

# CSE 303 (Compilers)

## Syntax Analysis

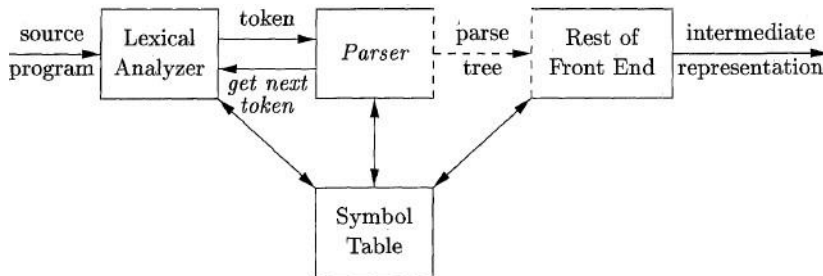
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# The Role of the Parser

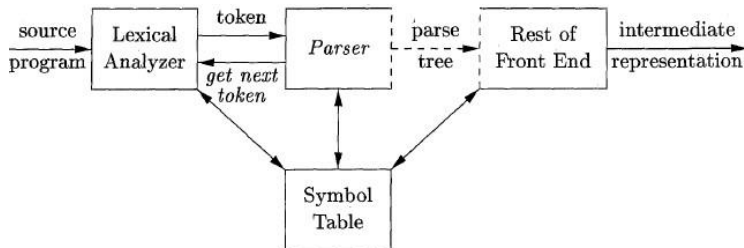
- In our compiler model, the parser obtains a string of tokens from the lexical analyzer.
- It then verifies that the string of token names can be generated by the grammar for the source language.



Position of parser in compiler model



# The Role of the Parser — *continued*



Position of parser in compiler model

- We expect the parser
  - to report any syntax errors in an intelligible fashion and
  - to recover from commonly occurring errors to continue
  - processing the remainder of the program.
- Conceptually, for well-formed programs, the parser constructs a parse tree and passes it to the rest of the compiler for further processing.



# The Role of the Parser — *continued*

- There are three general types of parsers for grammars:
  - universal,
  - top-down, and
  - bottom-up.
- Universal parsing methods can parse any grammar.
- These general methods are, however, too inefficient to use in production compilers.



# The Role of the Parser — *continued*

- The methods commonly used in compilers can be classified as being either **top-down** or **bottom-up**.
- As implied by their names, top-down methods build parse trees from the top (root) to the bottom (leaves).
- Bottom-up methods start from the leaves and work their way up to the root.
- In either case, the input to the parser is scanned from left to right, one symbol at a time.



# Representative Grammars

- Some of the grammars that will be examined are presented here for ease of reference.
- Constructs that begin with keywords like **while** or **int**, are relatively easy to parse.
- The keyword guides the choice of the grammar production that must be applied to match the input.
- We therefore concentrate on expressions, which present more of challenge, because of the associativity and precedence of operators.



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# Representative Grammars — *continued*

- Associativity and precedence are captured in the following grammar.
- $E$  represents expressions consisting of terms separated by + signs.
- $T$  represents terms consisting of factors separated by \* signs.
- $F$  represents factors that can be either parenthesized expressions or identifiers:

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow ( E ) \mid \mathbf{id}$$





# Representative Grammars — *continued*

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow ( E ) \mid \text{id}$$

- The above grammar belongs to the class of *LR* grammars that are suitable for bottom-up parsing.
- This grammar can be adapted to handle additional operators and additional levels of precedence.
- However, it cannot be used for top-down parsing because it is left recursive.



# Representative Grammars — *continued*

- The following non-left-recursive variant of the expression grammar will be used for top-down parsing:

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' | s$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' | s$$

$$F \rightarrow (E) | \text{id}$$



# Representative Grammars — *continued*

- The following grammar treats + and \* alike.

$$E \rightarrow E + E \mid E * E \mid (E) \mid \mathbf{id}$$

- So it is useful for illustrating techniques for handling ambiguities during parsing.
- Here,  $E$  represents expressions of all types.
- This grammar permits more than one parse tree for expressions like  $a + b * c$ .



# Syntax Error Handling

- If a compiler had to process only correct programs, its design and implementation would be simplified greatly.
- However, a compiler is expected to assist the programmer in locating and tracking down errors that inevitably creep into programs, despite the programmer's best efforts.
- Strikingly, few languages have been designed with error handling in mind, even though errors are so commonplace.



# Syntax Error Handling — *continued*

- Our civilization would be radically different if spoken languages had the same requirements for syntactic accuracy as computer languages.
- Most programming language specifications do not describe how a compiler should respond to errors.
- Error handling is left to the compiler designer.
- Planning the error handling right from the start can both simplify the structure of a compiler and improve its handling of errors.



# Syntax Error Handling — *continued*

Common programming errors can occur at many different levels.

**Lexical errors** include misspellings of identifiers, keywords, or operators — e.g., the use of an identifier `elipsesize` instead of `ellipsesize` — and missing quotes around text intended as a string.



# Syntax Error Handling — *continued*

Common programming errors can occur at many different levels.

**Syntactic errors** include misplaced semicolons or extra or missing braces, that is, “{” or “}”.

As another example, in C or Java, the appearance of a case statement without an enclosing switch is a syntactic error.

However, this situation is usually allowed by the parser and caught later in the processing, as the compiler attempts to generate code.



# Syntax Error Handling — *continued*

Common programming errors can occur at many different levels.

**Semantic errors** include type mismatches between operators and operands.

An example is a `return` statement in a Java method with result type `void`.





# Syntax Error Handling — *continued*

Common programming errors can occur at many different levels.

**Logical errors** can be anything from incorrect reasoning on the part of the programmer.



# Syntax Error Handling — *continued*

- The precision of parsing methods allows syntactic errors to be detected very efficiently.
- Several parsing methods, such as the LL and LR methods, detect an error as soon as possible.
- That is, when the stream of tokens from the lexical analyzer cannot be parsed further according to the grammar for the language.
- More precisely, they have the viable-prefix property, meaning that they detect that an error has occurred as soon as they see a prefix of the input that cannot be completed to form a string in the language.



# Syntax Error Handling — *continued*

- The error handler in a parser has goals that are simple to state but challenging to realize:
  - Report the presence of errors clearly and accurately.
  - Recover from each error quickly enough to detect subsequent errors.
  - Add minimal overhead to the processing of correct programs.



# Writing a Grammar

- Grammars are capable of describing most, but not all, of the syntax of programming languages.
- For instance, the requirement that identifiers be declared before they are used, cannot be described by a context-free grammar.
- Therefore, the sequences of tokens accepted by a parser form a superset of the programming language.
- Subsequent phases of the compiler must analyze the output of the parser to ensure compliance with rules that are not checked by the parser.



# Writing a Grammar

- Grammars are capable of describing most, but not all, of the syntax of programming languages.
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# Elimination of Left Recursion

- A grammar is left recursive if it has a nonterminal  $A$  such that there is a derivation  $A \xRightarrow{+} Aa$  for some string  $a$ .
- Top-down parsing methods cannot handle left-recursive grammars, so a transformation that eliminates left recursion is needed.
- In simple left recursion there was one production of the form  $A \rightarrow A\alpha$ .
- Here we study the general case.



# Elimination of Left Recursion — *continued*

- **Left-recursive pair** of productions  $A \rightarrow A\alpha / \beta$  can be replaced by the **non-left-recursive** productions

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' / \epsilon$$

without changing the set of strings derivable from  $A$ .

- This rule by itself suffices in many grammars.



# Example

$$A \rightarrow A \alpha \mid \beta$$

to be replaced by

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' \mid \epsilon$$

- Grammar for arithmetic expressions,

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid \text{id}$$

- Eliminating the immediate left recursions we obtain,

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid \text{id}$$





# Elimination of Left Recursion — *continued*

- No matter how many  $A$ -productions there are, we can eliminate immediate left recursion from them.
- First, we group the  $A$ -productions as,

$$A \rightarrow A\alpha_1 \mid A\alpha_2 \mid A\alpha_3 \mid \dots \mid A\alpha_m \mid \beta_1 \mid \beta_2 \mid \beta_3 \mid \dots \mid \beta_n$$

where no  $\beta_i$ , begins with an  $A$ .

- Then, we replace the  $A$ -productions by,

$$\begin{aligned} A &\rightarrow \beta_1 A' \mid \beta_2 A' \mid \beta_3 A' \mid \dots \mid \beta_n A' \\ A' &\rightarrow \alpha_1 A' \mid \alpha_2 A' \mid \alpha_3 A' \mid \dots \mid \alpha_m A' \mid \epsilon \end{aligned}$$

- It does not eliminate left recursion involving derivations of two or more steps.



# Elimination of Left Recursion — *continued*

- It does not eliminate left recursion involving derivations of two or more steps.
- Consider the grammar,

$$S \rightarrow Aa \mid b$$

$$A \rightarrow Ac \mid Sd \mid \epsilon$$

- The nonterminal  $S$  is left-recursive because  $S \Rightarrow Aa \Rightarrow Sda$ , but it is not immediately left recursive.



# Algorithm

Eliminating left recursion.

**INPUT:** Grammar  $G$  with no cycles or  $\epsilon$ -productions.

**OUTPUT:** An equivalent grammar with no left recursion.

**METHOD:** Apply the algorithm to  $G$ . Note that the resulting non-left-recursive grammar may have  $\epsilon$ -productions.

