event from a single tool is retained to avoid redundancy in the final call-set; the priority of the retention is first SVABA, followed by GROC-SVS and Long Ranger. The final call-set as well as the original calls for each of the independent tools are also provided in Table S5.

#### **Classification of structural rearrangement types**

To determine the rearrangement class/type, we jointly analyzed the final SV call-set and copy number results. For every SV event, we determined the copy number flanking the two breakpoints by using the bin-level (10 kb) corrected copy number based on Equation 2. For the first breakpoint  $b_1$ , the upstream copy number  $c_1^{Up}$  is assigned with the corrected copy number of the 10 kb bin to the left of the breakpoint; the downstream copy number  $c_1^{Down}$  is assigned the right bin. Similarly, for the second breakpoint  $b_2$ ,  $c_2^{Up}$  and  $c_2^{Down}$  are assigned the left and right bins of the breakpoint.

In addition, for each intra-chromosomal SV event, the mean corrected copy number  $c_{mean}$  across bins within and between the breakpoints  $b_1$  and  $b_2$ , and the number of segments  $s$  overlapping the region between  $b_1$  and  $b_2$  is determined. The orientation of a breakpoint is defined as “up” or “+” for the sequence to the left of the breakpoint and as “down” or “-” for the sequence to the right of the breakpoint.

Inter-chromosomal events were classified as *translocations* that are *balanced* if  $c_1^{Up} = c_1^{Down}$  and  $c_2^{Up} = c_2^{Down}$ , and *unbalanced* if  $c_1^{Up} \neq c_1^{Down}$  or  $c_2^{Up} \neq c_2^{Down}$ .

Intra-chromosomal events were classified as *deletions* if orientation of  $b_1$  is “up” and  $b_2$  is “down,” and  $c_1^{Up} > c_1^{Down}$  or  $c_2^{Up} < c_2^{Down}$ , and  $c_1^{Up} > c_{mean}$  or  $c_2^{Down} > c_{mean}$ , and  $s \leq 5$ . In addition, events with orientation (“up,” “down”) having both breakpoints overlapping

within 1 Mb of a copy number deletion or LOH segment boundaries are considered deletions. Remaining SVs with deletion orientation (“up,” “down”) and between lengths of 10 kb and 1 Mb are considered deletions.

Intra-chromosomal events were classified as *tandem duplications* if orientation of  $b_1$  is “down” and  $b_2$  is “up,” and  $c_1^{Up} < c_1^{Down}$  or  $c_2^{Up} > c_2^{Down}$ , and  $c_1^{Up} < c_{mean}$  or  $c_2^{Down} < c_{mean}$ , and  $s \leq 5$ . In addition, events with tandem duplication orientation (“down,” “up”) having both breakpoints overlapping within 1 Mb of a copy number segment  $\geq 2$  copies or copy neutral LOH are considered tandem duplications. Remaining SVs with orientation (“down,” “up”) and between lengths of 10 kb and 1 Mb are considered tandem duplications, except Long Ranger events.

Intra-chromosomal events were classified as *inversions* if orientation of  $b_1$  is the same as  $b_2$  (i.e., “up,” “up” or “down,” “down”). *Fold-back inversions* include events shorter than 30 kb with  $c_1^{Up} \neq c_1^{Down}$  or  $c_2^{Up} \neq c_2^{Down}$ , and  $CN(b_1)/ploidy > 2$  or  $CN(b_2)/ploidy > 2$  (i.e., overlaps an amplified region). Then, remaining inversions shorter than 5 Mb are classified as *balanced* if  $c_1^{Up} = c_1^{Down}$  and  $c_2^{Up} = c_2^{Down}$ , or  $c_1^{Up} = c_{mean}$  and  $c_2^{Down} = c_{mean}$ , and *unbalanced* if  $c_1^{Up} \neq c_1^{Down}$  and  $c_2^{Up} \neq c_2^{Down}$ , or  $c_1^{Up} \neq c_{mean}$  and  $c_2^{Down} \neq c_{mean}$ . Remaining inversions larger than 5 Mb with  $c_1^{Up} = c_1^{Down}$  and  $c_2^{Up} = c_2^{Down}$ , or  $c_1^{Up} = c_{mean}$  and  $c_2^{Down} = c_{mean}$  are classified as “*balanced rearrangements*.”

All remaining intra-chromosomal events larger than 10 kb are considered “*unbalanced rearrangements*.”

### Chromoplexy analysis

Chromoplexy events were predicted for 10XG data using ChainFinder (Baca et al., 2013). TITAN segments were used as input data. The corresponding corrected copy number from Equation 2 was log transformed  $\log_2(\hat{C}_{Tum}/ploidy)$ ; copy neutral (2 copies) or heterozygous (HET) segments were set to 0. The SV events from the final call-set that were larger than 10 kb were used as input. For both copy number and SV inputs, tandem duplications in 01115248, 01115257, 01115202, 01115503 (pre and post-treatment) samples were excluded because these events skew the background frequency estimation of SVs by ChainFinder. The ChainFinder arguments used are `copy_number_type: seq, summarize_gene: true, mu_window: 1000000, gene_test_window: 25000, deletion_thres: -0.1, bp_window: 10000, significance_thres: 0.01, test_distance_thres: 1000000`. Default values were used for the remaining arguments.

### Analysis of primary prostate WGS data using SvABA

We called SVs within chromosome X using SvABA (Wala et al., 2018) (May 16, 2017 revision [commit 4a0606e]). The tumor-normal paired setting was used to generate somatic and germline events. SV events with FILTER category “PASS” and larger than 10 kb were used.

### Annotation of variants and copy number

#### Gene overlap

Predicted copy number segments and the final SV call set were annotated using known protein coding genes from GenCode v19 (hg19). For copy number, each gene was assigned the corrected total copy number (see Equation 2) and LOH status (LOH = 1, not LOH = 0) of the segment that has the largest overlap with the gene; copy number segments shorter than 1 kb were excluded; and LOH segments shorter than 1 Mb were excluded. The copy number of the gene was then normalized to account for sample-specific ploidy and allow for consistent comparison between samples. The copy number was normalized to the median corrected copy number across all autosomal genes (i.e., becomes a copy ratio); chromosome X genes were normalized by half the median copy number because patients are male,

$$\text{Autosomal gene : } c_{\text{gene}} = \hat{c}_{\text{gene}} / \text{median}(\hat{c}_{\{\text{genes} \in \text{Autosomes}\}}),$$

$$\text{Gene in chromosome X : } c_{\text{gene}} = \hat{c}_{\text{gene}} / \left( \frac{1}{2} \text{median}(\hat{c}_{\{\text{genes} \in \text{Autosomes}\}}) \right),$$

where  $\hat{c}_{\text{gene}}$  is the corrected total copy number of the segment that overlaps the gene. Copy number alterations and LOH were defined as gain:  $c_{\text{gene}} \geq 2$  and  $c_{\text{gene}} < 2.5$ , amplification:  $c_{\text{gene}} \geq 2.5$ , homozygous deletion:  $c_{\text{gene}} = 0$ , deletion (LOH):  $c_{\text{gene}} < 1$  and  $c_{\text{gene}} > 0$  and LOH status = 1, copy neutral LOH:  $c_{\text{gene}} = 1$  and LOH status = 1.

