# Toward Impactful SE Research by Taking Roads Less Traveled

#### **Zhendong Su**

University of California, Davis



# What is the **key mission** of

Computer Science?

#### To help people turn creative

ideas into working systems

# Software research, especially SE, is at the center of this mission





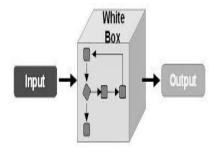


#### Two instances

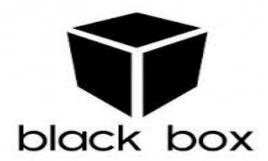
- ■Validate production compilers
  - Black-box analysis



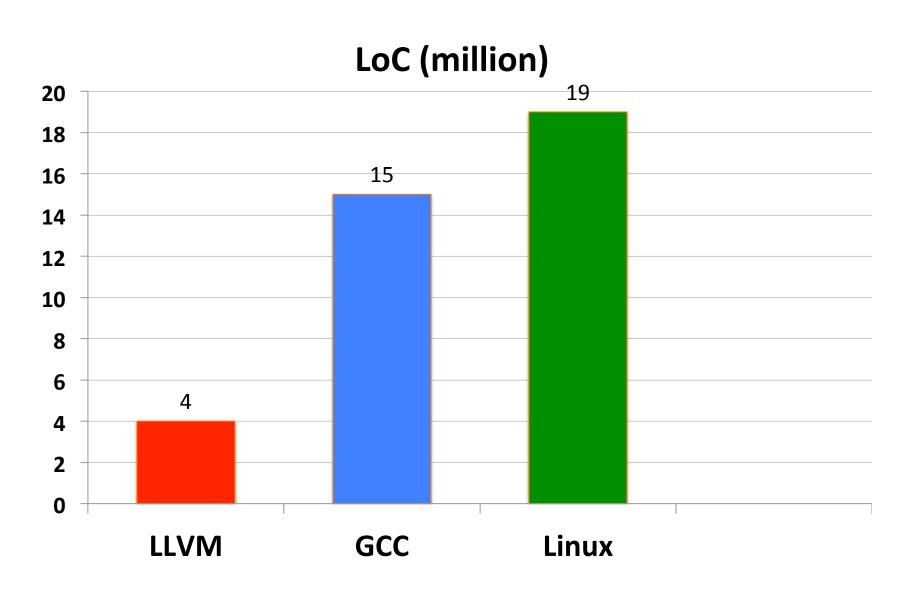
- Analyze floating-point software
  - Dynamic analysis



# Validate Production Compilers



## Compiler complexity



# **LLVM** bug 14972

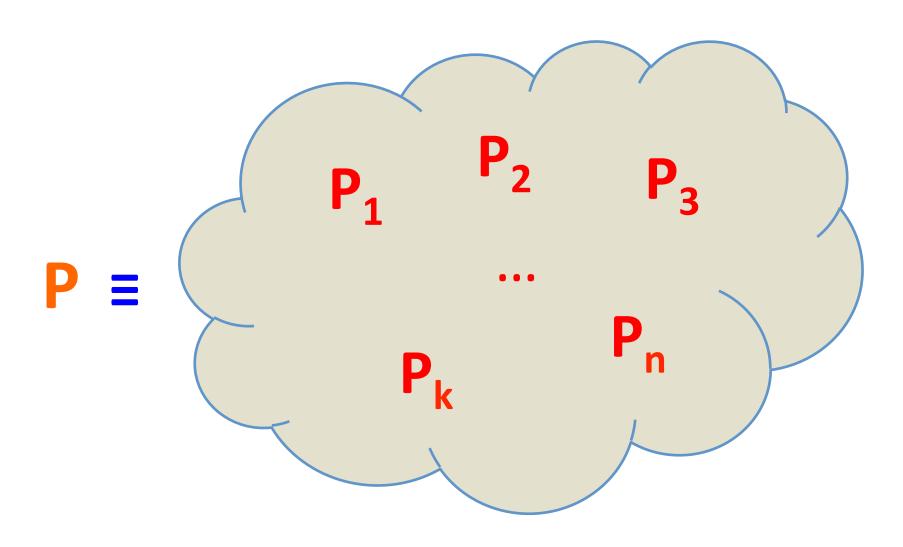
```
struct tiny { char c; char d; char e; };
void foo(struct tiny x) {
    if (x.c != 1) abort();
    if (x.e != 1) abort();
}
int main() {
    struct tiny s;
    s.c = 1; s.d = 1; s.e = 1;
    foo(s);
    return 0;
}
$ clang -m32 -00 test.c ; ./a.out
$ clang -m32 -O1 test.c ; ./a.out
Aborted (core dumped)
```

