

# Application of Deep Learning to Text and Image Data

# Module 3, Lab 2: Using a CNN for Basic Image Operations

This notebook will show you how to perform basic image operations on a dataset. Then, you will build a convolutional neural network (CNN) by using built-in CNN architectures in PyTorch to train a multiclass classification model on a real-world dataset. You will also examine the effect of adding layers to a neural network.

You will learn how to do the following:

- Import data.
- Apply padding and stride to data.
- Create a neural network.
- Add layers to a neural network.
- Evaluate the performance of a neural network.

You will be presented with a challenge at the end of this lab:



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## What is a CNN?

Before you build a CNN, let's briefly discuss what a CNN is and how it works. A CNN is a type of neural network that is commonly used for image classification, object detection, and other computer vision (CV) tasks. A CNN consists of several layers, including convolutional layers, pooling layers, and fully connected layers.

Convolutional layers are the heart of a CNN. They use a set of learnable filters to scan the input image and extract features. Pooling layers then reduce the size of the feature maps that the convolutional layers produce. Finally, the fully connected layers use these features to make predictions about the input image.

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# Toy example

First, look at a sample tensor that you can use as a toy example to understand the concepts of convolution and pooling. Note: The "toy example" here is a simplified and small-scale representation of basic image operations. It's used for initial exploration based on simple data

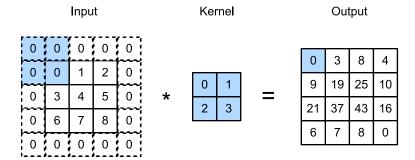
#### **Convolution 2D**

The built-in CNN classes in PyTorch have a variety of convolutional layers, such as the following:

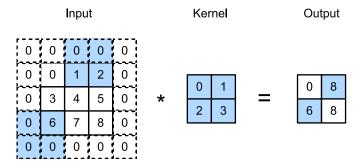
```
nn.Conv1d()
nn.Conv2d()
nn.Conv3d()
```

For more information, see Convolution Layers on the torch.nn page in the PyTorch documentation.

To improve results, apply padding and stride. Recall that padding adds rows or columns around the input. In the following example, padding of 1 is added to each side:



Stride refers to the number of units that the kernel shifts in each direction per step. In the following example, a stride of (2,3) is used:



Start by creating a sample tensor with shape (3, 3), kernel size of 2, padding size of 1, and stride size of (2, 3).

```
In [3]: # Initialize a tensor
X = torch.rand(size=(3, 3))

# Create a 2D convolution
conv2d = nn.Conv2d(
    in_channels=1, out_channels=1, kernel_size=2, padding=1, stride=(2, 3)
)
```

# Computing the shape

Now you need to determine what the resulting shape of the tensor is after the updates to the Conv2d class were applied.

The output shape of Conv2d() should be the following:

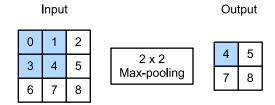
You can validate this in code. To check the output of the convolution layers, define the comp\_conv2d function as forward propagation.

```
In [4]: def comp_conv2d(conv2d, X):
    # Reshaping with (1, 1) specifies batch size and number of channels
    # Batch of 1 image is processed, and the input image is assumed to be a
    X = X.reshape((1, 1) + X.shape)
    print("Input shape:", X.shape)
    Y = conv2d(X)
    print("Output shape:", Y.shape)
    # Exclude the first two dimensions that aren't of interest:
    # examples and channels
    return Y.reshape(Y.shape[2:])
```

Now that you created this function, you can use it to verify the output shape of the Conv2D layer.

#### **Pooling**

Recall that max pooling returns the maximal value in the pooling window, while average pooling returns the mean.



You can also import a built-in pooling layer from PyTorch with padding and stride. Some examples are MaxPool2d() and AvgPool1d().