For structural rearrangements, each SV was assigned the genes that at least one of the two breakpoints may be transecting. In addition, for intra-chromosomal rearrangements, each SV was also assigned the set of genes that are fully contained within the breakpoints.

#### Cancer genes related to mCRPC

For single-gene alterations; SNVs, indels, copy number alterations, or structural rearrangements transecting (by one or both breakpoints) the promoter or gene-body, we focused on alterations in genes known to be important in mCRPC and DNA-repair (Grasso et al., 2012; Pritchard et al., 2016; Robinson et al., 2015); a total of 72 genes (40 genes annotated with a tumor suppressor role, 18 with a known oncogene role and 3 with a potential dual role according to COSMIC v83 and the cancer gene census). We further restricted the list of genes to those with a known tumor suppressor role when investigating the number of samples containing one or multiple alterations due to SNVs, indels and copy number loss, or transecting rearrangements of the gene promoter or gene-body.

### Transecting SVs affecting genomic features

The final SV call set was annotated with the overlap of genomic features including genes, promoters, transcription start sites, and 1Mb upstream and downstream regions. The promoter region was defined as being 5 kb upstream (positive strand) or downstream (negative strand) of transcription start site for each known protein coding gene from GenCode v19 (hg19). Genomic features with at least one breakpoint from any SV event is considered as transecting the genomic region. For 1Mb upstream or downstream of a gene, we were interested in identifying oncogenes which may have duplications of nearby non-coding regulatory regions such as enhancers. For this analysis, we focused only on breakpoints from tandem duplication events and 304 oncogenes from the COSMIC Cancer Gene Census (<https://cancer.sanger.ac.uk/census>) (Figure 3E). The frequency for each oncogene was computed for the 10XG WGS cohort of 23 samples. The enrichment (p value) for duplications nearby oncogenes was determined using the binomial exact test, with the expectation computed as the mean frequency across all 304 oncogenes.

### AR gene and the AR enhancer region

For the 10XG data, the copy number of the *AR* gene  $c_{AR}$  (chrX:66,764,465-66,950,461) and the *AR* enhancer region  $c_{Enh}$  (chrX:66,115,000-66,130,000) were each computed as the median corrected total copy number (see Equation 2) across the 10 kb bins overlapping each region. Similarly, for the WES off-target data, the *AR* gene and the *AR* enhancer region were each computed as the median corrected total copy number from the overlapping 50kb bins. The copy number is further normalized by half the predicted tumor ploidy  $\phi$  of the sample,  $c_{AR} = \hat{c}_{AR}/(\phi/2)$  and  $c_{Enh} = \hat{c}_{Enh}/(\phi/2)$ .

Amplification status was determined for each sample by comparing the fold-change  $FC = \log_2(c_{Enh}/c_{AR})$ . A sample is assigned a status of “Selective *AR*” if  $FC \leq -\log_2(1.5)$  (i.e., *AR* gene copy number is 1.5 times higher than *AR* enhancer) and  $c_{Enh} < 1.75$ ; “Selective Enhancer” if  $FC \geq \log_2(1.5)$  and  $c_{AR} < 1.75$ ; “Coamplification” if  $c_{Enh} > 1.75$  and  $c_{AR} > 1.75$ ; remaining samples were assigned “No amplification.”

### Comparison of *AR* gene and enhancer with *AR* expression from RNaseq

We obtained RNaseq expression values (fragment per kilobase per million reads, FPKM) from cBioPortal ([https://github.com/cBioPortal/datahub/blob/master/public/prad\\_su2c\\_2015.tar.gz](https://github.com/cBioPortal/datahub/blob/master/public/prad_su2c_2015.tar.gz), accessed February 8, 2018). There were 94 patients with RNaseq data, overlapping the WES cohort of 205 samples with evaluable off-target analysis of *AR* enhancer. The expression values used in the analysis was computed as  $\log_2(FPKM + 1)$ . To determine if *AR* expression was significantly different between the amplification status, we applied a Wilcoxon rank sum test (Figure 6). Next, to determine the effect of *AR* enhancer and *AR* gene copy number as independent variables on *AR* expression, we performed a multivariable regression analysis. The predictor variables (covariates) were purity ( $p = 0.78$ ), ploidy ( $p = 0.56$ ), percent-off-target reads ( $p = 0.90$ ), median absolute deviation of genome-wide corrected off-target log ratios ( $p = 0.43$ ), *AR* enhancer copy number (off-target), *AR* gene copy number (on-target), and the interaction between *AR* gene and *AR* enhancer. The coefficients for *AR* enhancer and *AR* gene, independently, were positive (0.20 and 0.11) and the resulting p values were significant ( $p = 4.3 \times 10^{-8}$  and  $p = 2.1 \times 10^{-4}$ , respectively); the interaction covariate was also statistically significant ( $p = 1.97 \times 10^{-14}$ ).

### ETS transcription factor rearrangements

The final SV call-set combining all three tools were annotated with ENSEMBL (hg19, release 74, February 2014) gene names. Samples harboring unbalanced or balanced translocations or other SV types with a length > 1 Mb involving one of the ETS transcription factor family genes were called as ETS rearranged. In addition, fusion transcripts were called using STAR-fusion for 10XG samples that had available RNA-seq data from the PCF/SU2C (10/23 overlapping samples). Samples that were found to express fusion transcripts with an ETS family gene partner were also annotated as ETS rearranged.

## Cell-free DNA

### Analysis of copy number and structural rearrangements in deep WGS data

Copy number alterations were analyzed using ichorCNA v0.1.0 under the tumor-only setting. Read counts were computed at 10 kb bins across the genome. Centromeres were filtered the same as above. The read coverage in each bin across the genome was corrected for GC content and mappability biases. Segmentation and copy number predictions were generated for autosomes and chromosome X. The ichorCNA arguments used were includeHOMD TRUE, maxCN 15, normal 0.5,-ploidy c(2,3), txne 0.99999, txnStrength 100000, lambda 100. Default values were used for the remaining arguments. SVs were predicted using SvABA (May 16, 2017 revision [commit 4a0606e]) for chromosome X. Only SV events with FILTER category “PASS” and larger than 10 kb were used.

