


## CS 170 HW 1

## 2 (★★★) Analyze the running time

For each pseudo-code snippet below, give the asymptotic running time in  $\Theta$  notation. Assume that basic arithmetic operations (+, -,  $\times$ , and /) are constant time.

(a)    
 for  $i := 1$  to  $n$  do  
    $j := 0$ ;  
   while  $j \leq i$  do  
      $j := j + 2$   
   end  
end


$\Rightarrow$  inner loop executes  $(\frac{i}{2})$  times

~~$$T(n) = \sum_{i=1}^n (\frac{i}{2})$$~~

$$T(n) = \sum_{i=1}^n (\frac{i}{2})$$

$$\underline{\underline{\Theta(n^2)}}$$

$$= \frac{1}{2} (1 + 2 + \dots + n) = \frac{1}{2} \left( \frac{n(n+1)}{2} \right)$$

(b)    
 $s := 0$ ;  
 $i := n$ ;  
 while  $i \geq 1$  do  
    $i := i \text{ div } 2$ ;  
   for  $j := 1$  to  $i$  do  
      $s := s + 1$   
   end  
end


$\Rightarrow$  while loop will execute  $\log n$  times

$\Rightarrow$  for loop will execute  $i$  times

$$\sum_{i=1}^n \left( \frac{n}{2^i} \right) = n \sum_{i=1}^{\log n} \left( \frac{1}{2} \right)^i = n \frac{1 - (\frac{1}{2})^{\log n + 1}}{1 - \frac{1}{2}}$$

$$= 2n \left( 1 - \left( \frac{1}{2} \right)^{\log n + 1} \right)$$

$$= 2n - 2n \left( \frac{1}{2} \right)^{\log n + 1} = \underline{\underline{\Theta(n)}}$$

(c)    
 $i := 2$ ;  
 while  $i \leq n$  do  
    $i := i^2$   
 end

~~let  $x$  be number of times the loop executes~~

~~loop will stop when~~


~~$$2^{2^x} > n$$~~

~~$$2 \times \log_2 2^x > \log n$$~~

~~$$x > \frac{1}{2} \log n$$~~

~~$$\underline{\underline{\Theta(\log n)}}$$~~

$$\underline{\underline{\Theta(\log \log n)}}$$

(d)    
 for  $i := 1$  to  $n$  do  
    $j := i^2$ ;  
   while  $j \leq n$  do  
      $j := j + 1$   
   end  
end

$\Rightarrow$  for loop will execute  $n$  times  
 $\Rightarrow$  while loop will execute  $(n - i^2)$  times

$$\sum_{i=1}^n (n - i^2)$$

$\rightarrow$  note that  $n \geq i^2$ , otherwise while loop won't execute

$\rightarrow$  so while loop will stop executing when  $i > \sqrt{n}$

$$\sum_{i=1}^{\sqrt{n}} (n - i^2) = \sum_{i=1}^{\sqrt{n}} n - \sum_{i=1}^{\sqrt{n}} i^2 = n \cdot \sqrt{n} - \frac{1}{3} n \sqrt{n} = \underline{\underline{\Theta(n\sqrt{n})}}$$

$\Rightarrow$  when  $i \leq \sqrt{n}$ , the while loop runs  $(n - i^2)$  times

- otherwise it stops immediately

$$(n - \sqrt{n}) + \sum_{i=1}^{\sqrt{n}} (n - i^2)$$

# CORRECTIONS

2a  
for  $i=1$  to  $n$  do:  
     $j=0$   
    while  $j \leq i$  do:  
         $j=j+2$

$\Rightarrow$  the inner loop executes  $\frac{i}{2}$  times

$$T(n) = \sum_{i=1}^n \left( \frac{i}{2} \right) = \frac{1}{2} (1+2+\dots+n)$$

$\downarrow$  the for loop       $\uparrow$  while loop

$$= \frac{1}{2} \left( \frac{n(n+1)}{2} \right) = \frac{n^2}{4} + \frac{n}{4}$$

$\Rightarrow$  therefore  $\Theta(n^2)$

2b  
 $s=0$   
 $i=n$   
while  $i \geq 1$  do:  
     $i = \frac{i}{2}$   
    for  $j=1$  to  $i$  do:  
         $s=s+1$

$\Rightarrow$  while loop will execute  $\log n$  times  
-  $\log n$  is the number of times it is possible to divide  $n$  by 2  
 $\Rightarrow$  for loop will execute  $i$ th times  
- during  $k^{\text{th}}$  iteration  $i = \frac{n}{2^k}$