# Developer comment

"... very, very concerning when I got to the root cause, and very annoying to fix ..."

http://llvm.org/bugs/show\_bug.cgi?id=14972

### Vision



# Key challenges

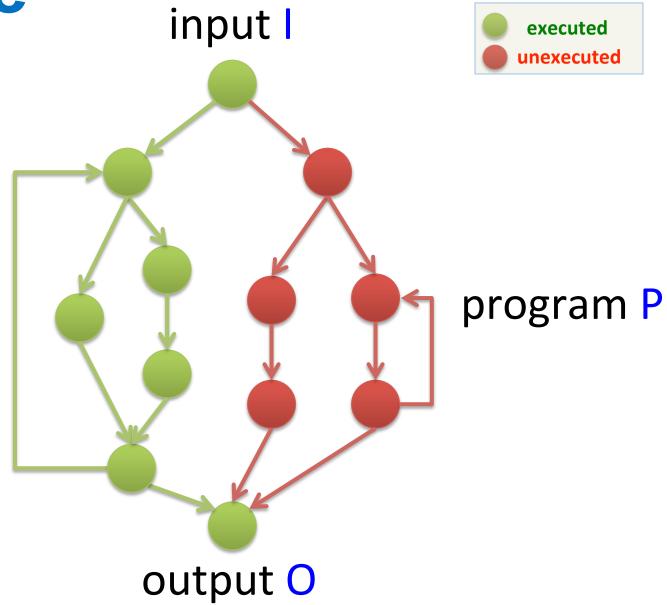
- □ Generation
  - ◆ How to generate different, yet equivalent tests?
- Validation
  - How to check that tests are indeed equivalent?