### Analysis of cfDNA ULP-WGS using ichorCNA

Copy number alterations were analyzed using ichorCNA v0.1.0 as described in Adalsteinsson et al., (2017). Read counts were computed at 500 kb bins across the genome. Centromeres were filtered based on chromosome gap coordinates obtained from UCSC for hg19. The read coverage in each bin across the genome was corrected for GC content and mappability biases. The same panel of normals consisting of 27 healthy donors, provided by Adalsteinsson et al. (2017), was re-generated for 500 kb bins and used to further normalize the data. Segmentation and copy number predictions were generated for autosomes and chromosome X. The ichorCNA arguments used were includeHOMD FALSE, maxCN 6, normal c(0.5,0.75,0.85), ploidy c(2,3), txne 0.999, txnStrength 1000, chrTrain c(1:18). Default values were used for the remaining arguments.

A total of 624 samples from 137 patients were analyzed. Of these, 232 samples from 86 patients had an estimated tumor fraction  $\geq 0.05$  (5%) and median absolute deviation of the pairwise, adjacent copy ratio (not log transformed) differences for all bins being  $\leq 0.15$ . These 232 samples were used for subsequent analyses.

#### **AR enhancer and AR gene amplification in ULP-WGS**

The AR enhancer region and AR gene body are fully contained within consecutive but separate 500 kb bins of chrX:66,000,001–66,500,000 and chrX:66,500,001–67,000,000, respectively. The corrected copy number (see [Equation 2](#)), rounded to the nearest integer or set to 0.01 if zero copies, of the AR enhancer bin and the AR gene bin. The AR enhancer and AR gene amplification status criteria were the same as previously described above.

#### **Nucleosome positioning from WGS of cfDNA**

We analyzed the occupancy and position of nucleosomes by assessing the coverage of WGS of cfDNA from mCRPC patients and healthy donors. We applied the Windowed Protection Score (WPS) approach described ([Snyder et al., 2016](#)). First, depth of coverage at every base pair position in chromosome X is collected for longer fragments greater than 100 bp in length and the WPS is computed based on comparing the number of fragments spanning 120 bp window minus the number of fragments within the window. Next, nucleosome peaks are called using the Savitzky-Golay filter as described in [Snyder et al. \(2016\)](#), using the same parameter settings including smoothing window size of 21; polynomial order 2; maximum single peak length of 150; regions  $< 50$  bp or  $> 450$  bp were discarded. The running median window size used was 3 kb, which helped to account for lower sequencing coverage of the WGS libraries.

#### **Tandem Duplicator Phenotype**

Tandem duplications were predicted from the copy number results genome-wide for each sample. For 10XG data, the tandem duplications were taken from the intersection of TITAN copy number segments and tandem duplication breakpoints from the final SV call set. For whole exome sequencing, duplication events must meet these criteria: segment is shorter than 10 Mb; have flanking segments with lower copy number; and the difference in copy number between the left and right flanking segment is  $\leq 1$ . Out of the 325 whole exome sequencing samples, 285 samples that were successfully analyzed by TITAN and having tumor purity  $>0.2$  and  $MAD < 0.25$  were used to identify duplications. For ULP-WGS data, duplication events must meet these criteria: segment is short than 10 Mb; tumor purity  $> 0.05$ ;  $MAD < 0.15$ ; have flanking segments with lower copy number and lower log ratio compared to  $MAD * tumor fraction$ ; and the left and right flanking segments should have equal copy number and log ratio difference less than  $0.5 * MAD$ .  $MAD$  was used as a measure of data variability and was computed as the the median absolute deviation of the log ratio differences between all pairwise adjacent segments within a sample.

We devised a metric to predict whether a sample exhibits the tandem duplicator phenotype, characterized by numerous large tandem duplications across the genome. This metric was adapted from the Nearest Neighbor Index (NNI), which distinguishes the pattern of observed objects (e.g., tandem duplications) within a defined area as being clustered or dispersed/random. First, the inter-duplication distance (*interDupDist*) for each duplication to its neighbor duplication downstream were computed (in base pairs) per chromosome c; the distance to the chromosome start and end were used for the first and last duplications in each chromosome, respectively. The Nearest-Neighbor Index is computed for each chromosome as

$$NNI_c = \frac{1}{N_c} \sum_i^{N_c} interDupDist_i / \frac{L_c}{2N_c},$$

where  $N_c$  is the number of duplication events and  $L_c$  is the length of chromosome c. The final duplication dispersion score is the average across all M chromosomes,  $NNI = \frac{1}{M} \sum_c^M NNI_c$ . Samples with no duplication events will have  $NNI = 0$ .

#### **Phasing of variants**

##### **Extracting phase of called variants**

Although the Long Ranger pipeline outputs phase of variants, germline and somatic variants are not distinguished in the tumor sample. Additionally, not all SNVs as identified by our SNV calling pipeline described above are found in the Long Ranger annotated variants. Therefore, from the the combined SNV call set, Pysam pileup v0.8.4 was used to extract a count of reads supporting the alternative allele at each variant position together with the haplotype information of the molecule that generated the read (HP tag; if available) and the phase set containing the read (PS tag). This information was also extracted from each of the heterozygous SNPs to be used in the TITAN copy number pipeline (see Copy Number Analysis section). A mutation was determined to be phased if it had one or more alternative reads assigned to a haplotype and a PS tag. For indels, the phase information was extracted for the sites called by SvABA/Strelka that overlapped with the Long Ranger phased variants.

##### **Phasing of variants within duplicated regions**

To determine variants within duplicated regions, phased somatic SNVs, Indels and germline SNPs were identified in regions with copy number gains matching tandem duplications (as determined by structural variant analysis). The tandem duplication mutation rate was determined as the total number of mutations overlapping tandem duplication segments divided by their total length, whereas the non-duplicated mutation rate was determined as the total number of mutations overlapping the non-duplicated

segments divided by their total length. A mutation was determined to be located on the duplicated haplotype if the mutation had a haplotype fraction  $> 0.5$ . Mutations phased to the duplicated haplotype with alternate allele fraction  $\geq 0.5$  were called as arising before the duplication event, whereas mutations phased to the duplicated haplotype with alternate allele fraction  $< 0.5$  were called as arising after the duplication event.