$\Rightarrow$  therefore

$$\sum_{i=1}^{\log n} \left( \frac{n}{2^i} \right) = n \sum_{i=1}^{\log n} \left( \frac{1}{2} \right)^i = n \left( \frac{1 - \left( \frac{1}{2} \right)^{\log n}}{1 - \frac{1}{2}} \right) = 2n \left( 1 - \left( \frac{1}{2} \right)^{\log n} \right)$$

$\downarrow$  while loop

$$= \underline{\underline{\Theta(n)}}$$

2c  
 $i=2$   
while  $i \leq n$  do:  
     $i=i^2$

$\Rightarrow$  since  $i=2$ , when we repeatedly square it will be  $2^{2 \cdot 2 \cdot \dots \cdot 2}$

$\Rightarrow$  in  $k^{\text{th}}$  iteration it will be  $2^{2^k}$

because

iteration	$i^i$
0	2
1	$2^2$
2	$2^4 = 2^{2^2}$
3	$2^8 = 2^{2^3}$
4	$2^{16} = 2^{2^4}$

$\Rightarrow$  while loop will stop when  $i > n$

$$2^{2^k} > n$$

$$2^k \log 2 > \log n$$

$$2^k > \log n$$

$$k \log 2 > \log \log n$$

$$k > \log \log n$$

$\Rightarrow$  while loop will stop after  $k^{\text{th}} = \log \log n$  iteration

$$\underline{\underline{\Theta(\log \log n)}}$$

## CORRECTIONS

2d for  $i=1$  to  $n$  do:  
     $j=i^2$   
    while  $j \leq n$  do:  
         $j=j+1$

Note that

$$\sum_{i=1}^n i^2 \approx \frac{1}{3} n^3$$

$\Rightarrow$  for loop will run  $n$  times

$\Rightarrow$  when  $i \leq \sqrt{n}$ , while loop will run  $(n-i^2)$  times

$\Rightarrow$  when  $i > \sqrt{n}$ , while loop won't run

$$(n - \sqrt{n}) + \sum_{i=1}^{\sqrt{n}} (n - i^2) = n - \sqrt{n} + \sum_{i=1}^{\sqrt{n}} n - \sum_{i=1}^{\sqrt{n}} i^2$$

$$= n - \sqrt{n} + n\sqrt{n} - \frac{1}{3}(\sqrt{n})^3$$

$$= n - \sqrt{n} + n\sqrt{n} - \frac{1}{3}n\sqrt{n} = \underline{\underline{\theta(n\sqrt{n})}}$$

### 3 (★★★) Asymptotic Complexity Comparisons

- (a) Order the following functions so that  $f_i = O(f_j) \iff i \leq j$ . Do not justify your answers.

NOTE

(i)  $f_1(n) = 3^n$

$$f_3 < f_7 < f_2 < f_5 < f_4 < f_9 < f_8 < f_6 < f_1$$
$$12 < \log_2 n < n^{\frac{1}{3}} < \sqrt{n} < n < n^3 < 2^{\sqrt{n}} < 2^n < 3^n$$

(ii)  $f_2(n) = n^{\frac{1}{3}}$

(iii)  $f_3(n) = 12$

(iv)  $f_4(n) = 2^{\log_2 n} = n^{\log_2 2} = n$

(v)  $f_5(n) = \sqrt{n}$

(vi)  $f_6(n) = 2^n$

(vii)  $f_7(n) = \log_2 n$

(viii)  $f_8(n) = 2^{\sqrt{n}}$

(ix)  $f_9(n) = n^3$



b) In each of the following, indicate whether  $f = O(g)$ ,  $f = \Omega(g)$  or both (in which case  $f = \Theta(g)$ ). Briefly explain each of your answers.

