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1  type Update {           ▷ stored in one CAS word
2      {CLEAN, DELETEFLAG, INSERTFLAG, MARK} state
3      Flag *info
4  }
5  type Internal {         ▷ subtype of Node
6       $Key \cup \{\infty_1, \infty_2\}$  key
7      Update update
8      Node *left, *right
9  }
10 type Leaf {             ▷ subtype of Node
11      $Key \cup \{\infty_1, \infty_2\}$  key
12 }
13 type IFlag {            ▷ subtype of Flag
14     Internal *p, *newInternal
15     Leaf *l
16 }
17 type DFlag {            ▷ subtype of Flag
18     Internal *gp, *p
19     Leaf *l
20     Update pupdate
21 }
22 ▷ Initialization:
23 shared Internal *Root := pointer to new Internal node
    with key field  $\infty_2$ , update field  $\langle \text{CLEAN}, \perp \rangle$ , and
    pointers to new Leaf nodes with keys  $\infty_1$  and
     $\infty_2$ , respectively, as left and right fields.

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Figure 1: Type definitions and initialization.

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23 SEARCH(Key  $k$ ) :  $\langle \text{Internal}^*, \text{Internal}^*, \text{Leaf}^*, \text{Update}, \text{Update} \rangle$  {
    ▷ Used by INSERT, DELETE and FIND to traverse a branch of the BST; satisfies following postconditions:
    ▷ (1)  $l$  points to a Leaf node and  $p$  points to an Internal node
    ▷ (2) Either  $p \rightarrow \text{left}$  has contained  $l$  (if  $k < p \rightarrow \text{key}$ ) or  $p \rightarrow \text{right}$  has contained  $l$  (if  $k \geq p \rightarrow \text{key}$ )
    ▷ (3)  $p \rightarrow \text{update}$  has contained  $\text{pupdate}$ 
    ▷ (4) if  $l \rightarrow \text{key} \neq \infty_1$ , then the following three statements hold:
    ▷ (4a)  $gp$  points to an Internal node
    ▷ (4b) either  $gp \rightarrow \text{left}$  has contained  $p$  (if  $k < gp \rightarrow \text{key}$ ) or  $gp \rightarrow \text{right}$  has contained  $p$  (if  $k \geq gp \rightarrow \text{key}$ )
    ▷ (4c)  $gp \rightarrow \text{update}$  has contained  $gpupdate$ 
24 Internal  $*gp, *p$ 
25 Node  $*l := \text{Root}$ 
26 Update  $gpupdate, pupdate$  ▷ Each stores a copy of an update field

    while  $l$  points to an internal node {
27          $gp := p$  ▷ Remember parent of  $p$ 
28          $p := l$  ▷ Remember parent of  $l$ 
29          $gpupdate := pupdate$  ▷ Remember update field of  $gp$ 
30          $pupdate := p \rightarrow \text{update}$  ▷ Remember update field of  $p$ 
31         if  $k < l \rightarrow \text{key}$  then  $l := p \rightarrow \text{left}$  else  $l := p \rightarrow \text{right}$  ▷ Move down to appropriate child
32     }
33     return  $\langle gp, p, l, pupdate, gpupdate \rangle$ 
34 }
35 }

36 FIND(Key  $k$ ) : Leaf* {
37     Leaf  $*l$ 

38      $\langle -, -, l, -, - \rangle := \text{SEARCH}(k)$ 
39     if  $l \rightarrow \text{key} = k$  then return  $l$ 
40     else return  $\perp$ 
41 }

42 INSERT(Key  $k$ ) : boolean {
43     Internal  $*p, *newInternal$ 
44     Leaf  $*l, *newSibling$ 
45     Leaf  $*new :=$  pointer to a new Leaf node whose key field is  $k$ 
46     Update  $pupdate, result$ 
47     IFlag  $*op$ 

48     while TRUE {
49          $\langle -, p, l, pupdate, - \rangle := \text{SEARCH}(k)$ 
50         if  $l \rightarrow \text{key} = k$  then return FALSE ▷ Cannot insert duplicate key
51         if  $pupdate.state \neq \text{CLEAN}$  then HELP( $pupdate$ ) ▷ Help the other operation
52         else {
53              $newSibling :=$  pointer to a new Leaf whose key is  $l \rightarrow \text{key}$ 
54              $newInternal :=$  pointer to a new Internal node with key field  $\max(k, l \rightarrow \text{key})$ ,
                    update field  $\langle \text{CLEAN}, \perp \rangle$ , and with two child fields equal to  $new$  and  $newSibling$ 
                    (the one with the smaller key is the left child)
55              $op :=$  pointer to a new IFlag record containing  $\langle p, l, newInternal \rangle$ 
56              $result := \text{CAS}(p \rightarrow \text{update}, pupdate, \langle \text{INSERTFLAG}, op \rangle)$  ▷ iflag CAS
57             if  $result = pupdate$  then { ▷ The iflag CAS was successful
58                 HELPIINSERT( $op$ ) ▷ Finish the insertion
59                 return TRUE
60             }
61             else HELP( $result$ ) ▷ The iflag CAS failed; help the operation that caused failure
62         }
63     }
64 }

