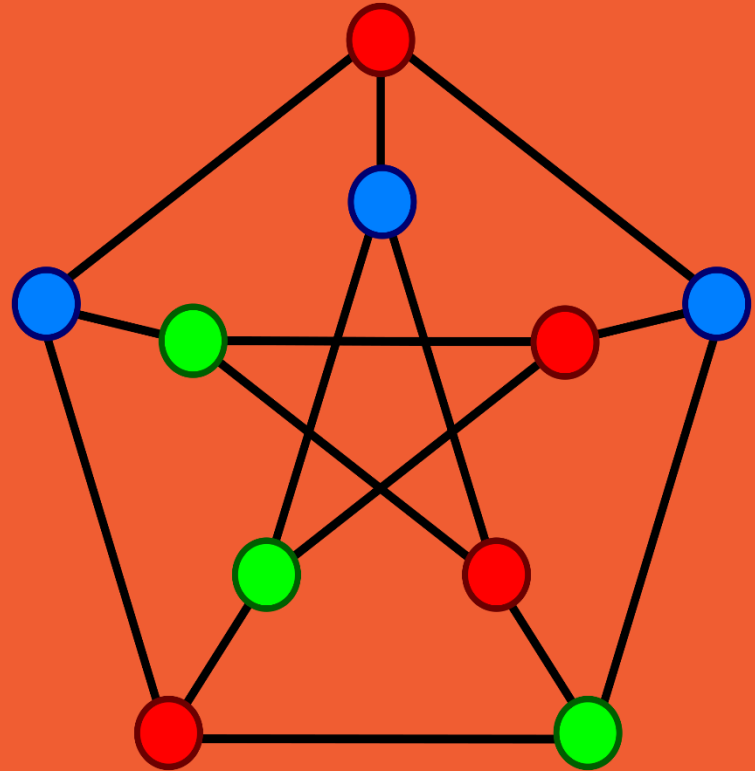


# Lecture 2: Graphs

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THE UNIVERSITY OF  
SYDNEY

# Lecture 2: Graphs

- Definitions
- Representations
- Breadth First Search
- Depth First Search
- Applications

Graph Definitions

Graph Representations

Breadth First Search

Transitive Closure

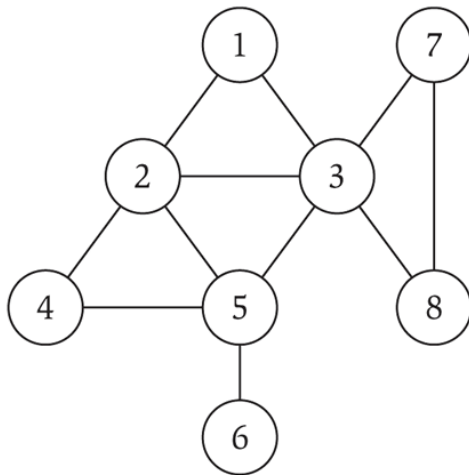
Depth First Search

Bipartite Graphs

# **3.1 Basic Definitions and Applications**

# Undirected Graphs $G=(V,E)$

- $V$  = nodes (or vertices)
- $E$  = edges between pairs of nodes
- Captures pairwise (symmetric) relationship between objects
- Graph size parameters:  $n = |V|$ ,  $m = |E|$



$$V = \{ 1, 2, 3, 4, 5, 6, 7, 8 \}$$

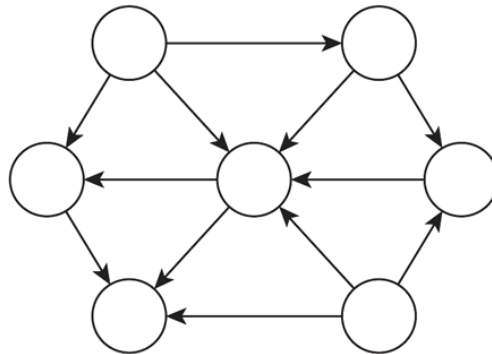
$$E = \{ 1-2, 1-3, 2-3, 2-4, 2-5, 3-5, 3-7, 3-8, 4-5, 5-6, 7-8 \}$$

$$n = 8$$

$$m = 11$$

## Directed Graphs $G=(V,E)$

Edge  $(u, v)$  goes from node  $u$  to node  $v$ .

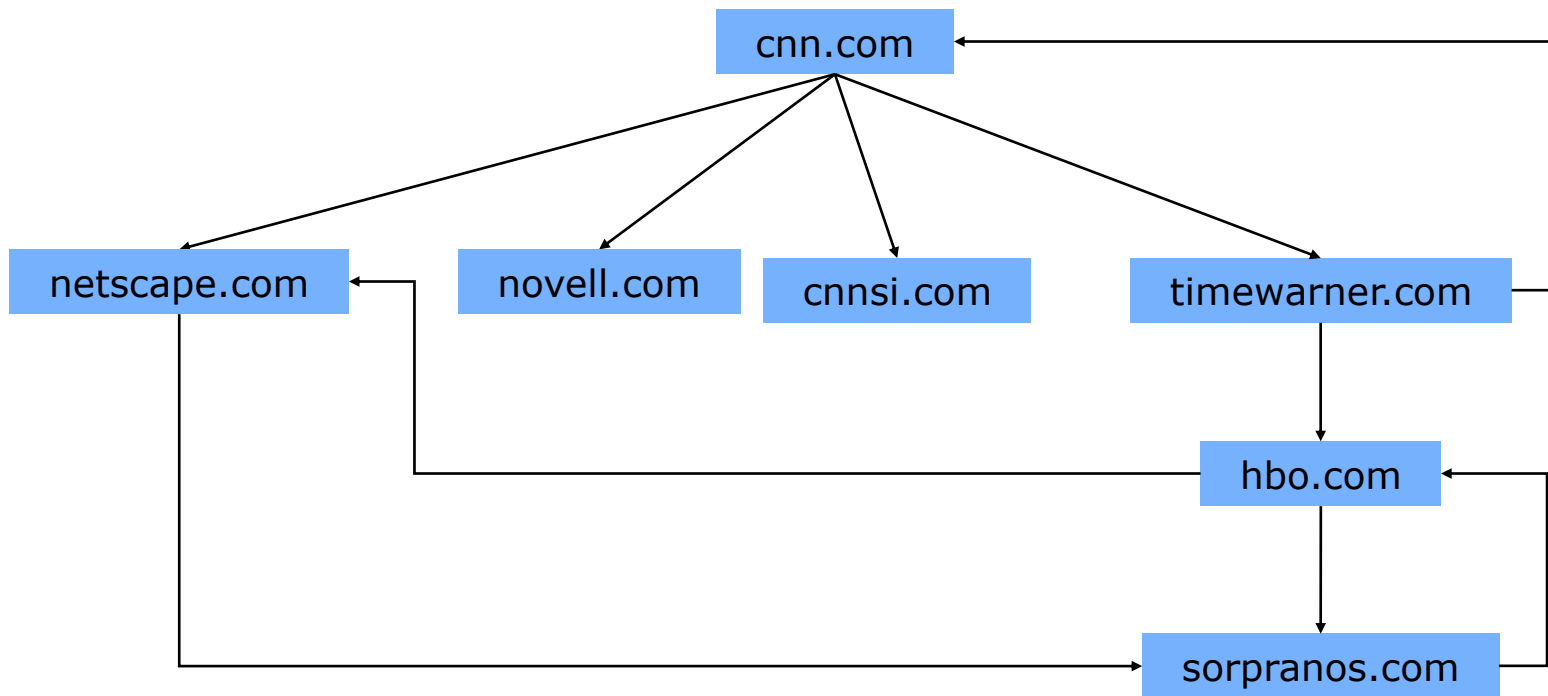


# Some Graph Applications

<i>Graph</i>	<i>Nodes</i>	<i>Edges</i>
transportation	street intersections	highways
communication	computers	fiber optic cables
World Wide Web	web pages	hyperlinks
social	people	relationships
food web	species	predator-prey
software systems	functions	function calls
scheduling	tasks	precedence constraints
circuits	gates	wires