For more information, see Pooling Layers on the torch.nn page in the PyTorch documentation.

```
In [6]: # Create a new sample tensor with 4 rows and 4 columns
# The values inside the tensor range from 0 to 15
X = torch.arange(16, dtype=torch.float32).reshape((1, 1, 4, 4))
print(X)

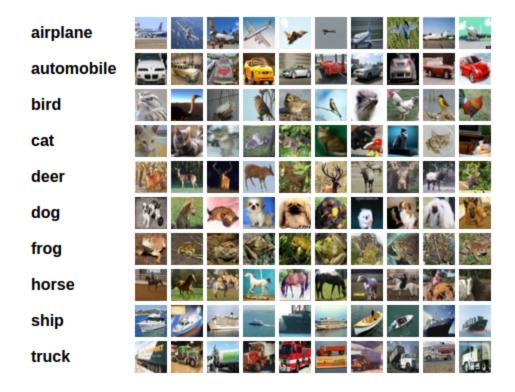
# Apply the pooling
pool2d = nn.MaxPool2d(kernel_size=3, padding=1, stride=2)
print(pool2d(X))
```

# Real-world example: CIFAR-10

Now that you have explored the key concepts of convolution, you can use what you have learned to build a simple CNN to process some real-world data. To do this, you will load the dataset, design the network, and finally evaluate the network's performance.

You will use the CIFAR-10 dataset. This image dataset has the following classes: airplane, automobile, bird, cat, deer, dog, frog, horse, ship, and truck. The images in the CIFAR-10 dataset are of size 3x32x32, which means that they are 3-channel color images that are 32x32 pixels in size.

The following image provides a sample of images from each class in the dataset:



# Loading the dataset

To load the dataset, you need to prepare the image data a bit by using transfom functions.

Files already downloaded and verified

First, convert the image tensor of shape  $(C \times H \times W)$  in the range [0, 255] to a float32 torch tensor of shape  $(C \times H \times W)$  in the range [0, 1] by using the ToTensor class. Then, normalize a tensor of shape  $(C \times H \times W)$  with its mean and standard deviation by using the Normalize function.

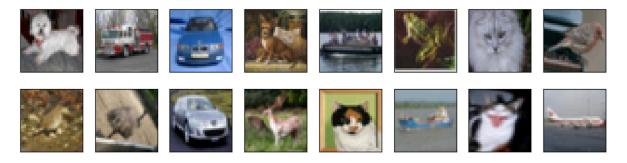
It's helpful to visualize what the dataset looks like. To do this, define a show\_images
function, and then use the function to display sample images.

```
In [9]: # Create a function to load images and display them

def show_images(imgs, num_rows, num_cols, titles=None, scale=1.5):
    """Plot a list of images."""
    figsize = (num_cols * scale, num_rows * scale)
    _, axes = plt.subplots(num_rows, num_cols, figsize=figsize)
    axes = axes.flatten()
    for i, (ax, img) in enumerate(zip(axes, imgs)):
        ax.imshow(img.permute(1, 2, 0).numpy())
        ax.axes.get_xaxis().set_visible(False)
        ax.axes.get_yaxis().set_visible(False)
        if titles:
            ax.set_title(titles[i])
    return axes
```

```
In [10]: # Use DataLoader to get sample images
sample = DataLoader(train_dataset, batch_size=2 * 8, shuffle=True)

# Use the loaded images with the show_images function to display them
for data, label in sample:
    show_images(data, 2, 8)
    break
```



In practice, reading in or plotting images can be a significant performance bottleneck. To facilitate the processing of reading images from the datasets, use a PyTorch

DataLoader . The DataLoader reads a minibatch of data with size batch\_size each time.

Before building the convolutional network, you need to set up the DataLoader and split the training dataset into train and validation sets.

```
In [11]: # Define the batch size for the minibatches
         batch_size = 16
         # Define the percentage of the dataset that you want in the validation set
         valid_size = 0.2
         num_train = len(train_dataset)
         indices = list(range(num_train))
         split = int(np.floor(valid_size * num_train))
         # Split the dataset
         train_idx, valid_idx = indices[split:], indices[:split]
         train_sampler = SubsetRandomSampler(train_idx)
         valid_sampler = SubsetRandomSampler(valid_idx)
         # Load the training data
         train loader = torch.utils.data.DataLoader(
             train_dataset,
             batch_size=batch_size,
             sampler=train_sampler,
         # Load the validation data
         valid_loader = torch.utils.data.DataLoader(
             train_dataset,
             batch size=batch size,
             sampler=valid_sampler,
         # Create minibatches
         test_loader = DataLoader(test_dataset, batch_size=batch_size, shuffle=False)
```

# Designing the network

Now that you have seen the data, it's time to design a CNN.

