Neutrino Physics Note

Tony G. Liu

June 14, 2021

1 Quantum Kinetic Equations for Neutrino

1.1 Wigner phase-space density operator

The momentum expansion of Dirac fermionic fields (promote to operators):

$$\psi(x) = \sum_{s} \int \frac{d^3p}{(2\pi)^3} a_{\mathbf{p}}^s u^s(p) e^{-ip \cdot x} + b_{\mathbf{p}}^{s\dagger} v^s(p) e^{ip \cdot x}.$$

$$\psi^{\dagger}(x) = \sum_{s} \int \frac{d^3p}{(2\pi)^3} a_{\mathbf{p}}^{s\dagger} u^{s\dagger}(p) e^{ip \cdot x} + b_{\mathbf{p}}^s v^{s\dagger}(p) e^{-ip \cdot x}.$$

They obey the Dirac equation: $(i\gamma^{\mu}\partial_{\mu} - m)\psi(x) = 0$

A Fourier transformation connects momentum and position space representation of the operators:

$$a_{\mathbf{p}}^{s} = \int d^{3}x \ e^{ip \cdot x} u^{s\dagger}(p) \psi(x) \qquad a_{\mathbf{p}}^{s\dagger} = \int d^{3}x \ e^{-ip \cdot x} \psi^{\dagger}(x) u^{s}(p)$$
$$b_{\mathbf{p}}^{s} = \int d^{3}x \ e^{ip \cdot x} \psi^{\dagger}(x) v^{s}(p) \qquad b_{\mathbf{p}}^{s\dagger} = \int d^{3}x \ e^{-ip \cdot x} v^{s\dagger}(p) \psi(x)$$

using the normalization: $u^{s\dagger}(p)u^{s'}(p) = v^{s\dagger}(p)v^{s'}(p) = \delta_{ss'}$.

*Note: The normalization can be up to some factors like $2E_{\mathbf{p}}$ or $\frac{E_{\mathbf{p}}}{m}$. It all depends on which factor is more convenient for establishing the theory.

According to the spin-statistics theorem, at equal time the Pauli exclusion principle is implemented by anti-commutation relations of the field operators:

$$[\psi(\mathbf{x},t),\psi(\mathbf{x}',t)] = \delta(\mathbf{x} - \mathbf{x}').$$

The creation and annihilation operators then automatically satisfy the relation:

$$\left\{a_{\mathbf{p}}^{s}, a_{\mathbf{p}'}^{s'\dagger}\right\} = \left\{b_{\mathbf{p}}^{s}, b_{\mathbf{p}'}^{s'\dagger}\right\} = (2\pi)^{3} \delta_{ss'} \delta^{3}(\mathbf{p} - \mathbf{p}').$$

Proof:

$$\left\{a_{\mathbf{p}}^{s}, a_{\mathbf{p}'}^{s'\dagger}\right\} = \int d^{3}x d^{3}x' \ e^{i(p \cdot x - p' \cdot x')} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') \left\{\psi(\mathbf{x}, t), \psi^{\dagger}(\mathbf{x}', t)\right\} = \int d^{3}x e^{i(p - p') \cdot x} u^{s\dagger}(p) u^{s'}(p') u$$

Similarly for the antifermion operators.

Now, consider the left-handed massless neutrino field (with spin $s=\frac{1}{2}$):

$$\psi(x) = \int \frac{d^3p}{(2\pi)^3} \Big(a_{\mathbf{p}}(t) u_{\mathbf{p}} + b_{-\mathbf{p}}^{\dagger}(t) v_{-\mathbf{p}} \Big) e^{i\mathbf{p} \cdot \mathbf{x}}$$

$$\psi^{\dagger}(x) = \int \frac{d^3p}{(2\pi)^3} \Big(a_{\mathbf{p}}^{\dagger}(t) u_{\mathbf{p}}^{\dagger} + b_{-\mathbf{p}}(t) v_{-\mathbf{p}}^{\dagger} \Big) e^{-i\mathbf{p} \cdot \mathbf{x}}$$

 $u_{\mathbf{p}}$: negative-helicity fermionic spinor

 $v_{\mathbf{p}}$: positive-helicity fermionic spinor

1.2 Time Independent Hamiltonain

$$\left(\frac{\partial}{\partial t} + \mathbf{v} \cdot \frac{\partial}{\partial \mathbf{x}}\right) \varrho(t, \mathbf{x}, \mathbf{p}) = i[\varrho(t, \mathbf{x}, \mathbf{p}), \mathcal{H}(t, \mathbf{x}, \mathbf{p})], \ \varrho(t = 0, \mathbf{x}) = f(\mathbf{x})$$

$$\mathcal{H} = \begin{pmatrix} -\cos 2\theta & \sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix}$$

$$\Rightarrow \varrho(t, \mathbf{x}) = e^{-i\mathcal{H}t} f(\mathbf{x} - \mathbf{v}t) e^{i\mathcal{H}t}.$$

