

Static semantics

In a nutshell

- defined for **statically typed languages**
- implemented by a **typechecker**

Motivations

- parsers check only syntactic errors
- typecheckers can detect bugs that manifest at runtime

Reminder

- **static** means “before execution”
- **dynamic** means “during the execution” (that is, “at runtime”)

Static semantics

Syntax versus static semantics

- **theoretical limitation**: static semantics cannot be specified with regular expressions and CF grammars
- **practical issue**: implementation simpler and code better organized if checks are separated
 - phase 1: syntax checks and AST generation (parser)
 - phase 2: type checks (typechecker)

Static semantics in a nutshell

Main ingredients

- **static types** (or types, if there are no ambiguities) specify sets of values
- **typing rules**:
 - define the expressions and statements which are **type correct** and those which are **not**
 - define a type for each type correct expression
 - remark: statements do **not** have types

Simple examples

Expressions and statements which are type correct

<code>1*-2+1</code>	has type <code>int</code>
<code>fst (true, 2)</code>	has type <code>bool</code>
<code>if (2==1+1) {print 1}</code>	is type correct

Expressions and statements which are **not** type correct

<code>1*true</code>	error: found <code>bool</code> , expected <code>int</code>
<code>fst 2</code>	error: found <code>int</code> , expected <code>pair</code>
<code>if (2) {print 1}</code>	error: found <code>int</code> , expected <code>bool</code>

More complex examples with variables

Expressions and statements which are type correct

<code>1*x</code>	has type <code>int</code> if <code>x</code> is declared and has type <code>int</code>
<code>fst y</code>	has type <code>t₁</code> if <code>y</code> is declared and has type <code>t₁*t₂</code>
<code>var z=true;</code>	
<code>if(z){print 1}</code>	is type correct

Programs which are not type correct

<code>if(z){print 1}</code>	error: undeclared variable <code>z</code>
<code>var z=1;</code>	
<code>if(z){print 1}</code>	error: found <code>int</code> , expected <code>bool</code>
<code>var z=false;</code>	
<code>if(z){var z=1; print true && z}</code>	error: found <code>int</code> , expected <code>bool</code>

Variable declarations

Typing rules depend on a *static environment*

a **static environment** defines the information associated with the variables available at a specific point in the program:

- the declared **variables**, in particular their **names**
- the **types** associated with them
- the **scope** of their declarations

Scope of a variable declaration in the static semantics

the **scope of a variable declaration** is the **code fragment where the user can refer to it**

Variable declarations

Standard typing rules on variables are used for our toy language

- variables must be declared **before** their use
- scopes can be **nested** by using **blocks**
- variables with the **same name** cannot be **re-declared** in the **same scope**
- variables declared in a **nested scope** **hide** the variables declared with the same name in **outer scopes**

Nested scopes

Variables with the same name can be declared in nested scopes

Blocks introduce nested scopes

A type correct program:

```
1 var x=1;
2 if(x==1) {
3     var x=true;    // nested scope, outer x will be hidden
4     print x && true // type correct
5 };
6 print x + 1        // type correct
```

Remarks:

- the scope of `var x=1` includes lines 2, 3 and 6
- the scope of `var x=true` includes line 4
- `var x=1` is **hidden** by `var x=true` in line 4

Definition of the static/dynamic semantics

Possible approaches

How can the static/dynamic semantics of a language be defined?

- natural language
 - pros: requires minimal technical skills
 - cons: ambiguous, verbose, not executable, not suitable for technical details
- mathematical language
 - pros: very abstract, non ambiguous, concise, use of proof assistants
 - cons: based on complex concepts, not directly executable, not suitable for some details
- declarative programming language (functional or logic)
 - pros: abstract, non ambiguous, concise, executable
 - cons: requires knowledge of the used programming language

Definition of the static semantics

Our choice

- a functional language, as F#, is a reasonable compromise
- **Remark:** although executable, the F# program is used only as a definition, a typechecker can be implemented more efficiently in Java

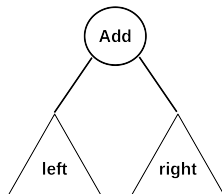
Typing rules

Rules defined on the abstract syntax (= AST)

- typing rules defined for each type of node of the AST
- typechecking of a program = a visit of its AST

Example of simple typing rules

- expressions with addition:



if `left` and `right` have type `int`,
then `Add(left, right)` has type `int`,
otherwise `Add(left, right)` is **not** type correct

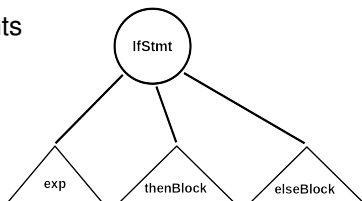
Typing rules

Rules defined on the abstract syntax (= AST)

