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**Title:** Mechanisms underlying leaf photosynthetic acclimation to warming and elevated CO<sub>2</sub> as inferred from least-cost optimality theory

**Running Head:** Photosynthetic acclimation to global change

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## Abstract

The mechanisms responsible for photosynthetic acclimation are not well understood, effectively limiting predictability under future conditions. Least-cost optimality theory can be used to predict the acclimation of photosynthetic capacity based on the assumption that plants maximize carbon uptake while minimizing the associated costs. Here, we use this theory as a null model in combination with multiple datasets of C<sub>3</sub> plant photosynthetic traits to elucidate the mechanisms

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underlying photosynthetic acclimation to elevated temperature and CO<sub>2</sub>. The model-data comparison showed that leaves decrease the ratio of the maximum rate of electron transport to the maximum rate of Rubisco carboxylation ( $J_{\max}/V_{\max}$ ) under higher temperatures. The comparison also indicated that resources used for Rubisco and electron transport are reduced under both elevated temperature and CO<sub>2</sub>. Finally, our analysis suggested that plants underinvest in electron transport relative to carboxylation under elevated CO<sub>2</sub>, limiting potential leaf-level photosynthesis under future CO<sub>2</sub> concentrations. Altogether, our results show that acclimation to temperature and CO<sub>2</sub> is primarily related to resource conservation at the leaf level. Under future, warmer, high CO<sub>2</sub> conditions, plants are therefore likely to use less nutrients for leaf level photosynthesis, which may impact whole-plant to ecosystem functioning.

## Keywords

Photosynthesis, acclimation, climate change, biosphere-atmosphere feedbacks, Rubisco, electron transport, nutrients,  $V_{\max}$

## Introduction

Much of the uncertainty in Earth System Model (ESM) projections of future terrestrial carbon uptake and storage (Friedlingstein *et al.*, 2013) is due to uncertainty in the response of photosynthetic carbon assimilation to future conditions (Booth *et al.*, 2012). Biochemical models of the relative limitations of C<sub>3</sub> photosynthesis have been developed from photosynthetic theory and have proven capable of characterizing the short-term response (i.e., seconds to minutes) of photosynthesis to environmental conditions, such as light, temperature, and CO<sub>2</sub> (Farquhar *et al.*, 1980). However, the long-term response (i.e., weeks to years) and acclimation of photosynthesis to a changing environment has, to date, been more difficult to suitably characterize for integration into ESMs (Smith & Dukes, 2013; Rogers, 2014; Lombardozzi *et al.*, 2015; Rogers *et al.*, 2017a; Smith *et al.*, 2017).

Across a variety of plant types, the biochemical processes underlying photosynthesis have been shown to acclimate to expected global changes - elevated temperature and CO<sub>2</sub> in particular (Ainsworth & Long, 2005; Ainsworth & Rogers, 2007; Kattge & Knorr, 2007; Leakey *et al.*, 2009; Smith & Dukes, 2013; Dusenke *et al.*, 2019). In response to temperature, photosynthetic biochemical acclimation typically results in an alteration of the instantaneous response of Rubisco carboxylation and electron transport (Smith & Dukes, 2013). This is

commonly observed as a positive shift in the temperature optimum of maximum Rubisco carboxylation ( $V_{\text{cmax}}$ ) and electron transport ( $J_{\text{max}}$ ) rates with warming (Kattge & Knorr, 2007; Scafaro *et al.*, 2017; Smith & Dukes, 2017a). This increase is due to a stimulation of enzymatic activity as well as a change in the thermal stability of the component membranes (Sage & Kubien, 2007), altered production of different Rubisco activase isoforms (Sage & Kubien, 2007; Yamori *et al.*, 2014), and/or the production of different Rubisco subunit isoforms (Hikosaka *et al.*, 2006). Additionally, the sensitivity of acclimated rates of  $V_{\text{cmax}}$  and  $J_{\text{max}}$  to temperature tends to be lower than expected from the instantaneous (or kinetic) response (Smith & Dukes, 2013). In addition, the ratio of  $J_{\text{max}}$  to  $V_{\text{cmax}}$  ( $J_{\text{max}}/V_{\text{cmax}}$ ) is shown to consistently decrease as a result of acclimation to warmer temperatures (Kattge & Knorr, 2007; Smith & Dukes, 2017a, 2018), an effect that is likely in part due to the different shapes of the kinetic temperature response of  $V_{\text{cmax}}$  and  $J_{\text{max}}$  (Smith & Dukes, 2017a) as well as a shift in the relative allocation of leaf resources to Rubisco to counteract increased rates of photorespiration at warmer temperatures (Kattge & Knorr, 2007; Scafaro *et al.*, 2017; Smith & Dukes, 2018).

In response to elevated  $\text{CO}_2$ , photosynthetic acclimation tends to result in reduced stomatal conductance and  $V_{\text{cmax}}$  (Ainsworth & Rogers, 2007). The reduction in stomatal conductance is thought to be a water saving mechanism and follows predictions from the least-cost theory of stomatal conductance (Wright *et al.*, 2003; Prentice *et al.*, 2014). The mechanisms underlying the reduction of  $V_{\text{cmax}}$  under elevated  $\text{CO}_2$  are not as well understood (Smith & Dukes, 2013). Previous studies have suggested that this reduction may be due to nitrogen (or other nutrient) limitation (Ainsworth & Long, 2005). This follows from the idea that nutrients essential to plant productivity may limit future  $\text{CO}_2$  fertilization (Luo *et al.*, 2004; Reich *et al.*, 2006). However, the reduction in  $V_{\text{cmax}}$  under elevated  $\text{CO}_2$  may instead be the result of altered resource investment rather than resource limitation. Because greater  $\text{CO}_2$  reduces Rubisco substrate limitation, acclimating plants may be actively investing resources away from  $\text{CO}_2$  capture and towards other processes limiting productivity (Leakey *et al.*, 2009).

Empirical formulations have been developed for including photosynthetic capacity acclimation into large-scale models (Smith & Dukes, 2013). Kattge and Knorr (2007) developed a series of empirical formulations for simulating temperature acclimation of  $V_{\text{cmax}}$  and  $J_{\text{max}}$  based on a meta-analysis of literature data. These formulations have been shown to increase photosynthesis under future, warmer conditions as compared to simulations that did not include

acclimation (Lombardozzi *et al.*, 2015; Smith *et al.*, 2016, 2017; Mercado *et al.*, 2018) and are being increasingly included into the land surface component of ESMs (e.g., Oleson *et al.*, 2013; Mercado *et al.*, 2018). Recently, updated photosynthetic temperature acclimation formulations using an expanded dataset were produced by Kumarathunge *et al.* (2019).

Acclimation of photosynthetic capacity to elevated CO<sub>2</sub> has traditionally been simulated indirectly, via nitrogen cycle feedbacks (e.g., Thornton *et al.* 2007). This approach assumes and is reliant on resource limitation to photosynthesis under elevated CO<sub>2</sub>. While it has been shown that biomass stimulation by elevated CO<sub>2</sub> is influenced by nitrogen availability (Luo *et al.*, 2004; Reich *et al.*, 2006; Norby *et al.*, 2010), the downregulation of photosynthesis may instead be due to photosynthetic capacity optimization (Haxeltine & Prentice, 1996).

Previous formulations for simulating acclimation to temperature and CO<sub>2</sub> have all been developed using empirical approaches and, in many cases (e.g., Verheijen *et al.*, 2015), without inclusion of any mechanisms. As a result, these models are not likely to produce reliable estimates of future responses, particularly in long simulations that force plants to respond to novel temperature and CO<sub>2</sub> conditions (Prentice *et al.*, 2015), such as those simulated by the Climate Model Intercomparison Project (CMIP). To produce reliable results, model formulations need to be developed from first principles-based knowledge of the underlying biological processes, such that the predictions made regarding the underlying processes can be falsified using measured data.

Recently, a first principles-based theory has been developed for simulating photosynthetic acclimation (Wang *et al.*, 2017a; Smith *et al.*, 2019). The theory is based on the coordination (Maire *et al.*, 2012) and least-cost (Wright *et al.*, 2003; Prentice *et al.*, 2014) photosynthetic optimization theories. The coordination theory states that photosynthetic capacity acclimates in such a way that, under the acclimated conditions, photosynthesis is neither limited by Rubisco carboxylation nor electron transport (Maire *et al.*, 2012). The least-cost theory states that the relative investment in photosynthetic capacity and water transport are optimized such that a given photosynthetic rate is achieved at the lowest cost (Wright *et al.*, 2003). The theory predicts the long-term photosynthetic responses to environmental changes, including elevated temperature and CO<sub>2</sub>. It predicts that elevated temperatures will increase  $V_{\text{cmax}}$ , but that the increase will be less than the short-term kinetic response of  $V_{\text{cmax}}$  to temperature. The theory also predicts a decrease in the ratio of  $J_{\text{max}}$  to  $V_{\text{cmax}}$  ( $J_{\text{max}}/V_{\text{cmax}}$ ) with increasing temperatures. In

response to elevated CO<sub>2</sub>, the theory predicts a decrease in  $V_{\text{cmax}}$ , resulting in an increase in  $J_{\text{max}}/V_{\text{cmax}}$ . This theory has been shown to reliably predict the current distribution of global photosynthetic capacity (Wang *et al.*, 2017a; Smith *et al.*, 2019). However, it has not been tested in terms of its capacity to predict responses to expected future global changes.

Here, we leverage data from climate manipulation experiments to examine whether photosynthetic capacity (i.e.,  $V_{\text{cmax}}$  and  $J_{\text{max}}$ ) acclimates to elevated temperature and CO<sub>2</sub> as expected from optimization. The results of this model-data comparison are used to gain insight into the mechanisms influencing leaf-level photosynthetic responses to future conditions.

