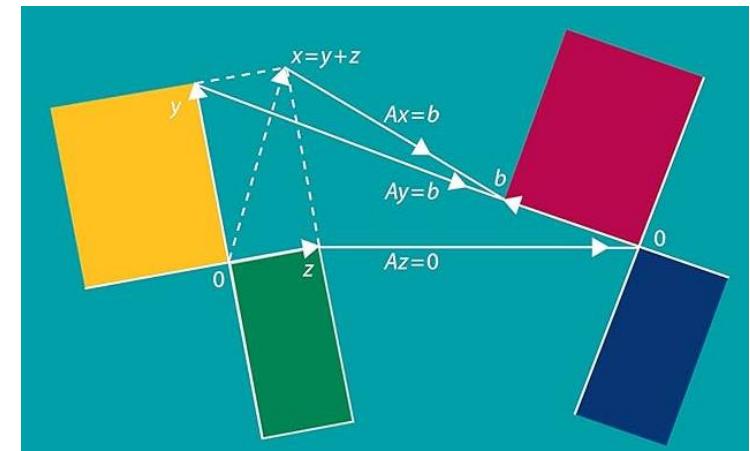


# Vector Spaces

## (Linear Algebra)

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# Basis and Spanning Set

To describe a vector in  $\mathbb{R}^n$  we need a coordinate system. A basis is a coordinate system or framework which describes the Euclidean  $n$ -space.

For example, there are infinitely many vectors in the plane  $\mathbb{R}^2$ , but we can describe all of these by using the standard unit vectors  $\mathbf{e}_1 = (1 \ 0)^T$  in the  $x$  direction and  $\mathbf{e}_2 = (0 \ 1)^T$  in the  $y$  direction.

We can write a vector  $\begin{pmatrix} 2 \\ 3 \end{pmatrix}$ , but what does 2 and 3 represent?

It indicates two units in the  $x$  direction and three units in the  $y$  direction which can be written as  $2\mathbf{e}_1 + 3\mathbf{e}_2$ .

Let  $\mathbf{v} = (a \ b \ c)^T$  be any vector in  $\mathbb{R}^3$ . Write this vector  $\mathbf{v}$  in terms of the unit vectors:

$$\mathbf{e}_1 = (1 \ 0 \ 0)^T, \ \mathbf{e}_2 = (0 \ 1 \ 0)^T \text{ and } \mathbf{e}_3 = (0 \ 0 \ 1)^T$$

Vectors  $\mathbf{e}_1, \mathbf{e}_2$  and  $\mathbf{e}_3$  specify  $x, y$  and  $z$  directions respectively. (Illustrated in Fig. 2.26.)

### Solution

We have

$$\begin{pmatrix} a \\ b \\ c \end{pmatrix} = a \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} + b \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} + c \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = a\mathbf{e}_1 + b\mathbf{e}_2 + c\mathbf{e}_3$$

That is, we can write the vector  $\mathbf{v}$  as a linear combination of vectors  $\mathbf{e}_1, \mathbf{e}_2$  and  $\mathbf{e}_3$ .

**Definition (2.25).** Consider the  $n$  vectors in the set  $S = \{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \dots, \mathbf{v}_n\}$  in the  $n$ -space,  $\mathbb{R}^n$ . If every vector in  $\mathbb{R}^n$  can be produced by a linear combination of these vectors  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \dots$  and  $\mathbf{v}_n$  then we say these vectors **span** or **generate** the  $n$ -space,  $\mathbb{R}^n$ .

This set  $S = \{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \dots, \mathbf{v}_n\}$  is called the **spanning set**. We also say that the set  $S$  spans the  $n$ -space or  $S$  spans  $\mathbb{R}^n$ .

For example, the standard unit vectors  $\mathbf{e}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $\mathbf{e}_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$  span  $\mathbb{R}^2$  because  $k\mathbf{e}_1$  spans the  $x$  axis and  $c\mathbf{e}_2$  spans the  $y$  axis. Hence, by introducing scalars,  $k$  and  $c$ , the linear combination,  $k\mathbf{e}_1 + c\mathbf{e}_2$ , of these vectors  $\mathbf{e}_1$  and  $\mathbf{e}_2$  can produce any vector in  $\mathbb{R}^2$ .

# Basis

Given some vectors we can generate others by a linear combination. We need just enough vectors to build all other vectors from them through linear combination. This set of just enough vectors is called a basis.

An example is the standard unit vectors  $\mathbf{e}_1 = (1 \ 0)^T$ ,  $\mathbf{e}_2 = (0 \ 1)^T$  for  $\mathbb{R}^2$ . This is the basis which forms the  $x$  and  $y$  axes of  $\mathbb{R}^2$  because  $\mathbf{e}_1 = (1 \ 0)^T$  specifies the  $x$  direction and  $\mathbf{e}_2 = (0 \ 1)^T$  specifies the  $y$  direction.