- typing rules defined for each type of node of the AST
- typechecking of a program = a visit of its AST

Example of simple typing rules

- if-then-else statements



if `exp` has type **bool**, `thenBlock` and `elseBlock` are type correct,
then `IfStmt (exp, thenBlock, elseBlock)` is type correct
otherwise `IfStmt (exp, thenBlock, elseBlock)` is **not** type correct

Static environment

How can we model static environments?

abstractly, env_s is a **partial** function: $env_s : Variable \rightarrow Type$

- if $env_s(x) = \text{IntType}$, then x can be referred and has type IntType
- if $env_s(x)$ is undefined, then x **cannot be correctly referred**

Static environment

A more operational view

- static environment = scope chain = list of scope levels (scopes for short)
- scope level = a dictionary (a.k.a. map) where keys are variables and values are types
- scope levels are sorted: inner scope levels come first
- reason: nested declarations hide variables declared in outer scopes
- Remark: the first scope in the list is the current scope level which is always the most nested one

Static environments: examples in F#

Technical details

- definition of static types

```
type staticType = | IntType | BoolType | PairType of staticType * staticType
```

- definition of static environments in F#:

```
type staticEnv = Map<variable, staticType> list
```

- example of scope with *x* of type `int` and *y* of type `bool`:

```
Map.add (Name "x") IntType Map.empty |> Map.add (Name "y") BoolType
```

where

```
Map.add: ('a -> 'b -> Map<'a, 'b> -> Map<'a, 'b>)
```

Static environments: examples in F#

Example of a static environment with two nested scopes

```
let topLevelScope = Map.add (Name "x") BoolType Map.empty

let currentAndNestedScope =
    Map.add (Name "x") IntType Map.empty |> Map.add (Name "y") BoolType

let env: staticEnv = [ currentAndNestedScope; topLevelScope ]
```


Static environments: variable lookup

Definition

- function `lookup` checks whether a variable is declared in the environment
- if the variable is found, then its associated type is returned
- otherwise an exception is raised

Examples of variable lookup

```
let topLevelScope =  
  Map.add (Name "x") BoolType Map.empty |> Map.add (Name "z") IntType  
let currentAndNestedScope =  
  Map.add (Name "x") IntType Map.empty |> Map.add (Name "y") BoolType  
let env: staticEnv = [ currentAndNestedScope; topLevelScope ]
```

```
assert (lookup (Name "x") env=IntType)  
assert (lookup (Name "y") env=BoolType)  
assert (lookup (Name "z") env=IntType)
```

```
lookup (Name "w") env raises exception UndeclaredVariable (Name "w")
```

Definition of the static semantics in F#

Generic functions for handling the environment

`enterScope: 'a environment -> 'a environment`

`exitScope: 'a environment -> 'a environment`

`lookup: variable -> 'a environment -> 'a`

`dec: variable -> 'a -> 'a environment -> 'a environment`

`update: variable -> 'a -> 'a environment -> 'a environment`

Definition of the static semantics in F#

Remarks

- functions are **polymorphic** to manage both the **static** and **dynamic** environment
- the type parameter `'a` corresponds to the information type associated with variables:
 - `'a = staticType` for **static** environments
 - `'a = value` for **dynamic** environments
- `dec x ty env` returns a new environment where the declaration of `x` with type `ty` is added in `env` at the current scope level
an exception is raised if the variable has been already declared in the current scope level
- `update` and `exitScope` are used in the **dynamic semantics** (see the next slides)

Definition of the static semantics in F#

Main static semantic functions

```
typecheckProg: prog -> unit
```

```
typecheckStmtSeq: staticEnv -> stmtSeq -> unit
```

```
typecheckBlock: staticEnv -> block -> unit
```

```
typecheckStmt: staticEnv -> stmt -> staticEnv
```

```
typecheckExp: staticEnv -> exp -> staticType
```

Definition of the static semantics in F#

Remarks

- the static semantics is correct if and only if typechecking succeeds
- all functions raise an exception if typechecking fails
- `typecheckProg`, `typecheckStmtSeq`, and `typecheckBlock` do not return any value
they simply check that the static semantics is correct
- `typecheckStmt env stmt` returns a new static environment `env'`:
 - if `stmt` is `var x=exp` then `env' = dec x ty env`, where `ty` is the type of `exp` in `env`
 - `env' = env` for all other types of statement
- `typecheckExp env exp` returns the static type of `exp` in `env`

Dynamic semantics

In a nutshell

- defines the **behavior** of a program at **runtime** (= when it is executed)
- implemented by an **interpreter** or a **compiler**

Reminder

- an **interpreter** directly executes the program; in other words, the program is executed on a **virtual machine**
- a **compiler** “translates” the program into “executable” lower-level code

Dynamic semantics

What does a program do when it is executed?