## Materials and Methods

### *Theoretical model of optimal photosynthesis*

To predict optimal rates of  $V_{\text{cmax}}$  and  $J_{\text{max}}$ , we combined the optimal theory of photosynthetic coordination (Maire *et al.*, 2012) with an optimal stomatal conductance model (Prentice *et al.*, 2014), as in Smith *et al.* (2019). The primary assumption of the combined model comes from least-cost theory of photosynthesis (Wright *et al.*, 2003). This assumption is that, optimally, leaf-level photosynthesis will operate at the fastest rate for a given environment while using the least amount of resources, namely water and nitrogen (Wright *et al.*, 2003).

The optimal photosynthesis theory assumes that plants will coordinate their photosynthetic machinery such that the rate of Rubisco carboxylation-limited photosynthesis ( $A_c$ ) is equal to that of electron transport rate-limited photosynthesis ( $A_j$ ) under typical environmental conditions (Maire *et al.*, 2012):

$$A_c = A_j \quad (1)$$

Using photosynthetic biochemical theory (Farquhar *et al.*, 1980), optimal  $V_{\text{cmax}}$ ,  $J_{\text{max}}$ , and  $J_{\text{max}}/V_{\text{cmax}}$  can then be derived as

$$V_{\text{cmax}} = \phi I \left( \frac{m}{m_c} \right) \left( \frac{\omega^*}{8\theta} \right) \quad (2)$$

$$J_{\text{max}} = \phi I \omega \quad (3)$$

$$\frac{J_{\text{max}}}{V_{\text{cmax}}} = \frac{8\theta m_c \omega}{m \omega^*} \quad (4)$$

where  $\phi$  (mol mol<sup>-1</sup>) is the realized quantum yield of photosynthetic electron transport,  $I$  (μmol m<sup>-2</sup> s<sup>-1</sup>) is the incident photosynthetically active photon flux density,  $m$  (Pa Pa<sup>-1</sup>) is the Michaelis-Menten term that describes the limitation of  $A_j$  by intracellular CO<sub>2</sub> ( $C_i$ ; Pa),  $m_c$  (Pa Pa<sup>-1</sup>) is the

Michaelis-Menten term that describes the limitation of  $A_c$  by intracellular  $\text{CO}_2$  ( $C_i$ ; Pa),  $\theta$  (unitless) is the curvature of the light response curve, and  $\omega$  and  $\omega^*$  are terms that describe the cost to maintain electron transport. In the model,  $C_i$  is calculated using the optimal stomatal conductance model derived by Prentice *et al.* (2014), which assumes that rates of conductance maximize photosynthesis while minimizing water loss and nutrient use. Specifically, the model solves for the optimal ratio ( $\chi$ ) of  $C_i$  to atmospheric  $\text{CO}_2$  partial pressure ( $C_a$ ; Pa):

$$\chi = \frac{\Gamma^*}{C_a} + \left(1 - \frac{\Gamma^*}{C_a}\right) \frac{\xi}{\xi + \sqrt{D}} \quad (5)$$

where  $\Gamma^*$  is the  $\text{CO}_2$  compensation point in the absence of mitochondrial respiration (Pa),  $D$  is leaf-to-air vapor pressure deficit (Pa) and

$$\xi = \sqrt{\beta \frac{K + \Gamma^*}{1.6\eta^*}} \quad (6)$$

where  $K$  is the Michaelis-Menten coefficient for Rubisco (Pa),  $\eta^*$  is the viscosity of water relative to its value at  $25^\circ\text{C}$  (unitless), calculated using temperature and elevation as in Huber *et al.* (2009), and  $\beta$  is the ratio of the cost to maintain carboxylation to the cost to maintain transpiration, estimated as 146 under standard conditions ( $T_g = 25^\circ\text{C}$ ,  $D_g = 1$  kPa,  $z = 0$ ) from an analysis of leaf stable carbon isotope data (Wang *et al.*, 2017a). The full derivation of the model is included in the supplementary information, but is briefly described here. The full model code is published at [https://github.com/smithecophyslab/optimal\\_vcmax\\_r/releases/tag/v2.1](https://github.com/smithecophyslab/optimal_vcmax_r/releases/tag/v2.1) (DOI: 10.5281/zenodo.3874938).

Pertinent to this study is the predicted optimal acclimation of  $V_{\text{cmax}}$ ,  $J_{\text{max}}$ , and  $J_{\text{max}}/V_{\text{cmax}}$  to temperature and atmospheric  $\text{CO}_2$ . The  $m$  and  $m_c$  terms are sensitive to temperature and  $\text{CO}_2$ . This is also true of  $\omega$  and  $\omega^*$ , which are both direct functions of  $m$  (see supplementary materials). Both  $m$  and  $m_c$  are calculated using  $\Gamma^*$ , which increases exponentially with temperature (Bernacchi *et al.*, 2001). Additionally,  $m$  is calculated using Michaelis-Menten terms for Rubisco carboxylation and oxygenation, which also increase exponentially with temperature (Bernacchi *et al.*, 2001). Additionally, the  $C_i$  calculation (equation 5) includes temperature-dependent terms  $\Gamma^*$  (Bernacchi *et al.*, 2001),  $K$  (Bernacchi *et al.*, 2001), and  $\eta^*$  (Huber *et al.*, 2009). The  $\text{CO}_2$  response is dictated by the response of  $C_i$  to  $\text{CO}_2$  (equation 5), which then modifies the  $m$  and  $m_c$  terms in equations 2-4, as well as the  $\omega$  and  $\omega^*$ , due to the fact that they are direct functions of  $m$ . Notably, these responses are based on theoretical formulations described above, but do rely on

empirical assumptions of the temperature response of  $\Gamma^*$  (Bernacchi *et al.*, 2001),  $K$  (Bernacchi *et al.*, 2001), and  $\eta^*$  (Huber *et al.*, 2009).

### Observational datasets

$V_{\text{cmax}}$  and  $J_{\text{max}}$  temperature acclimation data were taken from Smith and Dukes (2017a) and Scafaro *et al.* (2017). We used these data because they examined temperature acclimation within a single experiment and, as such, did not confound acclimation (the response of single individual as the result of phenotypic plasticity) and adaptation (the response over multiple generations) responses. Data from Smith and Dukes (2017a) were extracted from GitHub ([https://github.com/SmithEcophysLab/PU\\_GrowthChamber](https://github.com/SmithEcophysLab/PU_GrowthChamber); doi: 10.5281/zenodo.1181729).  $V_{\text{cmax}}$  data from Scafaro *et al.* (2017) was extracted directly from figures in the paper using WebPlotDigitizer version 4.0 (Rohatgi, 2017). Notably, data for  $J_{\text{max}}$  could not be extracted for Scafaro *et al.* (2017). Environmental data necessary for running the theoretical model were taken from information contained in the paper.

For comparison of temperature responses in observational data, we used a global observational dataset of open access  $V_{\text{cmax}}$  and  $J_{\text{max}}$  values, similar to the one used in Smith *et al.* (2019). This was built by combining independent data reported to be from top canopy, natural vegetation from Domingues *et al.* (2010), Domingues *et al.* (2015), Keenan & Niinemets (2016), Maire *et al.* (2015), Niinemets *et al.* (2015), Rogers *et al.* (2017b), Smith & Dukes (2017a), Togashi *et al.* (2018a), Togashi *et al.* (2018b), the TRY plant trait database (Kattge *et al.*, 2011, 2020), and Wang *et al.* (2017a). Data were chosen based on whether values necessary to fit the optimal photosynthesis model were reported or could be reasonably estimated (e.g., climate data; see below). One notable reason why data were omitted was the lack of a reported leaf temperature at which measurements were taken. Latitude and longitude were used to extract effective growing season mean temperature ( $T_g$ ; °C), atmospheric vapor pressure deficit ( $D_g$ ; Pa), and incoming photosynthetically active radiation ( $I_g$ ;  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) for each site from monthly, 1901-2015, 0.5° resolution data provided by the Climatic Research Unit (CRU TS3.24.01) (Harris *et al.*, 2014). Growing season was operationally defined as months with mean temperatures greater than 0°C. The elevation ( $z$ ; m) at each site at 0.5° resolution was obtained from the WFDEI meteorological forcing dataset (Weedon *et al.*, 2014).

Two previous studies have developed empirical formulations to describe the temperature acclimation of  $V_{\text{cmax}}$ ,  $J_{\text{max}}$ , and the  $J_{\text{max}}/V_{\text{cmax}}$  ratio as observed in global datasets (Kattge & Knorr, 2007; Kumarathunge *et al.*, 2019). As such, these formulations serve as an additional temperature response dataset to compare against. These models are modifications of the Arrhenius equation describing the instantaneous response of  $V_{\text{cmax}}$  and  $J_{\text{max}}$  to leaf temperature:

$$f(T) = e^{\frac{H_a(T_{\text{leaf}} - 298.15)}{RT_{\text{leaf}}298.15}} \frac{1 + e^{\frac{298.15(\Delta S) - H_d}{R298.15}}}{1 + e^{\frac{T_{\text{leaf}}(\Delta S) - H_d}{RT_{\text{leaf}}}}} \quad (7)$$

and

$$k_{T_{\text{leaf}}} = k_{25}f(T) \quad (8)$$

where  $k$  is the rate of  $V_{\text{cmax}}$  or  $J_{\text{max}}$  at the leaf temperature ( $k_{T_{\text{leaf}}}$ ) or at 25°C ( $k_{25}$ ),  $H_a$  is the activation energy (J mol<sup>-1</sup>),  $R$  is the universal gas constant (8.314 J mol<sup>-1</sup> K<sup>-1</sup>),  $H_d$  is the deactivation energy (200,000 J mol<sup>-1</sup>), and  $\Delta S$  is the entropy term that characterizes the changes in reaction rate caused by substrate concentration (J mol<sup>-1</sup> K<sup>-1</sup>). To incorporate temperature acclimation, the two studies use observational data to describe the linear response of  $\Delta S$ ,  $H_a$ , and  $k_{25}$  to temperature (see Table 1). We included these two empirical temperature acclimation formulations into our comparison by calculating  $V_{\text{cmax}}$ ,  $J_{\text{max}}$ , and the  $J_{\text{max}}/V_{\text{cmax}}$  ratio at leaf temperature ( $T_{\text{leaf}}$ ) equal to the acclimated temperature ( $T_{\text{acc}}$ ) across the temperature range from our global observational dataset (3–30°C).