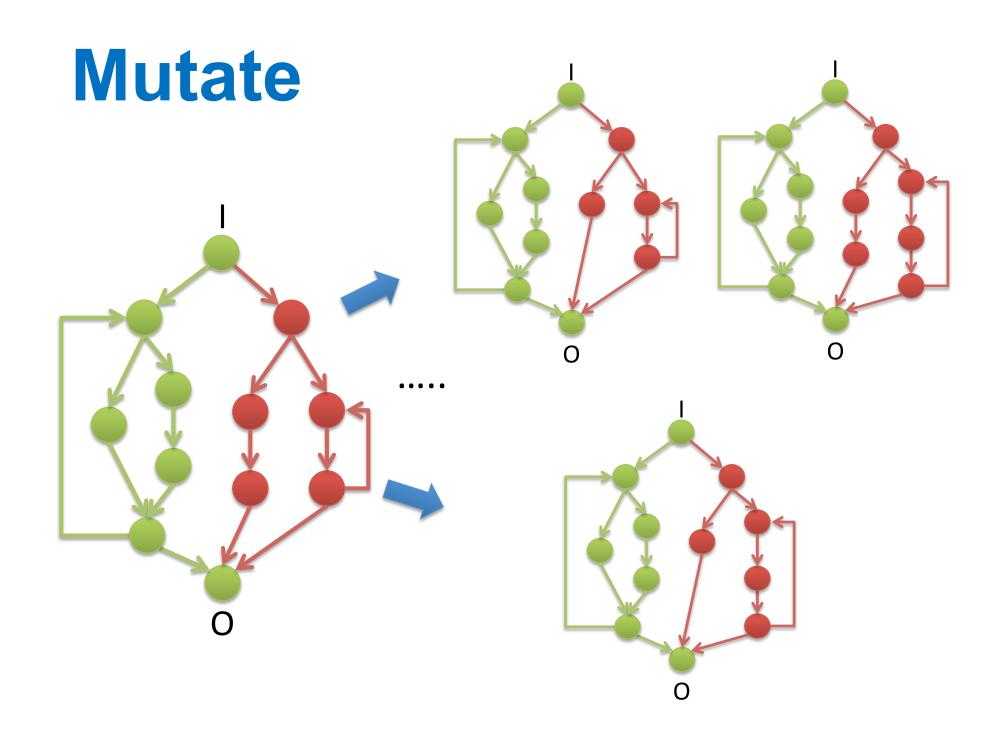
Both are long-standing hard issues

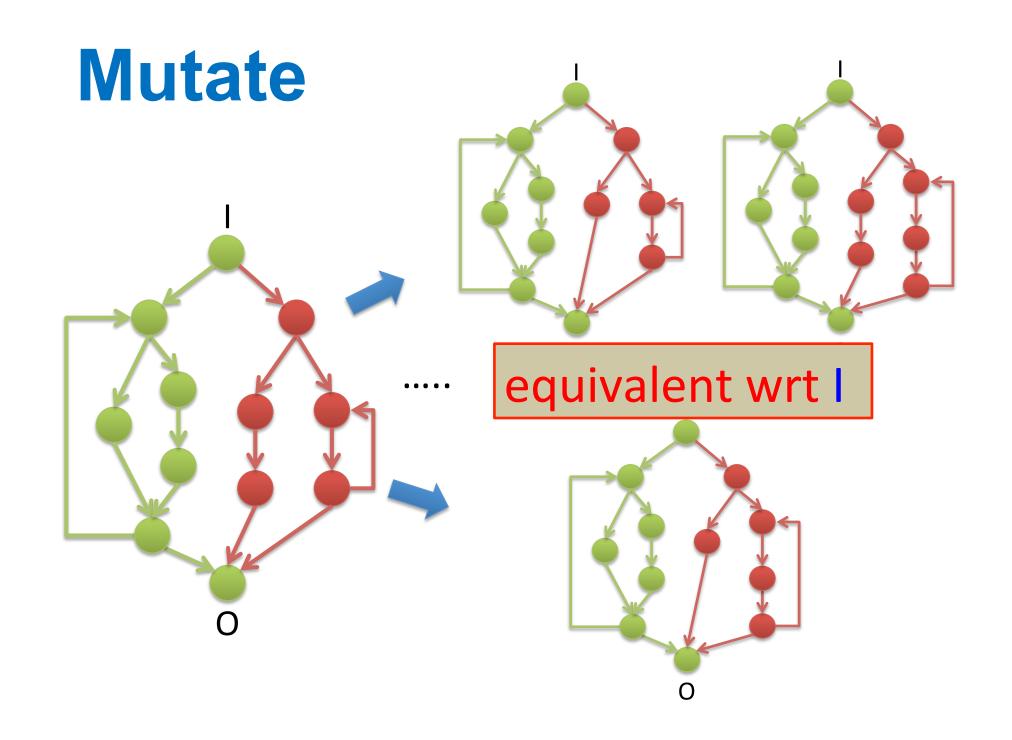
## Equiv. modulo inputs

- Relax equiv. wrt a given input i
  - $Arr Must: P(i) = P_k(i)$  on input i
  - ◆Okay:  $P(j) \neq P_k(j)$  on all input  $j \neq i$
- Exploit close interplay between
  - ◆Dynamic program execution on some input
  - ◆Static compilation for all input

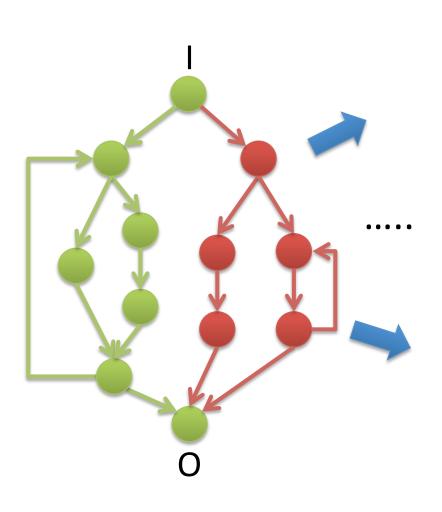
**Profile** 

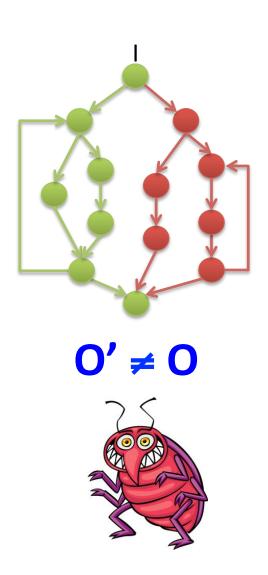






# Find bugs





# Revisit challenges

- □Generation (easy)
  - How to generate different, yet equivalent tests?
- Validation (easy)
  - How to check that tests are indeed equivalent?