First, initialize a Sequential block. In PyTorch, Sequential defines a container for several layers that will be chained together. Given input data, a Sequential block passes it through the first layer, in turn passing the output as the second layer's input and so forth.

You will build a neural network with a 2D convolutional layer, Conv2D(in\_channels=3, out\_channels=16, kernel\_size=5). This will be followed by a 2D max pooling layer, MaxPool2d(kernel\_size=2, stride=2); a fully connected (or Dense) layer; and a final output Dense layer with output classes 10 (because CIFAR-10 contains 10 different classes). Use ReLU as the activation function between layers.

To get the correct dimensions for the final dense layer, consider what the various transformations have done to the input size of the image. You might want to create a helper function to calculate the output shape; the final result should be nn.Linear(14 \* 14 \* 16, 32).

```
In [12]: # Create helper function to calculate the image size after applying layers
def maxpool(w, k, p=0, d=1, s=None):
    return ((w + 2 * p - d * (k - 1) - 1) / s) + 1

# Create helper function to calculate the image size after applying layers
def conv2d(w, k, p=0, d=1, s=1):
    return ((w - k + 2 * p) / s) + 1

maxpool(w=conv2d(32, 5), k=2, s=2)
```

Out[12]: 14.0

```
In [13]: # Use GPU resource, if available; otherwise, use CPU
         device = torch.device("cuda:0" if torch.cuda.is_available() else "cpu")
         # Set the number of output classes
         out classes = 10
         # Design the network
         net = nn.Sequential(
             # Convolutional layer
             nn.Conv2d(in channels=3, out channels=16, kernel size=5),
             nn.ReLU(),
             # Max pooling layer
             nn.MaxPool2d(kernel_size=2, stride=2),
             # The flatten layer collapses all axes,
             # except the first one, into one axis.
             nn.Flatten(),
             # Fully connected or dense Layer
             nn.Linear(14 * 14 * 16, 32),
             nn.ReLU(),
```

```
# Output layer
nn.Linear(32, out_classes),
).to(device)
```

The network is almost ready to be trained. The last thing to do before training is to set the number of epochs to train, the learning rate of optimization algorithms, and the loss function. Because this problem is a multiclass classification task, CrossEntropyLoss is the correct loss function to use.

```
In [14]: epochs = 25
learning_rate = 0.01
criterion = nn.CrossEntropyLoss()
```

To calculate the accuracy easily, define a function, calculate\_accuracy(output, label), that can be called for each batch of data. The function uses the network's outputs and the corresponding labels to calculate the accuracy.

```
In [15]: def calculate_accuracy(output, label):
    """Calculate the accuracy of the trained network.
    output: (batch_size, num_output) float32 tensor
    label: (batch_size, ) int32 tensor"""
    return (output.argmax(axis=1) == label.float()).float().mean()
```

