

# SCS1308 - FOUNDATIONS OF ALGORITHM

## AVL TREE

# AVL Tree

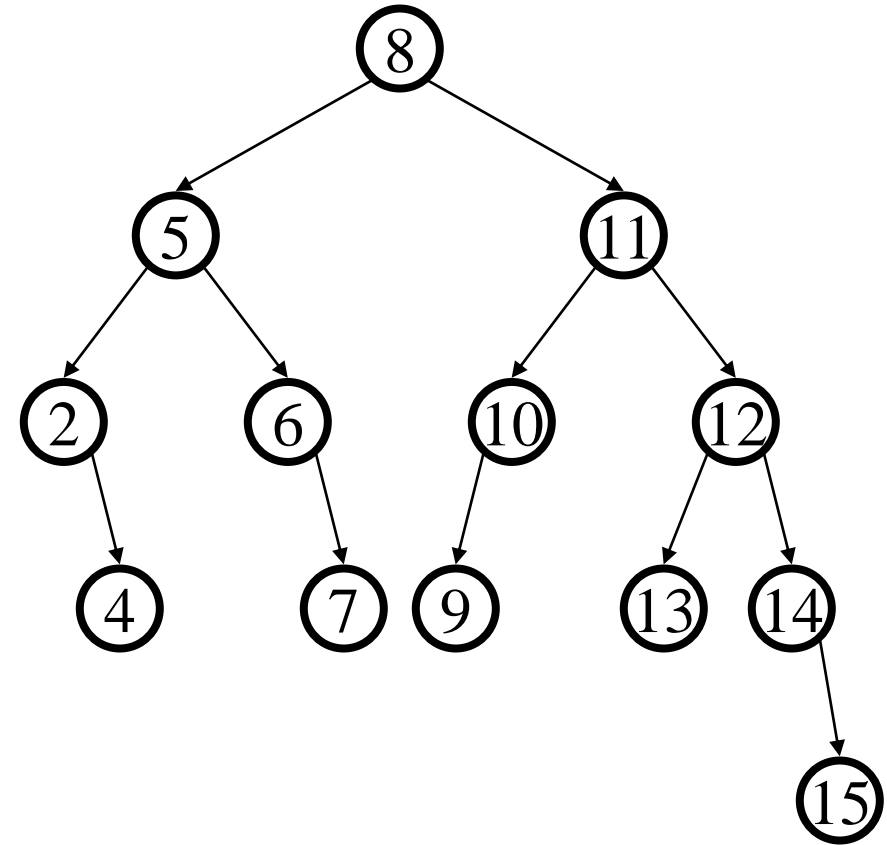
## Data Structure

### Binary search tree properties

- binary tree property
- search tree property