# World Wide Web

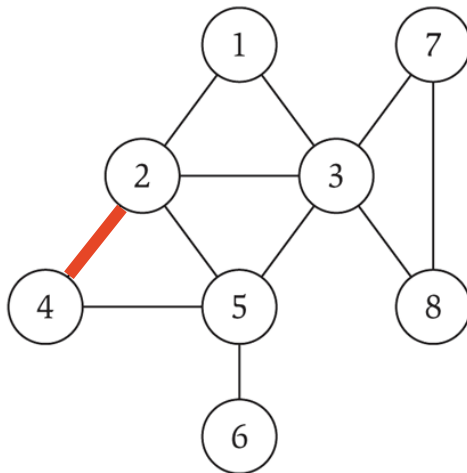
- Node: web page.
- Edge: hyperlink from one page to another.



# Graph Representation: Adjacency Matrix

**Adjacency matrix.**  $n$ -by- $n$  matrix with  $A_{uv} = 1$  if  $(u, v)$  is an edge.

- Two representations of each edge (undirected graph).
- Space proportional to  $n^2$ .
- Checking if  $(u, v)$  is an edge takes  $\Theta(1)$  time.
- Identifying all edges takes  $\Theta(n^2)$  time.



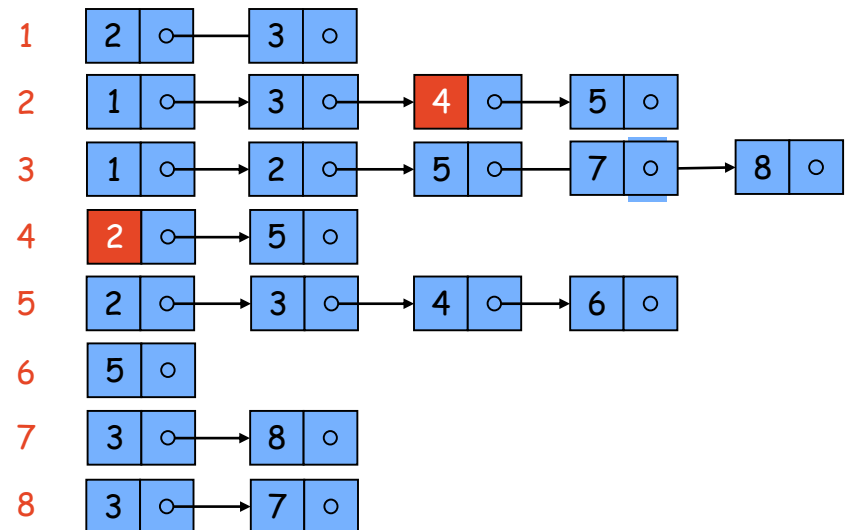
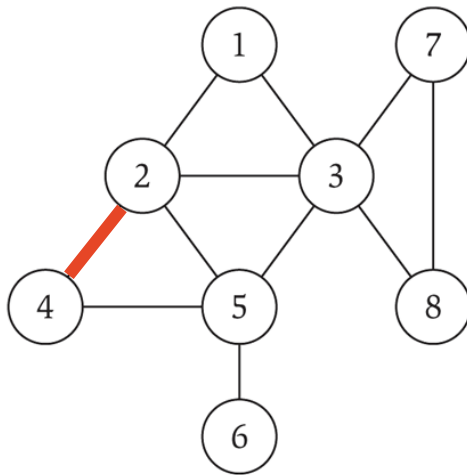
	1	2	3	4	5	6	7	8
1	0	1	1	0	0	0	0	0
2	1	0	1	1	1	0	0	0
3	1	1	0	0	1	0	1	1
4	0	1	0	0	1	0	0	0
5	0	1	1	1	0	1	0	0
6	0	0	0	0	1	0	0	0
7	0	0	1	0	0	0	0	1
8	0	0	1	0	0	0	1	0



# Graph Representation: Adjacency List

**Adjacency list.** Node indexed array of lists.

- Two representations of each edge (undirected graph).
- Space proportional to  $m + n$ .
- Checking if  $(u, v)$  is an edge takes  $O(\deg(u))$  time. degree = number of neighbors of  $u$
- Identifying all edges takes  $\Theta(m + n)$  time.

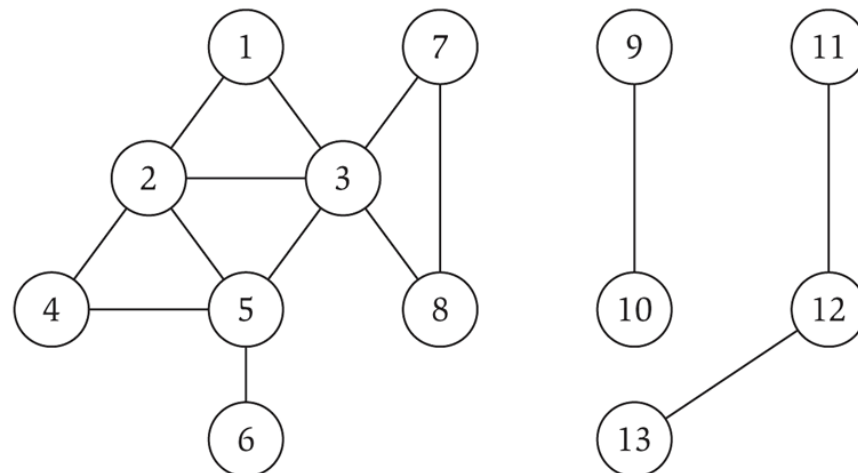


# Paths and Connectivity

**Definition:** A **path** in an undirected graph  $G = (V, E)$  is a sequence  $P$  of nodes  $v_1, v_2, \dots, v_{k-1}, v_k$  with the property that each consecutive pair  $v_i, v_{i+1}$  is joined by an edge in  $E$ .