#### Data visualization

For visualization of results, we applied custom R (build 3.3) code, R-packages ggplot2 (v2.2.1) and GenVisR (v1.4.1) (Skidmore et al., 2016). Specifically, for visualizing single-gene alterations; SNVs, indels, copy number alterations or structural rearrangements transacting the promoter or gene-body, we applied the *waterfall* function of GenVisR. For visualizing the 10XG cohort metrics as well as the summaries of SV classes, we used custom R code adapted from the GenVisR package. For visualizing the genome-wide landscape of SVs, including classes of SVs or chains of chromoplexy, we applied the perl Circos package v0.69-6 (Krzewinski et al., 2009), with copy number from TITAN (autosomes) and ichorCNA (chromosome X) and the final combined SV call set or the results from the chromoplexy analysis as input. Horizontal copy number profile plots were generated by TITAN/ichorCNA R packages.

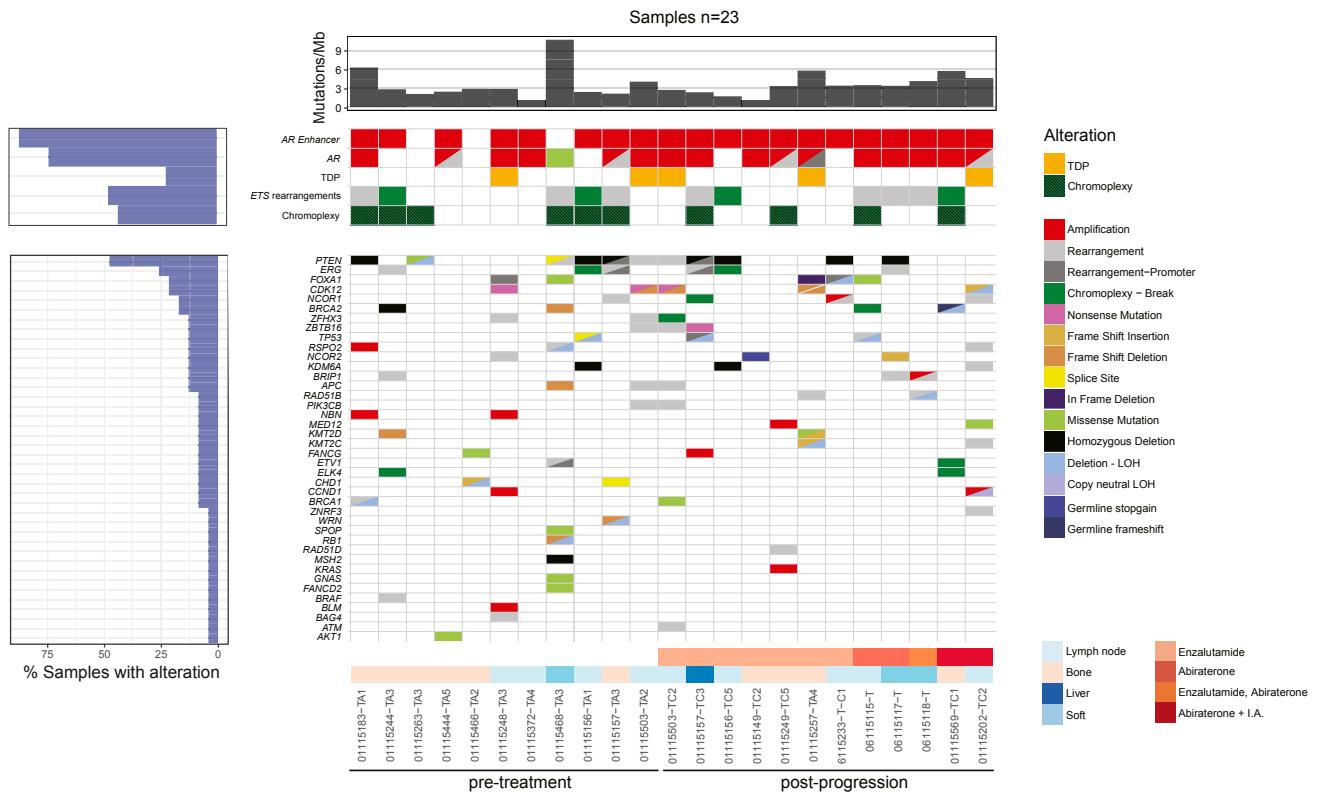
#### DATA AND SOFTWARE AVAILABILITY

The accession number for the 10X Genomics WGS data reported in this paper is dbGAP: phs001577.v1.p1. The accession number for the deep WGS of cfDNA data reported in this paper is dbGAP: phs001417.v1.p1 (<https://www.ncbi.nlm.nih.gov/gap>).

Modifications to TITAN to allow for copy number analysis of 10X data is available as open source R packages from the following URL: <https://github.com/gavinha/TitanCNA/>.