Recall that in asymptotic growth rate

logarithmic  $<$  linear  $<$  polynomial  $<$  exponential

$f(n)$        $g(n)$

i)  $\log_3(n)$        $\log_4(n)$  because they are both logarithmic functions (use formula  $f = \frac{\log n}{\log 3}$ ,  $g = \frac{\log n}{\log 4}$ )  
 $\checkmark$   $f = \Theta(g)$   $\hookrightarrow$  differ only by constant factor

ii)  $n \log(n^4)$        $n^2 \log(n^3)$   $f = n \log(n^4) = 4n \log n = \Theta(n \log(n))$   
 $\checkmark$   $f = O(g)$   $g = n^2 \log(n^3) = 3n^2 \log n = \Theta(n^2 \log(n))$

iii)  $\sqrt{n}$        $(\log n)^3$   $\lim_{n \rightarrow \infty} \frac{n^{\frac{1}{2}}}{(\log n)^3} = \frac{\frac{1}{2} n^{-\frac{1}{2}}}{3(\log n)^2 \cdot \frac{1}{n}} = k \cdot \frac{n^{\frac{1}{2}}}{(\log n)^2}$   
 $\checkmark$   $f = \Omega(g)$   $= k \frac{n^{\frac{1}{2}}}{\log n} = \lim_{n \rightarrow \infty} n^{\frac{1}{2}}$  Any polynomial dominates product of logs

iv)  $2^n$        $2^{n+1}$   $f = 2^n = \Theta(2^n)$   
 $\checkmark$   $f = \Theta(g)$   $g = 2^{n+1} = 2 \cdot 2^n = \Theta(2^n)$

v)  $n$        $(\log n)^{\log \log n}$   $n = 2^{\log n}$   $(\log n)^{\log(\log n)} = 2^{(\log(\log n))^2}$   
 $\otimes$   $f = \Omega(g)$   $\rightarrow f$  grows faster than  $g$  since  $\log n$  grows faster than  $(\log(\log n))^2$

vi)  $n + \log n$        $n + (\log n)^2$   $\lim_{n \rightarrow \infty} \left( \frac{n + \log n}{n + (\log n)^2} \right) = \lim_{n \rightarrow \infty} \left( \frac{1 + \frac{1}{n}}{1 + 2 \log n \cdot \frac{1}{n}} \right)$   
 $\checkmark$   $f = \Theta(g)$   $= \frac{\frac{n+1}{n}}{\frac{n+2 \log n}{n}} = \frac{n+1}{n+2 \log n} = \frac{1}{1 + \frac{2 \log n}{n}} = \frac{1}{1 + \frac{2}{n}}$   
 $= \lim_{n \rightarrow \infty} \frac{n}{n+2} = 1 \rightarrow$  linear term dominates

vii)  $\log(n!)$        $n \log n$  Note  $n \log n = \log(n^n)$   
 $\otimes$   ~~$f = \Theta(g)$~~  Since  $n^n$  grows faster than  $n!$   
 $f = \Theta(g)$   $\log(n!) = O(\log n^n)$

# CORRECTIONS

3b (viii)

$$f = \log(n!)$$

$$g = n \log n = \log(n^n)$$

$$n! \leq 1 \cdot 2 \cdot \dots \cdot (n-1) \cdot n \leq n \cdot n \cdot \dots \cdot n \leq n^n$$

$\Rightarrow$  WLOG assume that  $n$  is even

$$n! = 1 \cdot 2 \cdot \dots \cdot n \geq n \cdot (n-1) \cdot \dots \cdot (n - \frac{n}{2}) \geq (\frac{n}{2})^{\frac{n}{2}+1}$$

$\Rightarrow$  therefore

$$(\frac{n}{2})^{\frac{n}{2}} \leq n! < n^n$$

$\Rightarrow$  then

$$\frac{n}{2} \log(\frac{n}{2}) \leq \log(n!) \leq n \log n$$

$\rightarrow$  therefore function grow at the same rate

$$f = \theta(g)$$

# CORRECTIONS

3b (v)  $f = n$

$$g = (\log n)^{\log \log n}$$

Note  $n = 2^{\log n}$

$$(\log n)^{\log \log n} = 2^{(\log \log n)^2}$$

$\Rightarrow$  so  $f$  grows faster than  $g$  since  $\log n$  grows faster than  $(\log \log n)^2$



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ASK



#### 4 (★★) Bit Counter

Consider an  $n$ -bit counter that counts from 0 to  $2^n$ .

When  $n = 5$ , the counter has the following values:

Step	Value	# Bit-Flips
0	00000	-
1	00001	1
2	00010	2
3	00011	1
4	00100	3
$\vdots$	$\vdots$	
31	11111	1
31	00000	5

Alternative solution:

- number of times  $i^{\text{th}}$  bit from left is flipped is  $2^i$

- first bit flipped once

- last bit flipped every time  $2^n$

$\Rightarrow$  total number of flips

$$\sum_{i=1}^n 2^i = 2^{n+1} - 2 = \Theta(2^n)$$

For example, the last two bits flip when the counter goes from 1 to 2. Using  $\Theta(\cdot)$  notation, find the growth of the total number of bit flips (the sum of all the numbers in the "# Bit-Flips" column) as a function of  $n$ .