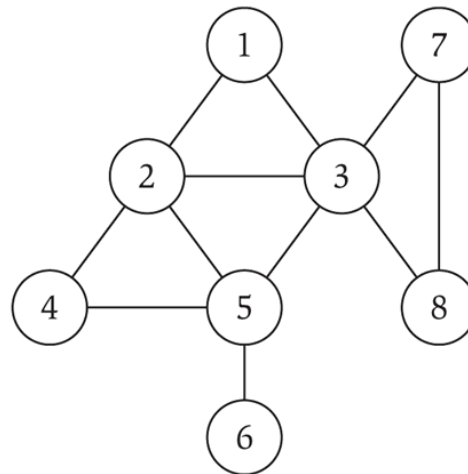
**Definition:** A path is **simple** if **all nodes are distinct**.

**Definition:** An **undirected graph** is **connected** if for **every pair of nodes**  $u$  and  $v$ , **there is a path between  $u$  and  $v$** .



# Cycles

**Definition:** A **cycle** is a path  $v_1, v_2, \dots, v_{k-1}, v_k$  in which  $v_1 = v_k$ ,  $k > 2$ , and the first  $k-1$  nodes are all distinct.

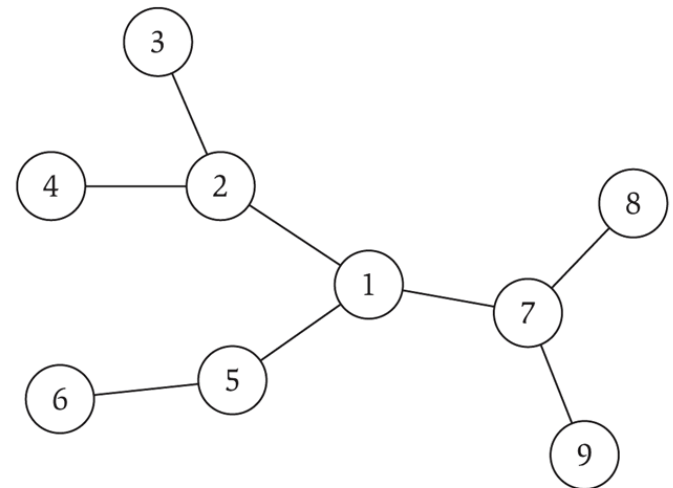


cycle  $C = 1-2-4-5-3-1$

# Trees

**Definition:** An undirected graph is a **tree** if it is **connected** and **does not contain a cycle**.

**Number of edges in a tree?**



# Trees

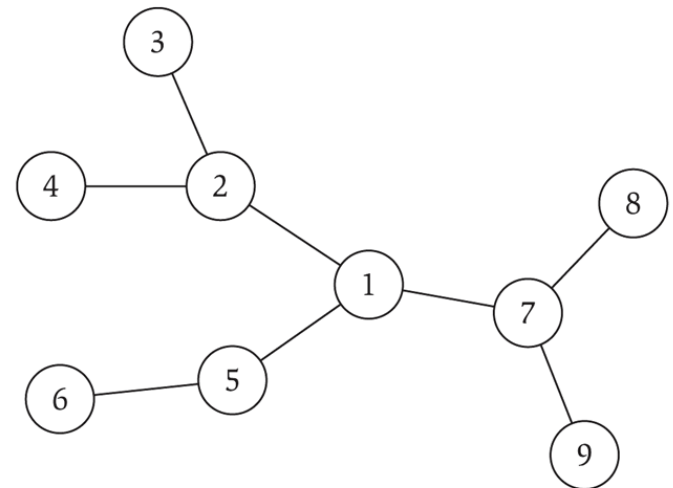
If the graph is connected and does not contain any cycle then it has  $n-1$  edges

**Definition:** An undirected graph is a **tree** if it is connected and does not contain a cycle.

## Number of edges in a tree?

**Theorem:** Let  $G$  be an undirected graph on  $n$  nodes. Any two of the following statements imply the third.

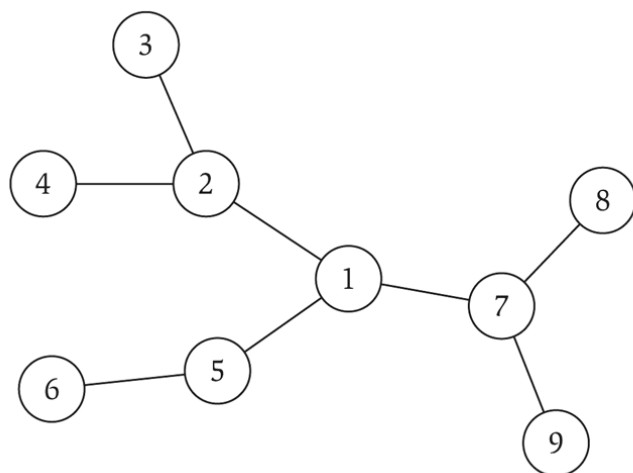
- $G$  is connected.
- $G$  does not contain a cycle.
- $G$  has  $n-1$  edges.



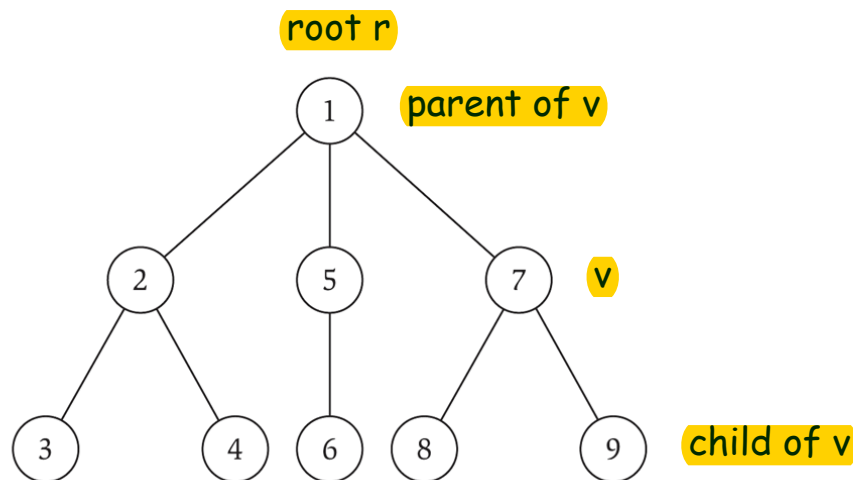
# Rooted Trees

**Rooted tree.** Given a tree  $T$ , choose a root node  $r$  and orient each edge away from  $r$ .

**Importance.** Models hierarchical structure.



a tree



the same tree, rooted at 1

## 3.2 Graph Traversal

# Connectivity

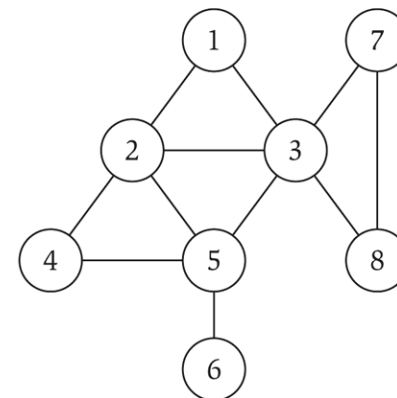
**s-t connectivity problem.** Given two nodes  $s$  and  $t$ , is there a path between  $s$  and  $t$ ?