Each additional basis vector introduces a new direction.

**Definition (2.26).** Consider the  $n$  vectors  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \dots$  and  $\mathbf{v}_n$  in the  $n$  space,  $\mathbb{R}^n$ .

These vectors form a **basis** for  $\mathbb{R}^n \Leftrightarrow$

- (i)  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \dots$  and  $\mathbf{v}_n$  span  $\mathbb{R}^n$  and
- (ii)  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \dots$  and  $\mathbf{v}_n$  are linearly independent

# Basis

We can write the vectors  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \dots$  and  $\mathbf{v}_n$  as a set  $B = \{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3, \dots, \mathbf{v}_n\}$ . These are called the **basis vectors** – independent vectors which span  $\mathbb{R}^n$ . Any vector in  $\mathbb{R}^n$  can be constructed from the basis vectors.

Bases (plural of basis) are the most efficient spanning sets. There are many sets of vectors that can span a space. However, in these sets some of the vectors might be redundant in spanning the space (because they can be ‘made’ from the other vectors in the set). A basis has no redundant vectors. This is exactly what is captured by demanding linear independence in the definition.

# Vector Spaces

- Let  $V$  be a non-empty set of elements called vectors. We define two operations on the set  $V$  – vector addition and scalar multiplication. Scalars are real numbers.
- Let  $u, v$  and  $w$  be vectors in the set  $V$ . The set  $V$  is called a vector space if it satisfies the following 10 axioms.
  1. **The vector addition  $u + v$  is also in the vector space  $V$ .** Generally in mathematics we say that we have closure under vector addition if this property holds.
  2. **Commutative law:**  $u + v = v + u$ .

# Vector Spaces

3. **Associative law:**  $(u + v) + w = u + (v + w)$ .
4. **Neutral element.** There is a vector called the zero vector in  $V$  denoted by  $O$  which satisfies

$$u + O = u \text{ for every vector } u \text{ in } V$$

5. **Additive inverse.** For every vector  $u$  there is a vector  $-u$  (minus  $u$ ) which satisfies the following:

$$u + (-u) = O$$

# Vector Spaces

6. Let  $k$  be a real scalar then  $ku$  is also in  $V$ .
7. Associative law for scalar multiplication. Let  $k$  and  $c$  be real scalars then

$$k(c\mathbf{u}) = (kc)\mathbf{u}$$

8. Distributive law for vectors. Let  $k$  be a real scalar then

$$k(\mathbf{u} + \mathbf{v}) = k\mathbf{u} + k\mathbf{v}$$

9. Distributive law for scalars. Let  $k$  and  $c$  be real scalars then

$$(k + c)\mathbf{u} = k\mathbf{u} + c\mathbf{u}$$

10. Identity element. For every vector  $\mathbf{u}$  in  $V$  we have

$$1\mathbf{u} = \mathbf{u}$$

# Vector Subspaces

Let  $V$  be a vector space and  $S$  be a non-empty subset of  $V$ . If the set  $S$  satisfies all 10 axioms of a vector space with respect to the same vector addition and scalar multiplication as  $V$  then  $S$  is also a vector space. We say  $S$  is a subspace of  $V$ .

**Definition (3.4).** A non-empty subset  $S$  of a vector space  $V$  is called a **subspace** of  $V$  if it is also a vector space with respect to the same vector addition and scalar multiplication as  $V$ .

We illustrate this in Fig. 3.3.

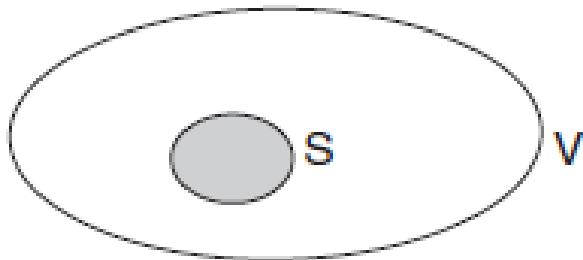


Figure 3.3

Note the difference between subspace and subset. A subset is merely a specific set of elements chosen from  $V$ . A subset must also satisfy the 10 axioms of vector space to be called a subspace.

# Vector Subspaces

**Proposition (3.5).** Let  $S$  be a non-empty subset of a vector space  $V$ . Then  $S$  is subspace of  $V \Leftrightarrow$ :

- (a) If  $u$  and  $v$  are vectors in the set  $S$  then the vector addition  $u + v$  is also in  $S$ .
- (b) If  $u$  is a vector in  $S$  then for every scalar  $k$  we have,  $ku$  is also in  $S$ .

Note that this proposition means that we must have *closure* under both vector addition and scalar multiplication. This means that  $S$  is a subspace of  $V \Leftrightarrow$  both the following are satisfied, as shown in Fig. 3.5.