- 1) arrange the nonterminals in some order  $A_1, A_2, \dots, A_n$ .
- 2) **for** ( each  $i$  from 1 to  $n$  ) {
- 3)   **for** (each  $j$  from 1 to  $i - 1$  ) {
- 4)       replace each production of the form  $A_i \rightarrow A_j \gamma$   
by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$   
where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the  
current  $A_j$ -productions
- 5)   }
- 6)   eliminate the immediate left recursion among  
the  $A_i$ -productions;
- 7) }

Grammar with cycles: Grammar where derivations of the form

$A \xRightarrow{+} A$  occurs.



- 1) arrange the nonterminals in some order  $A_1, A_2, \dots, A_n$ .
- 2) **for** ( each  $i$  from 1 to  $n$  ) {
- 3)     **for** (each  $j$  from 1 to  $i - 1$  ) {
- 4)         replace each production of the form  $A_i \rightarrow A_j \gamma$   
by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$   
where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the  
current  $A_j$ -productions
- 5)     }
- 6)     eliminate the immediate left recursion among  
the  $A_i$ -productions;
- 7) }

- In the first iteration for  $i = 1$ , the outer **for**-loop of lines (2) through (7) eliminates any immediate left recursion among  $A_1$ -productions.

- 1) arrange the nonterminals in some order  $A_1, A_2, \dots, A_n$ .
- 2) **for** ( each  $i$  from 1 to  $n$  ) {
- 3)     **for** (each  $j$  from 1 to  $i - 1$  ) {
- 4)         replace each production of the form  $A_i \rightarrow A_j \gamma$   
             by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$   
             where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the  
             current  $A_j$ -productions
- 5)     }
- 6)     eliminate the immediate left recursion among  
             the  $A_i$ -productions;
- 7) }

- Any remaining  $A_1$  productions of the form  $A_1 \rightarrow A_1 \alpha$  must therefore have  $l > 1$ .

- 1) arrange the nonterminals in some order  $A_1, A_2, \dots, A_n$ .
- 2) **for** ( each  $i$  from 1 to  $n$  ) {
- 3)     **for** (each  $j$  from 1 to  $i - 1$  ) {
- 4)         replace each production of the form  $A_i \rightarrow A_j \gamma$   
             by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$   
             where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the  
             current  $A_j$ -productions
- 5)     }
- 6)     eliminate the immediate left recursion among  
             the  $A_i$ -productions;
- 7) }

- After the  $i - 1$ st iteration of the outer **for**- loop, all nonterminals  $A_k$ , where  $k < i$ , are “cleaned”.
- That is, any production  $A_k \rightarrow A_l \alpha$ , must have  $l > k$ .

- 1) arrange the nonterminals in some order  $A_1, A_2, \dots, A_n$ .
- 2) **for** ( each  $i$  from 1 to  $n$  ) {
- 3)     **for** (each  $j$  from 1 to  $i - 1$  ) {
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             where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the  
             current  $A_j$ -productions
- 5)     }
- 6)     eliminate the immediate left recursion among  
             the  $A_i$ -productions;
- 7) }

- As a result, on the  $i$ th iteration, the inner loop of lines (3) through (5) progressively raises the lower limit in any production  $A_i \rightarrow A_m \alpha$ , until we have  $m \geq i$ .



- 1) arrange the nonterminals in some order  $A_1, A_2, \dots, A_n$ .
- 2) **for** ( each  $i$  from 1 to  $n$  ) {
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where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the  
current  $A_j$ -productions
- 5)     }
- 6)     eliminate the immediate left recursion among  
the  $A_i$ -productions;
- 7) }

- Then, eliminating immediate left recursion for the  $A_i$  productions at line (6) forces  $m$  to be greater than  $i$ .

# Example

Input Grammar  $G$  with no cycles or  $s$ -productions.

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- We apply the procedure to grammar,

$$S \rightarrow Aa | b$$

$$A \rightarrow Ac | Sd | \epsilon$$

- Technically, the algorithm is not guaranteed to work, because of the  $\epsilon$ -production.
- But in this case the production  $A \rightarrow \epsilon$  turns out to be harmless.



## Example — *continued*

### Left-Recursive Grammar

$$S \rightarrow Aa \mid b$$

$$A \rightarrow Ac \mid Sd \mid \epsilon$$

■ We order the nonterminals  $S, A$ .

■  $A_1 = S, A_2 = A$



## Example — *continued*

### Left-Recursive Grammar

$$S \rightarrow Aa \mid b$$

$$A \rightarrow Ac \mid Sd \mid \epsilon$$

- We order the nonterminals  $S, A$ .
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## Example — *continued*

- 1) arrange the nonterminals in some order  $A_1, A_2, \dots, A_n$ .
- 2) **for** ( each  $i$  from 1 to  $n$  ) {
- 3)     **for** (each  $j$  from 1 to  $i - 1$  ) {
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current  $A_j$ -productions
- 5)     }
- 6)     eliminate the immediate left recursion among  
the  $A_i$ -productions;
- 7) }

■  $i = 1, A_1 = S$

■  $j = 1$  to  $j = 1 - 1 = 0$ , the loop is *not* entered



## Example — *continued*

- 1) arrange the nonterminals in some order  $A_1, A_2, \dots, A_n$ .
- 2) **for** ( each  $i$  from 1 to  $n$  ) {
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current  $A_j$ -productions
- 5)     }
- 6)     eliminate the immediate left recursion among  
the  $A_i$ -productions;
- 7) }

■  $i = 1, A_i = A_1 = S$

■  $j = 1$  to  $j = 1 - 1 = 0$ , the loop is *not* entered



## Example — *continued*

- 6) eliminate the immediate left recursion among the  $A_i$ -productions;

### Left-Recursive Grammar

$$S \rightarrow Aa \mid b$$

$$A \rightarrow Ac \mid Sd \mid \epsilon$$

- There is no immediate left recursion among the  $S$ -productions, so nothing happens for the case  $i = 1$ .  
( $A_i = A_1 = S$ )



## Example — *continued*

- 1) arrange the nonterminals in some order  $A_1, A_2, \dots, A_n$ .
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- 3)     **for** (each  $j$  from 1 to  $i - 1$  ) {
- 4)         replace each production of the form  $A_i \rightarrow A_j \gamma$   
by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$   
where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the  
current  $A_j$ -productions
- 5)     }
- 6)     eliminate the immediate left recursion among  
the  $A_i$ -productions;
- 7) }

■  $i = 2, A_1 = A_2 = A$

■  $j = 1$  to  $j = 2 - 1 = 1$ , the loop is entered





## Example — *continued*

- 1) arrange the nonterminals in some order  $A_1, A_2, \dots, A_n$ .
- 2) **for** ( each  $i$  from 1 to  $n$  ) {
- 3)     **for** (each  $j$  from 1 to  $i - 1$  ) {
- 4)         replace each production of the form  $A_i \rightarrow A_j \gamma$   
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# Example — *continued*

- 4) replace each production of the form  $A_i \rightarrow A_j \gamma$  by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$  where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the current  $A_j$ -productions

## Left-Recursive Grammar

$$\begin{aligned} S &\rightarrow Aa \mid b \\ A &\rightarrow Ac \mid Sd \mid \epsilon \end{aligned}$$

■  $i = 2$ ,  $A_i = A_2 = A$ ,  $j = 1$ ,  $A_j = A_1 = S$

■ We need to

- put productions of the form  $S \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$
- in productions of the form  $A \rightarrow S\gamma$

- Production(s) with  $S$  at the left-hand-side,  $S \rightarrow Aa \mid b$
- Production(s) with  $A$  at the left side and right side beginning with  $S$  is (are),  $A \rightarrow Sd$



# Example — *continued*

- 4) replace each production of the form  $A_i \rightarrow A_j \gamma$  by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$  where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the current  $A_j$ -productions

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- $i = 2$ ,  $A_i = A_2 = A$ ,  $j = 1$ ,  $A_j = A_1 = S$
- We need to
  - put productions of the form  $S \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$
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# Example — *continued*

- 4) replace each production of the form  $A_i \rightarrow A_j \gamma$  by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$  where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the current  $A_j$ -productions

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$$\begin{aligned} S &\rightarrow Aa \mid b \\ A &\rightarrow Ac \mid Sd \mid \epsilon \end{aligned}$$

- $i = 2$ ,  $A_i = A_2 = A$ ,  $j = 1$ ,  $A_j = A_1 = S$
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# Example — *continued*

- 4) replace each production of the form  $A_i \rightarrow A_j \gamma$  by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$  where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the current  $A_j$ -productions

## Left-Recursive Grammar

$$\begin{aligned} S &\rightarrow Aa \mid b \\ A &\rightarrow Ac \mid Sd \mid \epsilon \end{aligned}$$

- $i = 2$ ,  $A_i = A_2 = A$ ,  $j = 1$ ,  $A_j = A_1 = S$
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# Example — *continued*

- 4) replace each production of the form  $A_i \rightarrow A_j \gamma$  by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$  where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the current  $A_j$ -productions

## Left-Recursive Grammar

$$\begin{aligned} S &\rightarrow Aa \mid b \\ A &\rightarrow Ac \mid Sd \mid \epsilon \end{aligned}$$

- $i = 2, A_2 = A, j = 1, A_1 = S$
- We need to
  - put productions of the form  $S \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$
  - in productions of the form  $A \rightarrow S\gamma$
- Production(s) with  $S$  at the left-hand-side,  $S \rightarrow Aa \mid b$
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# Example — *continued*