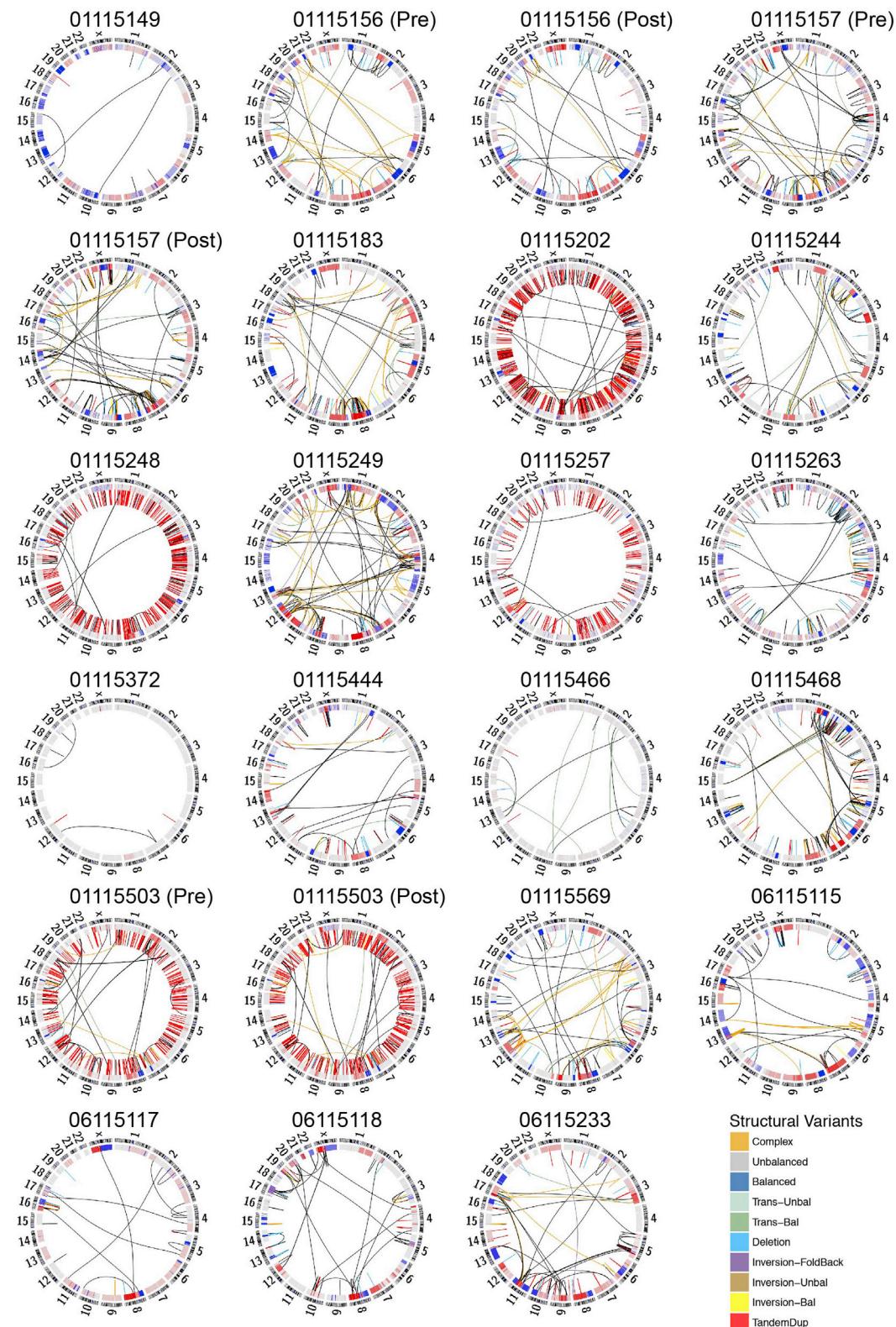
# Supplemental Figures

Cell



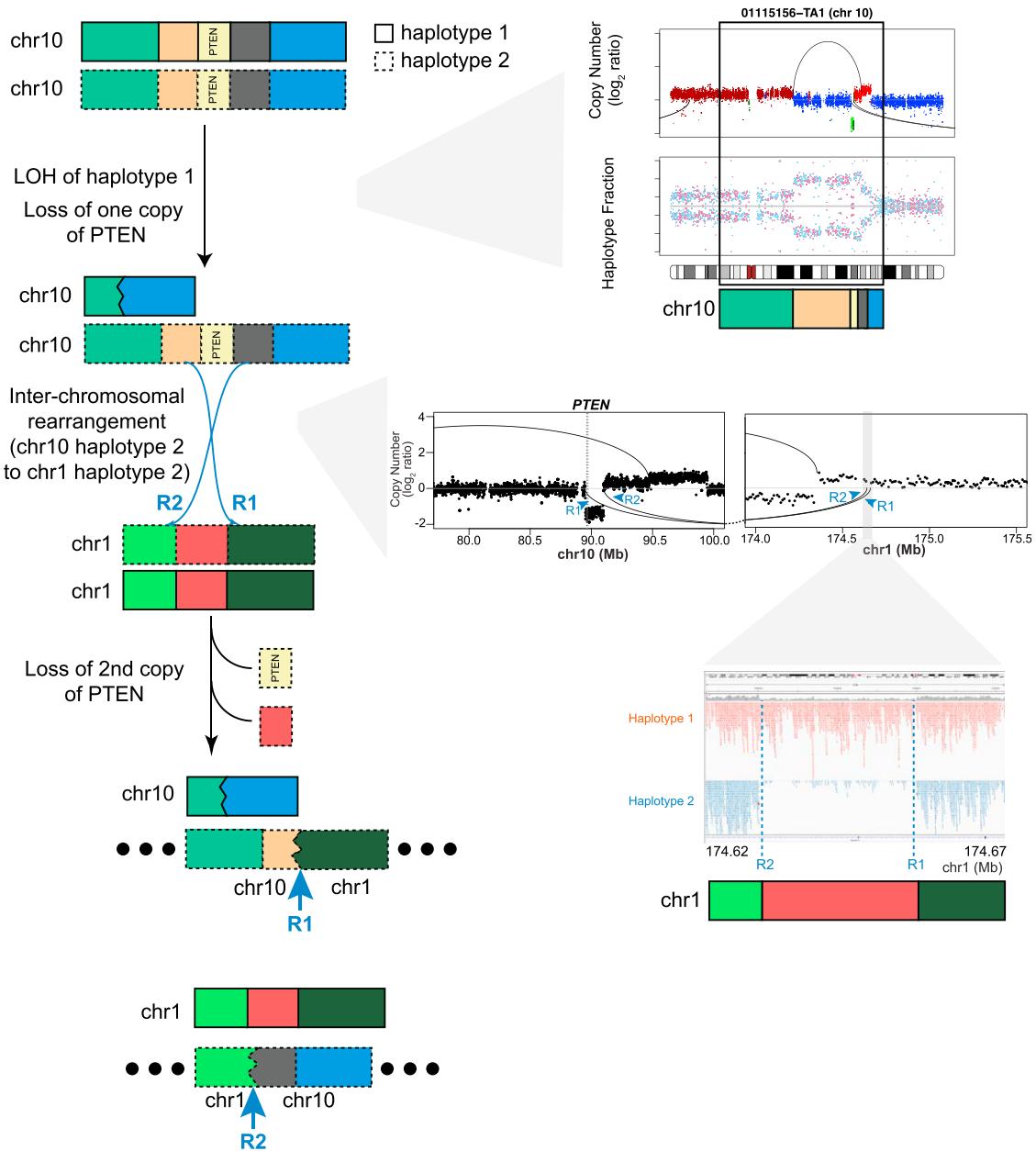
**Figure S1. Somatic and Germline Alterations in 10XG WGS mCRPC Cohort, Related to Figures 1–3**

CRPC patients (columns) and genes (rows) previously reported to be significantly altered in mCRPC (Grasso et al., 2012; Pritchard et al., 2016; Robinson et al., 2015) with at least one detectable alteration across our cohort. Cell colors indicate alteration type, which includes SNVs, indels, copy number alterations, and structural variants (SVs). SVs have been subcategorized into those with at least one breakpoint transecting gene body or gene promoter, and those in which a break occurs within the context of a larger chromoplexy chain. The top rows indicate samples with alterations in the AR enhancer and AR, samples displaying the CDK12-associated tandem duplicator phenotype (TDP), samples with ETS gene family rearrangements, and samples with widespread chromoplexy (here defined as having two or more chains, each harboring five or more rearrangements). The lower grid includes clinical annotation on the samples sequenced, including biopsy site and treatment status. The upper histogram indicates mutation rate per sample (mutations/Mb) while the histogram on the left indicates alteration frequency across the cohort.