65 HELPIINSERT(IFlag  $*op$ ) {
    ▷ Precondition:  $op$  points to an IFlag record (i.e., it is not  $\perp$ )
66     CAS-CHILD( $op \rightarrow p, op \rightarrow l, op \rightarrow newInternal$ ) ▷ ichild CAS
67     CAS( $op \rightarrow p \rightarrow \text{update}, \langle \text{INSERTFLAG}, op \rangle, \langle \text{CLEAN}, op \rangle$ ) ▷ iunflag CAS
68 }

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Figure 2: Pseudocode for SEARCH, FIND and INSERT

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69 DELETE(Key  $k$ ) : boolean {
70     Internal  $*gp, *p$ 
71     Leaf  $*l$ 
72     Update  $pupdate, gpupdate, result$ 
73     DFlag  $*op$ 

74     while TRUE {
75          $\langle gp, p, l, pupdate, gpupdate \rangle := \text{SEARCH}(k)$ 
76         if  $l \rightarrow key \neq k$  then return FALSE  $\triangleright$  Key  $k$  is not in the tree
77         if  $gpupdate.state \neq \text{CLEAN}$  then HELP( $gpupdate$ )
78         else if  $pupdate.state \neq \text{CLEAN}$  then HELP( $pupdate$ )
79         else {  $\triangleright$  Try to flag  $gp$ 
80              $op :=$  pointer to a new DFlag record containing  $\langle gp, p, l, pupdate \rangle$ 
81              $result := \text{CAS}(gp \rightarrow update, gpupdate, \langle \text{DELETEFLAG}, op \rangle)$   $\triangleright$  dflag CAS
82             if  $result = gpupdate$  then {  $\triangleright$  CAS successful
83                 if HELPDELETE( $op$ ) then return TRUE  $\triangleright$  Either finish deletion or unflag
84             }
85             else HELP( $result$ )  $\triangleright$  The dflag CAS failed; help the operation that caused the failure
86         }
87     }
88 }

90 HELPDELETE(DFlag  $*op$ ) : boolean {
91      $\triangleright$  Precondition:  $op$  points to a DFlag record (i.e., it is not  $\perp$ )
92     Update  $result$   $\triangleright$  Stores result of mark CAS

93      $result := \text{CAS}(op \rightarrow p \rightarrow update, op \rightarrow pupdate, \langle \text{MARK}, op \rangle)$   $\triangleright$  mark CAS
94     if  $result = op \rightarrow pupdate$  or  $result = \langle \text{MARK}, op \rangle$  then {  $\triangleright$   $op \rightarrow p$  is successfully marked
95         HELPMARKED( $op$ )  $\triangleright$  Complete the deletion
96         return TRUE  $\triangleright$  Tell DELETE routine it is done
97     }
98     else {  $\triangleright$  The mark CAS failed
99         HELP( $result$ )  $\triangleright$  Help operation that caused failure
100          $\text{CAS}(op \rightarrow gp \rightarrow update, \langle \text{DELETEFLAG}, op \rangle, \langle \text{CLEAN}, op \rangle)$   $\triangleright$  backtrack CAS
101         return FALSE  $\triangleright$  Tell DELETE routine to try again
102     }
103 }

104 HELPMARKED(DFlag  $*op$ ) {
105      $\triangleright$  Precondition:  $op$  points to a DFlag record (i.e., it is not  $\perp$ )
106     Node  $*other$ 

107      $\triangleright$  Set  $other$  to point to the sibling of the node to which  $op \rightarrow l$  points
108     if  $op \rightarrow p \rightarrow right = op \rightarrow l$  then  $other := op \rightarrow p \rightarrow left$  else  $other := op \rightarrow p \rightarrow right$ 
109      $\triangleright$  Splice the node to which  $op \rightarrow p$  points out of the tree, replacing it by  $other$ 
110      $\text{CAS-CHILD}(op \rightarrow gp, op \rightarrow p, other)$   $\triangleright$  dchild CAS
111      $\text{CAS}(op \rightarrow gp \rightarrow update, \langle \text{DELETEFLAG}, op \rangle, \langle \text{CLEAN}, op \rangle)$   $\triangleright$  dunflag CAS
112 }

113 HELP(Update  $u$ ) {  $\triangleright$  General-purpose helping routine
114      $\triangleright$  Precondition:  $u$  has been stored in the  $update$  field of some internal node
115     if  $u.state = \text{INSERTFLAG}$  then HELPINSET( $u.info$ )
116     else if  $u.state = \text{MARK}$  then HELPMARKED( $u.info$ )
117     else if  $u.state = \text{DELETEFLAG}$  then HELPDELETE( $u.info$ )
118 }

119 CAS-CHILD(Internal  $*parent$ , Node  $*old$ , Node  $*new$ ) {
120      $\triangleright$  Precondition:  $parent$  points to an Internal node and  $new$  points to a Node (i.e., neither is  $\perp$ )
121      $\triangleright$  This routine tries to change one of the child fields of the node that  $parent$  points to from  $old$  to  $new$ .
122     if  $new \rightarrow key < parent \rightarrow key$  then
123          $\text{CAS}(parent \rightarrow left, old, new)$ 
124     else
125          $\text{CAS}(parent \rightarrow right, old, new)$ 
126 }

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