A summary of all datasets used for temperature response comparisons can be found in Table S2.

Carbon dioxide (CO<sub>2</sub>) acclimation data were obtained via a Google Scholar literature search using keywords “elevated CO<sub>2</sub>” and “ $V_{\text{cmax}}$ ,” resulting in data from 31 studies examining photosynthetic biochemistry under elevated CO<sub>2</sub> (Ziska *et al.*, 1991; Harley *et al.*, 1992; McKee & Woodward, 1994; McKee *et al.*, 1995; Curtis *et al.*, 1995; Osborne *et al.*, 1998; Rey & Jarvis, 1998; Rogers *et al.*, 1998; Sims *et al.*, 1998; Turnbull *et al.*, 1998; Li *et al.*, 1999; Medlyn *et al.*, 1999; Myers *et al.*, 1999; Tissue *et al.*, 1999; Davey *et al.*, 1999; Adam *et al.*, 2000; Griffin *et al.*, 2000; Von Caemmerer *et al.*, 2001; Hovenden, 2003; Zhao *et al.*, 2004; Ellsworth *et al.*, 2004; Kitao *et al.*, 2007; Yong *et al.*, 2007; Crous *et al.*, 2010; Yu *et al.*, 2012; Ge *et al.*, 2012; Hao *et al.*, 2012; Blumenthal *et al.*, 2013; Warren *et al.*, 2015; Sharwood *et al.*, 2017; Aspinwall *et al.*, 2018). As with the temperature response dataset, data for CO<sub>2</sub> acclimation were chosen



based on whether values necessary to fit the optimal photosynthesis model were reported or could be reasonably estimated (e.g., climate data; see below). One notable reason why data were omitted was the lack of a reported leaf temperature at which measurements were taken. Data obtained were mean and reported error values under the low and high CO<sub>2</sub> environments. In cases where data were not presented in tables, data from figures were extracted using WebPlotDigitizer version 4.0 (Rohatgi, 2017). Where available, environmental data necessary for running the model (e.g., temperature and vapor pressure deficit) were extracted from individual papers. This was true for all controlled environment studies. In cases where environmental data were not reported, latitude and longitude were used to extract effective growing season mean temperature ( $T_g$ ), atmospheric vapor pressure deficit ( $D_g$ ), and incoming photosynthetically active radiation ( $I_g$ ) for each site from monthly, 1901-2015, 0.5° resolution data provided by the Climatic Research Unit (CRU TS3.24.01) (Harris *et al.*, 2014). Growing season was defined as months with average temperatures greater than 0°C. The elevation ( $z$ ) at each site at 0.5° resolution was obtained from the WFDEI meteorological forcing dataset (Weedon *et al.*, 2014). In all cases, we averaged species-specific and/or time-specific responses for each 0.5° resolution site in order to not bias sites where more species or time points were reported. In total, our dataset included 51 species from 33 elevated CO<sub>2</sub> sites, with 115 unique species by site combinations. Note that 21 of 115 species by site combinations did not report  $J_{\max}$  values (all reported  $V_{\text{cmax}}$  values). The full dataset included studies that utilized free air CO<sub>2</sub> enrichment (FACE;  $n = 12$ ), open top chambers (OTCs;  $n = 11$ ), whole-tree chambers (WTCs;  $n = 1$ ), and controlled environment chambers (CEs;  $n = 9$ ).

A summary of the data used for the CO<sub>2</sub> response comparisons can be found in Table S2.

### *Model-data comparison*

The optimal acclimation model predicts acclimation of photosynthetic capacity and investment in different biochemical processes via  $V_{\text{cmax}}$  (equation 2),  $J_{\max}$  (equation 3), and their ratio ( $J_{\max}/V_{\text{cmax}}$ ; equation 4). We compared the temperature response of the optimality predicted  $V_{\text{cmax}}$ ,  $J_{\max}$ , and  $J_{\max}/V_{\text{cmax}}$  to that observed in an acclimation-only studies by Smith and Dukes (2017a) and Scafaro *et al.* (2017). As part of this comparison, we also included the response predicted by instantaneous enzyme kinetics (i.e., no acclimation), using unacclimated, mean parameters from Kattge and Knorr (2007) (Table 1). We made the comparisons by calculating

predicted optimal and kinetic values for  $J_{\max}$  and  $V_{\text{cmax}}$  for each value in both datasets using reported values for environmental variables for the Smith and Dukes (2017a) and Scafaro *et al.* (2017) datasets. We then computed slopes of the relationship between the acclimated temperature (independent variable) and the natural log transformed values of  $V_{\text{cmax}}$ ,  $J_{\max}$ , and  $J_{\max}/V_{\text{cmax}}$  (dependent variables) for the observations, kinetic model, and optimality model using the 'lm' function in R (R Core Team, 2019). We then compared the slopes calculated from the observations, kinetic model, and optimality model to each other.

We also compared optimality and kinetic predicted values to those observed in the global observational dataset as well as those produced from the empirical models developed from global observational data by Kattge and Knorr (2007) and Kumarathunge *et al.* (2019). We did this by calculating predicted optimal and kinetic values for  $J_{\max}$  and  $V_{\text{cmax}}$  for each value in both datasets using estimated values for the global observational dataset. We then computed slopes of the relationship between the acclimated temperature (independent variable) and the natural log transformed values of  $V_{\text{cmax}}$ ,  $J_{\max}$ , and  $J_{\max}/V_{\text{cmax}}$  (dependent variables) for the observations and observation-based models, kinetic model, and optimality model using the 'lm' function in R (R Core Team, 2019). We finally compared the slopes calculated from the observations and observation-based models, kinetic model, and optimality model to each other.

For the CO<sub>2</sub> acclimation-only data, we used the optimality model to compute optimal  $V_{\text{cmax}}$ ,  $J_{\max}$ , and  $J_{\max}/V_{\text{cmax}}$  values for each species at each site. We then calculated the slope of natural log response of  $V_{\text{cmax}}$ ,  $J_{\max}$ , and  $J_{\max}/V_{\text{cmax}}$  (dependent variables) to CO<sub>2</sub> (independent variable) for the observations and the optimal photosynthesis model. We then compared slopes from the observations and the optimal photosynthesis model to each other. Finally, we used a linear model to explore whether differences between the data and the optimal photosynthesis model were explained by temperature, light, vapor pressure deficit, elevation, or the elevated CO<sub>2</sub> methodology employed. In our model, we also considered mycorrhizal association for each species by site combination, as this was found to influence photosynthetic capacity responses to elevated CO<sub>2</sub> in a previous study (Terrer *et al.*, 2018). Specifically, we grouped each species by whether they associate with arbuscular mycorrhizal fungi (AM,  $n = 60$  for all species by site combinations and  $n = 44$  for species by site combination that reported  $J_{\max}$ ), ectomycorrhizal fungi (EM,  $n = 46$  for all species by site combinations and  $n = 43$  for species by site combination that reported  $J_{\max}$ ), or nitrogen fixing bacteria (NF,  $n = 12$  for all species by site combinations

and  $n = 9$  for species by site combination that reported  $J_{\max}$ ), or if the individuals were given nitrogen fertilization ( $n = 8$  for all species by site combinations and  $n = 4$  for species by site combination that reported  $J_{\max}$ ). Nitrogen acquisition data were obtained at the species level using prior reports on mycorrhizal and nitrogen-fixing bacteria symbioses. Note that some EM and NF associating species may be able to also associate with AM fungi. Species were considered NF or EM if they were known to associate with those respective symbionts and regardless of whether they associate with AM fungi. Specifically, the linear model included the difference in  $\text{CO}_2$  sensitivity between the optimal photosynthesis model and the observations as the dependent variable and temperature, light, vapor pressure deficit, elevation, the elevated  $\text{CO}_2$  methodology employed, and nitrogen acquisition as independent variables. To address the fact that some variables in the model are inherently correlated, we calculated generalized variance inflation factors for each independent variable in each model (Zuur *et al.*, 2009) using the ‘car’ package (Fox & Weisberg, 2011) in R (R Core Team, 2019). In all cases, the generalized variance inflation factors were less than 3, indicating that collinearity had little impact on the results (Zuur *et al.*, 2009).