**Length of path** = number of links along path

**s-t shortest path problem.** Given two nodes  $s$  and  $t$ , what is the length of the shortest path between  $s$  and  $t$ ?

## Applications.

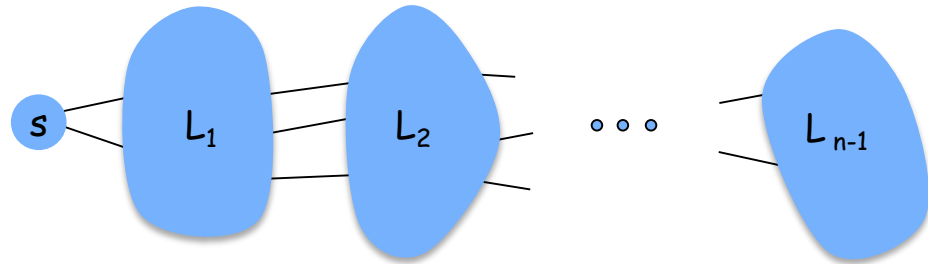
Many. For example: Fewest number of hops in a communication network.





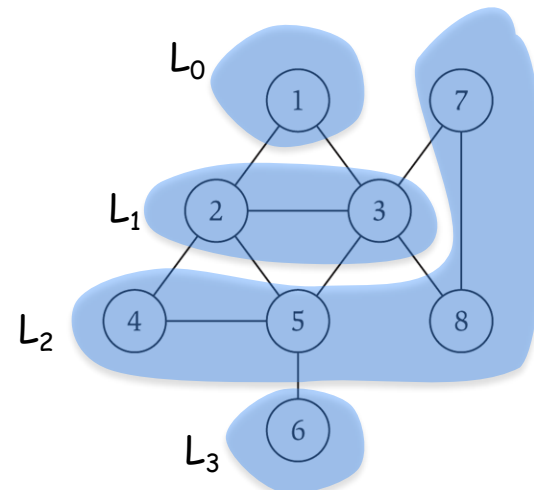
# Breadth First Search

**BFS intuition.** Explore outward from  $s$  in all possible directions, adding nodes one "layer" at a time.



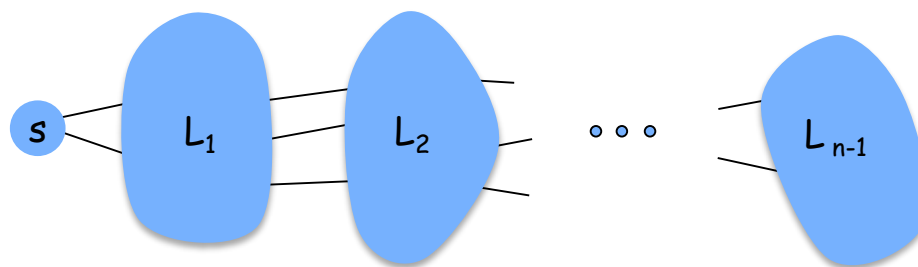
## BFS algorithm.

- $L_0 = \{ s \}$ .
- $L_1 =$  all neighbors of  $L_0$ .
- $L_2 =$  all nodes that do not belong to  $L_0$  or  $L_1$ , and that have an edge to a node in  $L_1$ .
- $L_{i+1} =$  all nodes that do not belong to an earlier layer, and that have an edge to a node in  $L_i$ .



# Breadth First Search

**BFS intuition.** Explore outward from  $s$  in all possible directions, adding nodes one "layer" at a time.



## BFS algorithm.

- $L_0 = \{ s \}$ .
- $L_1 =$  all neighbors of  $L_0$ .
- $L_2 =$  all nodes that do not belong to  $L_0$  or  $L_1$ , and that have an edge to a node in  $L_1$ .
- $L_{i+1} =$  all nodes that do not belong to an earlier layer, and that have an edge to a node in  $L_i$ .

**Theorem:** For each  $i$ ,  $L_i$  consists of all nodes at distance exactly  $i$  from  $s$ . There is a path from  $s$  to  $t$  if and only if  $t$  appears in some layer.

```
def BFS(G,s)
```

```
    layers = []
```

```
    next_layer = [s]
```

```
    “mark every vertex except s as not seen”
```

```
    while “current layer not empty” do
```

```
        layers.append(current_layer)
```

```
        for every u in current_layer do
```

```
            for every v in neighbourhood of u do
```

```
                if “haven’t seen v yet” then
```

```
                    next_layer.append(v)
```

```
                    “mark v as seen”
```

```
        current_layer = next_layer
```

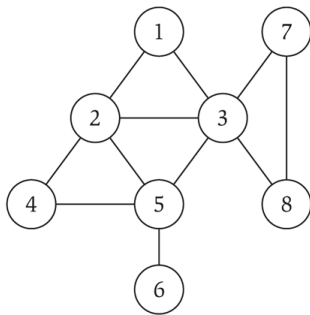
```
        next_layer = []
```

```
    return layers
```

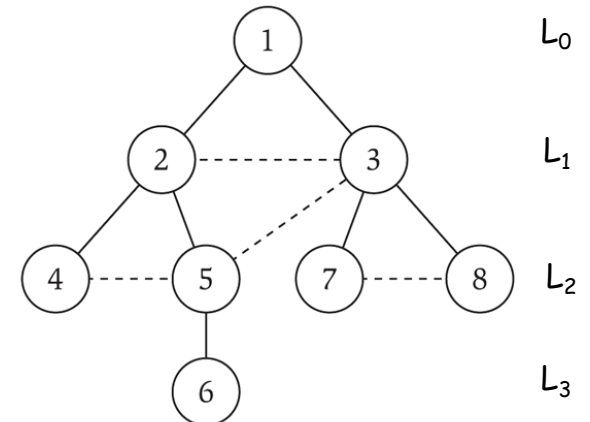
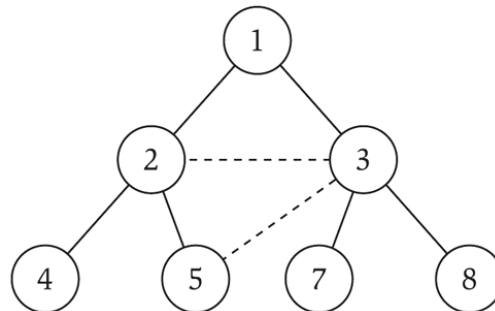
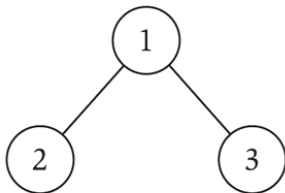
What if G is not connected?

# Breadth First Search

BFS produces a tree  $T$  rooted at the start vertex on the set of nodes in  $G$  reachable from  $s$ .



**Property.** Let  $T$  be a BFS tree of  $G = (V, E)$ , and let  $(x, y)$  be an edge of  $G$ . Then the level of  $x$  and  $y$  differ by at most 1.