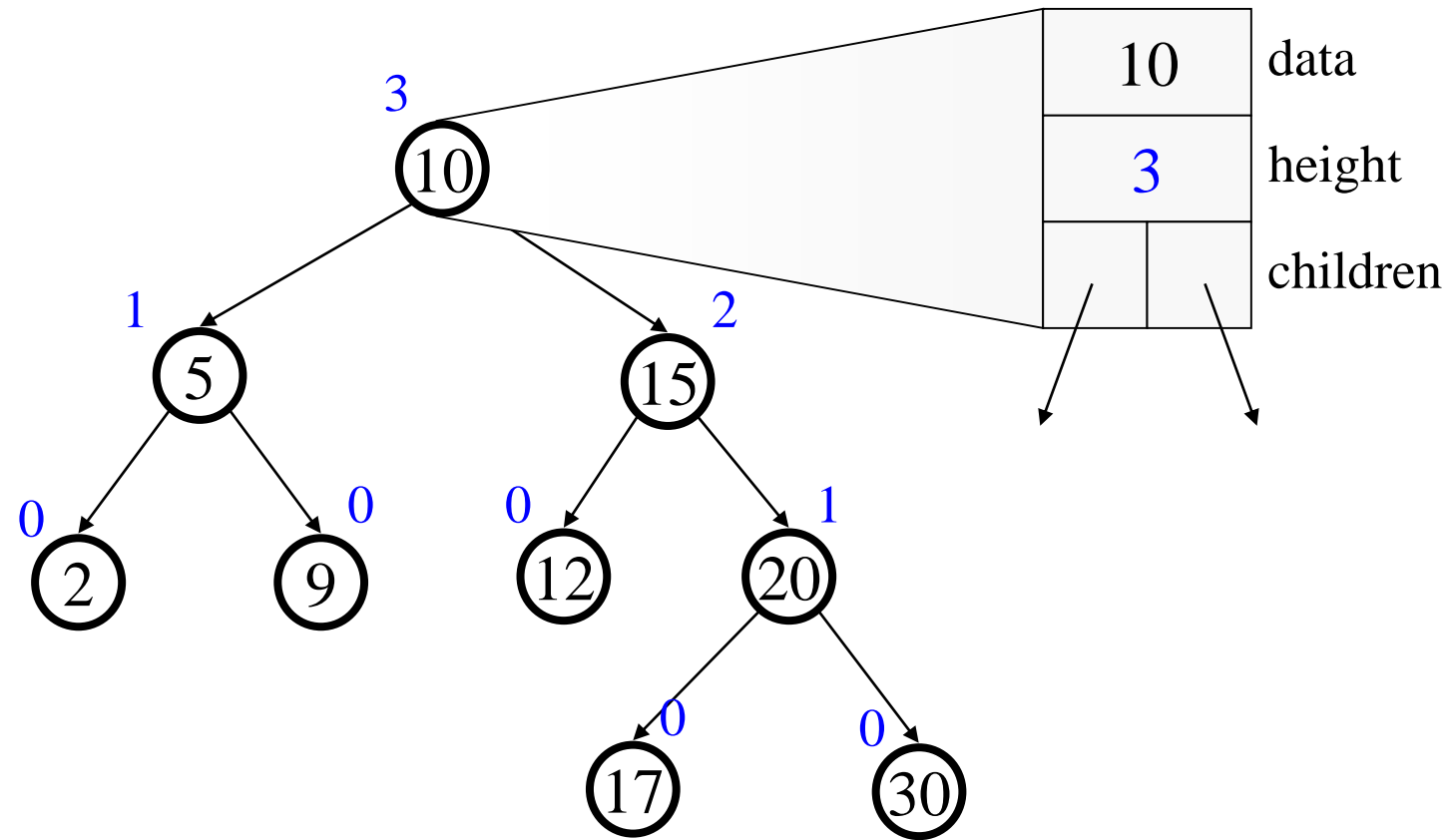
### Balance property

- balance of every node is:  
 $-1 \leq b \leq 1$
- result:
  - depth is  $\Theta(\log n)$

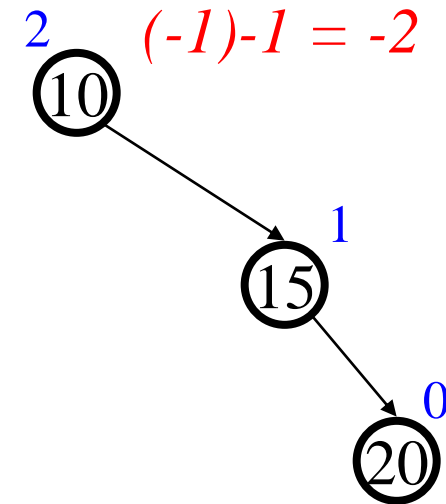
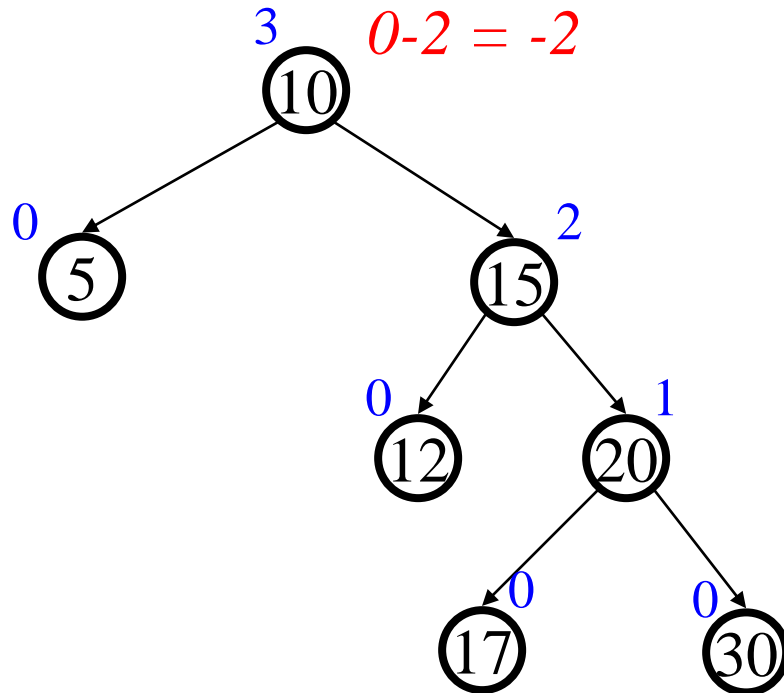