$n=1$

0	-
1	1
0	1
2	

$n=2$

00	-
01	1
10	2
11	1
00	2
6	

$n=3$

000	-
001	1
010	2
011	1
100	3
101	1
110	2
111	1
000	3
14	
$T(3)$	

$n=4$

0000	-
0001	1
0010	2
0011	1
0100	3
0101	1
0110	2
0111	1
1000	4
1001	1
1010	2
1011	1
1100	3
1101	1
1110	2
1111	1
0000	4
30	

$$T(4) = 2 \cdot T(3) - 2(4-1) + 2(4)$$

$n=5$

00000	-	10000	5
00001	1	10001	1
$\vdots$		$\vdots$	
01111	1	11111	1
		00000	5

$$T(n) = 2 \cdot T(n-1) - 2(n-1) + 2 \cdot n$$

$$= 2 \cdot T(n-1) - 2n + 2 + 2n$$

$$= 2 \cdot T(n-1) + 2$$

$\Rightarrow$  Recurrence Relation for the problem

$$T(n) = 2 \cdot T(n-1) + 2$$

1 problem of size  $n$  at cost 2

2  $n-1$  2

2  $n-2$  2

$\rightarrow$  stops when  $i=n$

$$= 2(2 \cdot T(n-2) + 2) + 2$$

$$T(n) = \sum_{i=0}^{n-1} 2^i \cdot 2 = 2 \sum_{i=0}^{n-1} 2^i = 2 \frac{1-2^n}{1-2}$$

$$= -2(1-2^n) = 2 \cdot 2^n - 2 = 2^{n+1} - 2$$

$$\underline{\underline{\Theta(2^n)}}$$



## 5 (★★) Recurrence Relations

✓ (a)  $T(n) = 4T(n/2) + 42n$

$$a=4$$

$$b=2$$

$$d=1$$

$$\frac{a}{b^d} = \frac{4}{2^1} = 2 > 1$$

$$O(n^{\log_b a})$$

By master  
theorem

$$O(n^{\log_2 4}) = \underline{\underline{O(n^2)}}$$

✓ (b)  $T(n) = 4T(n/3) + n^2$

$$a=4$$

$$b=3$$

$$d=2$$

$$\frac{a}{b^d} = \frac{4}{3^2} = \frac{4}{9} < 1$$

$$O(n^d)$$

By master  
theorem

$$\underline{\underline{O(n^2)}}$$

(c)  $T(n) = 2T(2n/3) + T(n/3) + n^2$

$h = \log_3 n$

1 problem of size $n$ cost $n^2$	$n^2$
2 $\frac{2}{3}n$ $(\frac{2}{3}n)^2$	$2 \cdot (\frac{2}{3}n)^2 = 2(\frac{2}{3})^2 n^2$
1 $\frac{1}{3}n$ $(\frac{1}{3}n)^2$	$(\frac{1}{3})^2 n^2$
$2^i$ $(\frac{2}{3})^i n$ $((\frac{2}{3})^i n)^2$	$2^i (\frac{2}{3})^{2i} n^2$
1 $(\frac{1}{3})^i n$ $((\frac{1}{3})^i n)^2$	$(\frac{1}{3})^{2i} n^2$

$T(n) = n^2 + [2^1 (\frac{2}{3})^2 n^2 + \dots + 2^i (\frac{2}{3})^{2i} n^2 + \dots + 2^{\log_3 n} (\frac{2}{3})^{2\log_3 n} n^2]$   
 $+ [(\frac{1}{3})^2 n^2 + \dots + (\frac{1}{3})^{2i} n^2 + \dots + (\frac{1}{3})^{2\log_3 n} n^2] = n^2 + \sum_{i=1}^{\log_3 n} 2^i (\frac{2}{3})^{2i} n^2 + \sum_{i=1}^{\log_3 n} (\frac{1}{3})^{2i} n^2$   
 $= n^2 + n^2 \sum_{i=1}^{\log_3 n} 2^i (\frac{2}{3})^{2i} + n^2 \sum_{i=1}^{\log_3 n} (\frac{1}{3})^{2i}$  **WRONG - on extra sheet**  
 $= n^2 + n^2 \sum_{i=1}^{\log_3 n} \frac{(2 \cdot \frac{2}{3})^{2i}}{2^{2i}} + n^2 \sum_{i=1}^{\log_3 n} (\frac{1}{3})^{2i} = n^2 + n^2 \sum_{i=1}^{\log_3 n} (\frac{2 \cdot 83}{3})^{2i} + n^2 \sum_{i=1}^{\log_3 n} (\frac{1}{3})^{2i}$   
 $\Rightarrow$  as  $n$  goes to infinity, both sums go to some constants  
 $T(n) = n^2 + k_1 n^2 + k_2 n^2 = \boxed{\Theta(n^2)}$

