

## 01. COMPUTATIONAL MODELS

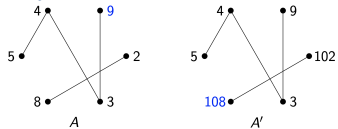
- **algorithm** → a well-defined procedure for finding the correct solution to the input
- **correctness**
  - **worst-case correctness** → correct on *every valid input*
  - other types of correctness: correct on random input/with high probability/approximately correct
- **efficiency / running time** → measures the number of steps executed by an algorithm as a function of the *input size* (depends on computational model used)
  - number input: typically the length of binary representation
  - **worst-case** running time → *max* number of steps executed when run on an input of size  $n$

### Comparison Model

- algorithm can **compare** any two elements in one time unit ( $x > y, x < y, x = y$ )
- running time = number of comparisons made
- array can be manipulated at no cost

### Maximum Problem

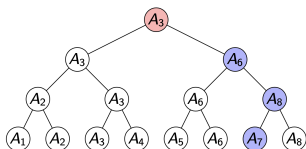
- **problem**: find the largest element in an array  $A$  of  $n$  distinct elements
- **proof that  $n - 1$  comparisons are needed**:
  - fix an algorithm  $M$  that solves the Maximum problem on all inputs using  $< n - 1$  comparisons. construct graph  $G$  where nodes  $i$  and  $j$  are adjacent iff  $M$  compares  $i$  and  $j$ .



- $M$  cannot differentiate  $A$  and  $A'$ .
- **adversary argument** → inputs are decided such that they have different solutions

### Second Largest Problem

- **problem**: find the second largest element in  $< 2n - 3$  comparisons ( $2 \times \text{Maximum} \Rightarrow (n-1) + ((n-1)-1) = 2n-3$ )
- **solution: knockout tournament**  $\Rightarrow n + \lceil \lg n \rceil - 2$



1. bracket system:  $n - 1$  matches
  - every non-winner has lost exactly once
2. then compare the elements that have lost to the largest
  - the second-largest element must have lost to the winner
  - compares  $\lceil \lg n \rceil$  elements that have lost to the winner using  $\lceil \lg n \rceil - 1$  comparisons

### Sorting

- there is a sorting algorithm that requires  $\leq n \lg n - n + 1$  comparisons.
- **proof**: every sorting algorithm must make  $\geq \lg(n!)$  comparisons.
  1. let set  $\mathcal{U}$  be the set of all permutations of the set  $\{1, \dots, n\}$  that the adversary could choose as array  $A$ .  $|\mathcal{U}| = n!$
  2. for each query "is  $A_i > A_j$ ?", if  $\mathcal{U}_{yes} = \{A \in \mathcal{U} : A_i > A_j\}$  is of size  $\geq |\mathcal{U}|/2$ , set  $\mathcal{U} := \mathcal{U}_{yes}$ . else:  $\mathcal{U} := \mathcal{U} \setminus \mathcal{U}_{yes}$
  3. the size of  $\mathcal{U}$  decreases by at most half with each comparison
  4. for  $> \lg(n!)$  comparisons,  $\mathcal{U}$  will still contain at least 2 permutations

$$\begin{aligned} n! &\geq \left(\frac{n}{e}\right)^n \\ \Rightarrow \lg(n!) &\geq n \lg\left(\frac{n}{e}\right) = n \lg n - n \lg e \\ &\approx n \lg n - 1.44n \end{aligned}$$

$\Rightarrow$  roughly  $n \lg n$  comparisons are **required** and **sufficient** for sorting  $n$  numbers

### String Model

- input: string of  $n$  bits
- each query: find out **one bit** of the string
- $n$  queries are **necessary** and **sufficient** to check if the input string is all 0s.

### Graph Model

- input: (symmetric) adjacency matrix of an  $n$ -node undirected graph
- each query: find out if an edge is present between two chosen nodes
- **proof**:  $\binom{n}{2}$  queries are necessary to decide whether the graph is connected or not
  1. suppose  $M$  is an algorithm making  $\leq \binom{n}{2}$  queries.
  2. whenever  $M$  makes a query, the algorithm tries not adding this edge, but adding all remaining unqueried edges.
    - 2.1. if the resulting graph is connected,  $M$  replies 0 (i.e. edge does not exist)
    - 2.2. else: replies 1 (edge exists)
  3. after  $< \binom{n}{2}$  queries, at least one entry of the adjacency matrix is unqueried.