# Breadth First Search: Analysis

Time Complexity:  $O(n+m)$  with adjacency list representation

**Theorem:** The above implementation of BFS runs in  $O(m + n)$  time if the graph is as an adjacency list.

**Proof:** Easy to prove  $O(n^2)$  running time:

- at most  $n$  lists  $L[i]$
- each node occurs on at most one list; for loop runs  $\leq n$  times
- when we consider node  $u$ , there are  $\leq n$  incident edges  $(u, v)$ , and we spend  $O(1)$  processing each edge

– Actually runs in  $O(m + n)$  time:

- when we consider node  $u$ , there are  $\deg(u)$  incident edges  $(u, v)$
- total time processing edges is  $\sum_{u \in V} \deg(u) = 2m$

each edge  $(u, v)$  is counted exactly twice in sum: once in  $\deg(u)$  and once in  $\deg(v)$

```
def BFS(G,s)
```

```
    layers = []
```

```
    next_layer = [s]
```

```
    “mark every vertex except s as not seen”
```

```
    while “current layer not empty” do
```

```
        layers.append(current_layer)
```

```
        for every u in current_layer do
```

```
            for every v in neighbourhood of u do
```

```
                if “haven’t seen v yet” then
```

```
                    next_layer.append(v)
```

```
                    “mark v as seen”
```

```
        current_layer = next_layer
```

```
        next_layer = []
```

```
    return layers
```

This takes  
 $O(|V|)$  time

This loop takes  
 $O(|N(u)|)$  time

Adding up over all u, we  
get  $O(\sum_u |N(u)|) = O(|E|)$

# BFS implementation

Complexity? Depends on graph representation

**Traverse all neighbours of a node  $u$ :**

- Adjacency list:  $O(\text{number of neighbours}) = O(|N(u)|)$
- Adjacency matrix:  $O(n)$

**Check if  $u$  and  $v$  are connected by an edge:**

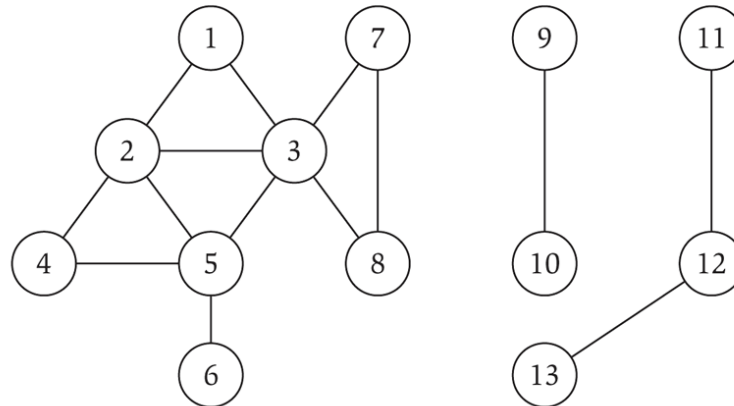
- Adjacency list:  $O(\text{number of neighbours}) = O(|N(u)|)$  or  $O(|N(v)|)$
- Adjacency matrix:  $O(1)$

**Space:**

- Adjacency list:  $O(|V| + |E|)$
- Adjacency matrix:  $O(|V|^2)$

# Connected Component

Find all nodes reachable from s.



Connected component containing node 1  
 $= \{ 1, 2, 3, 4, 5, 6, 7, 8 \}$



# Connected Component

Find all nodes reachable from  $s$ .

---

$R$  will consist of nodes to which  $s$  has a path

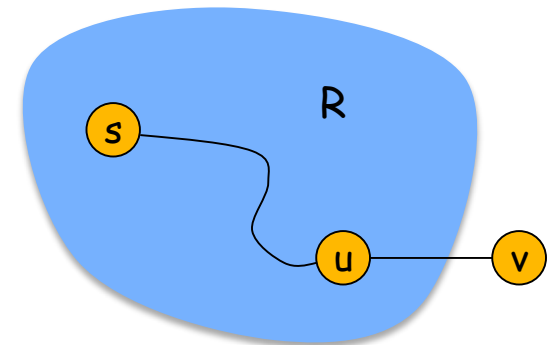
Initially  $R = \{s\}$

While there is an edge  $(u, v)$  where  $u \in R$  and  $v \notin R$

    Add  $v$  to  $R$

Endwhile

---



it's safe to add  $v$

**Theorem:** Upon termination,  $R$  is the connected component containing  $s$ .

# Shortest paths

The **shortest path** between two nodes  $u, v$  in a graph  $G$ , is the path with the minimum number of edges that connects  $u$  and  $v$  (if it exists).

The shortest path problem:

**Input:** a graph  $G=(V,E)$ , and a node  $s$  in  $V$

**Output:** the length of the shortest path between  $s$  and all other nodes in  $V$ .

# Shortest paths by BFS

Recall the BFS algorithm

```
def BFS(G,s)

    layers = []
    next_layer = [s]
    "mark every vertex except s as not seen"
    while "current layer not empty" do
        layers.append(current_layer)
        for every u in current_layer do
            for every v in neighbourhood of u do
                if "haven't seen v yet" then
                    next_layer.append(v)
                    "mark v as seen"
            current_layer = next_layer
        next_layer = []

    return layers
```

## Shortest paths by BFS

Compute the shortest paths from a given node  $s$  to all other nodes

Let  $\text{dist}[u]$  = shortest path distance (hop distance)  
from  $s$  to  $u$

```

def BFS(G,s)

    layers = []
    next_layer = [s]
    “mark every vertex except s as not seen”
    while “current layer not empty” do
        layers.append(current_layer)
        for every u in current_layer do
            for every v in neighbourhood of u do
                if “haven’t seen v yet” then
                    next_layer.append(v)
                    “mark v as seen”
            current_layer = next_layer
        next_layer = []

    return layers

```

```
def BFS(G,s)
```

```
    layers = []
```

```
    next_layer = [s]
```

Initialize dist[ ]



```
    for all u set dist[u] = infinity
```

```
    dist[s] = 0
```

```
    “mark every vertex except s as not seen”
```

```
    while “current layer not empty” do
```

```
        layers.append(current_layer)
```

```
        for every u in current_layer do
```

```
            for every v in neighbourhood of u do
```

```
                if “haven’t seen v yet” then
```

```
                    next_layer.append(v)
```

```
                    “mark v as seen”
```

```
        current_layer = next_layer
```

```
        next_layer = []
```

```
    return layers
```

```
def BFS(G,s)
```

```
    layers = []
```

```
    next_layer = [s]
```

```
    for all u set dist[u] = infinity
```

```
    dist[s] = 0
```

```
    “mark every vertex except s as not seen”
```

```
    while “current layer not empty” do
```

```
        layers.append(current_layer)
```

```
        for every u in current_layer do
```

```
            for every v in neighbourhood of u do
```

```
                if “haven’t seen v yet” then
```

```
                    next_layer.append(v)
```

```
                    “mark v as seen”
```

```
                    dist[v] = dist[u] + 1
```

```
    current_layer = next_layer
```

```
    next_layer = []
```

```
    return layers
```

```
def ShortestPath(G,s)
```

```
    layers = []
```

```
    next_layer = [s]
```

```
    for all u set dist[u] = infinity
```

```
    dist[s] = 0
```

```
    “mark every vertex except s as not seen”
```

```
    while “current layer not empty” do
```

```
        layers.append(current_layer)
```

```
        for every u in current_layer do
```

```
            for every v in neighbourhood of u do
```

```
                if “haven’t seen v yet” then
```

```
                    next_layer.append(v)
```

```
                    “mark v as seen”
```

```
                    dist[v] = dist[u] + 1
```

```
        current_layer = next_layer
```

```
        next_layer = []
```

```
    return dist
```