□ Both are long-standing hard issues

# **LLVM** bug 14972

```
struct tiny { char c; char d; char e; };
void foo(struct tiny x) {
    if (x.c != 1) abort();
    if (x.e != 1) abort();
}
int main() {
    struct tiny s;
    s.c = 1; s.d = 1; s.e = 1;
    foo(s);
    return 0;
}
$ clang -m32 -00 test.c ; ./a.out
$ clang -m32 -O1 test.c ; ./a.out
Aborted (core dumped)
```

#### Seed file

```
struct tiny { char c; char d; char e; };
  f(int n, struct tiny x, struct tiny y,
    struct tiny z, long 1) {
      if (x.c != 10) abort();
      if (x.d != 20) abort();
      if (x.e != 30) abort();
      if (y.c != 11) abort();
      if (y.d != 21) abort();
      if (y.e != 31) abort();
      if (z.c != 12) abort();
      if (z.d != 22) abort();
      if (z.e != 32) abort();
      if (1 != 123) abort();
  }
  main() {
      struct tiny x[3];
      x[0].c = 10;
      x[1].c = 11;
      x[2].c = 12;
      x[0].d = 20;
      x[1].d = 21;
      x[2].d = 22;
      x[0].e = 30;
      x[1].e = 31;
      x[2].e = 32;
      f(3, x[0], x[1], x[2], (long)123);
      exit(0);
  }
$ clang -m32 -00 test.c ; ./a.out
$ clang -m32 -O1 test.c ; ./a.out
```

#### Seed file

```
struct tiny { char c; char d; char e; };
  f(int n, struct tiny x, struct tiny y,
    struct tiny z, long 1) {
      if (x.c != 10) abort();
      if (x.d != 20) abort();
      if (x.e != 30) abort();
      if (y.c != 11) abort();
      if (y.d != 21) abort();
                                  unexecuted
      if (y.e != 31) abort();
      if (z.c != 12) abort();
      if (z.d != 22) abort();
      if (z.e != 32) abort();
      if (1 != 123) abort();
  }
  main() {
      struct tiny x[3];
      x[0].c = 10;
      x[1].c = 11;
      x[2].c = 12;
      x[0].d = 20;
      x[1].d = 21;
      x[2].d = 22;
      x[0].e = 30;
      x[1].e = 31;
      x[2].e = 32;
      f(3, x[0], x[1], x[2], (long)123);
      exit(0);
  }
$ clang -m32 -00 test.c ; ./a.out
$ clang -m32 -O1 test.c ; ./a.out
```

#### **Transformed file**

```
struct tiny { char c; char d; char e; };
  f(int n, struct tiny x, struct tiny y,
    struct tiny z, long 1) {
      if (x.c != 10) /* deleted */;
      if (x.d != 20) abort();
      if (x.e != 30) /* deleted */;
      if (y.c != 11) abort();
      if (y.d != 21) abort();
      if (y.e != 31) /* deleted */;
      if (z.c != 12) abort();
      if (z.d != 22) /* deleted */;
      if (z.e != 32) abort();
      if (1 != 123) /* deleted */;
  }
  main() {
      struct tiny x[3];
      x[0].c = 10;
      x[1].c = 11;
      x[2].c = 12;
      x[0].d = 20;
      x[1].d = 21;
      x[2].d = 22;
      x[0].e = 30;
      x[1].e = 31;
      x[2].e = 32;
      f(3, x[0], x[1], x[2], (long)123);
      exit(0);
  }
$ clang -m32 -00 test.c ; ./a.out
$ clang -m32 -01 test.c ; ./a.out
Aborted (core dumped)
```

#### Reduced file

```
struct tiny { char c; char d; char e; };
void foo(struct tiny x) {
    if (x.c != 1) abort();
    if (x.e != 1) abort();
}
int main() {
    struct tiny s;
    s.c = 1; s.d = 1; s.e = 1;
    foo(s);
    return 0;
}
$ clang -m32 -00 test.c ; ./a.out
$ clang -m32 -O1 test.c ; ./a.out
Aborted (core dumped)
```

# LLVM bug autopsy

```
struct tiny { char c; char d; char e; };
void foo(struct tiny x) {
                                  GVN: load struct
    if (x.c != 1) abort();
                                  using 32-bit load
    if (x.e != 1) abort();
}
int main() {
    struct tiny s;
    s.c = 1; s.d = 1; s.e = 1;
    foo(s);
    return 0;
}
$ clang -m32 -00 test.c ; ./a.out
$ clang -m32 -O1 test.c ; ./a.out
Aborted (core dumped)
```