To get the neural network to optimize its weights, instantiate by using optim. <Optimizer>. This defines the parameters to optimize over (obtainable from the neural network by using net.parameters()) and the hyperparameters that the optimization algorithm requires. After you do that, it's time to train!

```
In [16]: optimizer = SGD(net.parameters(), lr=learning_rate)
         for epoch in range(epochs):
             net = net.to(device)
             train_loss, val_loss, train_acc, valid_acc = 0.0, 0.0, 0.0, 0.0
             # Training loop
             # This loop trains the neural network (weights are updated)
             net.train() # Activate training mode
             for data, label in train loader:
                 # Zero the parameter gradients
                 optimizer.zero grad()
                 # Put data and label to the correct device
                 data = data.to(device)
                 label = label.to(device)
                 # Make forward pass
                 output = net(data)
                 # Calculate loss
                 loss = criterion(output, label)
                 # Make backward pass (calculate gradients)
                 loss.backward()
                 # Accumulate training accuracy and loss
```

```
train_acc += calculate_accuracy(output, label).item()
        train loss += loss.item()
        # Update weights
        optimizer.step()
    # Validation loop
    # This loop tests the trained network on the validation dataset
    # No weight updates here
    # torch.no grad() reduces memory usage when not training the network
    net.eval() # Activate evaluation mode
    with torch.no_grad():
        for data, label in valid loader:
            data = data.to(device)
            label = label.to(device)
            # Make forward pass with the trained model so far
            output = net(data)
            # Accumulate validation accuracy and loss
            valid_acc += calculate_accuracy(output, label).item()
            val loss += criterion(output, label).item()
    # Take averages
    train loss /= len(train loader)
    train_acc /= len(train_loader)
    val_loss /= len(valid_loader)
    valid_acc /= len(valid_loader)
    print(
        "Epoch %d: train loss %.3f, train acc %.3f, val loss %.3f, val acc %
        % (epoch + 1, train_loss, train_acc, val_loss, valid_acc)
Epoch 1: train loss 1.931, train acc 0.301, val loss 1.663, val acc 0.410
Epoch 2: train loss 1.540, train acc 0.449, val loss 1.449, val acc 0.479
Epoch 3: train loss 1.401, train acc 0.500, val loss 1.357, val acc 0.515
Epoch 4: train loss 1.323, train acc 0.532, val loss 1.305, val acc 0.542
Epoch 5: train loss 1.263, train acc 0.553, val loss 1.269, val acc 0.551
Epoch 6: train loss 1.211, train acc 0.573, val loss 1.298, val acc 0.536
Epoch 7: train loss 1.167, train acc 0.587, val loss 1.201, val acc 0.577
Epoch 8: train loss 1.128, train acc 0.602, val loss 1.196, val acc 0.582
Epoch 9: train loss 1.091, train acc 0.616, val loss 1.196, val acc 0.574
Epoch 10: train loss 1.056, train acc 0.630, val loss 1.173, val acc 0.590
Epoch 11: train loss 1.025, train acc 0.639, val loss 1.169, val acc 0.591
Epoch 12: train loss 0.995, train acc 0.654, val loss 1.153, val acc 0.603
Epoch 13: train loss 0.968, train acc 0.660, val loss 1.179, val acc 0.596
Epoch 14: train loss 0.942, train acc 0.670, val loss 1.224, val acc 0.586
Epoch 15: train loss 0.914, train acc 0.681, val loss 1.160, val acc 0.605
Epoch 16: train loss 0.890, train acc 0.689, val loss 1.172, val acc 0.598
Epoch 17: train loss 0.866, train acc 0.696, val loss 1.172, val acc 0.603
Epoch 18: train loss 0.841, train acc 0.705, val loss 1.219, val acc 0.595
Epoch 19: train loss 0.821, train acc 0.712, val loss 1.220, val acc 0.599
Epoch 20: train loss 0.798, train acc 0.720, val loss 1.252, val acc 0.590
Epoch 21: train loss 0.780, train acc 0.725, val loss 1.187, val acc 0.611
Epoch 22: train loss 0.758, train acc 0.733, val loss 1.230, val acc 0.601
Epoch 23: train loss 0.737, train acc 0.740, val loss 1.244, val acc 0.598
Epoch 24: train loss 0.718, train acc 0.748, val loss 1.247, val acc 0.601
Epoch 25: train loss 0.704, train acc 0.752, val loss 1.275, val acc 0.603
```

Notice that the training loss and accuracy continue to improve, while the validation loss and accuracy are mostly fluctuating. This is a signal of overfitting.

# **Evaluating the network**

Now that you have trained the model, you can test its accuracy.

```
In [18]: test_acc = 0.0

# Activate evaluation mode
net.eval()

# Calculate the test accuracy
with torch.no_grad():
    for data, label in test_loader:
        data = data.to(device)
        label = label.to(device)
        output = net(data)
        test_acc += calculate_accuracy(output, label).item()

# Calculate the average test accuracy
test_acc = test_acc / len(test_loader)

print("Test accuracy: %.3f" % test_acc)
```

Test accuracy: 0.607

#### Try it yourself!



Modify the neural network to create an updated\_net that includes a second Conv2d(in\_channels=3, out\_channels=16, kernel\_size=5) followed by a MaxPool2d(kernel\_size=2, stride=2) layer. Continue to use ReLU as the activation function.