Given the explicit form of initial condition, the solution is

$$e^{i\mathcal{H}t} = \begin{pmatrix} e^{it}\sin^2\theta + e^{-it}\cos^2\theta & i\sin t\sin 2\theta \\ i\sin t\sin 2\theta & e^{it}\cos^2\theta + e^{-it}\sin^2\theta \end{pmatrix}, f(\mathbf{x}) = \begin{pmatrix} f_{ee}(\mathbf{x}) & 0 \\ 0 & f_{xx}(\mathbf{x}) \end{pmatrix}$$

$$\varrho_{ee}(t, \mathbf{x}) = f_{ee}(\mathbf{x} - \mathbf{v}t) \Big(\cos^4(\theta) + 2\cos(2t)\cos^2(\theta)\sin^2(\theta) + \sin^4(\theta) \Big) + f_{xx}(\mathbf{x} - \mathbf{v}t)\sin^2 t \sin^2 2\theta$$

$$\varrho_{ex}(t, \mathbf{x}) = \varrho_{xe}^* = 2i \Big(f_{ee}(\mathbf{x} - \mathbf{v}t) - f_{xx}(\mathbf{x} - \mathbf{v}t) \Big) \sin(t)\sin(\theta)\cos(\theta) \Big(e^{it}\cos^2(\theta) + e^{-it}\sin^2(\theta) \Big)$$

$$\varrho_{xx}(t, \mathbf{x}) = f_{xx}(\mathbf{x} - \mathbf{v}t) \Big(\cos^4(\theta) + 2\cos(2t)\cos^2(\theta)\sin^2(\theta) + \sin^4(\theta) \Big) + f_{ee}(\mathbf{x} - \mathbf{v}t)\sin^2(t)\sin^2(2\theta).$$

2 Numerical Specification

The e.o.m. for the mixed state of neutrinos described by mean-field density matrix $\varrho(t, \mathbf{x}, \mathbf{p})$:

$$\left(\frac{\partial}{\partial t} + \mathbf{v} \cdot \frac{\partial}{\partial \mathbf{x}} + \mathbf{f} \cdot \frac{\partial}{\partial \mathbf{p}}\right) \varrho(t, \mathbf{x}, \mathbf{p}) = i[\varrho(t, \mathbf{x}, \mathbf{p}), \mathcal{H}(t, \mathbf{x}, \mathbf{p})] + C[\varrho].$$

2.1 Numerical Setup

Consider two-flavor system, and impose the translation symmetry on both x and y dimensions, the e.o.m. for neutrino and antineutrino:

$$(\partial_t + v_z \partial_z) \, \rho(t, z, v_z) = i[\rho(t, z, v_z), \mathcal{H}(t, z, v_z)]$$

$$(\partial_t + v_z \partial_z) \, \overline{\rho}(t, z, v_z) = i \big[\overline{\rho}(t, z, v_z), \overline{\mathcal{H}}(t, z, v_z) \big]$$

with
$$\rho(t,z,v_z) = \begin{pmatrix} \rho_{ee} & \rho_{ex} \\ \rho_{ex}^* & \rho_{xx} \end{pmatrix}$$
, $\overline{\rho}(t,z,v_z) = \begin{pmatrix} \overline{\rho}_{ee} & \overline{\rho}_{ex} \\ \overline{\rho}_{ex}^* & \overline{\rho}_{xx} \end{pmatrix}$

Ignoring the MSW effect, the Hamiltonian would be:

$$\mathcal{H}(t,z;v_z) = \begin{pmatrix} -\cos 2\theta & \sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix} + \mu \int_0^1 dv_z' (1 - v_z v_z') [\rho(t,z;v_z') - \bar{\rho}^*(t,z;v_z')] \equiv \mathcal{H}_{vac} + \mathcal{H}_{\nu\nu},$$

$$\overline{\mathcal{H}}(t,z;v_z) = \begin{pmatrix} -\cos 2\theta & \sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix} - \mu \int_0^1 dv_z' (1 - v_z v_z') [\rho^*(t,z;v_z') - \bar{\rho}(t,z;v_z')] \equiv \overline{\mathcal{H}}_{vac} + \overline{\mathcal{H}}_{\nu\nu}$$