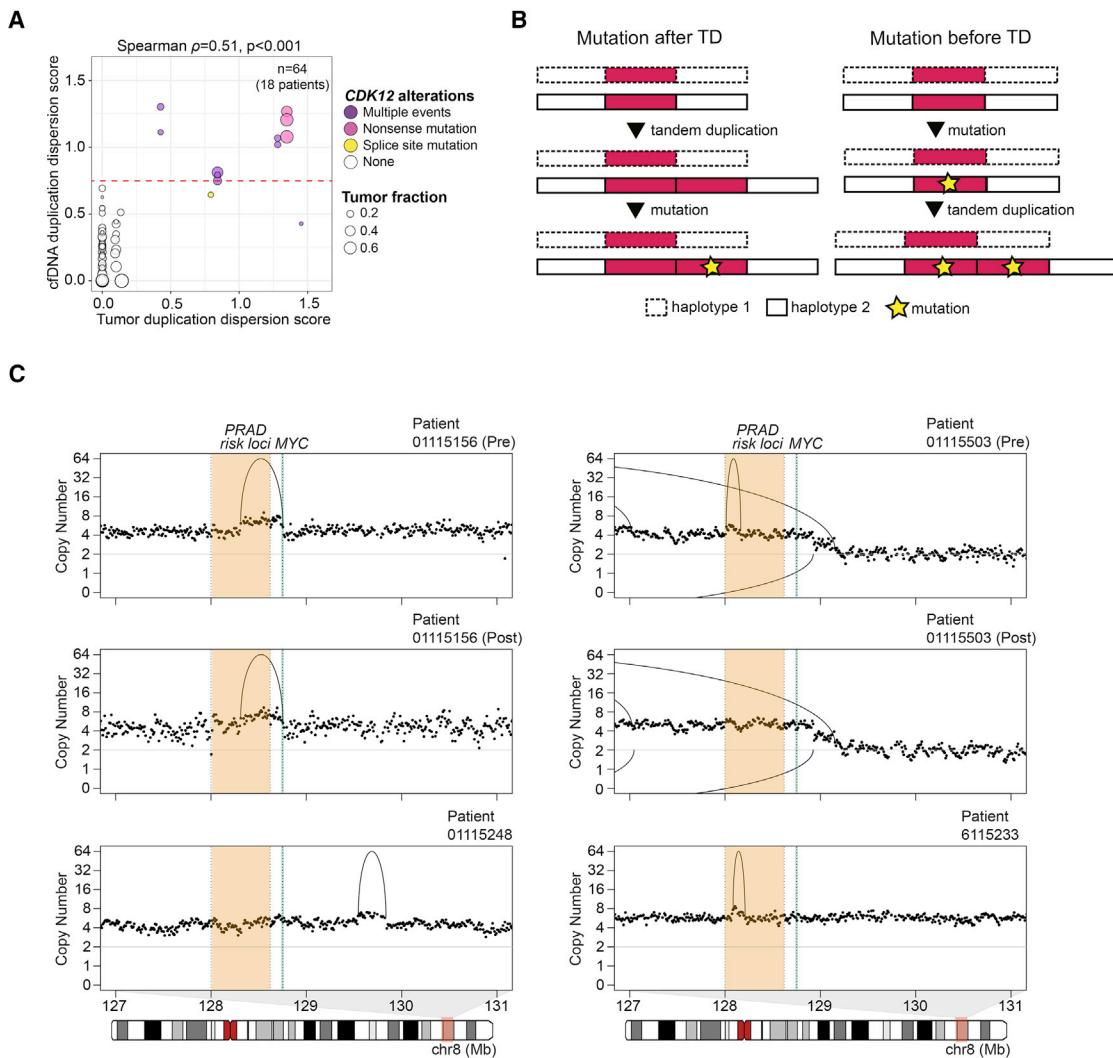
**Figure S2. Rearrangement Profiles for mCRPC Samples Analyzed by 10XG WGS, Related to Figures 1–3**

Rearrangements in each sample are visualized by CIRCOS plot. Line colors indicate rearrangement class. Color shading in the inner ring indicates copy number status.



**Figure S3. Haplotype-Based Linked-Read Information Is Used to Resolve a Complex Event Resulting in PTEN Inactivation in Patient 01115156, Related to Figures 1 and S1**

PTEN deletion on chr10:haplotype 1 appears to have occurred via a simple deletion event. An inter-chromosomal event results in loss of PTEN on chr10:haplotype 2 (summarized in schematic on left). Right, from top to bottom: chromosome-wide copy number, rearrangements, and haplotype fraction of chr10; copy number profiles around breakpoint sites at chr1 and chr10; and views of haplotype-assigned linked-reads around breakpoints on chr1 (right).