#### *Per leaf area optimal nitrogen in Rubisco simulations*

To explore how leaf nitrogen would be expected to change under different temperature and  $\text{CO}_2$  conditions, we calculated an estimate of leaf nitrogen in Rubisco and leaf nitrogen in bioenergetics from  $V_{\text{cmax}}$  and  $J_{\max}$ , respectively, under different temperatures and  $\text{CO}_2$  concentrations. Specifically, we used equation 12 to simulate  $V_{\text{cmax}}$  under a combination of 4 acclimated temperatures ranging from 5-35°C and 7 acclimated atmospheric  $\text{CO}_2$  concentrations from 400-1000 ppm. These simulations were done using both a low (1 kPa) and high (6 kPa) vapor pressure deficit. All simulations were done at an elevation of 0 m and acclimated light level of 1000  $\mu\text{mol m}^{-2} \text{s}^{-1}$ .  $V_{\text{cmax}}$  and  $J_{\max}$  values were then converted to temperature standardized values at 25°C ( $V_{\text{cmax},25}$  and  $J_{\max,25}$ , respectively) using equations 18 and 19 and parameterizations of Kattge and Knorr (2007). The  $V_{\text{cmax},25}$  values were then used to estimate leaf nitrogen in Rubisco per unit area ( $N_{\text{rubisco}}$ ) following the approach by Dong *et al.* (2017), based on the model and parameterizations of Harrison *et al.* (2009):

$$N_{\text{rubisco}} = \frac{V_{\text{cmax},25} M_r M_n [N_r]}{k_{\text{cat}} n_r} \quad (9)$$

where  $M_r$  is the molecular mass of Rubisco,  $0.55 \text{ g Rubisco } (\mu\text{mol Rubisco})^{-1}$ ,  $[N_r]$  is the nitrogen concentration of Rubisco,  $0.0144 \text{ mol nitrogen } (\text{g Rubisco})^{-1}$ ,  $M_n$  is the molecular mass of nitrogen,  $14 \text{ g nitrogen } (\text{mol nitrogen})^{-1}$ ,  $k_{\text{cat}}$  is the catalytic turnover at  $25^\circ\text{C}$ ,  $3500000 \mu\text{mol CO}_2 (\text{mol Rubisco sites} \cdot \text{seconds})^{-1}$ , and  $n_r$  is the catalytic sites per mol Rubisco,  $8 \text{ mol sites } (\text{mol Rubisco})^{-1}$ . The  $J_{\text{max},25}$  values were used to estimate nitrogen in bioenergetics ( $N_{\text{bioenergetics}}$ ) following the approach by Niinemets and Tenhunen (1997):

$$N_{\text{bioenergetics}} = \frac{J_{\text{max},25} N_{\text{cyt}}}{j_{\text{mc}}} \quad (10)$$

where  $N_{\text{cyt}}$  is the nitrogen investment in bioenergetics ( $0.124 \text{ gN } (\mu\text{mol cyt f})^{-1}$ ) and  $j_{\text{mc}}$  is the activity of electron transport at  $25^\circ\text{C}$  ( $156 \mu\text{mol e}^- (\mu\text{mol cyt f} \cdot \text{s})^{-1}$ ) (Niinemets & Tenhunen, 1997). Photosynthetic nitrogen was computed by adding  $N_{\text{rubisco}}$  and  $N_{\text{bioenergetics}}$ .

## Results

### Temperature responses

The optimal temperature response of the  $J_{\text{max}}/V_{\text{cmax}}$  ratio (natural log transformed slope  $\pm 95\%$  CI:  $-0.051 \pm 0.001 ^\circ\text{C}^{-1}$ ; Table 2 and Fig. 1a) was similar to that observed in a growth chamber study (Smith and Dukes 2017a;  $-0.048 \pm 0.012 ^\circ\text{C}^{-1}$ ; Table 2 and Fig. 1a). Notably, this response was much steeper than the response predicted from the enzyme kinetic responses of  $J_{\text{max}}$  and  $V_{\text{cmax}}$  alone ( $-0.028 \pm 0.0005 ^\circ\text{C}^{-1}$ ; Table 2 and Fig. 1a). However, the optimal temperature responses of  $J_{\text{max}}$  and  $V_{\text{cmax}}$  were shallower than those observed (Table 2, Fig. 1b, and Fig. 1c). As the  $J_{\text{max}}$  and  $V_{\text{cmax}}$  responses are dependent on  $\phi$ , this discrepancy likely indicates that the temperature response of  $\phi$  in our model was weaker than the temperature response of  $\phi$  in the data (equation 15). The  $J_{\text{max}}/V_{\text{cmax}}$  ratio is independent of  $\phi$ .

The similarity between the slope of the optimal temperature response of the  $J_{\text{max}}/V_{\text{cmax}}$  ratio ( $-0.041 \pm 0.0001 ^\circ\text{C}^{-1}$ ; Table 3 and Fig. 2a) and that observed in the global observational dataset ( $-0.046 \pm 0.002 ^\circ\text{C}^{-1}$ ; Table 3 and Fig. 2a) and observation-based models of Kattge and Knorr (2007) ( $-0.041 \pm 0.002 ^\circ\text{C}^{-1}$ ; Table 3 and Fig. 2a) and Kumarathunge *et al.* ( $-0.032 \pm 0.001 ^\circ\text{C}^{-1}$ ; Table 3 and Fig. 2a) suggest that plants were setting up their photosynthetic biochemistry optimally in response to temperature. Nonetheless, slightly weaker temperature responses of  $J_{\text{max}}$  and  $V_{\text{cmax}}$  in the optimal model indicate a lack of full agreement between the optimal model and data (Table 3, Fig. 2b, and Fig. 2c). As with the growth chamber data comparison, this is likely

driven by inadequate parameterization of  $\phi$  or with biased estimates of leaf canopy light availability, both of which influence  $J_{\max}$  and  $V_{\max}$ , but not the ratio of the two.

### *Elevated CO<sub>2</sub> response*

On average, the observed natural log slope of the response of  $V_{\max}$  to CO<sub>2</sub> (mean  $\pm$  95% CI:  $-0.0056 \pm 0.0018$  Pa<sup>-1</sup>) was similar to that predicted by the optimality model ( $-0.0061 \pm 0.0002$  Pa<sup>-1</sup>). However, the observed  $J_{\max}$  response was negative ( $-0.0034 \pm 0.0016$  Pa<sup>-1</sup>), while the optimal predicted response was positive ( $0.0022 \pm 0.0001$  Pa<sup>-1</sup>). This led to an increase in the  $J_{\max}/V_{\max}$  ratio that was lower in the observed data ( $0.0018 \pm 0.0015$  Pa<sup>-1</sup>) than was predicted by optimization ( $0.0083 \pm 0.0004$  Pa<sup>-1</sup>).

We calculated the difference in the log regression slopes for each species in each experiment from that expected by optimization to explore potential drivers of this difference. Specifically, we examined influences of temperature, light availability, vapor pressure deficit, elevation, nitrogen acquisition strategy, and methodology used by the experiment. Of those, only nitrogen acquisition strategy had a significant influence on the bias between the modeled and observed CO<sub>2</sub> responses (Table 4 and Fig. 3). In fact, the slope of the observed response of  $J_{\max}/V_{\max}$  to CO<sub>2</sub> was 9 times larger in species with associations with nitrogen fixing bacteria (NF) than in species that only have associations with fungi (AM or EM; Table 5 and Fig. 3a). The slope of the response of  $J_{\max}/V_{\max}$  to CO<sub>2</sub> for NF species was nearly identical to that predicted by optimality (Table 5 and Fig. 3a), indicating that species with strictly fungal associations were underinvesting in  $J_{\max}$  under elevated CO<sub>2</sub>. This was confirmed by comparing the response of  $V_{\max}$  and  $J_{\max}$  separately, analyses that indicated the  $V_{\max}$  response was near optimal in all species (Table 5 and Fig. 3b), but the  $J_{\max}$  response was suboptimal in AM and EM species (Table 5 and Fig. 3c). However, results from nitrogen fertilization experiments also had low  $J_{\max}/V_{\max}$  slopes (Table 5).

### *Predicted Leaf nitrogen response from warming and elevated CO<sub>2</sub> acclimation*

Given the strong responses of photosynthetic capacity to warming and elevated CO<sub>2</sub> predicted by least-cost optimality and observed in empirical studies, we explored how this acclimation would impact leaf nitrogen content. We used the optimality model described above in concert with models that estimate leaf nitrogen in Rubisco and bioenergetics from  $V_{\max}$  and

$J_{\max}$ , respectively (see Methods). We found a consistent decrease in photosynthetic nitrogen under both warming and elevated  $\text{CO}_2$  and regardless of atmospheric VPD (Fig. 4). Both the temperature- and  $\text{CO}_2$ -driven downregulation was stronger at low temperatures and low  $\text{CO}_2$  (Fig. 4).

## Discussion

Photosynthetic capacity acclimation to future increases in  $\text{CO}_2$  and temperature will influence the rate and magnitude of future climate change (Galbraith *et al.*, 2010; Smith & Dukes, 2013). Past studies have reviewed photosynthetic capacity acclimation to elevated  $\text{CO}_2$  (Ainsworth & Long, 2005; Ainsworth & Rogers, 2007; Leakey *et al.*, 2009) and temperature (Kattge & Knorr, 2007; Yamori *et al.*, 2014; Kumarathunge *et al.*, 2019). Nonetheless, uncertainties regarding the mechanisms underlying these responses has led to a limited inclusion of these processes in Earth System Models (Smith & Dukes, 2013). Plant-centric models of photosynthesis have indicated that the acclimation of the biochemical processes underlying photosynthesis may be the result of optimal coordination, under which plants set up their biochemistry in order to maintain high rates of photosynthesis at the lowest possible nutrient use (Maire *et al.*, 2012; Wang *et al.*, 2017a; Smith *et al.*, 2019). Here, we explicitly test this theory using published observational and manipulative data to elucidate the mechanisms underlying acclimation responses. We discuss each of the primary findings below; namely, that (1) biochemical acclimation to increasing temperatures in  $\text{C}_3$  plants increases maximum rates of Rubisco carboxylation relative to electron transport, (2) leaves acclimate to temperature and  $\text{CO}_2$  by reducing per-leaf-area nutrient use, and (3) photosynthesis is restricted by a suboptimal response of electron transport under elevated  $\text{CO}_2$ .