(d)  $T(n) = 3T(n/4) + n \log n$

✓

1 problem of size $n$ at cost $n \log n$	$n \log n$
3 $\frac{n}{4}$ $\frac{n}{4} \log(\frac{n}{4})$	$3 \frac{n}{4} \log(\frac{n}{4})$
$3^i$ $\frac{n}{4^i}$ $\frac{n}{4^i} \log(\frac{n}{4^i})$	$3^i \frac{n}{4^i} \log(\frac{n}{4^i})$

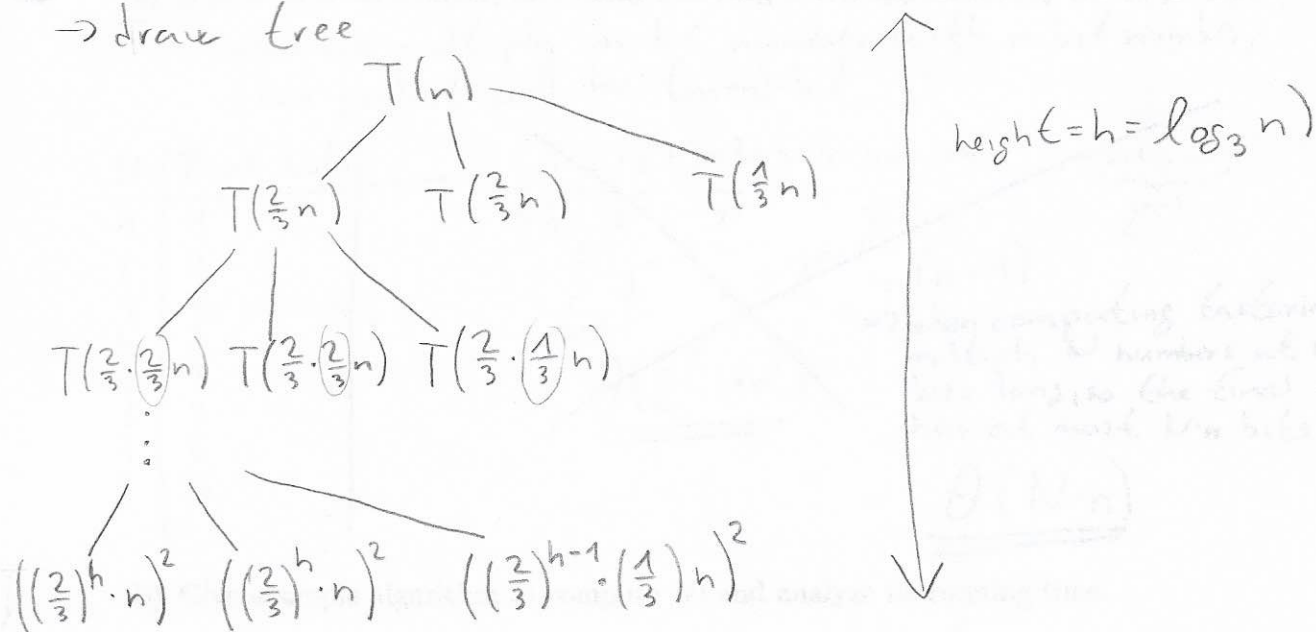
$T(n) = n \log n + 3 \cdot \frac{n}{4} \log(\frac{n}{4}) + \dots + 3^i \frac{n}{4^i} \log(\frac{n}{4^i}) + \dots + 3^{\log_4 n} \cdot \frac{n}{4^{\log_4 n}} \cdot \log(\frac{n}{4^{\log_4 n}})$   
 $= n [\log n + \frac{3}{4} \log(\frac{n}{4}) + \dots + (\frac{3}{4})^i \log(\frac{n}{4^i}) + \dots + (\frac{3}{4})^{\log_4 n} \log(\frac{n}{4^{\log_4 n}})]$   
 $= n \sum_{i=0}^{\log_4 n} (\frac{3}{4})^i \log(\frac{n}{4^i}) = n \sum_{i=0}^{\log_4 n} (\frac{3}{4})^i [\log n - \log 4^i]$   
 $= n \sum_{i=0}^{\log_4 n} (\frac{3}{4})^i [\log n - i \log 4]$   
 $= n \log n \sum_{i=0}^{\log_4 n} (\frac{3}{4})^i - n \log 4 \sum_{i=0}^{\log_4 n} (\frac{3}{4})^i i$   
 $h = \log_4 n = n \log n \cdot \left( \frac{1 - (\frac{3}{4})^{\log_4 n}}{1 - \frac{3}{4}} + 1 \right) - n \log 4 \sum_{i=0}^{\log_4 n} (\frac{3}{4})^i i$   
 $\Rightarrow$  as  $n \rightarrow \infty$ ,  $(\frac{1 - (\frac{3}{4})^{\log_4 n}}{1 - \frac{3}{4}} + 1)$  goes to 1  
 and  $\sum_{i=0}^{\log_4 n} (\frac{3}{4})^i i$  goes to 12  
 $\Rightarrow$  therefore  
 $T(n) = n \log n \cdot 1 - n \log 4 \cdot 12 = n \log n - cn$   
 $= n \log n$