# LLVM bug autopsy

\$ clang -m32 -O1 test.c ; ./a.out

Aborted (core dumped)

```
struct tiny { char c; char d; char e; };
void foo(struct tiny x) {
                                 GVN: load struct
    if (x.c != 1) abort();
    if (x.e != 1) abort();
                                 using 32-bit load
}
                                  SRoA: read past
int main() {
    struct tiny s;
                                  the struct's end
    s.c = 1; s.d = 1; s.e = 1;
    foo(s);
                                     undefined
    return 0;
                                      behavior
$ clang -m32 -00 test.c ; ./a.out
```

# LLVM bug autopsy

```
struct tiny { char c; char d; char e; };
void foo(struct tiny x) {
                                 GVN: load struct
    if (x.c != 1) abort();
    if (x.e != 1) abort();
                                 using 32-bit load
}
                                  SRoA: read past
int main() {
    struct tiny s;
                                  the struct's end
    s.c = 1; s.d = 1; s.e = 1;
    foo(s);
                                     undefined
    return 0;
                         remove
                                      behavior
$ clang -m32 -00 test.c ; ./a.out
$ clang -m32 -O1 test.c ; ./a.out
Aborted (core dumped)
```

#### Seed file

```
struct tiny { char c; char d; char e; };
  f(int n, struct tiny x, struct tiny y,
    struct tiny z, long 1) {
      if (x.c != 10) abort();
      if (x.d != 20) abort();
      if (x.e != 30) abort();
      if (y.c != 11) abort();
      if (y.d != 21) abort();
      if (y.e != 31) abort();
      if (z.c != 12) abort();
      if (z.d != 22) abort();
      if (z.e != 32) abort();
      if (1 != 123) abort();
  }
  main() {
      struct tiny x[3];
      x[0].c = 10;
      x[1].c = 11;
      x[2].c = 12;
      x[0].d = 20;
      x[1].d = 21;
      x[2].d = 22;
      x[0].e = 30;
      x[1].e = 31;
      x[2].e = 32;
      f(3, x[0], x[1], x[2], (long)123);
      exit(0);
  }
$ clang -m32 -00 test.c ; ./a.out
$ clang -m32 -O1 test.c ; ./a.out
```

#### **Transformed file**

```
struct tiny { char c; char d; char e; };
  f(int n, struct tiny x, struct tiny y,
    struct tiny z, long 1) {
      if (x.c != 10) /* deleted */;
      if (x.d != 20) abort();
      if (x.e != 30) /* deleted */;
      if (y.c != 11) abort();
      if (y.d != 21) abort();
      if (y.e != 31) /* deleted */;
      if (z.c != 12) abort();
      if (z.d != 22) /* deleted */;
      if (z.e != 32) abort();
      if (1 != 123) /* deleted */;
  }
  main() {
      struct tiny x[3];
      x[0].c = 10;
      x[1].c = 11;
      x[2].c = 12;
      x[0].d = 20;
      x[1].d = 21;
      x[2].d = 22;
      x[0].e = 30;
      x[1].e = 31;
      x[2].e = 32;
      f(3, x[0], x[1], x[2], (long)123);
      exit(0);
  }
$ clang -m32 -00 test.c ; ./a.out
$ clang -m32 -01 test.c ; ./a.out
Aborted (core dumped)
```

# GCC bug 58731

```
$ gcc -00 test.c ; ./a.out
$ gcc -03 test.c ; ./a.out
^C
```

# GCC bug autopsy

```
int a, b, c, d, e;
int main() {
    for (b = 4; b > -30; b--)
        for (; c;)
        for (;;) {
            e = a > 2147483647 - b;
            if (d) break;
        }
        PRE: loop invariant
}
```

```
$ gcc -00 test.c ; ./a.out
$ gcc -03 test.c ; ./a.out
^C
```

# GCC bug autopsy

```
int a, b, c, d, e;
int main() {
   for (b = 4; b > -30; b--)
        int f = 2147483647 - b;
        for (; c;)
           for (;;) {
               e = a > f
               if (d) break;
   return 0;
$ gcc -00 test.c ; ./a.out
$ gcc -03 test.c ; ./a.out
^C
```