### *Warm temperature acclimation in $\text{C}_3$ plants increases maximum rates of Rubisco carboxylation relative to electron transport*

In response to elevated temperatures, the  $\text{C}_3$  plants evaluated here increased investment in Rubisco carboxylation relative to electron transport, an effect seen in previous meta-analyses (Kattge & Knorr, 2007; Kumarathunge *et al.*, 2019). As this response was similar to that expected from the optimization model, we can more closely investigate the underlying mechanism. The parameters responsible for the predicted decrease, shown in equation 14, are  $\varpi$ ,

$\omega^*$ ,  $m$ , and  $m_c$ . Note that the  $\omega$  and  $\omega^*$  are temperature sensitive because they are derived from  $m$ . Each of these parameters decreases with increasing temperature. For all parameters, this is a result of an increase in the CO<sub>2</sub> compensation point ( $\Gamma^*$ ) with temperature, which is directly related to the increased specificity of Rubisco to O<sub>2</sub> relative to CO<sub>2</sub> with increased temperatures (Bernacchi *et al.*, 2001). The parameter  $K$  which describes the Michaelis-Menten coefficients of Rubisco activity for CO<sub>2</sub> and O<sub>2</sub> (see Support Information) also increases with temperature as a result of the greater sensitivity of Rubisco oxygenation relative to carboxylation to temperature, further reducing  $m_c$  as temperatures rise. While all four parameters decrease with temperature, the decrease in  $\omega^* m_c$  is greater than that of  $\omega m$  due to the stronger dependence of carboxylation (i.e.,  $\omega^* m_c$ ) on Rubisco kinetics than electron transport (i.e.,  $\omega m$ ). This indicates that photorespiration is a primary driver of the acclimation of photosynthetic biochemistry to temperature seen here and in other studies (Kattge & Knorr, 2007; Kumarathunge *et al.*, 2019).

Notably, the temperature responses for  $V_{\text{cmax}}$  and  $J_{\text{max}}$  predicted from the optimality model differed slightly from those observed in the temperature manipulation and observational datasets. One possible reason for this is the empirical parameterization of the temperature response of the realized quantum yield of photosynthetic electron transport ( $\phi$ ) in the model (see Supporting Information). A change in the parameterization of this equation would allow us to match the temperature sensitivity. However, we opted against this sort of tuning to avoid biasing our model. Nonetheless, there is very little data on the temperature response of  $\phi$  in the literature, with very few studies reporting these parameters (Bernacchi *et al.*, 2003; Dongsansuk *et al.*, 2013). Given the model sensitivity to  $\phi$  and the easy by which it can be measured using fluorometry, more empirical studies estimating its temperature sensitivity under varying ecological conditions would be beneficial to model development.

#### *Leaves acclimate by reducing per leaf nutrient use*

Our results indicate that leaves acclimate to both elevated temperatures and CO<sub>2</sub> by reducing their leaf nutrient use. While we did not have nutrient or enzyme content data to test this directly, this reduction can be gleaned directly from the model-data comparison. Under elevated temperature, the dampened response of acclimated  $V_{\text{cmax}}$  and  $J_{\text{max}}$  to temperature relative to the kinetic response indicates that these rates at a standard temperature, a common indicator of active photosynthetic protein content (Rogers, 2014), would decrease with increased

temperatures, as suggested in previous studies (e.g., Kattge & Knorr, 2007; Ali *et al.*, 2015; Smith & Dukes, 2018). The agreement between the data and optimization model indicate that this reduction in protein is related to the fact that, at higher temperatures, enzymes work faster, reducing the amount of enzymes needed to assimilate at the optimal rate.

Under elevated CO<sub>2</sub>, our data indicated an average decrease in  $V_{\text{cmax}}$  and  $J_{\text{max}}$  at higher CO<sub>2</sub> concentrations. The  $V_{\text{cmax}}$  response was similar to that expected by the optimization model, indicating that this effect was the result of increased substrate for Rubisco (i.e., CO<sub>2</sub>) reducing the amount of Rubisco needed to assimilate at the optimal rate. Interestingly, the reduction in  $J_{\text{max}}$  seen in the elevated CO<sub>2</sub> data was not predicted by the optimization model, possibly indicating a nutrient limitation response (Luo *et al.*, 2004; see discussion below).

The close relationship between the observed  $V_{\text{cmax}}$  responses and those predicted by the optimization model suggest that plants are acclimating such that leaf biochemistry adjusts so as to fix the greatest amount of carbon at lowest possible nutrient use, a primary tenant of the least cost hypothesis (Wright *et al.*, 2003). With increased temperatures and CO<sub>2</sub> concentration, the per leaf area nutrient use efficiency is increased. This leaf-level response may have multiple resulting benefits at the whole-plant level. Namely, other nutrients could be used to increase growth of new tissue or be stored. This would also reduce nutrient demand, resulting in a decrease in root growth and carbon exudation per unit leaf area. While past studies have examined these total costs under future conditions (e.g., Phillips *et al.*, 2012), future studies should consider these responses relative to carbon uptake by leaves.

#### *Photosynthesis is restricted by electron transport rate under elevated CO<sub>2</sub>*

Previous studies examining photosynthetic biochemistry responses to elevated CO<sub>2</sub> have interpreted reductions in  $V_{\text{cmax}}$  that coincide with reductions in leaf nitrogen as indicators of progressive nitrogen limitation (e.g., Ainsworth & Long, 2005; Crous *et al.*, 2008). However, our  $V_{\text{cmax}}$  results indicate that  $V_{\text{cmax}}$  is down-regulated as would be expected from optimization alone. Interestingly, however, the lack of agreement between the optimal and observed  $J_{\text{max}}$  response indicates that plants at elevated CO<sub>2</sub> are more restricted by electron transport than by carboxylation.

Medlyn (1996), utilizing a similar approach to the one used here, reported a similar mismatch between optimal nitrogen allocation to  $J_{\text{max}}$  and  $V_{\text{cmax}}$  properties. This discrepancy was



hypothesized to be the result of non-acclimating  $\chi$  or light regime. Here, we use an optimally acclimating  $\chi$ , but consider only stomatal and not mesophyll conductance. However, previous work indicates that there is no effect of elevated CO<sub>2</sub> on the relationship between intercellular and mesophyll CO<sub>2</sub> concentration (Singsaas *et al.*, 2004). Light is also not likely to be a factor, as light availability has little effect on  $J_{\max}/V_{\max}$  in observations (Poorter *et al.*, 2019) and no effect on our optimization model. Alternatively, the decreased  $J_{\max}$  could indicate a nitrogen limitation to photosynthesis as expected under the progressive nitrogen limitation hypothesis (Luo *et al.*, 2004). Our, admittedly limited, data from nitrogen fertilization experiments did not indicate that this was the case. There is a strong correlation between  $J_{\max}$  and  $V_{\max}$  (Walker *et al.*, 2014), so changes in one parameter may result in consequent changes in the other (Onoda *et al.*, 2009), however flexibility in the  $J_{\max}/V_{\max}$  ratio would suggest this is not the case. Other environmental variables (e.g., temperature (Wang *et al.*, 2017a)) have been suggested as reasons for this effect, but our bias analyses found no supporting evidence.

The one factor that was indicated by our bias analysis to potentially have an impact on the non-optimal  $J_{\max}$  response was the association with belowground microorganisms. Previous work has indicated that leaf- and whole-plant level responses to elevated CO<sub>2</sub> are influenced by soil microbial symbioses, specifically the return of nitrogen per carbon invested via belowground allocation to symbionts (Terrer *et al.*, 2016, 2018). Species that primarily associated with soil fungi, and arbuscular mycorrhizal fungi in particular, tend to have lower returns on investment than species that associate with nitrogen fixing bacteria, limiting carbon uptake and growth stimulation under elevated CO<sub>2</sub> in fungal associating species (Terrer *et al.*, 2018). Our study also found evidence of this response, in that species associating with nitrogen fixing bacteria did not show a downregulation of  $J_{\max}$  under elevated CO<sub>2</sub> and, instead, showed a response similar to that expected from optimization. On the other hand, species with primarily fungal associations showed a response consistent with photosynthetic limitation by electron transport.

However, unlike the results found by Terrer *et al.* (2018), we did not find that nitrogen acquisition strategy was related to  $V_{\max}$  acclimation. Also, we did not find that the electron transport rate limitation was alleviated by added nitrogen. However, these results were based on only a small number of studies; only four studies with fertilization reported  $J_{\max}$  and only 9 studies were done using species with associations with nitrogen fixing bacteria. Nonetheless, the differential response to elevated CO<sub>2</sub> in species with different nitrogen acquisition strategies

indicates the need to further investigate the role of aboveground-belowground interactions in altering future responses. As demonstrated by Terrer *et al.* (2018), the whole-plant response may be more dependent on these symbioses than leaf-level responses. Future studies, possibly utilizing labeled carbon and nitrogen, could help further explore these mechanisms.

Our results indicate that plants will acclimate their leaf biochemistry in response to future changes in temperature and atmospheric CO<sub>2</sub>. This will manifest itself in lower nutrient use for photosynthetic processes at the leaf level. However, at least in the case of responses to elevated CO<sub>2</sub>, this may not be a fully optimal response, as the optimization seemed to be limited by the mechanism of nutrient acquisition in some studies and species. These results should be used to explore the consequences of acclimation for whole-plant and ecosystem-level responses, which are less certain, but important for predicting future biosphere-atmosphere feedbacks.

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## Author contributions

NGS and TFK designed the study. NGS performed the analyses. NGS wrote the manuscript with input from TFK.