$\boxed{\Theta(n \log n)}$

## CORRECTION

5c  $T(n) = 2T(\frac{2}{3}n) + T(\frac{1}{3}n) + n^2$

→ draw tree



- since height of tree is  $\log_3 n$  and every node takes  $n^2$  time to compute

$$n^2 \cdot \log_3 n = \boxed{\Theta(n^2 \log n)}$$

## 6 (★★) Computing Factorials

Consider the problem of computing  $N! = 1 \times 2 \times \cdots \times N$ .

(a) If  $N$  is an  $n$ -bit number, how many bits long is  $N!$ , approximately (in  $\Theta(\cdot)$  form)?

$\Rightarrow$  when we multiply  $m$ -bit number with  $n$ -bit number,  
their product will be  $(m+n)$ -bit

N	# of bits
1	1
2	2
3	2
4	3
5	3
6	3
7	3
8	4

$$= \sum_{i=1}^n 2^{n-i} = 2^n - 1$$

$\Rightarrow$  when computing factorial multiply  $N$  numbers bits long, so the final result has at most  $N \cdot n$

$\Rightarrow$  when computing factorial, we multiply  $N$  numbers at most  $N$  bits long, so the final number has at most  $N \cdot n$  bits

$\Theta(N \cdot n)$

(b) Give a simple algorithm to compute  $N!$  and analyze its running time.

- recursive

factorial (N)

if  $N \leq 1$ :

return 1

else

```
return N * factorial(N-1)
```

- iterative

factorial (N)

result = 1

for  $I=2$  to  $N$ :

$$\text{result} = \text{result} \cdot I$$

return result

=> analysis for iterative

→ for loop will run  $N$  times

→ for loop will run  $N$  times  
 → multiplication of result  $\cdot \uparrow$  will cost  $(N \cdot x) \cdot x = N \cdot x^2$

$N \times n$  bit number  $\rightarrow$   $n$ -bit number maximum  
bit number

$N$ -bit number maximum

$\Rightarrow$  multiplication will cost  $N \cdot n^2$  maximum every for loop iteration

→ N for loop iterations will be

$$N \cdot (N \cdot n^2) = N^2 \cdot n^2$$

$$\Theta(N^2 \cdot n^2)$$



## 7 (★★★) Four-subpart Algorithm Practice

Given a sorted array  $A$  of  $n$  integers, you want to find the index at which a given integer  $k$  occurs, i.e. index  $i$  for which  $A[i] = k$ . Design an efficient algorithm to find this  $i$ .