- 4) replace each production of the form  $A_i \rightarrow A_j \gamma$  by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$  where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the current  $A_j$ -productions

## Left-Recursive Grammar

$$\begin{aligned} S &\rightarrow Aa \mid b \\ A &\rightarrow Ac \mid Sd \mid \epsilon \end{aligned}$$

- $S \rightarrow Aa \mid b$  to be put in  $A$ ,  $A \rightarrow Sd$
- We substitute  $S \rightarrow Aa \mid b$  in  $A \rightarrow Sd$  to get the following  $A$ -productions,

$$A \rightarrow Aad \mid bd$$



## Example — *continued*

- 4) replace each production of the form  $A_i \rightarrow A_j \gamma$  by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$  where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the current  $A_j$ -productions

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## Example — *continued*

- 1) arrange the nonterminals in some order  $A_1, A_2, \dots, A_n$ .
- 2) **for** ( each  $i$  from 1 to  $n$  ) {
- 3)     **for** (each  $j$  from 1 to  $i - 1$  ) {
- 4)         replace each production of the form  $A_i \rightarrow A_j \gamma$  by  
the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$   
where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the  
current  $A_j$ -productions
- 5)     }
- 6) } eliminate the immediate left recursion among  
the  $A_i$ -productions;
- 7) }



## Example — *continued*

- All  $A_1 = A_2 = A$ -productions together,

$$A \rightarrow Ac \mid Aad \mid bd \mid \epsilon$$

- Eliminating the immediate left recursion among the  $A$ -productions yields the following,

$$A \rightarrow bdA^i \mid A^i$$

$$A^i \rightarrow cA^i \mid adA^i \mid \epsilon$$



## Example — *continued*

6) eliminate the immediate left recursion among the  $A_i$ -productions;

- All  $A_i = A_2 = A$ -productions together,

$$A \rightarrow Ac \mid Aad \mid bd \mid \epsilon$$

- Eliminating the immediate left recursion among the  $A$ -productions yields the following,

$$A \rightarrow bdA' \mid A'$$

$$A' \rightarrow cA' \mid adA' \mid \epsilon$$



## Example — *continued*

- 1) arrange the nonterminals in some order  $A_1, A_2, \dots, A_n$ .
- 2) **for** ( each  $i$  from 1 to  $n$  ) {
- 3)     **for** (each  $j$  from 1 to  $i - 1$  ) {
- 4)         replace each production of the form  $A_i \rightarrow A_j \gamma$   
by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$   
where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the  
current  $A_j$ -productions
- 5)     }
- 6)     eliminate the immediate left recursion among  
the  $A_i$ -productions;
- 7) }

$i$  has attained the value of  $n = 2$  and the loops are no more entered.



## Example — *continued*

### Left-Recursive Grammar

$$S \rightarrow Aa \mid b$$

$$A \rightarrow Ac \mid Sd \mid \epsilon$$

- Put together we get the following non-left-recursive grammar,

$$S \rightarrow Aa \mid b$$

$$A \rightarrow bdA' \mid A'$$

$$A' \rightarrow cA' \mid adA' \mid \epsilon$$



- 1) arrange the nonterminals in some order  $A_1, A_2, \dots, A_n$ .
- 2) **for** ( each  $i$  from 1 to  $n$  ) {
- 3)   **for** (each  $j$  from 1 to  $i - 1$  ) {
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            $\gamma$  where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the  
           current  $A_j$ -productions
- 5)   }
- 6)   eliminate the immediate left recursion among  
       the  $A_i$ -productions;
- 7) }

## Conceptual Technique Summary (AGAIN)

- Put some order in the nonterminals.
- Start by making first nonterminal productions left-recursion-free.
- Put the first nonterminal left-recursion-free productions into those of the second one.
- Now make the productions of second nonterminal left-recursion-free.
- Thus keep on growing the set of left-recursion-free productions.

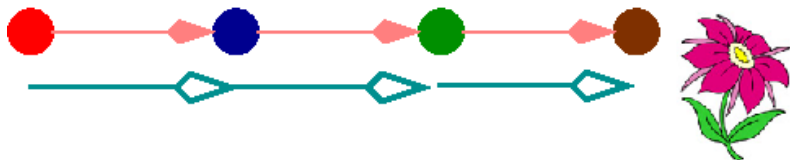
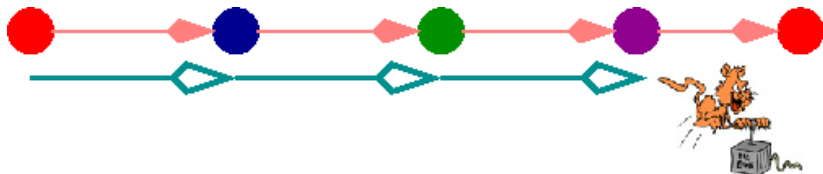
# Left Factoring

- Left factoring is a grammar transformation that is useful for producing a grammar suitable for predictive parsing.
- The basic idea is that sometimes it is not clear which of two alternative productions to use to expand a nonterminal  $A$ .
- We may be able to rewrite the  $A$ -productions to defer the decision until we have seen enough of the input to make the right choice.



# Left Factoring — *continued*

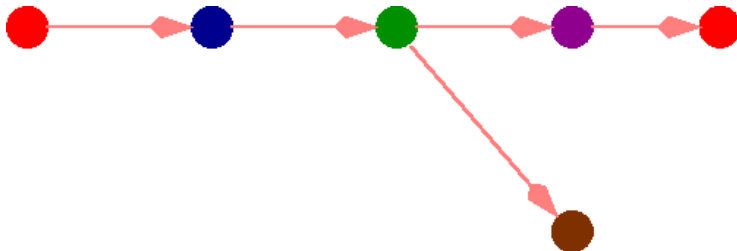
Road Direction: *Red* → *Blue* → *Green* → *Brown*





# Left Factoring — *continued*

Defer the decision until we have seen enough of the input to make the right choice.



# Left Factoring — *continued*

- We have the two productions,

$$\begin{aligned} stmt \rightarrow & \text{if } expr \text{ then } stmt \text{ else } stmt \\ & / \quad \text{if } expr \text{ then } stmt \end{aligned}$$

- On seeing the input token **if**, we cannot immediately tell which production to choose to expand *stmt*.



# Left Factoring — *continued*

- $A \rightarrow \alpha \beta_1 \mid \alpha \beta_2$  are two  $A$ -productions.
- The input begins with a nonempty string derived from  $\alpha$ .
- We do not know whether to expand  $A$  to  $\alpha \beta_1$  or  $\alpha \beta_2$ .
- However, we may defer the decision by expanding  $A$  to  $\alpha A'$ .
- Then, after seeing the input derived from  $\alpha$  we expand  $A'$  to  $\beta_1$  or  $\beta_2$ .
- Left-factored, the original productions become,

$$\begin{aligned} A &\rightarrow \alpha A' \\ A' &\rightarrow \beta_1 \mid \beta_2 \end{aligned}$$



# Left Factoring Algorithm

**INPUT.** Grammar  $G$ .

**OUTPUT** An equivalent left-factored grammar.



# Left Factoring Algorithm — *continued*

## Method.

- For each nonterminal  $A$  find the longest prefix  $\alpha$  common to two or more of its alternatives.
- If  $\alpha \neq \epsilon$  (there is a nontrivial common prefix), replace all the  $A$  productions  $A \rightarrow \alpha \beta_1 \mid \alpha \beta_2 \mid \dots \mid \alpha \beta_n \mid \gamma$  where  $\gamma$  represents all alternatives that do not begin with  $\alpha$  by

$$A \rightarrow \alpha A' \mid \gamma$$
$$A' = \beta_1 \mid \beta_2 \mid \beta_3 \dots \dots \dots \mid \beta_n$$

where  $A'$  is a new nonterminal.

- Repeatedly apply this transformation until no two alternatives for a nonterminal have a common prefix.



# Example

- The following grammar abstracts the dangling-else problem:

$$S \rightarrow iEtS \mid iEtSeS \mid a$$

$$E \rightarrow b$$

- Here  $i$ ,  $t$ , and  $e$  stand for **if**, **then** and **else**,  $E$  and  $S$  for “expression” and “statement.”
- Left-factored, this grammar becomes:

$$S \rightarrow iEtSS' \mid a$$

$$S' \rightarrow eS \mid \epsilon$$

$$E \rightarrow b$$



## Example — *continued*

$$S \rightarrow iEtSS' \mid a$$

$$S' \rightarrow eS \mid \epsilon$$

$$E \rightarrow b$$

- Thus, we may expand  $S$  to  $iEtSS'$  on input  $i$ , and wait until  $iEtS$  has been seen to decide whether to expand  $S'$  to  $eS$  or to  $\epsilon$ .



# Top-Down Parsing

- Top-down parsing can be viewed as the problem of
  - constructing a parse tree for the input string,
  - starting from the root and
  - creating the nodes of the parse tree in preorder (depth-first).
- Equivalently, top-down parsing can be viewed as finding a leftmost derivation for an input string.

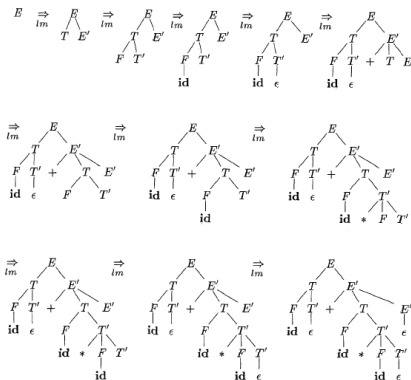




# Example

- The sequence of parse trees for the input **id + id \* id** is a top-down parse according to grammar.