## Data Sharing and Data Accessibility

This study used only previously published data and model code, for which the corresponding citations are noted within the manuscript. We request that users refer to the original studies and cite those accordingly.

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**Table 1.** Parameter values used for the observation-based acclimation formulations of Kattge and Knorr (2007) and Kumarathunge *et al.* (2019) as well as the enzyme kinetic (i.e., unacclimated) model used in the model-data comparisons\*

	$V_{\text{cmax}}$			$J_{\text{max}}$		
	$H_a$	$\Delta S$	$k_{25}$	$H_a$	$\Delta S$	$k_{25}$
Kattge and Knorr (2007)	71513	$668.39-1.07*T_{\text{acc}}$	1	49884	$659.7-0.7*T_{\text{acc}}$	$(2.59-0.035*T_{\text{acc}})*V_{\text{cmax},25}$
Kumarathunge et al. (2019)	$48700+0.82*T_{\text{acc}}$	$662-1.31*T_{\text{acc}}$	1	40710	$667.3-1.34*T_{\text{acc}}$	$(2.56-0.0375*T_{\text{acc}})*V_{\text{cmax},25}$
Enzyme kinetic model	71513	641.64	1	49884	642.2	1

\*Key:  $H_a$  = activation energy ( $\text{J mol}^{-1}$ ),  $\Delta S$  = entropy term that characterizes the changes in reaction rate caused by substrate concentration ( $\text{J mol}^{-1} \text{K}^{-1}$ ), and  $k_{25}$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) is the rate of  $V_{\text{cmax}}$  or  $J_{\text{max}}$  at at  $25^\circ\text{C}$  ( $V_{\text{cmax},25}$  and  $J_{\text{max},25}$ , respectively). Values from Kumarathunge *et al.* (2019) were based on the values reported for the “mature plants in native environment” dataset (Kumarathunge *et al.*, 2019). Values for the enzyme kinetic model were taken from static, mean parameter values (computed at  $T_{\text{acc}} = 25^\circ\text{C}$ ) from Kattge and Knorr (2007).

**Table 2.** Observed, kinetic, and optimal slope of the natural log transformed values of  $J_{\max}/V_{\max}$ ,  $V_{\max}$ , and  $J_{\max}$  to acclimated temperature in temperature acclimation-only growth chamber manipulations\*

		Slope	95% CI	Intercept	95% CI
$J_{\max}/V_{\max}$	Kinetic	-0.028 <sup>a</sup>	<0.001	-	-
	Optimal	-0.051 <sup>b</sup>	0.001	1.990	0.038
	Smith & Dukes (2017)	-0.048 <sup>b</sup>	0.012	1.750	0.307
	Scafaro et al. (2017)	-	-	-	-
$V_{\max}$	Kinetic	0.083 <sup>a</sup>	0.004	-	-
	Optimal	0.048 <sup>b</sup>	<0.001	4.404	0.010
	Smith & Dukes (2017)	0.080 <sup>a,b</sup>	0.044	1.455	1.133
	Scafaro et al. (2017)	0.073 <sup>a</sup>	0.008	-1.757	0.200
$J_{\max}$	Kinetic	0.055 <sup>a</sup>	0.004	-	-
	Optimal	-0.002 <sup>b</sup>	0.002	6.394	0.047
	Smith & Dukes (2017)	0.032 <sup>a,b</sup>	0.044	3.205	1.138
	Scafaro et al. (2017)	-	-	-	-

\*The temperature response slope ( $^{\circ}\text{C}^{-1}$ ) was derived by fitting a linear model to the natural log of data predicted from the kinetic model (Table 1), the optimal photosynthesis model (Optimal; see Methods) and observed by Smith and Dukes (2017a) and Scafaro *et al.* (2017). Superscripts on slope values indicate slopes with overlapping 95% confidence intervals within each variable grouping (i.e.,  $J_{\max}/V_{\max}$ ,  $V_{\max}$ , and  $J_{\max}$ ).

**Table 3.** Observed, kinetic, and optimal slope of the natural log transformed values of  $J_{\max}/V_{\max}$ ,  $V_{\max}$ , and  $J_{\max}$  to acclimated temperature in global observations and global observation-based formations (Kattge & Knorr, 2007; Kumarathunge *et al.*, 2019)\*

		Slope	95% CI	Intercept	95% CI
$J_{\max}/V_{\max}$	Kinetic	-0.031 <sup>a</sup>	<0.001	0.771	0.006
	Optimal	-0.041 <sup>b</sup>	<0.001	1.777	0.004
	Kattge & Knorr (2007)	-0.041 <sup>b</sup>	0.002	1.577	0.028
	Kumarathunge et al. (2019)	-0.032 <sup>a</sup>	0.001	1.271	0.010
	Global dataset	-0.046 <sup>c</sup>	0.002	1.656	0.036

$V_{\text{cmax}}$	Kinetic	0.100 <sup>a</sup>	0.002	-2.508	0.032
	Optimal	0.061 <sup>c</sup>	0.001	4.217	0.014
	Kattge & Knorr (2007)	0.088 <sup>b</sup>	0.001	-2.202	0.015
	Kumarathunge et al. (2019)	0.069 <sup>d</sup>	0.001	-1.734	0.015
	Global dataset	0.082 <sup>c</sup>	0.003	1.686	0.061
$J_{\text{max}}$	Kinetic	0.069 <sup>a</sup>	0.001	-1.737	0.026
	Optimal	0.020 <sup>d</sup>	0.001	5.994	0.014
	Kattge & Knorr (2007)	0.046 <sup>b</sup>	0.001	-0.624	0.017
	Kumarathunge et al. (2019)	0.038 <sup>c</sup>	0.001	-0.463	0.026
	Global dataset	0.037 <sup>c</sup>	0.003	3.306	0.072

\*The temperature response slope ( $^{\circ}\text{C}^{-1}$ ) was derived by fitting a linear model to the natural log of data predicted from the kinetic model (Table 1), optimality model (Optimal; see Methods), the global observational dataset (Global dataset; Table S2), and the global observation-based formulations (Kattge & Knorr, 2007; Kumarathunge *et al.*, 2019). Superscripts on slope values indicate slopes with overlapping 95% confidence intervals within each variable grouping (i.e.,  $J_{\text{max}}/V_{\text{cmax}}$ ,  $V_{\text{cmax}}$ , and  $J_{\text{max}}$ ).

**Table 4.** Analysis of variance results examining variables that explain bias in observed versus modeled responses to elevated  $\text{CO}_2$ \*

	$J_{\text{max}}/V_{\text{cmax}}$			$V_{\text{cmax}}$		$J_{\text{max}}$	
	Df	F	P	F	P	F	P
Methodology	3	2.25	0.088	1.66	0.179	1.18	0.324
Nitrogen acquisition	3	2.85	<b>0.042</b>	1.14	0.335	2.49	0.066
Temperature	1	0.23	0.632	2.19	0.142	0.29	0.592
Vapor pressure deficit	1	0.24	0.629	1.35	0.247	0.07	0.786
Light availability	1	0.08	0.781	0.16	0.686	0.68	0.412
Elevation	1	1.70	0.196	0.01	0.939	0.00	0.992
Residuals	89						

\*Variable key: Methodology = methodology used for elevated  $\text{CO}_2$  experiments (FACE, OTCs, WTCs, or CEs); Nitrogen acquisition = nitrogen fertilization or potential symbiosis used to acquire nitrogen (arbuscular mycorrhizae only, ectomycorrhizae only, or nitrogen fixing

850 bacteria); Temperature = growing season mean temperature from 1901-2015; Vapor pressure  
851 deficit = growing season mean vapor pressure deficit from 1901-2015; Light availability =  
852 growing season mean PAR from 1901-2015; Elevation = elevation of the site. P-values less than  
853 0.05 are bolded.

**Table 5.** Observed and optimal slope of the response of natural log transformed values of  $J_{\max}/V_{\max}$ ,  $V_{\max}$ , and  $J_{\max}$  to atmospheric  $\text{CO}_2$  in  $\text{CO}_2$  manipulation experiments\*

		AM			EM			NF			Fertilized		
		n	Slope	95% CI	n	Slope	95% CI	n	Slope	95% CI	n	Slope	95% CI
$J_{\max}/V_{\max}$	Optimal	44	0.0090 <sup>a</sup>	0.0004	43	0.0071 <sup>a</sup>	0.0004	9	0.0090 <sup>a</sup>	0.0014	4	0.0099 <sup>a</sup>	0.0018
	Observed	44	0.0010 <sup>b</sup>	0.0015	43	0.0011 <sup>b</sup>	0.0026	9	0.0091 <sup>a</sup>	0.0099	4	0.0015 <sup>a</sup>	0.0065
$V_{\max}$	Optimal	60	-0.0065 <sup>a</sup>	0.0003	46	-0.0053 <sup>a</sup>	0.0003	12	-0.0065 <sup>a</sup>	0.0008	8	-0.0071 <sup>a</sup>	0.0010
	Observed	60	-0.0042 <sup>a</sup>	0.0021	46	-0.0059 <sup>a</sup>	0.0022	12	-0.0096 <sup>a</sup>	0.0110	8	-0.0098 <sup>a</sup>	0.0108
$J_{\max}$	Optimal	44	0.0025 <sup>a</sup>	0.0002	43	0.0018 <sup>a</sup>	0.0001	9	0.0025 <sup>a</sup>	0.0006	4	0.0028 <sup>a</sup>	0.0008
	Observed	44	-0.0029 <sup>b</sup>	0.0020	43	-0.0049 <sup>b</sup>	0.0030	9	0.0032 <sup>a</sup>	0.0043	4	0.0008 <sup>a</sup>	0.0034

The  $\text{CO}_2$  response slope ( $\text{Pa}^{-1}$ ) was derived by fitting a linear model to the natural log of data predicted from the optimality model (Optimal; see Methods) and observed in the  $\text{CO}_2$  manipulation dataset (Observed; Table S2). Data are separated by nitrogen acquisition type: arbuscular mycorrhizal associating species (AM), ectomycorrhizal associating species (EM), and nitrogen fixing bacteria associating species (NF), and fertilized species (orange). Superscripts on slope values indicate slopes with overlapping 95% confidence intervals within each variable (i.e.,  $J_{\max}/V_{\max}$ ,  $V_{\max}$ , and  $J_{\max}$ ) by nitrogen acquisition (i.e., AM, EM, NF, and Fertilized) grouping.