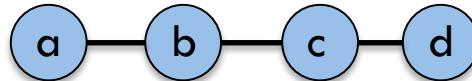
# Transitive closure of a graph

The transitive closure graph of  $G$  is a graph  $G'$ :

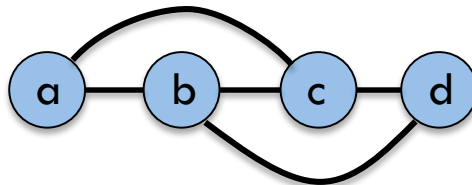
- with the same vertices as  $G$ , and
- with an edge between all pairs of nodes that are connected by a path in  $G$

The transitive closure of an undirected tree is a complete graph

input:



output:



# Closure graph by BFS

How do we change BFS  
to compute the closure?

```
def BFS(G,s)

    layers = []
    next_layer = [s]
    "mark every vertex except s as not seen"
    while "current layer not empty" do
        layers.append(current_layer)
        for every u in current_layer do
            for every v in neighbourhood of u do
                if "haven't seen v yet" then
                    next_layer.append(v)
                    "mark v as seen"
            current_layer = next_layer
        next_layer = []

    return layers
```

```

def BFS_closure(G,s)

    layers = []
    next_layer = [s]
    “mark every vertex except s as not seen”
    while “current layer not empty” do
        layers.append(current_layer)
        for every u in current_layer do
            for every v in neighbourhood of u do
                if “haven’t seen v yet” then
                    next_layer.append(v)
                    “mark v as seen”
                    add edge (s,v) to the graph G
            current_layer = next_layer
        next_layer = []

    return the new graph

```

For s in V:  
 BFS\_closure(G,s)

def BFS\_closure(G,s)

Running time?  $O(|V| \cdot (|V| + |E|))$

layers = []

next\_layer = [s]

“mark every vertex except s as not seen”

while “current layer not empty” do

layers.append(current\_layer)

for every u in current\_layer do

for every v in neighbourhood of u do

if “haven’t seen v yet” then

next\_layer.append(v)

“mark v as seen”

add edge (s,v) to the graph G

current\_layer = next\_layer

next\_layer = []

return the new graph

For s in V:

BFS\_closure(G,s)

# DFS – Depth first search

**Algorithm:** Pick a starting vertex, follow outgoing edges that lead to new vertices, and backtrack whenever “stuck”.

**Algorithm** DFS( $G, u$ )

Input: graph  $G(V, E)$  and a vertex  $u$  in  $V$

begin

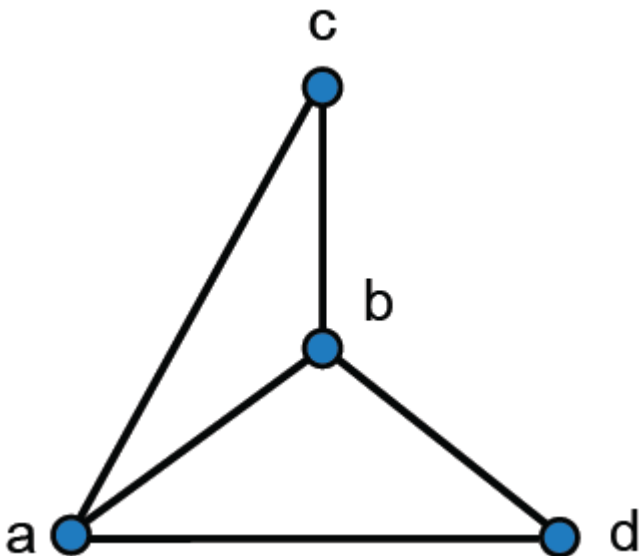
mark  $u$  as visited

for each edge  $(u, v)$  in  $E$  do

if  $v$  has not been visited then

DFS( $G, v$ )

end



What if  $G$  is not connected?

**Algorithm** DFS( $G, s$ )

Input: graph  $G(V, E)$  and a vertex  $s$  in  $V$

begin

    initialise a stack  $S$  with node  $s$

    while  $S$  is not empty do

$u = \text{pop}(S)$

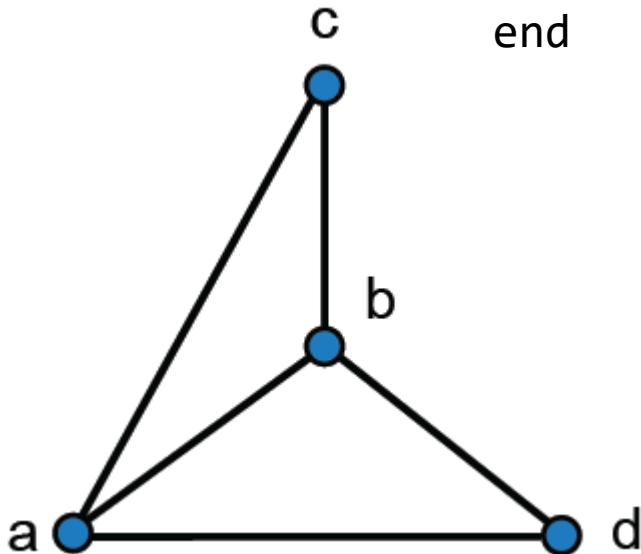
        if  $u$  not visited then

            set  $u$  as visited

            for each edge  $(u, v)$  do

                add  $v$  to  $S$

end



## Properties of DFS

Time complexity:  $O(n+m)$  with adjacency list representation

Running time:  $O(n+m)$

Subset of edges in DFS that “discover a new node” form a forest (a collection of trees).

A graph is **connected** if and only if **DFS results in a single tree.**

Each tree in the DFS result corresponds to a connected component



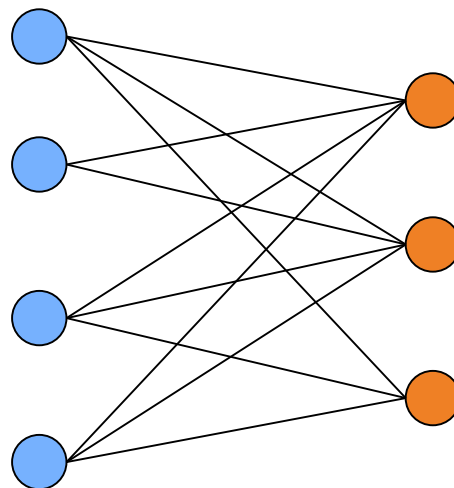
## 3.4 Testing Bipartiteness

# Bipartite Graphs

**Definition:** An undirected graph  $G = (V, E)$  is **bipartite** if the nodes can be colored red or blue such that every edge has one red and one blue end.

## Applications

- Stable marriage: men = red, women = blue.
- Scheduling: machines = red, jobs = blue.