Balance == height(left subtree) - height(right subtree)

# An AVL Tree

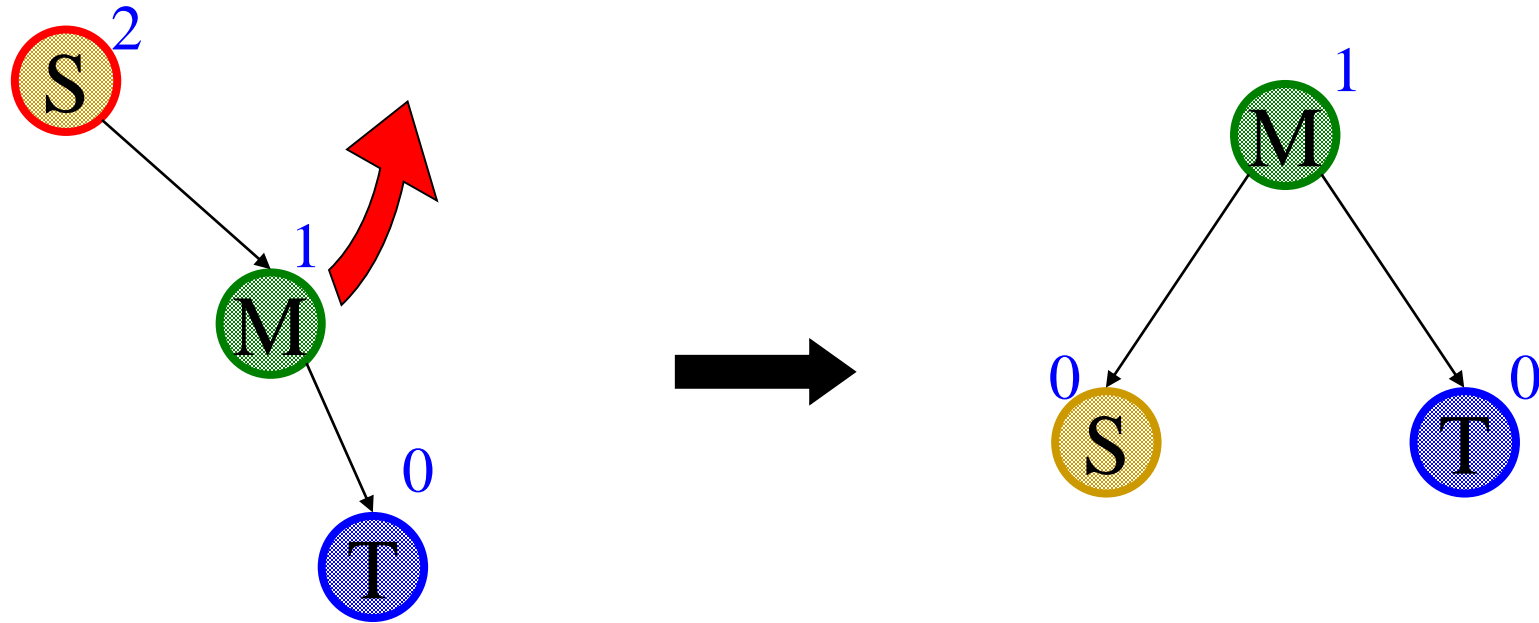


# Not AVL Trees



Note: height(empty tree) == -1

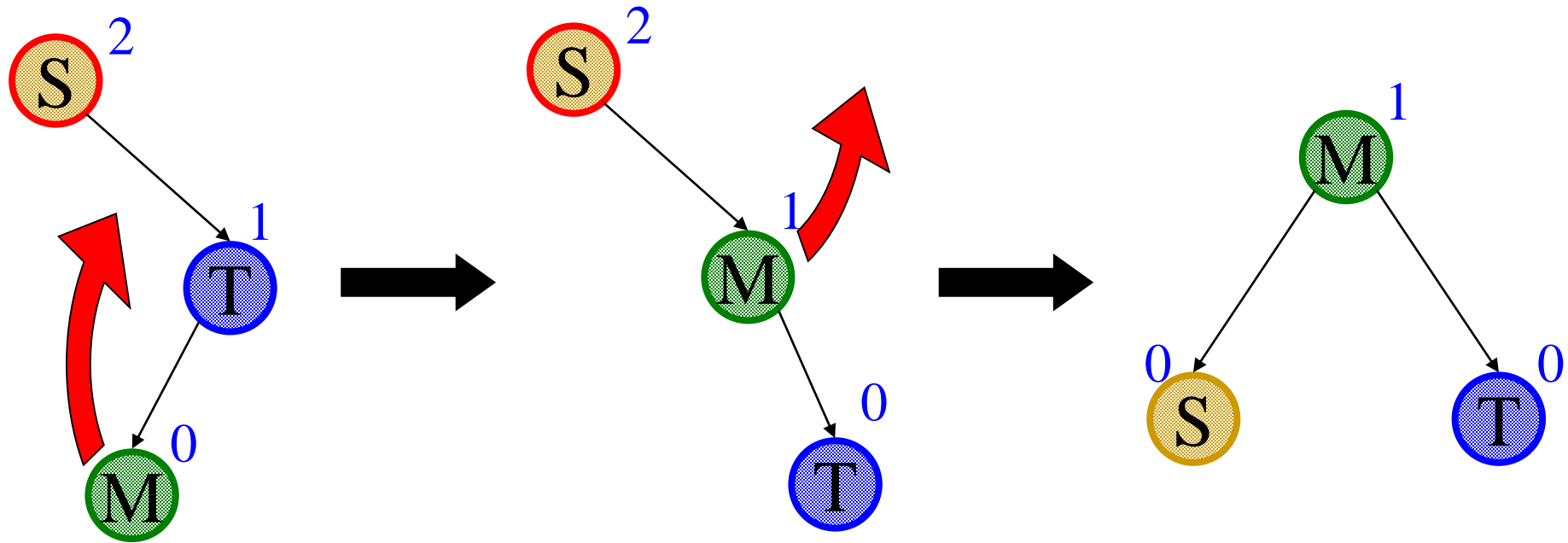
# Single Rotation



Basic operation used in AVL trees:

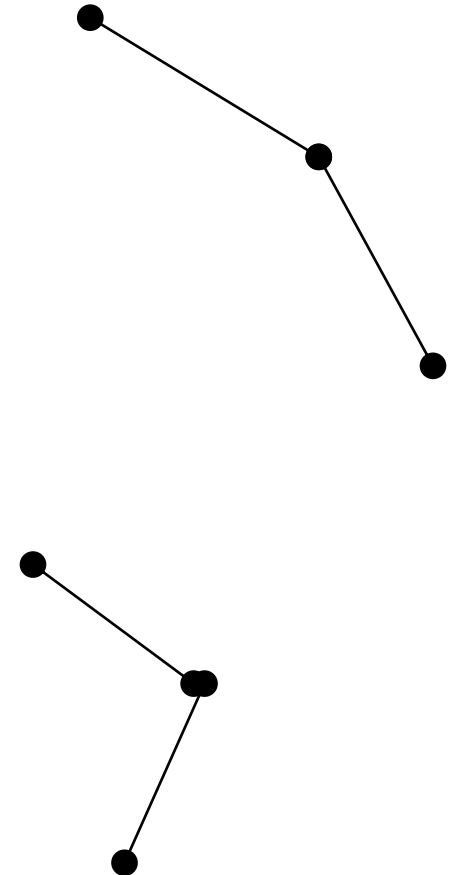
A **right child** could legally have its **parent** as its left child.