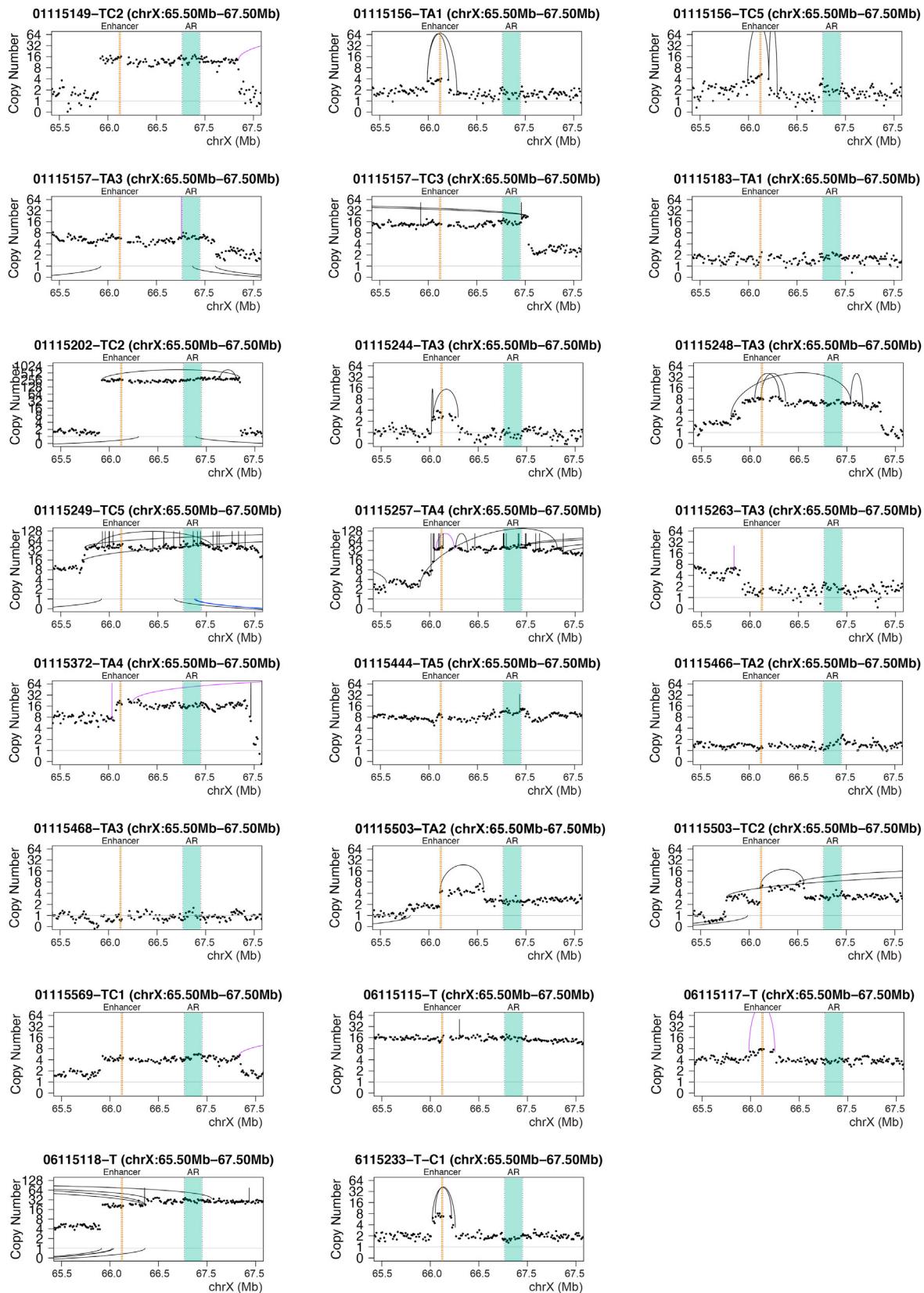


**Figure S4. CDK12-Associated TDP Is Associated with Tandem Duplications near the MYC Locus, Related to Figures 2 and 3**

(A) Comparison of duplication dispersion score between cfDNA and tumor in 64 samples from 18 mCRPC patients who had both metastatic biopsies (10XG WGS or WES) and cfDNA (ULP-WGS) samples profiled. Point color, CDK12 alteration status as determined by 10XG WGS or WES of tumors. Note, samples collected for WES and cfDNA may have been collected at different time points. The points are sized based on the cfDNA tumor fractions.

(B) Schematic for expected haplotype fractions of phased SNVs if mutations occur before after (left) or before (right) tandem duplication events.

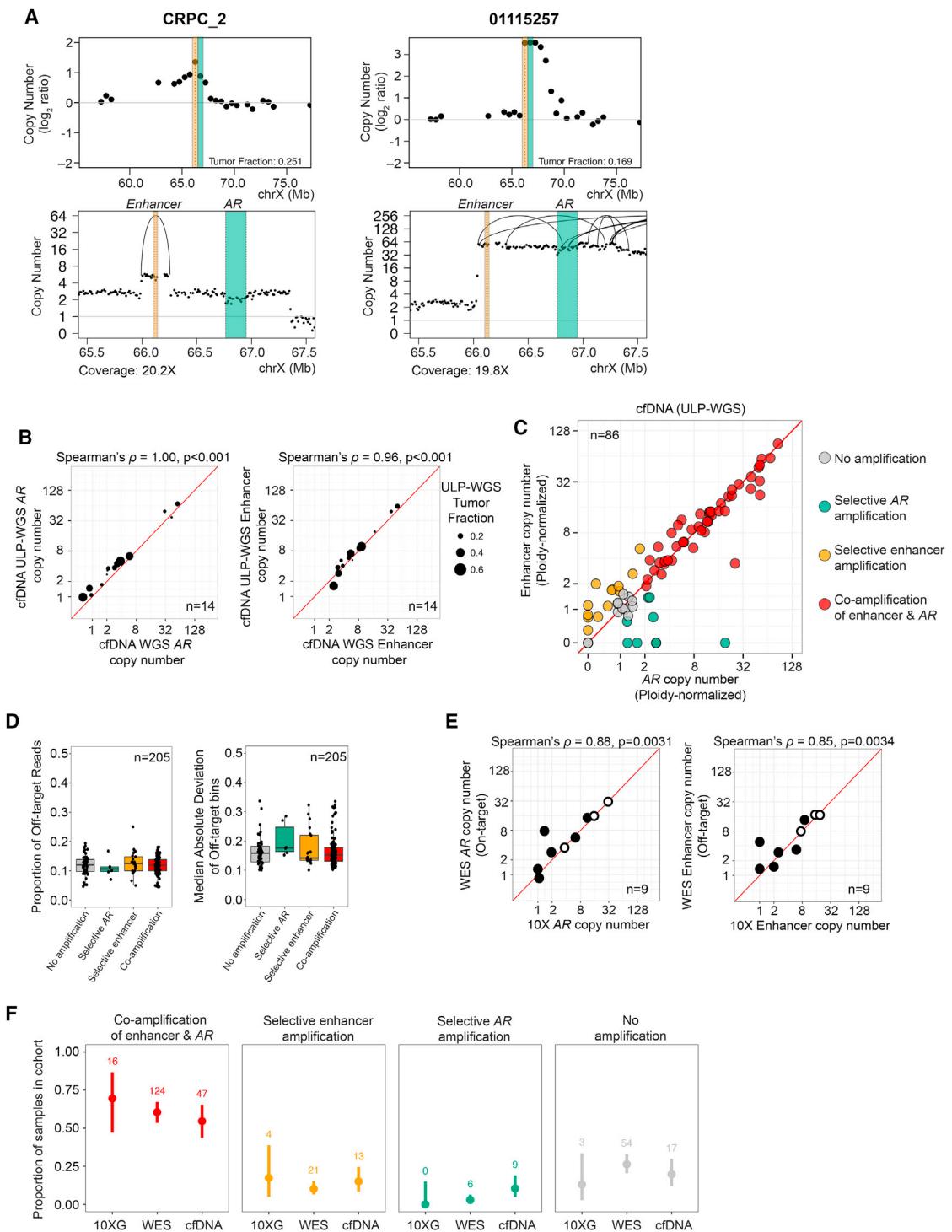
(C) Purity-adjusted copy number profiles for additional samples around the MYC locus. Yellow shaded region containing tandem duplications harbors some of the known 8q24 prostate cancer germline risk variants (shaded region: chr8, 128.0–128.62 Mb). MYC gene is colored in green.