**Main idea:**

- ✓  $\Rightarrow$  perform binary search
- $\rightarrow$  pick element  $a$  in the middle of  $A$
  - $\rightarrow$  if  $a = k$ , return index of  $a$
  - $\rightarrow$  if  $a < k$ , perform binary search on right half of  $A$
  - $\rightarrow$  if  $a > k$ , perform binary search on left half



**Pseudocode:**

✓ search(sorted array  $A$ , size of  $A = n$ ,  $k$ )  
 $a = A[\frac{n}{2}]$   $\hookrightarrow A[1, \dots, n]$   
 $i = \frac{n}{2}$   
 if  $n \leq 0$ : return -1 # in case integer  $k$  is not in  $A$ , return -1  
 if  $a < k$ :  $\overset{\text{return}}{\text{search}}(A[\frac{n}{2}+1, n], n - \frac{n}{2}, k)$   
 else if  $a > k$ :  $\overset{\text{return}}{\text{search}}(A[0, \frac{n}{2}], \frac{n}{2}, k)$   
 else: return  $i$   $A[0, \frac{n}{2}-1]$

$\Rightarrow$  we can also do it without passing size of array  
 - just change if  $a < k$ : return  $i + \text{search}(A[\frac{n}{2}+1, n], k)$

**Proof of correctness:**

- ⊗  $\Rightarrow$  when we pick element  $a$  in the middle of sorted array  $A$ , there are 3 possibilities
- ①  $a = k$  - we found  $a$  is right element and we can return its index
  - ②  $a < k$  - the right element has to be in right half of sorted array
  - ③  $a > k$  - the right element has to be in left half of sorted array
- during every iteration, we must check if size of array is equal to 0  
 - when  $n \leq 0$ , it means  $a$  wasn't found in  $A$   
 $\Rightarrow$  proof by induction

**Running time analysis:**

✓  $T(n) = T(\frac{n}{2}) + 1$  <sup>comparison</sup>

$a = 1$   
 $b = 2$   
 $d = 0$

$\Rightarrow$  use master theorem

$\frac{a}{b^d} = \frac{1}{2^0} = 1 > 0$   $\theta(n^d \log n) = \underline{\underline{\theta(\log n)}}$

# CORRECTIONS

## 7: proof of correctness

⇒ proof by induction

- if array of size  $n$  contains  $k$ , binary search will find it

base case

if  $n=1$ , then  $A[1]=k$  because  $k$  is in  $A$

inductive hypothesis

- binary search works on arrays of size  $\leq m$  for some

$m$   
- correct index is returned when present

inductive step

- prove it for array of size  $(m+1)$

- if  $A[\frac{m}{2}] = k$ , output correct index

- else we recurse on one half of  $A$

- because  $A$  is sorted, our comparison ensures that we recurse on the half of  $A$  that contains

$k$

→ recursive call will be correct by induction hypothesis

- because one half of array has size  $\leq m$

→ by induction, we will find correct index

- if  $k$  is not present, the algorithm will not return valid index

## 8 (★★★) Hadamard matrices

The Hadamard matrices  $H_0, H_1, H_2, \dots$  are defined as follows:

- $H_0$  is the  $1 \times 1$  matrix  $[1]$
- For  $k > 0$ ,  $H_k$  is the  $2^k \times 2^k$  matrix

$$H_k = \left[ \begin{array}{c|c} H_{k-1} & H_{k-1} \\ \hline H_{k-1} & -H_{k-1} \end{array} \right]$$

(a) Write down the Hadamard matrices  $H_0, H_1$ , and  $H_2$ .

$\Rightarrow H_0$  is  $1 \times 1$  matrix

$$H_0 = [1]$$

$\Rightarrow H_1$  is  $2 \times 2$  matrix

$$H_1 = \begin{bmatrix} H_0 & H_0 \\ H_0 & -H_0 \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

$\Rightarrow H_2$  is  $4 \times 4$  matrix

$$H_2 = \begin{bmatrix} H_1 & H_1 \\ H_1 & -H_1 \end{bmatrix}$$

$$H_2 = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & -1 & 1 & -1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & -1 & 1 \end{bmatrix}$$

(b) Compute the matrix-vector product  $H_2 v$ , where  $H_2$  is the Hadamard matrix you found

above, and  $v = \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix}$  is a column vector. Note that since  $H_2$  is a  $4 \times 4$  matrix, and  $v$  is

a vector of length 4, the result will be a vector of length 4.

$$H_2 \cdot v = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & -1 & 1 & -1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & -1 & 1 \end{bmatrix}_{4 \times 4} \cdot \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix}_{4 \times 1} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 4 \end{bmatrix}_{4 \times 1}$$