Ensure that you update the dimensions in the dense layer to account for the additional convolution and pooling.

```
You will also need to update the optimizer: updated_optimizer = SGD(updated_net.parameters(), lr=learning_rate).
```

Retrain the network, and evaluate on the test data. Has the performance improved?

```
# Set training hyperparameters
         epochs = 25
         learning rate = 0.01
         criterion = nn.CrossEntropyLoss()
         updated_optimizer = SGD(updated_net.parameters(), lr=learning_rate)
         # Training loop
         for epoch in range(epochs):
             updated net.train()
             train_loss, val_loss, train_acc, valid_acc = 0.0, 0.0, 0.0, 0.0
             for data, label in train_loader:
                 data = data.to(device)
                 label = label.to(device)
                 updated optimizer.zero grad()
                 output = updated net(data)
                 loss = criterion(output, label)
                 loss.backward()
                 train_acc += calculate_accuracy(output, label).item()
                 train loss += loss.item()
                 updated_optimizer.step()
             updated net.eval()
             with torch.no grad():
                 for data, label in valid loader:
                     data = data.to(device)
                     label = label.to(device)
                     output = updated net(data)
                     val_loss += criterion(output, label).item()
                     valid acc += calculate accuracy(output, label).item()
             train_loss /= len(train_loader)
             train_acc /= len(train_loader)
             val loss /= len(valid loader)
             valid_acc /= len(valid_loader)
             print(
                 "Epoch %d: train loss %.3f, train acc %.3f, val loss %.3f, val acc %
                 % (epoch + 1, train loss, train acc, val loss, valid acc)
         # Test the updated network
         test acc = 0.0
         updated_net.eval()
         with torch.no grad():
             for data, label in test loader:
                 data = data.to(device)
                 label = label.to(device)
                 output = updated net(data)
                 test_acc += calculate_accuracy(output, label).item()
         test_acc /= len(test_loader)
```

```
print("Updated net test accuracy: %.3f" % test_acc)
############ END OF CODE #############
Epoch 1: train loss 2.127, train acc 0.206, val loss 1.932, val acc 0.294
Epoch 2: train loss 1.708, train acc 0.387, val loss 1.555, val acc 0.438
Epoch 3: train loss 1.480, train acc 0.467, val loss 1.383, val acc 0.501
Epoch 4: train loss 1.360, train acc 0.511, val loss 1.284, val acc 0.542
Epoch 5: train loss 1.270, train acc 0.548, val loss 1.217, val acc 0.565
Epoch 6: train loss 1.195, train acc 0.575, val loss 1.149, val acc 0.596
Epoch 7: train loss 1.130, train acc 0.601, val loss 1.117, val acc 0.604
Epoch 8: train loss 1.075, train acc 0.621, val loss 1.105, val acc 0.615
Epoch 9: train loss 1.029, train acc 0.638, val loss 1.088, val acc 0.618
Epoch 10: train loss 0.986, train acc 0.651, val loss 1.047, val acc 0.632
Epoch 11: train loss 0.945, train acc 0.670, val loss 1.062, val acc 0.631
Epoch 12: train loss 0.911, train acc 0.682, val loss 1.019, val acc 0.644
Epoch 13: train loss 0.872, train acc 0.694, val loss 1.039, val acc 0.643
Epoch 14: train loss 0.841, train acc 0.707, val loss 0.999, val acc 0.655
Epoch 15: train loss 0.811, train acc 0.718, val loss 1.002, val acc 0.661
Epoch 16: train loss 0.782, train acc 0.724, val loss 0.983, val acc 0.664
Epoch 17: train loss 0.753, train acc 0.738, val loss 1.040, val acc 0.650
Epoch 18: train loss 0.727, train acc 0.745, val loss 1.048, val acc 0.656
Epoch 19: train loss 0.699, train acc 0.752, val loss 1.004, val acc 0.664
Epoch 20: train loss 0.675, train acc 0.764, val loss 1.041, val acc 0.652
Epoch 21: train loss 0.652, train acc 0.770, val loss 1.030, val acc 0.668
Epoch 22: train loss 0.630, train acc 0.778, val loss 1.045, val acc 0.662
Epoch 23: train loss 0.606, train acc 0.784, val loss 1.081, val acc 0.655
Epoch 24: train loss 0.588, train acc 0.793, val loss 1.104, val acc 0.652
Epoch 25: train loss 0.564, train acc 0.799, val loss 1.112, val acc 0.651
Updated net test accuracy: 0.647
```

## Conclusion

In this notebook, you practiced building a CNN. You learned that making the neural network more sophisticated by adding layers doesn't necessarily improve the performance. This tells you that a different type of neural network might be better suited to solve the image classification task.

## Next lab

In the next lab, you will continue to learn about CNNs by using PyTorch to process a real-world dataset.