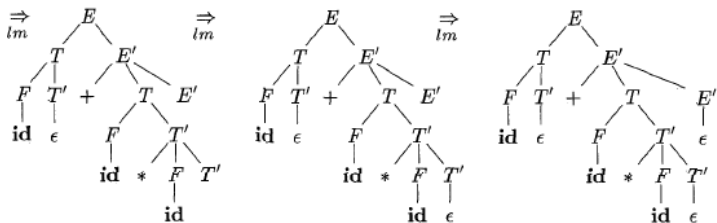
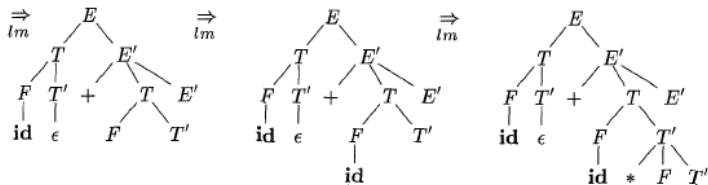
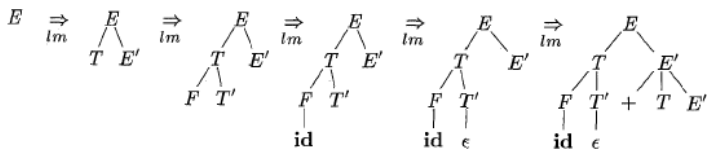
$$\begin{aligned}
 E &\rightarrow TE' \\
 E' &\rightarrow +TE' \mid \epsilon \\
 T &\rightarrow FT' \\
 T' &\rightarrow *FT' \mid \epsilon \\
 F &\rightarrow (E) \mid \text{id}
 \end{aligned}$$



Top-down parse for **id + id \* id**

- This sequence of trees corresponds to a leftmost derivation of the input.





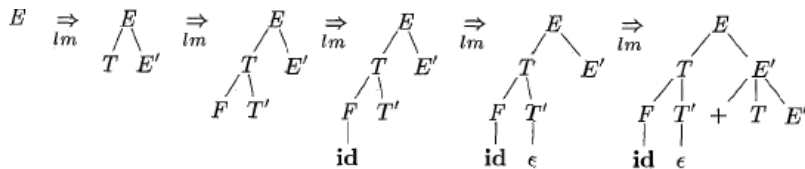
Top-down parse for **id + id \* id**

# Top-Down Parsing — *continued*

- At each step of a top-down parse, the key problem is that of determining the production to be applied for a nonterminal, say  $A$ .
- Once an  $A$ -production is chosen, the rest of the parsing process consists of “matching” the terminal symbols in the production body with the input string.



# Top-Down Parsing — *continued*



- Consider the top-down parse in figure.
- This constructs a tree with two nodes labeled  $E'$ .
- At the first  $E'$  node (in preorder), the production  $E' \rightarrow +TE'$  is chosen.
- At the second  $E'$  node, the production  $E' \rightarrow \epsilon$  is chosen.
- A predictive parser can choose between  $E'$ -productions by looking at the next input symbol.



# Top-Down Parsing — *continued*

- The class of grammars for which we can construct predictive parsers looking  $k$  symbols ahead in the input is sometimes called the  $LL(k)$  class.
- We will discuss  $LL(1)$  parser.



# FIRST and FOLLOW

- The construction of both top-down and bottom-up parsers is aided by two functions, FIRST and FOLLOW, associated with a grammar  $G$ .
- During top-down parsing, FIRST and FOLLOW allow us to choose which production to apply, based on the next input symbol.
- During panic-mode error recovery, sets of tokens produced by FOLLOW can be used as synchronizing tokens.



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# FIRST and FOLLOW

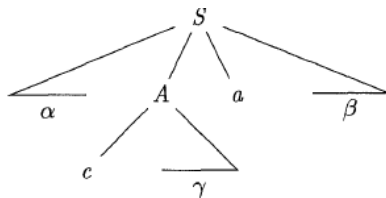
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# FIRST and FOLLOW — *continued*

- Define  $\text{FIRST}(\alpha)$ , where  $\alpha$  is any string of grammar symbols, to be the set of terminals that begin strings derived from  $\alpha$ .
- If  $\alpha \Rightarrow \epsilon$ , then  $\epsilon$  is also in  $\text{FIRST}(\alpha)$ .
- For example, in figure  $A \Rightarrow c\gamma$ , so  $c$  is in  $\text{FIRST}(A)$ .



Terminal  $c$  is in  $\text{FIRST}(A)$  and  $a$  is in  $\text{FOLLOW}(A)$



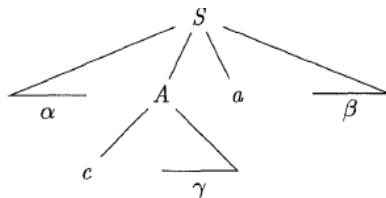
# FIRST and FOLLOW — *continued*

- Let us see how FIRST can be used during predictive parsing.
- Consider two  $A$ -productions  $A \rightarrow \alpha \mid \beta$ , where  $\text{FIRST}(\alpha)$  and  $\text{FIRST}(\beta)$  are disjoint sets.
- We can then choose between these  $A$ -productions by looking at the next input symbol  $a$ , since  $a$  can be in at most one of  $\text{FIRST}(\alpha)$  and  $\text{FIRST}(\beta)$ , not both.
- For instance, if  $a$  is in  $\text{FIRST}(\beta)$  choose the production  $A \rightarrow \beta$ .



# FIRST and FOLLOW — *continued*

- Define FOLLOW( $A$ ), nonterminal  $A$ , to be the set of terminals  $a$  that can appear immediately to the right of  $A$  in some sentential form.
- That is, the set of terminals  $a$  such that there exists a derivation of the form  $S \Rightarrow \alpha A a \beta$ , for some  $\alpha$  and  $\beta$ .
- Note that there may have been symbols between  $A$  and  $a$ , at some time during the derivation, but if so, they derived  $\epsilon$  and disappeared.



Terminal  $c$  is in FIRST( $A$ ) and  $a$  is in FOLLOW( $A$ )



# FIRST and FOLLOW — *continued*

- In addition, if  $A$  can be the rightmost symbol in some sentential form, then  $\$$  is in  $\text{FOLLOW}(A)$ .
- Recall that  $\$$  is a special “endmarker” symbol that is assumed not to be a symbol of any grammar.



# FIRST and FOLLOW — *continued*

To compute  $\text{FIRST}(X)$  for all grammar symbols  $X$ , apply the following rules until no more terminals or  $\epsilon$  can be added to any  $\text{FIRST}$  set.

1. For a production rule  $X \rightarrow \epsilon$ ,  $\text{First}(X) = \{ \epsilon \}$
2. For any terminal symbol 'a',  $\text{First}(a) = \{ a \}$
3. For a production rule  $X \rightarrow Y_1 Y_2 Y_3$ ,
  - If  $\epsilon \notin \text{First}(Y_1)$ , then  $\text{First}(X) = \text{First}(Y_1)$
  - If  $\epsilon \in \text{First}(Y_1)$ , then  $\text{First}(X) = \{ \text{First}(Y_1) - \epsilon \} \cup \text{First}(Y_2 Y_3)$
  - Then, If  $\epsilon \notin \text{First}(Y_2)$ , then  $\text{First}(Y_2 Y_3) = \text{First}(Y_2)$
  - If  $\epsilon \in \text{First}(Y_2)$ , then  $\text{First}(Y_2 Y_3) = \{ \text{First}(Y_2) - \epsilon \} \cup \text{First}(Y_3)$
  - Similarly, we can make expansion for any production rule  $X \rightarrow Y_1 Y_2 Y_3 \dots Y_n$ .



# FIRST and FOLLOW — *continued*

For a production rule  $X \rightarrow Y_1 Y_2 Y_3$ ,

- If  $\epsilon \notin \text{First}(Y_1)$ , then  $\text{First}(X) = \text{First}(Y_1)$
- If  $\epsilon \in \text{First}(Y_1)$ , then  $\text{First}(X) = \{ \text{First}(Y_1) - \epsilon \} \cup \text{First}(Y_2 Y_3)$
- Then, If  $\epsilon \notin \text{First}(Y_2)$ , then  $\text{First}(Y_2 Y_3) = \text{First}(Y_2)$
- If  $\epsilon \in \text{First}(Y_2)$ , then  $\text{First}(Y_2 Y_3) = \{ \text{First}(Y_2) - \epsilon \} \cup \text{First}(Y_3)$
- Similarly, we can make expansion for any production rule  $X \rightarrow Y_1 Y_2 Y_3 \dots Y_n$ .

- 
- For example, everything in  $\text{FIRST}(Y_1)$  is surely in  $\text{FIRST}(X)$ .
  - If  $Y_1$  does not derive  $\epsilon$ , then we add nothing more to  $\text{FIRST}(X)$ , but if  $Y_1 \Rightarrow^* \epsilon$ , then we add  $\text{FIRST}(Y_2)$  and so on.



# FIRST and FOLLOW — *continued*

To compute FOLLOW( $A$ ) for all nonterminals  $A$ , apply the following rules until nothing can be added to any FOLLOW set.