# Double Rotation



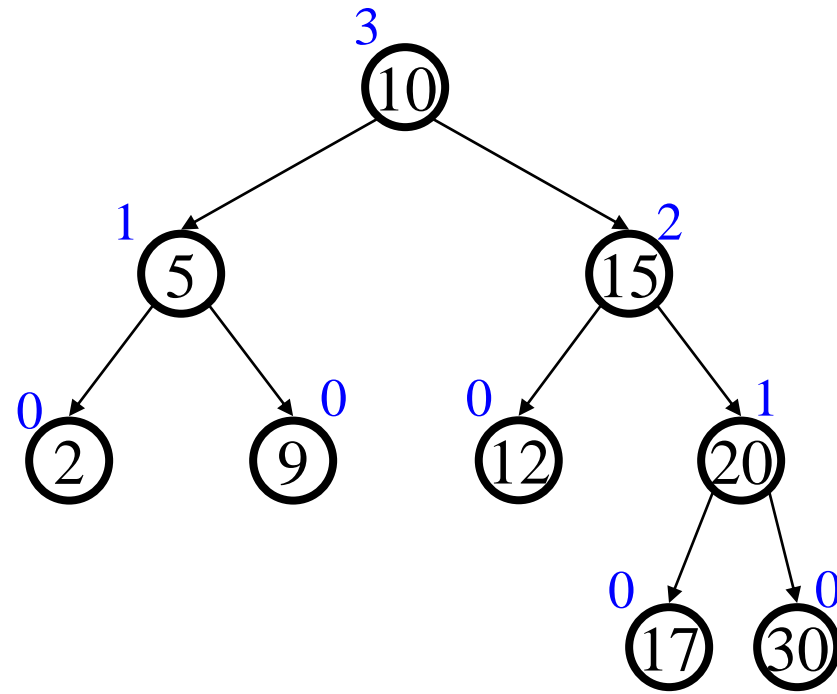
# AVL Insert Algorithm

- Find spot for value
- Hang new node
- Search back up looking for imbalance
- If there is an imbalance:
  - case #1: Perform single rotation
  - case #2: Perform double rotation
- *Done!*  
(There can only be one imbalance!)