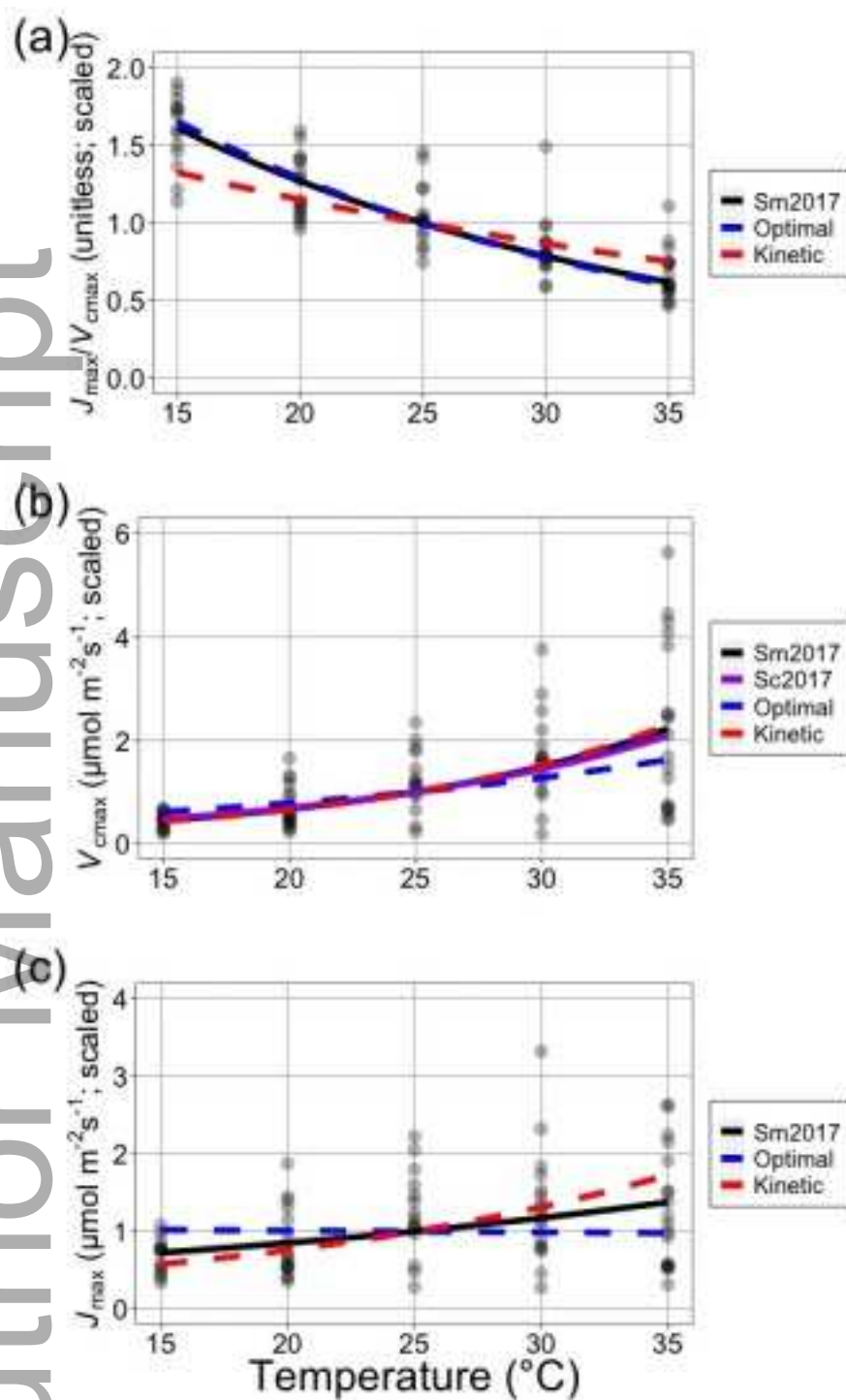
## Figure Legends

**Figure 1.** Temperature response of photosynthetic biochemistry from temperature manipulation studies. Temperature response of (a)  $J_{\max}/V_{\max}$ , (b)  $V_{\max}$ , and (c)  $J_{\max}$  observed in the Smith and Dukes (2017a) dataset (Sm2017; black line and transparent black dots), the Scafaro *et al.* (2017) dataset (Sc2017; purple line), as well as that predicted by optimality (blue line) and enzyme kinetics (red line). Solid lines indicate fits to observed data and dotted lines indicate modeled responses. To facilitate comparisons, all lines and points are standardized to 1 at 25°C by dividing values by the value predicted at 25°C from the linear model fit between temperature and the natural log transformed rate.

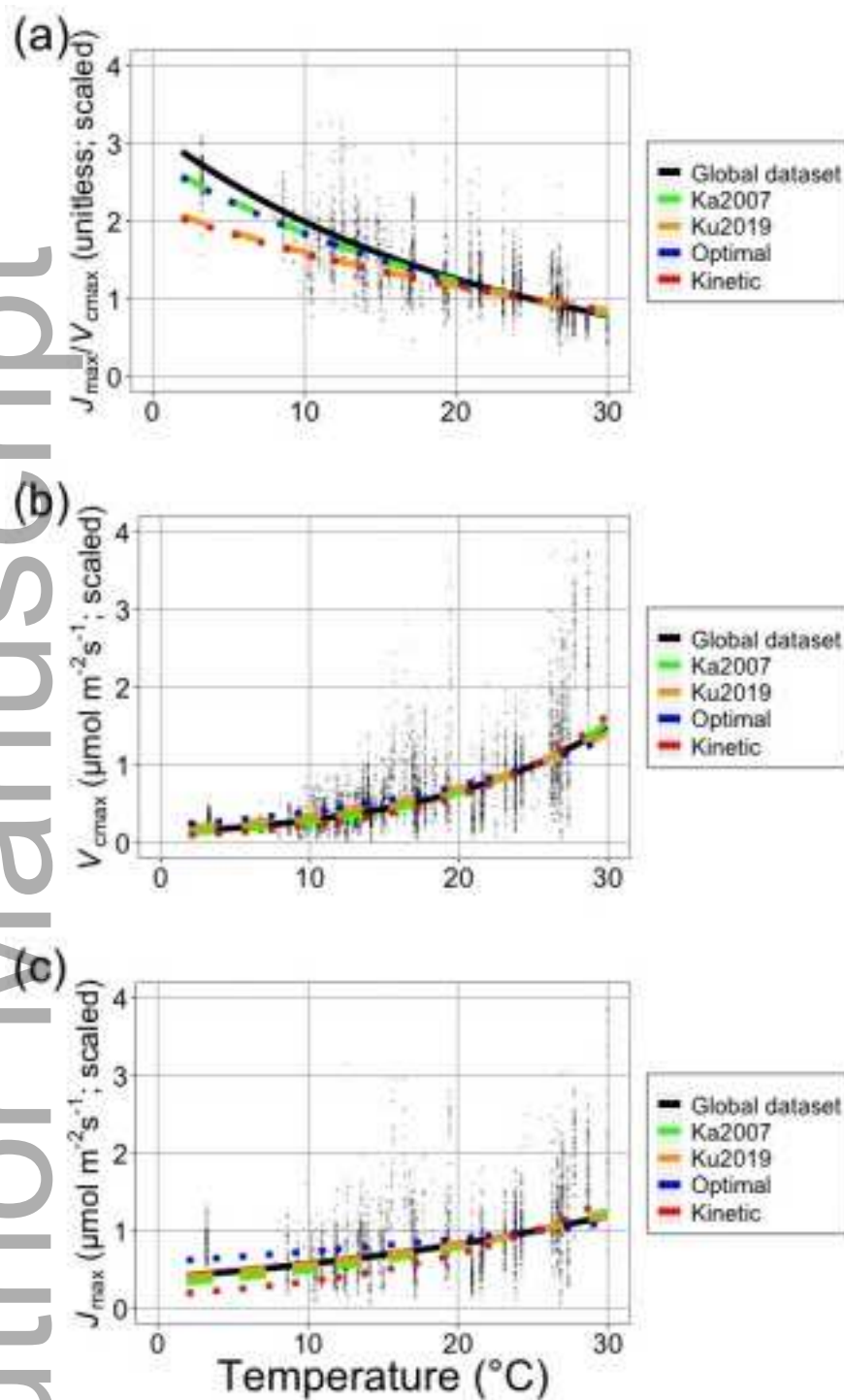
**Figure 2.** Temperature response of photosynthetic biochemistry from global observations. Temperature response of (a)  $J_{\max}/V_{\max}$ , (b)  $V_{\max}$ , and (c)  $J_{\max}$  observed in the global dataset (black line and transparent black dots), the Kattge and Knorr observation-based formulation (green dashed line), the Kumarathunge *et al.* (2019) observation-based formulation (orange dashed line), as well as that predicted by optimality (blue dotted line) and enzyme kinetics (red dotted line). The solid line indicates the fit to observed data, the dashed lines indicate responses from observation-based formulations, and the dotted lines indicate modeled responses. To facilitate comparisons, all lines and points are standardized to 1 at 25°C by dividing values by the value predicted at 25°C from the linear model fit between temperature and the natural log transformed rate. Standardized observation values greater than 4 were omitted to better visualize the differences between lines ( $n = 10, 16$ , and  $5$  for  $J_{\max}/V_{\max}$ ,  $V_{\max}$ , and  $J_{\max}$ , respectively).