1. For the start symbol  $S$ , place  $\$$  in Follow( $S$ ).
2. For any production rule  $A \rightarrow \alpha B$ , Follow( $B$ ) = Follow( $A$ )
3. For any production rule  $A \rightarrow \alpha B \beta$ ,
  - If  $\epsilon \notin \text{First}(\beta)$ , then Follow( $B$ ) = First( $\beta$ )
  - If  $\epsilon \in \text{First}(\beta)$ , then Follow( $B$ ) = { First( $\beta$ ) -  $\epsilon$  }  $\cup$  Follow( $A$ )



# FIRST and FOLLOW — *continued*

- $\epsilon$  may appear in the FIRST function of a non-terminal.
- $\epsilon$  will never appear in the FOLLOW function of a non-terminal.
- Before calculating the FIRST and FOLLOW functions, eliminate Left Recursion from the grammar, if present.





# Example

1. For a production rule  $X \rightarrow \epsilon$ ,  $\text{First}(X) = \{ \epsilon \}$
2. For any terminal symbol 'a',  $\text{First}(a) = \{ a \}$
3. For a production rule  $X \rightarrow Y_1 Y_2 Y_3$ ,
  - If  $\epsilon \notin \text{First}(Y_1)$ , then  $\text{First}(X) = \text{First}(Y_1)$
  - If  $\epsilon \in \text{First}(Y_1)$ , then  $\text{First}(X) = \{ \text{First}(Y_1) - \epsilon \} \cup \text{First}(Y_2 Y_3)$
  - Then, If  $\epsilon \notin \text{First}(Y_2)$ , then  $\text{First}(Y_2 Y_3) = \text{First}(Y_2)$
  - If  $\epsilon \in \text{First}(Y_2)$ , then  $\text{First}(Y_2 Y_3) = \{ \text{First}(Y_2) - \epsilon \} \cup \text{First}(Y_3)$
  - Similarly, we can make expansion for any production rule  $X \rightarrow Y_1 Y_2 Y_3 \dots Y_n$ .

Grammar,

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid \text{id}$$

Then,

$$\text{FIRST}(E) = \text{FIRST}(T) = \text{FIRST}(F) = \{ \text{id} \}$$

$$\text{FIRST}(E') = \{ +, \epsilon \}$$

$$\text{FIRST}(T') = \{ *, \epsilon \}$$



# Example

1.  $\text{FIRST}(F) = \text{FIRST}(T) = \text{FIRST}(E) = \{\downarrow \text{id}\}$ .
  - To see why, note that the two productions for  $F$  have bodies that start with these two terminal symbols, **id** and the left parenthesis.
  - $T$  has only one production, and its body starts with  $F$ .
  - Since  $F$  does not derive  $\epsilon$ ,  $\text{FIRST}(T)$  must be the same as  $\text{FIRST}(F)$ .
  - The same argument covers  $\text{FIRST}(E)$ .

Grammar,

$$\begin{aligned} E &\rightarrow TE' \\ E' &\rightarrow +TE' \mid \epsilon \\ T &\rightarrow FT' \\ T' &\rightarrow *FT' \mid \epsilon \\ F &\rightarrow (E) \mid \text{id} \end{aligned}$$

Then,

$$\begin{aligned} \text{FIRST}(E) &= \text{FIRST}(T) = \text{FIRST}(F) = \{\downarrow \text{id}\} \\ \text{FIRST}(E') &= \{+, \epsilon\} \\ \text{FIRST}(T') &= \{*, \epsilon\} \end{aligned}$$


# Example

2.  $\text{FIRST}(E') = \{+, \epsilon\}$ .

- The reason is that one of the two productions for  $E'$  has a body that begins with terminal  $+$ , and the other's body is  $\epsilon$ .
- Whenever a nonterminal derives  $\epsilon$ , we place  $\epsilon$  in FIRST for that nonterminal.

---

Grammar,

$$\begin{aligned} E &\rightarrow TE' \\ E' &\rightarrow +TE' \mid \epsilon \\ T &\rightarrow FT' \\ T' &\rightarrow *FT' \mid S \\ F &\rightarrow (E) \mid \text{id} \end{aligned}$$

Then,

$$\begin{aligned} \text{FIRST}(E) &= \text{FIRST}(T) = \text{FIRST}(F) = \{\text{id}\} \\ \text{FIRST}(E') &= \{+, \epsilon\} \\ \text{FIRST}(T') &= \{*, \epsilon\} \end{aligned}$$


# Example

3.  $\text{FIRST}(T') = \{*, \epsilon\}$ .

- The reasoning is analogous to that for  $\text{FIRST}(E')$ .

---

Grammar,

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid s$$

$$F \rightarrow (E) \mid \text{id}$$

Then,

$$\text{FIRST}(E) = \text{FIRST}(T) = \text{FIRST}(F) = \{\text{id}\}$$

$$\text{FIRST}(E') = \{+, \epsilon\}$$

$$\text{FIRST}(T') = \{*, \epsilon\}$$



## Example — *continued*

### ■ Grammar:

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid \text{id} \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

### ■ Computation of FOLLOW:

FOLLOW( $E$ )	FOLLOW( $E'$ )	FOLLOW( $T$ )	FOLLOW( $T'$ )	FOLLOW( $F$ )
---------------	----------------	---------------	----------------	---------------

*Initially all sets are empty*

--	--	--	--	--

*Put \$ in FOLLOW( $E$ ) by rule (1) (Place \$ in FOLLOW( $S$ ), where  $S$  is the start symbol and \$ is the input right endmarker)*

\$				
----	--	--	--	--



## Example — continued

$$\begin{array}{lll}
 E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid \text{id} \\
 E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon &
 \end{array}$$

$$\text{FIRST}(E) = \text{FIRST}(T) = \text{FIRST}(F) = \{ (, \text{id} \}, \text{FIRST}(E') = \{ +, \epsilon \}, \text{FIRST}(T') = \{ *, \epsilon \}$$

By rule (3) (If there is a production  $A \rightarrow aB\beta$ , then everything in  $\text{FIRST}(\beta)$  except for  $\epsilon$  is placed in  $\text{FOLLOW}(B)$ ) applied to,

$E \rightarrow TE'$ :  $\text{FIRST}(E')$  except  $\epsilon$  i.e.  $\{ + \}$  are in  $\text{FOLLOW}(T)$

$E' \rightarrow +TE'$ :  $\text{FIRST}(E')$  except  $\epsilon$  i.e.  $\{ + \}$  are in  $\text{FOLLOW}(T)$

$T \rightarrow FT'$ :  $\text{FIRST}(T')$  except  $\epsilon$  i.e.  $\{ * \}$  are in  $\text{FOLLOW}(F)$

$T \rightarrow *FT'$ :  $\text{FIRST}(T')$  except  $\epsilon$  i.e.  $\{ * \}$  are in  $\text{FOLLOW}(F)$

$F \rightarrow (E)$ :  $\text{FIRST}()$  i.e.  $\{ \}$  are in  $\text{FOLLOW}(E)$

$\text{FOLLOW}(E)$	$\text{FOLLOW}(E')$	$\text{FOLLOW}(T)$	$\text{FOLLOW}(T')$	$\text{FOLLOW}(F)$
\$, )		+		*

Rule (2) is not applicable any more since it depends only on  $\text{FIRST}$ , which are now stable sets.



# Example — continued

**Application of rule (3)** (If there is a production  $A \rightarrow aB$ , or a production  $A \rightarrow aB\beta$  where  $FIRST(\beta)$  contains  $s$  (i.e.,  $\beta \Rightarrow^* \epsilon$ ), then everything in  $FOLLOW(A)$  is in  $FOLLOW(B)$ )

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid id \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
\$, )		+		*

$E \rightarrow TE'$ : Everything in  $FOLLOW(E)$  are in  $FOLLOW(E')$

\$, )	\$, )	+		*
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$E' \rightarrow +TE'$  (also  $\epsilon$  is in  $FIRST(E')$ ): Everything in  $FOLLOW(E')$  are in  $FOLLOW(T)$

\$, )	\$, )	+, \$, )		*
-------	-------	----------	--	---

$T \rightarrow FT'$ : Everything in  $FOLLOW(T)$  are in  $FOLLOW(T')$

\$, )	\$, )	+, \$, )	+, \$, )	*
-------	-------	----------	----------	---



# Example — continued

**Application of rule (3)** (If there is a production  $A \rightarrow aB$ , or a production  $A \rightarrow aB\beta$  where  $FIRST(\beta)$  contains  $s$  (i.e.,  $\beta \Rightarrow^* \epsilon$ ), then everything in  $FOLLOW(A)$  is in  $FOLLOW(B)$ )

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid id \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
\$, )		+		*

$E \rightarrow TE'$ : Everything in  $FOLLOW(E)$  are in  $FOLLOW(E')$

\$, )	\$, )	+		*
-------	-------	---	--	---

$E' \rightarrow +TE'$  (also  $\epsilon$  is in  $FIRST(E')$ ): Everything in  $FOLLOW(E')$  are in  $FOLLOW(T)$

\$, )	\$, )	+, \$, )		*
-------	-------	----------	--	---

$T \rightarrow FT'$ : Everything in  $FOLLOW(T)$  are in  $FOLLOW(T')$

\$, )	\$, )	+, \$, )	+, \$, )	*
-------	-------	----------	----------	---





# Example — continued

**Application of rule (3)** (If there is a production  $A \rightarrow aB$ , or a production  $A \rightarrow aB\beta$  where  $FIRST(\beta)$  contains  $s$  (i.e.,  $\beta \Rightarrow^* \epsilon$ ), then everything in  $FOLLOW(A)$  is in  $FOLLOW(B)$ )