# GCC bug autopsy

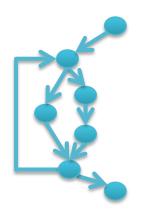
```
int a, b, c, d, e;
int main() {
    for (b = 4; b > -30; b--)
        int f = 2147483647 - b;
        for (; c;)
            for (;;) {
                e = a > f; integer overflow
                if (d) break;
    return 0;
$ gcc -00 test.c ; ./a.out
$ gcc -03 test.c ; ./a.out
^C
```

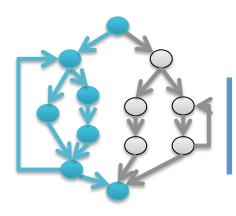
# Seed program

```
$ gcc -00 test.c ; ./a.out
$ gcc -03 test.c ; ./a.out
```

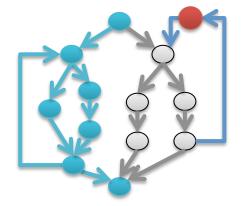
#### Orion (PLDI'14)

Prune dead code

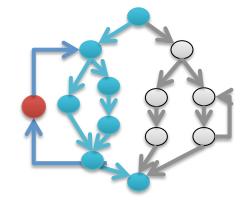




Athena (OOPSLA'15)
Prune & inject dead code



Hermes (OOPSLA'16)
Mutate live code



# **Bug counts**

	GCC	LLVM	TOTAL
Reported	710	594	1304
Fixed	467	309	776

- ISSTA'15: Stress-testing link-time optimization
- ICSE'16: Analyzing compilers' diagnostic support
- PLDI'17: Skeletal program enumeration

#### LLVM 3.9 & 4.0 Release Notes

"... thanks to Zhendong Su and his team whose fuzz testing prevented many bugs going into the release ..."

## **EMI**

#### General, widely applicable

- Can test compilers, analysis, transformation tools
- Finds real-world tests and requires no reference compilers

#### Very effective realizations

- Uncovered 1300+ bugs in GCC and LLVM in 3 years
- Many miscompilations and long latent bugs

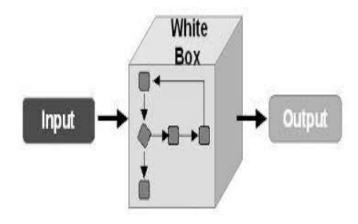
	GCC	LLVM	TOTAL
Reported	710	594	1304
Fixed	467	309	776

Take-away: Not only do good

research, but be its loyal,

continuous user!

# Analyze Floating-Point Software



# Floating-point code



- Important: bugs can lead to disasters
- Challenging: hard to get right

# Why difficult?

- □ FP Math ≠ Real Math
- Non-linear relations
- Transcendental functions

```
sin, log, exp, ...
```

```
1 double foo(double x){
2    if (x<=1.0)
3         x++;
4
5    y = x*x;
6    if (y<=4.0)
7         x--;
8    return x;
9 }</pre>
```

Challenging for all known approaches

# New perspective: ME

#### **Analyzing numerical programs**

- Coverage-based testing
- Boundary value analysis
  Numerical exception detection