# Easy Insert

Insert(3)





# Node structure, Height, Balance Factor

```
4 // A Node structure to store key,  
5 // left child, right child, and height of each node  
6 struct Node {  
7     int key;  
8     struct Node *left;  
9     struct Node *right;  
10    int height;  
11 };  
12  
13 // Function to get the height of a node  
14 int height(struct Node *node) {  
15     if (node == NULL) {  
16         return 0;  
17     }  
18     return node->height;  
19 }  
20  
21 // Function to get the balance factor of a node  
22 int getBalance(struct Node *node) {  
23     if (node == NULL) {  
24         return 0;  
25     }  
26     return height(node->left) - height(node->right);  
27 }
```

# Rotations

```
29 // Function to perform a right rotation
30 struct Node* rightRotate(struct Node *y) {
31     struct Node *x = y->left;
32     struct Node *T2 = x->right;
33
34     // Perform rotation
35     x->right = y;
36     y->left = T2;
37
38     // Update heights
39     y->height = 1 + (height(y->left) > height(y->right) ? height(y->left) : height(y->right));
40     x->height = 1 + (height(x->left) > height(x->right) ? height(x->left) : height(x->right));
41
42     // Return new root
43     return x;
44 }
45
46 // Function to perform a left rotation
47 struct Node* leftRotate(struct Node *x) {
48     struct Node *y = x->right;
49     struct Node *T2 = y->left;
50
51     // Perform rotation
52     y->left = x;
53     x->right = T2;
54
55     // Update heights
56     x->height = 1 + (height(x->left) > height(x->right) ? height(x->left) : height(x->right));
57     y->height = 1 + (height(y->left) > height(y->right) ? height(y->left) : height(y->right));
58
59     // Return new root
60     return y;
61 }
```

# Insert function

```
63 // Function to insert a node in the AVL tree
64 struct Node* insert(struct Node* node, int key) {
65     // 1. Perform normal BST insert
66     if (node == NULL) {
67         struct Node* newNode = (struct Node*)malloc(sizeof(struct Node));
68         newNode->key = key;
69         newNode->left = newNode->right = NULL;
70         newNode->height = 1;
71         return newNode;
72     }
73
74     if (key < node->key) {
75         node->left = insert(node->left, key);
76     } else if (key > node->key) {
77         node->right = insert(node->right, key);
78     } else {
79         return node; // Duplicate keys are not allowed
80     }
81
82     // 2. Update height of this ancestor node
83     node->height = 1 + (height(node->left) > height(node->right) ? height(node->left) : height(node->right));
84
85     // 3. Get the balance factor of this ancestor node to check whether this node became unbalanced
86     int balance = getBalance(node);
```

# Balancing Case

```
88 // If the node becomes unbalanced, then there are 4 cases
89
90 // Left Left Case
91 if (balance > 1 && key < node->left->key) {
92     return rightRotate(node);
93 }
94
95 // Right Right Case
96 if (balance < -1 && key > node->right->key) {
97     return leftRotate(node);
98 }
99
100 // Left Right Case
101 if (balance > 1 && key > node->left->key) {
102     node->left = leftRotate(node->left);
103     return rightRotate(node);
104 }
105
106 // Right Left Case
107 if (balance < -1 && key < node->right->key) {
108     node->right = rightRotate(node->right);
109     return leftRotate(node);
110 }
111
112 return node;
113 }
```

# Print in sorted order

```
115 // Function to do an inorder traversal of the tree
116 void inorder(struct Node *root) {
117     if (root != NULL) {
118         inorder(root->left);
119         printf("%d ", root->key);
120         inorder(root->right);
121     }
122 }
123
124 // Main function to test the AVL tree
125 int main() {
126     struct Node* root = NULL;
127
128     // Insert nodes into the AVL tree
129     root = insert(root, 10);
130     root = insert(root, 20);
131     root = insert(root, 30);
132     root = insert(root, 15);
133     root = insert(root, 25);
134
135     // Print the inorder traversal of the AVL tree
136     printf("Inorder traversal of the AVL tree: ");
137     inorder(root);
138     printf("\n");
139
140     return 0;
141 }
```