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid id \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
\$, )		+		*

$E \rightarrow TE'$ : Everything in  $FOLLOW(E)$  are in  $FOLLOW(E')$

\$, )	\$, )	+		*
-------	-------	---	--	---

$E' \rightarrow +TE'$  (also  $\epsilon$  is in  $FIRST(E')$ ): Everything in  $FOLLOW(E')$  are in  $FOLLOW(T)$

\$, )	\$, )	+, \$, )		*
-------	-------	----------	--	---

$T \rightarrow FT'$ : Everything in  $FOLLOW(T)$  are in  $FOLLOW(T')$

\$, )	\$, )	+, \$, )	+, \$, )	*
-------	-------	----------	----------	---



# Example — continued

**Application of rule (3)** (If there is a production  $A \rightarrow aB$ , or a production  $A \rightarrow aB\beta$  where  $FIRST(\beta)$  contains  $s$  (i.e.,  $\beta \xRightarrow{*} \epsilon$ ), then everything in  $FOLLOW(A)$  is in  $FOLLOW(B)$ )

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid id \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
\$, )		+		*

$E \rightarrow TE'$ : Everything in  $FOLLOW(E)$  are in  $FOLLOW(E')$

\$, )	\$, )	+		*
-------	-------	---	--	---

$E' \rightarrow +TE'$  (also  $\epsilon$  is in  $FIRST(E')$ ): Everything in  $FOLLOW(E')$  are in  $FOLLOW(T)$

\$, )	\$, )	+, \$, )		*
-------	-------	----------	--	---

$T \rightarrow FT'$ : Everything in  $FOLLOW(T)$  are in  $FOLLOW(T')$

\$, )	\$, )	+, \$, )	+, \$, )	*
-------	-------	----------	----------	---



# Example — continued

**Application of rule (3)** (If there is a production  $A \rightarrow aB$ , or a production  $A \rightarrow aB\beta$  where  $FIRST(\beta)$  contains  $s$  (i.e.,  $\beta \xRightarrow{*} \epsilon$ ), then everything in  $FOLLOW(A)$  is in  $FOLLOW(B)$ )

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid id \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
\$, )		+		*

$E \rightarrow TE'$ : Everything in  $FOLLOW(E)$  are in  $FOLLOW(E')$

\$, )	\$, )	+		*
-------	-------	---	--	---

$E' \rightarrow +TE'$  (also  $\epsilon$  is in  $FIRST(E')$ ): Everything in  $FOLLOW(E')$  are in  $FOLLOW(T)$

\$, )	\$, )	+, \$, )		*
-------	-------	----------	--	---

$T \rightarrow FT'$ : Everything in  $FOLLOW(T)$  are in  $FOLLOW(T')$

\$, )	\$, )	+, \$, )	+, \$, )	*
-------	-------	----------	----------	---



# Example — continued

**Application of rule (3)** (If there is a production  $A \rightarrow aB$ , or a production  $A \rightarrow aB\beta$  where  $FIRST(\beta)$  contains  $s$  (i.e.,  $\beta \xRightarrow{*} \epsilon$ ), then everything in  $FOLLOW(A)$  is in  $FOLLOW(B)$ )

$$\begin{array}{lll} E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid id \\ E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon & \end{array}$$

$FOLLOW(E)$	$FOLLOW(E')$	$FOLLOW(T)$	$FOLLOW(T')$	$FOLLOW(F)$
$\$, )$	$\$, )$	$+, \$, )$	$+, \$, )$	$*$

$T' \rightarrow *FT'$  (also  $s \in FIRST(T')$ ): Everything in  $FOLLOW(T')$  are in  $FOLLOW(F)$

$\$, )$	$\$, )$	$+, \$, )$	$+, \$, )$	$*, +, \$, )$
---------	---------	------------	------------	---------------

We can try applying Rule (3) again, but will find that the sets have stabilized (nothing can be added to any  $FOLLOW$  set).



# Practice problems- FIRST and FOLLOW

## Problem-1 :

$S \rightarrow ACB \mid CbB \mid Ba$

$A \rightarrow da \mid BC$

$B \rightarrow g \mid \epsilon$

$C \rightarrow h \mid \epsilon$

## Problem-2 :

$S \rightarrow (L) \mid a$

$L \rightarrow SL'$

$L' \rightarrow ,SL' \mid \epsilon$

## Problem-3:

$S \rightarrow A$

$A \rightarrow aB \mid Ad$

$B \rightarrow b$

$C \rightarrow g$

**HINT:**

This grammar on problem-3 is left recursive,  
you must eliminate left recursion before finding  
FIRST.



# LL(1) Grammars

- Predictive parsers, that is, recursive-descent parsers needing no backtracking, can be constructed for a class of grammars called LL(1).
- The first “L” in LL(1) stands for scanning the input from left to right.
- The second “L” for producing a leftmost derivation.
- And the “1” for using one input symbol of lookahead at each step to make parsing action decisions.



# Algorithm for Construction of a Predictive Parsing Table

**INPUT:** Grammar  $G$ .

**OUTPUT:** Parsing table  $M$ .

**METHOD:** For each production  $A \rightarrow \alpha$  of the grammar, do the following:

1. For each terminal  $a$  in  $\text{FIRST}(\alpha)$ , add  $A \rightarrow \alpha$  to  $M[A, a]$ .
2. If  $\epsilon$  is in  $\text{FIRST}(\alpha)$ , then for each terminal  $b$  in  $\text{FOLLOW}(A)$ , add  $A \rightarrow \alpha$  to  $M[A, b]$ .  
If  $\epsilon$  is in  $\text{FIRST}(\alpha)$  and  $\$$  is in  $\text{FOLLOW}(A)$ , add  $A \rightarrow \alpha$  to  $M[A, \$]$  as well.

If, after performing the above, there is no production at all in  $M[A, a]$ , then set  $M[A, a]$  to **error** (which we normally represent by an empty entry in the table).



# Example

- For the expression grammar below,

$$\begin{array}{lll}
 E \rightarrow TE' & T \rightarrow FT' & F \rightarrow (E) \mid \text{id} \\
 E' \rightarrow +TE' \mid \epsilon & T' \rightarrow *FT' \mid \epsilon &
 \end{array}$$

$$\text{FIRST}(E) = \text{FIRST}(T) = \text{FIRST}(F) = \{\text{id}\}$$

$$\text{FIRST}(E') = \{+, \epsilon\}$$

$$\text{FIRST}(T') = \{*, \epsilon\}$$

FOLLOW(E)	FOLLOW(E')	FOLLOW(T)	FOLLOW(T')	FOLLOW(F)
\$, )	\$, )	+, \$, )	+, \$, )	*, +, \$, )

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	(	)	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow \text{id}$			$F \rightarrow (E)$		





For each production  $A \rightarrow \alpha$  of the grammar, do the following:

1. For each terminal  $a$  in  $\text{FIRST}(\alpha)$ , add  $A \rightarrow \alpha$  to  $M[A, a]$ .
2. If  $\epsilon$  is in  $\text{FIRST}(\alpha)$ , then for each terminal  $b$  in  $\text{FOLLOW}(A)$ , add  $A \rightarrow \alpha$  to  $M[A, b]$ .  
If  $\epsilon$  is in  $\text{FIRST}(\alpha)$  and  $\$$  is in  $\text{FOLLOW}(A)$ , add  $A \rightarrow \alpha$  to  $M[A, \$]$  as well.

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	(	)	\$
$E$	$E \rightarrow TE'$			$E \rightarrow TE'$		
$E'$		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
$T$	$T \rightarrow FT'$			$T \rightarrow FT'$		
$T'$		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
$F$	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

■ Consider production  $E \rightarrow TE'$ .

■ Since

$$\text{FIRST}(TE') = \text{FIRST}(T) = \{(\text{id})\}$$

this production is added to  $M[E, (]$  and  $M[E, \text{id}]$ .

■ Production  $E' \rightarrow +TE'$  is added to  $M[E', +]$  since  $\text{FIRST}(+TE') = \{+\}$ .

■ Since  $\text{FOLLOW}(E') = \{), \$\}$ , production  $E' \rightarrow \epsilon$  is added to  $M[E', )]$  and  $M[E', \$]$

## Algorithm ... Predictive Parsing Table — *continued*

- The aforementioned algorithm can be applied to any grammar  $G$  to produce a parsing table  $M$ .
- For every LL(1) grammar, each parsing-table entry uniquely identifies a production or signals an error.



## Algorithm ... Predictive Parsing Table — *continued*

- For some grammars, however,  $M$  may have some entries that are multiply defined.
- For example, if  $G$  is left-recursive or ambiguous, then  $M$  will have at least one multiply defined entry.
- Although left-recursion elimination and left factoring are easy to do, there are some grammars for which no amount of alteration will produce an LL(1) grammar.
- The language in the following example has no LL(1) grammar at all.