(legend on next page)

**Figure S5. Copy-Number and Rearrangement Profiles across the Region Containing *AR* and *AR* Enhancer in 10X WGS of mCRPC Metastases, Related to Figures 4–7**

Tumor purity-adjusted copy number profile (10 kB bins) and rearrangements (arcs) are shown for each sample subjected to 10XG WGS in the region indicated. Purple arcs represent events rescued by manual inspection. Intra-chromosomal rearrangements are shown as arcs above data points; inter-chromosomal rearrangements are shown as arcs below data points.



**Figure S6. Characterization of AR and AR Enhancer Profiles in ULP-WGS cfDNA, WGS-DNA, WES, and 10XG WGS Datasets, Related to Figures 5–7**

(A) Selected cfDNA samples with alterations in the vicinity of AR were sequenced using ULP-WGS (top, ~0.1X coverage) or deeper WGS (bottom, coverage for each sample indicated at bottom). Duplication rearrangement breakpoints were identified in deeper coverage samples and are indicated by arcs.

(B) Correlation between AR (left) or AR enhancer (right) tumor purity-adjusted copy number as determined by deep WGS and ULP-WGS (0.1X) of cfDNA from 14 cases.

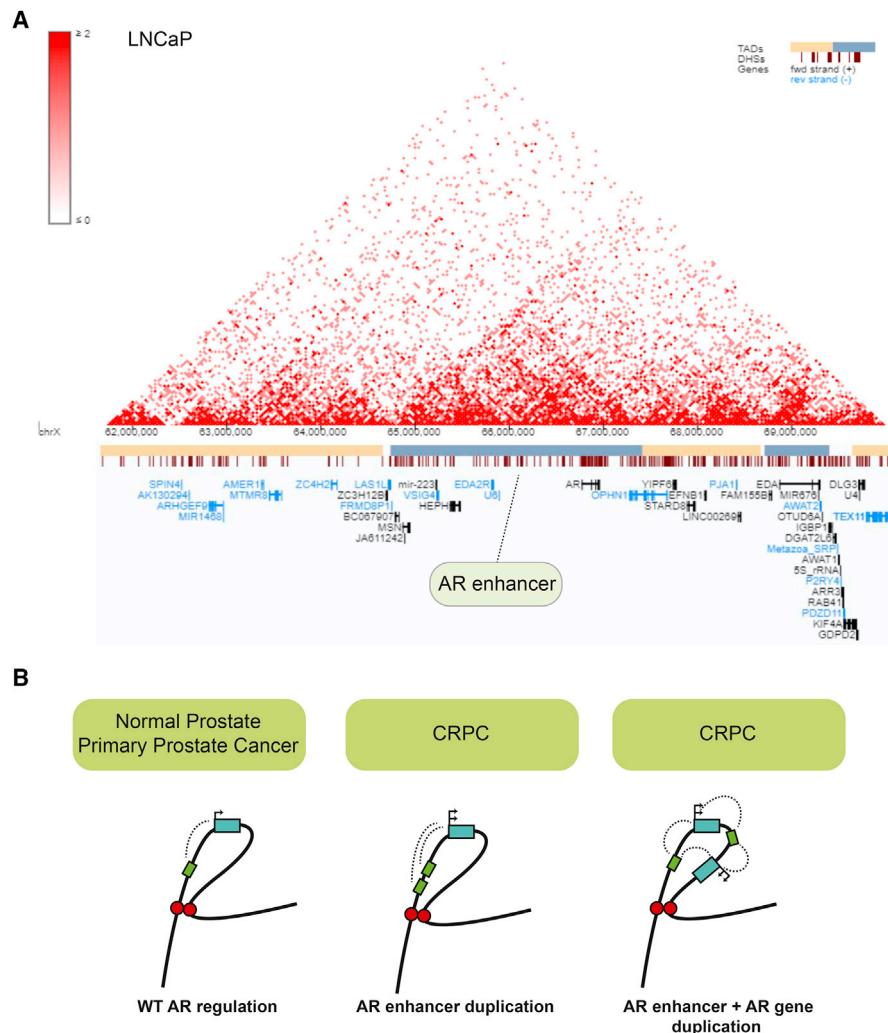
(legend continued on next page)

(C) Copy number (purity-adjusted and normalized to sample ploidy) at bins containing the *AR* enhancer (y axis) and *AR* gene body (x axis) in 86 ULP-WGS cfDNA specimens (highest tumor fraction per patient; minimum tumor fraction > 0.05). Yellow points indicate samples with selective enhancer amplification; red points indicate samples with co-amplification of *AR* enhancer and *AR* gene body (see [STAR Methods](#) for classification criteria).

(D) Comparison of the proportion of all reads per sample that are off-target (left) and median absolute deviation per sample (right) for each of the amplification classes (n = 205 WES samples). Shown are plots in which the hinges denote first and third quartiles, and whiskers denote 1.5 x IQR.

(E) Correlation between *AR* (left) or *AR* enhancer (right) copy number as determined by either 10XG WGS or WES on 9 cases that were profiled by both platforms. Open circles indicate cases in which the same DNA aliquot was used for both WES and 10XG WGS. Filled circles indicate cases in which distinct biopsy cores from the same anatomic site were used for 10X WGS and WES and may thus differ in terms of sample purity and heterogeneity.

(F) Proportion of samples within each amplification class in each data type (numbers shown above each plot). 95% confidence intervals (Clopper-Pearson method) of each proportion are shown. Cohort sizes: 10XG WGS (n = 23), WES (n = 205), cfDNA (n = 86).



**Figure S7. AR Enhancer and Gene Body Are Located within the Same Topologically Associated Domain, Related to Figures 5–7**

(A) Chromatin interaction in the region around the *AR* locus, as measured by Hi-C in LNCaP cells (ENCODE). TADs are indicated by shaded bars (beige and blue) and visualized at <http://promoter.bx.psu.edu/hi-c/view.php>. Approximate position of the *AR* enhancer is indicated by a dotted line and coincides with a region of DNase hypersensitivity in LNCaP cells.

(B) Model for enhanced *AR* expression resulting from tandem duplications of an *AR* enhancer in mCRPC.