**Figure 3.** CO<sub>2</sub> response of photosynthetic biochemistry from CO<sub>2</sub> manipulation studies. Slope of the response of natural log transformed values of (a)  $J_{\max}/V_{\max}$ , (b)  $V_{\max}$ , and (c)  $J_{\max}$  to atmospheric CO<sub>2</sub> ( $\Delta$ ; Pa<sup>-1</sup>) for each species in each of the elevated CO<sub>2</sub> experiments reviewed (115 total responses; exes) and predicted from optimality (circles). Boxes indicate median, first quartile, and third quartile of the observed data. Whiskers are the furthest data point, no further than  $1.5 \times$  the inner quartile range. Data are separated by nitrogen acquisition type: arbuscular mycorrhizal associating species (AM; blue), ectomycorrhizal associating species (EM; purple), and nitrogen fixing bacteria associating species (NF; red), and fertilized species (orange).

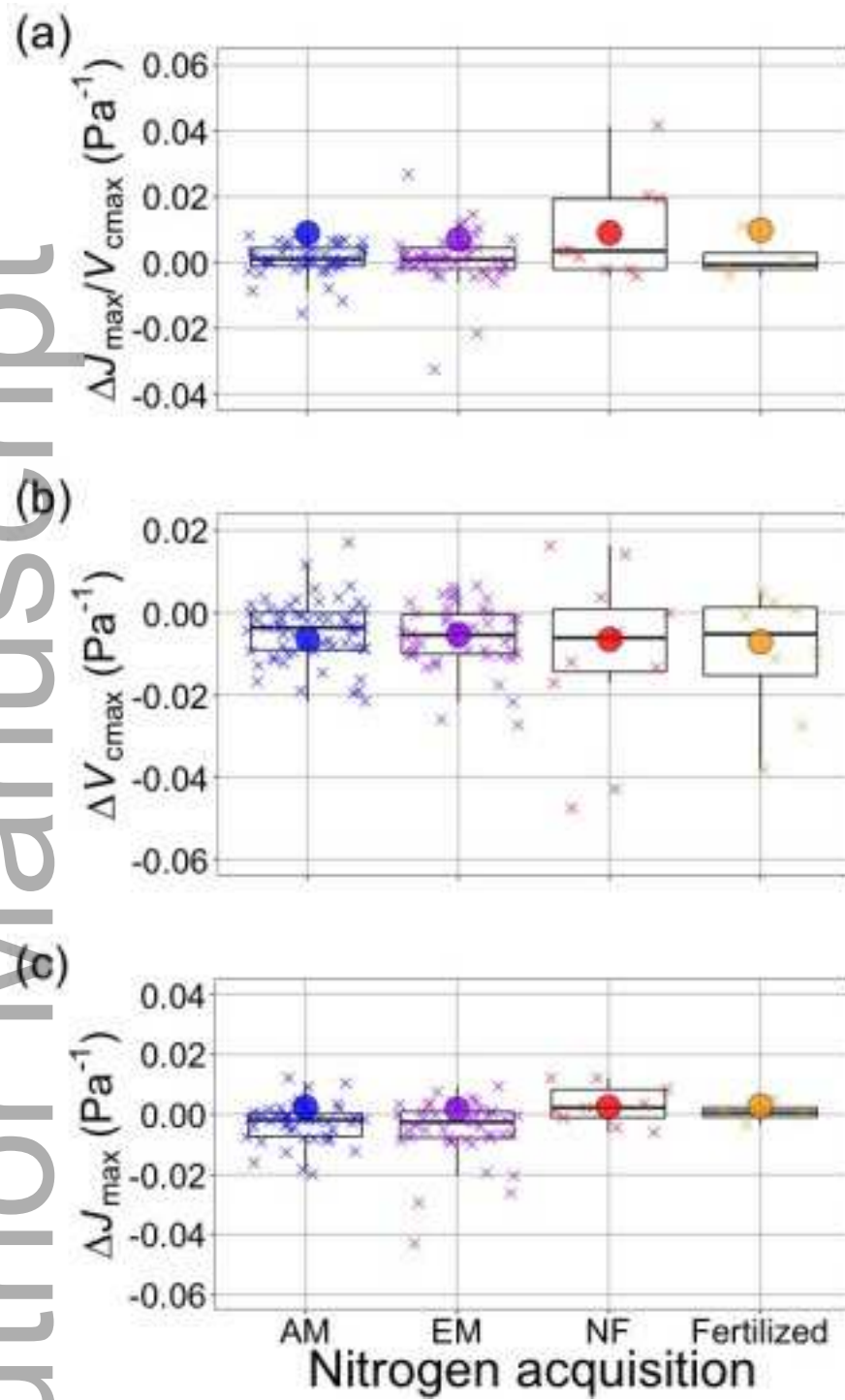
**Figure 4.** Simulated photosynthetic nitrogen (N) under different conditions. The simulated response of photosynthetic N to temperature (different coloration) and atmospheric CO<sub>2</sub> (x-axis) under a low (solid line; 1 kPa) and high (dotted line; 6 kPa) vapor pressure deficit (VPD) environment. Photosynthetic N was determined by adding simulated Rubisco N to bioenergetic N, computed from optimal  $V_{\text{cmax}}$  and  $J_{\text{max}}$  simulations (see Methods). All values were standardized to 1 at the value simulated at 25°C, 400  $\mu\text{mol mol}^{-1}$  CO<sub>2</sub>, and 1 kPa VPD. This value is indicated with circle cross.



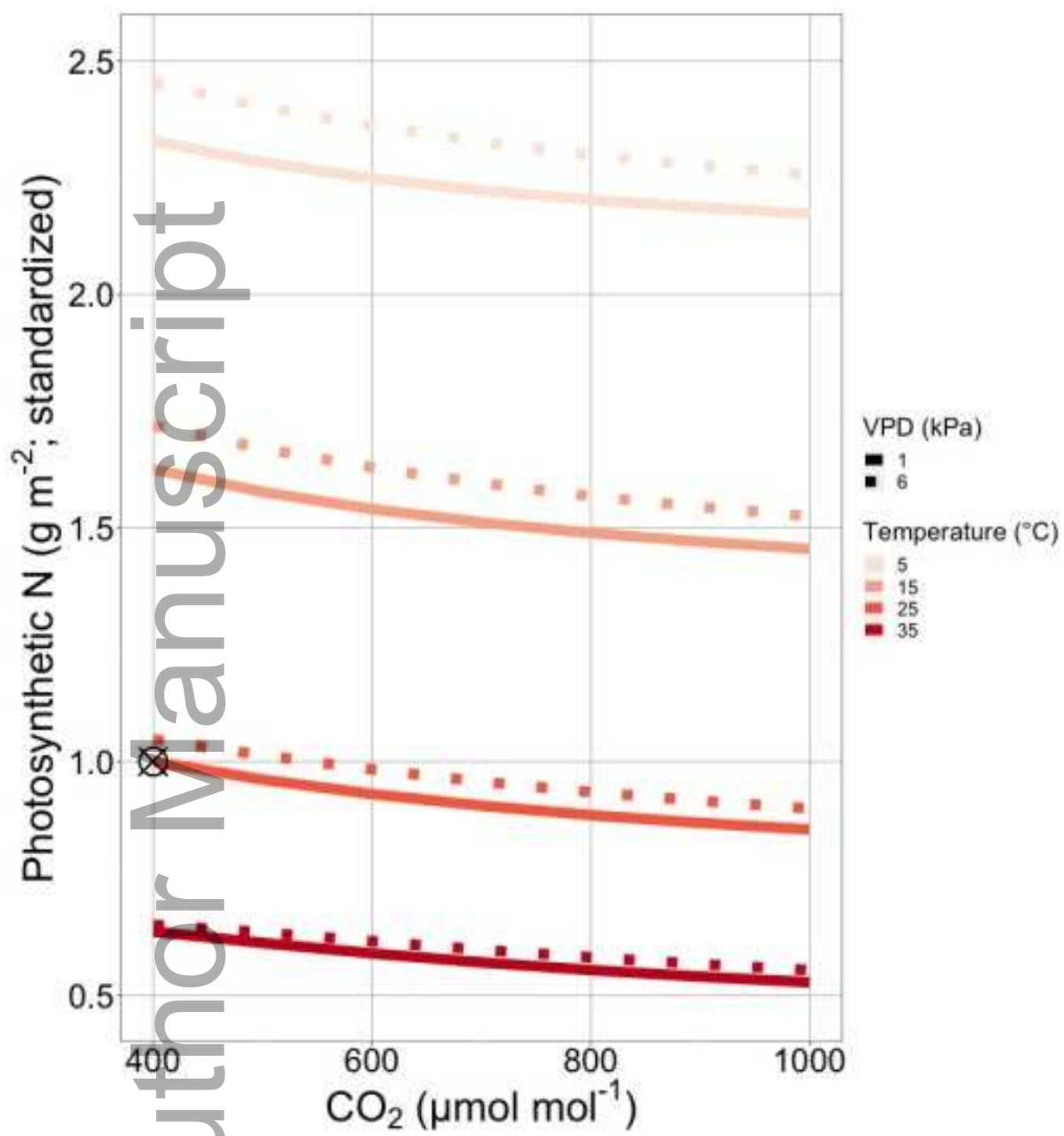
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