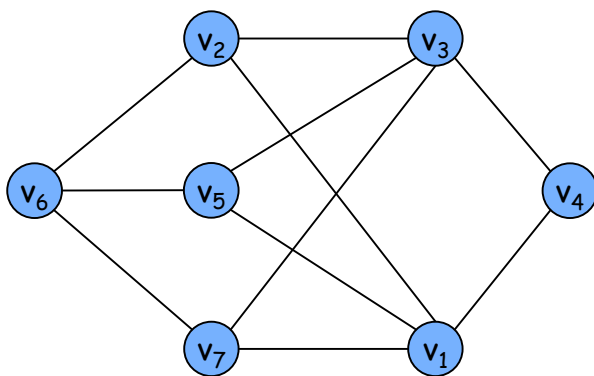


a bipartite graph

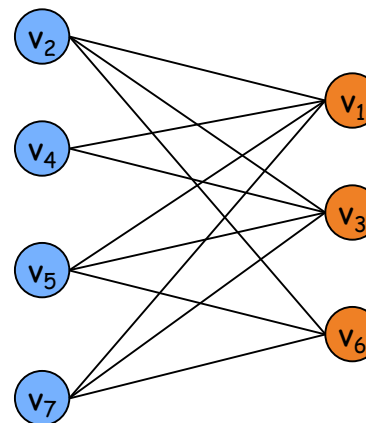
# Testing Bipartiteness

**Testing bipartiteness.** Given a graph  $G$ , is it bipartite?

- Many graph problems become:
  - easier if the underlying graph is bipartite (matching)
  - tractable if the underlying graph is bipartite (independent set)
- Before attempting to design an algorithm, we need to understand structure of bipartite graphs.



a bipartite graph  $G$

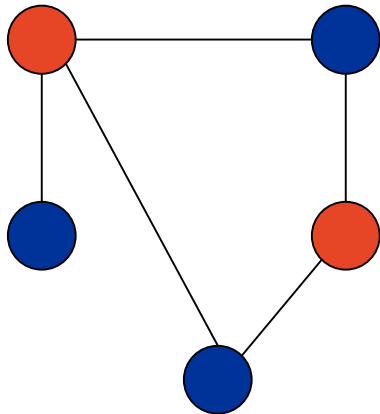


another drawing of  $G$

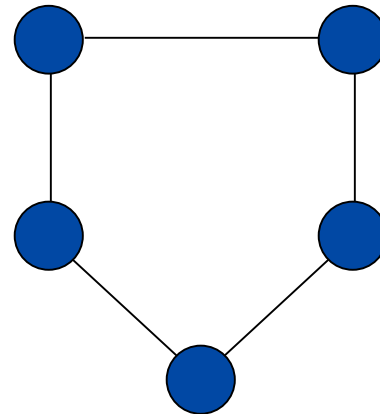
# An Obstruction to Bipartiteness

**Lemma:** If a graph  $G$  is bipartite, it cannot contain an odd length cycle.

**Proof:** Not possible to 2-color the odd cycle, let alone  $G$ .



bipartite  
(2-colorable)

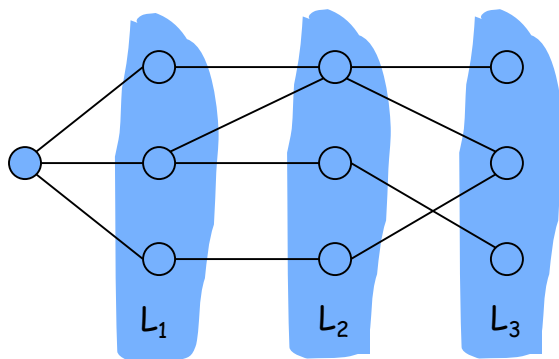


not bipartite  
(not 2-colorable)

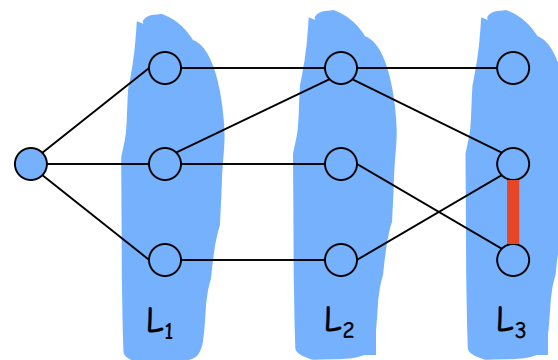
# Bipartite Graphs

**Lemma:** Let  $G$  be a **connected graph**, and let  $L_0, \dots, L_k$  be the layers produced by BFS starting at node  $s$ . **Exactly one of the following holds.**

- (i) No edge of  $G$  joins two nodes of the same layer, and  $G$  is bipartite.
- (ii) An edge of  $G$  joins two nodes of the same layer, and  $G$  contains an odd-length cycle (and hence is not bipartite).



Case (i)



Case (ii)

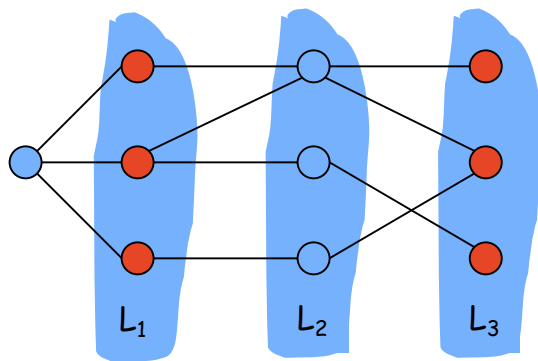
# Bipartite Graphs

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**Proof:** Case (i)

- Suppose no edge joins two nodes in the same layer.
- By previous lemma, this implies all edges join nodes on adjacent level.
- Bipartition: red = nodes on odd levels, blue = nodes on even levels.



Case (i)

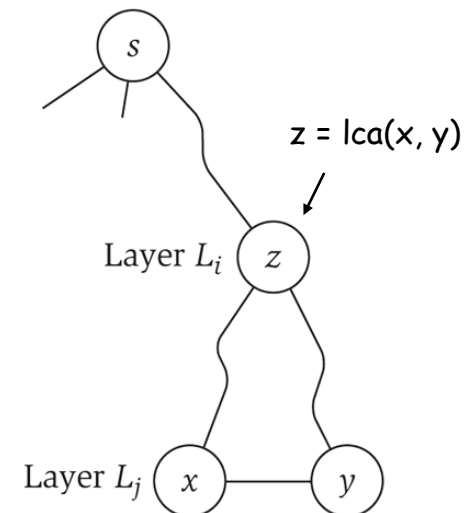
# Bipartite Graphs

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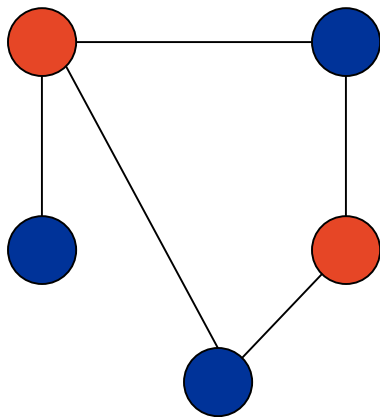
## Proof: Case (ii)