The finite difference index:

Let $\rho(t,z,v_z)$ be ρ_{i_1,i_2}^k in the discretization and the interaction Hamiltonian

$$\mathcal{H}_{\nu\nu,i_1,i_2}^k = \frac{\mu}{N} \sum_{k'=0}^N \left(1 - \frac{kk'}{N^2} \right) \left(\rho_{i_1,i_2}^{k'} - \bar{\rho}_{i_1,i_2}^{*k'} \right).$$

$$\overline{\mathcal{H}}_{\nu\nu,i_1,i_2}^k = -\frac{\mu}{N} \sum_{k'=0}^N \left(1 - \frac{kk'}{N^2}\right) \left(\rho_{i_1,i_2}^{*k'} - \bar{\rho}_{i_1,i_2}^{k'}\right).$$

Algorithm: Lax-Wendroff Method:

Using the center space discretization

$$\partial_z \rho \to \frac{\rho_{i_1, i_2+1} - \rho_{i_1, i_2-1}}{2\delta z}.$$

$$\rho(t+\delta t,z) = \rho(t,z) + \delta t \; \frac{\partial \rho(t,z)}{\partial t} + \frac{1}{2} \delta t^2 \; \frac{\partial^2 \rho(t,z)}{\partial t^2} + O(\delta t^3).$$

Express the density matrix at $i_1 + 1$ -th time in terms of that at i_1

$$\begin{split} \rho_{i_1+1,i_2}^k &= \rho_{i_1,i_2}^k + F_{\text{trnspt}}(\rho_{i_1}^k) + F_{\text{osc}}(\rho_{i_1}^k). \\ F_{\text{trnspt}}(\rho_{i_1}^k) &= -\frac{c}{2}(\rho_{i_1,i_2+1}^k - \rho_{i_1,i_2-1}^k) + \frac{c^2}{2}(\rho_{i_1,i_2+1}^k - 2\rho_{i_1,i_2}^k + \rho_{i_1,i_2-1}^k). \\ F_{\text{osc}}(\rho_{i_1}^k) &= F_1(\rho_{i_1}^k) + F_2(\rho_{i_1}^k). \\ F_1(\rho_{i_1}^k) &= i\delta t[\rho_{i_1,i_2}^k, \mathcal{H}_{i_1,i_2}^k] - \frac{ic\delta t}{2}[\rho_{i_1,i_2+1}^k - \rho_{i_1,i_2-1}^k, \mathcal{H}_{i_1,i_2}^k] - \frac{\delta t^2}{2}[[\rho_{i_1,i_2}^k, \mathcal{H}_{i_1,i_2}^k], \mathcal{H}_{i_1,i_2}^k]. \\ F_2(\rho_{i_1}^k) &= -\frac{1}{4}ic\delta t\left[\rho_{i_1,i_2}^k, \mathcal{A}\right] + \frac{1}{2}i\delta t^2\left[\rho_{i_1,i_2}^k, \mathcal{B}\right] \rightarrow \text{interaction.} \\ \mathcal{H}_{i_1,i_2}^k &= \mathcal{H}_{\text{vac}} + \mathcal{H}_{\nu\nu,i_1,i_2}^k. \\ \mathcal{A} &= \frac{\mu}{N}\sum_{k'=0}^N \left(1 - \frac{kk'}{N^2}\right)\left((\rho_{i_1,i_2+1}^{k'} - \rho_{i_1,i_2-1}^{k'}) - (\bar{\rho}_{i_1,i_2+1}^{*k'} - \bar{\rho}_{i_1,i_2-1}^{*k'})\right) \sim (\ \partial_z \mathcal{H}\). \end{split}$$

$$\mathcal{B} = \frac{\mu}{N} \sum_{k'=0}^{N} \left(1 - \frac{kk'}{N^2} \right) \left(\left(i \left[\rho_{i_1, i_2}^{k'}, \mathcal{H}_{i_1, i_2}^{k'} \right] - \rho_{i_1, i_2+1}^{k'} + \rho_{i_1, i_2-1}^{k'} \right) - \left(i \left[\bar{\rho}_{i_1, i_2}^{*k'}, \overline{\mathcal{H}}_{i_1, i_2}^{*k'} \right] - \bar{\rho}_{i_1, i_2+1}^{*k'} + \bar{\rho}_{i_1, i_2-1}^{*k'} \right) \right) \sim \left(\partial_t \mathcal{H} \right).$$

The above Courant-Friedrichs-Lewy (CFL) stability criterion:

$$c \equiv \frac{|v_z|\delta t}{\delta z} \le 1.$$