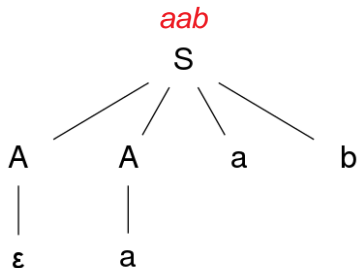
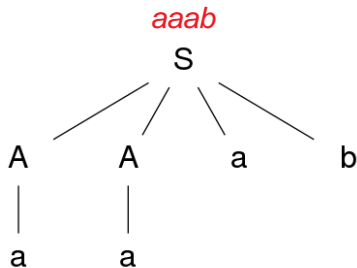
# LL(1) Grammars — *continued*

A grammar  $G$  is LL(1) if and only if whenever  $A \rightarrow \alpha \mid \beta$  are two distinct productions of  $G$  the following conditions hold:

1. For no terminal  $a$  do both  $\alpha$  and  $\beta$  derive strings beginning with  $a$ . Meaning,  $\text{FIRST}(\alpha)$  and  $\text{FIRST}(\beta)$  needs to be disjoint sets.
2. At most one of  $\alpha$  and  $\beta$  can derive the empty string.
3. If  $\beta \xRightarrow{*} \epsilon$  then  $\text{FIRST}(\alpha)$  and  $\text{FOLLOW}(A)$  needs to be disjoint. Likewise,  $\alpha \xRightarrow{*} \epsilon$ , then  $\text{FIRST}(\beta)$  and  $\text{FOLLOW}(A)$  needs to be disjoint.



# A Case of a non-LL(1) Grammar

$$S \rightarrow AAab \mid BbBa$$
$$A \rightarrow a \mid \epsilon$$
$$B \rightarrow b \mid \epsilon$$


## Example — continued

$$S \rightarrow iEtSS' \mid a$$

$$S' \rightarrow eS \mid \epsilon$$

$$E \rightarrow b$$

NON - TERMINAL	INPUT SYMBOL					
	<i>a</i>	<i>b</i>	<i>e</i>	<i>i</i>	<i>t</i>	\$
<i>S</i>	$S \rightarrow a$			$S \rightarrow iEtSS'$		
<i>S'</i>			$S' \rightarrow \epsilon$ $S' \rightarrow eS$			$S' \rightarrow \epsilon$
<i>E</i>		$E \rightarrow b$				



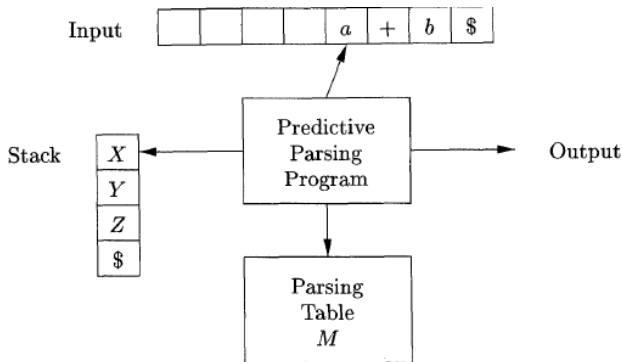
# Nonrecursive Predictive Parsing

- A nonrecursive predictive parser can be built by maintaining a stack explicitly, rather than implicitly via recursive calls.
- The parser mimics a leftmost derivation.
- If  $w$  is the input that has been matched so far, then the stack holds a sequence of grammar symbols  $a$  such that

$$S \xRightarrow[lm]{*} wa$$



# Nonrecursive Predictive Parsing

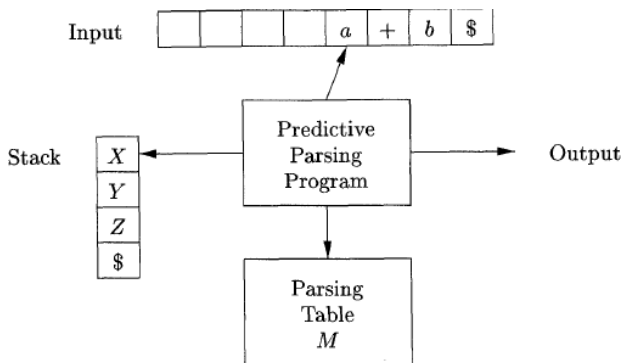


Model of a table-driven predictive parser

- The table-driven parser in figure has an input buffer, a stack containing a sequence of grammar symbols, a parsing table constructed by algorithm, and an output stream.



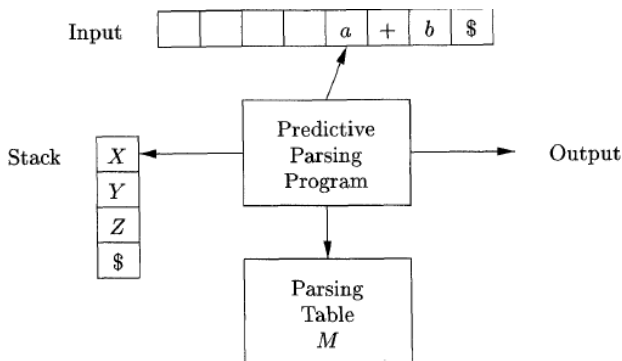
# Nonrecursive Predictive Parsing



Model of a table-driven predictive parser

- The input buffer contains the string to be parsed, followed by the endmarker \$.
- We reuse the symbol \$ to mark the bottom of the stack, which initially contains the start symbol of the grammar on top of \$.

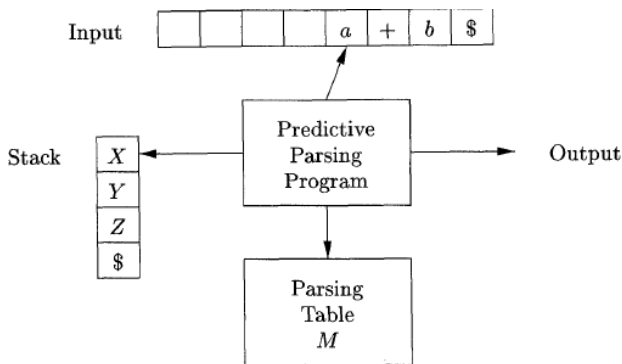
# Nonrecursive Predictive Parsing



Model of a table-driven predictive parser

- The parser is controlled by a program that considers *X*, the symbol on top of the stack, and *a*, the current input symbol.

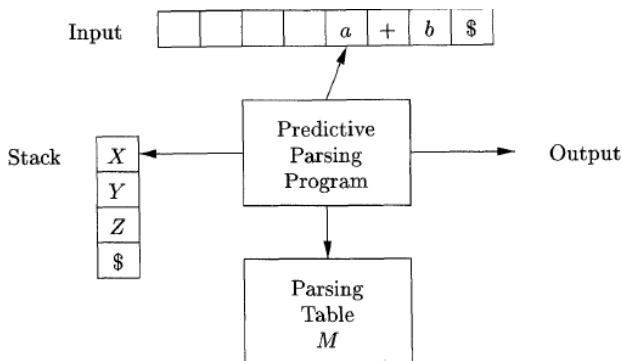
# Nonrecursive Predictive Parsing



Model of a table-driven predictive parser

- If *X* is a nonterminal, the parser chooses an *X*-production by consulting entry  $M[X, a]$  of the parsing table *M*.
- Additional code could be executed here, for example, code to construct a node in a parse tree.

# Nonrecursive Predictive Parsing



Model of a table-driven predictive parser

- Otherwise, it checks for a match between the terminal *X* and current input symbol *a*.

# Nonrecursive Predictive Parsing — *continued*

```
set ip to point to the first symbol of w;  
set X to the top stack symbol;  
while (  $X \neq \$$  ) { /* stack is not empty */  
    if ( X is a ) pop the stack and advance ip;  
    else if ( X is a terminal ) error();  
    else if (  $M[X, a]$  is an error entry ) error();  
    else if (  $M[X, a] = X \rightarrow Y_1 Y_2 \cdots Y_k$  ) {  
        output the production  $X \rightarrow Y_1 Y_2 \cdots Y_k$ ;  
        pop the stack;  
        push  $Y_k, Y_{k-1}, \dots, Y_1$  onto the stack, with  $Y_1$  on top;  
    }  
    set X to the top stack symbol;  
}
```

Predictive parsing algorithm



# Example

- We consider grammar:

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid s$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid s$$

$$F \rightarrow (E) \mid \text{id}$$

- We have already seen its parsing table.