- evaluates expressions (= computes their values)
- performs I/O; e.g., prints strings on the standard output
- modifies the memory
 - adds new variables in the current scope
 - adds a new scope, to allocate new variables
 - removes a scope, to de-allocate their variables
 - modifies the content of variables
 - ...

Remark: **dynamic errors** of several kinds can occur during the execution of the program

Definition of the dynamic semantics

Provided by executable F# code, as done for the static semantics

Variable declarations

Scope of a variable declaration

- the notion of scope of a variable declaration in the dynamic semantics is **more complex** than in the static semantics
- two different dimensions are required
 - **space**: **where** the variable can be referred (similar definition as in the static semantics)
 - **time**: **when** the variable is allocated and de-allocated

Variable declarations

Example for the temporal dimension

```
if(x>0) { var y=1; var z=false; ... }
```

- when the execution of the then-block starts, a new nested scope level is added to allocate variables `y` and `z` declared within the block (function `enterScope`)
- when the execution of the then-block finishes, the scope level of the block is removed to de-allocate variables `y` and `z` (function `exitScope`)
- **Remark:** the then-block can be executed more times; for instance, in case the `if` statement is contained in a loop

Dynamic environment

How can we model dynamic environments?

analogously as static environments

abstractly, env_d is a **partial** function: $env_d : Variable \rightarrow Value$

- if $env_d(x) = 42$, then x can be referred and has value 42
- if $env_d(x)$ is undefined, then x **cannot be correctly referred**

Dynamic environment

A more operational view

Analogous to that for static environments

- **dynamic environment** = **scope chain** = **list of scope levels** (scopes for short)
- **scope level** = a **dictionary** (a.k.a. **map**) where **keys** are **variables** and keys are associated with the **values** contained in the variables
- scope levels are **sorted**: **inner** scope levels come **first**
- reason: **nested** declarations **hide** variables declared in **outer scopes**
- **Remark**: the **first scope** in the list is the **current scope level** which is always the **most nested** one

Dynamic environment

Technical details

- values

```
type value = |IntValue of int|BoolValue of bool|PairValue of value * value
```

- definition of dynamic environments in F#:

```
type dynamicEnv = Map<variable, value> list
```

Variable update

Example

```
var x=1;  
if (!(x==0)) {var y=2; x=x+y};  
print x // prints 3
```

the dynamic environment `env1` **before** the execution of `x=x+y` is

```
let topLevelScope1 = Map.add (Name "x") (IntValue 1) Map.empty  
let thenBlockScope = Map.add (Name "y") (IntValue 2) Map.empty  
let env1: dynamicEnv = [thenBlockScope ; topLevelScope1 ]
```

the dynamic environment `env2` **after** the execution of `x=x+y` is

```
let topLevelScope2 = Map.add (Name "x") (IntValue 3) Map.empty  
let env2: dynamicEnv = [thenBlockScope ; topLevelScope2 ]
```

Variable update

Remarks

- $x=x+y$ is executed when the current scope level is `thenBlockScope` but `topLevelScope1` is **affected**
- this **cannot** happen for the **static semantics** because
 - declarations at the current level **cannot** affect outer scope levels
 - the type associated with a variable **cannot** be changed

Variable update

Variable assignment

- assignment allows programmers to change the values stored in variables
 - operations `update` and `exitScope` are used for dynamic environments
- the generic functions

```
update: variable -> 'a -> 'a environment -> 'a environment  
exitScope: 'a environment -> 'a environment
```

for dynamic environments become

```
update : variable -> value -> dynamicEnv -> dynamicEnv  
exitScope: dynamicEnv -> dynamicEnv
```

- in the **static semantics** `update` and `exitScope` are **not** needed

Definition of the dynamic semantics is F#

Main dynamic semantic functions

`executeProg: prog -> unit`

`executeStmtSeq: dynamicEnv -> stmtSeq -> dynamicEnv`

`executeBlock: dynamicEnv -> block -> dynamicEnv`

`executeStmt: dynamicEnv -> stmt -> dynamicEnv`

`evalExp : dynamicEnv -> exp -> value`

Definition of the dynamic semantics is F#

Remark

Note the difference between

```
executeStmtSeq: dynamicEnv -> stmtSeq -> dynamicEnv  
executeBlock: dynamicEnv -> block -> dynamicEnv
```

and

```
typecheckStmtSeq: staticEnv -> stmtSeq -> unit  
typecheckBlock: staticEnv -> block -> unit
```

- `executeStmtSeq` and `executeBlock` **must** return a new dynamic environment because execution in nested levels **can** affect outer scope levels
- `typecheckStmtSeq` and `typecheckBlock` **do not need** to return a new static environment because typechecking in nested levels **cannot** affect outer scope levels