- Suppose  $(x, y)$  is an edge with  $x, y$  in same level  $L_j$ .
- Let  $z = \text{lca}(x, y) =$  **lowest common ancestor**.
- Let  $L_i$  be level containing  $z$ .
- Consider cycle that takes edge from  $x$  to  $y$ , then path from  $y$  to  $z$ , then path from  $z$  to  $x$ .
- Its length is  $1 + \underbrace{(j-i)}_{\text{path from } y \text{ to } z} + \underbrace{(j-i)}_{\text{path from } z \text{ to } x}$ , which is odd. ▀

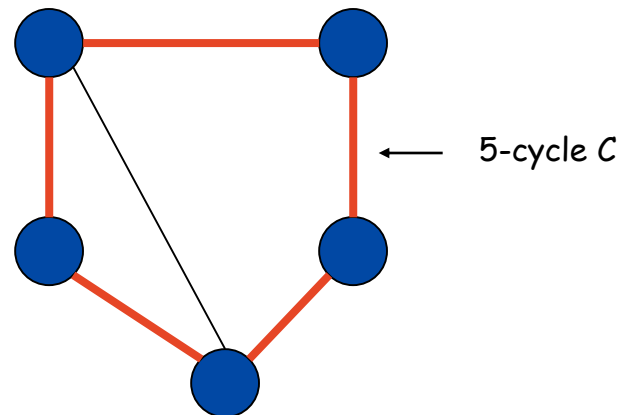


# Obstruction to Bipartiteness

**Corollary:** A graph  $G$  is bipartite if and only if it contains no odd length cycle.



bipartite  
(2-colorable)

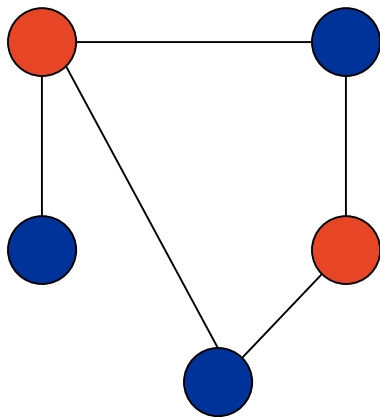


not bipartite  
(not 2-colorable)

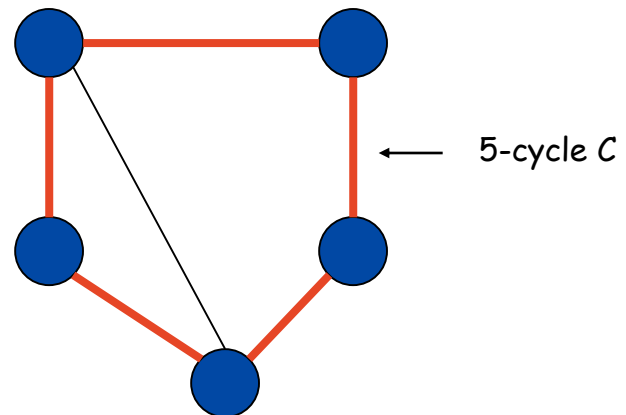


# Testing bipartiteness

**Theorem:** Given a graph  $G=(V,E)$  one can test if  $G$  is bipartite in  $O(n+m)$  time.



bipartite  
(2-colorable)



not bipartite  
(not 2-colorable)

# Cut edges

**Definition:** In a connected graph, an edge  $e$  is called a “cut edge” if its removal would disconnect the graph

- $G=(V,E)$  is connected
- $G' = (V, E \setminus \{e\})$  is not connected

How do we find the cut edges of a graph?

# Finding cut edges

## Algorithm 1: (the straightforward one)

For every edge  $e$  in  $G$   
    remove  $e$  from  $G$   
    check if  $G$  is connected (running DFS for example)

Running time?  $O(m^2 + mn)$

More efficient algorithm?

# Finding cut edges

## Algorithm 2:

The graph is connected

Run DFS on the graph  $G$

For each edge in the DFS tree

remove that edge from the graph  $G$

check if  $G$  is now disconnected (using DFS)

Running time?  $O(nm)$

### Algorithm DFS( $G, u$ )

Input: graph  $G(V, E)$  and a vertex  $u$  in  $V$

begin

mark  $u$  as visited

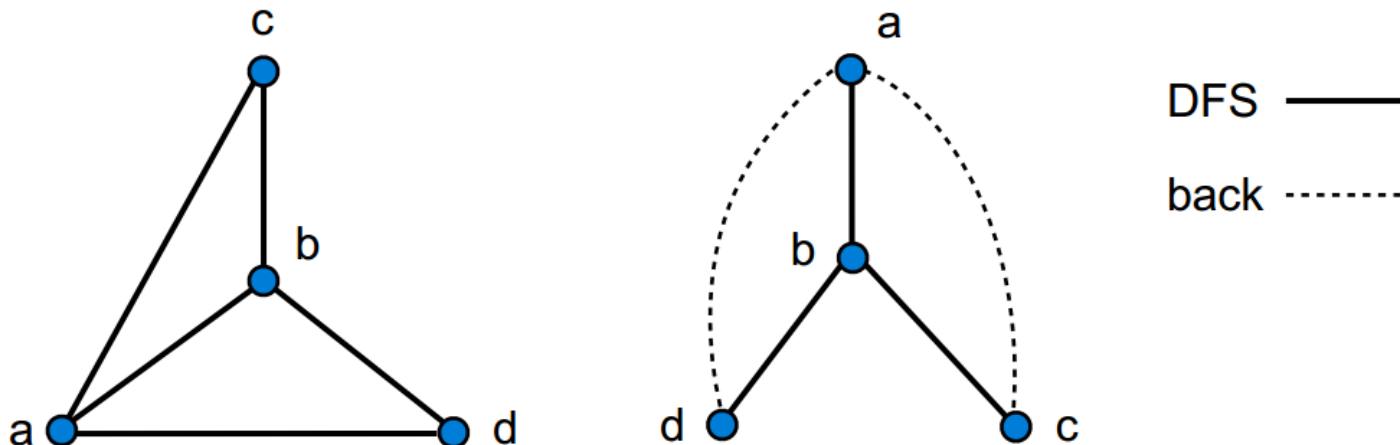
for each edge  $(u, v)$  in  $E$  do

if  $v$  has not been visited then

DFS( $G, v$ )

end

In the DFS forest every non-tree edge is a back edge



## Improved algorithm for finding cut edges

- Let  $(u,v)$  be an edge we would like to test.  
Assume  $u$  is the parent of  $v$  in the DFS tree.
- If  $(u,v)$  is not a cut edge then there must be a back edge from  $v$  or a descendant to a node above  $u$  in the DFS tree.

Running time:  $O(n+m)$

# Summary: Graphs

## Graph representation:

- adjacency matrix or adjacency list

## Basic notations and definitions:

- cycle, simple, connected, path, tree, directed,...

## Traversing a graph (BFS or DFS): $O(n+m)$

- Applications of BFS/DFS: min link path, transitive closure, testing bipartiteness, cut edges...