Floating-point constraint solving



**Mathematical optimization (MO)** 

input x drives p to satisfy  $\phi \leftrightarrow x$  minimizes r

## **FP** constraints

Solving the floating-point constraint  $\pi$ 

$$(SIN(x) == x) \land (x \ge 10^{-10})$$

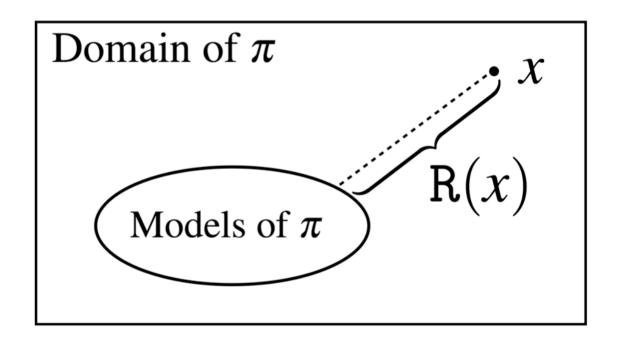
Satisfiable if x is floating-point

For 
$$x \in \mathbb{F}$$
,  $SIN(x) = x \Leftrightarrow x \simeq 0$ 

Unsatisfiable if x is real

For 
$$x \in \mathbb{R}$$
,  $SIN(x) = x \Leftrightarrow x = 0$ 

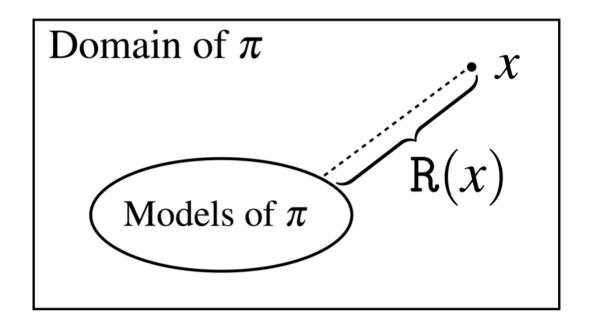
# Step 1



Simulate  $\pi$  with a floating-point program R

- $ightharpoonup R(x) \ge 0$  for all x
- $R(x) = 0 \Leftrightarrow x \models \pi$

# Step 2



Minimize R as if it is a mathematical function

► Let *x*\* be the minimum point

$$\pi$$
 satisfiable  $\Leftrightarrow R(x^*) = 0$ 

## **Construct R**

Necessary Conditions to meet:

- 1.  $R(x) \ge 0$  for all x
- 2.  $R(x) = 0 \Leftrightarrow x \models \pi$

#### How?

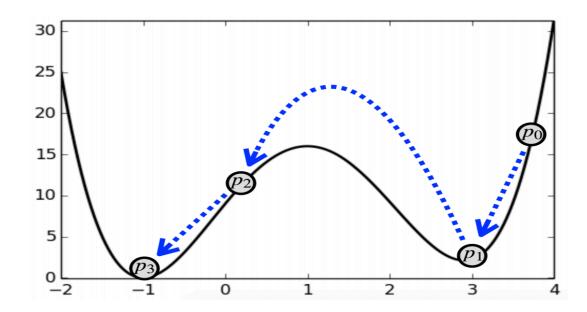
Constraint $\pi$	Program R
x == y	$(x-y)^2$
$x \leq y$	$x \le y ? 0 : (x - y)^2$
$\pi_1 \wedge \pi_2$	$R_1 + R_2$
$\pi_1 ee \pi_2$	$R_1 * R_2$

R can be constructed from a CNF form

## Minimize R

Unconstrained programming techniques:

- Local optimization
- Monte Carlo Markov Chain (MCMC)
- We use them as black-box
- ightharpoonup Do not analyze  $\pi$ ; execute R



# Theoretical guarantees

Let R satisfy (1)  $R(x) \ge 0$ , and (2)  $R(x) = 0 \Leftrightarrow x \models \pi$ , and  $x^*$  be a minimum point of R. Then

$$\pi$$
 satisfiable  $\Leftrightarrow R(x^*) = 0$ .

#### **Threats**

- Floating-point inaccuracy when calculating with R
- ▶ Sub-optimal x\*

## Example

$$(SIN(x) == x) \land (x \ge 10^{-10})$$

$$\downarrow \downarrow$$

$$(SIN(x)-x)^2 + \begin{cases} 0 & \text{if } x \ge 10^{-10} \\ (x-10^{-10})^2 & \text{otherwise} \end{cases}$$

$$\downarrow \downarrow$$

$$x^* = 9.0 * 10^{-9}$$
 (can be others)

## XSat & results

- Developed the ME-based XSat tool
- Evaluated against MathSat and Z3
- Used SMT-Comp 2015 FP benchmarks
- Result summary
  - 100% consistent results
  - 700+X faster than MathSat
  - 800+X faster than Z3

**Take-away**: Don't be afraid of difficult problems, look at them from new perspectives,

even for "damned" problems!

## Generalizations

- Coverage-based testing of FP code
- Boundary value analysis
- FP exception detection
- Path divergence detection

# ME in the long run

- Offers a new general analysis paradigm
- Complements existing approaches
  - ◆Random concrete execution (CE)
  - Symbolic execution (SE)
  - ◆Abstract execution (AE)

### **Additional Reflections**

#### Pursue "less-crowded" directions

Much work on applying ML/DL/Al but much less on

- Formalizing ML/DL/Al systems
- □ Testing/verifying/explaining them
- Making them more usable & effective

#### Pursue "less-crowded" directions

- □ Intelligent programming assistants
- Compilers for developers (not machines)
- □ Knowledge capture & reuse
- Anything leading closer to key mission

Many exciting

opportunities,

challenges,

uncertainties