- (c) Now, we will compute another quantity. Take  $v_1$  and  $v_2$  to be the top and bottom halves of  $v$  respectively. Therefore, we have that  $v_1 = \begin{bmatrix} 1 \\ -1 \end{bmatrix}$ ,  $v_2 = \begin{bmatrix} -1 \\ 1 \end{bmatrix}$ , and  $v = \begin{bmatrix} v_1 \\ v_2 \end{bmatrix}$ . Compute  $u_1 = H_1(v_1 + v_2)$  and  $u_2 = H_1(v_1 - v_2)$  to get two vectors of length 2. Stack  $u_1$  above  $u_2$  to get a vector  $u$  of length 4. What do you notice about  $u$ ?

$$u_1 = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} \left( \begin{bmatrix} 1 \\ -1 \end{bmatrix} + \begin{bmatrix} -1 \\ 1 \end{bmatrix} \right) = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}_{2 \times 2} \cdot \begin{bmatrix} 0 \\ 0 \end{bmatrix}_{2 \times 1} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

$$u_2 = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} \left( \begin{bmatrix} 1 \\ -1 \end{bmatrix} - \begin{bmatrix} -1 \\ 1 \end{bmatrix} \right) = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} \cdot \begin{bmatrix} 2 \\ -2 \end{bmatrix} = \begin{bmatrix} 0 \\ 4 \end{bmatrix}$$

$$u = \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 4 \end{bmatrix} = H_2 \checkmark$$

$\Rightarrow$  I noticed that we got the same vector as when we were multiplying  $H_2$  and  $\checkmark$

- (d) Suppose that

$$v = \begin{bmatrix} v_1 \\ v_2 \end{bmatrix}$$

is a column vector of length  $n = 2^k$ .  $v_1$  and  $v_2$  are the top and bottom half of the vector, respectively. Therefore, they are each vectors of length  $\frac{n}{2} = 2^{k-1}$ . Write the matrix-vector product  $H_k v$  in terms of  $H_{k-1}$ ,  $v_1$ , and  $v_2$  (note that  $H_{k-1}$  is a matrix of dimension  $\frac{n}{2} \times \frac{n}{2}$ , or  $2^{k-1} \times 2^{k-1}$ ). Since  $H_k$  is a  $n \times n$  matrix, and  $v$  is a vector of length  $n$ , the result will be a vector of length  $n$ .

$$\begin{aligned} H_k \cdot v = u &= \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} \\ &= \begin{bmatrix} H_{k-1}(v_1 + v_2) \\ H_{k-1}(v_1 - v_2) \end{bmatrix} \end{aligned}$$

$$u_1 = H_{k-1}(v_1 + v_2)$$

$$u_2 = H_{k-1}(v_1 - v_2)$$





- (e) Use your results from (c) to come up with a divide-and-conquer algorithm to calculate the matrix-vector product  $H_k v$ , and show that it can be calculated using  $O(n \log n)$  operations. Assume that all the numbers involved are small enough that basic arithmetic operations like addition and multiplication take unit time.

multiply (matrix  $H_k$ , size of matrix  $k$ , vector  $v$ ):

$H_{k-1}$  = first  $2^{k-1}$  rows and  $2^{k-1}$  columns of matrix  $H_k$

$v_1$  = upper  $2^{k-1}$  rows of  $v$

$v_2$  = bottom  $2^{k-1}$  rows of  $v$

if  $k=1$

$$u_1 = H_{k-1}(v_1 + v_2)$$

$$u_2 = H_{k-1}(v_1 - v_2)$$

$$u = \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}$$

return  $u$

else:

$$a = \text{multiply}(H_{k-1}, k-1, v_1)$$

$$\# = H_{k-1} \cdot v_1$$

$$b = \text{multiply}(H_{k-1}, k-1, v_2)$$

$$\# = H_{k-1} \cdot v_2$$

$$u_1 = a + b$$

$$u_2 = a - b$$

$$u = \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}$$

return  $u$

$$T(n) = 2T\left(\frac{n}{2}\right) + O(n)$$

→ we need to find vectors  $(v_1 + v_2)$  and  $(v_1 - v_2)$ , which takes  $O(n)$

→ we also need to find  $H_{k-1}(v_1 + v_2)$  and  $H_{k-1}(v_1 - v_2)$  which takes  $T\left(\frac{n}{2}\right)$  time

$$a=2$$

$$b=2$$

$$d=1$$

$$\frac{a}{b^d} = \frac{2}{2^0} = 2 > 1$$

$$\frac{a}{b^d} = \frac{2}{2^1} = 1$$

$$O(n^d \log n)$$

$$\underline{O(n \log n)}$$