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	(	)	\$
$E$	$E \rightarrow TE'$			$E \rightarrow TE'$		
$E'$		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
$T$	$T \rightarrow FT'$			$T \rightarrow FT'$		
$T'$		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
$F$	$F \rightarrow \text{id}$			$F \rightarrow (E)$		



# Example

- On input **id + id \* id**, the nonrecursive predictive parser algorithm makes the sequence of moves,

MATCHED	STACK	INPUT	ACTION
	<i>E</i> \$	<b>id + id * id</b> \$	
	<i>TE'</i> \$	<b>id + id * id</b> \$	output <i>E</i> → <i>TE'</i>
	<i>FT'E'</i> \$	<b>id + id * id</b> \$	output <i>T</i> → <i>FT'</i>
	<b>id</b> <i>T'E'</i> \$	<b>id + id * id</b> \$	output <i>F</i> → <b>id</b>
<b>id</b>	<i>T'E'</i> \$	<b>+ id * id</b> \$	match <b>id</b>
<b>id</b>	<i>E'</i> \$	<b>+ id * id</b> \$	output <i>T'</i> → $\epsilon$
<b>id</b>	<b>+</b> <i>TE'</i> \$	<b>+ id * id</b> \$	output <i>E'</i> → <b>+</b> <i>TE'</i>
<b>id +</b>	<i>TE'</i> \$	<b>id * id</b> \$	match <b>+</b>
<b>id +</b>	<i>FT'E'</i> \$	<b>id * id</b> \$	output <i>T</i> → <i>FT'</i>
<b>id +</b>	<b>id</b> <i>T'E'</i> \$	<b>id * id</b> \$	output <i>F</i> → <b>id</b>
<b>id + id</b>	<i>T'E'</i> \$	<b>* id</b> \$	match <b>id</b>
<b>id + id</b>	<b>*</b> <i>FT'E'</i> \$	<b>* id</b> \$	output <i>T'</i> → <b>*</b> <i>FT'</i>
<b>id + id *</b>	<i>FT'E'</i> \$	<b>id</b> \$	match <b>*</b>
<b>id + id *</b>	<b>id</b> <i>T'E'</i> \$	<b>id</b> \$	output <i>F</i> → <b>id</b>
<b>id + id * id</b>	<i>T'E'</i> \$	<b>\$</b>	match <b>id</b>
<b>id + id * id</b>	<i>E'</i> \$	<b>\$</b>	output <i>T'</i> → $\epsilon$
<b>id + id * id</b>	<b>\$</b>	<b>\$</b>	output <i>E'</i> → $\epsilon$

Moves made by a predictive parser on input **id + id \* id**

# Example

- These moves correspond to a leftmost derivation,

$$E \Rightarrow_{ln} TE' \Rightarrow_{ln} FT'E' \Rightarrow_{ln} idT'E' \Rightarrow_{ln} idE' \Rightarrow_{ln} id + TE' \Rightarrow_{ln} \dots$$

MATCHED	STACK	INPUT	ACTION
	$E\$$	$id + id * id\$$	
	$TE'\$$	$id + id * id\$$	output $E \rightarrow TE'$
	$FT'E'\$$	$id + id * id\$$	output $T \rightarrow FT'$
	$id T'E'\$$	$id + id * id\$$	output $F \rightarrow id$
$id$	$T'E'\$$	$+ id * id\$$	match $id$
$id$	$E'\$$	$+ id * id\$$	output $T' \rightarrow \epsilon$
$id$	$+ TE'\$$	$+ id * id\$$	output $E' \rightarrow + TE'$
$id +$	$TE'\$$	$id * id\$$	match $+$
$id +$	$FT'E'\$$	$id * id\$$	output $T \rightarrow FT'$
$id +$	$id T'E'\$$	$id * id\$$	output $F \rightarrow id$
$id + id$	$T'E'\$$	$* id\$$	match $id$
$id + id$	$* FT'E'\$$	$* id\$$	output $T' \rightarrow * FT'$
$id + id *$	$FT'E'\$$	$id\$$	match $*$
$id + id *$	$id T'E'\$$	$id\$$	output $F \rightarrow id$
$id + id * id$	$T'E'\$$	$\$$	match $id$
$id + id * id$	$E'\$$	$\$$	output $T' \rightarrow \epsilon$
$id + id * id$	$\$$	$\$$	output $E' \rightarrow \epsilon$

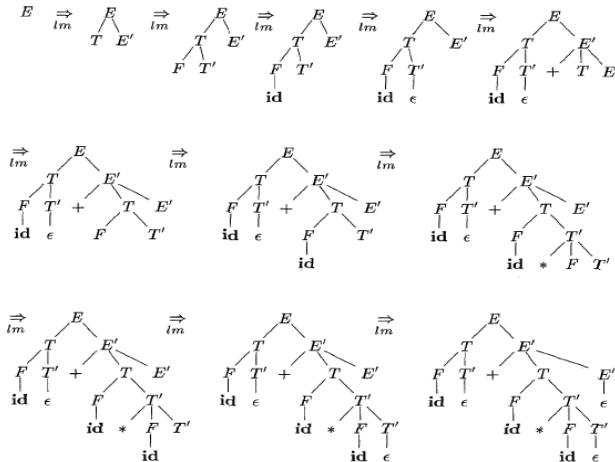
Moves made by a predictive parser on input  $id + id * id$



# Example

These moves correspond to a leftmost derivation,

$$E \Rightarrow_{lm} TE' \Rightarrow_{lm} FT'E' \Rightarrow_{lm} idT'E' \Rightarrow_{lm} idE' \Rightarrow_{lm} id + TE' \Rightarrow_{lm} \dots$$



Top-down parse for **id + id \* id**



## Example — continued

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	(	)	\$
$E$	$E \rightarrow TE'$			$E \rightarrow TE'$		
$E'$		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
$T$	$T \rightarrow FT'$			$T \rightarrow FT'$		
$T'$		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
$F$	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

STACK	INPUT	ACTION
$E\$$	<b>id</b> +id id\$	
$\uparrow$ $TE' \$$	$\uparrow$ <b>id</b> +id id\$	output $E \rightarrow TE'$



## Example — continued

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	(	)	\$
$E$	$E \rightarrow TE'$			$E \rightarrow TE'$		
$E'$		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
$T$	$T \rightarrow FT'$			$T \rightarrow FT'$		
$T'$		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
$F$	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

STACK	INPUT	ACTION
$TE'S$	$\text{id} + \text{id} * \text{id} \$$	
$FT'E'S$	$\text{id} + \text{id} * \text{id} \$$	output $T \rightarrow FT'$



## Example — continued

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	(	)	\$
$E$	$E \rightarrow TE'$			$E \rightarrow TE'$		
$E'$		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
$T$	$T \rightarrow FT'$			$T \rightarrow FT'$		
$T'$		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
$F$	$F \rightarrow id$			$F \rightarrow (E)$		

STACK	INPUT	ACTION
$FT'E'S$	$id + id * id \$$	
$idTE'S$	$id + id * id \$$	output $F \rightarrow id$



## Example — *continued*

STACK	INPUT	ACTION
<b>id</b> <i>T'E'\$</i> ↑	<b>id +id *id\$</b> ↑	match <b>id</b>

*Both are terminals and match. So,  
popped from the stack and input  
pointer advanced*

<i>T'E'\$</i>	<b>+id *id\$</b> ↑
---------------	-----------------------



## Example — continued

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	(	)	\$
$E$	$E \rightarrow TE'$			$E \rightarrow TE'$		
$E'$		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
$T$	$T \rightarrow FT'$			$T \rightarrow FT'$		
$T'$		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
$F$	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

STACK	INPUT	ACTION
$T'E'\$$	$+\text{id} * \text{id} \$$	
$E'\$$	$+\text{id} * \text{id} \$$	output $T' \rightarrow \epsilon$



## Example — *continued*

...

...

...



## Example — continued

NON - TERMINAL	INPUT SYMBOL					
	id	+	*	(	)	\$
$E$	$E \rightarrow TE'$			$E \rightarrow TE'$		
$E'$		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
$T$	$T \rightarrow FT'$			$T \rightarrow FT'$		
$T'$		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
$F$	$F \rightarrow \text{id}$			$F \rightarrow (E)$		

STACK	INPUT	ACTION
$E\$$	$\$$	
$\uparrow$ $\$$	$\uparrow$ $\$$	output $E' \rightarrow \epsilon$





## Example — *continued*

STACK	INPUT	ACTION
\$ ↑	\$ ↑	

*Both are \$, the parser halts and announces successful completion of parsing.*



# Example

MATCHED	STACK	INPUT	ACTION
	$E\$$	$\text{id} + \text{id} * \text{id}\$$	
	$TE'\$$	$\text{id} + \text{id} * \text{id}\$$	output $E \rightarrow TE'$
	$FT'E'\$$	$\text{id} + \text{id} * \text{id}\$$	output $T \rightarrow FT'$
	$\text{id } T'E'\$$	$\text{id} + \text{id} * \text{id}\$$	output $F \rightarrow \text{id}$
$\text{id}$	$T'E'\$$	$+ \text{id} * \text{id}\$$	match $\text{id}$
$\text{id}$	$E'\$$	$+ \text{id} * \text{id}\$$	output $T' \rightarrow \epsilon$
$\text{id}$	$+ TE'\$$	$+ \text{id} * \text{id}\$$	output $E' \rightarrow + TE'$
$\text{id} +$	$TE'\$$	$\text{id} * \text{id}\$$	match $+$
$\text{id} +$	$FT'E'\$$	$\text{id} * \text{id}\$$	output $T \rightarrow FT'$
$\text{id} +$	$\text{id } T'E'\$$	$\text{id} * \text{id}\$$	output $F \rightarrow \text{id}$
$\text{id} + \text{id}$	$T'E'\$$	$* \text{id}\$$	match $\text{id}$
$\text{id} + \text{id}$	$* FT'E'\$$	$* \text{id}\$$	output $T' \rightarrow * FT'$
$\text{id} + \text{id} *$	$FT'E'\$$	$\text{id}\$$	match $*$
$\text{id} + \text{id} *$	$\text{id } T'E'\$$	$\text{id}\$$	output $F \rightarrow \text{id}$
$\text{id} + \text{id} * \text{id}$	$T'E'\$$	$\$$	match $\text{id}$
$\text{id} + \text{id} * \text{id}$	$E'\$$	$\$$	output $T' \rightarrow \epsilon$
$\text{id} + \text{id} * \text{id}$	$\$$	$\$$	output $E' \rightarrow \epsilon$

Moves made by a predictive parser on input  $\text{id} + \text{id} * \text{id}$

For a leftmost derivation the production rules in the ACTION column (outputs only) are to be used from top to bottom.

Thank you